

Matter Effect in LBL Experiments

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Neutrino Oscillations in 3 Flavors

$$c_{ij} = \cos \theta_{ij} \text{ and } s_{ij} = \sin \theta_{ij}$$

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

θ_{23} : $P(\nu_\mu \rightarrow \nu_\mu)$ by Atoms. ν and ν beam
 θ_{13} : $P(\nu_e \rightarrow \nu_e)$ by Reactor ν
 θ_{13} & δ : $P(\nu_\mu \rightarrow \nu_e)$ by ν beam
 θ_{12} : $P(\nu_e \rightarrow \nu_e)$ by Reactor and solar ν

Three mixing angles: $\theta_{23}, \theta_{13}, \theta_{12}$ and one CP violating (Dirac) phase δ_{CP}

$$\tan^2 \theta_{12} \equiv \frac{|U_{e2}|^2}{|U_{e1}|^2}; \quad \tan^2 \theta_{23} \equiv \frac{|U_{\mu 3}|^2}{|U_{\tau 3}|^2}; \quad U_{e3} \equiv \sin \theta_{13} e^{-i\delta}$$

3 mixing angles simply related to flavor components of 3 mass eigenstates

Over a distance L , changes in the relative phases of the mass states may induce flavor change

$$P(\nu_\alpha \rightarrow \nu_\beta) = \delta_{\alpha\beta} - 4 \sum_{i>j} \text{Re}[U_{\alpha i}^* U_{\alpha j} U_{\beta i} U_{\beta j}^*] \sin^2 \Delta_{ij} - 2 \sum_{i>j} \text{Im}[U_{\alpha i}^* U_{\alpha j} U_{\beta i} U_{\beta j}^*] \sin 2\Delta_{ij}$$

$$\Delta_{ij} = \Delta m_{ij}^2 L / 4E_\nu$$

$$\Delta m_{ij}^2 = m_i^2 - m_j^2$$

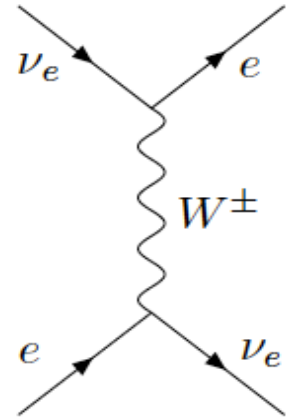
2 independent mass splittings Δm_{21}^2 and Δm_{32}^2 , for anti-neutrinos replace δ_{CP} by $-\delta_{CP}$

Neutrino Oscillations in Matter

Neutrino propagation through matter modify the oscillations significantly

Coherent forward elastic scattering of neutrinos with matter particles

Charged current interaction of ν_e with electrons creates an extra potential for ν_e



MSW matter term: $A = \pm 2\sqrt{2}G_F N_e E$ or $A(\text{eV}^2) = 0.76 \times 10^{-4} \rho (\text{g/cc}) E(\text{GeV})$

N_e = electron number density , + (-) for neutrinos (anti-neutrinos) , ρ = matter density in Earth

Matter term changes sign when we switch from neutrino mode to anti-neutrino mode

$P(\nu_\alpha \rightarrow \nu_\beta) - P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta) \neq 0 \implies$ even if $\delta_{CP} = 0$, causes fake CP asymmetry

Matter term modifies oscillation probability differently depending on the sign of Δm^2

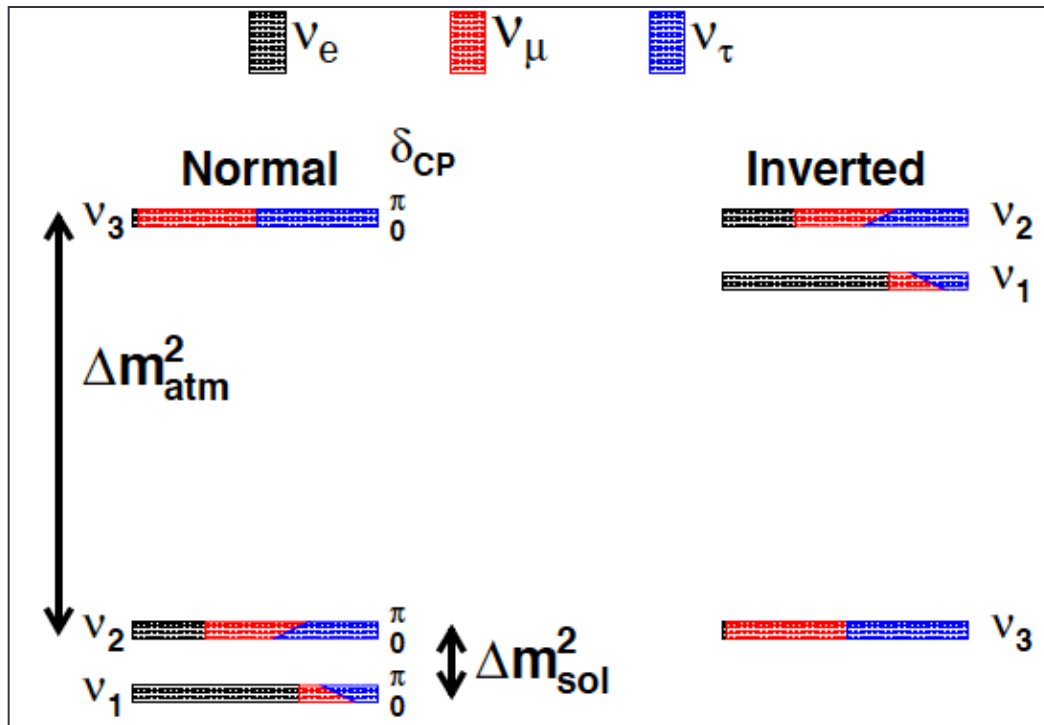
$\Delta m^2 \simeq A \iff E_{\text{res}}^{\text{Earth}} = 6 - 8 \text{ GeV} \implies$ Resonant conversion – Matter effect

