Kavli IPMU, U. of Tokyo in Kashiwa: "Prospects of Neutrino Physics", April 8<sup>th</sup>, 2019

## **3v** masses, mixings and CPV: Status and challenges



 $v_{e,\mu,\tau}$ 

V<sub>1,2,3</sub>

Image credit: Vectorstock royalty free image (adapted)

## OUTLINE

# Status of the 3v paradigm 2018 Preliminary update 2019 Future challenges

Work done in collaboration with: Francesco Capozzi (MPI Munich), Antonio Marrone (U. Bari), Antonio Palazzo (U. Bari)

## OUTLINE

# Status of the 3v paradigm 2018 Preliminary update 2019 Future challenges

### "Broad-brush" 3v picture (with 1-digit accuracy)





### Beautiful data have established this 3v oscillation framework



 $\mu \rightarrow \tau$ 

FILM

FILM

FILM 57 5

Shown: only some data sets + leading oscill. sensitivities to mass-mixing neutrino parameters.

Subleading effects involve **CPV** and **NO vs IO** difference, essentially via  $\mu \rightarrow e$  in LBL accel. and atmospher. expts

**LBL accelerators** (T2K and NOvA) are dominantly sensitive to ( $\Delta m^2$ ,  $\theta_{13}$ ,  $\theta_{23}$ ) but also probe  $\delta$  and NO vs IO, provided that ( $\delta m^2$ ,  $\theta_{12}$ ) are fixed by solar+KL.

$$P(\nu_{\mu} \to \nu_{e}) \simeq \sin^{2} \theta_{23} \sin^{2} 2\theta_{13} \left(\frac{\Delta m^{2}}{A - \Delta m^{2}}\right)^{2} \sin^{2} \left(\frac{A - \Delta m^{2}}{4E}x\right) + \sin 2\theta_{23} \sin 2\theta_{13} \sin 2\theta_{12} \left(\frac{\delta m^{2}}{A}\right) \left(\frac{\Delta m^{2}}{A - \Delta m^{2}}\right) \sin \left(\frac{A}{4E}x\right) \sin \left(\frac{A - \Delta m^{2}}{4E}x\right) \cos \left(\frac{\Delta m^{2}}{4E}x\right) \cos \delta - \sin 2\theta_{23} \sin 2\theta_{13} \sin 2\theta_{12} \left(\frac{\delta m^{2}}{A}\right) \left(\frac{\Delta m^{2}}{A - \Delta m^{2}}\right) \sin \left(\frac{A}{4E}x\right) \sin \left(\frac{A - \Delta m^{2}}{4E}x\right) \sin \left(\frac{\Delta m^{2}}{4E}x\right) \sin \delta + \cos^{2} \theta_{13} \sin^{2} 2\theta_{12} \left(\frac{\delta m^{2}}{A}\right)^{2} \sin^{2} \left(\frac{A}{4E}x\right) , \qquad (13)$$

where  $A = 2\sqrt{2}G_F N_e E$  governs matter effects, with  $A \to -A$  and  $\delta \to -\delta$  for  $\nu \to \overline{\nu}$ , and  $\Delta m^2 \to -\Delta m^2$  for normal to inverted ordering. At typical NOvA energies ( $E \sim 2$  GeV) it is  $|A/\Delta m^2| \sim 0.2$ ,

[Hereafter: 
$$\Delta m^2 = (\Delta m^2_{31} + \Delta m^2_{32})/2$$
]

**SBL reactors** (Daya Bay, RENO, Double Chooz) are dominantly sensitive to ( $\Delta m^2$ ,  $\theta_{13}$ ) and shrink the  $\theta_{13}$  range dramatically, with correlated effects on the other parameters

Atmospheric v searches (mainly Super-Kamiokande, plus IceCube DC) also contribute to probe and to constrain ( $\Delta m^2$ ,  $\theta_{13}$ ,  $\theta_{23}$ ,  $\delta$ ) as well as testing NO vs IO.

