

Rare Kaon (and B) Decays in Generic- Z and VLQ Models

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In collaboration with Buras/Celis/Jung arXiv:1703.04753 and 1609.04783

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Motivation / Introduction

Rare Kaon physics

CP violation in $K \rightarrow \pi\pi$: ε'/ε another flavor-“anomaly”

Measurement → rather precisely known

$$1 - 6 \operatorname{Re} \frac{\varepsilon'}{\varepsilon} \simeq \left| \frac{\eta_{00}}{\eta_{+-}} \right|^2$$

defines ε'/ε experimentally
⇒ double-ratio of decay
width's is measured

$$\eta_{ab} = \frac{A(K_L \rightarrow \pi^a \pi^b)}{A(K_S \rightarrow \pi^a \pi^b)} \quad (a, b = 0, \pm)$$

average of NA48 (CERN) & KTeV

$$(\varepsilon'/\varepsilon)_{\text{exp}} = (16.6 \pm 2.3) \times 10^{-4}$$

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Prediction

$$\frac{\varepsilon'}{\varepsilon} = - \frac{\omega_+}{\sqrt{2} |\varepsilon_K|} \left[\frac{\operatorname{Im} A_0}{\operatorname{Re} A_0} (1 - \hat{\Omega}_{\text{eff}}) - \frac{1}{a} \frac{\operatorname{Im} A_2}{\operatorname{Re} A_2} \right]$$

QCD isospin amplitudes ($I = 0, 2$)

$$A_I = \sum_i f_{\text{CKM}} \times C_i(\mu) \times \langle (\pi\pi)_I | Q_i | K \rangle(\mu)$$

$\operatorname{Im} A_2$ only EW penguin op's Q_{7-10}

- ▶ $C_i(\mu)$ known NLO (NNLO work in progress 1611.08276) [Buras et al. hep-ph/9303284, Ciuchini et al. 9304257]
- ▶ $\langle (\pi\pi)_I | Q_i | K \rangle(\mu)$ 1st lattice calculations [RBC-UKQCD 1502.00263, 1505.07863]
- ▶ $\omega_+ = a \operatorname{Re} A_2 / \operatorname{Re} A_0 = (4.53 \pm 0.02) \times 10^{-2}$ from experiment,
 $\hat{\Omega}_{\text{eff}}$ leading isospin breaking [Cirigliano/Ecker/Neufeld/Pich hep-ph/0310351, 0307030]
- ▶ $|\varepsilon_K|$ from experiment

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$$\operatorname{Re}(\varepsilon'/\varepsilon)_{\text{SM}} = 1.38(5.15)_{\text{stat}}(4.59)_{\text{sys}} \times 10^{-4} \quad (2.1 \sigma) \quad [\text{RBC-UKQCD 1505.07863}]$$

$$\operatorname{Re}(\varepsilon'/\varepsilon)_{\text{SM}} = (1.9 \pm 4.5) \times 10^{-4} \quad (2.9 \sigma) \quad [\text{Buras/Grobahn/Jamin/Jäger 1507.06346}]$$

$$\operatorname{Re}(\varepsilon'/\varepsilon)_{\text{SM}} = (1.06 \pm 5.07) \times 10^{-4} \quad (2.8 \sigma) \quad [\text{Kitahara/Nierste/Tremper 1607.06727}]$$

BGJJ and KNT assume that $\operatorname{Re} A_{0,2}$ fully described by SM dynamics $\Rightarrow$ experimental values used to determine hadr. matrix elements of $(V-A) \otimes (V-A)$ op's and lattice only for $(V-A) \otimes (V+A)$ op's

Other “rare” Kaon observables

$\Delta F = 1$

$K \rightarrow \pi \nu \bar{\nu}$

- ▶ theory uncert's below 3%
- ▶ large parametric uncert's from CKM

$$Br(K^+ \rightarrow \pi^+ \nu \bar{\nu})_{\text{exp}} = (1.73^{+1.15}_{-1.05}) \times 10^{-10}$$

$$Br(K_L \rightarrow \pi^0 \nu \bar{\nu})_{\text{exp}} < 2.6 \times 10^{-8} \text{ (90\%CL)}$$

- ▶ $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ with 10% uncert. from NA62 by 2018/19
- ▶ $K_L \rightarrow \pi^0 \nu \bar{\nu}$ at KOTO (2020+)

$K_L \rightarrow \mu \bar{\mu}$

- ▶ dominated by LD contributions
- ▶ theory issue: sign of interf. of LD and SD
- ▶ conservative bound: allowing both signs $|\chi_{\text{SD}}| \leq 3.1$ [Isidori/Unterdorfer hep-ph/0311084]
- ▶ choice of particular sign
 - $-3.1 \leq \chi_{\text{SD}} \leq 1.7$ [D'Ambrosio/Portoles 9610244, Gomez Dumm/Pich 9801298]
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$\Delta F = 2$

$\epsilon_K \propto \text{Im}(M_{12}^{sd})$

- ▶ small LD contribution
- ▶ large pert. uncert's for $N_f = 3$ NNLO
[Brod/Gorbahn 1108.2036]
→ calculate $B_{K,\dots}$ on lattice for $N_f = 4$
- ▶ strong dependence on V_{cb}

$$\epsilon_K|_{\text{exp}} = (2.228 \pm 0.011) \times 10^{-3}$$

$$\epsilon_K|_{\text{SM}} = (2.21^{+0.39}_{-0.32}) \times 10^{-3}$$

$\Delta M_K \propto \text{Re}(M_{12}^{sd})$

$$\Delta M_K|_{\text{exp}} = 3.483(6) \times 10^{-12} \text{ MeV}$$

- ▶ LD dominated → requires lattice

$$\Delta M_K|_{\text{SM}} = 3.19(41)_{\text{stat}}(96)_{\text{sys}} \times 10^{-12} \text{ MeV}$$

[RBC-UKQCD 1406.0916]

Flavor-changing Z couplings (in SMEFT)

FC (flavor-changing) Z-couplings

In SM

- ▶ tree-level FC-couplings of Z to quarks (and leptons) forbidden by GIM

[Glashow/Iliopoulos/Maiani PRD72 (1970) 1285]

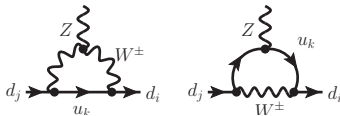
- ▶ GIM broken at one-loop by (top-) Yukawa-couplings

→ leading effect for down-type quark transitions due to top quark masses

$$Z_\mu [\bar{d}_i \gamma^\mu P_L d_j] = \frac{g_2^3 V_{ti}^* V_{tj}}{8\pi^2 c_W} C \left(x_t = \frac{m_t^2}{m_W^2} \right) \sim \frac{m_t^2}{m_W^2}$$

gauge-dependent Inami-Lim function

$$C(x, \xi_W = 1) = \frac{x}{8} \left(\frac{x-6}{x-1} + \frac{3x+2}{(x-1)^2} \ln x \right)$$



[Inami/Lim Prog.Theor.Phys.65 (1981) 297]

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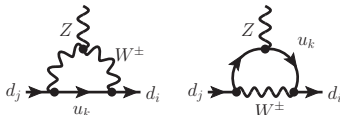
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Beyond SM

naive modification of Z couplings to quarks

(and analogously leptons)

$$\mathcal{L}_{\psi\bar{\psi}Z}^{\text{NP}} = Z_\mu \bar{d}_i \gamma^\mu \left([\Delta_L^d]_{ij} P_L + [\Delta_R^d]_{ij} P_R \right) d_j + [d \rightarrow u]$$