	ν	$\bar{\nu}$
$\Delta m^2 > 0$	MSW	-
$\Delta m^2 < 0$	-	MSW

Resonance occurs for neutrinos (anti-neutrinos) if Δm^2 is positive (negative)

Neutrino Mass Ordering: Important Open Question

The sign of Δm_{31}^2 ($m_3^2 - m_1^2$) is not known



Neutrino mass spectrum can be normal or inverted ordered

We only have a lower bound on the mass of the heaviest neutrino

$$\sqrt{2.5 \cdot 10^{-3} \text{eV}^2} \sim 0.05 \text{ eV}$$

We currently do not know which neutrino is the heaviest

$$|U_{e1}|^2 > |U_{e2}|^2 > |U_{e3}|^2$$

$$\nu_e \text{ component of } \nu_1 > \nu_e \text{ component of } \nu_2 > \nu_e \text{ component of } \nu_3$$

Mass Ordering Discrimination : A Binary yes-or-no type question

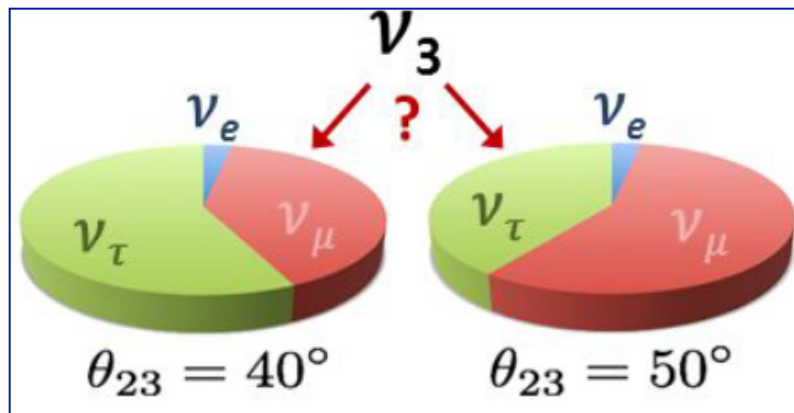
Octant of 2-3 Mixing Angle: Important Open Question

→ In ν_μ survival probability, the dominant term is mainly sensitive to $\sin^2 2\theta_{23}$

→ If $\sin^2 2\theta_{23}$ differs from 1 (recent hints), we get two solutions for θ_{23}

→ One in lower octant (LO: $\theta_{23} < 45$ degree)

→ Other in higher octant (HO: $\theta_{23} > 45$ degree)



Octant ambiguity of θ_{23}

Fogli and Lisi, hep-ph/9604415

ν_μ to ν_e oscillation channel can break this degeneracy
preferred value would depend on the choice of neutrino mass ordering

Is CP violated in the neutrino sector, as in the quark sector?

Mixing can cause CPV in ν sector, provided $\delta_{CP} \neq 0^\circ$ and 180°

Need to measure the CP-odd asymmetries:

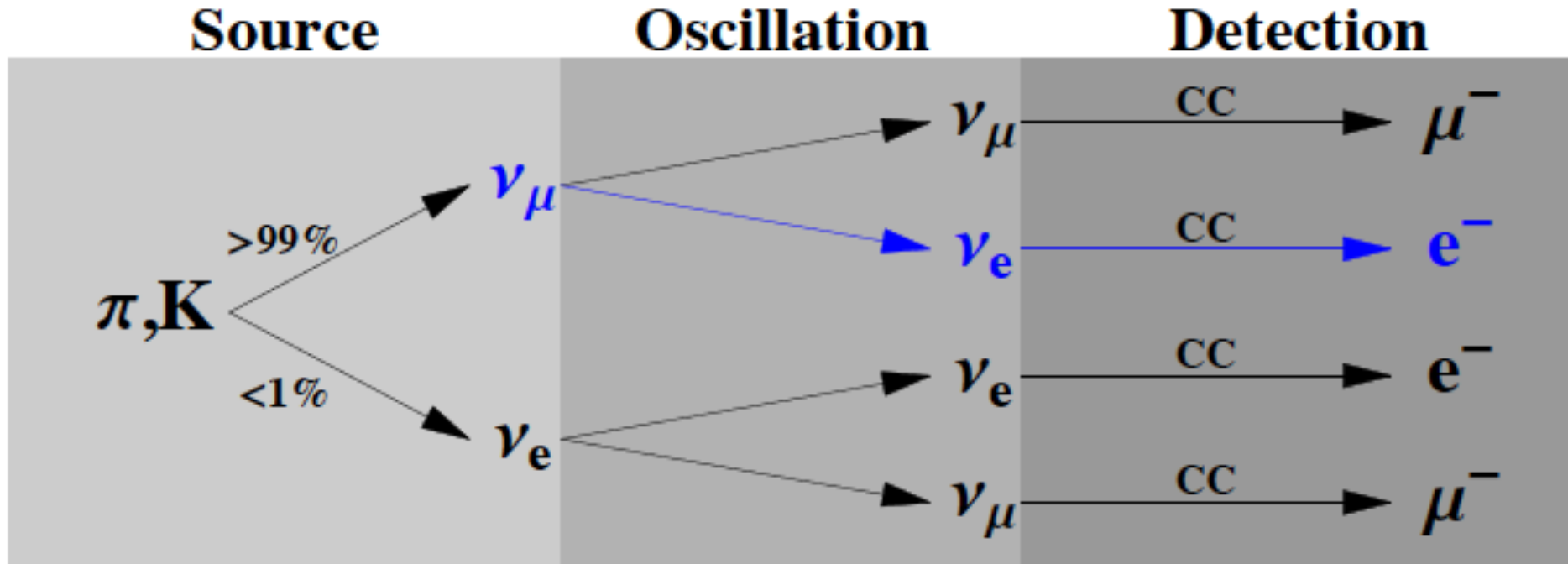
$$\Delta P_{\alpha\beta} \equiv P(\nu_\alpha \rightarrow \nu_\beta; L) - P(\bar{\nu}_\alpha \rightarrow \bar{\nu}_\beta; L) \quad (\alpha \neq \beta)$$

