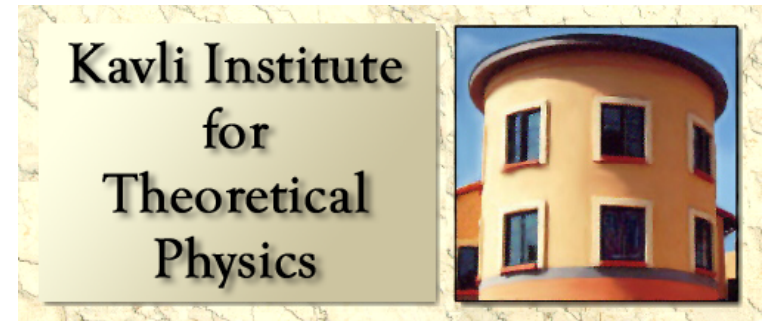


# THE SYK MODEL

DAVID GROSS



10<sup>th</sup> Anniversary

KAVLI  
**IPMU** INSTITUTE FOR THE PHYSICS AND  
MATHEMATICS OF THE UNIVERSE

# SACHDEV-YE KITAEV Model

NEW CLASS OF LARGE N CFTS

Quantum Mechanics of N Majorana  
fermions with q-body  
quenched random interactions

BROKEN 1D DIFFEOMORPHISM IN IR -

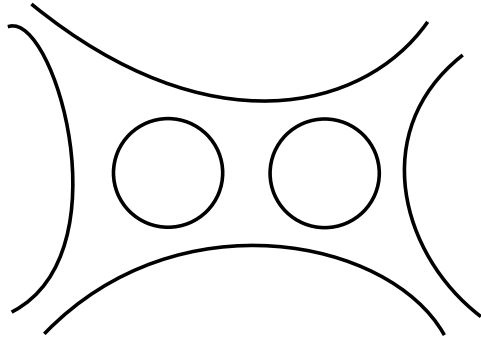
SOLUBLE CFT - Maximally chaotic -

HOLOGRAPHIC TO

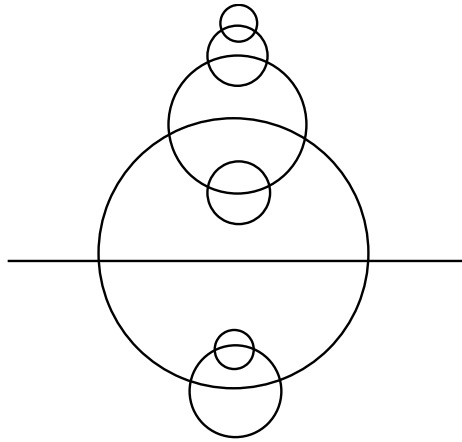
GRAVITY (STRING) IN  $AdS_2$  BULK

# SYK: an new class of Large N Theories

Hard

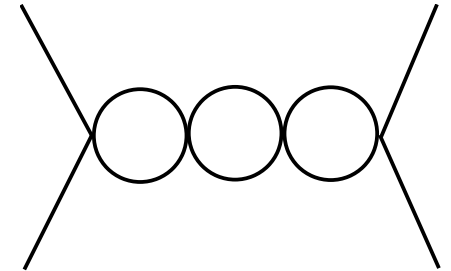


Matrix model  
planar diagrams



SYK  
melon diagrams

Easy



vector model  
bubble diagrams

Boundary: SUSY-YM

SYK

$O(N)$  Wilson Fisher

Bulk: Large gap  
Critical string  
Theory in AdS

Tower of massive  
particles  
?

Tower of massless  
particles  
Vasielev high spin  
theories

$$H = \frac{1}{q!} \sum_{i_1, \dots, i_q=1}^N J_{i_1 \dots i_q} \chi_{i_1} \cdots \chi_{i_q}$$

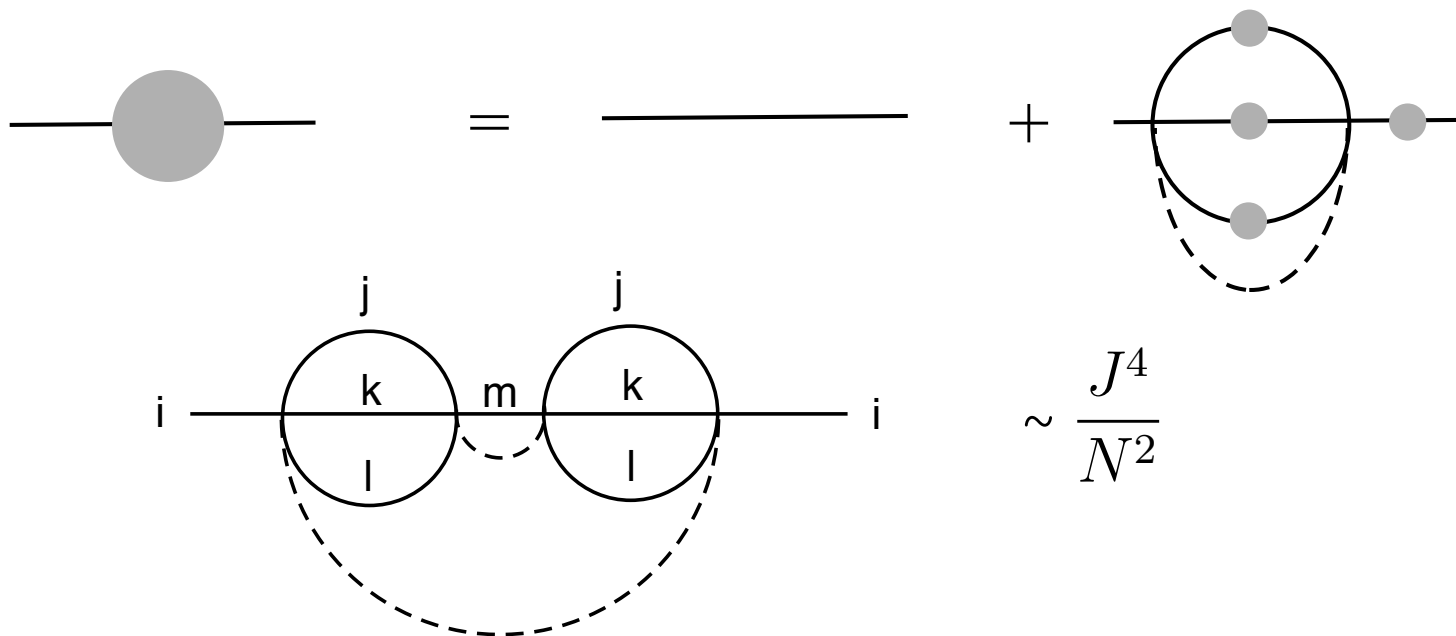
- Majorana fermions  $\{\chi_i, \chi_j\} = \delta_{ij}$
- $J_{i_1 \dots i_q}$  are Gaussian random,  $O(N)$  symmetric
- Soluble for Large  $N$
- (Near) Conformal invariance for large  $J$  t (IR)
- Maximally Chaotic (Kitaev)