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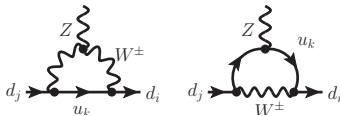
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!!! Adding these terms is not $SU(2)_L \otimes U(1)_Y$ gauge-invariant $\Rightarrow$ may use SMEFT instead

$$\mathcal{L}_{\text{SMEFT}}(\mu \ll \mu_\Lambda) = \mathcal{L}_{\text{SM}}(q_L, \ell_L, u_R, d_R, e_R; H) + \sum_{\text{dim}=5,6} c_i \mathcal{O}_i$$

- ▶ dim-4: just SM Lagrangian
- ▶ dim-5: single “Weinberg operator” → neutrino masses
- ▶ dim-6: various types of operators build out of $q_L, \ell_L, u_R, d_R, e_R, H$
- ▶ all **invariant under** $G_{\text{SM}} = \text{SU}(3)_c \otimes \text{SU}(2)_L \otimes \text{U}(1)_Y$

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Type of operators (a possible choice of **non-redundant** basis)

[Grzadkowski/Iskrzynski/Misiak/Rosiek 1008.4884]

- ▶ X^3 X = field strength tensors $G_{\mu\nu}^a, \dots$ (“Warsaw basis” used throughout)
- ▶ H^6
- ▶ $H^4 D$
- ▶ $\psi^2 H^3$ ← fermion masses ↔ Yukawa + FC H couplings
- ▶ $X^2 H^2$
- ▶ $\psi^2 X H$ ← dipole operators
- ▶ $\psi^2 H^2 D$ ← FC Z and $W^\pm$ couplings
- ▶ ψ^4 ← 4-fermion FC operators $(\bar{L}L)(\bar{L}L), (\bar{R}R)(\bar{R}R), (\bar{L}L)(\bar{R}R), (\bar{L}R)(\bar{L}R), (\bar{L}L)(\bar{R}L)$

59 types: in total 2499 ($\text{SU}(2)_L \otimes \text{U}(1)_Y$ invariant) **operators (3 generations / 6 flavors)**

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- ▶ ψ^4 ← 4-fermion FC operators $(\bar{L}L)(\bar{L}L), (\bar{R}R)(\bar{R}R), (\bar{L}L)(\bar{R}R), (\bar{L}R)(\bar{L}R), (\bar{L}L)(\bar{R}L)$

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($V = Z, W$)

LH (left-handed) FC Z coupl's ($W^\pm$ in $\mathcal{O}_{Hq}^{(3)}$)

$$\mathcal{O}_{Hq}^{(1)} = (H^\dagger i \overleftrightarrow{\mathcal{D}}_\mu H) [\bar{q}_L^i \gamma^\mu q_L^j]$$

$$\mathcal{O}_{Hq}^{(3)} = (H^\dagger i \overleftrightarrow{\mathcal{D}}_\mu^a H) [\bar{q}_L^i \sigma^a \gamma^\mu q_L^j]$$

- ▶ weak eigenstates q_L^i and u_R^i, d_R^i
- ▶ mass eigenstates u_i and d_i

RH (right-handed) FC Z coupl's ($W^\pm$ in $\mathcal{O}_{Hud}$)

$$\mathcal{O}_{Hd} = (H^\dagger i \overleftrightarrow{\mathcal{D}}_\mu H) [\bar{d}_R^i \gamma^\mu d_R^j]$$

$$\mathcal{O}_{Hu} = (H^\dagger i \overleftrightarrow{\mathcal{D}}_\mu H) [\bar{u}_R^i \gamma^\mu u_R^j]$$

$$\mathcal{O}_{Hud} = (\tilde{H}^\dagger i \mathcal{D}_\mu H) [\bar{u}_R^i \gamma^\mu d_R^j]$$

- ▶ analogous op's for leptons $\mathcal{O}_{H\ell}^{(1,3)}, \mathcal{O}_{He}$

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EWSB (electroweak symmetry breaking) @ μ_{ew} (fermion masses receive dim-6 contr. from $\psi^2 H^3$ op's)

$$\begin{aligned} \mathcal{L}_{\psi\psi V}^{\text{dim-6}} = & -\frac{g_Z}{2} v^2 Z_\mu \left(\left[V_L^{d\dagger} (\mathcal{C}_{Hq}^{(1)} + \mathcal{C}_{Hq}^{(3)}) V_L^d \right]_{ij} [\bar{d}_i \gamma^\mu P_L d_j] + \left[V_R^{d\dagger} \mathcal{C}_{Hd} V_R^d \right]_{ij} [\bar{d}_i \gamma^\mu P_R d_j] \right. \\ & + \left[V_L^{u\dagger} (\mathcal{C}_{Hq}^{(1)} - \mathcal{C}_{Hq}^{(3)}) V_L^u \right]_{ij} [\bar{u}_i \gamma^\mu P_L u_j] + \left[V_R^{u\dagger} \mathcal{C}_{Hu} V_R^u \right]_{ij} [\bar{u}_i \gamma^\mu P_R u_j] \Big) \\ & + \frac{g_2}{\sqrt{2}} v^2 \left(\left[V_L^{u\dagger} \mathcal{C}_{Hq}^{(3)} V_L^d \right]_{ij} [\bar{u}_i \gamma^\mu P_L d_j] W_\mu^+ + \left[V_R^{u\dagger} \frac{\mathcal{C}_{Hud}}{2} V_R^d \right]_{ij} [\bar{u}_i \gamma^\mu P_R d_j] W_\mu^+ + \text{h.c.} \right) \end{aligned}$$

⇒ left-handed down- and up-type FCNC's related

(in the basis $V_{L,R}^d = \mathbb{1}$ and $V_R^u = \mathbb{1} \Rightarrow V_L^u = V_{CKM}^\dagger$)

$$\mathcal{L}_{t \rightarrow cZ}^{\text{dim-6}} \propto \sum_{k,l} V_{ck} [\mathcal{C}_{Hq}^{(1)} - \mathcal{C}_{Hq}^{(3)}]_{kl} V_{tl}^* \approx [\mathcal{C}_{Hq}^{(1)} - \mathcal{C}_{Hq}^{(3)}]_{sb} + \mathcal{O}(\lambda_C)$$

$\lambda_C \approx 0.22$ Cabibbo angle

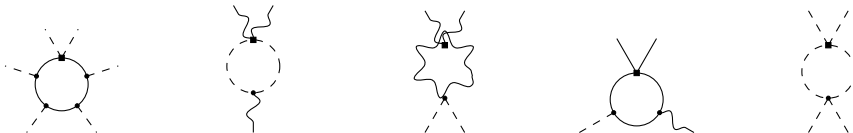
RG (renormalization group) effects in SMEFT

RG evolution relates Wilson coeff's at different scales

1stLLA = 1st leading logarithm approx.

$$C_a(\mu_{\text{ew}}) \approx \left(\delta_{ab} - \frac{\gamma_{ab}}{(4\pi)^2} \ln \frac{\mu_\Lambda}{\mu_{\text{ew}}} \right) C_b(\mu_\Lambda) + \mathcal{O}(\gamma^2, \gamma^3, \dots), \quad \gamma_{ab} \propto \lambda, Y^2, g_1^2, g_2^2, g_3^2$$