$$\Delta P_{e\mu} = \Delta P_{\mu\tau} = \Delta P_{\tau e} = 4J_{CP} \times \left[\sin\left(\frac{\Delta m_{21}^2 L}{2E}\right) + \sin\left(\frac{\Delta m_{32}^2 L}{2E}\right) + \sin\left(\frac{\Delta m_{13}^2 L}{2E}\right) \right]$$

Jarlskog CP-odd Invariant $\rightarrow J_{CP} = \frac{1}{8} \cos \theta_{13} \sin 2\theta_{13} \sin 2\theta_{23} \sin 2\theta_{12} \sin \delta_{CP}$

Three-flavor effects are key for CPV, need to observe interference

- Conditions for observing CPV:*
- 1) Non-degenerate masses ✓*
 - 2) Mixing angles $\neq 0^\circ$ and 90° ✓*
 - 3) $\delta_{CP} \neq 0^\circ$ and 180° (Hints)*



Traditional approach: Neutrino beam from pion decay

Accelerator Long-baseline Neutrino Experiments

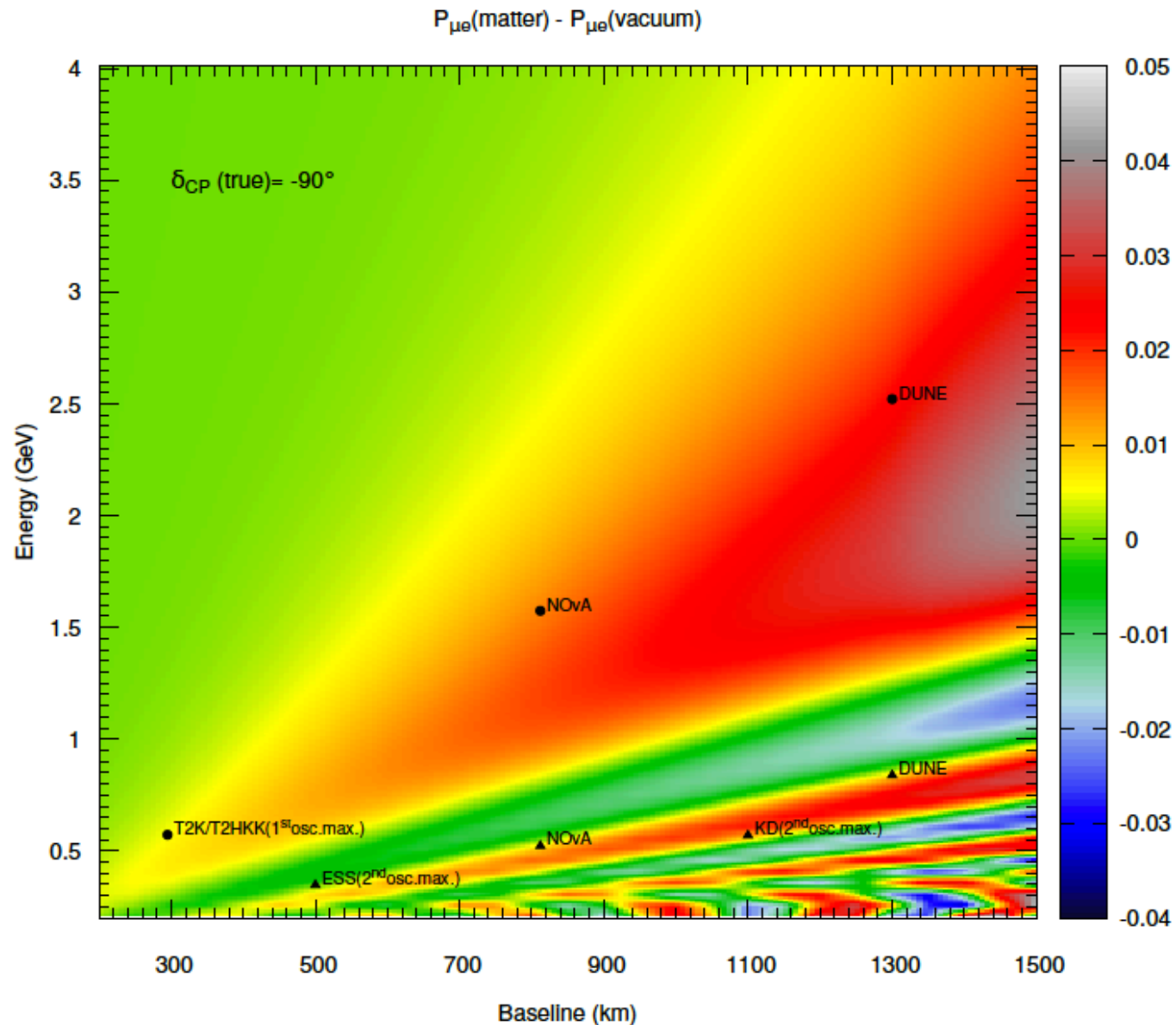
Appearance: $(\nu_\mu \rightarrow \nu_e)$ and $(\text{anti-}\nu_\mu \rightarrow \text{anti-}\nu_e)$
(essential for MO, CPV, Octant)

