

Primordial Black Holes in dwarf galaxies and in the high-z Universe

Savvas M. Koushiappas



Non-baryonic dark matter

Mon. Not. R. astr. Soc. (1974) **168**, 399–415.

BLACK HOLES IN THE EARLY UNIVERSE

B. J. Carr and S. W. Hawking

(Received 1974 February 25)

SUMMARY

The existence of galaxies today implies that the early Universe must have been inhomogeneous. Some regions might have got so compressed that they underwent gravitational collapse to produce black holes. Once formed, black holes in the early Universe would grow by accreting nearby matter. A first estimate suggests that they might grow at the same rate as the Universe during the radiation era and be of the order of 10^{15} to 10^{17} solar masses now. The observational evidence however is against the existence of such giant black holes. This motivates a more detailed study of the rate of accretion which shows that black holes will not in fact substantially increase their original mass by accretion. There could thus be primordial black holes around now with masses from 10^{-5} g upwards.

Axions

Neutrinos

WIMP's

Flavor-mixed dark matter

Q-balls

Monopoles

Planck relics

Primordial black holes

Quark nuggets

Shadow matter

Mirror matter

Cosmic strings

Wimpzillas

Colour droplets

D-matter

Krypton

CHAMPs

Brane World Dark Matter

Pyrgons

MeV dark matter

...

Fundamental questions about primordial black holes

- When and how are they form?
- When and how can we infer their existence?

What would it take to establish their existence?

- Direct observation (e.g., gravitational waves)
- Indirect observation (e.g., effects in the early universe, CMB, energetic backgrounds, lensing, **stellar dynamics**, **merger rates**, etc....)

Dynamics of Dwarf Galaxies Disfavor Stellar-Mass Black Holes as Dark Matter

Savvas M. Koushiappas^{1,2,*} and Abraham Loeb^{2,†}

¹*Department of Physics, Brown University, 182 Hope St., Providence, Rhode Island 02912, USA*

²*Institute for Theory and Computation, Harvard University, 60 Garden Street, Cambridge, Massachusetts 02138, USA*

(Received 5 April 2017; revised manuscript received 12 May 2017; published 24 July 2017)

Maximum Redshift of Gravitational Wave Merger Events

Savvas M. Koushiappas^{*}

Department of Physics, Brown University, 182 Hope St., Providence, Rhode Island 02912, USA

and Institute for Theory and Computation, Harvard University, 60 Garden Street, Cambridge, Massachusetts 02138, USA

Abraham Loeb[†]

Astronomy Department, Harvard University, 60 Garden Street, Cambridge, Massachusetts 02138, USA

(Received 24 August 2017)

Dynamics of Dwarf Galaxies Disfavor Stellar-Mass Black Holes as Dark Matter

Savvas M. Koushiappas^{1,2,*} and Abraham Loeb^{2,†}

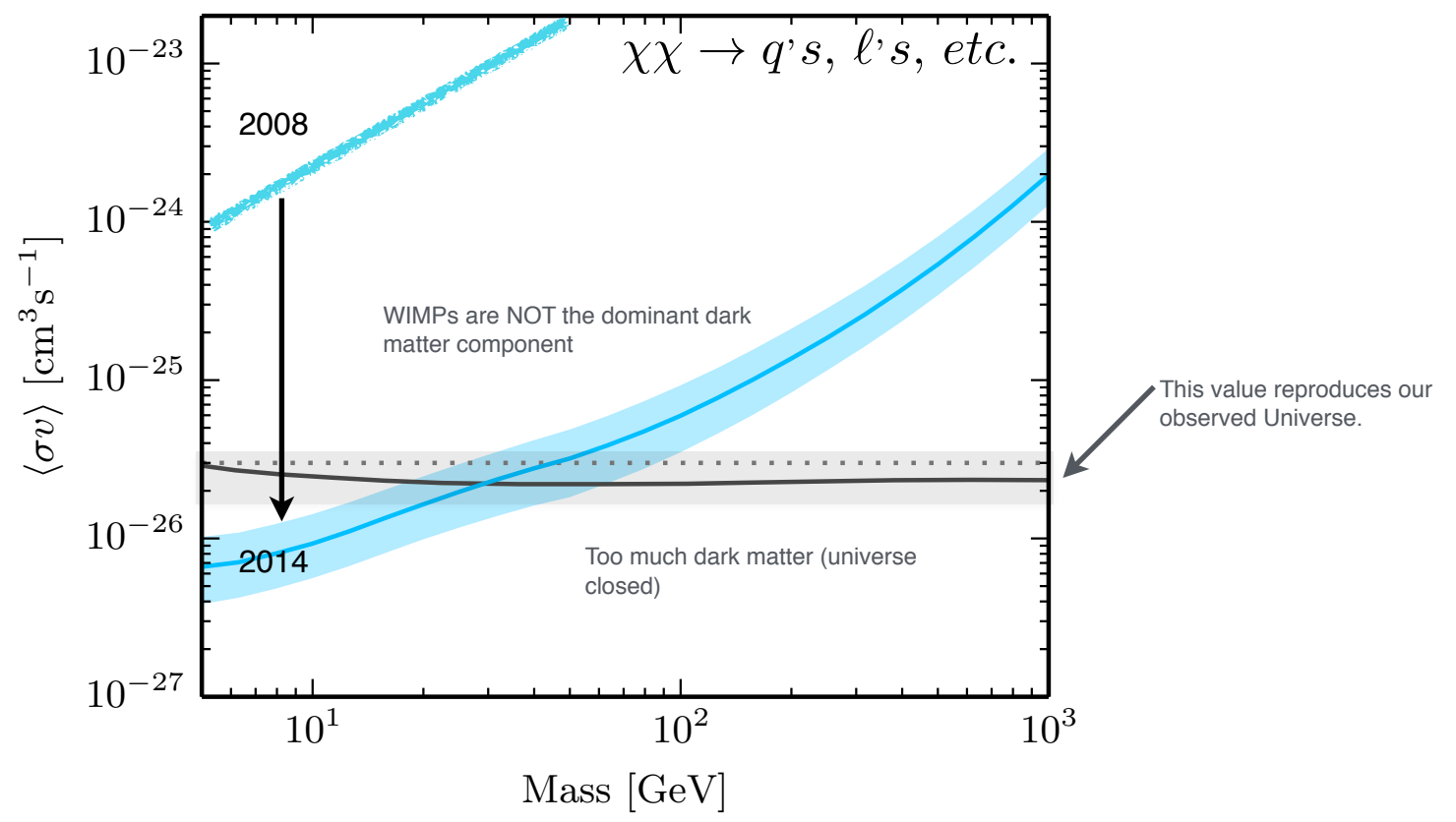
¹*Department of Physics, Brown University, 182 Hope St., Providence, Rhode Island 02912, USA*

²*Institute for Theory and Computation, Harvard University, 60 Garden Street, Cambridge, Massachusetts 02138, USA*

(Received 5 April 2017; revised manuscript received 12 May 2017; published 24 July 2017)

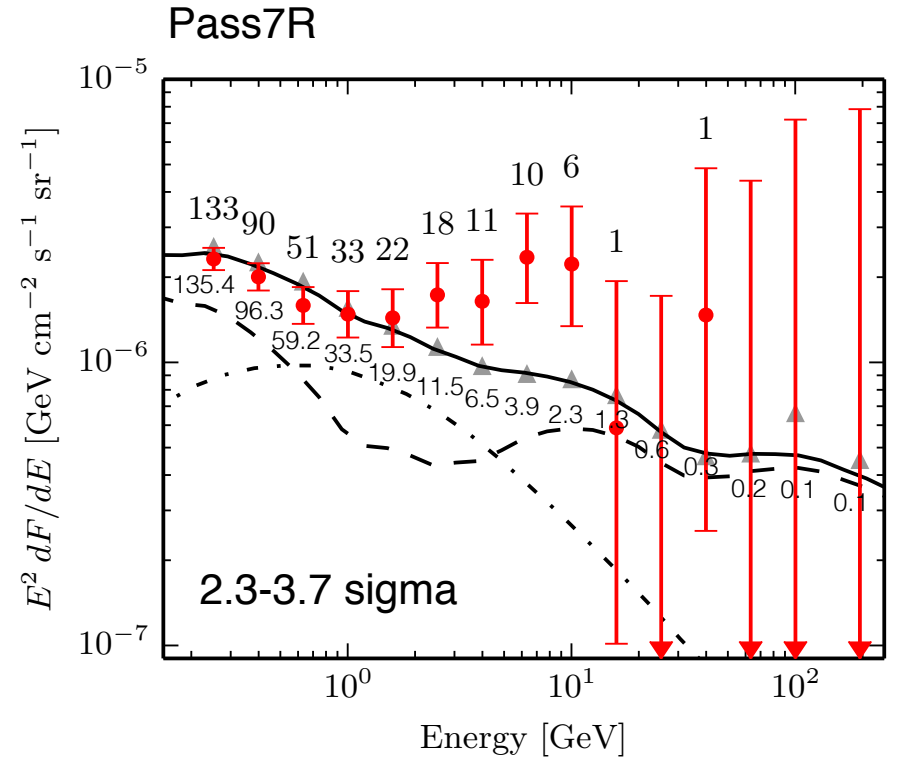
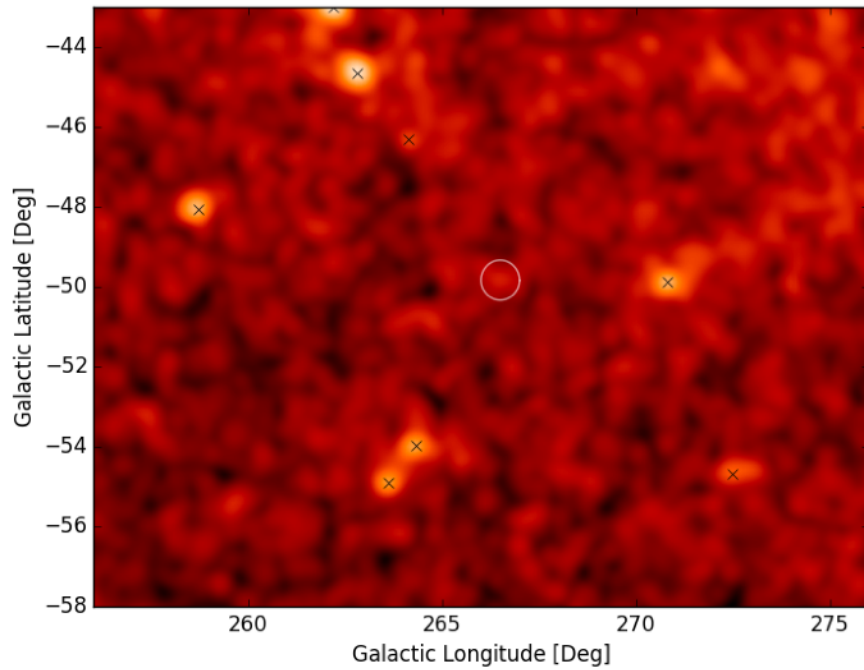


Dwarf galaxies — state of the art constraints on $\langle\sigma v\rangle$



Geringer-Sameth, Koushiappas & Walker, PRD 91, 083535 (2015),
see also ApJ 801, 74 (2014) & Ackermann et al., PRD 89, 042001 (2014) & 1503.02641

Reticulum II in gamma-rays



The structure of substructure

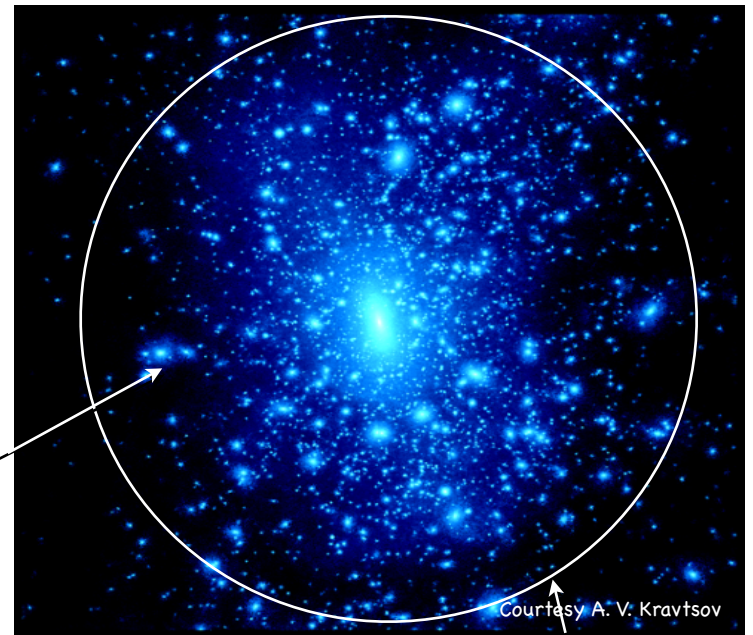


Small scales collapse first. The smaller the perturbation the earlier it collapses, the higher its density.

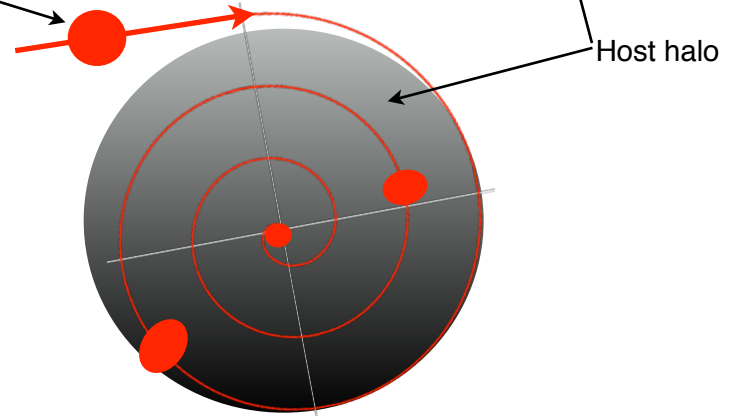
Dark matter halos contain **high density dark matter** substructure

The structure of substructure

The spectrum of dark matter subhalo properties originates from the host assembly history — a random realization set by initial conditions.

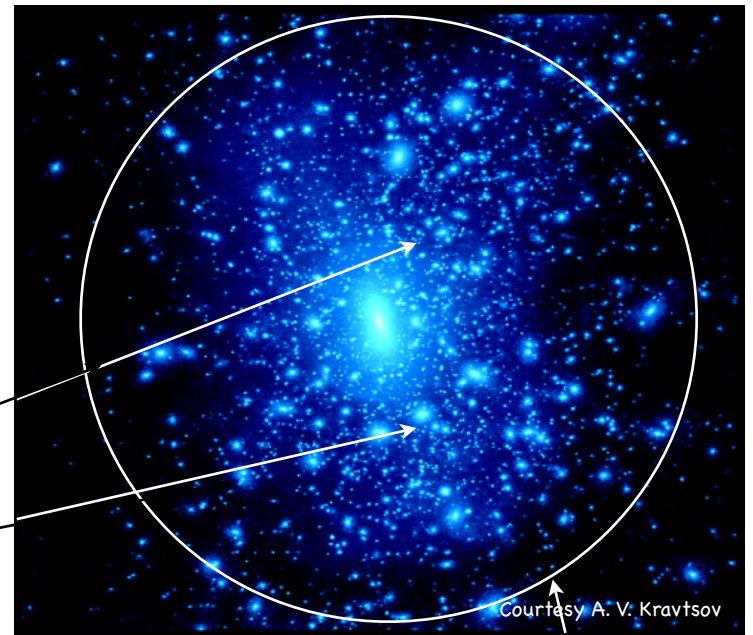


Accreted subhalo

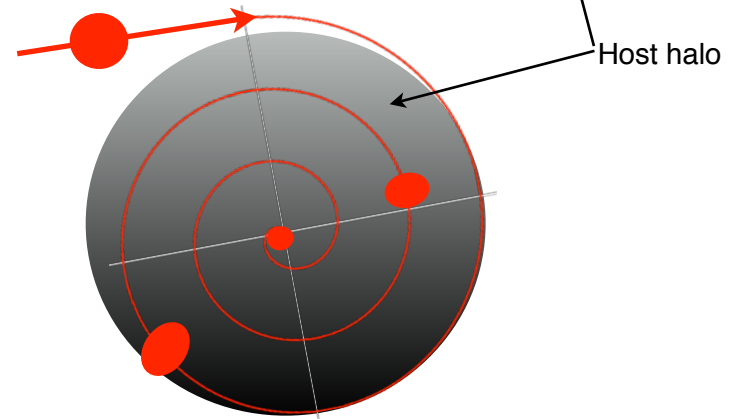


The structure of substructure

The spectrum of dark matter subhalo properties originates from the host assembly history — a random realization set by initial conditions.

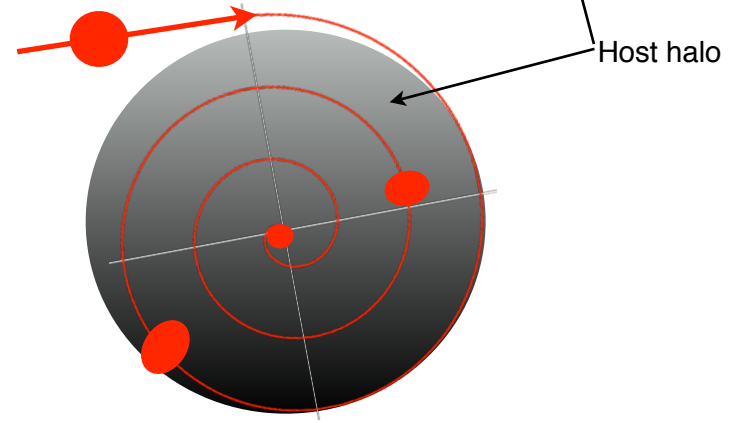
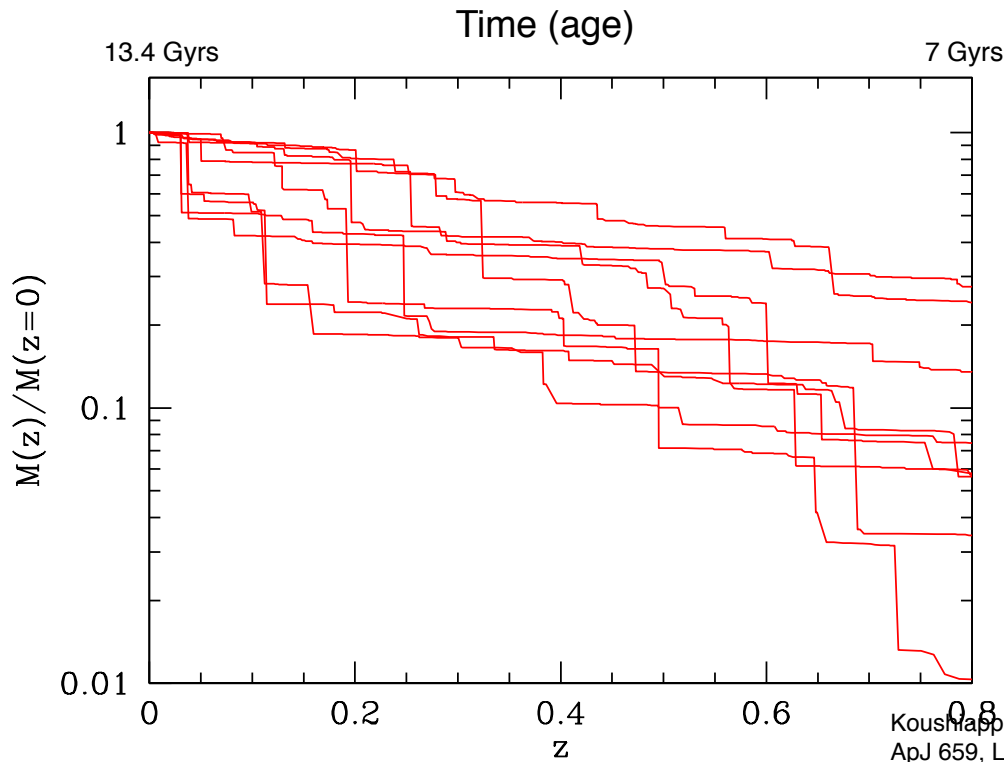
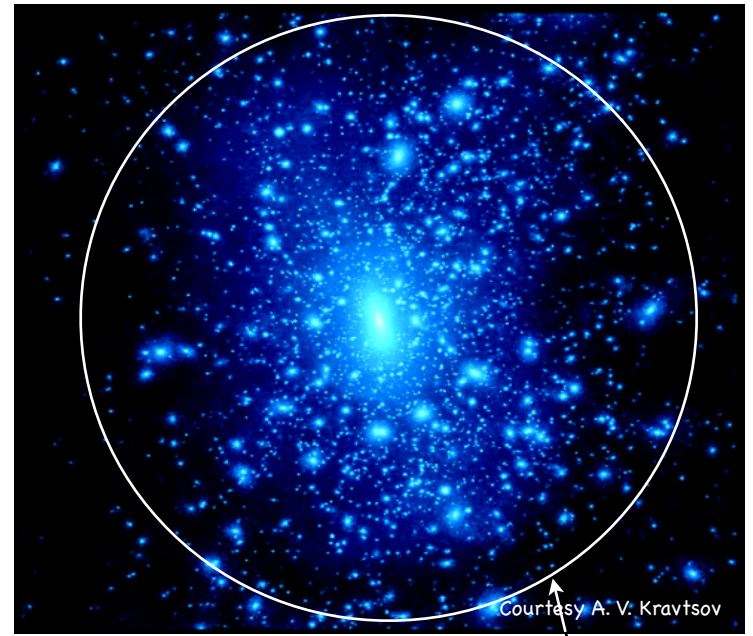


