

# Primordial Gravitational Waves from Axion-Gauge fields dynamics

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# OUTLINE AND (SOME) REFERENCES



- GW from inflation
- Axion-gauge fields models:  
genesis and motivations
- Abelian vs non-Abelian case:  
inflaton as the axion
- Axion-gauge fields as spectators
- Conclusions and outlook

Anber - Sorbo 2009  
Cook - Sorbo 2011  
Barnaby - Peloso 2011  
Barnaby - Namba - Peloso 2011  
Adshead - Wyman 2011  
Maleknejad - Sheikh-Jabbari, 2011  
**ED - Fasiello - Tolley 2012**  
**ED - Peloso 2012**  
**Namba - ED - Peloso 2013**  
Adshead - Martinec - Wyman 2013  
Namba - Peloso - Shiraishi -  
Sorbo - Unal 2015  
Obata - Miura - Soda 2016  
Caldwell 2016  
Smith - Caldwell 2016  
Peloso - Sorbo - Unal 2016  
**ED - Fasiello - Fujita 2016**  
Adshead - Martinec - Sfakianakis -  
Wyman 2017  
Adshead - Sfakianakis 2017  
Agrawal - Fujita - Komatsu 2017  
Thorne - Fujita - Hazumi -  
Katayama - Komatsu - Shiraishi '17  
Caldwell - Devulder 2017  
... ..



# GWs FROM INFLATION

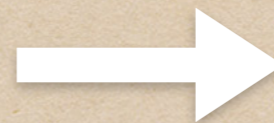
## Tensor fluctuations:

$$ds^2 = a^2(\tau) \left[ -d\tau^2 + (\delta_{ij} + \gamma_{ij}(\tau, \vec{x})) dx^i dx^j \right]$$

transverse & traceless

$$\partial_i \gamma_j^i = 0 \quad \gamma_i^i = 0$$

$$\gamma_{ij}(\vec{x}, t) = \int \frac{d^3 k}{(2\pi)^{3/2}} \sum_{\lambda=\pm} \epsilon_{ij}^\lambda(\hat{k}) \gamma_\lambda(\vec{k}, t) e^{i\vec{k}\cdot\vec{x}}$$



6 - 4 = 2  
independent  
degrees of  
freedom

## Inflationary GWs : standard vacuum production

- Energy scale of inflation:  $V_{\text{infl}}^{1/4} \approx 10^{16} \text{ GeV} (r/0.01)^{1/4}$   
 $H \approx 2 \times 10^{13} \sqrt{r/0.01} \text{ GeV}$
- Scalar field excursion (Lyth bound):  $\Delta\phi/M_P \gtrsim (r/0.01)^{1/2}$
- Red tilt:  $n_T \simeq -2\epsilon = -r/8$
- Non-chiral:  $P_{\lambda+} = P_{\lambda-}$
- Gaussian

One or more of these predictions  
may be easily violated beyond  
the minimal set-up!



## Beyond standard vacuum fluctuations



### NEW SYMMETRIES

breaking of space-diff invariance  
and non-zero graviton mass

[Endlich et al., 2013, Bartolo et al, 2015]



### NEW FIELDS

additional GWs production next to  
(irreducible) vacuum generation

$$\mathcal{L} = \mathcal{L}_{\text{inflaton}} + \mathcal{L}_{\text{spectator}}$$



# GW FROM INFLATION

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$$\mathcal{L} = \mathcal{L}_{\text{inflaton}} + \mathcal{L}_{\text{spectator}}$$

- Auxiliary scalars with time-varying masses :  
[Chung et al., 2000, Senatore et al, 2011, Pearce et al, 2016]

$$\mathcal{L}_{\text{spectator}} \supset \sum_i \chi_i^2 (\phi - \phi_i)^2$$

- Spectator fields with small sound speed  
[Biagetti, Fasiello, Riotto 2012,  
Biagetti, ED, Fasiello, Peloso 2014]

$$\mathcal{L}_{\text{spectator}} \supset P(X, \sigma)$$

- Axions and gauge fields  
[Sorbo 2011, Mukohyama et al. 2012-2014,  
ED-Fasiello-Fujita 2016, ...]