Disappearance: $(\nu_\mu \rightarrow \nu_\mu)$ and $(\text{anti-}\nu_\mu \rightarrow \text{anti-}\nu_\mu)$
(key for precise measurement of Δm_{32}^2 and θ_{23})

Present: T2K & NOvA

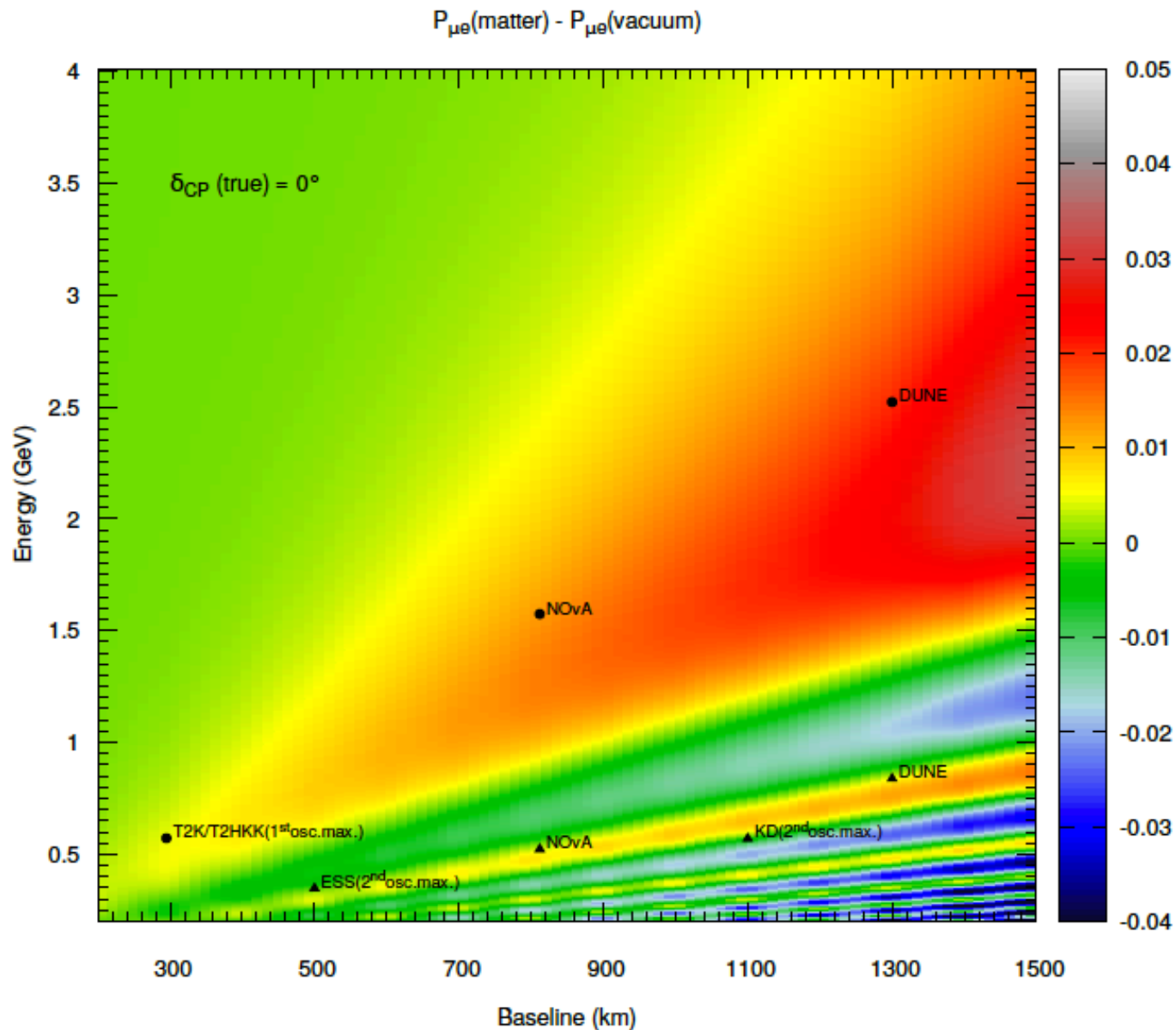
Future: DUNE, T2HK, T2HKK, ESSvSB

Matter Effect in LBL Experiments



SKA, Soumya C., Masoom Singh, in preparation

Matter Effect in LBL Experiments



SKA, Soumya C., Masoom Singh, in preparation

Three Flavor Effects in $\nu_\mu \rightarrow \nu_e$ oscillation probability

The appearance probability ($\nu_\mu \rightarrow \nu_e$) in matter, upto second order in the small parameters $\alpha \equiv \Delta m_{21}^2 / \Delta m_{31}^2$ and $\sin 2\theta_{13}$,

$$\begin{aligned}
 P_{\mu e} \simeq & \underbrace{\sin^2 2\theta_{13}}_{0.09} \underbrace{\sin^2 \theta_{23}}_{0.03} \frac{\sin^2[(1 - \hat{A})\Delta]}{(1 - \hat{A})^2} \longrightarrow \theta_{13} \text{ Driven} \\
 & - \underbrace{\alpha \sin 2\theta_{13}}_{0.009} \xi \sin \delta_{CP} \sin(\Delta) \frac{\sin(\hat{A}\Delta)}{\hat{A}} \frac{\sin[(1 - \hat{A})\Delta]}{(1 - \hat{A})} \longrightarrow \text{CP odd} \\
 & + \alpha \sin 2\theta_{13} \xi \cos \delta_{CP} \cos(\Delta) \frac{\sin(\hat{A}\Delta)}{\hat{A}} \frac{\sin[(1 - \hat{A})\Delta]}{(1 - \hat{A})} \longrightarrow \text{CP even} \\