These two may have the **same mass**, but different history



The structure of substructure

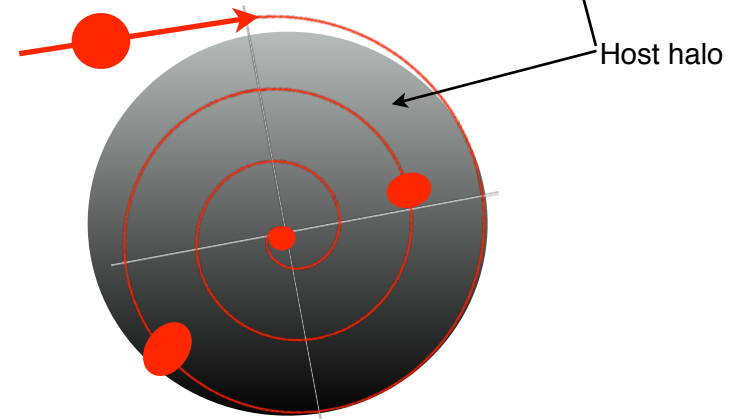
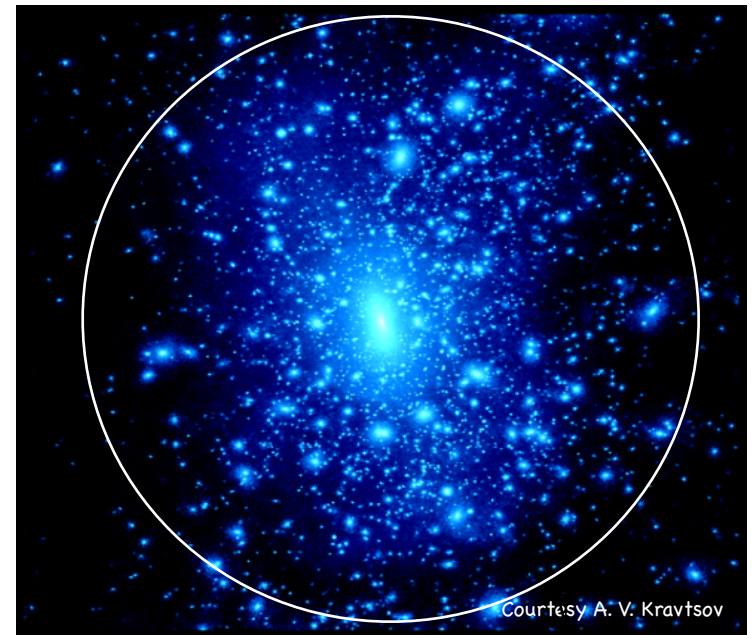
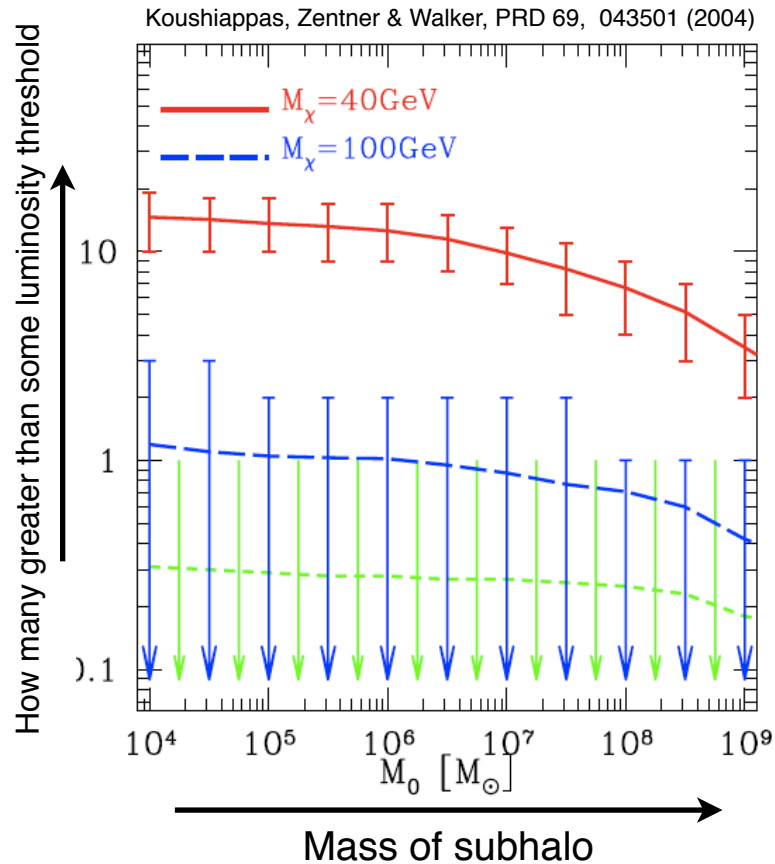
The spectrum of dark matter subhalo properties originates from the host assembly history — a random realization set by initial conditions.



Koushiappas, Zentner & Walker, PRD 69, 043501 (2004), but see also Baltz, Taylor & Wai, ApJ 659, L125 (2006), Kuhlen, Diemand & Madau, arXiv:0805.4416

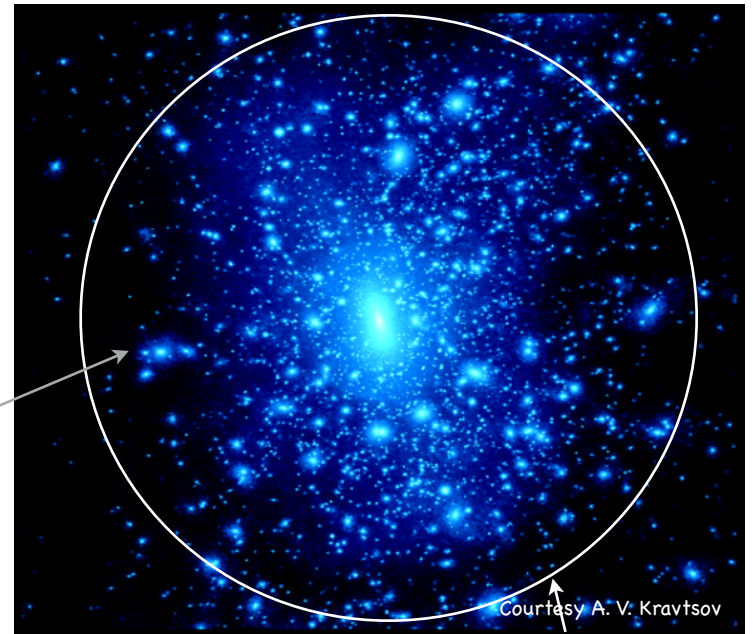
The structure of substructure

The spectrum of dark matter subhalo properties originates from the host assembly history — a random realization set by initial conditions.

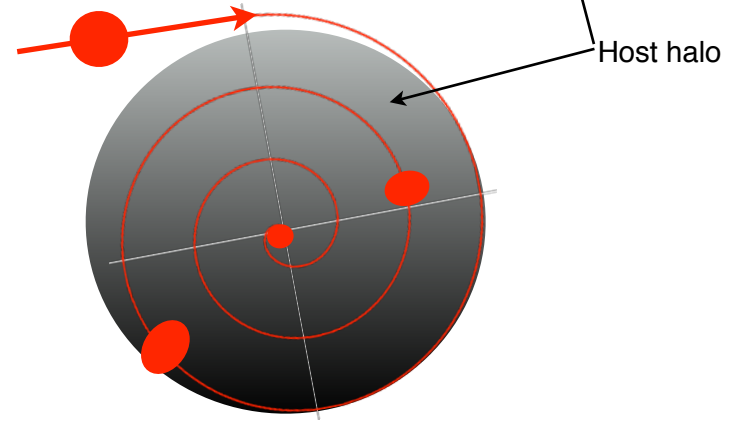


Koushiappas, Zentner & Walker, PRD 69, 043501 (2004), but see also Baltz, Taylor & Wai, ApJ 659, L125 (2006), Kuhlen, Diemand & Madau, arXiv:0805.4416

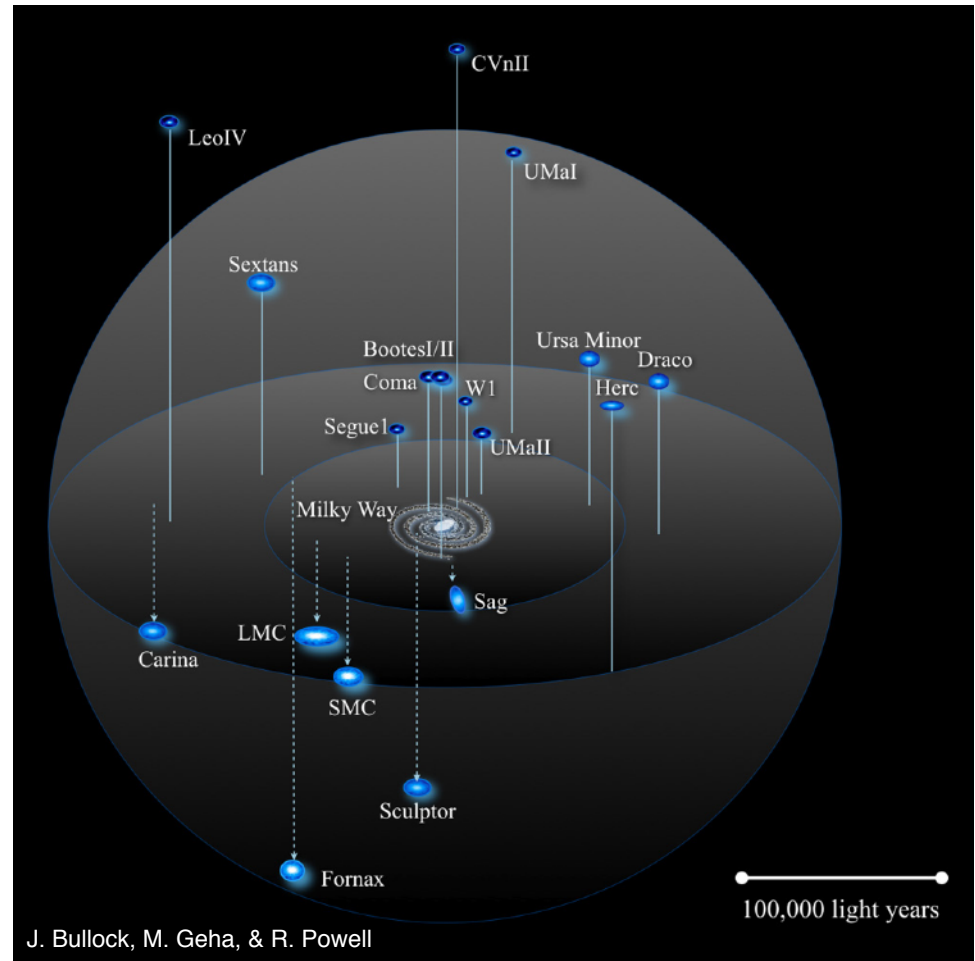
The structure of substructure



If these dark matter potential wells contain stars we call them **dwarf galaxies**



Dwarf galaxies

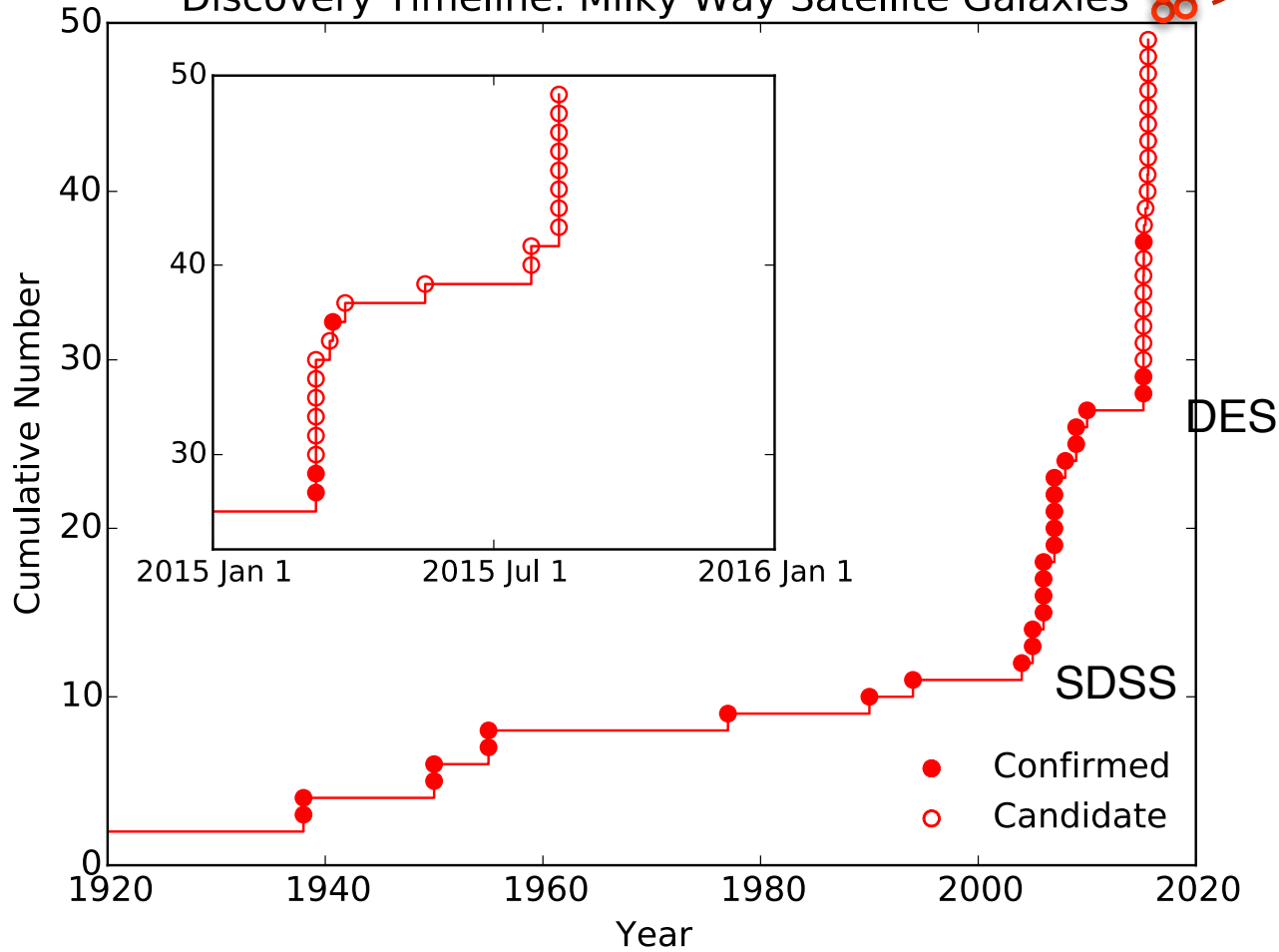


- High mass-to-light ratio (i.e., dark matter dominated, very few stars)
- No known astrophysical background (no gas, stars are old)

Dwarf galaxies

December 15, 2017 new DES dwarfs according to my secret reliable DES source!

Discovery Timeline: Milky Way Satellite Galaxies



From Keith Bechtol's talk TAUP 2015



Dwarf galaxies: reconstructing the gravitational potential well



$$n(r) \propto f(\mathbf{v}) \text{ (Newton)}$$

$$\mathbf{v} \propto f'(\sigma_{\perp}) \text{ (Jeans)}$$



Stellar kinematics

- High mass-to-light ratio (i.e., dark matter dominated, very few stars)
- No known astrophysical background (no gas, stars are old)

Dwarf galaxies: reconstructing the gravitational potential well



- High mass-to-light ratio (i.e., dark matter dominated, very few stars)
- No known astrophysical background (no gas, stars are old)

Dwarf galaxies: reconstructing the gravitational potential well

$$\frac{1}{v(r)} \frac{d}{dr} \left[v(r) \overline{u_r^2}(r) \right] + 2 \frac{\beta_a(r) \overline{u_r^2}(r)}{r} = -\frac{d\Phi}{dr} = -\frac{GM(r)}{r^2}$$

$$\beta_a(r) \equiv 1 - \frac{2\overline{u_\theta^2}(r)}{\overline{u_r^2}(r)} \quad M(r) = 4\pi \int_0^r s^2 \rho(s) ds$$

$$\sigma^2(R) \Sigma(R) = 2 \int_R^\infty \left(1 - \beta_a(r) \frac{R^2}{r^2} \right) \frac{v(r) \overline{u_r^2}(r) r}{\sqrt{r^2 - R^2}} dr$$

THE ASTROPHYSICAL JOURNAL, 801:74 (18pp), 2015 March 10

doi:[10.1088/0004-637X/801/2/74](https://doi.org/10.1088/0004-637X/801/2/74)

© 2015. The American Astronomical Society. All rights reserved.

DWARF GALAXY ANNIHILATION AND DECAY EMISSION PROFILES FOR DARK MATTER EXPERIMENTS

ALEX GERINGER-SAMETH^{1,2}, SAVVAS M. KOUSHIAPPAS¹, AND MATTHEW WALKER²

¹ Department of Physics, Brown University, Providence, RI 02912, USA; alexgs@cmu.edu, koushiappas@brown.edu

² McWilliams Center for Cosmology, Department of Physics, Carnegie Mellon University, Pittsburgh, PA 15213, USA; mgwalker@andrew.cmu.edu

Received 2014 August 4; accepted 2014 December 19; published 2015 March 4

Dwarf galaxies: reconstructing the gravitational potential well

Plummer vs Flexible profile for stars

Dark matter distribution

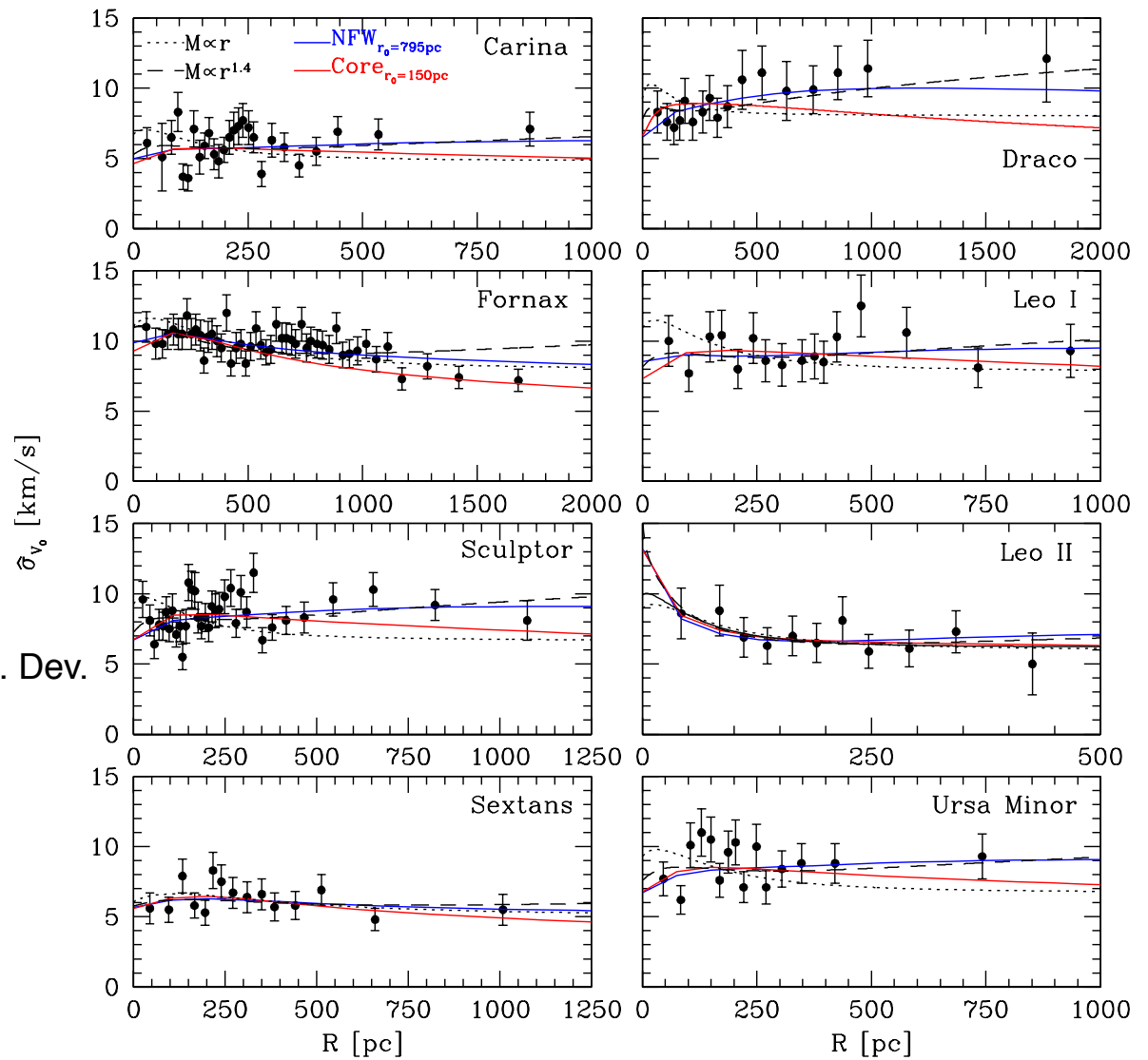
Artificial vs no artificial truncation

Anisotropies in vel. disp.