$$\mathcal{L}_{\text{spectator}} \supset \chi F\tilde{F}$$



## NEW FIELDS: overview of the approach

$$\begin{aligned}\mathcal{L} &= \mathcal{L}_{\text{inflaton}} + \mathcal{L}_{\text{spectator}} \\ \rho_{\text{inflaton}} &\gg \rho_{\text{spectator}} \\ P_{\gamma, \text{vacuum}} &\lesssim P_{\gamma, \text{spectator}}\end{aligned}$$

$$\ddot{\gamma}_{ij}(\vec{k}, t) + 3H\dot{\gamma}_{ij}(\vec{k}, t) + k^2\gamma_{ij}(\vec{k}, t) = \frac{2}{M_P^2}\Pi_{ij}^{TT}(\vec{k}, t)$$

$$a^2\Pi_{ij} = T_{ij} - a^2 p(\delta_{ij} + \gamma_{ij})$$

(anisotropic stress)

\* Main challenge:

sourcing tensors to observable level without badly affecting scalar sector!



# AXION-GAUGE FIELDS MODELS: GENESIS/MOTIVATION

- Generic requirement for inflation: nearly flat potential:  $\epsilon, |\eta| \ll 1$

$$\epsilon \equiv \frac{M_p^2}{2} \left( \frac{V'}{V} \right)^2, \quad \eta \equiv M_p^2 \frac{V''}{V}$$

... but flatness may be spoiled by radiative corrections!

- Flatness protected by a (nearly exact) axionic shift symmetry  $\phi \rightarrow \phi + c$

→ Spirit of Natural Inflation [Freese, Frieman, Olinto 1990]

$$V(\varphi) = \Lambda^4 [1 - \cos(\varphi/f)]$$

- Agreement with observations requires:  $f \gtrsim M_P$

undesirable constraint on the theory

[Kallosh, Linde, Susskind, 1995, Banks et al, 2003]



# AXION-GAUGE FIELDS MODELS: GENESIS/MOTIVATION

- If also gauge fields are around (why not?) we must include C-S coupling:

$$\mathcal{L}_{\text{inflaton}} = -\frac{1}{2} (\partial\varphi)^2 - V(\varphi) - \frac{1}{4} F^{\mu\nu} F_{\mu\nu} - \frac{\alpha}{4f} \varphi F^{\mu\nu} \tilde{F}_{\mu\nu}$$

**U(1) case:**

$$F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu$$

$$\tilde{F}^{\mu\nu} = -\frac{1}{2} \epsilon^{\mu\nu\alpha\beta} F_{\alpha\beta}$$

- Gauge field quanta are produced by the rolling axion:

$$\left[ \partial_\tau^2 + k^2 \pm \frac{2k\xi}{\tau} \right] A_\pm(\tau, k) = 0$$



$$A_+(\tau, k) \propto e^{\pi\xi}$$

$$\xi \equiv \frac{\alpha\dot{\phi}}{2fH}$$

$$\vec{A}(\tau, \mathbf{x}) = \sum_{\lambda=\pm} \int \frac{d^3k}{(2\pi)^{3/2}} [\vec{\epsilon}_\lambda(\mathbf{k}) a_\lambda(\mathbf{k}) A_\lambda(\tau, \mathbf{k}) e^{i\mathbf{k}\cdot\mathbf{x}} + \text{h.c.}]$$

[Anber, Sorbo 2009 - Barnaby, Peloso 2011, Barnaby, Namba, Peloso 2011]