 & + \underbrace{\alpha^2}_{0.0009} \cos^2 \theta_{23} \sin^2 2\theta_{12} \frac{\sin^2(\hat{A}\Delta)}{\hat{A}^2}; \longrightarrow \text{Solar Term}
 \end{aligned}$$

where $\Delta \equiv \Delta m_{31}^2 L / (4E)$, $\xi \equiv \cos \theta_{13} \sin 2\theta_{21} \sin 2\theta_{23}$,
 and $\hat{A} \equiv \pm(2\sqrt{2}G_F n_e E) / \Delta m_{31}^2$

changes sign with $\text{sgn}(\Delta m_{31}^2)$
 key to resolve hierarchy!

changes sign with polarity
 causes fake CP asymmetry!

Cervera et al., hep-ph/0002108
 Freund, hep-ph/0103300

This channel suffers from: (Hierarchy - δ_{CP}) & (Octant - δ_{CP}) degeneracy! How can we break them?



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Analytical approximation of the neutrino oscillation matter effects at large θ_{13}

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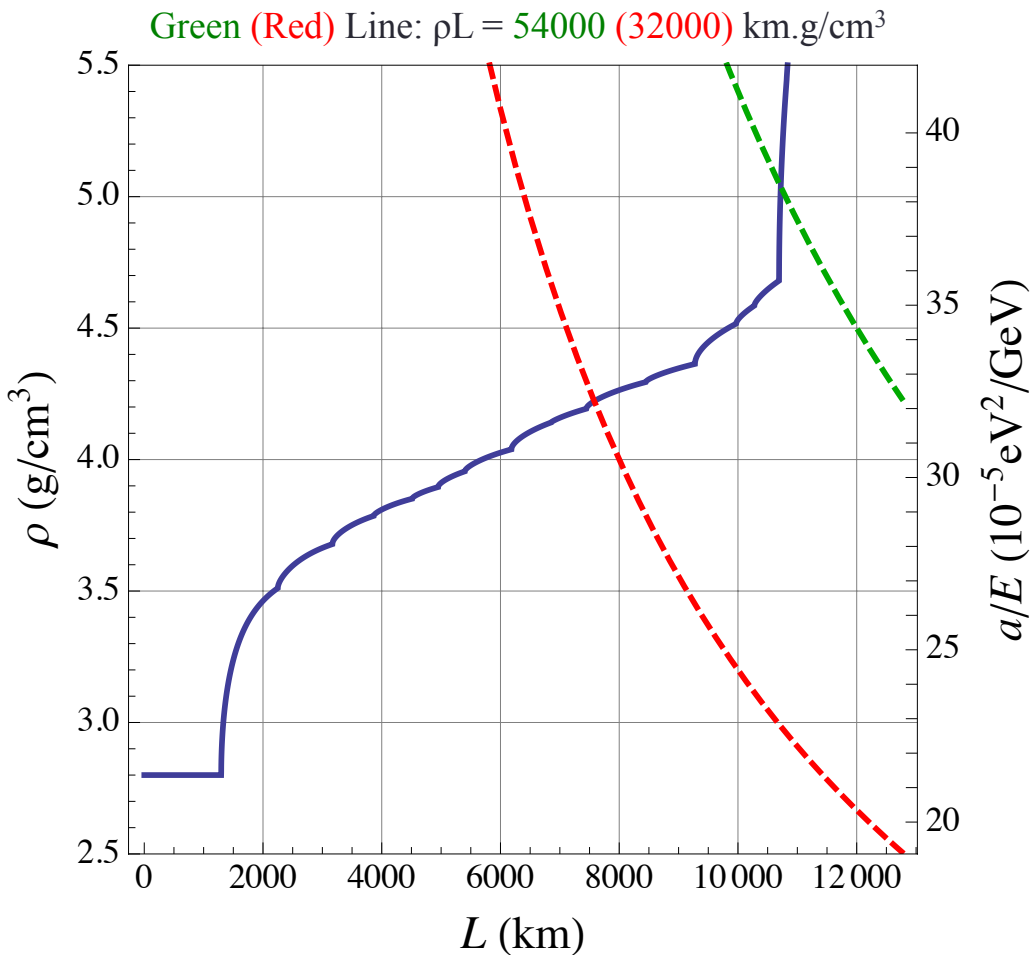
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ABSTRACT: We argue that the neutrino oscillation probabilities in matter are best understood by allowing the mixing angles and mass-squared differences in the standard parametrization to ‘run’ with the matter effect parameter $a = 2\sqrt{2}G_F N_e E$, where N_e is the electron density in matter and E is the neutrino energy. We present simple analytical approximations to these ‘running’ parameters. We show that for the moderately large