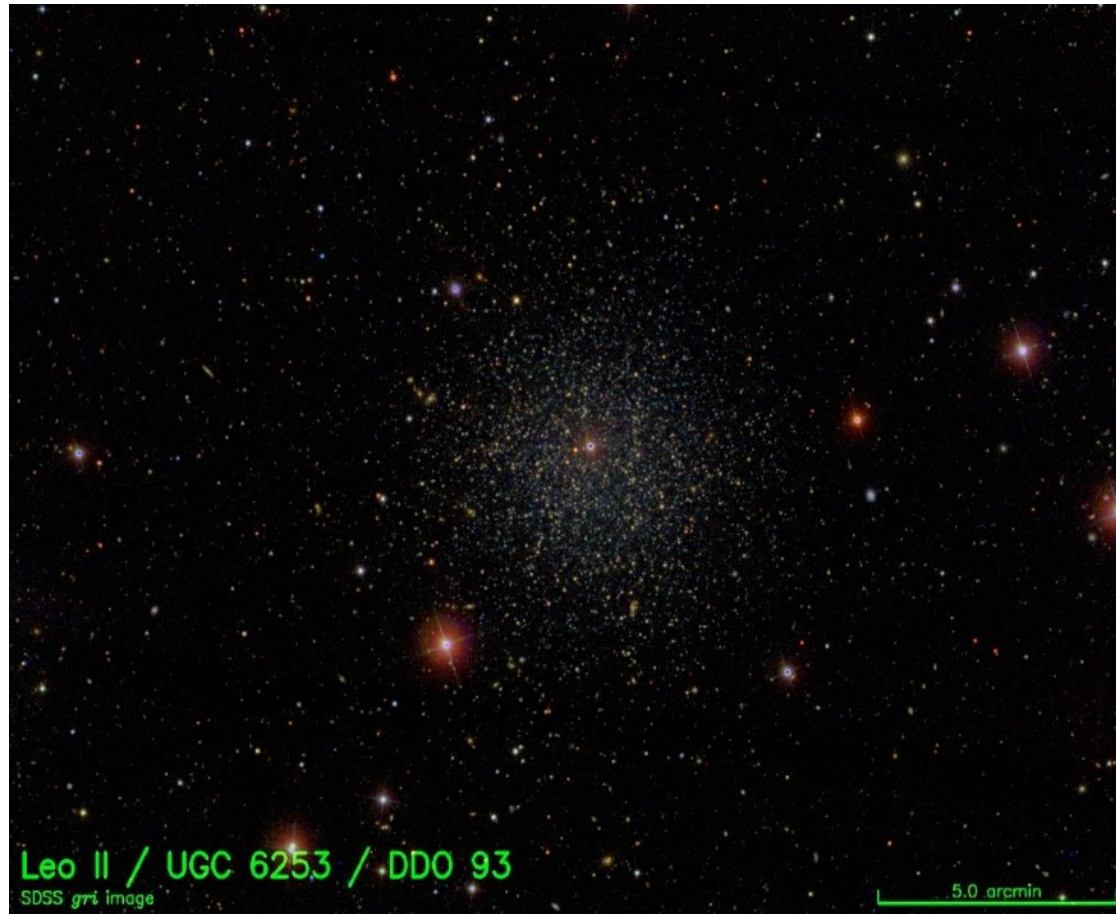
Assumptions on the distribution

Quoted errors are percentiles vs Std. Dev.

Membership probabilities

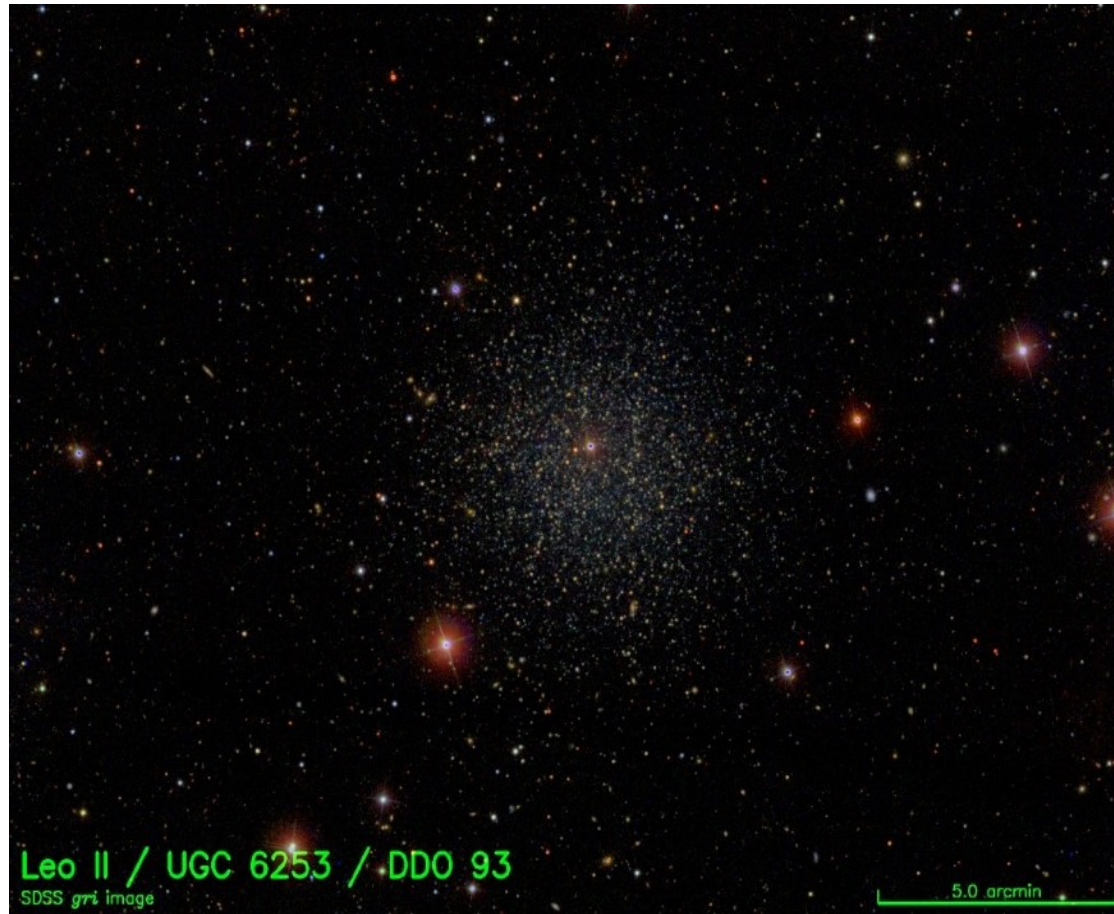


Dwarf galaxies: **Dark matter** dominated systems with few stars



Primordial black hole

Dwarf galaxies: ~~Dark matter~~ dominated systems with few stars

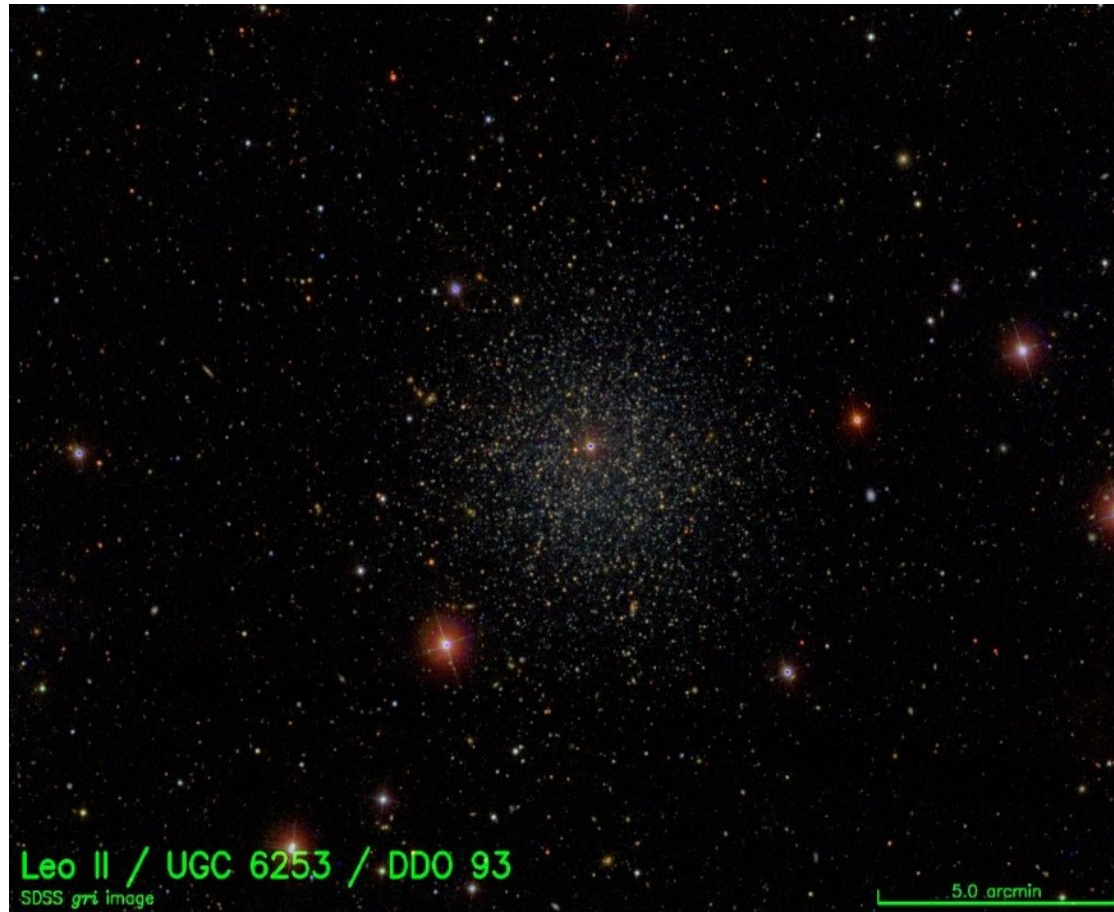


Primordial black hole

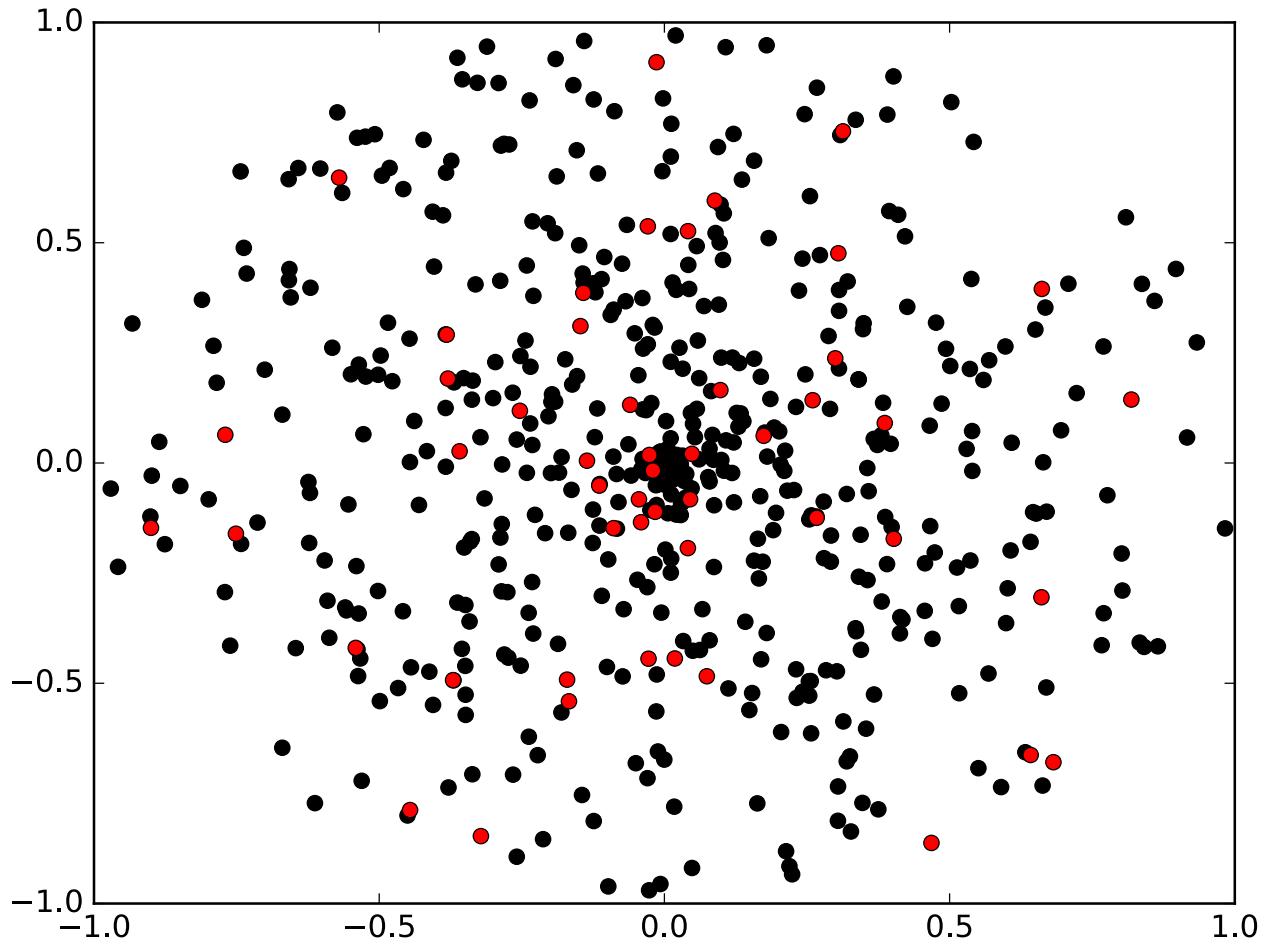
Dwarf galaxies: ~~Dark matter~~ dominated systems with few stars

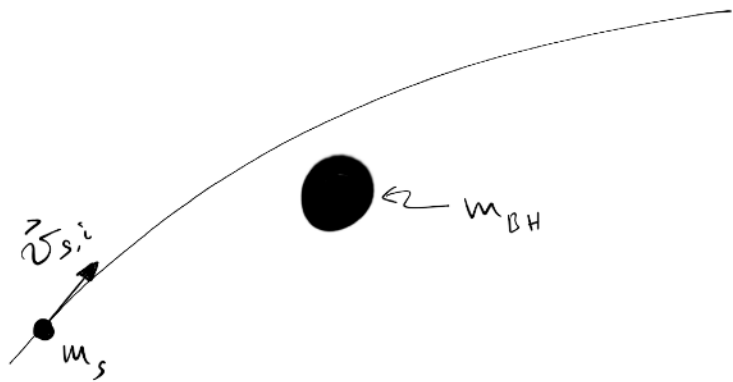
$$m_{\text{BH}} \approx 30M_{\odot}$$

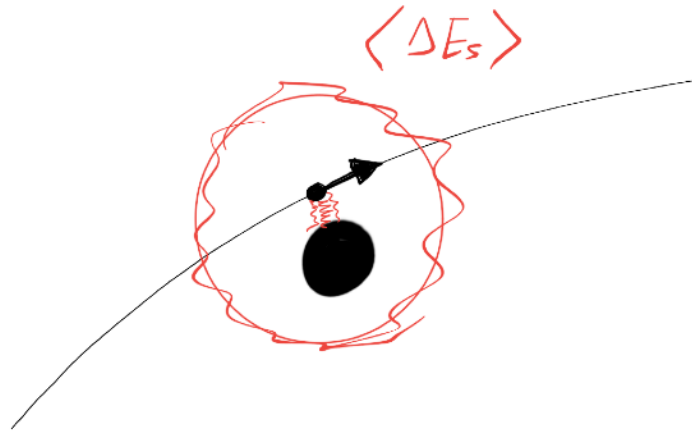
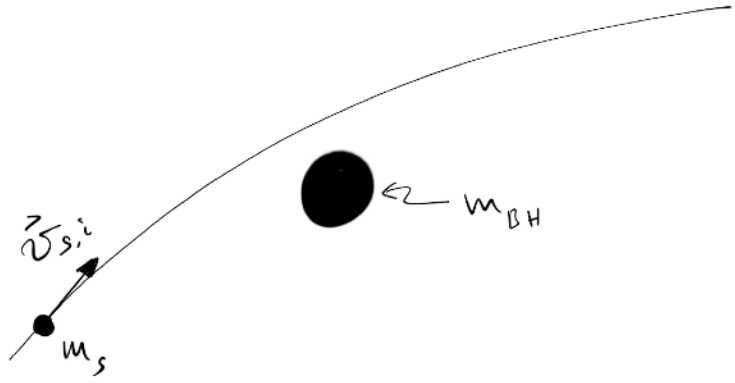
$$m_{\text{s}} \approx 1M_{\odot}$$

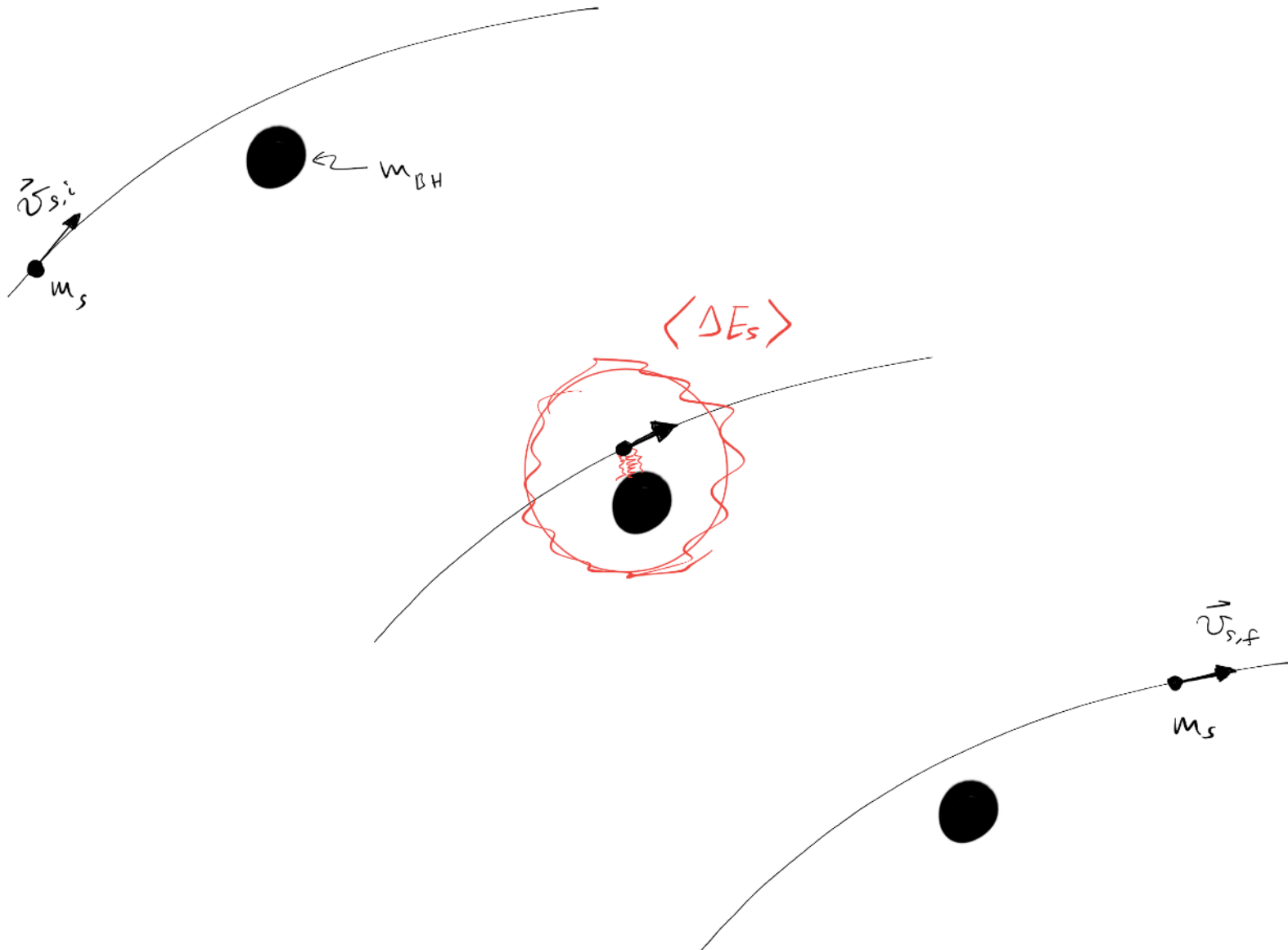


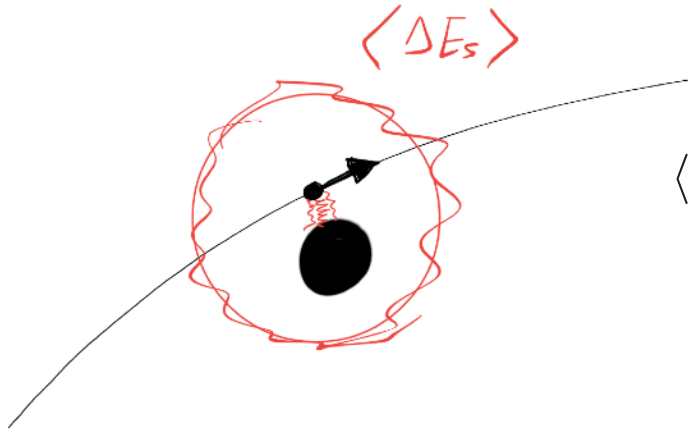
Dwarf galaxies: **Primordial black hole** dominated systems with few stars