# AXION-GAUGE FIELDS MODELS: U(1)

- Gauge quanta in turn back-react on the background:

$$\ddot{\phi} + 3H\dot{\phi} + V'(\phi) = \frac{\alpha}{f} \langle \vec{E} \cdot \vec{B} \rangle$$

$$3H^2 M_p^2 = \frac{1}{2} \dot{\phi}^2 + V(\phi) + \frac{1}{2} \langle \vec{E}^2 + \vec{B}^2 \rangle$$

$$\vec{B} = \frac{1}{a^2} \vec{\nabla} \times \vec{A}$$

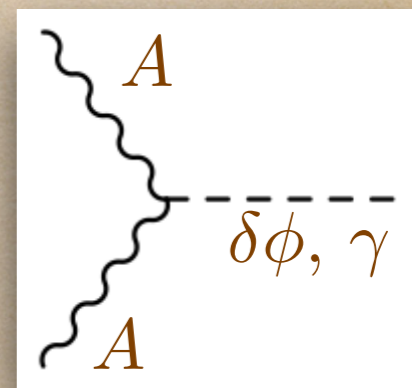
$$\vec{E} = -\frac{1}{a^2} \vec{A}'$$

- ... as well as source scalar fluctuations:

$$[\partial_\tau^2 + 2\mathcal{H}\partial_\tau - \nabla^2 + a^2 m^2] \delta\varphi(\tau, \mathbf{x}) = a^2 \frac{\alpha}{f} (\vec{E} \cdot \vec{B} - \langle \vec{E} \cdot \vec{B} \rangle)$$



$$\delta\varphi(\tau, \mathbf{x}) = \underbrace{\delta\varphi_{\text{vac}}(\tau, \mathbf{x})}_{\text{homogeneous}} + \underbrace{\delta\varphi_{\text{sourced}}(\tau, \mathbf{x})}_{\text{particular}}$$



- ... and tensor fluctuations:

$$[\partial_\tau^2 + 2\mathcal{H}\partial_\tau - \nabla^2] h_{ij} = -\frac{2a^2}{M_p^2} (E_i E_j + B_i B_j)^{TT}$$



[Anber, Sorbo 2009 - Barnaby, Peloso 2011, Barnaby, Namba, Peloso 2011]



## AXION-GAUGE FIELDS MODELS: U(1)

- Power spectra:

$$P_{\zeta}(k) = \mathcal{P} \left( \frac{k}{k_0} \right)^{n_s - 1} [1 + \mathcal{P} f_2(\xi) e^{4\pi\xi}]$$

$$P_{\gamma} = P_{\gamma,L} + P_{\gamma,R} \simeq \frac{2H^2}{\pi^2 M_p^2} \left( \frac{k}{k_0} \right)^{n_T} \left[ 1 + \frac{H^2}{M_p^2} f_{\gamma,L}(\xi) e^{4\pi\xi} \right]$$

- Scalar bispectrum: the sourced scalar fluctuation is a convolution of two gauge field fluctuations  $\longrightarrow$  non-Gaussian field!

In the regime where sourced GWs dominate over vacuum GWs, non-Gaussianity becomes too large



# AXION-GAUGE FIELDS MODELS: non-Abelian case

[Adshead, Wyman 2011]

$$\mathcal{L}_{\text{inflaton}} = -\frac{1}{2} (\partial\chi)^2 - U(\chi) - \frac{1}{4} F F + \frac{\lambda\chi}{4f} F \tilde{F}$$

$$\begin{cases} \chi \longrightarrow \text{Inflaton} \\ A_{\mu}^a \longrightarrow \text{SU}(2) \text{ gauge field} \end{cases}$$

isotropic background:

$$A_0^a = 0$$

$$A_i^a = \delta_i^a a(t) Q(t)$$

$$F_{\mu\nu}^a = \partial_{\mu} A_{\nu}^a - \partial_{\nu} A_{\mu}^a - g\epsilon^{abc} A_{\mu}^b A_{\nu}^c$$

$$U(\chi) = \mu^4 [1 + \cos(\chi/f)]$$

## BACKGROUND EVOLUTION :

- Equations of motion for inflaton and gauge field are coupled
- Gauge field provides a damping term in the equation of motion of the axion, which effectively flattens its potential



# AXION-GAUGE FIELDS MODELS: non-Abelian case

[Adshead - Wyman 2011, ED - Fasiello - Tolley 2012, ED - Peloso 2012 ]