### Higher-res. picture $\rightarrow$ Combined (global) analysis of $\nu$ data sets



**Our analysis includes data in the sequence:** 

## LBL Accel + Solar + KL (KamLand) LBL Accel + Solar + KL + SBL Reactor LBL Accel + Solar + KL + SBL Reactor + Atmosph.

 $\chi^2$  metric adopted. Parameters not shown are marginalized away:

C.L.'s refer to  $N\sigma = \sqrt{\Delta \chi^2} = 1, 2, 3, ...$ 

Global fit results: 1804.09678 by F. Capozzi, E. Lisi, A. Marrone, A. Palazzo, PPNP 102, 48 (2018)

In the following figures: Typical No limits would be ~linear and symmetric for ~gaussian errors around the **separate best fits for both NO and IO.** 



However, bounds for IO move upwards if one takes into account that currently NO gives the absolute best fit. Recall: N $\sigma = \sqrt{\Delta \chi^2} = 1, 2, 3...$ 



[Data as of April 2018]



The 2 mass<sup>2</sup> parameters and the 3 mixing angles bound at >4 $\sigma$  level. Largest mixing angle  $\theta_{23}$  close to  $\pi/4$ , but octant undetermined at 1 $\sigma$ . CP phase favored around  $3\pi/2$  (max CPV with sin $\delta \sim -1$ ). IO slightly disfavored with respect to NO at  $\sim 1\sigma$  level.

[Data as of April 2018]



Range of smallest mixing angle  $\theta_{13}$  dramatically reduced Largest mixing angle  $\theta_{23}$  close to  $\pi/4$ , but octant undetermined at  $2\sigma$ . Max CPV at  $\sim 3\pi/2$  favored, CP conservation disfavored at  $\sim 2\sigma$  in NO. IO disfavored with respect to NO at  $\sim 2\sigma$  level.

[Data as of April 2018]



Further improvements for various parameters: 1 $\sigma$  bounds at few % level Largest mixing angle (2-3) close to  $\pi/4$ , but octant undetermined at 2 $\sigma$ . CPV: sin $\delta \sim -1$  favored,  $\sim 0$  disfav.,  $\sim +1$  exclud. Meaningful bounds at  $\sim 3\sigma$ . IO significantly disfavored with respect to NO, at  $\sim 3\sigma$  level (but: caution!)

#### Understanding the accelerator + reactor (+atm.) impact on NO preference



#### Running experiments can further corroborate this picture (if true)

#### Understanding the accelerator + reactor (+atm.) impact on CPV preference



**Running experiments can further corroborate this picture (if true)** 

### 3v oscillation parameters summary – 1 year ago [arXiv:1804.09678]

Table 1: Best fit values and allowed ranges at  $N\sigma = 1, 2, 3$  for the  $3\nu$  oscillation parameters, in either NO or IO. The latter column shows the formal " $1\sigma$  accuracy" for each parameter, defined as 1/6 of the  $3\sigma$  range divided by the best-fit value (in percent).

		J		F):		
Parameter	Ordering	Best fit	$1\sigma$ range	$2\sigma$ range	$3\sigma$ range	" $1\sigma$ " (%)
$\delta m^2 / 10^{-5}  \mathrm{eV^2}$	NO	7.34	7.20-7.51	7.05-7.69	6.92 - 7.91	2.2
	IO	7.34	7.20-7.51	7.05-7.69	6.92-7.91	2.2
$\sin^2 \theta_{12}$	NO	3.04	2.91-3.18	2.78-3.32	2.65-3.46	4.4
	IO	3.03	2.90-3.17	2.77-3.31	2.64-3.45	4.4
$\sin^2 \theta_{13} / 10^{-2}$	NO	2.14	2.07-2.23	1.98-2.31	1.90-2.39	3.8
	IO	2.18	2.11-2.26	2.02-2.35	1.95-2.43	3.7
$ \Delta m^2 /10^{-3} \text{ eV}^2$	NO	2.455	2.423 - 2.490	2.390 - 2.523	2.355 - 2.557	1.4
	IO	2.441	2.406 - 2.474	2.372 - 2.507	2.338 - 2.540	1.4
$\sin^2 \theta_{23}/10^{-1}$	NO	5.51	4.81-5.70	4.48-5.88	4.30 - 6.02	5.2
	IO	5.57	5.33-5.74	4.86-5.89	4.44 - 6.03	4.8
$\delta/\pi$	NO	1.32	1.14-1.55	0.98-1.79	0.83 - 1.99	14.6
	IO	1.52	1.37-1.66	1.22-1.79	1.07-1.92	9.3