JHEP04(2014)047

Matter Effect Parameter a

$$a = 2\sqrt{2}G_F N_e E = 7.63 \times 10^{-5} (\text{eV}^2) \left(\frac{\rho}{\text{g/cm}^3} \right) \left(\frac{E}{\text{GeV}} \right)$$



Agarwalla, Kao, Takeuchi, JHEP 1404, 047 (2014)

- ⊙ Matter effects play an important role
- ⊙ Mixing angles and mass-squared differences run with the matter effect parameter 'a'
- ⊙ We present simple analytical approximations to these running parameters using the Jacobi method
- ⊙ We show that for large θ_{13} , the running of θ_{23} and δ_{CP} can be neglected, simplifying the probability expression
- ⊙ We need to rotate only θ_{12} and θ_{13}

First noticed by Krastev and Petcov
Phys.Lett. B205 (1988) 84-92

Our Approach

Use the expressions for the vacuum oscillation probabilities as it is, but make the following replacements:

$$\theta_{12} \rightarrow \theta'_{12}, \quad \theta_{13} \rightarrow \theta'_{13}, \quad \delta m_{jk}^2 \rightarrow \lambda_j - \lambda_k$$

where

$$\tan 2\theta'_{12} = \frac{(\delta m_{21}^2 / c_{13}^2) \sin 2\theta_{12}}{(\delta m_{21}^2 / c_{13}^2) \cos 2\theta_{12} - a}, \quad \tan 2\theta'_{13} = \frac{(\delta m_{31}^2 - \delta m_{21}^2 s_{12}^2) \sin 2\theta_{13}}{(\delta m_{31}^2 - \delta m_{21}^2 s_{12}^2) \cos 2\theta_{13} - a},$$

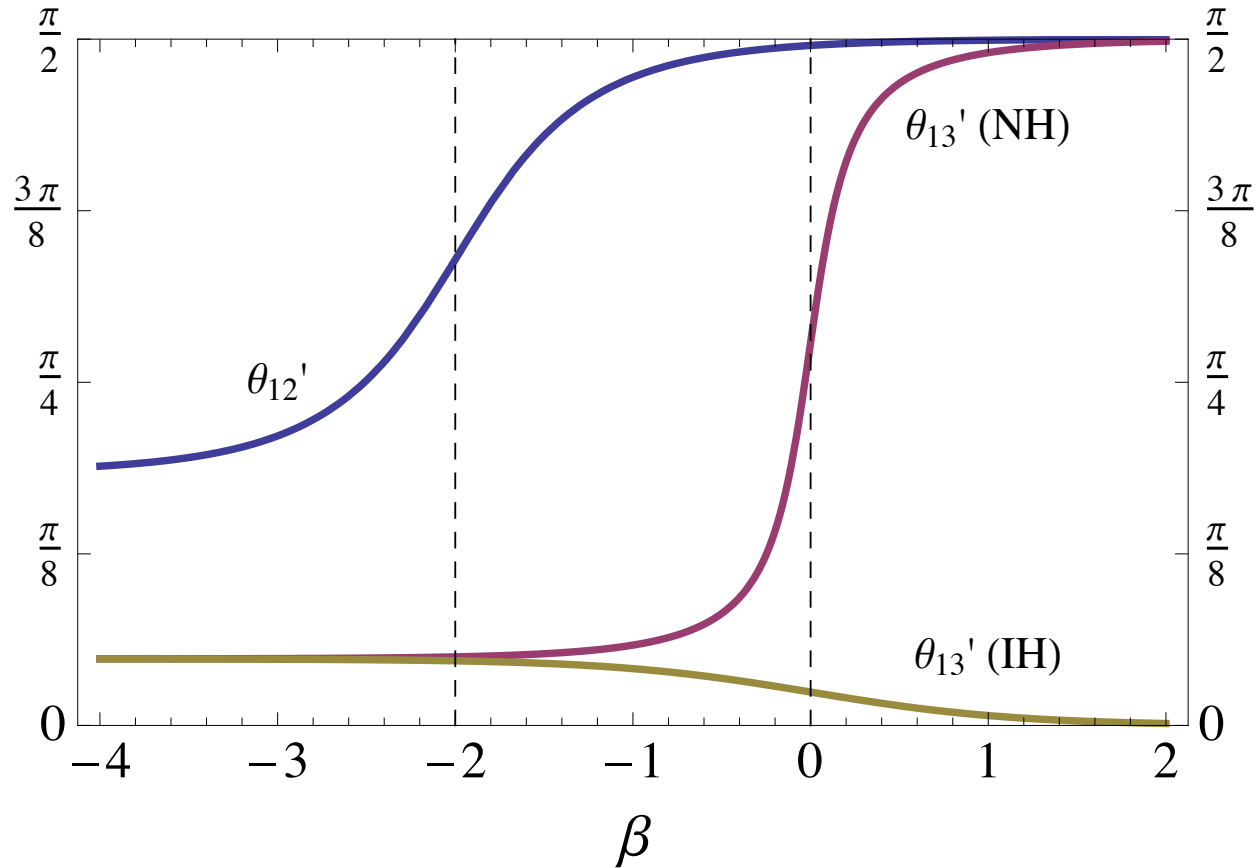
$$\begin{aligned} \lambda_1 &= \lambda'_- \\ \lambda_2 &= \lambda''_{\mp} \\ \lambda_3 &= \lambda''_{\pm} \end{aligned} \quad \begin{aligned} \lambda'_{\pm} &= \frac{(\delta m_{21}^2 + ac_{13}^2) \pm \sqrt{(\delta m_{21}^2 - ac_{13}^2)^2 + 4ac_{13}^2 s_{12}^2 \delta m_{21}^2}}{2} \\ \lambda''_{\pm} &= \frac{[\lambda'_+ + (\delta m_{31}^2 + as_{13}^2)] \pm \sqrt{[\lambda'_+ - (\delta m_{31}^2 + as_{13}^2)]^2 + 4a^2 s_{12}^2 c_{13}^2 s_{13}^2}}{2} \end{aligned}$$