- Scalar perturbations:

$$\left\{ \delta\chi, \delta Q, \delta M \right\}$$

inflaton                      gauge-field

$$\partial_x^2 \Delta_i - 2K_{ij} \partial_x \Delta_j + (\Omega_{ij}^2 - \partial_x K_{ij}) \Delta_j = 0$$

$$x \approx -k\tau$$

- scalar fluctuations undergo tachyonic growth for

$$m_Q \equiv gQ/H < \sqrt{2}$$

- power spectrum amplitude for curvature pert. dominated by  $\delta\chi$



# AXION-GAUGE FIELDS MODELS: non-Abelian case

[Adshead - Wyman 2011, ED - Fasiello - Tolley 2012, ED - Peloso 2012 ]

- Tensor perturbations:

$$\{ \Psi_{R,L}, t_{R,L} \}$$

metric

gauge field

$$\begin{cases} \Psi''_{R,L} + \left(1 - \frac{2}{x^2}\right) \Psi_{R,L} = \mathcal{O}^{(1)}(t_{R,L}) \\ t''_{R,L} + \left[1 + \frac{2m_Q \xi}{x^2} \mp \frac{2}{x}(m_Q + \xi)\right] t_{R,L} = \tilde{\mathcal{O}}^{(1)}(\Psi_{R,L}) \end{cases}$$

linear mixing  
(unlike Abelian case!)

$$\begin{aligned} FF &\supset g \epsilon^{ijk} A_i A_j \partial A_k \\ \chi F \tilde{F} &\supset \epsilon^{ijk} \dot{\chi} A_i \partial_j A_k \end{aligned}$$

$$\xi = \frac{\lambda \dot{\chi}}{2fH}$$

$$x \approx -k\tau$$

one of the two polarization of the gauge field undergoes transient growth, hence the corresponding polarization of the metric is amplified!



# AXION-GAUGE FIELDS MODELS: non-Abelian case

[Adshead - Martinec -Wyman 2013]

- Comparison with observations:

Tensors are overproduced in the region of parameter space where the spectral index for scalar fluctuations is within experimental bounds