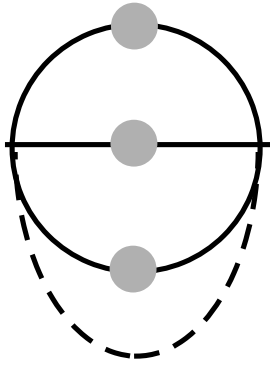
# 2-pt function

Sachdev Ye '93; Georges, Parcollet, Sachdev '01; Kitaev '15

$$L = \sum_i \frac{1}{2} \chi_i \frac{d}{d\tau} \chi_i - \sum_{i,j,k,l} J_{ijkl} \chi_i \chi_j \chi_k \chi_l$$

- SYK solvable as a result of having a small & well-organized set of Feynman diagrams: nested **MELONS**.





$$\Sigma(\tau) = J^2 G(\tau)^3$$

$$G(\omega)^{-1} = -i\omega - \Sigma(\omega)$$

In IR, drop  $i\omega$

$$\int d\tau G(\tau_1, \tau) \Sigma(\tau, \tau_2) = -\delta(\tau_1 - \tau_2)$$

Conformal (time reparameterization) invariance

$$\tau \rightarrow f(\tau)$$

$$G(\tau_1, \tau_2) \rightarrow [f'(\tau_1) f'(\tau_2)]^\Delta G(f(\tau_1), f(\tau_2))$$

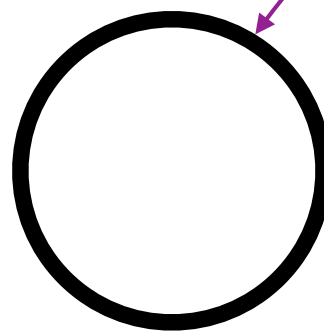
$$G(\tau) \equiv \langle T \chi_i(\tau) \chi_i(0) \rangle = \begin{cases} \frac{1}{2} \text{sgn}(\tau) , & |J\tau| \ll 1 \\ \frac{1}{\sqrt{4\pi}} \frac{\text{sgn}(\tau)}{|J\tau|^{1/2}} , & |J\tau| \gg 1 \end{cases} \quad \begin{matrix} \Delta = 0 \\ \Delta = \frac{1}{4} , \frac{1}{q} \end{matrix}$$

$$G(\tau, \tau') \propto \frac{1}{(\tau - \tau')^{2\Delta}}$$



$$f(\tau) = \frac{\beta}{\pi} \tan \frac{\pi\tau}{\beta}$$

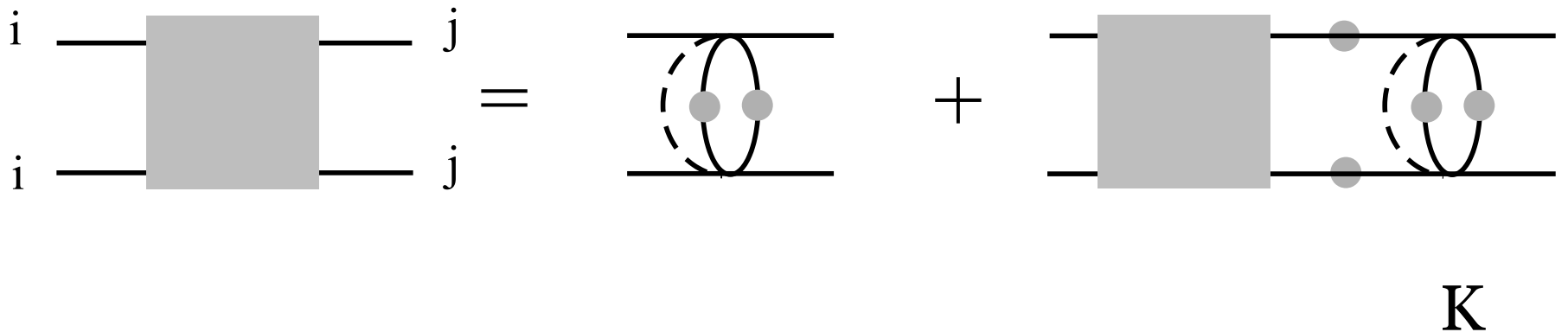
$$G_f = \left[ \frac{\pi}{\beta \sin \frac{\pi\tau}{\beta}} \right]^{2\Delta}$$



# 4-pt function

Kitaev '15; Polchinski, Rosenhaus '16; Maldacena, Stanford '16

- Only ladder diagrams





# SYK spectrum

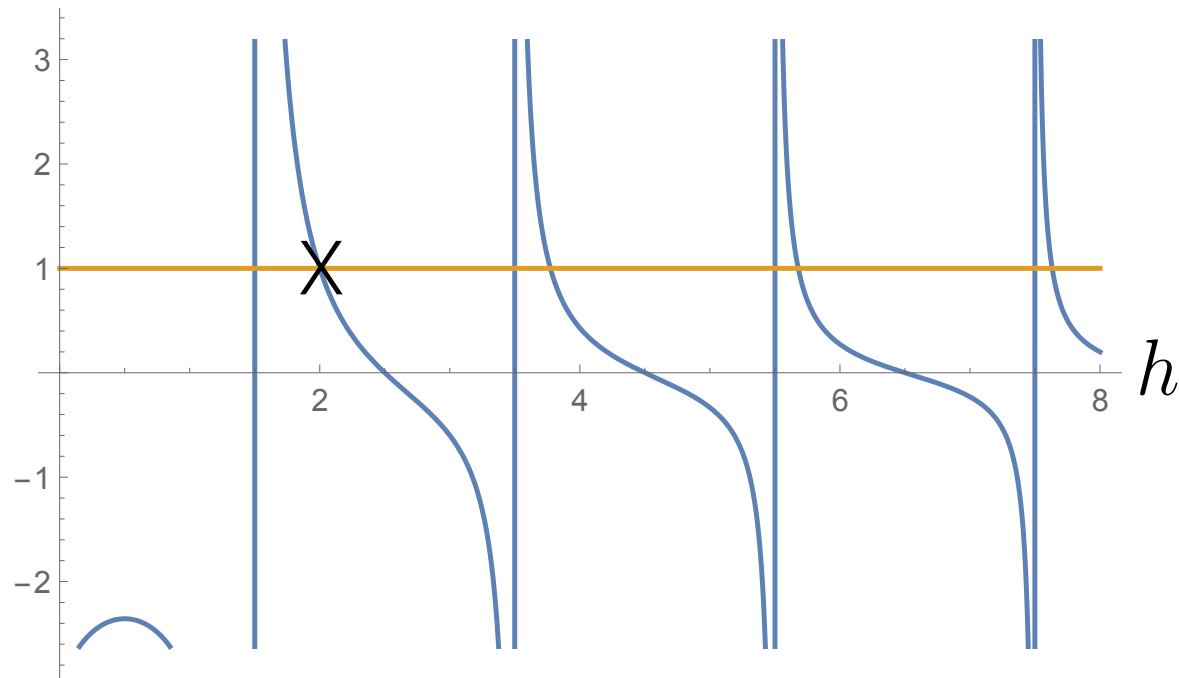
- After disorder average, SYK has  $O(N)$  symmetry
- Singlet operators are  $O_n \sim \sum_{i=1}^N \chi_i \partial_\tau^{1+2n} \chi_i$
- Dimensions are when eigenvalues of kernel of four-point function equal 1. At strong coupling, we have:

# SYK spectrum

Kitaev '15; Polchinski, V.Rosenhaus '16; Maldacena, Stanford '16

Dimensions  $h$ :  $h$  for which  $g(h) = 1$

$$g(h) = -\frac{3}{2h-1} \tan \frac{\pi}{2} \left( h - \frac{1}{2} \right)$$



$$h_n \approx 2\Delta + 2n + 1, \quad n \gg 1$$

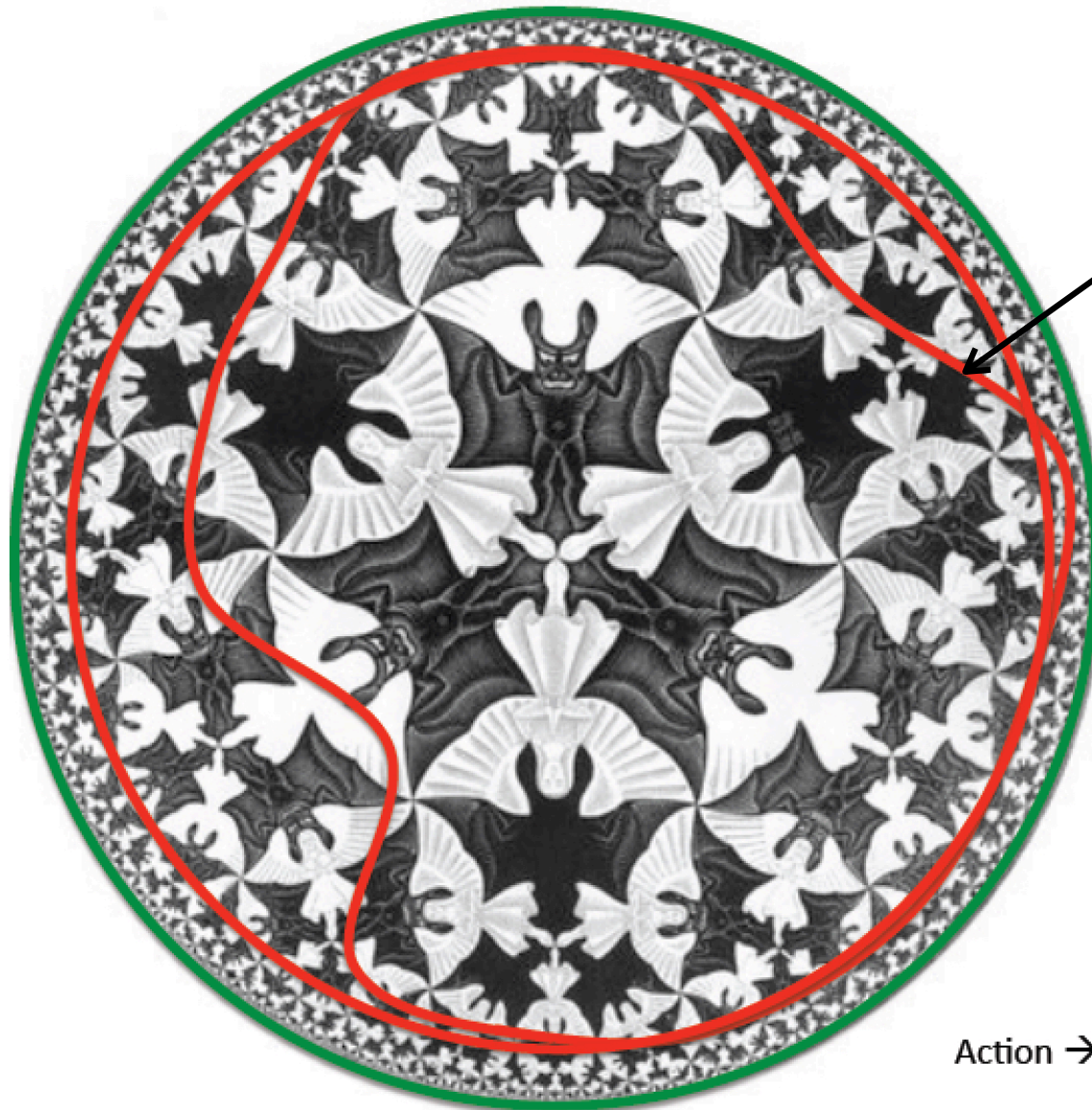
# Conformal symmetry breaking

- Divergence due to  $h = 2$
- Result of IR limit (  $|J\tau| \gg 1$  ). Eliminate by including  $\frac{1}{|J\tau|}$  corrections to IR two-point function appearing in kernel.
- Analogous to breaking that occurs in  $\text{AdS}_2$  as studied by Almheiri, Polchinski '14
- Detailed story [Kitaev '15](#); [Jensen, '16](#)  
[Maldacena, Stanford, Yang '16](#);  
[Engelsoy, Mertens, Verlinde '16](#);  
[Almheiri, Kang '16](#); [Jevicki, Suzuki, Yoon, '16](#);

- Simplest model:

Teitelboim Jackiw  
Almheiri Polchinski

$$\int d^2x \sqrt{g} \phi (R + 2) + \phi_0 \int d^2x \sqrt{g} R$$



$f(\tau)$

Action  $\rightarrow$  related to Schwarzian

# The Schwarzian

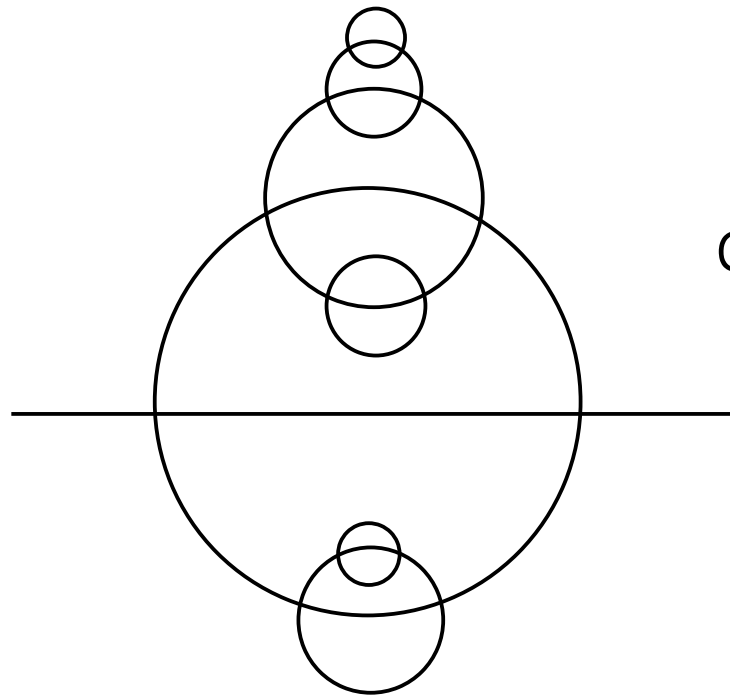
- Keep first correction to IR action

$$S \sim \frac{N}{J} \int d\tau \{f(\tau), \tau\} \quad \{f(\tau), \tau\} = \frac{f'''}{f'} - \frac{3}{2} \left( \frac{f''}{f'} \right)^2$$