⇒ γ_{ab} = ADM (anomalous dimension matrix) completely known at 1-loop



[(Alonso)/Jenkins/Manohar/Trott 1308.2627, 1310.4838, (1312.2014)]

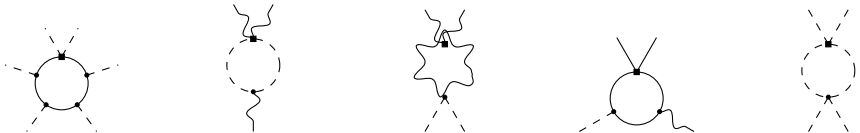
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⇒ In SMEFT correlations among flavor sectors possible due to **flavor-changing**

Higgs (Yukawa) couplings

SU(2)_L gauge couplings

dim-6 operators

$$(\bar{q}_{iL} Y_{u,ij} u_{jR}) \tilde{H} + (\bar{q}_{iL} Y_{d,ij} d_{jR}) H$$

$$u_L \leftrightarrow d_L$$

various flavor structures

- ▶ numerically largest RG effects $4\pi\alpha_S \sim 1.4 \Rightarrow$ BUT SU(3)_c flavor-diagonal
- ▶ top-Yukawa $y_t^2 \sim 1 \Rightarrow$ flavor-mixing $\Leftarrow$ **phenomenologically most interesting**

Flavor-changing Z couplings and $\Delta F = 2$ processes in SMEFT

Top-Yukawa RG effects of $\psi^2 H^2 D$ op's for $\Delta F = 2$

- $\psi^2 H^2 D$ operators mix into ψ^4 4-quark op's via top-Yukawa

$$\Delta F = 2: kl = ij$$

$$(\bar{L}L)(\bar{L}L) \quad [\mathcal{O}_{qq}^{(1)}]_{ijkl} = [\bar{q}_L^i \gamma_\mu q_L^j][\bar{q}_L^k \gamma^\mu q_L^l] \quad [\mathcal{O}_{qq}^{(3)}]_{ijkl} = [\bar{q}_L^i \gamma_\mu \sigma^a q_L^j][\bar{q}_L^k \gamma^\mu \sigma^a q_L^l]$$

$$(\bar{L}L)(\bar{R}R) \quad [\mathcal{O}_{qd}^{(1)}]_{ijkl} = [\bar{q}_L^i \gamma_\mu q_L^j][\bar{d}_R^k \gamma^\mu d_R^l] \quad [\mathcal{O}_{qu}^{(1)}]_{ijkl} = [\bar{q}_L^i \gamma_\mu q_L^j][\bar{u}_R^k \gamma^\mu u_R^l]$$

$$(\bar{R}R)(\bar{R}R) \quad [\mathcal{O}_{ud}^{(1)}]_{ijkl} = [\bar{u}_R^i \gamma_\mu u_R^j][\bar{d}_R^k \gamma^\mu d_R^l] \quad [\mathcal{O}_{uu}^{(1)}]_{ijkl} = [\bar{u}_R^i \gamma_\mu u_R^j][\bar{u}_R^k \gamma^\mu u_R^l]$$

- only 3 contribute to down-type $\Delta F = 2$ $\dot{C}_a \equiv (4\pi)^2 \mu \frac{dC_a}{d\mu}$ [Jenkins/Manohar/Trott 1310.4838]

$$(\bar{L}L)(\bar{L}L) \quad [\dot{C}_{qq}^{(1)}]_{ijij} = + [Y_u Y_u^\dagger]_{ij} [C_{Hq}^{(1)}]_{ij} + \dots \quad [\dot{C}_{qq}^{(3)}]_{ijij} = - [Y_u Y_u^\dagger]_{ij} [C_{Hq}^{(3)}]_{ij} + \dots$$

$$(\bar{L}L)(\bar{R}R) \quad [\dot{C}_{qd}^{(1)}]_{ijij} = + [Y_u Y_u^\dagger]_{ij} [C_{Hd}]_{ij} + \dots$$

- in mass eigen basis (neglecting dim-6 effects in RG evolution of dim-6 Wilson coefficients)

$$Y_u \stackrel{\text{dim-4}}{\approx} \frac{\sqrt{2}}{V} V_L^u m_U^{\text{diag}} V_R^{u\dagger} = \frac{\sqrt{2}}{V} V_{\text{CKM}}^\dagger m_U^{\text{diag}},$$

the ADMs are given in terms of top-quark mass and CKM elements $\lambda_t^{ij} \equiv V_{ti}^* V_{tj}$

$$[Y_u Y_u^\dagger]_{ij} = \frac{2}{V^2} \sum_{k=u,c,t} m_k^2 V_{ki}^* V_{kj} \approx \frac{2}{V^2} m_t^2 \lambda_t^{ij},$$

Top-Yukawa RG effects of $\psi^2 H^2 D$ op's for $\Delta F = 2$

- $\psi^2 H^2 D$ operators mix into ψ^4 4-quark op's via top-Yukawa

$$\Delta F = 2: kl = ij$$

$$\begin{aligned} (\bar{L}L)(\bar{L}L) \quad [\mathcal{O}_{qq}^{(1)}]_{ijkl} &= [\bar{q}_L^i \gamma_\mu q_L^j][\bar{q}_L^k \gamma^\mu q_L^l] & [\mathcal{O}_{qq}^{(3)}]_{ijkl} &= [\bar{q}_L^i \gamma_\mu \sigma^a q_L^j][\bar{q}_L^k \gamma^\mu \sigma^a q_L^l] \\ (\bar{L}L)(\bar{R}R) \quad [\mathcal{O}_{qd}^{(1)}]_{ijkl} &= [\bar{q}_L^i \gamma_\mu q_L^j][\bar{d}_R^k \gamma^\mu d_R^l] & [\mathcal{O}_{qu}^{(1)}]_{ijkl} &= [\bar{q}_L^i \gamma_\mu q_L^j][\bar{u}_R^k \gamma^\mu u_R^l] \\ (\bar{R}R)(\bar{R}R) \quad [\mathcal{O}_{ud}^{(1)}]_{ijkl} &= [\bar{u}_R^i \gamma_\mu u_R^j][\bar{d}_R^k \gamma^\mu d_R^l] & [\mathcal{O}_{uu}^{(1)}]_{ijkl} &= [\bar{u}_R^i \gamma_\mu u_R^j][\bar{u}_R^k \gamma^\mu u_R^l] \end{aligned}$$

- only 3 contribute to down-type $\Delta F = 2$ $\dot{C}_a \equiv (4\pi)^2 \mu \frac{dC_a}{d\mu}$ [Jenkins/Manohar/Trott 1310.4838]

$$\begin{aligned} (\bar{L}L)(\bar{L}L) \quad [\dot{C}_{qq}^{(1)}]_{ijij} &\equiv + [Y_u Y_u^\dagger]_{ij} [C_{Hq}^{(1)}]_{ij} + \dots & [\dot{C}_{qq}^{(3)}]_{ijij} &\equiv - [Y_u Y_u^\dagger]_{ij} [C_{Hq}^{(3)}]_{ij} + \dots \\ (\bar{L}L)(\bar{R}R) \quad [\dot{C}_{qd}^{(1)}]_{ijij} &\equiv + [Y_u Y_u^\dagger]_{ij} [C_{Hd}]_{ij} + \dots \end{aligned}$$

