

Limit mixed Hodge structures  
of families of algebraic varieties  
and their applications

(joint work with Kiyoshi TAKEUCHI)

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•  $\mathbb{C}(t)$ : the field of rational functions of  $t$ .

$f(t, x) = \sum_{d \in \mathbb{Z}^n} C_d(t) x^d \in \mathbb{C}(t)[x_1^{\pm}, \dots, x_n^{\pm}]$ : Laurent polynomial over  $\mathbb{C}(t)$ .

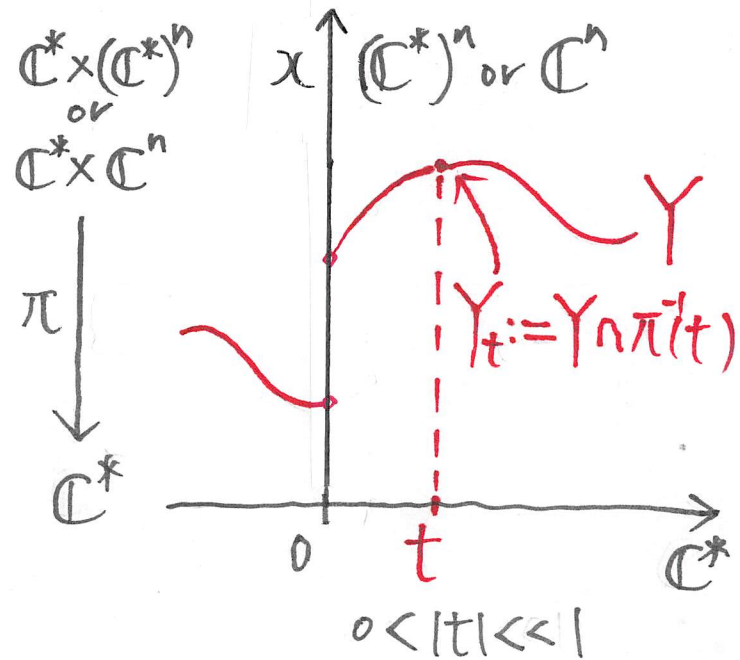
or  $f(t, x) = \sum_{d \in \mathbb{Z}_+^n} C_d(t) x^d \in \mathbb{C}(t)[x_1, \dots, x_n]$ : polynomial over  $\mathbb{C}(t)$ .

• We regard it as a family of polynomials parametrized by  $t$ .

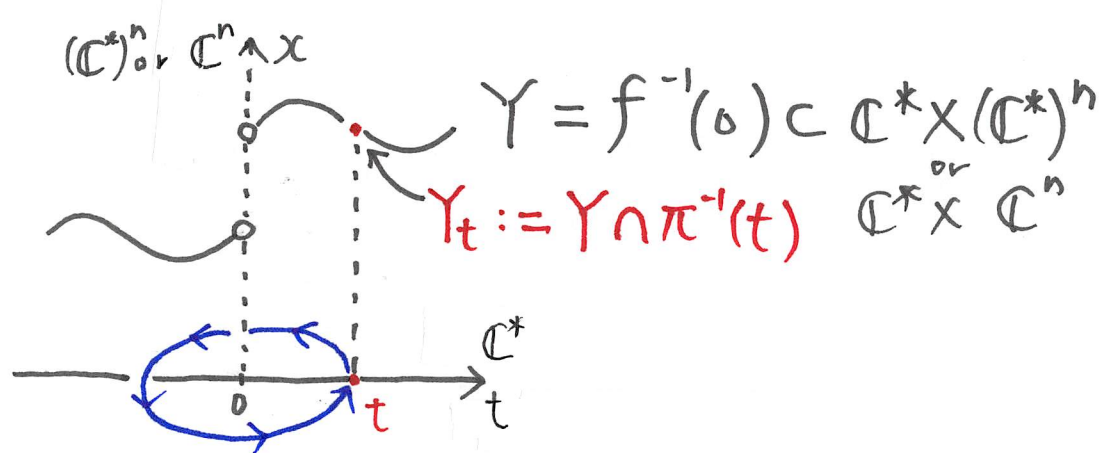
• In the case where  $f$ : Laurent poly.  
 $Y := f^{-1}(0) \subset \mathbb{C}_t^* \times (\mathbb{C}^*)^n_x$   
 (  $\rightsquigarrow$  family of hypersurfaces in  $(\mathbb{C}^*)^n_x$  )

• In the case where  $f$ : poly.  
 $Y := f^{-1}(0) \subset \mathbb{C}_t^* \times \mathbb{C}_x^n$   
 (  $\rightsquigarrow$  family of hypersurfaces in  $\mathbb{C}_x^n$  )