- This is low energy effective action of SYK. In bulk: action of dilaton (boundary term)
- Maximial chaos comes from this Schwarzian action
- One loop exact. [Stanford & Witten](#)

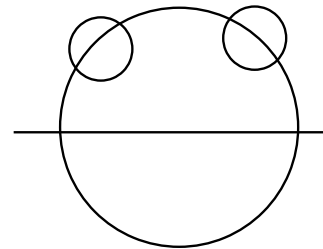
# Large $q$ simplification

Maldacena Stanford '16  
DG, Rosenhaus. '16



With a single cut,  
diagram splits into a tree

suppressed



# SUSY SYK

Giatto, Maldacena, Sachdev, Fu '16

$$H = \sum J_{ijk} \phi_i \chi_j \chi_k$$

$\phi_i$  is auxiliary scalar

$$\Delta_\chi = \frac{1}{6} \quad \Delta_\phi = \Delta_\chi + \frac{1}{2}$$

$$Q = \sum J_{ijk} \chi_i \chi_j \chi_k \quad \mathbf{H=Q^2}$$

## 2 d SUSY

Stanford, Witten, 2017

# Higher Dimensional SYK

Gu, Xi, Stanford, '16

Balents, et, '17

$$H = \sum_a \left( \sum_{ijkl} J_{ijkl}^a \chi_i^a \chi_j^a \chi_k^a \chi_l^a + \sum_{ijkl} \tilde{J}_{ijkl}^a \chi_i^a \chi_j^a \chi_k^{a+1} \chi_l^{a+1} \right)$$

- Interpret flavor as site, on a 1d lattice

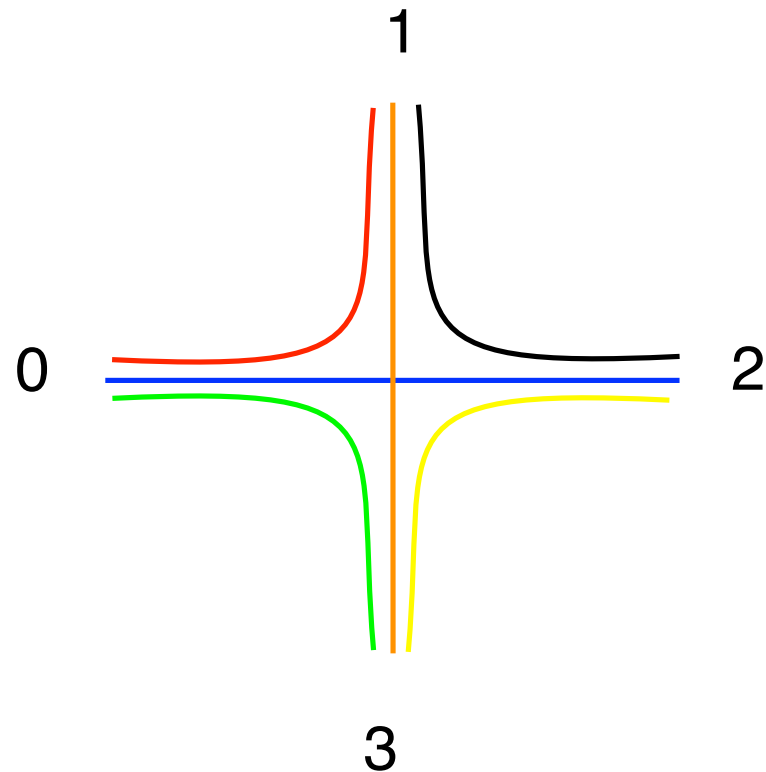


# Tensor SYK

Witten '16

$$H = \sum \chi_{ijk}^0 \chi_{klm}^1 \chi_{mjp}^2 \chi_{pli}^3$$

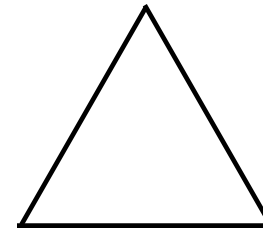
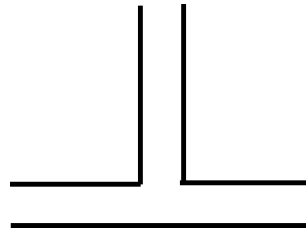
- $4n^3$  variables
- $O(n)^6$  symmetry



# Colored Tensor Models

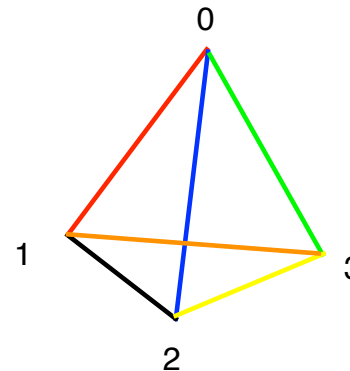
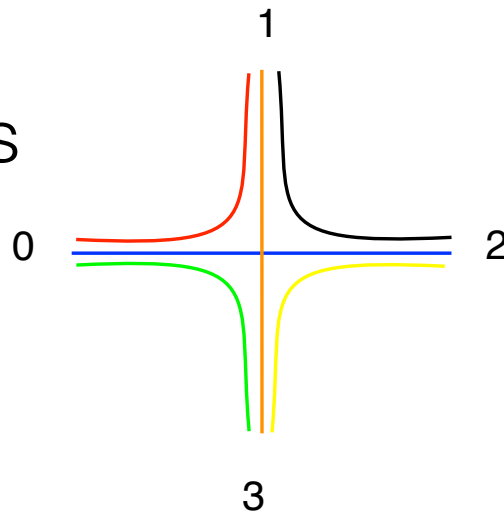
Gurau, Rivasseau, ...

- Matrix models

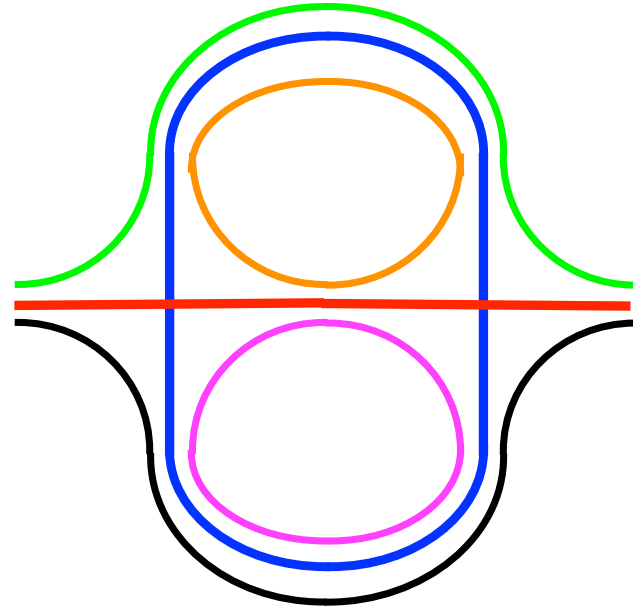
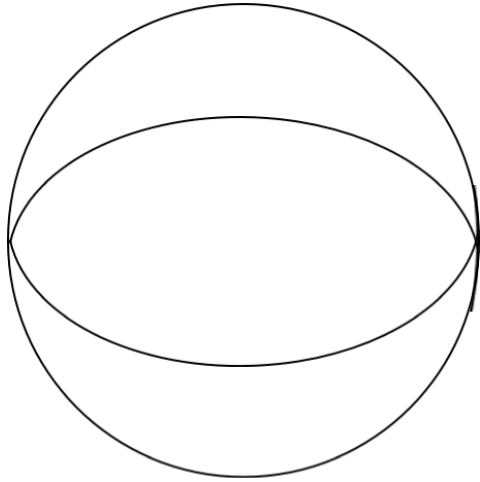


2d geometry

- Tensor models



3d geometry?