# GAUGE-FLATION [Maleknejad, Sheikh-Jabbari, 2011]

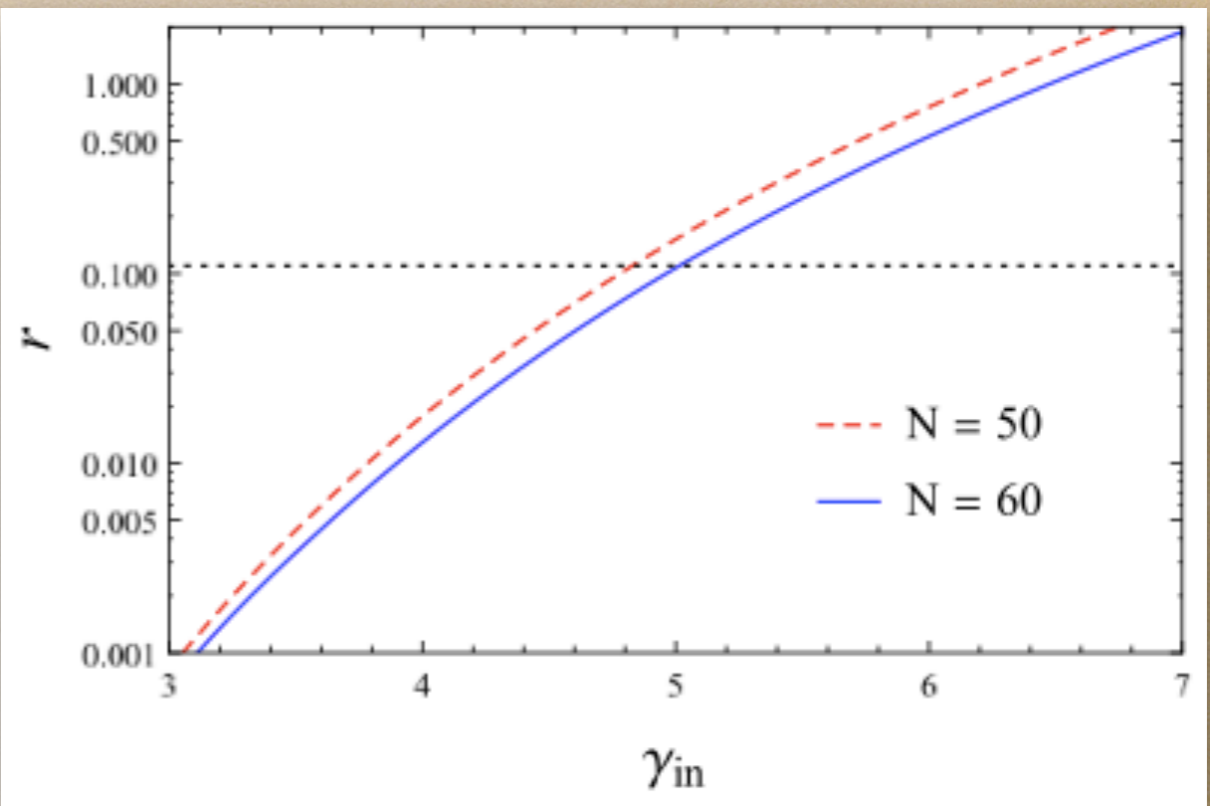
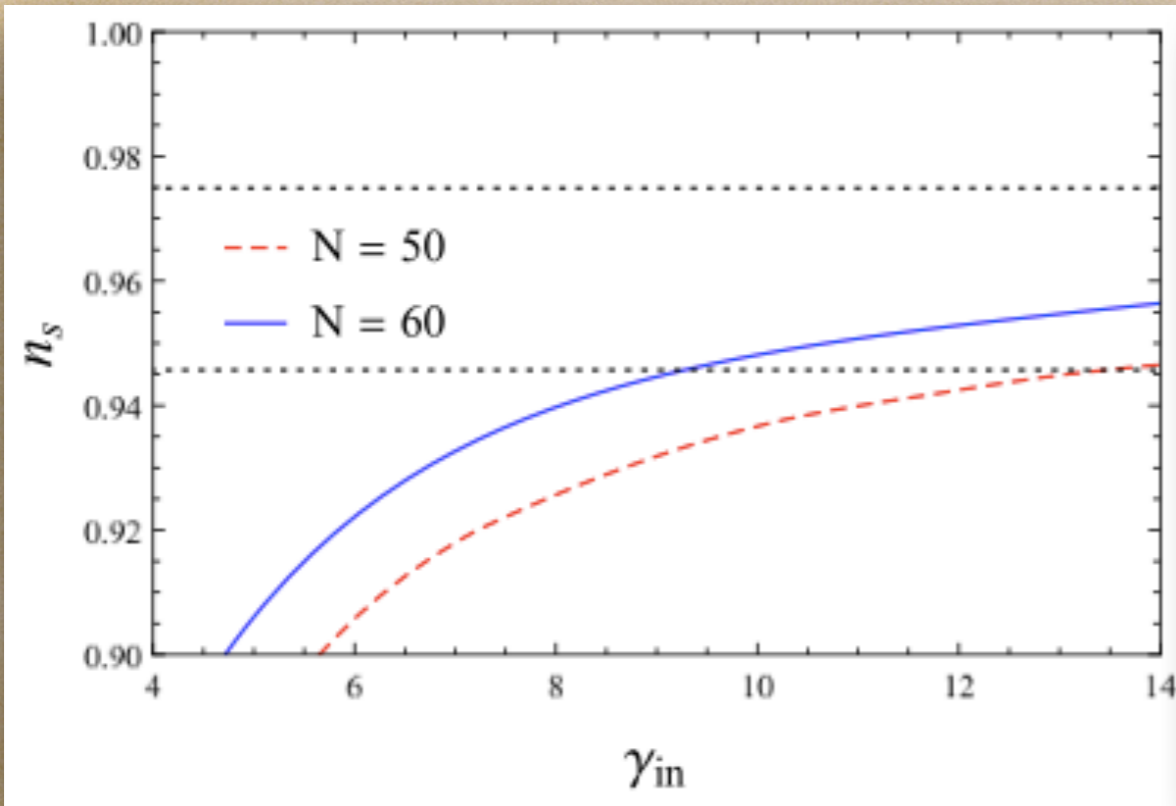
$$A_i^a = \delta_i^a a(t) Q(t)$$