$\exists \varepsilon > 0$   
 $\rightsquigarrow$  We get a locally trivial fibration on  $B(0, \varepsilon)^* \subset \mathbb{C}^*$ .



$$\begin{array}{c} \mathbb{C}^* \times (\mathbb{C}^*)^n \\ \mathbb{C}^* \text{ or } \mathbb{C}^n \\ \downarrow \pi \\ \mathbb{C}^* \end{array}$$



Taking a path along a small circle  $\subset B(0, \epsilon)^* \subset \mathbb{C}^*$   
 we get an automorphism of  $Y_t$

$$\rightsquigarrow H_c^j(Y_t; \mathbb{C}) \ni \Phi_j; \quad \text{monodromy of } Y$$

\* In many cases,  $H_c^{n-1}(Y_t; \mathbb{C}) \ni \Phi_{n-1}$   
 is the most complicated one.

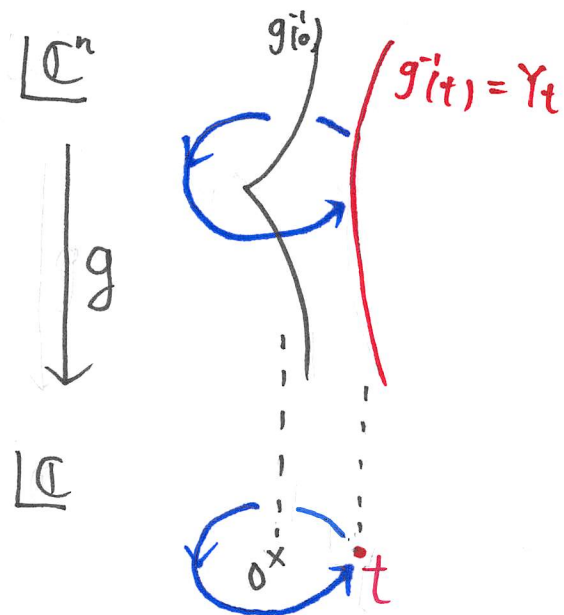
limit mixed Hodge structure  
of  $H_c^j(Y_t; \mathbb{C})$

Ex 1  $g(x) \in \mathbb{C}[x_1, \dots, x_n]$

$\rightsquigarrow f(t, x) := g(x) - t \in \mathbb{C}(t)[x_1, \dots, x_n]$

$0 < |t| \ll 1 \rightsquigarrow Y_t = g^{-1}(t) \subset \mathbb{C}^n$

$\rightsquigarrow H_c^j(g^{-1}(t); \mathbb{C}) \cong \mathbb{Z};$



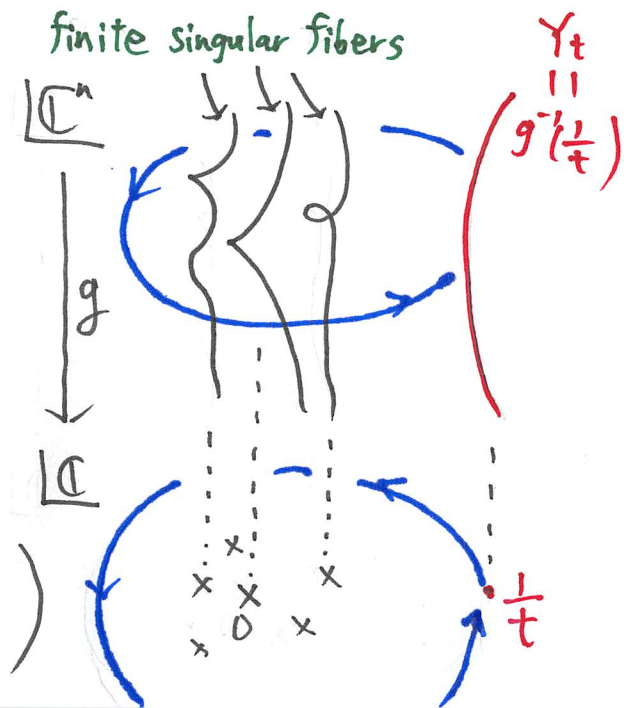
Ex 2 (monodromy at infinity)

$g(x) \in \mathbb{C}[x_1, \dots, x_n]$

$\rightsquigarrow f(t, x) := g(x) - \frac{1}{t} \in \mathbb{C}(t)[x_1, \dots, x_n]$

$0 < |t| \ll 1 \rightsquigarrow Y_t = g^{-1}\left(\frac{1}{t}\right) \subset \mathbb{C}^n$   
 $\leftarrow \text{big! } \left(\frac{1}{|t|} \gg 1\right)$

$\rightsquigarrow H_c^j(g^{-1}\left(\frac{1}{t}\right); \mathbb{C}) \cong \mathbb{Z};$  (monodromy at infinity)



Aim Describe the monodromy and the limit mixed Hodge structure of  $H_c^j(Y_t; \mathbb{C})$ .