- in mass eigen basis (neglecting dim-6 effects in RG evolution of dim-6 Wilson coefficients)

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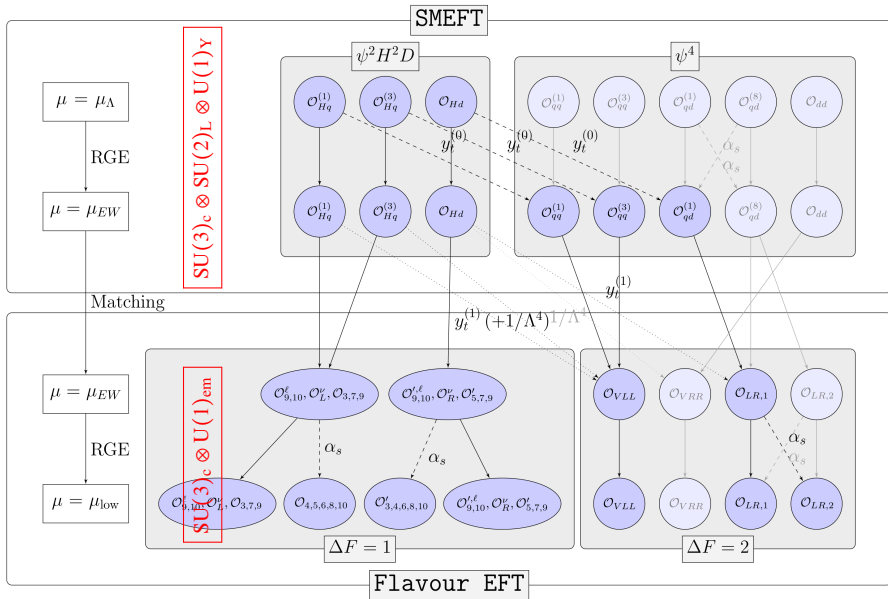
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RGE for SMEFT and low-energy EFT



Matching to $\Delta F = 2$ EFT: Tree-Level

$$\mathcal{H}_{\Delta F=2}^{ij} = \frac{G_F^2}{4\pi^2} m_W^2 (\lambda_t^{ij})^2 \sum_a C_a^{ij} P_a^{ij} + \text{h.c.}$$

in SM only non-zero

$$C_1^{\text{VLL}}(\mu_{\text{ew}}) \Big|_{\text{SM}} = S_0(x_t),$$

$S_0(x_t) \Rightarrow$ gauge- and $\log \mu_{\text{ew}}$ -independent

$$P_{\text{VLL}}^{ij} = [\bar{d}_i \gamma_\mu P_L d_j][\bar{d}_i \gamma^\mu P_L d_j]$$

$$P_{\text{LR},1}^{ij} = [\bar{d}_i \gamma_\mu P_L d_j][\bar{d}_i \gamma^\mu P_R d_j]$$

$$P_{\text{LR},2}^{ij} = [\bar{d}_i P_L d_j][\bar{d}_i P_R d_j]$$

[Buras/Misiak/Urban hep-ph/0005183]

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$$P_{\text{LR},2}^{ij} = [\bar{d}_i P_L d_j][\bar{d}_i P_R d_j]$$

[Buras/Misiak/Urban hep-ph/0005183]

Matching SMEFT on $\Delta F = 2$ EFT @ μ_{ew} using 1stLLA for RG evolution of $[C_{Hq,Hd}^{(1,3)}]_{ij}$

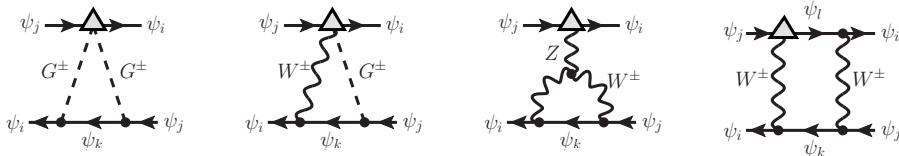
$$\Delta C_{\text{LR},1}^{ij}(\mu_{\text{ew}}) = v^2 \frac{[C_{Hd}]_{ij}(\mu_\Lambda)}{\lambda_t^{ij}} x_t \ln \frac{\mu_\Lambda}{\mu_{\text{ew}}}$$

$$\Delta C_{\text{VLL}}^{ij}(\mu_{\text{ew}}) = v^2 \frac{[C_{Hq}^{(1)}]_{ij}(\mu_\Lambda) - [C_{Hq}^{(3)}]_{ij}(\mu_\Lambda)}{\lambda_t^{ij}} x_t \ln \frac{\mu_\Lambda}{\mu_{\text{ew}}}$$

$$x_t \equiv \frac{m_t^2}{m_W^2}$$

$\Rightarrow$ here neglected "self-mixing": $C_{\psi^2 H^2 D}(\mu_{\text{ew}}) \approx C_{\psi^2 H^2 D}(\mu_\Lambda)$

!!! the same linear combination enters $Z_\mu[\bar{u}_i \gamma^\mu P_L u_j]$



- ▶ 1-loop yields gauge-independent $H_{1,2}(x_t, \mu_{ew})$
- ▶ $H_{1,2}(x_t, \mu_{ew}) \sim \ln \mu_{ew}/m_W$ cancel μ_{ew} dep. of 1stLLA contribution
- ▶ box-diagram only for $\mathcal{O}_{Hq}^{(3)}$, is gauge dependent $\Rightarrow$ needed to obtain gauge-independent H_2
- ▶ box-diagram introduces dependence on all $[C_{Hq}^{(3)}]_{mj}$ and $[C_{Hq}^{(3)}]_{im}$

$$\Delta C_{LR,1}^{ij}(\mu_{ew}) = v^2 \frac{[C_{Hd}]_{ij}}{\lambda_t^{ij}} x_t \left\{ \ln \frac{\mu_\Lambda}{\mu_{ew}} + H_1(x_t, \mu_{ew}) \right\} \quad \text{NLO corr's} \approx -(15 - 30)\%$$

$$\Delta C_{VLL}^{ij}(\mu_{ew}) = \frac{v^2}{\lambda_t^{ij}} x_t \left\{ [C_{Hq}^{(1)} - C_{Hq}^{(3)}]_{ij} \ln \frac{\mu_\Lambda}{\mu_{ew}} + [C_{Hq}^{(1)}]_{ij} H_1(x_t, \mu_{ew}) - [C_{Hq}^{(3)}]_{ij} H_2(x_t, \mu_{ew}) \right. \\ \left. + \frac{2S_0(x_t)}{x_t} \sum_m \left(\lambda_t^{im} [C_{Hq}^{(3)}]_{mj} + [C_{Hq}^{(3)}]_{im} \lambda_t^{mj} \right) \right\} \approx +\mathcal{O}(100\%)$$

$\Delta F = 2$ Meson mixing

- ▶ RG evolution below $\mu_{ew} \Rightarrow$ QCD ADM of $C_{LR,1}$ gives enhancement
- ▶ hadronic matrix element of $P_{LR,1}$ **chirality enhanced**, especially in K^0 -mixing

[Endo/Kitahara/Mishima/Yamamoto 1612.08839]