$$\gamma \equiv \frac{g^2 Q^2}{H^2}$$

$$\mathcal{L}_{\text{inflaton}} = \underbrace{-\frac{1}{4} F F}_{\mathcal{L}_{\text{YM}}} + \underbrace{\frac{\kappa}{96} (F \tilde{F})^2}_{\mathcal{L}_{\kappa}}$$

$$p_{\text{YM}} = \frac{\rho_{\text{YM}}}{3}$$

$$p_{\kappa} = -\rho_{\kappa}$$



**ruled out!**

$$P_{\zeta} = \frac{A_{\zeta}}{k^{3+(1-n_s)}}$$

$$r = \frac{\sum_{\lambda=\pm} P_{\lambda}}{P_{\zeta}}$$

[Namba, ED, Peloso, 2013]



## WHERE WE ARE AT SO FAR:

- ♦ Axion+gauge field models are theoretically compelling and have an interesting phenomenology but difficult to reconcile with observations
- ♦ But do we want to give them up? Predictions are very distinctive:
  - alternative gravitational waves production
  - chiral signal
  - non-Gaussianity ...

### Possible way forward :

Eliminating direct coupling between inflaton and axion-gauge fields,  
making the latter a **spectator sector**

Proven to work both for Abelian and non-Abelian case, while introducing  
one more distinctive signature: non-conventional **spectral index**



# Inflaton+[axion+U(1)]

$$\mathcal{L}_{\text{inflaton}} = -\frac{1}{2} (\partial\varphi)^2 - V(\varphi) - \frac{1}{2} (\partial\chi)^2 - W(\chi) - \frac{1}{4} F^{\mu\nu} F_{\mu\nu} - \frac{\alpha}{4f} \chi F^{\mu\nu} \tilde{F}_{\mu\nu}$$

$$W(\chi) = \frac{\Lambda^4}{2} [1 + \cos(\chi/f)]$$

$\mathcal{L}_{\text{spectator}}$

$$\delta A + \delta A \rightarrow \delta\phi$$

← weaker (gravitational strength)!!!

$$\delta A + \delta A \rightarrow \delta\chi$$

← from direct coupling, but  $W \ll V$  by assumption

$$\delta A + \delta A \rightarrow \gamma$$

- feature in the spectrum (relevant scale depends on slow-roll evolution of the axion)
- chirality
- interestingly large tensor nG

$$\xi \equiv \frac{\alpha\dot{\chi}}{2fH}$$

[Barnaby et al, 2012 - Namba et al, 2015 - Peloso et al 2016]



# Inflaton+[axion+SU(2)] [ED-Fasiello-Fujita 2016]

$$\mathcal{L} = \mathcal{L}_{\text{inflaton}} - \frac{1}{2} (\partial\chi)^2 - U(\chi) - \frac{1}{4} FF + \frac{\lambda\chi}{4f} F\tilde{F}$$

$\downarrow$

$P_{\gamma, \text{vacuum}}$

$\mathcal{L}_{\text{spectator}} \rightarrow P_{\gamma, \text{sourced}}$

- Inflaton field dominates energy density of the universe
- Spectator sector contribution to curvature fluctuations negligible



# Inflaton+[axion+SU(2)] [ED-Fasiello-Fujita 2016]

$$\Psi''_{R,L} + \left(1 - \frac{2}{x^2}\right) \Psi_{R,L} = \mathcal{O}^{(1)}(t_{R,L})$$