More precisely,

① Improve Stapledon's description of the motivic nearby fiber of  $f$ .

→ Compute the limit mixed Hodge str. of  $H_c^j(Y_t; \mathbb{C})$ .

② Describe the Jordan normal form of the monodromy  $H_c^{n-1}(Y_t; \mathbb{C}) \ni \bar{\Phi}_{n-1}$ .

③ Extend the results to families of complete intersection varieties.

## § limit mixed Hodge structures

- $H_c^j(Y_t; \mathbb{Q})$  has **Deligne's** canonical mixed Hodge structure:
  - $F$ : Hodge filtration
  - $W$ : weight filtration
- $H_c^j(Y_t; \mathbb{Q}) \hookrightarrow \bar{\Phi}_j$  has another filtrations  $F_\infty, M$ , which have a part of data of monodromy  $\bar{\Phi}_j$ .
- $(F_\infty, M)$  defines another mixed Hodge structure of  $H_c^j(Y_t; \mathbb{Q})$  (limit mixed Hodge str.)
- For  $\lambda \in \mathbb{C}$ ,  $H_c^j(Y_t; \mathbb{C})_\lambda \subset H_c^j(Y_t; \mathbb{C})$ : the generalized eigenspace for  $\lambda$   
 $\rightsquigarrow h^{p,q}(H_c^j(Y_t; \mathbb{C}))_\lambda := \dim \text{Gr}_{F_\infty}^p \text{Gr}_{M+pq}^M H_c^j(Y_t; \mathbb{C})_\lambda$ .

(properties of  $M$ )  $H_c^{n-1}(Y_t; \mathbb{C}) \ni \Phi_{n-1} = \Phi_s \Phi_u$  ( $\begin{cases} \Phi_s: \text{semisimple part} \\ \Phi_u: \text{unipotent part} \end{cases}$ )

The filtration on  $\text{Gr}_\ell^W H_c^{n-1}(Y_t; \mathbb{C})$  ( $\ell \in \mathbb{Z}$ ) induced by  $M$  is the monodromy filtration associated to  $N = \log \Phi_u$  centered at  $\ell$ .  
 (This has the data of the nilpotent part of  $\Phi_{n-1} \in \text{Gr}_\ell^W H_c^{n-1}(Y_t)$ )

For an eigenvalue  $\lambda \in \mathbb{C}$  of  $\Phi_{n-1} \in H_c^{n-1}(Y_t; \mathbb{C})$ ,

suppose

$$\text{Gr}_\ell^W H_c^{n-1}(Y_t)_\lambda = 0 \quad (\ell \neq n-1)$$

$$\left( \implies \text{Gr}_{n-1}^W H_c^{n-1}(Y_t)_\lambda = H_c^{n-1}(Y_t)_\lambda \right)$$

$H_c^{n-1}(Y_t)_\lambda$  is the generalized eigenspace for  $\lambda$

Then we have

$$\# \left\{ k \left\{ \begin{array}{c} \overbrace{\quad k \quad} \\ \begin{array}{ccc} \lambda & & 0 \\ & \ddots & \\ 0 & & \lambda \end{array} \\ \in \Phi_{n-1} \end{array} \right\} \right\}$$

$$= \dim \text{Gr}_{n-k}^M H_c^{n-1}(Y_t; \mathbb{C})_\lambda - \dim \text{Gr}_{n-2-k}^M H_c^{n-1}(Y_t; \mathbb{C})_\lambda$$

## equivariant E-polynomial

the dimension of the  $(p, q)$ -part  
of the limit mixed Hodge str.

For  $\lambda \in \mathbb{C}$ ,

$$E_\lambda(Y_t; u, v) = \sum_{p, q} \left( \sum_j (-1)^j h^{p, q} (H_c^j(Y_t))_\lambda \right) u^p v^q,$$

$$E_\lambda(Y_t; u, v, w) = \sum_{p, q, r} \left( \sum_j (-1)^j h^{p, q} (Gr_r^w H_c^j(Y_t))_\lambda \right) u^p v^q w^r$$

Rem

$$\cdot E_\lambda(Y_t; u, v, 1) = E_\lambda(Y_t; u, v).$$

• We will compute two  $E_\lambda$ -polynomials very explicitly,  
by using the motivic nearby fiber  $\Psi_t([Y])$ .