upper (lower) sign
for NH (IH)

Approximation works when $\theta_{13} = O(\varepsilon)$, where $\varepsilon = \sqrt{\delta m_{21}^2 / |\delta m_{31}^2|} = 0.17$

Agarwalla, Kao, Takeuchi, JHEP 1404, 047 (2014)

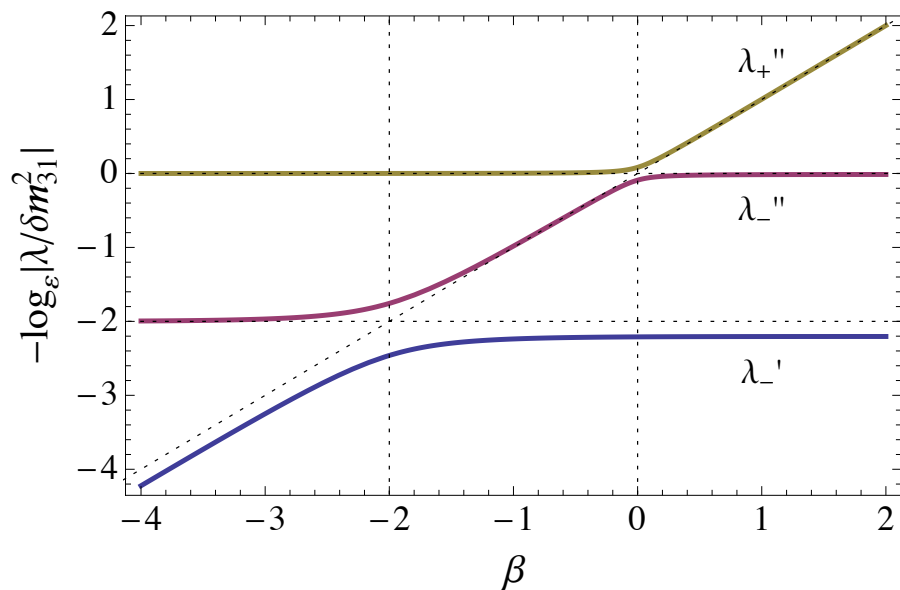
a -dependence of effective mixing angles