The  $1/n$  expansion is in powers of  $1/n^p$ .

$p =$  The sum of the genus of all planar graphs obtained by delating two colors .

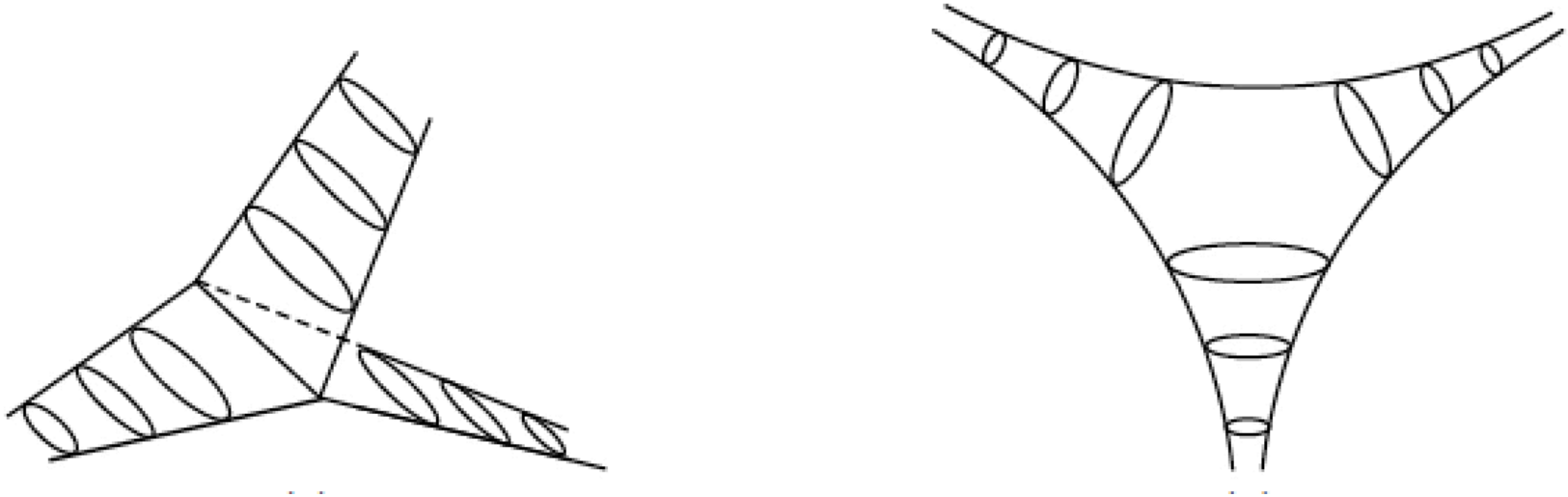
Differs from the  $1/n$  expansion of SYK!

WHAT IS THE BULK  
GRAVITY/STRING  
THEORY?

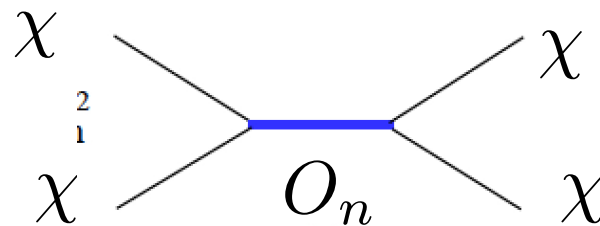
# Direct Approach :

D.G, V.Rosenhaus  
hep-th 1702.08016

- Need to know interactions of bulk fields. 3-pt



$$S_{bulk} = \int d^2x \sqrt{g} \left[ \frac{1}{2} (\nabla \phi_n)^2 + \frac{1}{2} m_n^2 \phi_n^2 + \frac{1}{\sqrt{N}} \lambda_{nmk} \phi_n \phi_m \phi_k \right]$$

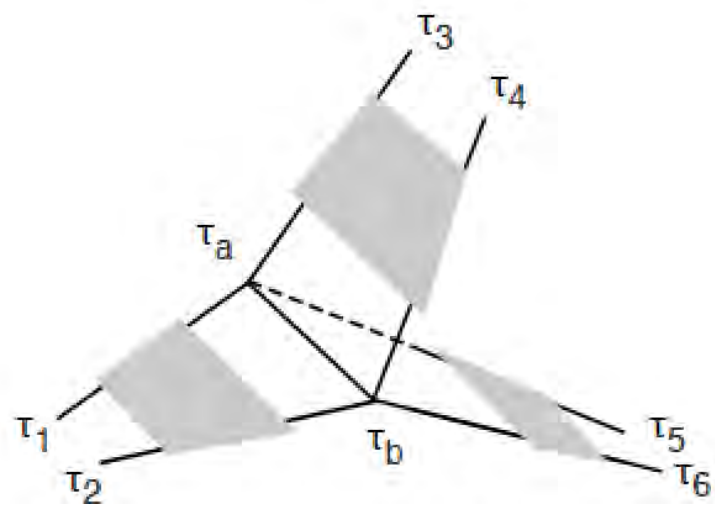
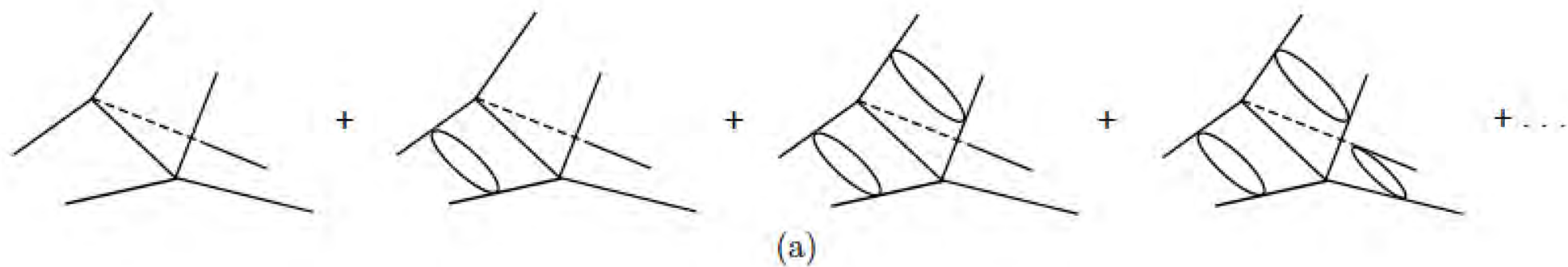