For  $\lambda \in \mathbb{C}$   $E_\lambda(Y_t; u, v, w) = \sum_{p, q, r} (\sum_j (-1)^j h^{p, q} (Gr_r^w H_c^j(Y_t))_\lambda) u^p v^q w^r$

Our strategy for the computation of the Jordan normal form of  $\Phi_{n-1}$

step 1 We define a finite subset  $R_f \subset \mathbb{C}$  of "bad" eigenvalues of  $\Phi_j$ .

step 2 Assuming that  $Y$  is schön ( $\leftarrow$  generic condition), we show

Theorem A For  $\lambda \notin R_f$ ,  $E_\lambda(Y_t; u, v, w) = (\sum_{p, q} * u^p v^q) \cdot w^{n-1}$ .

Theorem B For  $\lambda \notin R_f$ ,  $Gr_l^w H_c^j(Y_t)_\lambda = 0$  ( $l \neq j$ ).

$\rightarrow$  Cor For  $\lambda \notin R_f$ ,  $H_c^j(Y_t)_\lambda = 0$  ( $j \neq n-1$ ).

Step 3 For  $\lambda \notin R_f$ , we describe the Jordan normal form of  $\Phi_{n-1} \in H_c^{n-1}(Y_t)$

for the eigenvalue  $\lambda$  in terms of

$E_\lambda(Y_t; u, v, w) !!!$

# Motivic nearby fiber of the family $Y$

• Motivic nearby fiber  $\Psi_t([Y])$  is ...

$$\Psi_t([Y]) = [V_1 \circlearrowleft \mu_{d_1}] + \dots + [V_k \circlearrowleft \mu_{d_k}] \in M_{\mathbb{C}}^{\hat{u}} = K_0(\text{Var}_{\mathbb{C}}^{\hat{u}})[[u^{-1}]]$$

: a formal sum of alg. varieties with cyclic group actions.

•  $H_c^j(V_i; \mathbb{Q}) \circlearrowleft \mu_{d_i}$ : Deligne's mixed Hodge str.

For  $\lambda \in \mathbb{C}$   $\xrightarrow{\quad}$   $\sum_i [V_i \circlearrowleft \mu_{d_i}] \xrightarrow[\text{(Hodge realization)}]{\text{taking } E_{\lambda}\text{-poly}}$   $\sum_i \sum_{p,q} \sum_j (-1)^j h^{p,q}(H_c^j(V_i))_{\lambda} u^p v^q$

$[[$

← the dimension of  $(p,q)$ -Deligne's mixed Hodge number

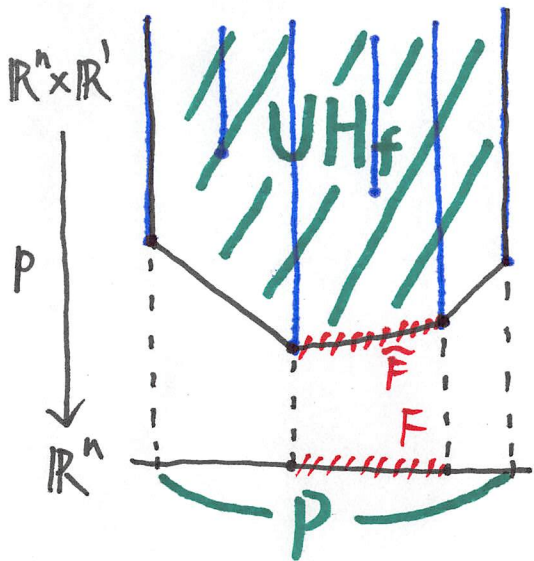
By Denef-Loeser 1998  
and Guibert-Loeser-Merle 2006

$E_{\lambda}(Y_t; u, v)$   
( $E_{\lambda}$ -poly for the limit mixed Hodge str.)

preparation  $f(t, x) = \sum_d \left( \sum_j C_{d,j} t^j \right) x^d \in \mathbb{C}(t)[x_1^{\pm}, \dots, x_n^{\pm}]$

We define the following

↖ Laurent expansion at 0.