$\Psi = \text{GW}$

$t = \text{tensor SU(2)}$

$$t''_{R,L} + \left[1 + \frac{2m_Q \xi}{x^2} \mp \frac{2}{x}(m_Q + \xi)\right] t_{R,L} = \tilde{\mathcal{O}}^{(1)}(\Psi_{R,L})$$

$$FF \supset g \epsilon^{ijk} A_i A_j \partial A_k$$

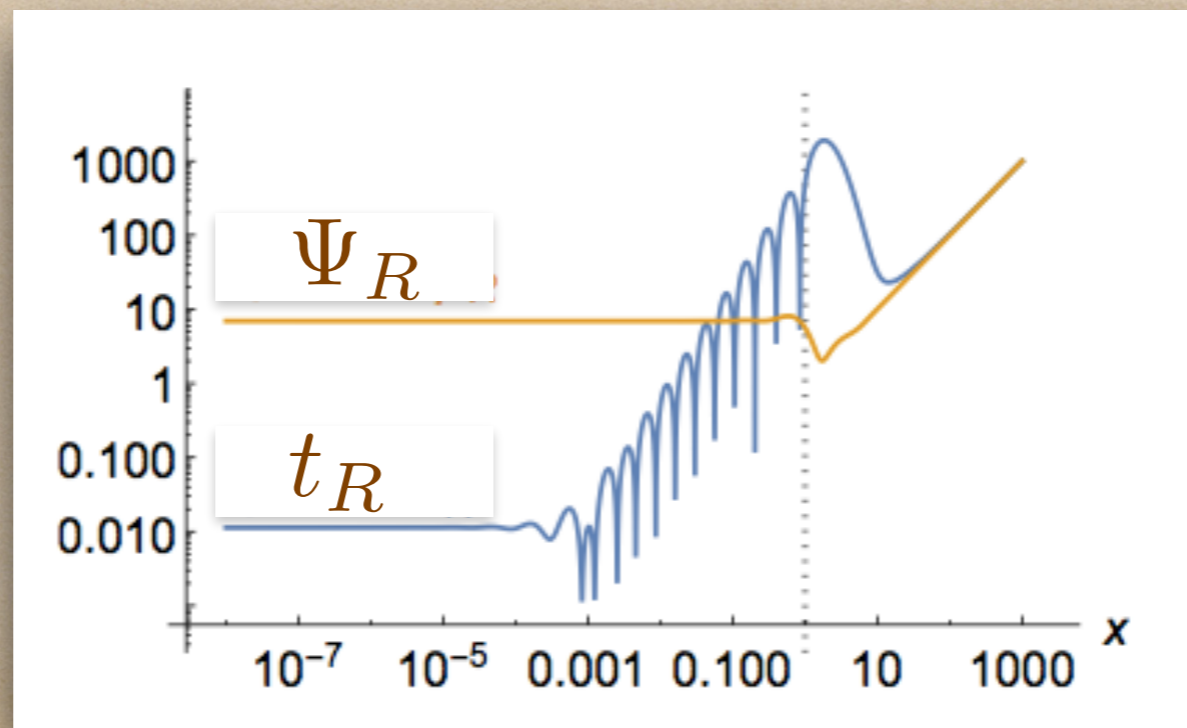
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$$A_i^a = \delta_i^a a(t) Q(t)$$