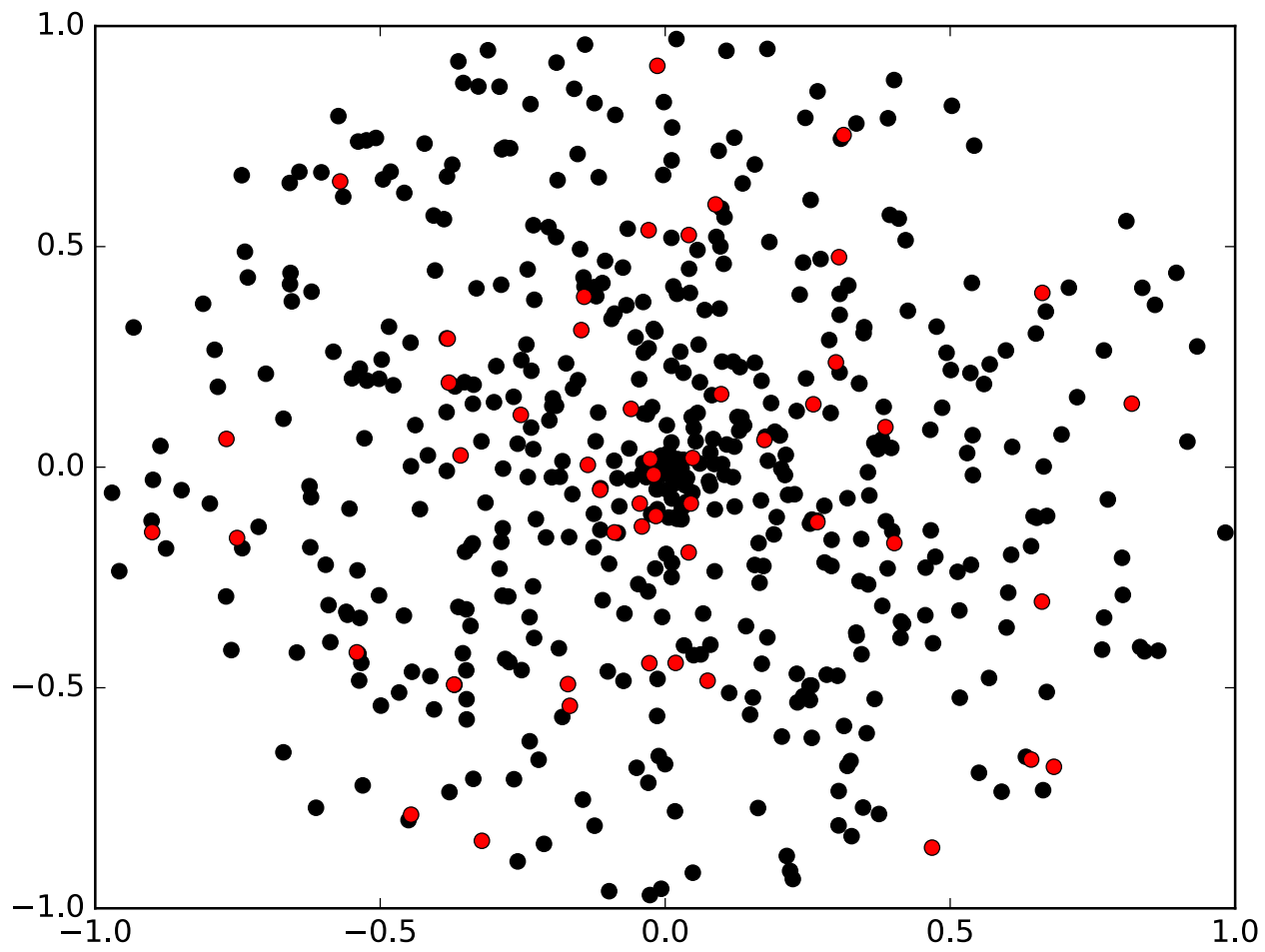
Single interaction

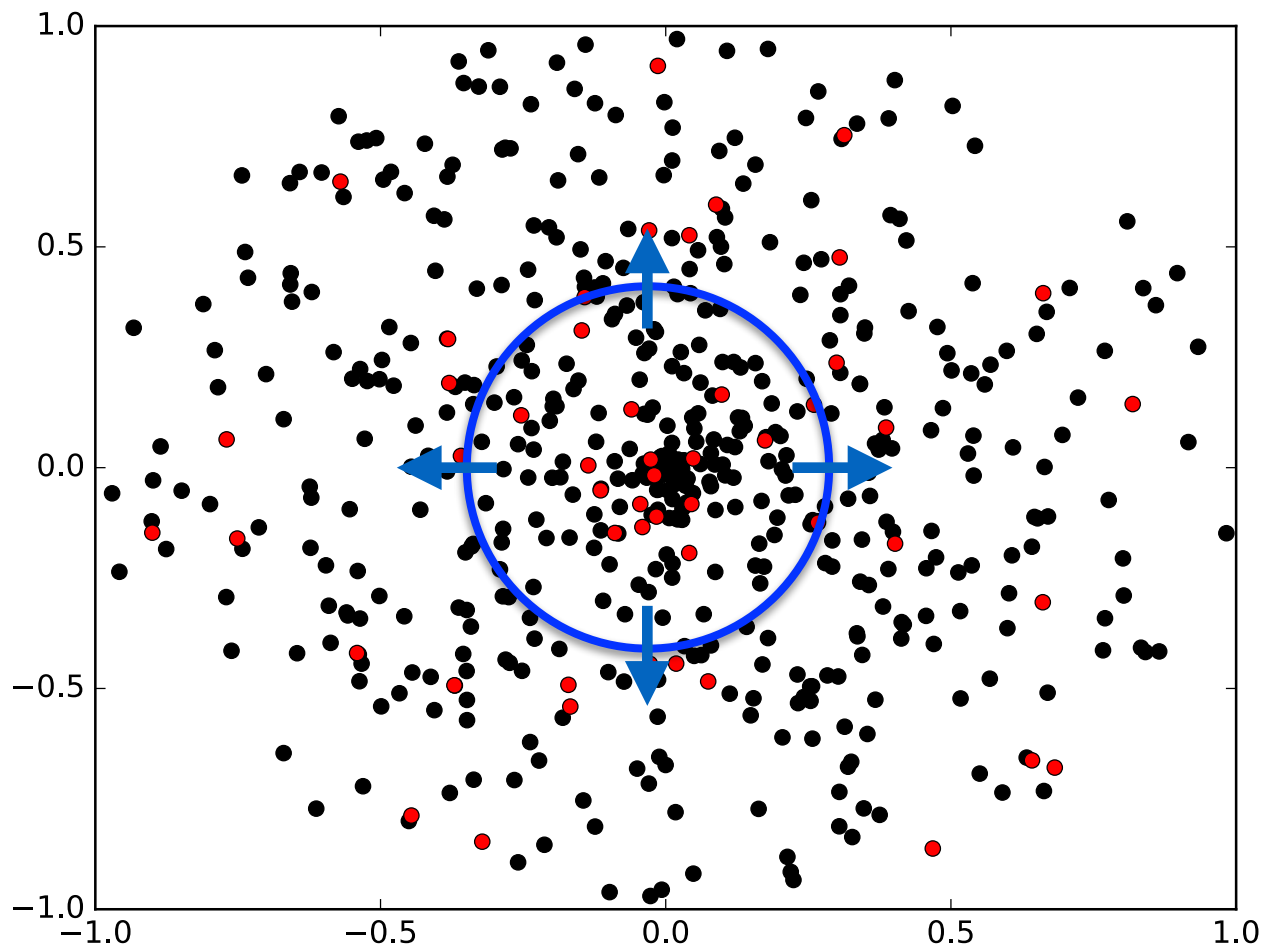
$$\begin{aligned} \langle \Delta E \rangle_s &= v_s \langle \Delta v_{s,\parallel} \rangle + \frac{1}{2} \langle (\Delta v_{s,\parallel})^2 \rangle + \frac{1}{2} \langle (\Delta v_{s,\perp})^2 \rangle \\ &= \frac{4\pi G^2 m_{\text{BH}} \rho_{\text{BH}} \ln \Lambda}{v_s} \\ &\quad \times \left[-\frac{m_s}{m_{\text{BH}}} \text{erf}(X) + \left(1 + \frac{m_s}{m_{\text{BH}}} \right) X \text{erf}'(X) \right] \end{aligned}$$

Mean change of KE

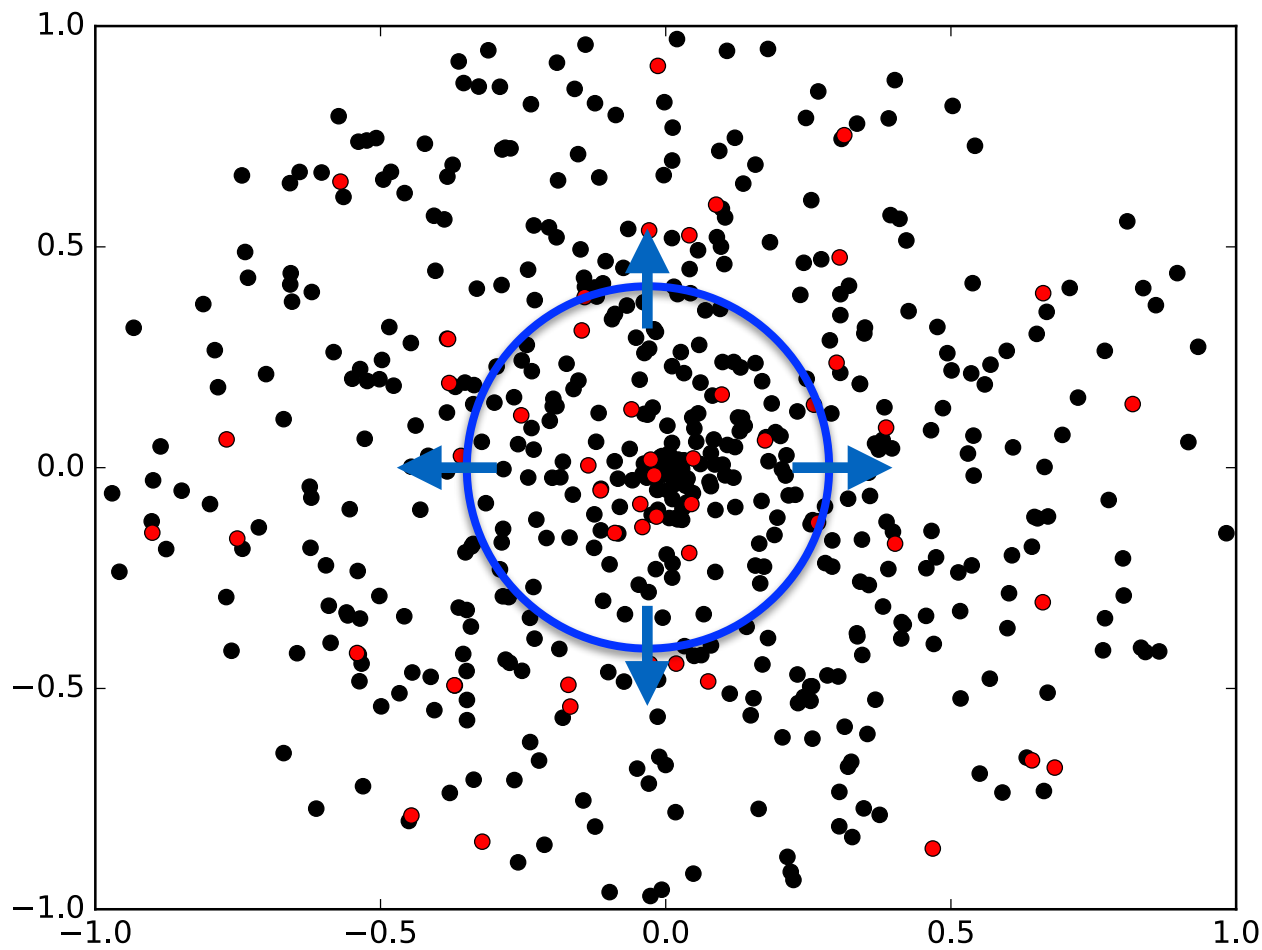
$$\frac{dE_s}{dt} = \sqrt{\frac{2}{\pi}} \frac{1}{\sigma_s^3} \int_0^\infty m_s \langle \Delta E \rangle_s v_s^2 e^{-v_s^2/2\sigma_s^2} dv_s$$

$$\frac{dE_s}{dt} = \frac{\sqrt{96\pi} G^2 m_s \rho_{\text{BH}} \ln \Lambda}{[\langle v_s^2 \rangle + \langle v_{\text{BH}}^2 \rangle]^{3/2}} [m_{\text{BH}} \langle v_{\text{BH}}^2 \rangle - m_s \langle v_s^2 \rangle]$$





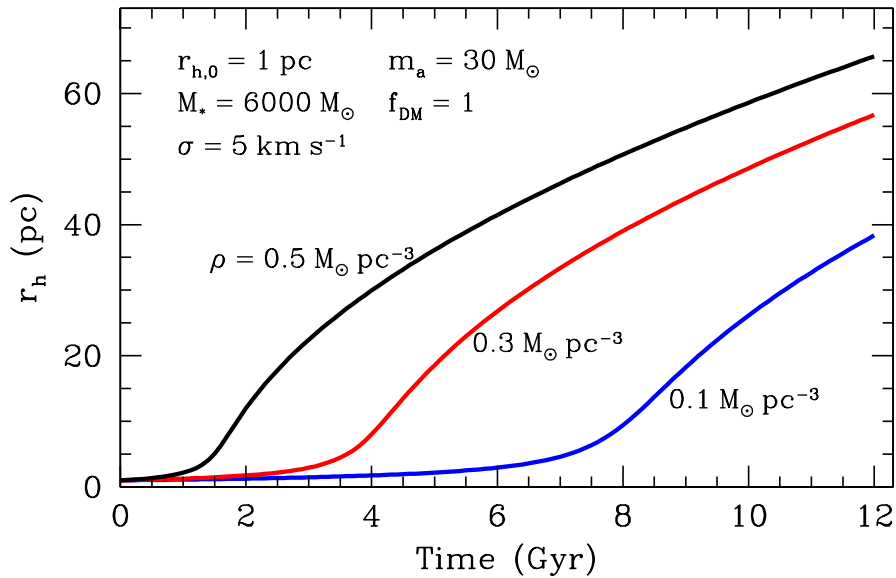
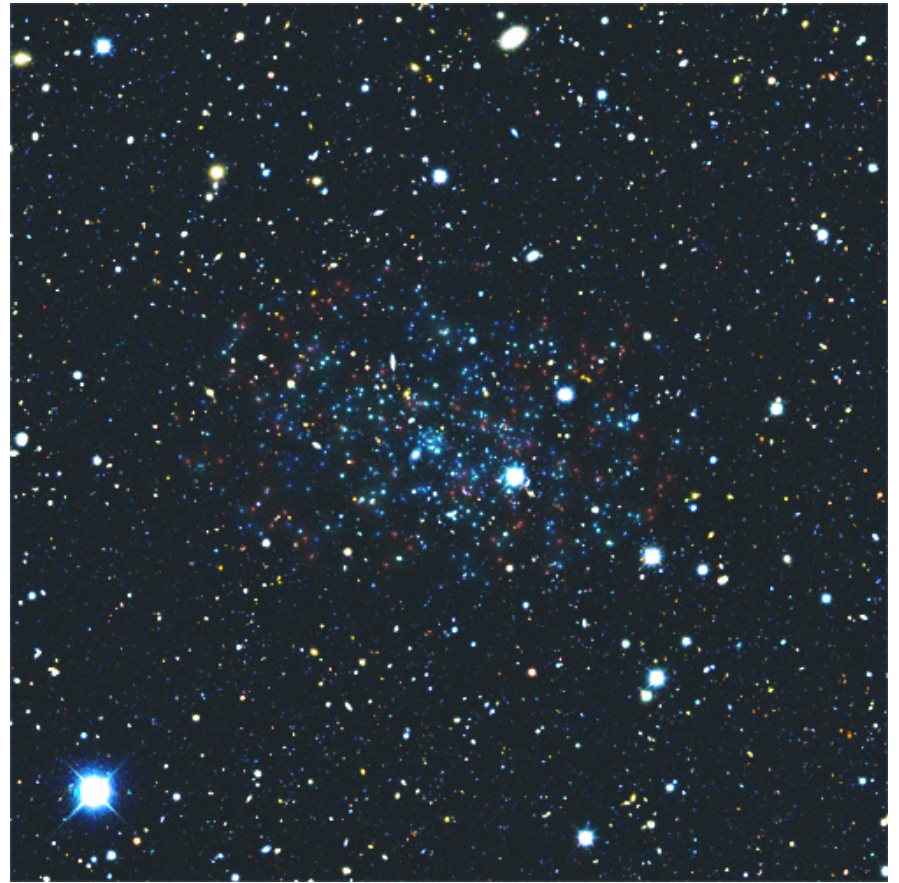
$$\frac{dr}{dt} = \frac{4\sqrt{2}\pi G f_{\text{DM}} m_{\text{BH}}}{\sigma} \ln \Lambda \left(\alpha \frac{M_s}{\rho_{\text{DM}} r^2} + 2\beta r \right)^{-1}$$



Look at the evolution of the half-light radius

$$\frac{dr}{dt} = \frac{4\sqrt{2}\pi G f_{\text{DM}} m_{\text{BH}}}{\sigma} \ln \Lambda \left(\alpha \frac{M_s}{\rho_{\text{DM}} r^2} + 2\beta r \right)^{-1}$$

Eridanus II



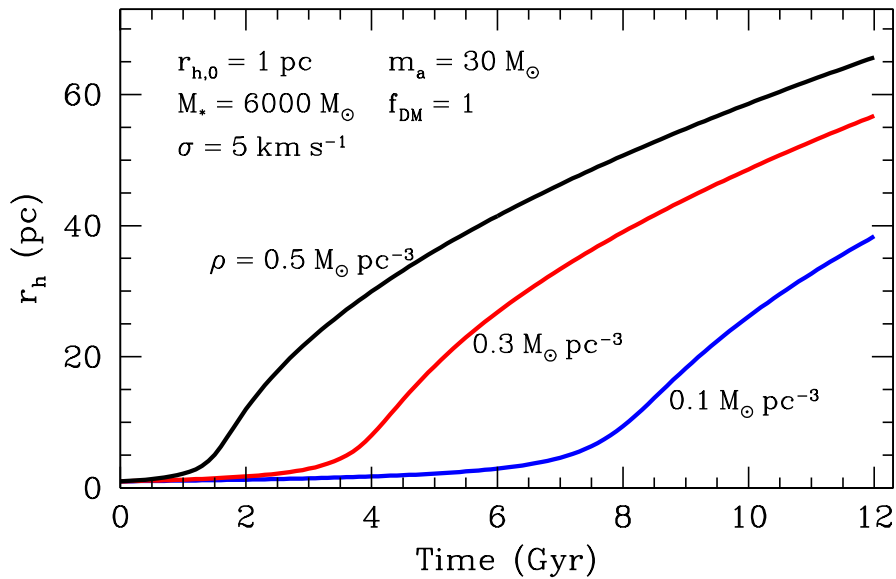
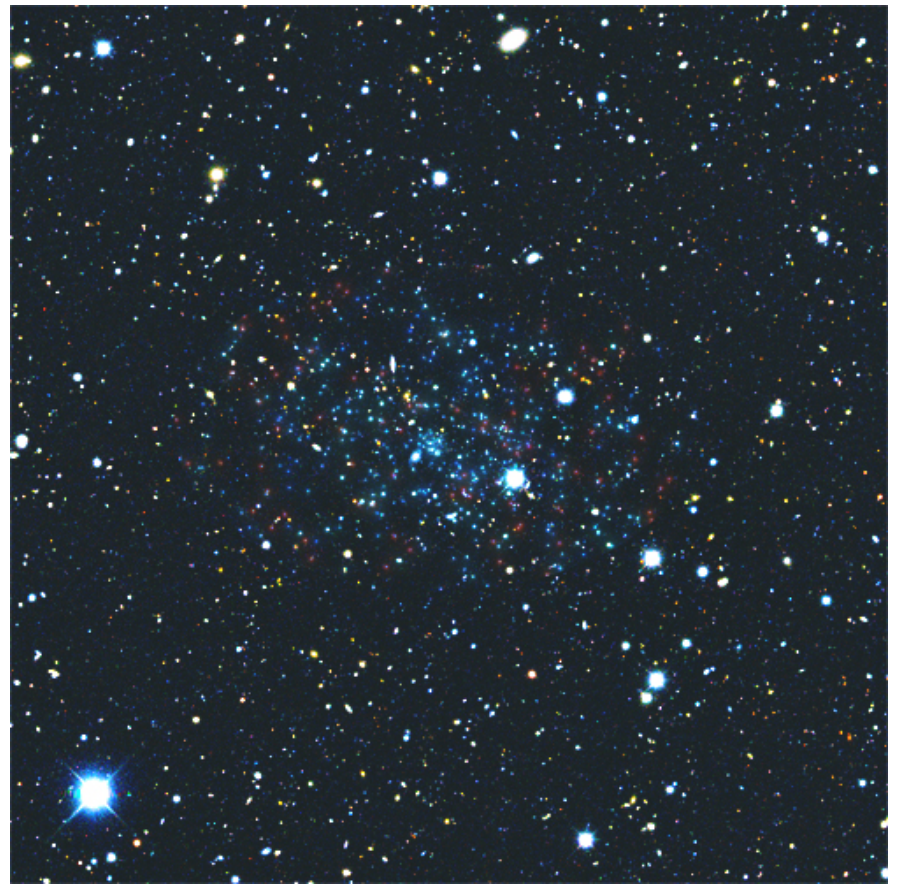
$$\frac{dr}{dt} = \frac{4\sqrt{2}\pi G f_{\text{DM}} m_{\text{BH}}}{\sigma} \ln \Lambda \left(\alpha \frac{M_s}{\rho_{\text{DM}} r^2} + 2\beta r \right)^{-1}$$