Known parameters constrained at few % level – Precision era! "Unknown" CP phase maybe already "known" at O(10%) - if trend confirmed Dramatic progress in the last two decades on the PMNS paradigm... but still a long way to go to reach CKM-level accuracy and redundance!

Hints for nearly maximal CPV and NO will be at center stage in next years

Impact of oscill. data on nonoscill. observables (separate NO and IO)



### Upper limits on $m_{\beta}$ , $m_{\beta\beta}$ , $\Sigma$ from nonosc. expts (up to some syst.)



Cosmo data already contribute to put IO "under pressure". Major improvements expected in the next decade...

## OUTLINE

# Status of the 3v paradigm 2018 Preliminary update 2019 Future challenges

**New results in the last year, included (** $\checkmark$ **) or not (** $\cancel{X}$ **) in this <u>partial update 2019</u> [***Capozzi et al. 2019, preliminary, unpublished***]** 

<b>RENO</b>	✓	arXiv:1806.00248
Daya Bay	✓	arXiv:1809.02261
Double Chooz	×	arXiv:1901.09445
T2K	5	Neutrino 2018 + other conferences 2018/19
NOvA	5	Neutrino 2018 + other conferences 2018/19
SK-IV atmos.	×	arXiv:1901.03230, fiTQun reconstr. algorithm
DeepCore	×	arXiv:1902.07771, analyses "A" and "B"

Further activity on the experimental side:

- Common meetings of **SBL reactor expts**. [e.g., ESCAPE 2018] but no joint fit yet
- Agreement for T2K + NOvA joint analysis (possibly T2K + SK ?) in the next future
- Some updates expected in **Summer 2019** conferences\*

\*We shall wait for new data/publications before finalizing the 2019 update





NO: Slight increase of best fit values for  $\theta_{13}$ ,  $\theta_{23}$ ,  $\Delta m^2$ , with slightly smaller errors NO: Slight decrease of best-fit value of  $\delta$ , with weaker CPV significance IO: Remains disfavored with respect to NO at  $\sim 3\sigma$  level  $\rightarrow$  Stable hint in favor of NO

#### Covariances of $(\sin^2\theta_{23}, \sin^2\theta_{13})$ for Normal Ordering



#### **Covariances of (** $sin^2\theta_{23}$ , $\Delta m^2$ **) for Normal Ordering**







[Octant may still flip up and down in the future...]

#### **Covariances of (** $\delta$ **,** sin<sup>2</sup> $\theta_{13}$ **) for Normal Ordering**



#### Covariances of ( $\delta$ , $\sin^2\theta_{13}$ ) for Normal Ordering



<sup>0</sup>0001 0.02 0.03 0.04 <sup>0</sup>0001 0.02 0.03 0.04 <sup>0</sup>001 0.02 0.03 0.04

[Finally: no significant update about non-oscillation observables. But: progress in understanding sub-eV cosmological constraints in attacking the  $g_A$  issue in DBD NME, and in KATRIN data taking!]

## OUTLINE

Status of the 3v paradigm 2018 Preliminary update 2019 Future challenges [in data analyses]

#### Comparison of this 2019 partial update ("BARI") with NuFIT 4.0 results ("NUFIT") [basically using the same relevant input data sets]



Agreement as good as it can be expected from independent phenom. analyses [except perhaps for the "bimodal" -and relatively fragile- p.d.f. of  $\sin^2\theta_{23}$ ]

#### From the summary talk by M. Nakahata at NNN 2018 (quoting talk by C. Giunti):



#### But I'd like to share with you a different viewpoint...

...At least for the standard 3v framework, global data analyses will progressively require joint work of diverse [experimental] collaborations, rather than of phenomenol. groups. Just a few reasons (out of many) for this transition:

**Complexity.** Some data sets are becoming too complicated to be analyzed outside the collaborations. E.g. SK-atm with O(400) bins and O(100) systematics. Use of "AI" techniques will enhance this problem. But: "black-box" results to be avoided!