Mass difference

$$\frac{M_{12}^{sd*}}{\mathcal{F}_{sd}} = \left[168.7 + i 194.1 + 0.8 \Delta C_{VLL}^{sd} \right] B_1^{sd} - \Delta C_{LR,1}^{sd} \left(25.9 B_4^{sd} + 14.1 B_5^{sd} \right)$$

$$\frac{M_{12}^{bj*}}{\tilde{\mathcal{F}}_{bj}} = \left[1.95 + 0.84 \Delta C_{VLL}^{bj} \right] F_{B_j}^2 B_1^{bj} - \Delta C_{LR,1}^{bj} F_{B_j}^2 \left(1.18 B_4^{bj} + 1.42 B_5^{bj} \right)$$

here NLO QCD RG evolution from μ_{ew} to μ_{low} and with C_a^{ij} at μ_{ew}

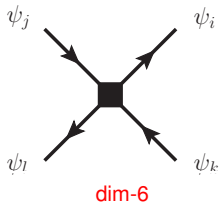
ij	μ_{low} [GeV]	N_f	r_χ	B_1^{ij}	B_4^{ij}	B_5^{ij}
sd	3.0	3	30.8	0.525(16)	0.920(20)	0.707(45)
				$F_{B_j}^2 B_1^{ij}$	$F_{B_j}^2 B_4^{ij}$	$F_{B_j}^2 B_5^{ij}$
bd	4.18	5	1.6	0.0342(30)	0.0390(29)	0.0361(36)
bs	4.18	5	1.6	0.0498(32)	0.0534(32)	0.0493(37)

Lattice results: [RBC/UKQCD 1609.03334, Fermilab/FNAL 1602.03560]

**$\psi^2 H^2 D$ quark operators
in $\Delta S, B = 1, 2$ phenomenology**

$\psi^2 H^2 D$ contributions to $\Delta F = 2, 1$ @ μ_{ew}

$$\Delta F = 2$$

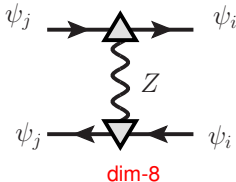
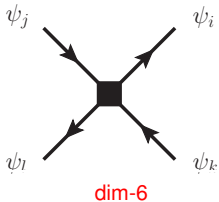


1stLLA contribution from
 $\psi^2 H^2 D \rightarrow \psi^4$ mixing
is 1-loop suppressed

$$\sim v^2 / \mu_\Lambda^2 \times (4\pi)^{-2}$$

$\psi^2 H^2 D$ contributions to $\Delta F = 2, 1$ @ μ_{ew}

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(for example)
 double-insertion of $\psi^2 H^2 D$

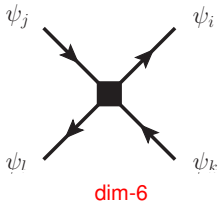
$$\sim v^2 / \mu_\Lambda^2 \times (4\pi)^{-2}$$

$$\sim v^4 / \mu_\Lambda^4$$

$\Rightarrow$ transition region $\mu_\Lambda \sim 4\pi v \approx 3 \text{ TeV}$,
 where both numerically similar

$\psi^2 H^2 D$ contributions to $\Delta F = 2, 1$ @ μ_{ew}

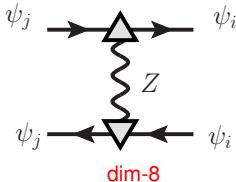
$\Delta F = 2$



1stLLA contribution from
 $\psi^2 H^2 D \rightarrow \psi^4$ mixing
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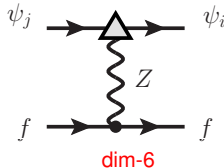
$\Rightarrow$ transition region $\mu_\Lambda \sim 4\pi v \approx 3 \text{ TeV}$,
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(for example)
 double-insertion of $\psi^2 H^2 D$

$$\sim v^4/\mu_\Lambda^4$$

$\Delta F = 1$



single insertion of $\psi^2 H^2 D$

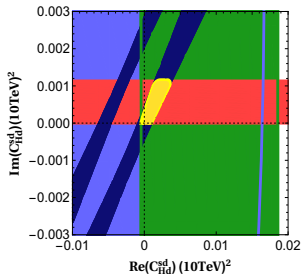
$$\sim v^2/\mu_\Lambda^2$$

$f = \nu\bar{\nu}, \ell\bar{\ell}, q\bar{q}$ all flavor-diagonal

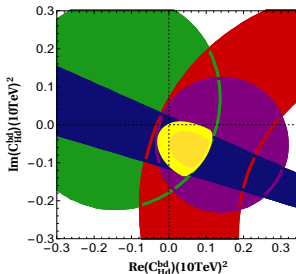
- assume only $[C_{Hd}]_{ij} \Rightarrow$ neglect ψ^4 op's in
 $\Delta F = 2$: $C_{qq}^{(1,3)}$, $C_{qd}^{(1,8)}$, C_{dd} and $\Delta F = 1$: $C_{\ell q}^{(1,3)}$, $C_{ed,\ell d,qe,\ell edq,\ell edq'}$
- $M \rightarrow \ell \bar{\ell} \propto C_L - C_R$ and $M \rightarrow P \ell \bar{\ell} \propto C_L + C_R \Rightarrow$ complementarity from small intersection
- very strong bounds $\sim \mathcal{O}(1) \times (10 \text{ TeV})^{-2}$

$$\mu_\Lambda = 10 \text{ TeV}$$

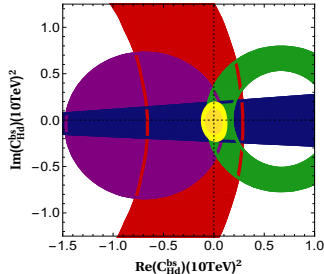
$$|C_{Hd}^{sd}| \lesssim \frac{0.004}{(10 \text{ TeV})^2}$$



$$|C_{Hd}^{bd}| \lesssim \frac{0.15}{(10 \text{ TeV})^2}$$



$$|C_{Hd}^{bs}| \lesssim \frac{0.25}{(10 \text{ TeV})^2}$$



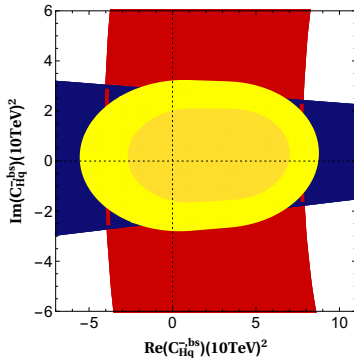
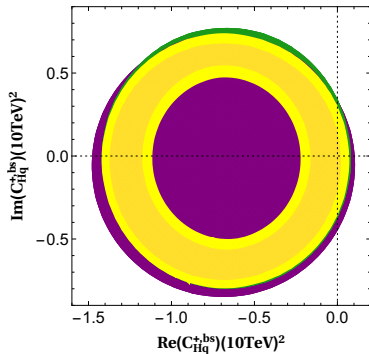
- ϵ_K
- $Br(K^+ \rightarrow \pi^+ \nu \bar{\nu})$
- $Br(K_L \rightarrow \mu \bar{\mu})_{SD}$
- $(\epsilon'/\epsilon)_{NP} \in [0, 2] \times 10^{-3}$

- ΔM_d
- $\sin(2\beta_d)$
- $Br(B^+ \rightarrow \pi^+ \mu \bar{\mu})_{[15, 22]}$
- $Br(B_d \rightarrow \mu \bar{\mu})$