Eridanus II

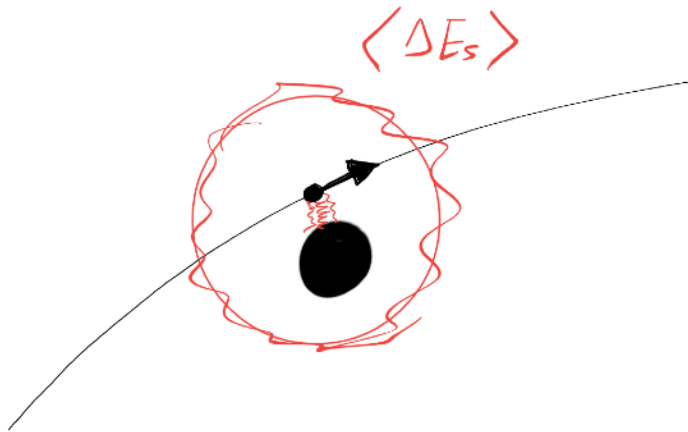
Velocity dispersion unknown

Dark matter distribution unknown

Use 1/2-light radius of the central cluster only

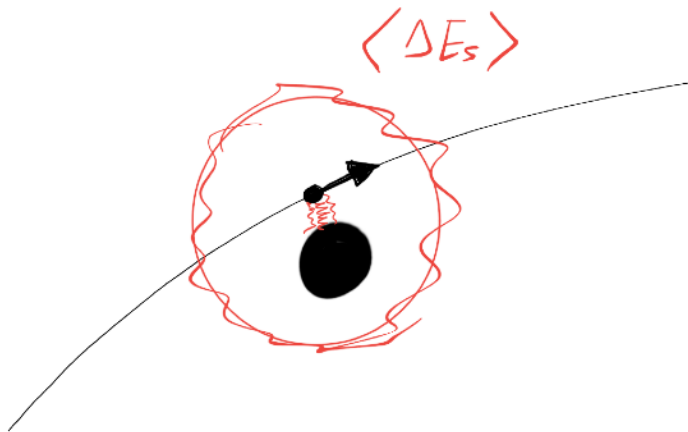


$$\frac{dr}{dt} = \frac{4\sqrt{2}\pi G f_{\text{DM}} m_{\text{BH}}}{\sigma} \ln \Lambda \left(\alpha \frac{M_s}{\rho_{\text{DM}} r^2} + 2\beta r \right)^{-1}$$



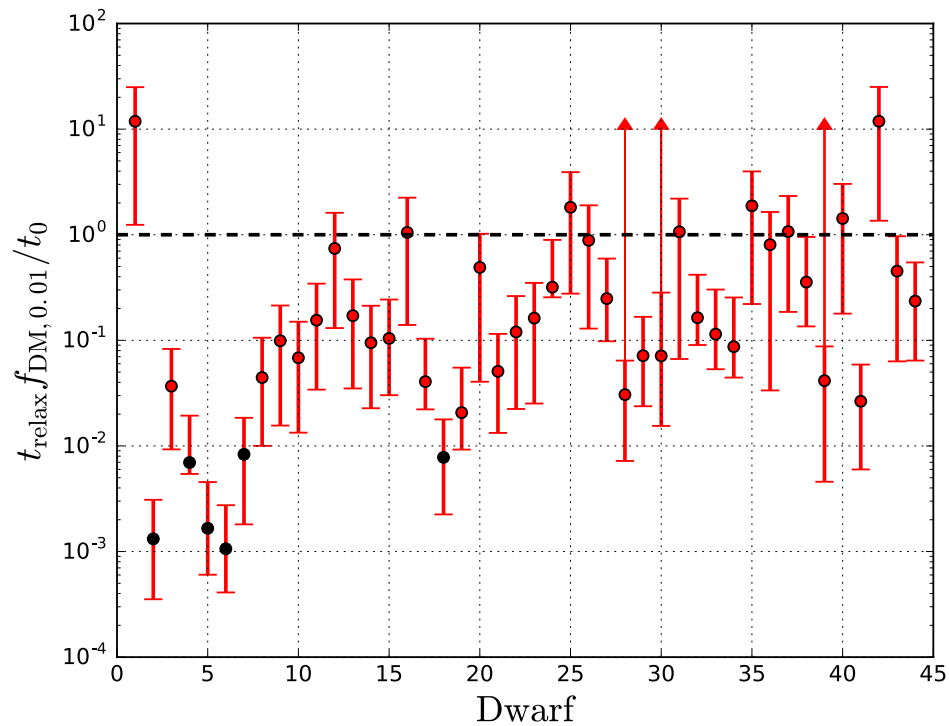
Time over which equipartition takes place

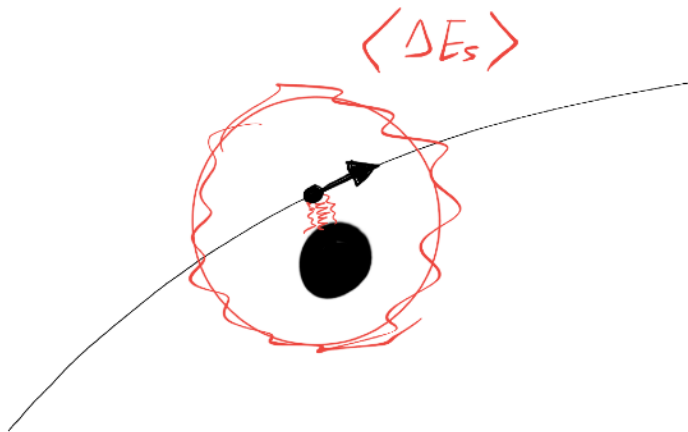
$$t_r = \frac{E_s}{dE_s/dt}$$



Time over which equipartition takes place

$$t_r = \frac{E_s}{dE_s/dt}$$



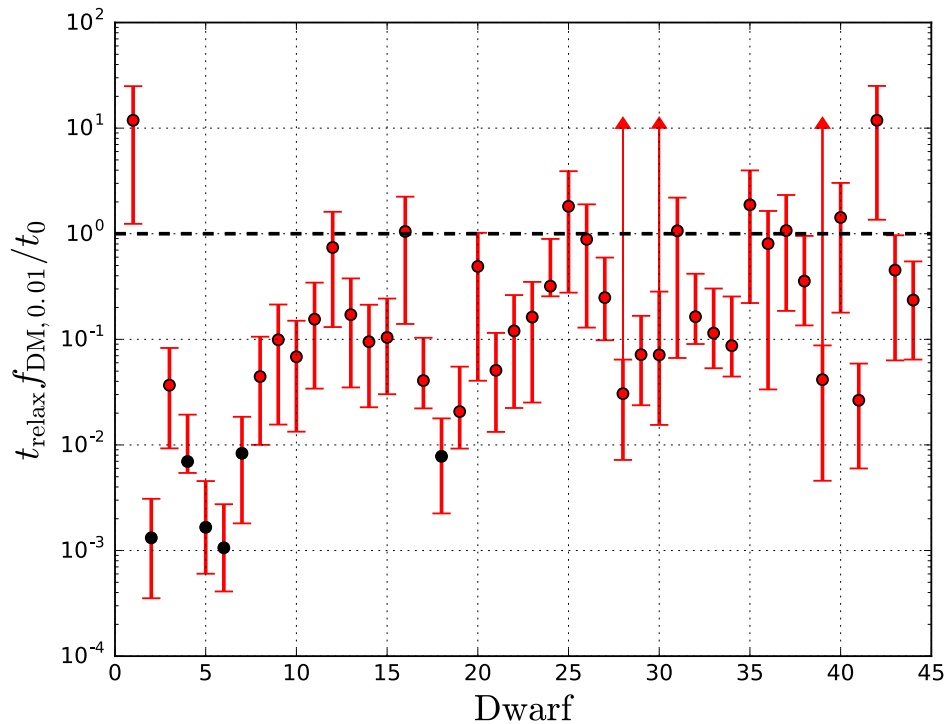


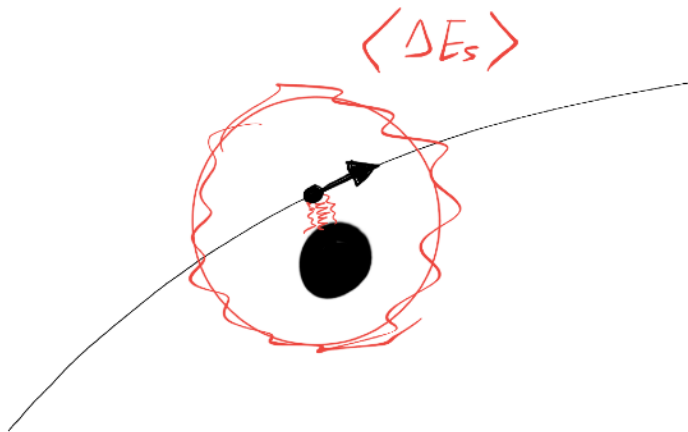
Time over which equipartition takes place

$$t_r = \frac{E_s}{dE_s/dt}$$

Dwarfs with smallest relaxation time

- Segue 1
- Boötes II
- Segue II
- Wilman 1
- Coma Berenices
- Canes Venatici II





Time over which equipartition takes place

$$t_r = \frac{E_s}{dE_s/dt}$$

Dwarfs with smallest relaxation time

Segue 1

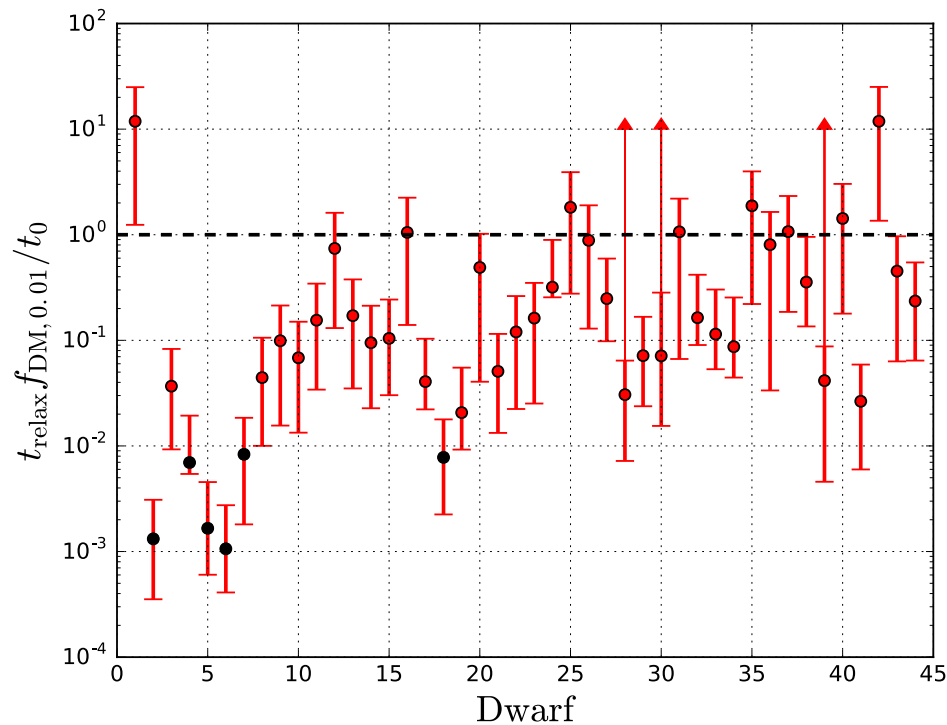
Boötes II

Segue II

Wilman 1

Coma Berenices

Canes Venatici II



A COMPLETE SPECTROSCOPIC SURVEY OF THE MILKY WAY SATELLITE SEGUE 1: THE DARKEST GALAXY*

JOSHUA D. SIMON¹, MARLA GEHA², QUINN E. MINOR³, GREGORY D. MARTINEZ³, EVAN N. KIRBY^{4,8}, JAMES S. BULLOCK³,
MANOJ KAPLINGHAT³, LOUIS E. STRIGARI^{5,8}, BETH WILLMAN⁶, PHILIP I. CHOI⁷, ERIK J. TOLLERUD³, AND JOE WOLF³

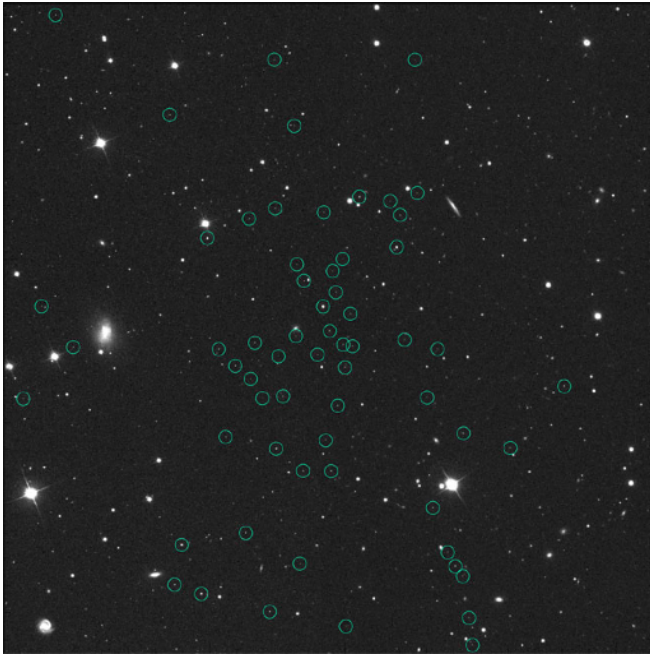
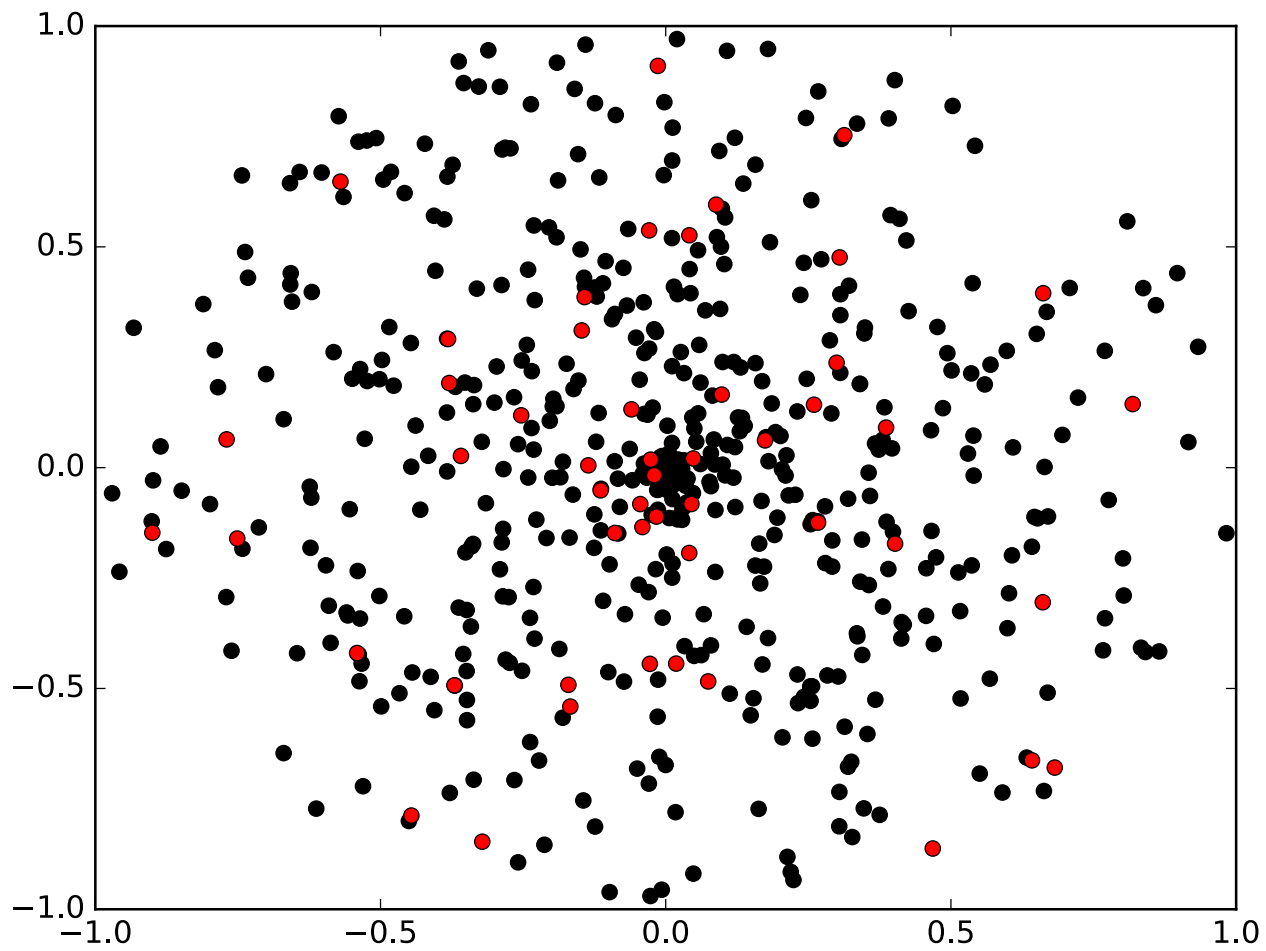


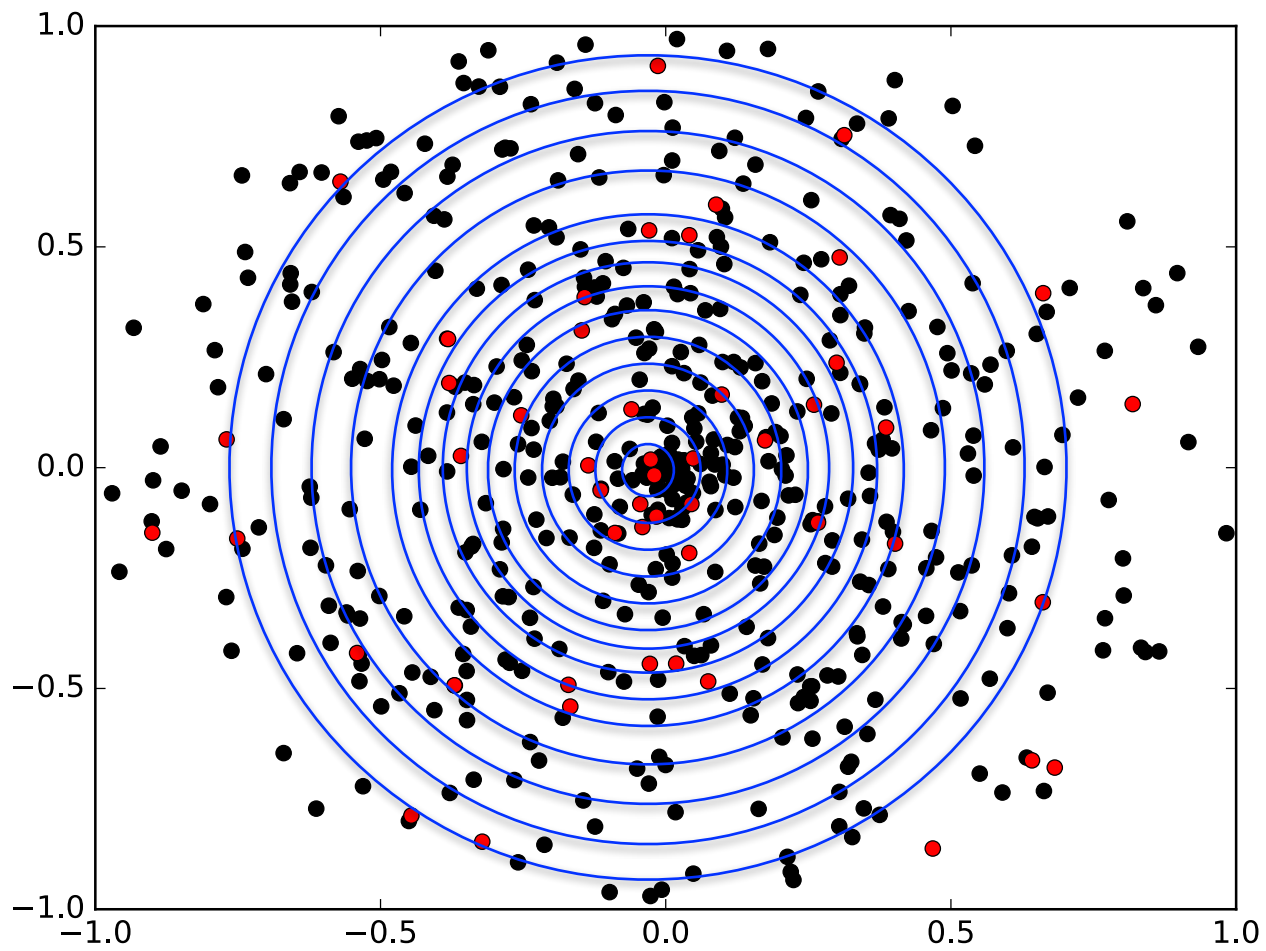
Table 1
Summary of Properties of Segue 1