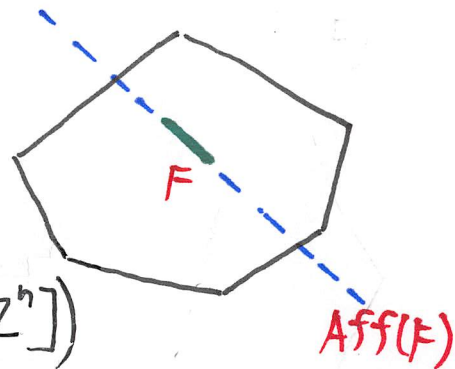


- an polyhedron  $UH_f \subset \mathbb{R}^n \times \mathbb{R}^1$
- a polytope  $P := p(UH_f) \subset \mathbb{R}^n$   
(in what follows, we assume  $\dim P = n$ )
- a subdivision of  $P$   
 $\mathcal{S} = \{ F \subset P \mid \exists \tilde{F} : \text{a bottom face of } UH_f \text{ s.t. } p(\tilde{F}) = F \}$

For  $F \in \mathcal{S}$ ,

$$T_F := \text{Spec}(\mathbb{C}[\text{Aff}(F) \cap \mathbb{Z}^n]) \simeq (\mathbb{C}^*)^{\dim F}$$

$$V_F := \{ I_F^F = 0 \} \quad (I_F^F = \sum_{(d,j) \in \mathcal{F}} C_{d,j} x^d \in \mathbb{C}[\text{Aff}(F) \cap \mathbb{Z}^n])$$



↖ has an action of  $\mathcal{M}_{m_F}$  defined by  $UH_f$ .

Thm (Stapledon 2014) Assume that the family  $Y$  of hypersurfaces in  $(\mathbb{C}^*)^n$  is schön. Then we can describe the motivic nearby fiber of  $Y$  by

$$\Psi_t([Y]) = \sum_{\substack{\text{rel. int FC IntP} \\ F \in \mathcal{S}}} [V_F \hookrightarrow \mathcal{M}_{m_F}] \cdot (1 - \mathbb{L})^{n - \dim F}$$

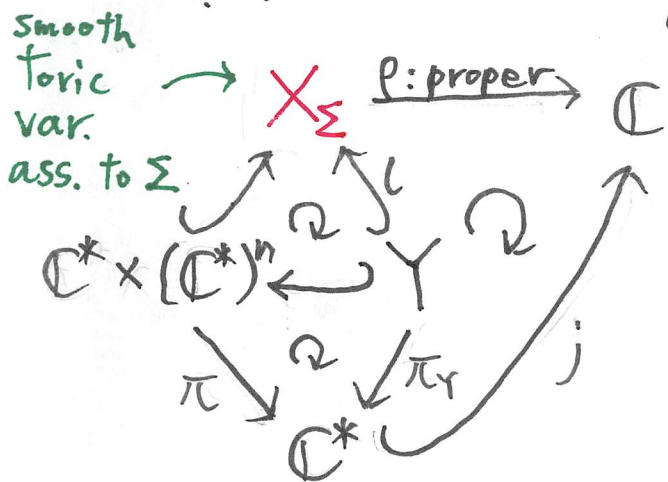
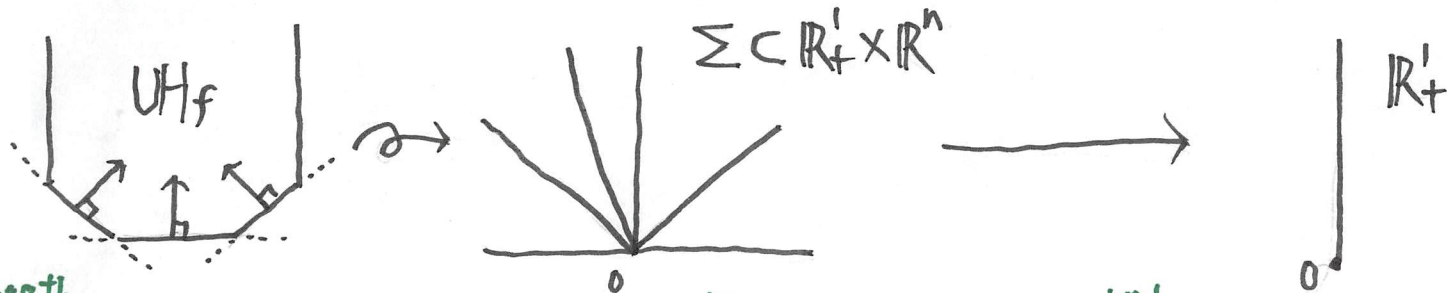
$$\left( \begin{array}{l} 1 = [\text{pt}] \\ \mathbb{L} = [\mathbb{C}^1] \end{array} \right)$$