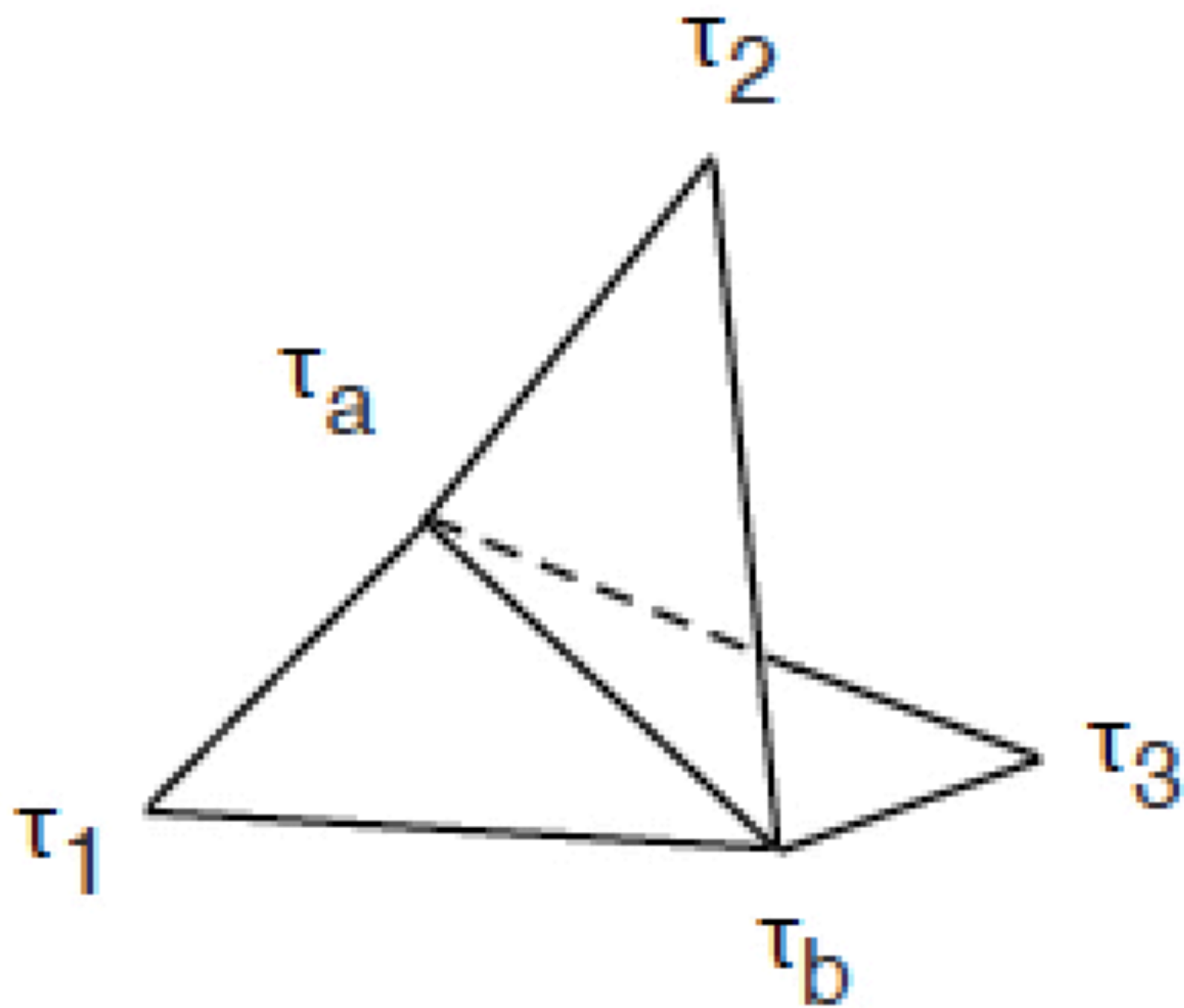
Conformal invariance implies that:  $\langle O_n(\tau_1)O_m(\tau_2)O_k(\tau_3) \rangle =$

$$I(\tau_1, \tau_2, \tau_3) = \frac{c_{nml}}{h_n h_m h_l c_n c_m c_l} \frac{1}{|\tau_{23}|^{h_m+h_l-h_n} |\tau_{13}|^{h_n+h_l-h_m} |\tau_{12}|^{h_n+h_m-h_l}}$$

The coefficients  $C_{n,m,l}$

determine the 3-pt function of the massive fields in the bulk:







$$\mathcal{I}_{nmk}^{(1)} = \frac{\sqrt{\pi} 2^{h_n+h_m+h_k-1} \Gamma(1-h_n)\Gamma(1-h_m)\Gamma(1-h_k)}{\Gamma\left(\frac{3-h_n-h_m-h_k}{2}\right)} [\rho(h_n, h_m, h_k) + \rho(h_m, h_k, h_n) + \rho(h_k, h_n, h_m)] \quad (3.27)$$

where,

$$\rho(h_n, h_m, h_k) = \frac{\Gamma\left(\frac{h_m+h_k-h_n}{2}\right)}{\Gamma\left(\frac{2-h_n-h_m+h_k}{2}\right)\Gamma\left(\frac{2-h_n-h_k+h_m}{2}\right)} \left(1 + \frac{\sin(\pi h_m)}{\sin(\pi h_k) - \sin(\pi h_n + \pi h_m)}\right). \quad (3.28)$$

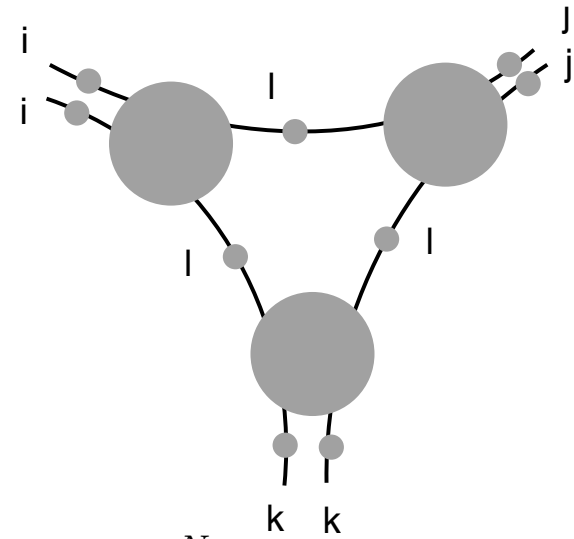
## For Large q

$$\lambda_{nmk}^{(1)} = -(-1)^{n+m+k} \frac{16}{\sqrt{\pi}} q (\epsilon_n + \epsilon_m + \epsilon_k) \alpha_n \alpha_m \alpha_k ,$$