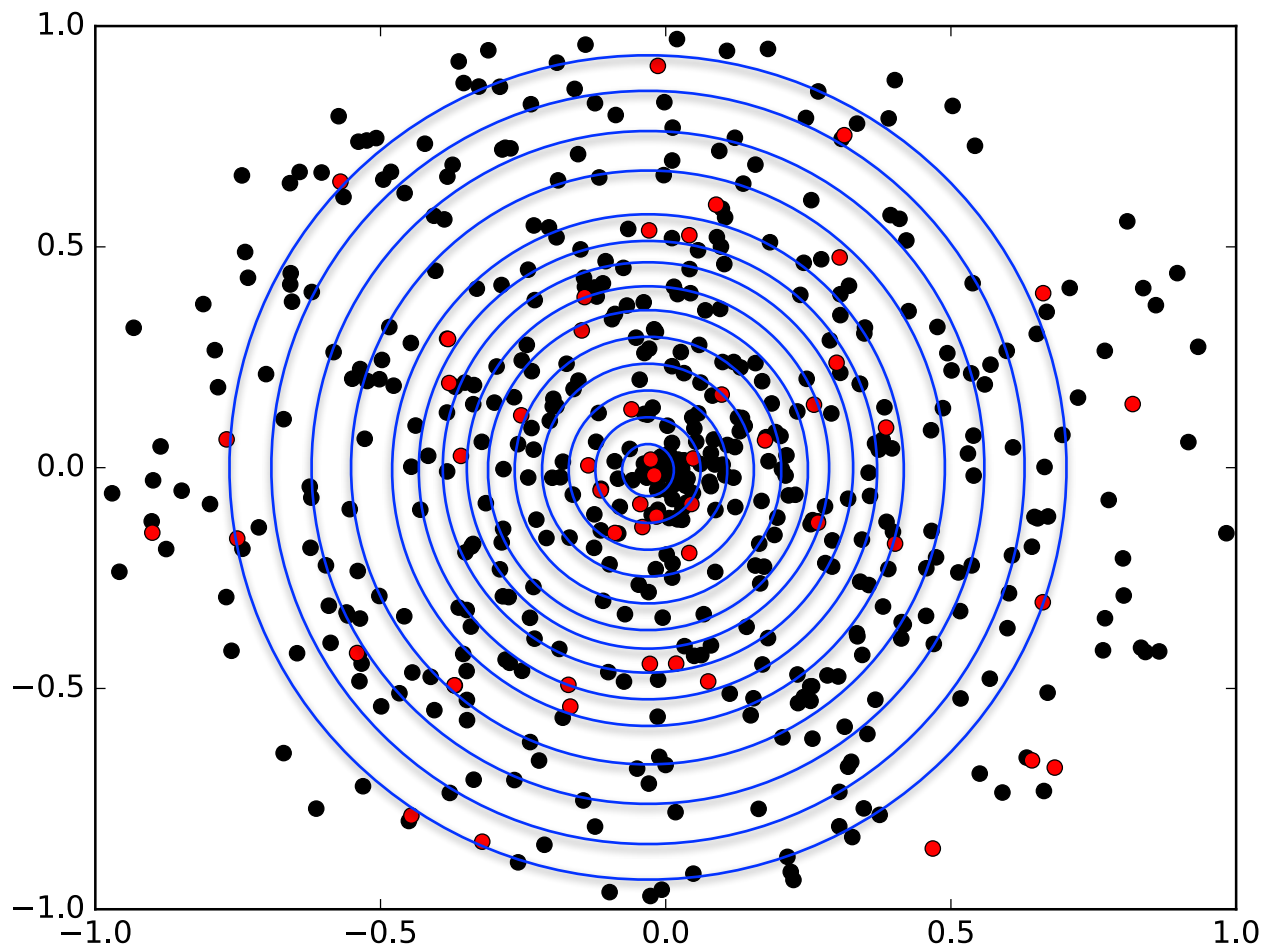
Row	Quantity	Value
(1)	R.A. (J2000) (h m s)	10:07:03.2 ± 1 ^s .7
(2)	Decl. (J2000) (° ' ")	+16:04:25 ± 15"
(3)	Distance (kpc)	23 ± 2
(4)	M_V	-1.5 ^{+0.6} _{-0.8}
(5)	$L_V (L_\odot)$	340
(6)	ϵ	0.48 ^{+0.10} _{-0.13}
(7)	$\mu_{V,0}$ (mag arcsec ⁻²)	27.6 ^{+1.0} _{-0.7}
(8)	r_{eff} (pc)	29 ⁺⁸ ₋₅
(9)	V_{hel} (km s ⁻¹)	208.5 ± 0.9
(10)	V_{GSR} (km s ⁻¹)	113.5 ± 0.9
(11)	σ (km s ⁻¹)	3.7 ^{+1.4} _{-1.1}
(12)	Mass (M_\odot)	5.8 ^{+8.2} _{-3.1} × 10 ⁵
(13)	$M/L_V (M_\odot/L_\odot)$	3400
(14)	Mean [Fe/H]	-2.5

DWARF GALAXY ANNIHILATION AND DECAY EMISSION PROFILES FOR DARK MATTER EXPERIMENTS

ALEX GERINGER-SAMETH^{1,2}, SAVVAS M. KOUSHIAPPAS¹, AND MATTHEW WALKER²



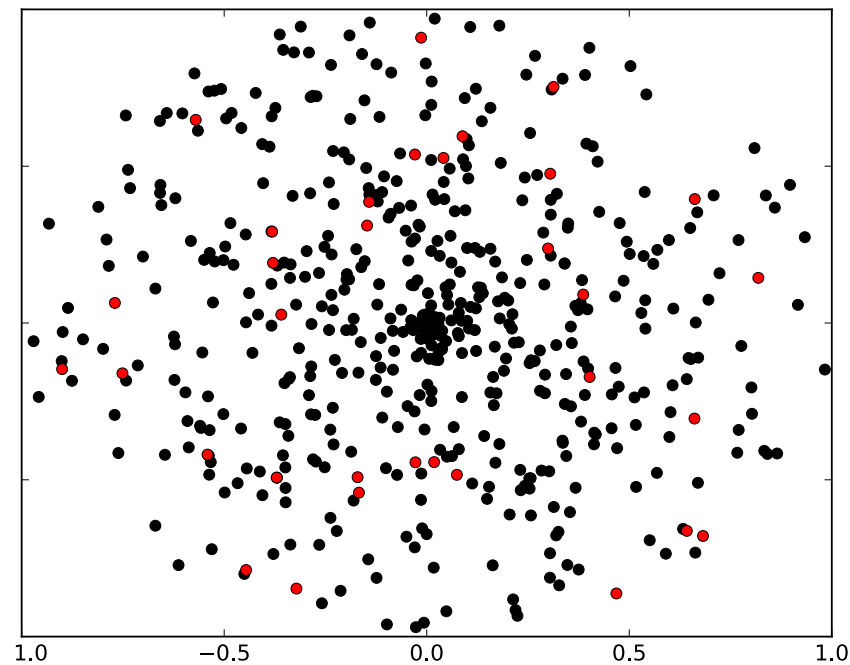
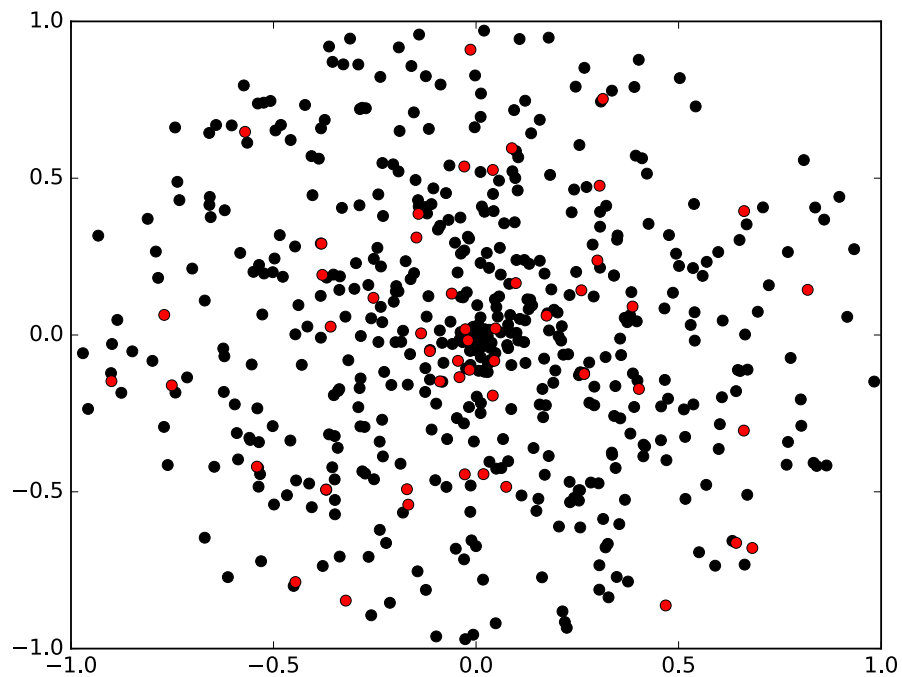




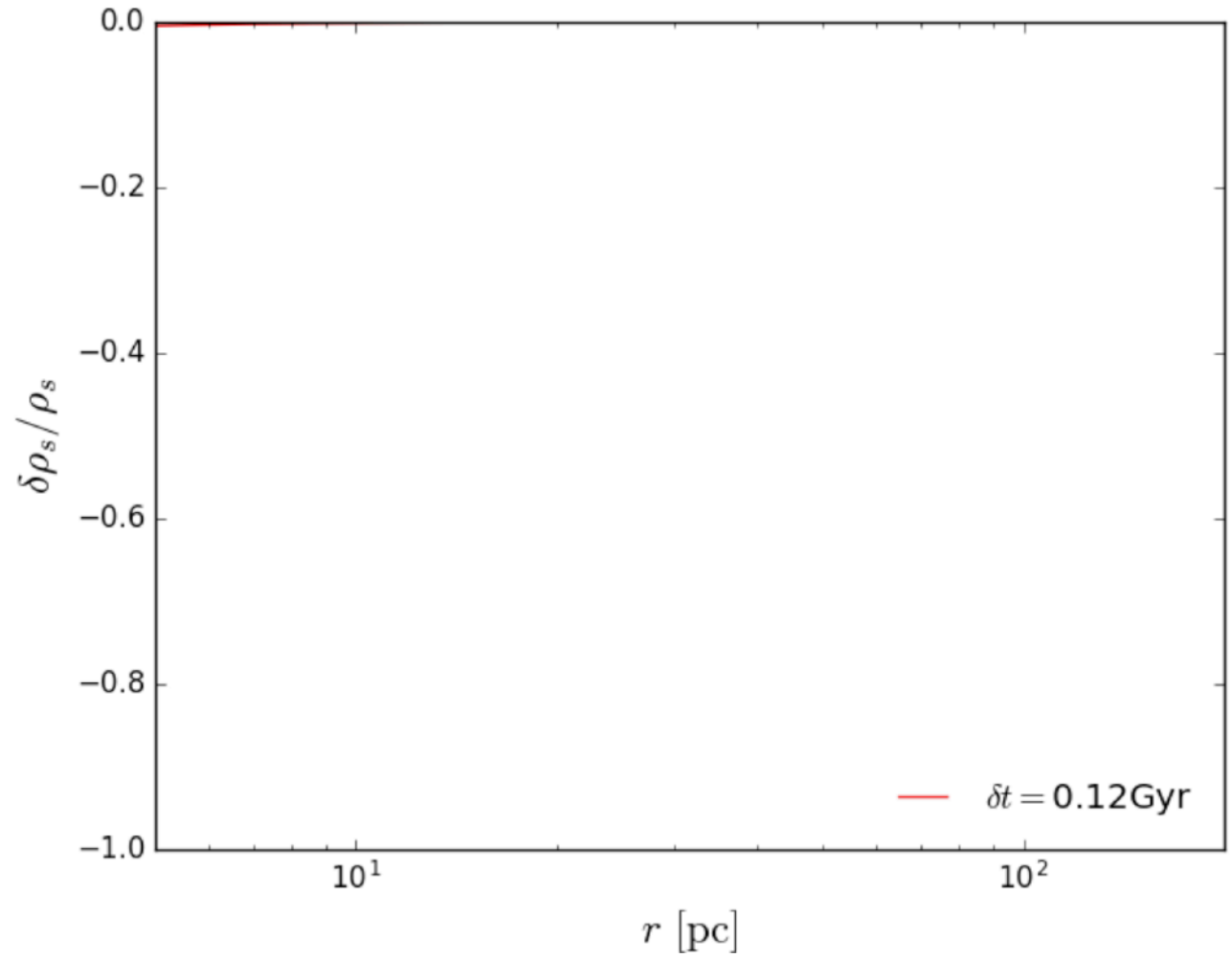
Look at the evolution of the whole stellar population in Segue 1

$$\frac{dr}{dt} = \frac{4\sqrt{2}\pi G f_{\text{DM}} m_{\text{BH}}}{\sigma} \ln \Lambda \left(\alpha \frac{M_s}{\rho_{\text{DM}} r^2} + 2\beta r \right)^{-1}$$

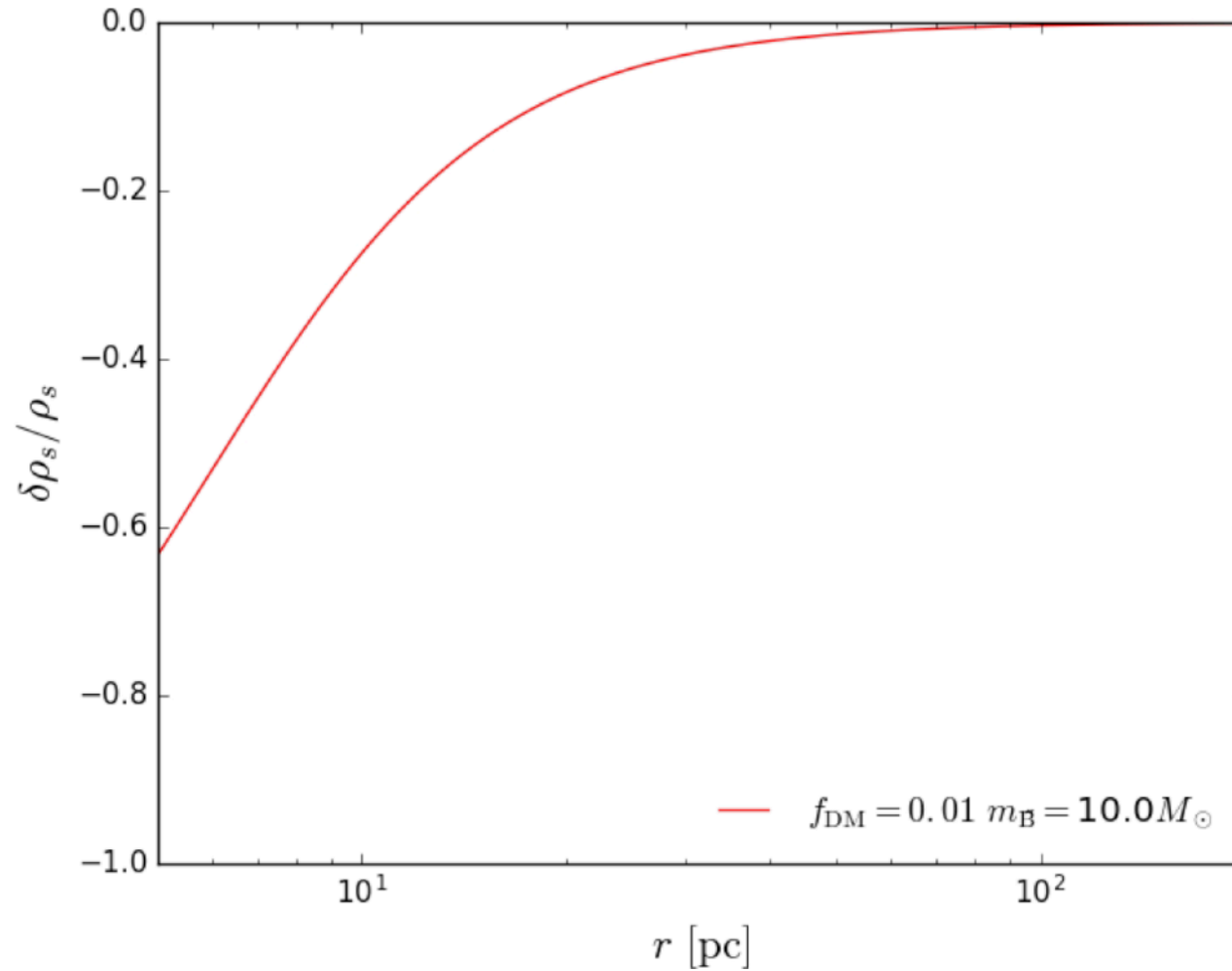
Equipartition leads to the depletion of stars from the center of the dwarf



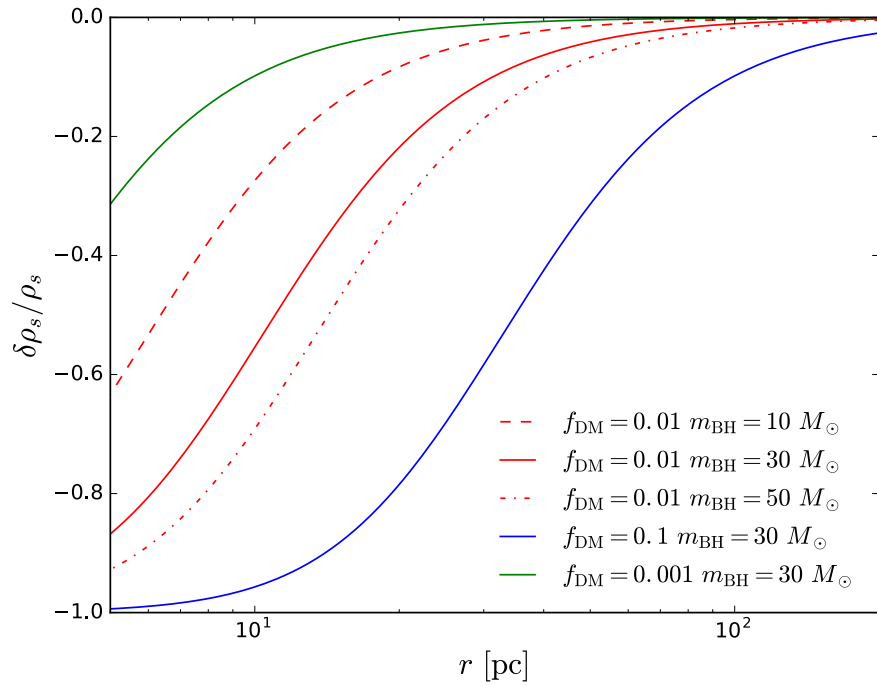
Evolution of density profile when 1% of dark matter is in 20 solar mass black holes