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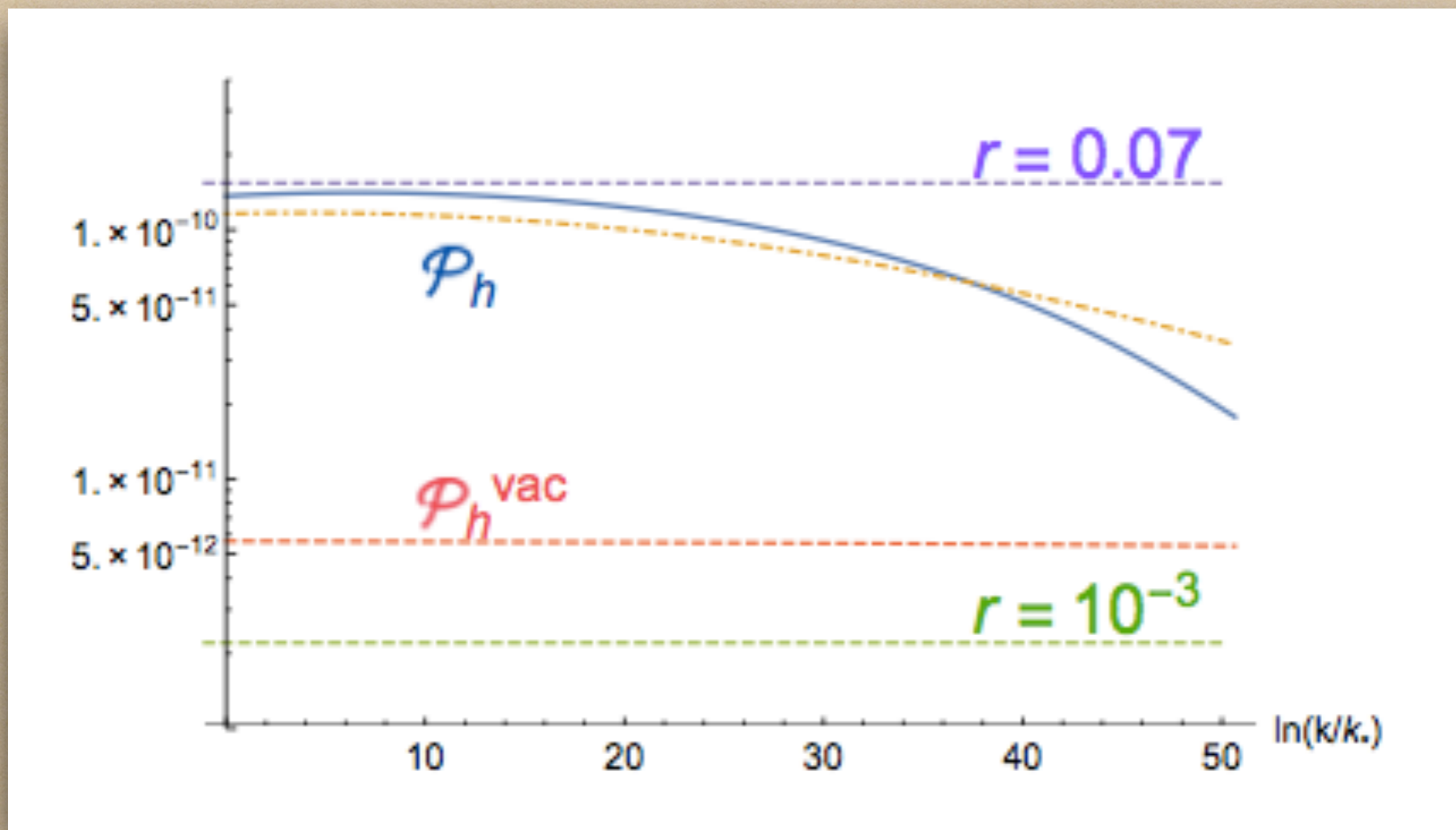




# Inflaton+[axion+SU(2)] [ED-Fasiello-Fujita 2016]

## Sourced GWs :

- can be easily **larger** than vacuum contributions
- are **chiral** and spectrum can be **blue/bumpy**



example for  $\{g, \chi_*, f, H_*, \mu, \lambda\}$



## Inflaton+[axion+SU(2)]

[Thorne - Fujita - Hazumi - Katayama  
- Komatsu - Shiraishi 2017,  
Agrawal - Fujita - Komatsu 2017]

- Forecasts for our ability to constrain  $r$ , scale dependence, chirality, tensor non-Gaussianity in this model (CMB, interferometers...)

**See Ben's and Eiichiro's talks!!!**



## CONCLUSIONS AND OUTLOOK

Axion-gauge field models:  
rich phenomenology and potentially testable predictions

- ♦ Breaking standard  $r$ — $H$  relation and Lyth bound
- ♦ Blue spectrum: interesting also for interferometers GWs search
- ♦ Chiral signal: expect non-zero TB, EB correlations
- ♦ Non-Gaussianity



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# Thank you!