**Uniformity.** Comparable standards should be used in the same class of expts. E.g. no other atmospheric expt. includes as many sources of syst's as SK (yet). Statistical techniques also need some kind of agreement (freq./Bayes/MC/FC)

**Common inputs.** Some ingredients (normalizations, cross sections, fluxes etc.) are shared –totally or in part- by different experiments, and are thus correlated. Obvious past example: SSM+solar. Future: SK+T2K, T2K+NOvA, SK+ICDC, SBL reac.

**Data preservation.** Like in other HEP or CR communities, data should be stored and formatted in a way to be accessible for later (re)use. Prints (articles, figures, PhD theses etc.) replaced by databases? Agreements among expts needed.

**Metrology.** The 3v oscill. parameters represent fundamental "frequencies" and "amplitudes" in Nature, to be determined with the best possible accuracy.



**IceCube DC 2019, prior and posterior pulls (below). Differences wrt SK priors/posteriors.** Not an ideal situation: e.g. overall flux normalizations may go in different directions. This info gets lost by combining DC & SK analyses as if they were totally independent... ...to be contrasted with solar v analyses, where the same SSM pulls act on all observables.

Table 2 Systematics treated as nuisance parameters in the likelihood analysis, including normalization (N), detector response (D), oscillation (O), flux (F), and neutrino-nucleon interaction (I) uncertainties. These parameters are discussed in more detail in [38]. The table gives the baseline value and, if the parameter is used with a prior in the likelihood, the standard deviation of the Gaussian prior, as well as the experimental best-fit values for both analyses and ordering hypotheses.

Label	Туре	Description of Parameter	Baseline±Prior	Analysis A		Analysis $\mathcal{B}$	
				NO	IO	NO	ю
N <sub>v</sub>	N, F	normalization of total neutrino template	1 <sup>a</sup>	0.83	0.84	0.98	0.99
Nye	N, F	normalization of $v_e$ flux before oscillations	$1 \pm 0.05^{ad}$	1.00	1.00	1.37	1.38
N <sub>NC</sub>	N, I	normalization of NC events	$1 \pm 0.2^{a}$	0.74	0.75	0.99	0.99
$N_{\mu}$	N, F	normalization of atmos. muon events	1 <sup>a</sup>	1.35	1.34	0.2% <sup>c</sup>	0.2% <sup>c</sup>
$\epsilon_{\rm opt}$	D	overall optical efficiency [11]	$1 \pm 0.1^{ad}$	1.00	1.00	0.92	0.92
€lateral	D	lateral dependence of optical efficiency [11]	$0 \pm 1^{b}$	0.68	0.68	-0.46	-0.46
ehead-on	D	head-on optical efficiency [11]	0 <sup>b</sup>	-1.01	-1.01	-2.00	-1.92
$\Delta m_{31}^2 / (10^{-3}  \text{eV}^2)$	0	atmospheric mass-splitting	2.5(NO)/-2.43(IO)	2.626	-2.511	2.462	-2.348
$\sin^2(\theta_{23})$	0	atmospheric neutrino mixing angle	0.455	0.476	0.485	0.558	0.539
$\gamma_{\nu}$	F	neutrino spectral index unc. [44]	$0.0 \pm 0.1^{d}$	0.073	0.071	-0.025	-0.027
Yu	F	atmospheric muon spectrum unc. [36, 45]	$0.0 \pm 1.0^{b}$	0.04	0.04	-	-
$\sigma_{y}^{\text{zenith}}$	F	zenith-dependent unc. in $\nu/\bar{\nu}$ flux [46]	$0.0 \pm 1.0^{bd}$	-0.12	-0.11	-0.86	-0.89
$\Delta(\nu/\bar{\nu})$	F	energy-dependent unc. in $\nu/\bar{\nu}$ ratio [46]	$0.0 \pm 1.0^{b}$	-1.03	-1.02	0.05	0.07
$M_{\rm A}^{\rm res}/{\rm GeV}$	I	axial mass unc. of resonant events [47]	$1.12 \pm 0.22$	1.091	1.095	1.003	0.999
M <sup>qe</sup> /GeV	Ι	axial mass unc. of quasi-elastic events [47]	$0.99 \pm 0.25$	0.862	0.867	0.881	0.888