$$\alpha_n = \sqrt{\frac{n(1+4n)(1+2n)}{(n(1+2n)+1)(n(1+2n)-1)}} .$$

$$\epsilon_n = \frac{1}{q} \frac{n(2n+1)+1}{n(2n+1)-1} ,$$

# PLANAR



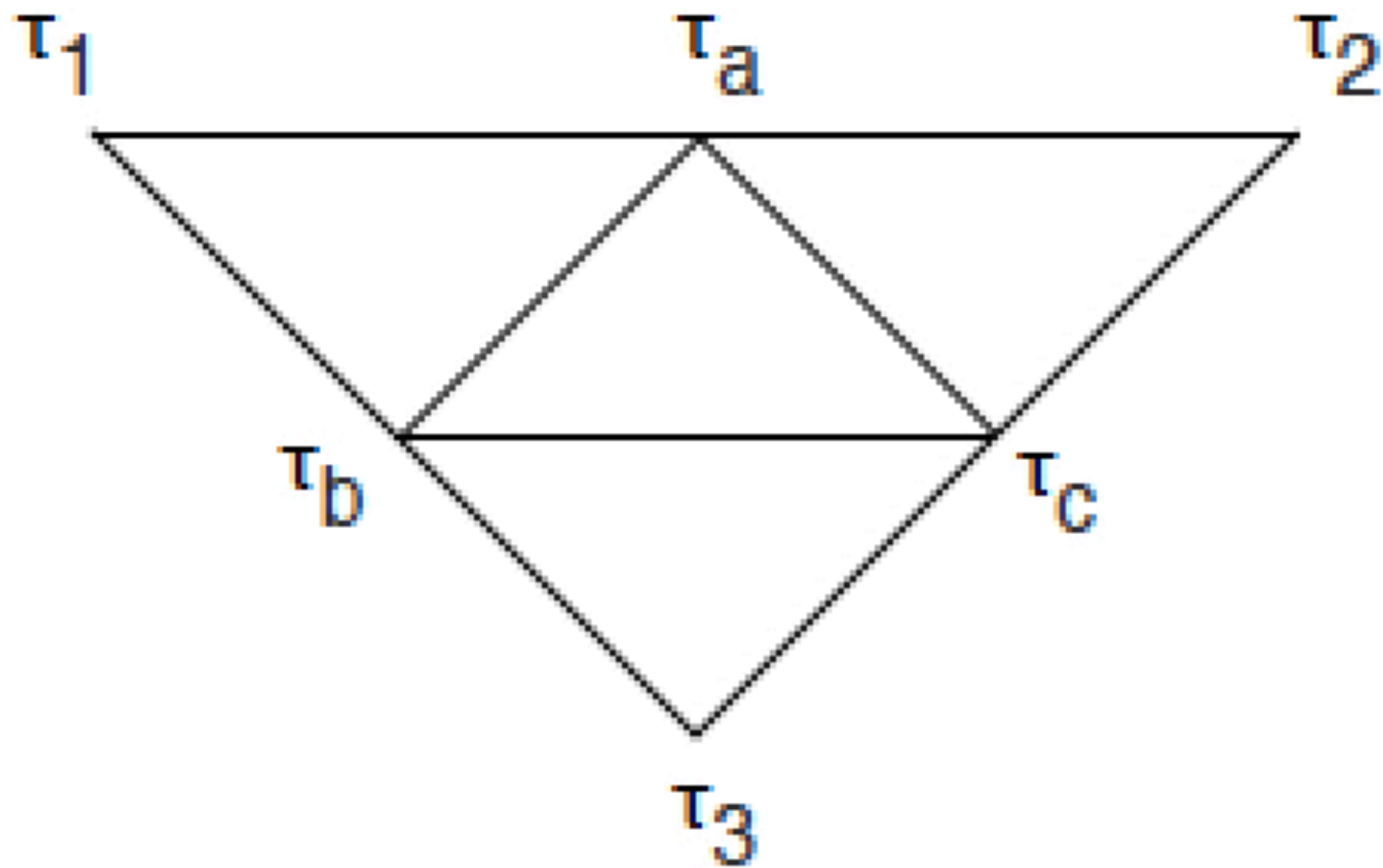
In the large  $q$  limit, the operators  $\Phi_n = \sum_{i=1}^N \chi_i \partial_\tau^{1+2n} \chi_i$  greatly simplify.

$$\text{Dim}[\Phi_n] = h_n; \quad h_n \sim 2n + 1 + \epsilon_n \quad \epsilon_n = \frac{2(2n^2 + n + 1)}{q(2n^2 + n - 1)}$$

and the 3-point function :  $\langle \Phi_n(\tau_1) \Phi_m(\tau_2) \Phi_l(\tau_3) \rangle$  is given by

$$I(\tau_1, \tau_2, \tau_3) = \int d\tau_a d\tau_b d\tau_c \text{sgn}(\tau_{1a}) \text{sgn}(\tau_{1b}) \text{sgn}(\tau_{2a}) \text{sgn}(\tau_{2c}) \text{sgn}(\tau_{3b}) \text{sgn}(\tau_{3c})$$

$$\frac{|\tau_{ab}|^{h_n-1} |\tau_{ca}|^{h_m-1} |\tau_{bc}|^{h_l-1}}{|\tau_{1a}|^{h_n-1} |\tau_{1b}|^{h_n+1} |\tau_{2c}|^{h_m-1} |\tau_{2a}|^{h_m+1} |\tau_{3b}|^{h_l-1} |\tau_{3c}|^{h_l+1}}$$



$$\langle \mathcal{O}_1(\tau_1) \mathcal{O}_2(\tau_2) \mathcal{O}_3(\tau_3) \rangle_2 = c_1 c_2 c_3 \xi(h_1) \xi(h_2) \xi(h_3) I_{123}^{(2)}(\tau_1, \tau_2, \tau_3) , \quad (3.23)$$

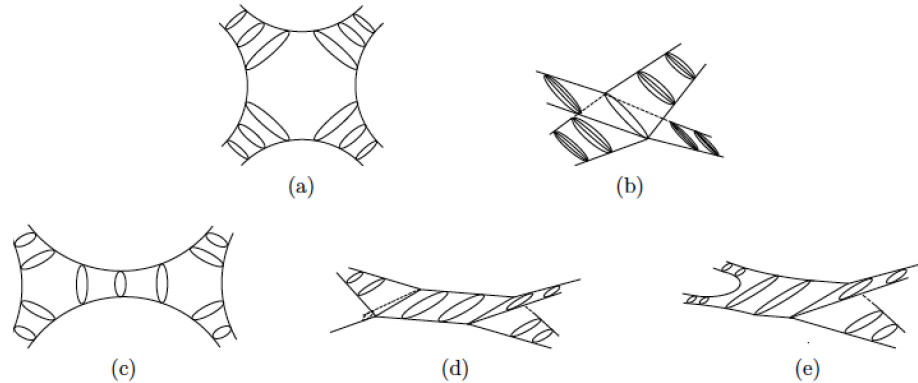
where [6],

$$I_{123}^{(2)}(\tau_1, \tau_2, \tau_3) = \int d\tau_a d\tau_b d\tau_c \frac{-\text{sgn}(\tau_{1a}\tau_{1b}\tau_{2a}\tau_{2c}\tau_{3b}\tau_{3c}) |\tau_{ab}|^{h_1-1} |\tau_{ca}|^{h_2-1} |\tau_{bc}|^{h_3-1}}{|\tau_{1a}|^{h_1-1+2\Delta} |\tau_{1b}|^{h_1+1-2\Delta} |\tau_{2c}|^{h_2-1+2\Delta} |\tau_{2a}|^{h_2+1-2\Delta} |\tau_{3b}|^{h_3-1+2\Delta} |\tau_{3c}|^{h_3+1-2\Delta}} . \quad (3.24)$$