Therefore, the  $E_\lambda$ -polynomial of the limit mixed Hodge str. can be expressed by the Hodge realization of the right hand side:

$$E_\lambda(Y_t; u, v) = E_\lambda(\Psi_t([Y]); u, v) \quad (\forall \lambda \in \mathbb{C})$$

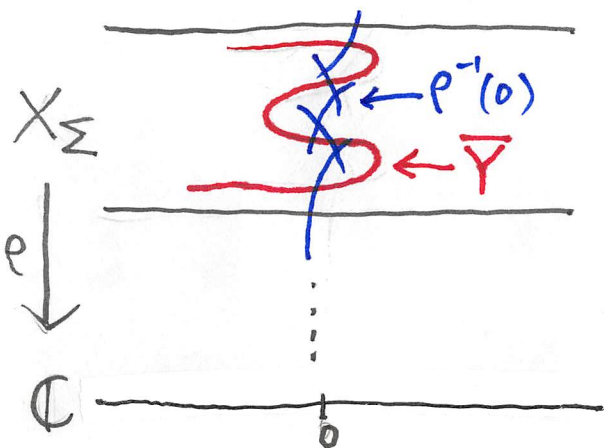
We gave a simpler proof than Stapledon's one.

Our proof  $\Sigma$ : a smooth subdivision of the dual fan of  $UH_f$ .



As mixed Hodge structures,

$$\begin{aligned} H_c^i(Y_t; \mathbb{Q}) &\simeq H^i \Psi_t(j_* R\tau_{Y!} \mathbb{Q}_Y) \\ &\simeq H^i \Psi_t(Rp_* L! \mathbb{Q}_Y) \\ &\simeq \underline{H^i(p^{-1}(0); \Psi_p(L! \mathbb{Q}_Y))} \end{aligned}$$



★ the E-polynomial of  $p^{-1}(0) \cap \bar{Y}$  by Denef-Loeser 1998 and Guibert-Loeser-Merle 2006 the final term can be expressed by the Hodge realization of a formal sum of alg. var. constructed by using the data of the irreducible components of  $p^{-1}(0) \cap \bar{Y}$ .



# Step 1 The set of "bad" eigenvalues

We define a piecewise linear function  $V_f: P \rightarrow \mathbb{R}$  by the bottom part of  $UH_f$ .

$\rightsquigarrow$  For  $F \in \mathcal{S}$  ( $F \subset P$ ),

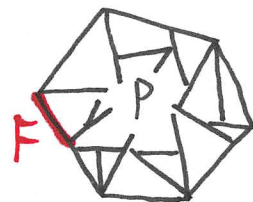
$$m_F = \max \left\{ s \in \mathbb{Z}_{\geq 1} \mid \frac{t}{s} \in V_f(\text{Aff}(F) \cap \mathbb{Z}^n) \right. \\ \left. \text{gcd}(t, s) = 1 \right\}$$



• In the case of

$$Y_t \subset (\mathbb{C}^*)^n :$$

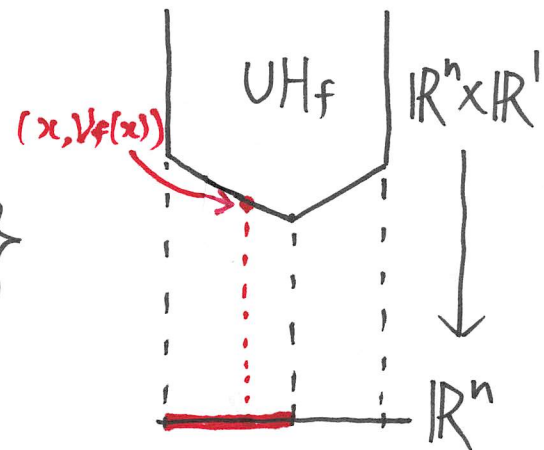
$$R_f := \bigcup_{\substack{F \in \mathcal{S} \\ F \subset P}} \{ \lambda \in \mathbb{C} \mid \lambda^{m_F} = 1 \}$$



• In the case of

$$Y_t \subset \mathbb{C}^n :$$

$$R_f := \bigcup_{\substack{F \in \mathcal{S} \\ F \subset P_\infty}} \{ \lambda \in \mathbb{C} \mid \lambda^{m_F} = 1 \}$$



## Step 2 Theorem A and B

Theorem A (follows from Stapledon 2014 and Matsui-Takeuchi 2013)