←opposite pull in SK

<sup>b</sup> parametrized with respect to the value and the uncertainty obtained from the provided reference

<sup>c</sup> given as fraction of the total sample, since no Monte Carlo prediction exists to compare to

<sup>d</sup> no prior used for likelihood in Analysis  $\mathcal B$ 

**IceCube DC 2019, prior and posterior pulls (below). Differences wrt SK priors/posteriors.** Not an ideal situation: e.g. overall flux normalizations may go in different directions. This info gets lost by combining DC & SK analyses as if they were totally independent... ...to be contrasted with solar v analyses, where the same SSM pulls act on all observables.

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				NO	10		
				NO	10	NO	ю
<i>N</i> <sub>ν</sub> Ν	I, F	normalization of total neutrino template	1 <sup>a</sup>	0.83	0.84	0.98	0.99
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<sup>d</sup> no prior used for likelihood in Analysis  $\mathcal{B}$ 

←opposite pull in SK

Expt+Theo+Stat work needed to reach "standard models" for atmospheric v fluxes and their cross-sections in water - especially in view of high-stat PINGU, ORCA, HyperK, that will require an improved understanding of energy-angle spectral uncertainties Similarly: hard work needed to reach "standard" reactor v spectra and uncertanties.

Not easy tasks (discrete  $\rightarrow$  shape errors), but we should invest more on them.

**Complexity issues: avoiding "black-box" attitude towards processed data samples.** Various experimental data analyses are (or will be) so complicated to be ~unreproducible outside the collaborations. However, the discussion of the main analysis ingredients (physics + detector + statistics + other issues) must remain open to the community.

E.g., the current  $\chi^2$  output of the SK-IV fiTQun-atm analysis shows some  $\Delta m^2$  "fuzziness". But: Physics is "smooth" in  $\Delta m^2$ . Fuzziness also in SK solar neutrino LMA analysis. Artifacts? E.g.: Insufficient energy sampling - incomplete oscillation averaging?



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Releasing χ<sup>2</sup> maps is a useful service, but cannot replace critical treatment of data.
 We should always aim at public and informed discussions about neutrino data analyses.
 No "deep learning" [techniques] without "deep understanding" [attitude]!

## Conclusions

#### Knowns:

 $\begin{array}{ll} \delta m^2 & \sim 7 \times 10^{-5} \ eV^2 \\ \Delta m^2 & \sim 2 \times 10^{-3} \ eV^2 \\ \sin^2 \theta_{12} & \sim 0.3 \\ \sin^2 \theta_{23} & \sim 0.5 \\ \sin^2 \theta_{13} & \sim 0.02 \end{array}$ 



Unknowns:  $\delta = \text{Dirac CPV phase}$  $\text{sign}(\Delta m^2) = \text{ordering}$ 

octant( $\theta_{23}$ ) absolute mass scale Dirac/Majorana nature

- 3v framework established by convergence of many data sets
  - Five known parameters are being measured with increasing accuracy
- Five unknowns remain to be determined, with hints in favor of:

**NO** vs IO at the level of  $\sim 3\sigma$  (oscillation data) + " $\epsilon$ " (cosmology) **CPV** with  $\delta \sim 1.2\pi$  (but CPC allowed at  $1.3\sigma$ )

 $\theta_{23}$  in 2<sup>nd</sup> octant (but 1<sup>st</sup> octant allowed at <2 $\sigma$  level)

 $[\rightarrow$  All very interesting... but not compelling yet]

- Absolute mass scale: hiding in the sub-eV range, but not for long...
- Dirac/Majorana nature: hiding... for how long?
- Combined 3v analyses of high-stat datasets will face new challenges