$$\begin{aligned} \xi(h) &= \frac{1}{\sqrt{\pi}} \frac{\Gamma(\frac{2\Delta+1}{2})}{\Gamma(1-\Delta)} \frac{\Gamma(\frac{1-h}{2})}{\Gamma(\frac{h}{2})} \frac{\Gamma(\frac{2-2\Delta+h}{2})}{\Gamma(\frac{1+2\Delta-h}{2})} , \\ &= \bar{\alpha}_1 {}_4F_3 \left[ \begin{matrix} 1-h_1 & h_1 & 2\Delta-h_3 & 1-h_3 \\ 1+h_2-h_3 & 2\Delta & 2-h_2-h_3 \end{matrix} ; 1 \right] \\ &+ \bar{\alpha}_2 z^{h_3-h_2} {}_4F_3 \left[ \begin{matrix} 1-h_1-h_2+h_3 & h_1-h_2+h_3 & 2\Delta-h_2 & 1-h_2 \\ 2-2h_2 & 1-h_2+h_3 & 2\Delta-h_2+h_3 \end{matrix} ; 1 \right] \\ &+ \bar{\alpha}_3 z^{1-2\Delta} {}_4F_3 \left[ \begin{matrix} 2-h_1-2\Delta & 1+h_1-2\Delta & 1-h_3 & 2-h_3-2\Delta \\ 2+h_2-h_3-2\Delta & 3-h_2-h_3-2\Delta & 2-2\Delta \end{matrix} ; 1 \right] \\ &+ \bar{\alpha}_4 z^{h_2+h_3-1} {}_4F_3 \left[ \begin{matrix} h_2+h_3-h_1 & h_1+h_2+h_3-1 & h_2-1+2\Delta & h_2 \\ 2h_2 & h_2+h_3-1+2\Delta & h_2+h_3 \end{matrix} ; 1 \right] . \end{aligned}$$

$$\begin{aligned}
\alpha_1 &= \frac{\Gamma(\frac{2\Delta+1}{2})^2}{\Gamma(1-\Delta)^2} \prod_{i=1}^3 \frac{\Gamma(\frac{1-h_i}{2})}{\Gamma(\frac{h_i}{2})} \frac{\Gamma(\frac{3-h_2-2\Delta}{2})\Gamma(\frac{2+h_2-2\Delta}{2})}{\Gamma(\frac{h_2+2\Delta}{2})\Gamma(\frac{1-h_2+2\Delta}{2})} \frac{\Gamma(\frac{h_3-h_2}{2})\Gamma(\frac{h_2+h_3-1}{2})}{\Gamma(\frac{2-h_2-h_3}{2})\Gamma(\frac{1+h_2-h_3}{2})} \frac{\Gamma(\frac{h_1+h_2-h_3}{2})}{\Gamma(\frac{1-h_1-h_2+h_3}{2})}, \\
\alpha_2 &= \frac{\Gamma(\frac{2\Delta+1}{2})^3}{\Gamma(1-\Delta)^3} \frac{\Gamma(\frac{1-h_1}{2})}{\Gamma(\frac{h_1}{2})} \frac{\Gamma(\frac{1-h_2}{2})^2}{\Gamma(\frac{h_2}{2})^2} \frac{\Gamma(\frac{2h_2-1}{2})}{\Gamma(\frac{2-2h_2}{2})} \frac{\Gamma(\frac{3-h_2-2\Delta}{2})}{\Gamma(\frac{h_2+2\Delta}{2})} \frac{\Gamma(\frac{2+h_3-2\Delta}{2})}{\Gamma(\frac{1-h_3+2\Delta}{2})} \\
&\quad \cdot \frac{\Gamma(\frac{h_2-h_3}{2})\Gamma(\frac{h_2-h_3+2-2\Delta}{2})}{\Gamma(\frac{1-h_2+h_3}{2})\Gamma(\frac{h_3-h_2+1+2\Delta}{2})} \frac{\Gamma(\frac{h_1-h_2+h_3}{2})}{\Gamma(\frac{1-h_1+h_2-h_3}{2})}, \\
\alpha_3 &= \frac{\Gamma(\frac{2\Delta+1}{2})^3}{\Gamma(1-\Delta)^3} \frac{\Gamma(\Delta)}{\Gamma(\frac{3-2\Delta}{2})} \prod_{i=1}^3 \frac{\Gamma(\frac{1-h_i}{2})\Gamma(\frac{2+h_i-2\Delta}{2})\Gamma(\frac{3-h_i-2\Delta}{2})}{\Gamma(\frac{h_i}{2})\Gamma(\frac{1-h_i+2\Delta}{2})\Gamma(\frac{h_i+2\Delta}{2})} \\
&\quad \cdot \frac{\Gamma(\frac{h_3-h_2+2\Delta}{2})\Gamma(\frac{h_2+h_3-1+2\Delta}{2})}{\Gamma(\frac{3+h_2-h_3-2\Delta}{2})\Gamma(\frac{4-h_2-h_3-2\Delta}{2})} \frac{\Gamma(\frac{h_1+h_2-h_3}{2})}{\Gamma(\frac{1-h_1-h_2+h_3}{2})}, \\
\alpha_4 &= \frac{\Gamma(\frac{2\Delta+1}{2})^3}{\Gamma(1-\Delta)^3} \frac{\Gamma(\frac{1-h_1}{2})}{\Gamma(\frac{h_1}{2})} \frac{\Gamma(\frac{1-2h_2}{2})}{\Gamma(h_2)} \frac{\Gamma(\frac{2+h_2-2\Delta}{2})}{\Gamma(\frac{1-h_2+2\Delta}{2})} \frac{\Gamma(\frac{2+h_3-2\Delta}{2})}{\Gamma(\frac{1-h_3+2\Delta}{2})} \\
&\quad \cdot \frac{\Gamma(\frac{1-h_2-h_3}{2})}{\Gamma(\frac{h_2+h_3}{2})} \frac{\Gamma(\frac{3-h_2-h_3-2\Delta}{2})}{\Gamma(\frac{h_2+h_3+2\Delta}{2})} \frac{\Gamma(\frac{h_1+h_2-h_3}{2})\Gamma(\frac{-h_1+h_2+h_3}{2})\Gamma(\frac{h_1+h_2+h_3-1}{2})}{\Gamma(\frac{1-h_1-h_2+h_3}{2})\Gamma(\frac{1+h_1-h_2-h_3}{2})\Gamma(\frac{2-h_1-h_2-h_3}{2})}. \tag{3.32}
\end{aligned}$$

# FUTURE DIRECTIONS



- Can to use same methods to evaluate 4-pt, 5-pt, ... couplings in the bulk.
- Derivative couplings? Is the bulk theory “local”?
- Are there hidden symmetries for large  $q$ ?
- Can SYK be embedded in critical string theory? Or in a new kind of “string theory”?

?

THE END