Assume that the family  $\mathcal{Y}$  of the hypersurfaces in  $(\mathbb{C}^*)^n$  or  $\mathbb{C}^n$  is schön. Then for  $\lambda \notin R_f$ , we have

$$\begin{aligned} & E_\lambda(\mathcal{Y}_t; u, v, w) \\ &= \left( \frac{(-1)^{n-1}}{uv} \sum_{\substack{F \in \mathcal{S} \\ \text{rel.int } F \\ \subset \text{Int } P}} v^{\dim F} l_\lambda^*(F, V_f; uv^{-1}) \cdot l_p(\mathcal{S}, F; uv) \right) \cdot w^{n-1} \end{aligned}$$

( $l_\lambda^*$  and  $l_p$  are polynomials with coefficients in  $\mathbb{Z}$  defined by  $P, \mathcal{S}, V_f$ )

For the proof of this theorem, we use

- the purity of the MHS of the intersection cohomology groups of singular compact varieties.
- some results on the combinatorics of polynomials ass. to polytopes.  $\square$

Theorem B Assume that the family  $Y$  of hypersurfaces in  $(\mathbb{C}^*)^n$  or  $\mathbb{C}^n$  is schön. Then for  $\lambda \notin R_f$ , we have

$$\mathrm{Gr}_l^W H_c^j(Y_t)_\lambda = 0 \quad (l \neq j)$$

(This means that

"The complex  $\Psi_{t,\lambda}(j; R\pi_!(\mathbb{C}_Y))$  has a pure weight 0")

$$H^i(\Psi_{t,\lambda}(j; R\pi_!(\mathbb{C}_Y))) \simeq H_c^j(Y_t)$$

Recall

proof (In the case of  $Y_t \subset (\mathbb{C}^*)^n$ )

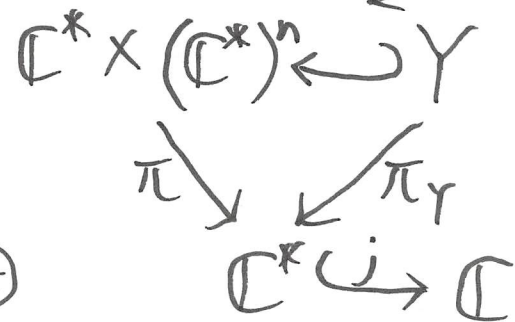
We use the idea of Sabbah 2006.

Suppose for  $\lambda \notin R_f, j \in \mathbb{Z}$ ,

$$H_c^j(Y_t; \mathbb{C})_\lambda \simeq H_c^j(Y_t; \mathbb{C})_\lambda \cdots (*)$$

$$\mathrm{Gr}_l^W H_c^j(Y_t)_\lambda = 0 \quad (l > j)$$

$$\mathrm{Gr}_l^W H_c^j(Y_t)_\lambda = 0 \quad (l < j)$$



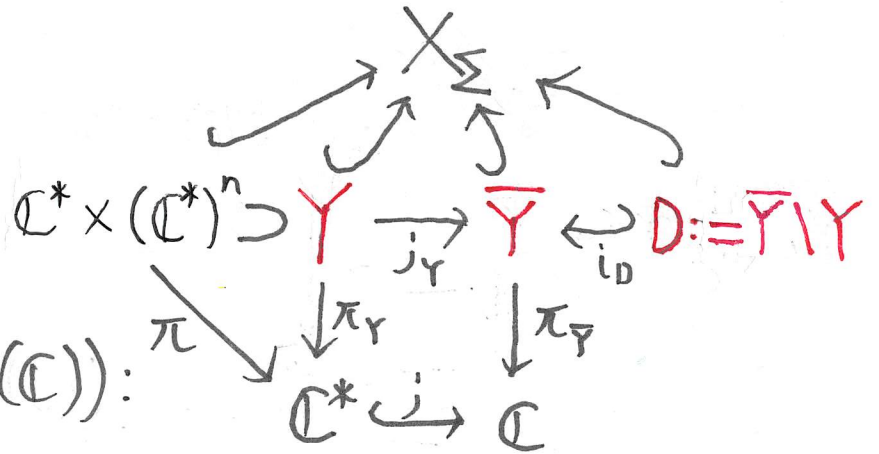
Then we have  $\mathrm{Gr}_l^W H_c^j(Y_t)_\lambda = 0 \quad (l \neq j)$

$\implies$  It suffices to show  $(*)$  !!