- ΔM_s
- $\sin(2\beta_s)$
- $Br(B^+ \rightarrow K^+ \mu \bar{\mu})_{[15, 22]}$
- $Br(B_s \rightarrow \mu \bar{\mu})$

- ▶ two coefficients $C_{Hq}^{(1)}$ and $C_{Hq}^{(3)}$
- ▶ $\Delta F = 1$ depends on $C_{Hq}^{(+)} \equiv C_{Hq}^{(1)} + C_{Hq}^{(3)}$
- ▶ $\Delta F = 2$ on $C_{Hq}^{(-)} \equiv C_{Hq}^{(1)} - C_{Hq}^{(3)}$ and at NLO also on $C_{Hq}^{(+)}$

Example $b \rightarrow s$



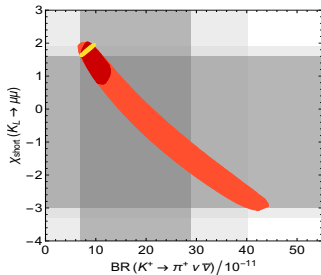
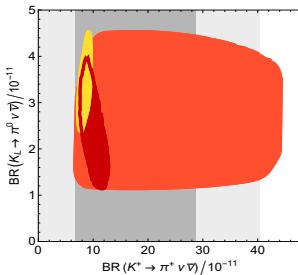
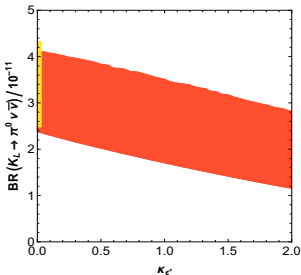
$$\Delta F = 1: \quad |[C_{Hq}^{(+)}]_{bs}| \lesssim \frac{1.5}{(10 \text{ TeV})^2}$$

$$\Delta F = 2 \text{ (LO only):} \quad |[C_{Hq}^{(-)}]_{bs}| \lesssim \frac{9}{(10 \text{ TeV})^2}$$

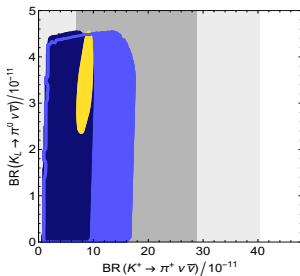
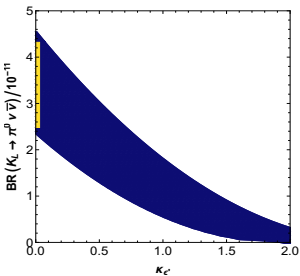
Correlations ε'/ε , $K \rightarrow \pi \nu \bar{\nu}$, $K_L \rightarrow \mu \bar{\mu}$, ε_K

[CB/Buras/Celis/Jung 1703.04753]

RH scenario: strong constraint from $\Delta S = 2$ in RH scenario $\Rightarrow$ with and without ε_K



LH scenario:



ε'/ε anomaly can be resolved
 $(\varepsilon'/\varepsilon)_{\text{NP}} = \kappa_{\varepsilon'} \times 10^{-3}$

SM

without ε_K

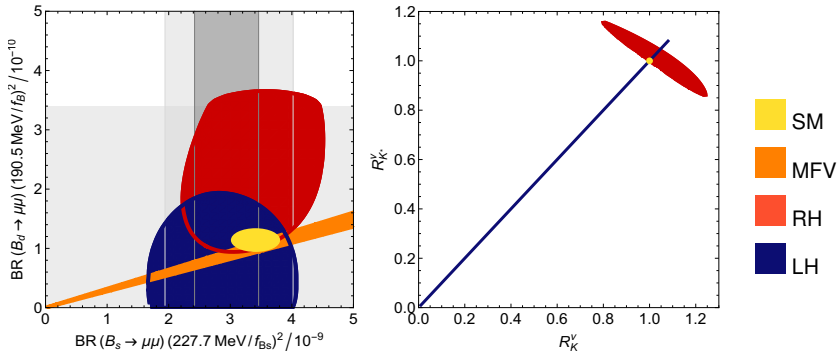
with ε_K

strong $K_L \rightarrow \mu \bar{\mu}$

weak $K_L \rightarrow \mu \bar{\mu}$

LH versus RH in B decays $B_{s,d} \rightarrow \mu \bar{\mu}$ and $B \rightarrow K^{(*)} \nu \bar{\nu}$

[CB/Buras/Celis/Jung 1703.04753]



$$R_{K^{(*)}} \equiv \frac{Br(B \rightarrow K^{(*)} \nu \bar{\nu})}{Br(B \rightarrow K^{(*)} \nu \bar{\nu})_{\text{SM}}}$$

Toy-UV completion: Vector-like Quarks

Simplest extension of SM with VLQ's

Classification of renormalizable extensions with VLQs

[del Aguila/Perez-Victoria/Santiago hep-ph/0007316]

- for VLQ's (triplets under $SU(3)_c$) $\Rightarrow$ 8 possibilities, but only 5 relevant for down-type FCNC's

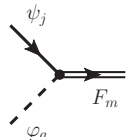
$(SU(2)_L, U(1)_Y)$	singlets :	$D(1, -1/3)$	
	doublers :	$Q_V(2, +1/6)$	$Q_d(2, -5/6)$
	triplets :	$T_d(3, -1/3)$	$T_u(3, +2/3)$

- Kinetic + gauge interactions

$$\mathcal{L}_{\text{kin}} = \bar{D}(i\not{\partial} - M_D)D + \sum_{a=V,d} \bar{Q}_a(i\not{\partial} - M_{Q_a})Q_a + \sum_{a=d,u} \text{Tr} [\bar{T}_a(i\not{\partial} - M_{T_a})T_a],$$

- SM has one scalar doublet H ($\tilde{H} \equiv i\sigma_2 H^*$) $\Rightarrow$ **new Yukawa couplings** λ_i^{VLQ}

$$-\mathcal{L}_{\text{Yuk}}(H) = \left(\lambda_i^D H^\dagger \bar{D}_R + \lambda_i^{T_d} H^\dagger \bar{T}_{dR} + \lambda_i^{T_u} \tilde{H}^\dagger \bar{T}_{uR} \right) q_L^i + \lambda_i^{V_u} \bar{u}_R^i \tilde{H}^\dagger Q_{VL} + \bar{d}_R^i \left(\lambda_i^{V_d} H^\dagger Q_{VL} + \lambda_i^{Q_d} \tilde{H}^\dagger Q_{dL} \right) + \text{h.c.}$$



- new parameters:** M_{VLQ} heavy masses, direct searches $\gtrsim \mathcal{O}(1 \text{ TeV})$
 λ_i^{VLQ} are complex-valued $\Rightarrow$ new sources of CP violation

Decoupling of heavy VLQ's @ μ_Λ

[del Aguila/Perez-Victoria/Santiago hep-ph/0007316]

Decoupling =

$$M_{Z,W,H} \sim v \ll M_{\text{VLQ}}$$

- ▶ above scale $\mu_\Lambda \approx M_{\text{VLQ}}$ full theory with VLQ's
- ▶ below μ_Λ SMEFT without VLQ's

$$\mathcal{O}(100 \text{ GeV}) \ll \mathcal{O}(1 \text{ TeV})$$

(throughout as assumption)

$$\mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{\text{dim-4}} + \sum_a C_a \mathcal{O}_a,$$

Decoupling =

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$$\mathcal{O}(100 \text{ GeV}) \ll \mathcal{O}(1 \text{ TeV})$$