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**Unknowns:** 

 $\delta$  = Dirac CPV phase sign( $\Delta m^2$ ) = ordering octant( $\theta_{23}$ ) absolute mass scale Dirac/Majorana nature

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### Thank you for your attention



## **3v paradigm: parameters**

Mixings and phases: CKM→ PMNS (Pontecorvo-Maki-Nakagawa-Sakata)



Mass [squared] spectrum ( $E \sim p + m^2/2E + "interaction energy"$ )



#### Covariances, 2019 preliminary update



Normal Ordering

Inverted Ordering

0.04

Normal Ordering

Inverted Ordering

0.7

#### "Solar" oscillation parameters



#### "Strong interaction" effects on "weak interaction" physics are ubiquitous...

Need hadron production data, e.g. pA  $\rightarrow \pi X$ , +theory models to improve estimates of atm. and acceler.  $\mathbf{v}$  fluxes and errors

Current understanding of v cross sections at O(GeV) does not match the needs of (next-generation)  $\mathbf{v}$  expts

<u>چ</u>1.4 T2K/Hyper-K θ=100-140 mrac θ=0-20 mrad bm11 3n nlo.LHan  $\pi^+$ NOVA  $d^2\sigma/(d\Omega dp) \ [mb/(GeV/c \ sr)]$ CT10nlo\_nf3.LHgrid θ=140-180 mrad θ=20-40 mrad MSTW2008nlo68cl\_nf3.LHgrid (10<sup>-38</sup> cm<sup>2</sup> / NNPDF21\_FFN\_NF3\_100.LHgrid GJR08FFnIoE.LHgrid A-180-240 mrac θ=40-60 mrad  $10^{3}$ DUNE Q = 3.16 GeV H=60-100 mrad θ=300-360 mrad HFRA + LHCb TOTAL θ=360-420 mrad 0.8 س 20 6.0g 10 \$0.4 <sup>%</sup>0.2 0 10 -10<sup>l</sup> 10-6 0 5 10 15 20 10-5 10-4 10 10<sup>-1</sup> 10<sup>2</sup> 1 10  $p_{\pi}$  (GeV/c)  $v_{\mu}CC$  cross section per nucleon E<sub>v</sub> (GeV) 10 Better control of nuclear EW 0.1 8 IH ( $\Delta m^2 < 0$ ) response (e.g., **g**<sub>A</sub>) relevant m<sub>pp</sub> [eV] m<sub>pp</sub> [eV] 6 0.01 to interpret  $2\beta$  data and to Š NH ( $\Delta m^2 > 0$ ) connect them with other data 0.001 10-4 0 0.001 0.01 0.1 <sup>6</sup>Ge<sup>82</sup>Se<sup>96</sup>Zr<sup>100</sup>Mo<sup>116</sup>Cd<sup>124</sup>Sn<sup>130</sup>Te<sup>136</sup>Xe<sup>150</sup>Nd mightest [eV]

**Progress requires joint contributions from different disciplines & communities** In the long-term: Lattice QCD? Recent calculations of axial coupling and form factor  $(g_A, m_A)$ 

Improved PDFs at low-x via

~forward charm production

at LHCb essential to constrain

prompt component in UHE v $xg(x, \mu)$ , comparison plot

10-2

NREDF

-(R)QRP IBM2

REDF

10

### $\nu$ flavor oscillation experiments: $\alpha \rightarrow \beta$ in vacuum and matter



 $\mu \rightarrow \mu$  (Atmospheric)  $e \rightarrow e$ 







(a) KamLAND [plot]; (b) Borexino [plot], Homestake, Super-K, SAGE, GALLEX/ GNO, SNO; (c) Super-K atmosph. [plot], DeepCore, MACRO, MINOS etc.; (d) T2K (plot), NOvA, MINOS, K2K; (e) Daya Bay [plot], RENO, Double Chooz; (f) T2K [plot], MINOS, NOvA; (g) OPERA [plot], Super-K atmospheric.



µ→e





 $\mu \rightarrow \tau$  (OPERA, SK)