Evolution of density profile over 12 Gigayears

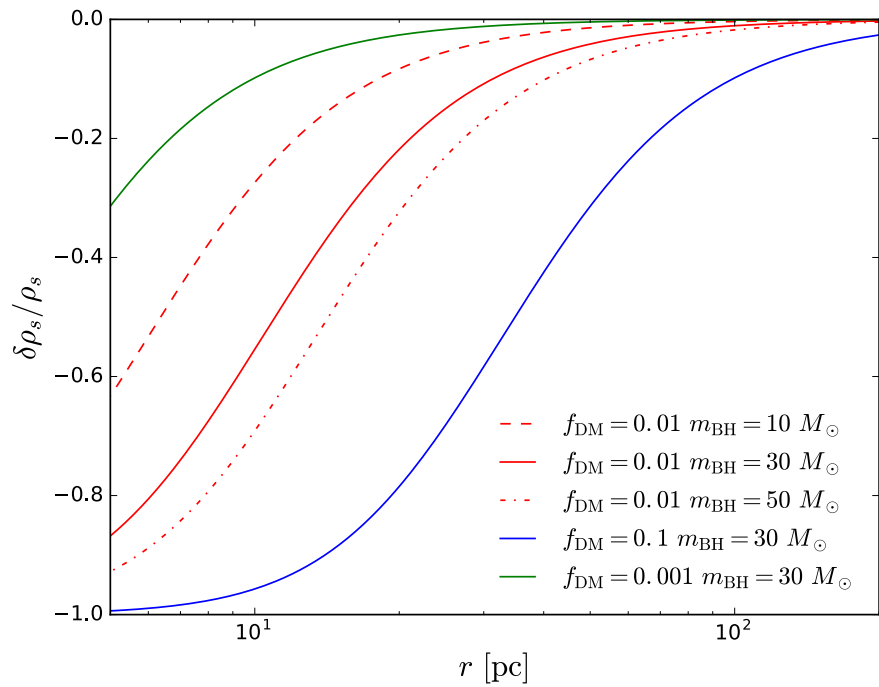


Depletion of stars in the inner regions

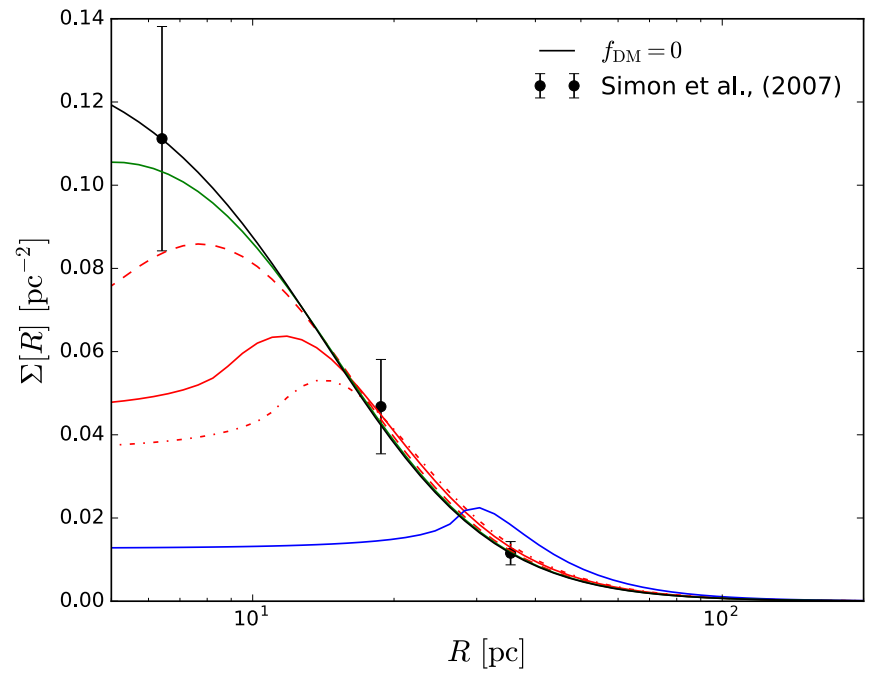


$$\frac{\delta\rho_s}{\rho_s} = \frac{r^3(t)}{r^3(0)} - 1$$

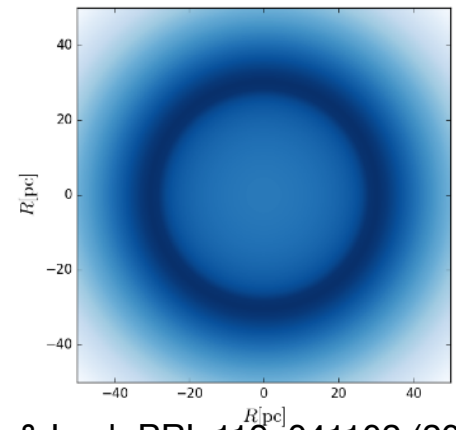
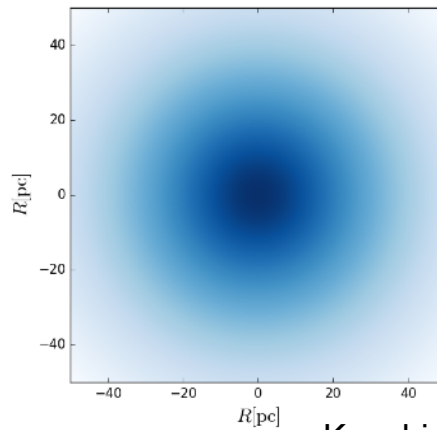
Depletion of stars in the inner regions



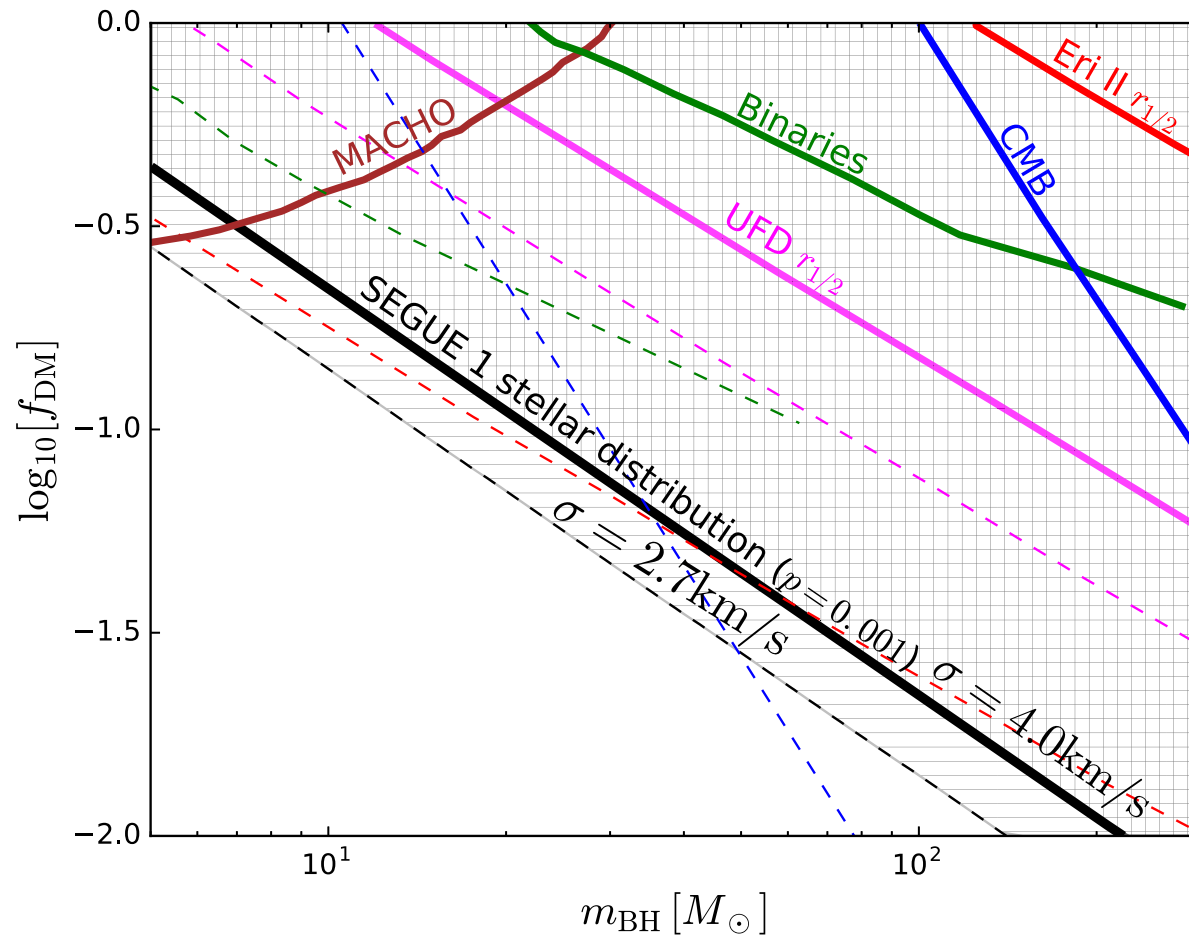
Prediction of a **stellar ring** in projection



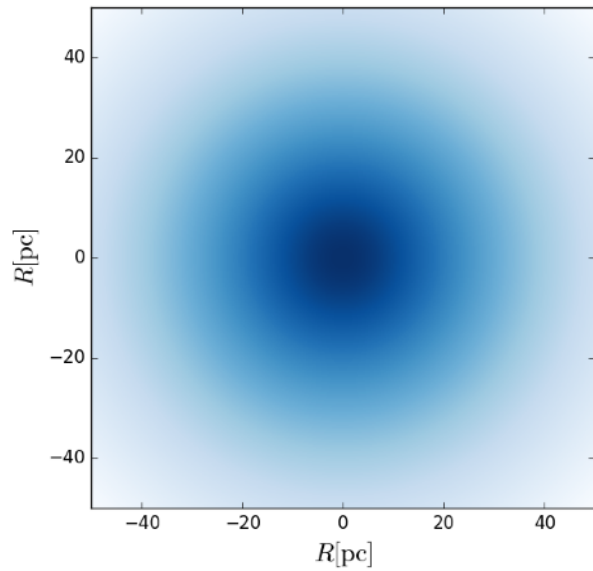
$$\frac{\delta\rho_s}{\rho_s} = \frac{r^3(t)}{r^3(0)} - 1$$



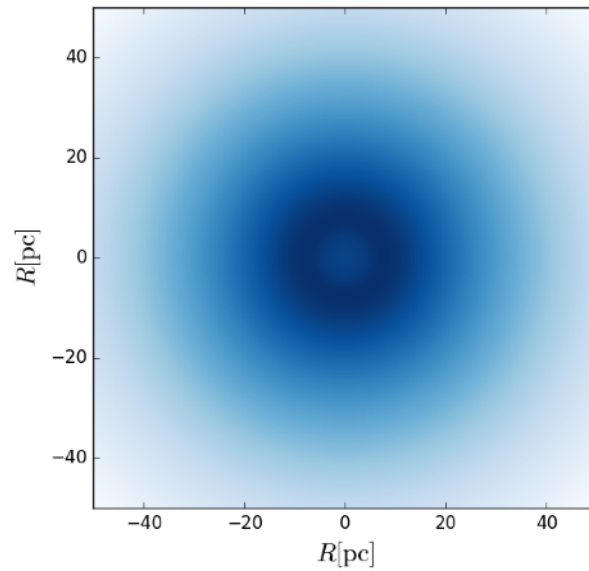
Primordial black hole constraints from the whole stellar population of Segue 1



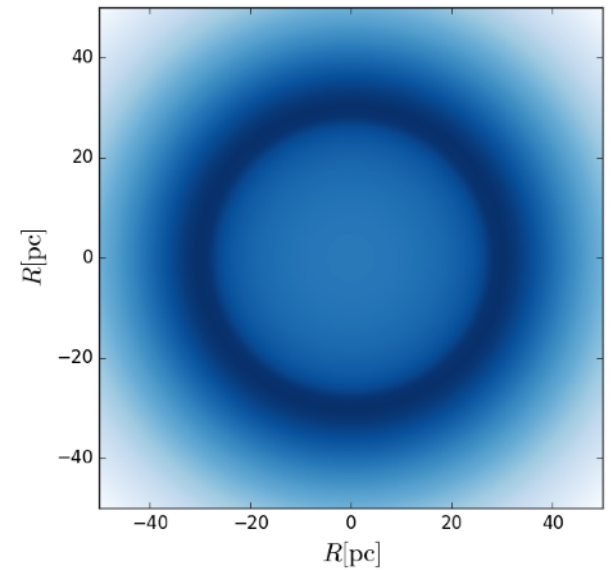
No black holes



1% dark matter in
10 solar mass black holes



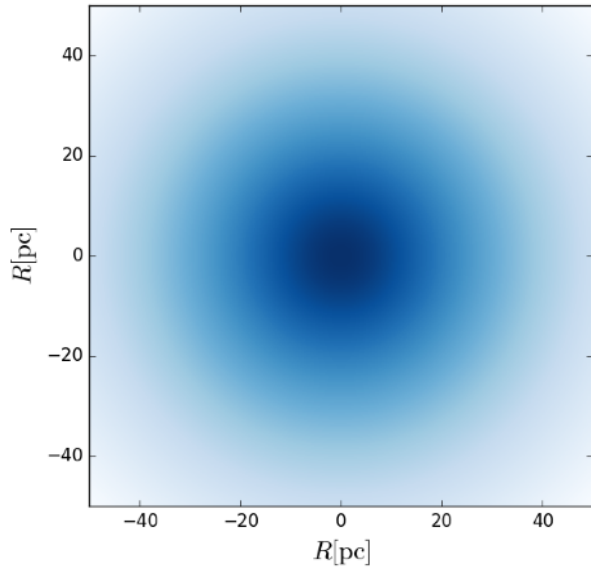
10% of dark matter in
30 solar mass black holes



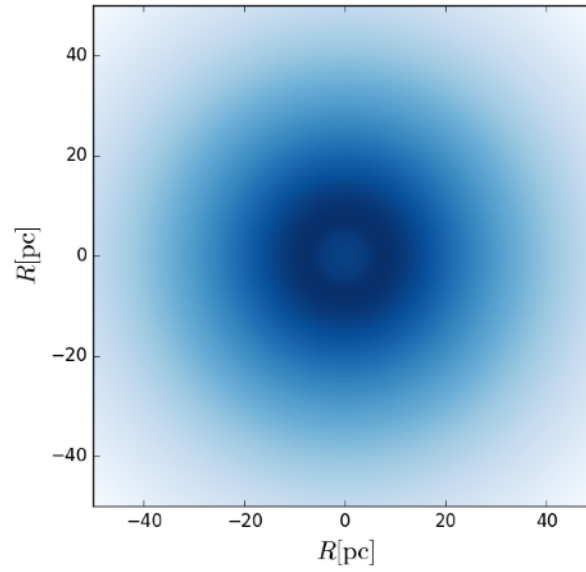
Both of these consistent with current observations

Ruled out

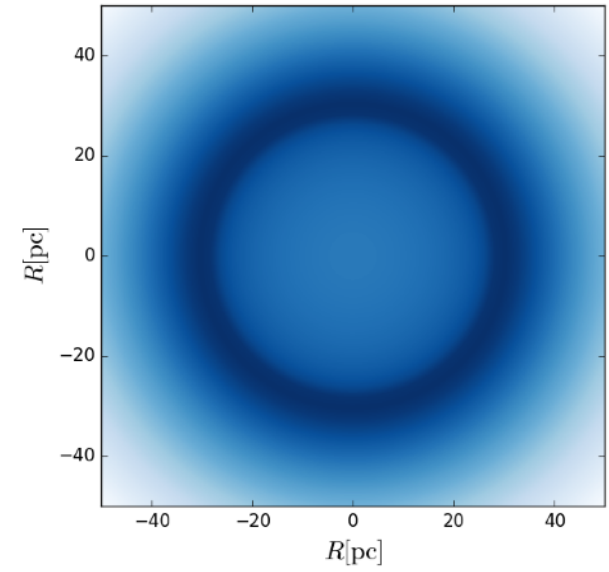
No black holes



1% dark matter in
10 solar mass black holes

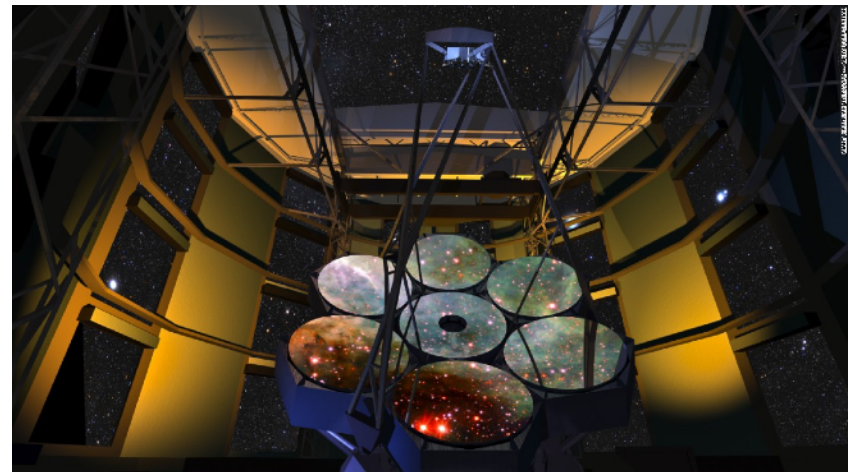


10% of dark matter in
30 solar mass black holes



Both of these consistent with current observations

Ruled out

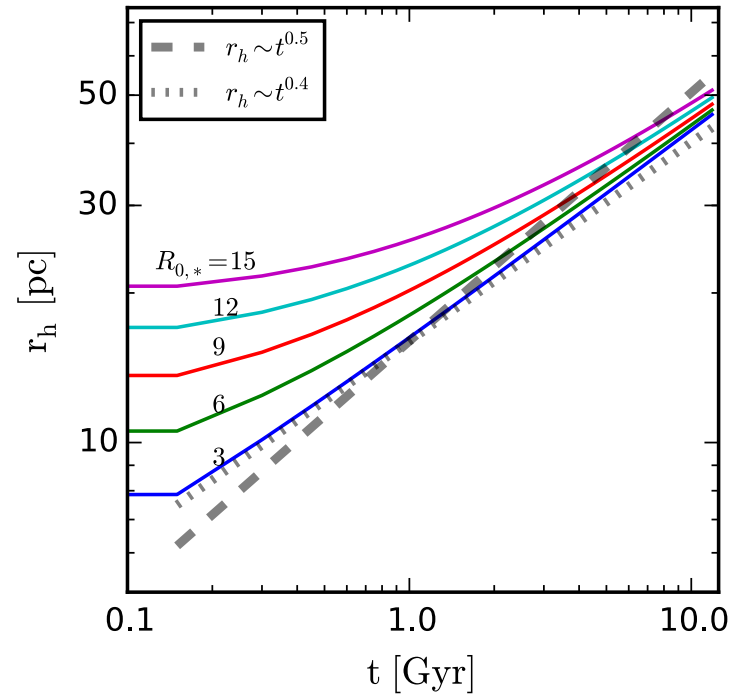
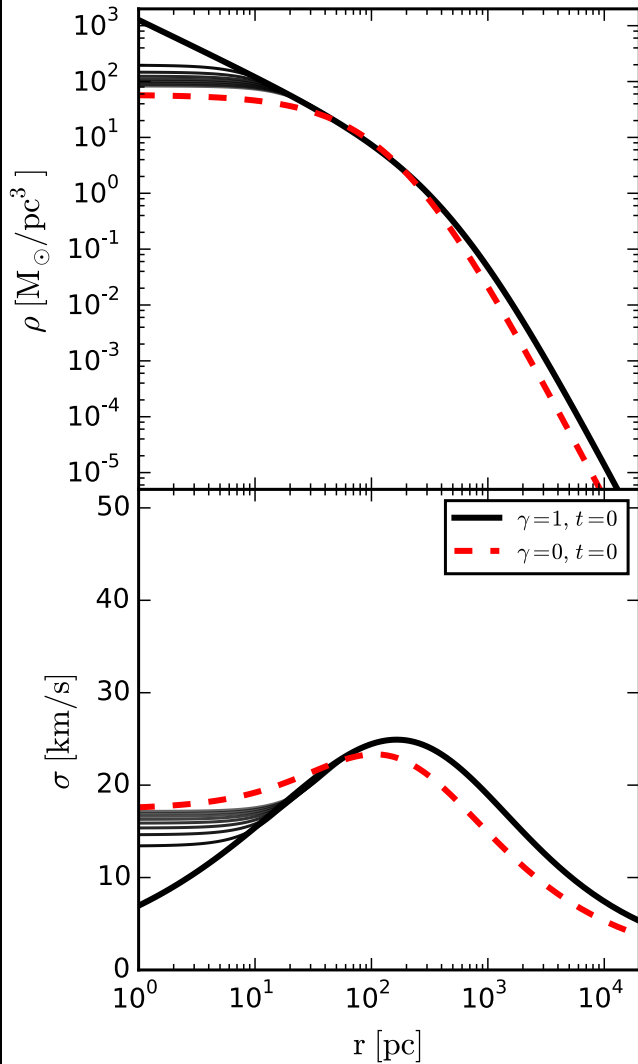


Fokker-Planck treatment of the same problem

1. [arXiv:1710.05032 \[pdf, other\]](#)

Primordial Black Holes as Dark Matter: Constraints From Compact Ultra-Faint Dwarfs

Qirong Zhu, [Eugene Vasiliev](#), [Yuexing Li](#), [Yipeng Jing](#)



How to distinguish primordial from baryonic black holes

PHYSICAL REVIEW LETTERS

Maximum Redshift of Gravitational Wave Merger Events

Savvas M. Koushiappas^{*}

*Department of Physics, Brown University, 182 Hope St., Providence, Rhode Island 02912, USA
and Institute for Theory and Computation, Harvard University, 60 Garden Street, Cambridge, Massachusetts 02138, USA*

Abraham Loeb[†]

Astronomy Department, Harvard University, 60 Garden Street, Cambridge, Massachusetts 02138, USA

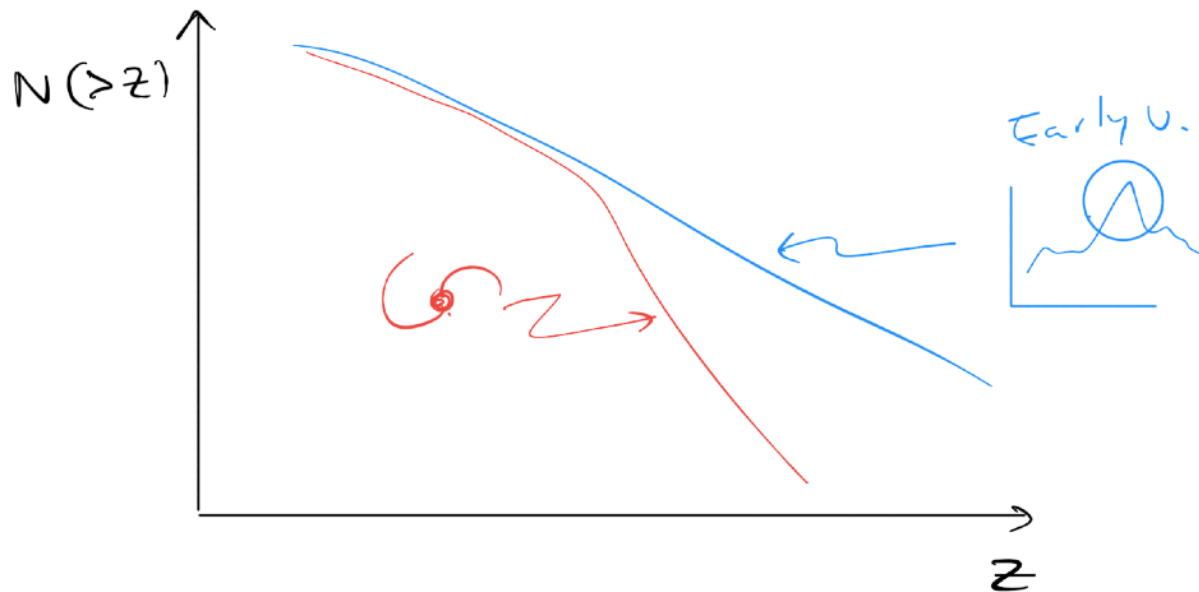
(Received 24 August 2017)

Rate of black hole merger events

- Black holes must be formed.
- Black holes must find a way to get close enough so that gravitational waves can take-over as the dominant energy loss mechanism.