(throughout as assumption)

$$\mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{\text{dim-4}} + \sum_a C_a \mathcal{O}_a,$$

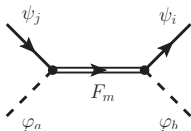
At tree-level only few diagrams for decoupling VLQ = F_m :

all light fields treated as massless

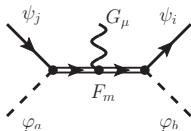
light SM quarks: $\psi = (q_L, u_R, d_R)$

light scalars: $\varphi = H$

light gauge boson: $G_\mu = Z, W^\pm$, photon, gluon, depending on $\text{SU}(2)_L$ representation of F_m



(a)



(b)

$$\propto \frac{(\lambda_j^m)^* \lambda_j^m}{M_m^2}$$

▶ (a) + (b) decoupling of a VLQ = F_m

⇒

give rise to $\psi^2 H^2 D$ op's

⇒

via EOM also $\psi^2 H^3$ op's

Decoupling =

- ▶ above scale $\mu_\Lambda \approx M_{\text{VLQ}}$ full theory with VLQ's
- ▶ below μ_Λ SMEFT without VLQ's

$$M_{Z,W,H} \sim v \ll M_{\text{VLQ}}$$

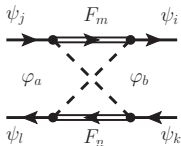
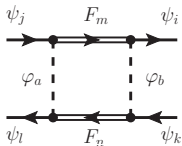
$$\mathcal{O}(100 \text{ GeV}) \ll \mathcal{O}(1 \text{ TeV})$$

(throughout as assumption)

$$\mathcal{L}_{\text{SMEFT}} = \mathcal{L}_{\text{dim-4}} + \sum_a C_a \mathcal{O}_a,$$

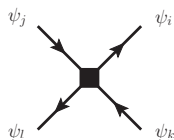
At 1-loop-level $\Rightarrow$ example 4-quark process

“direct” box contribution to ψ^4 @ μ_Λ



crossed graph only for certain $F_m \neq F_n$

mixing-induced $\psi^2 H^2 D \rightarrow \psi^4$ @ μ_{ew}



$$\propto \frac{[(\lambda_i^m)^* \lambda_j^m]^2}{M_m^2}, \quad (m = n)$$

$$\propto \frac{[(\lambda_i^m)^* \lambda_j^m \times \lambda_t^{ij}]}{M_m^2}$$

$\Rightarrow$ different VLQ-Yukawa dependence of direct and mixing-induced contributions

Coupling structure in SMEFT

VLQ	Z-type	$\psi^2 H^2 D$	$\psi^2 H^3$
D	LH	$C_{Hq}^{(1)} = C_{Hq}^{(3)}$	C_{dH}
Q_d	RH	C_{Hd}	C_{dH}
Q_V	RH	C_{Hd}, C_{Hu}, C_{Hud}	C_{dH}, C_{uH}
T_u	LH	$C_{Hq}^{(1)} = +3 C_{Hq}^{(3)}$	C_{dH}, C_{uH}
T_d	LH	$C_{Hq}^{(1)} = -3 C_{Hq}^{(3)}$	C_{dH}, C_{uH}

► all $C_{\psi^2 H^2 D}, C_{\psi^2 H^3} \propto \Lambda_{ij}^m$

► only products of VLQ-Yukawa's λ_i^{VLQ} appear

$$\Lambda_{ij}^m = (\lambda_i^m)^* \lambda_j^m \quad \text{for} \quad F_m = D, T_d, T_u$$

$$\Lambda_{ij}^m = \lambda_i^m (\lambda_j^m)^* \quad \text{for} \quad F_m = Q_d, Q_V$$

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► 3 complex-valued VLQ-Yukawa's per representation F_m $\lambda_i^m \equiv |\lambda_i^m| e^{i\phi_i^m}$

► 1 phase non-observable, fixing $\phi_d^m = 0 \Rightarrow 5$ real parameters

$$|\lambda_d^m|, |\lambda_s^m|, |\lambda_b^m|, \phi_s^m, \phi_b^m$$

► each sector $j \rightarrow i$ constrains complex-valued

$$\Lambda_{ij}^m = |\Lambda_{ij}^m| e^{i\varphi_{ij}^m},$$

$$\varphi_{bs}^m = \varphi_{bd}^m - \varphi_{sd}^m$$

with one relation on the phases

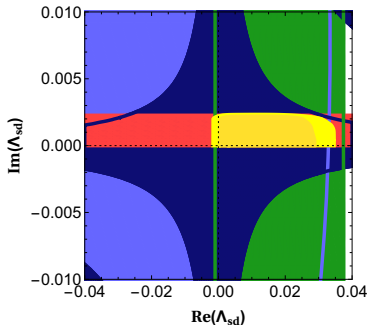
► below a “**global fit**” = simultaneous fit of 5 pmr's with all 3 sectors

Numerical effects $\Delta F = 1, 2$

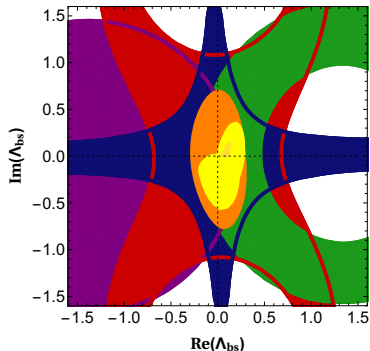
example RH VLQ = Q_V for $\mu_\Lambda = 10$ TeV

[CB/Buras/Celis/Jung 1609.04783]

without mixing-induced



$s \rightarrow d$



$b \rightarrow s$

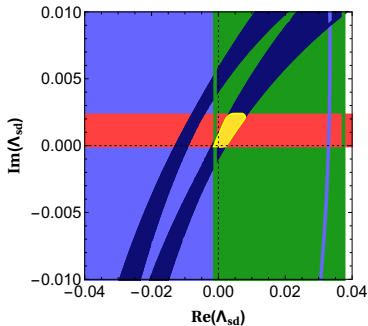
- ▶ mixing-induced contribution only relevant for RH VLQ scenarios Q_V and Q_d
 $\Rightarrow$ chirality enhancement of $P_{LR,1}$
- ▶ in LH VLQ scenarios direct box-contr. $\propto (\lambda_i^* \lambda_j)^2$
larger than mixing-induced $\propto (\lambda_i^* \lambda_j) \times V_{ti}^* V_{tj}$
 $\Rightarrow$ non-trivial numerical interplay of $\Delta F = 1, 2$ constraints and CKM

Numerical effects $\Delta F = 1, 2$

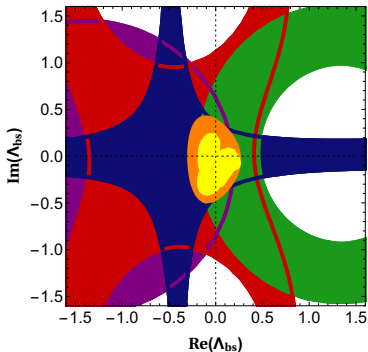
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[CB/Buras/Celis/Jung 1609.04783]