The proof of  $H_c^j(Y_t; \mathbb{C})_\lambda \xrightarrow{\sim} H^j(Y_t; \mathbb{C})_\lambda$

Recall the diagram :



$\exists$  Distinguished triangle in  $D^b(\text{Mod}(\mathbb{C}))$ :

$$\Psi_{t,\lambda}(j_! R\pi_! \mathbb{C}_Y) \rightarrow \Psi_{t,\lambda}(j_! R\pi_* \mathbb{C}_Y) \rightarrow \Psi_{t,\lambda}(R\pi_{Y*} id_* id^{-1} Rj_{Y*} \mathbb{C}_Y) \xrightarrow{\pm 1}$$

Taking  $\downarrow j$ -th cohomology

$$H_c^j(Y_t; \mathbb{C})_\lambda \rightarrow H_c^j(Y_t; \mathbb{C})_\lambda \rightarrow R\Gamma(\pi_{\bar{Y}}^{-1}(0); \Psi_{\pi_Y, \lambda}(id_* id^{-1} Rj_{Y*} \mathbb{C}_Y))$$



by the precise description of the "primitive decomposition" of the nearby cycle sheaves associated to some normal crossing divisors.

□

Step 3 computation of the Jordan normal form of  $\Phi_{n-1}$

$$J_{\lambda, k} := \# \left\{ \overset{k}{\boxed{\begin{array}{ccc} \lambda & 1 & 0 \\ & \ddots & \vdots \\ 0 & & \lambda \end{array}}} \in \Phi_{n-1} \subset H_c^{n-1}(Y_t) \right\}$$

Theorem (S-T) Assume that the family  $Y$  of hypersurfaces in  $(\mathbb{C}^*)^n$  or  $\mathbb{C}^n$  is schön. Then for  $\lambda \notin R_f$ , we have

$$\sum_{m=0}^{n-1} J_{\lambda, n-m} S^{m+2} = \sum_{F \in \mathcal{S}} S^{\dim F} l_{\lambda}^*(F, V_f; 1) \cdot \tilde{l}_p(\mathcal{S}, F; S^2)$$

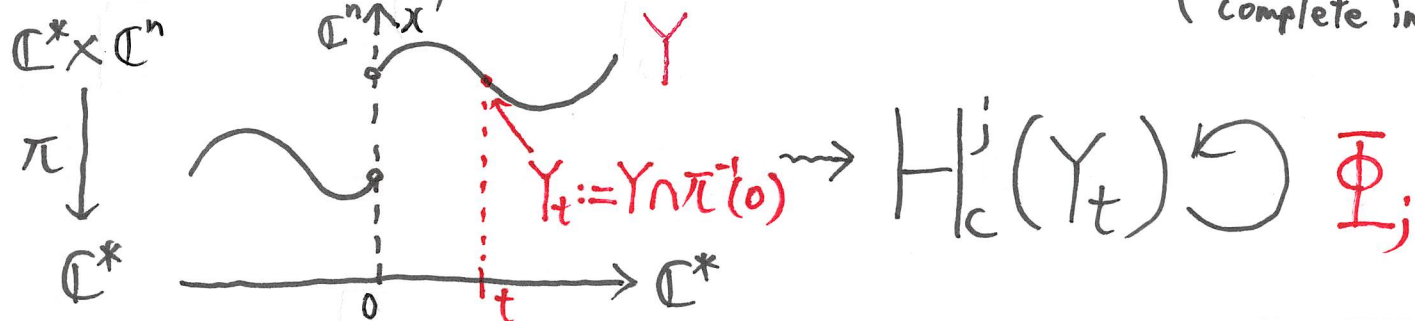
( $l_{\lambda}^*$  and  $\tilde{l}_p$  are polynomials with coefficients in  $\mathbb{Z}$  defined by  $P, \mathcal{S}, V_f$ )

# Family of complete intersection varieties

$$f_1(t, x), \dots, f_k(t, x) \in \mathbb{C}(t)[x_1, \dots, x_n]$$

$$\longrightarrow Y := f_1^{-1}(0) \cap \dots \cap f_k^{-1}(0) \subset \mathbb{C}^* \times \mathbb{C}^n$$

$\longrightarrow$  We get a family of subvarieties in  $\mathbb{C}^n$ . (In certain cases, this is a family of complete intersection var in  $\mathbb{C}^n$ .)



$$J_{\lambda, k} := \# \left\{ k \left[ \begin{array}{ccc|ccc} \lambda & & & & & \\ & \ddots & & & & \\ & & 0 & & & \\ \hline & & & \ddots & & \\ & & & & \lambda & \\ & & & & & \lambda \end{array} \right] \in \bar{\Phi}_{n-k} \right\}$$

Thm Assume that  $Y$  is schön. Then for  $\lambda \notin R_f$ , we have

$H_c^j(Y_t)_\lambda = 0$  ( $j \neq n-k$ ), and  $J_{\lambda, k}$  can be described in terms of polynomials defined by  $UH_{f_1}, \dots, UH_{f_k}$ .