Rate of black hole merger events

- Black holes must be formed.
- Black holes must find a way to get close enough so that gravitational waves can take-over as the dominant energy loss mechanism.



Rate of black hole merger events

$$\mathcal{N}(> z) = \int_z^\infty \frac{d\mathcal{R}}{dz} dz$$

$$\frac{d\mathcal{R}}{dz} \equiv \int_{M_{\min}(z)}^\infty \frac{dN}{dM dV} C_{\text{NG}}(M, z) \frac{\langle \epsilon(M, z) \rangle}{(1+z)} \frac{\dot{M}_g(M, z)}{2m_{\text{BH}}} \frac{dV}{dz} dM$$

Fraction of gas that
cools to form black
holes



Rate of gas inflow



Minimum halo
mass where gas
can cool



Dark matter halo
mass function



Effects of non-
gaussianity on halo
mass function



Black hole mass
(monochromatic)



Comoving volume



Integral is over all dark
matter halo masses



Rate of black hole merger events

$$\mathcal{N}(> z) = \int_z^\infty \frac{d\mathcal{R}}{dz} dz$$

$$\frac{d\mathcal{R}}{dz} \equiv \int_{M_{\min}(z)}^\infty \frac{dN}{dM dV} C_{\text{NG}}(M, z) \frac{\langle \epsilon(M, z) \rangle}{(1+z)} \frac{\dot{M}_g(M, z)}{2m_{\text{BH}}} \frac{dV}{dz} dM$$

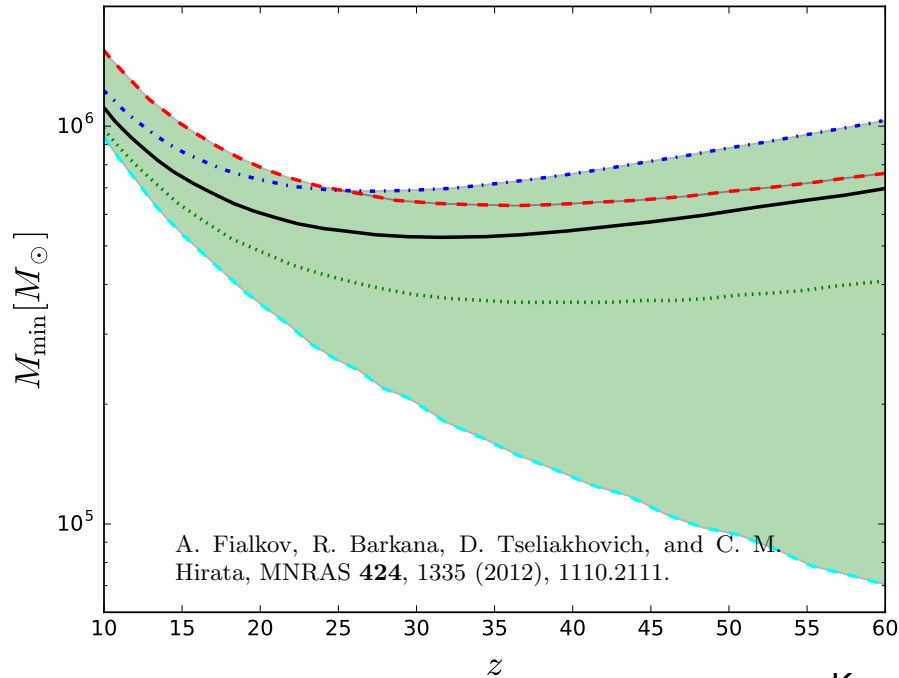
Fraction of gas that
cools to form black
holes



Rate of gas inflow



Minimum halo
mass where gas
can cool



Rate of black hole merger events

$$\mathcal{N}(> z) = \int_z^\infty \frac{d\mathcal{R}}{dz} dz$$

$$\frac{d\mathcal{R}}{dz} \equiv \int_{M_{\min}(z)}^\infty \frac{dN}{dM dV} C_{\text{NG}}(M, z) \frac{\langle \epsilon(M, z) \rangle}{(1+z)} \frac{\dot{M}_g(M, z)}{2m_{\text{BH}}} \frac{dV}{dz} dM$$

Fraction of gas that
cools to form black
holes

Rate of gas inflow

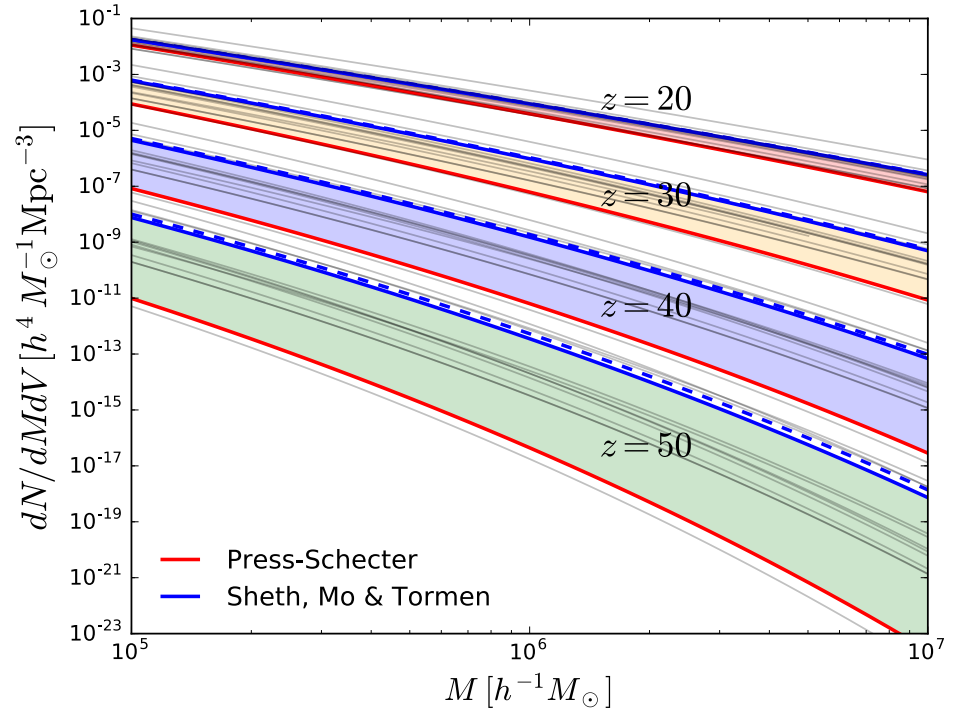


Dark matter halo
mass function

Effects of non-gaussianity $f_{\text{NL}} = 43$
on halo mass function

S. Matarrese, L. Verde, and R. Jimenez, ApJ **541**, 10 (2000), astro-ph/0001366.

Planck Collaboration, P. A. R. Ade, N. Aghanim, M. Arnaud, F. Arroja, M. Ashdown, J. Aumont, C. Baccigalupi, M. Ballardini, A. J. Banday, et al., A&A **594**, A17 (2016), 1502.01592.



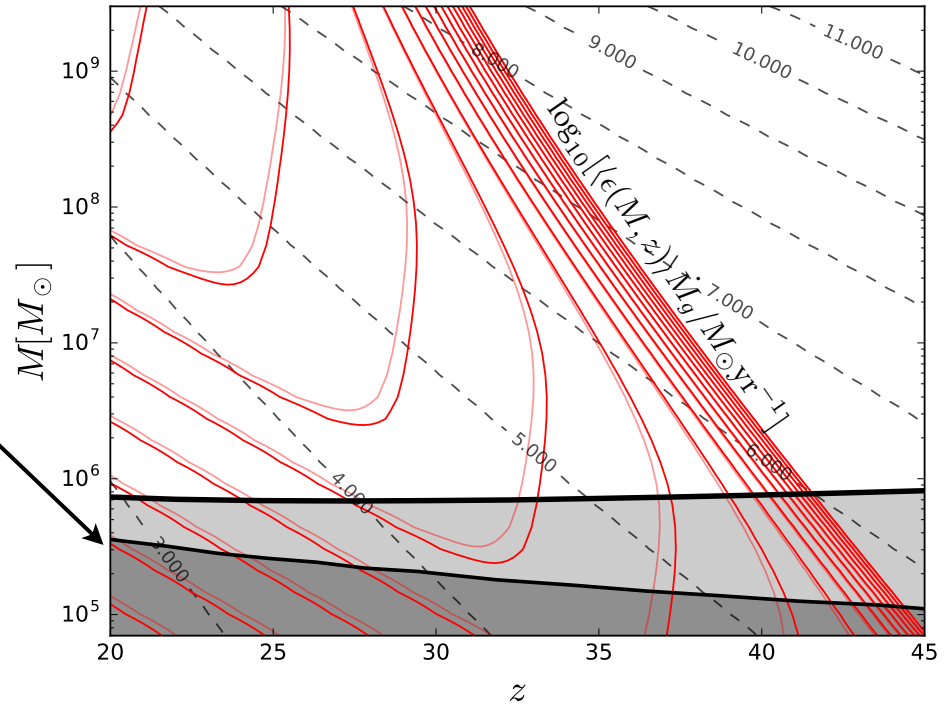
Rate of black hole merger events

$$\mathcal{N}(> z) = \int_z^\infty \frac{d\mathcal{R}}{dz} dz$$

$$\frac{d\mathcal{R}}{dz} \equiv \int_{M_{\min}(z)}^\infty \frac{dN}{dM dV} C_{\text{NG}}(M, z) \frac{\langle \epsilon(M, z) \rangle}{(1+z)} \frac{\dot{M}_g(M, z)}{2m_{\text{BH}}} \frac{dV}{dz} dM$$

Fraction of gas that
cools to form black
holes

Rate of gas inflow



E. Neistein and A. Dekel, MNRAS **388**, 1792 (2008), 0802.0198.

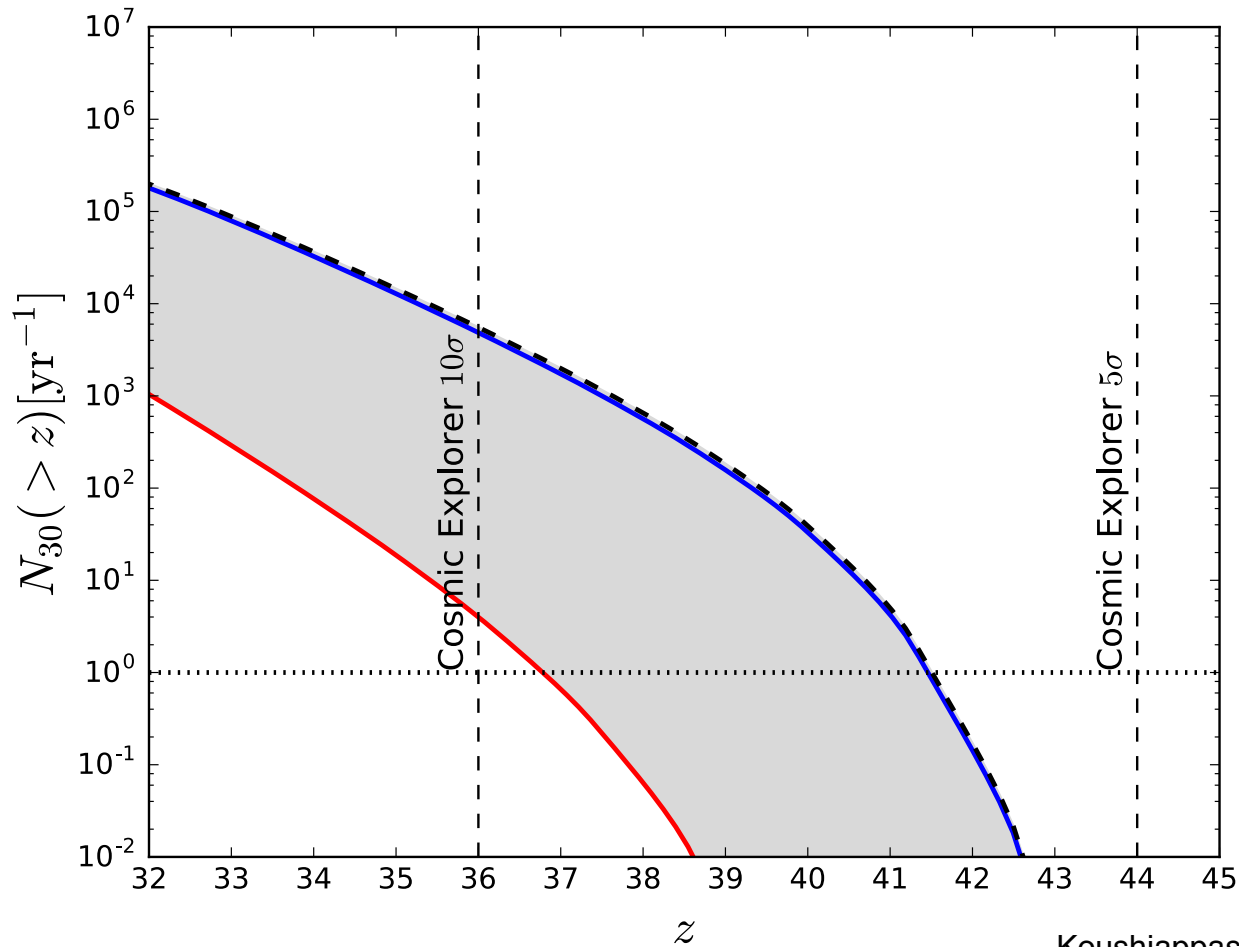
T. Goerdt, D. Ceverino, A. Dekel, and R. Teyssier, MNRAS **454**, 637 (2015), 1505.01486.

G. Sun and S. R. Furlanetto, MNRAS **460**, 417 (2016), 1512.06219.

Rate of black hole merger events

Define maximum redshift

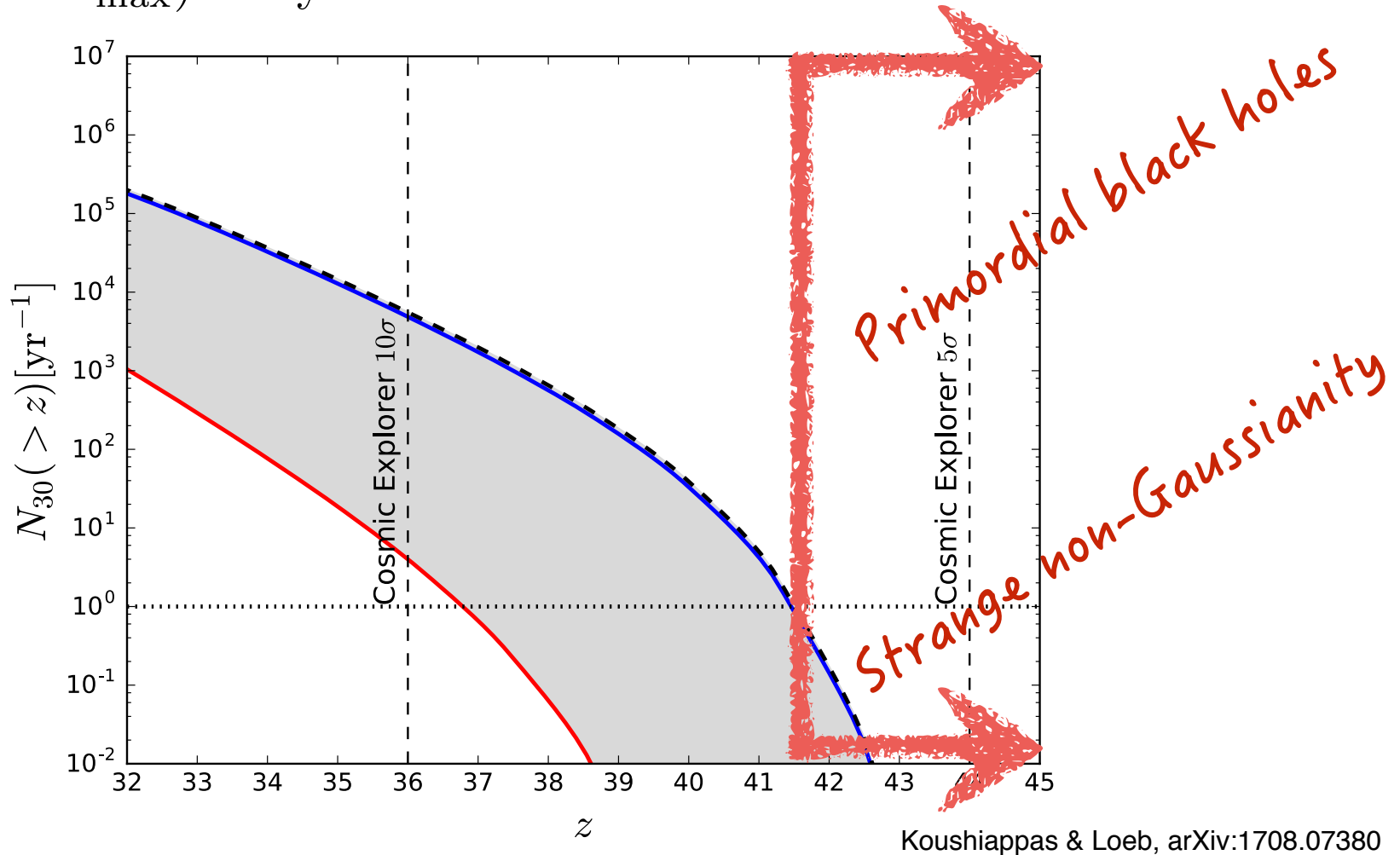
$$\mathcal{N}(z = z_{\max}) = 1 \text{ yr}^{-1}$$



Rate of black hole merger events

Define maximum redshift

$$\mathcal{N}(z = z_{\max}) = 1 \text{ yr}^{-1}$$



Things to take away

1. Black holes as dark matter lead to a depletion of stars in the center and the appearance of a ring in the projected stellar surface density profile.
2. Current observations rule out the possibility that more than 4% of the dark matter is composed of black holes with mass of few tens of solar masses.
3. Next generation of large aperture telescopes could improve these constraints.
4. Future gravitational wave detectors will be sensitive to events from high redshifts. A detection of events with redshift greater than 40 must be due to either primordial black holes or the presence of non-gaussianity in the spectrum of primordial fluctuations.