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Summary

- ▶ **FC Z -couplings are viable explanation of tension in ϵ'/ϵ**
 $\Rightarrow \epsilon'/\epsilon$ itself puts strong constraints on imaginary parts of couplings
- ▶ constraints on new physics flavor-changing Z couplings from $\Delta S, B = 2$ in SMEFT
- ▶ arise from top-Yukawa mixing of $\psi^2 H^2 D \rightarrow \psi^4$ operators
- ▶ NLO threshold corrections at μ_{ew} can be sizable for $\mathcal{O}_{Hq}^{(3)}$
- ▶ for $\mathcal{O}_{Hq}^{(3)}$ box-type diagrams involve sum over several flavors
 $\Rightarrow$ whole set of $\psi^2 H^2 D$ Wilson coefficients
- ▶ $\Delta F = 2$ most relevant for right-handed $\mathcal{O}_{Hd}$, because below μ_{ew} enhanced by
1) large QCD-running and 2) chirality-enhanced $\Delta F = 2$ matrix elements
 $\Rightarrow$ especially ϵ_K
- ▶ in toy-UV completion with VLQs: top-Yukawa mixing-induced Z -effects in $\Delta F = 2$ must compete with direct ψ^4 one-loop contributions
 $\Rightarrow$ numerically only relevant in RH VLQ scenarios, not for LH VLQ scenarios

Backup Slides

$\Delta F = 1$ Constraints from $d_j \rightarrow d_i + (\ell\bar{\ell}, \nu\bar{\nu})$

$$\mathcal{H} = -\frac{4G_F}{\sqrt{2}} \lambda_t^{ij} \frac{\alpha_e}{4\pi} \sum_a C_a^{ij} O_a^{ij}$$

$$O_{9(9')}^{ij} = [\bar{d}_i \gamma_\mu P_{L(R)} d_j] [\bar{\ell} \gamma^\mu \ell]$$

$$O_{10(10')}^{ij} = [\bar{d}_i \gamma_\mu P_{L(R)} d_j] [\bar{\ell} \gamma^\mu \gamma_5 \ell]$$

$$O_{L(R)}^{ij} = [\bar{d}_i \gamma_\mu P_{L(R)} d_j] [\bar{\nu} \gamma^\mu (1 - \gamma_5) \nu]$$

- ▶ tree-level matching at μ_{ew} of SMEFT on $\Delta F = 1$ EFT
- ▶ LH depends on $C_{Hq}^{(+)} \equiv C_{Hq}^{(1)} + C_{Hq}^{(3)}$

$$\Delta C_9^{ij} - \frac{\pi}{\alpha_e} \frac{v^2}{\lambda_t^{ij}} (1 - 4s_W^2) [C_{Hq}^{(1)} + C_{Hq}^{(3)}]_{ij} + \dots$$

$$\Delta C_{10,L}^{ij} = \frac{\pi}{\alpha_e} \frac{v^2}{\lambda_t^{ij}} [C_{Hq}^{(1)} + C_{Hq}^{(3)}]_{ij} + \dots$$

$$\Delta C_{9'}^{ij} = -(1 - 4s_W^2) \frac{\pi}{\alpha_e} \frac{v^2}{\lambda_t^{ij}} [C_{Hd}]_{ij} + \dots$$

$$\Delta C_{10',R}^{ij} = \frac{\pi}{\alpha_e} \frac{v^2}{\lambda_t^{ij}} [C_{Hd}]_{ij} + \dots$$

- ▶ expect different interference of RH contributions in semileptonic vs. leptonic decays
- ▶ X and Y are SM contribution

$$Br[M_j \rightarrow P_i(\ell\bar{\ell}, \nu\bar{\nu})] \propto \left| -\frac{X(X_t)}{s_W^2} + \frac{\pi}{\alpha_e} \frac{v^2}{\lambda_t^{ij}} [C_{Hq}^{(1)} + C_{Hq}^{(3)} + C_{Hd}]_{ij} \right|^2$$

$$Br[M_{ij} \rightarrow \ell\bar{\ell}] \propto \left| -\frac{Y(X_t)}{s_W^2} + \frac{\pi}{\alpha_e} \frac{v^2}{\lambda_t^{ij}} [C_{Hq}^{(1)} + C_{Hq}^{(3)} - C_{Hd}]_{ij} \right|^2$$

- $\mathcal{O}_{Hd}$, $\mathcal{O}_{Hq}^{(1)}$: NLO correction about 28 – 15% depending on μ_Λ

$$\Delta C_{LR,1}^{ij}(\mu_{ew}) = v^2 \frac{[C_{Hd}]_{ij}(\mu_\Lambda)}{\lambda_t^{ij}} x_t \left[\left\{ \begin{array}{ll} 2.5 & \text{for } \mu_\Lambda = 1 \text{ TeV} \\ 4.8 & \text{for } \mu_\Lambda = 10 \text{ TeV} \end{array} \right\} - 0.7 \right]$$

- $\mathcal{O}_{Hq}^{(3)}$: NLO correction due to

A) $H_2 \sim 100\%$ and constructive to 1stLLA term

$$\ln \frac{\mu_\Lambda}{M_W} + H_2(x_t, M_W) = \left\{ \begin{array}{ll} 2.5 & \text{for } \mu_\Lambda = 1 \text{ TeV} \\ 4.8 & \text{for } \mu_\Lambda = 10 \text{ TeV} \end{array} \right\} + 3.0,$$

B) the part due to boxes proportional to

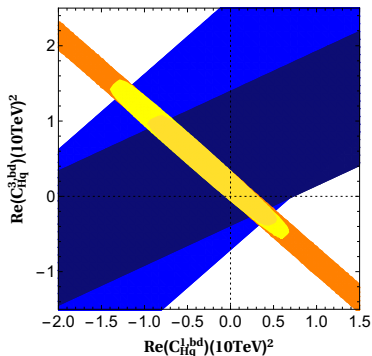
$$\frac{2S_0(x_t)}{x_t} \rightarrow 1.1$$

$\Rightarrow$ to $B_{d,s}$ -meson mixing $ij = bs, bd$ without suppression: $\lambda_t^{bb} [C_{Hq}^{(3)}]_{bj} \sim \mathcal{O}1 \times [C_{Hq}^{(3)}]_{bj}$

$\Rightarrow$ to K^0 mixing $ij = sd$ with $\lambda_t^{sb} [C_{Hq}^{(3)}]_{bd} \sim \lambda_C^2 \times [C_{Hq}^{(3)}]_{bd}$ (quadratic Cabibbo suppression)

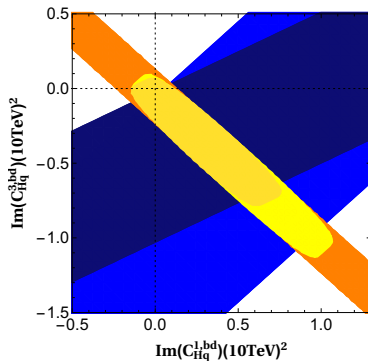
!!! if hierarchy $[C_{Hq}^{(3)}]_{sd} \approx \lambda_C^2 \times [C_{Hq}^{(3)}]_{bd}$, then can not be neglected

- fit with 1stLLA (brighter colors) versus 1stLLA + NLO (darker colors)
for $b \rightarrow d$ complex-valued $[C_{Hq}^{(1,3)}]_{bd}$
- NLO corrections to $\Delta F = 2$ bring in additional dependence on $[C_{Hq}^{(+)}]_{bd}$
⇒ rotation of $\Delta F = 2$ constraint



combined $\Delta F = 2$

combined $\Delta F = 1$



combination of $\Delta F = 1, 2$