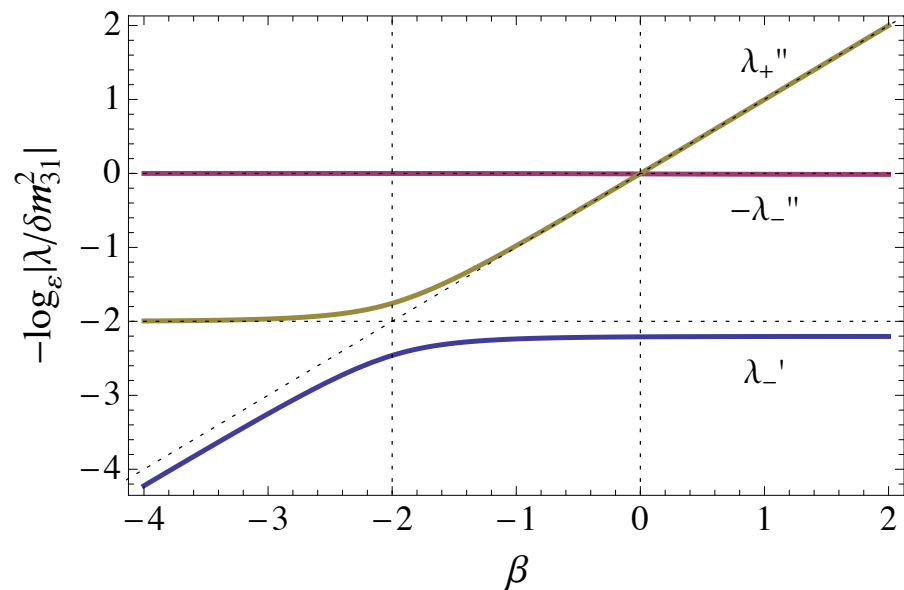
$$\frac{a}{|\delta m_{31}^2|} = \varepsilon^{-\beta}, \quad \varepsilon = \sqrt{\frac{\delta m_{21}^2}{|\delta m_{31}^2|}} \approx 0.17$$

Agarwalla, Kao, Takeuchi, JHEP 1404, 047 (2014)

a-dependence of effective mass-squared differences



Normal Hierarchy

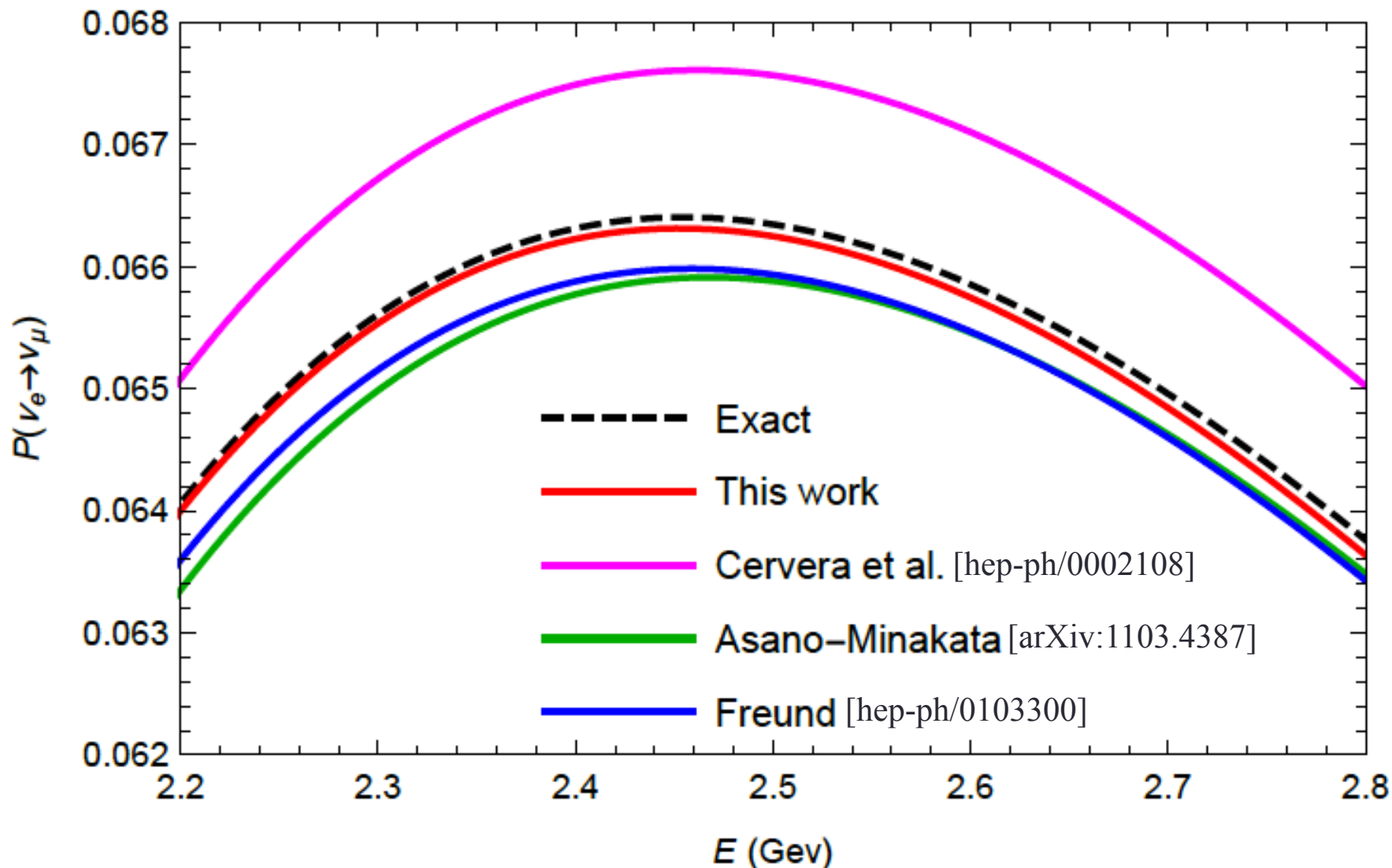


Inverted Hierarchy

Agarwalla, Kao, Takeuchi, JHEP 1404, 047 (2014)

Accuracy of Our Method and Comparison with Existing Literature

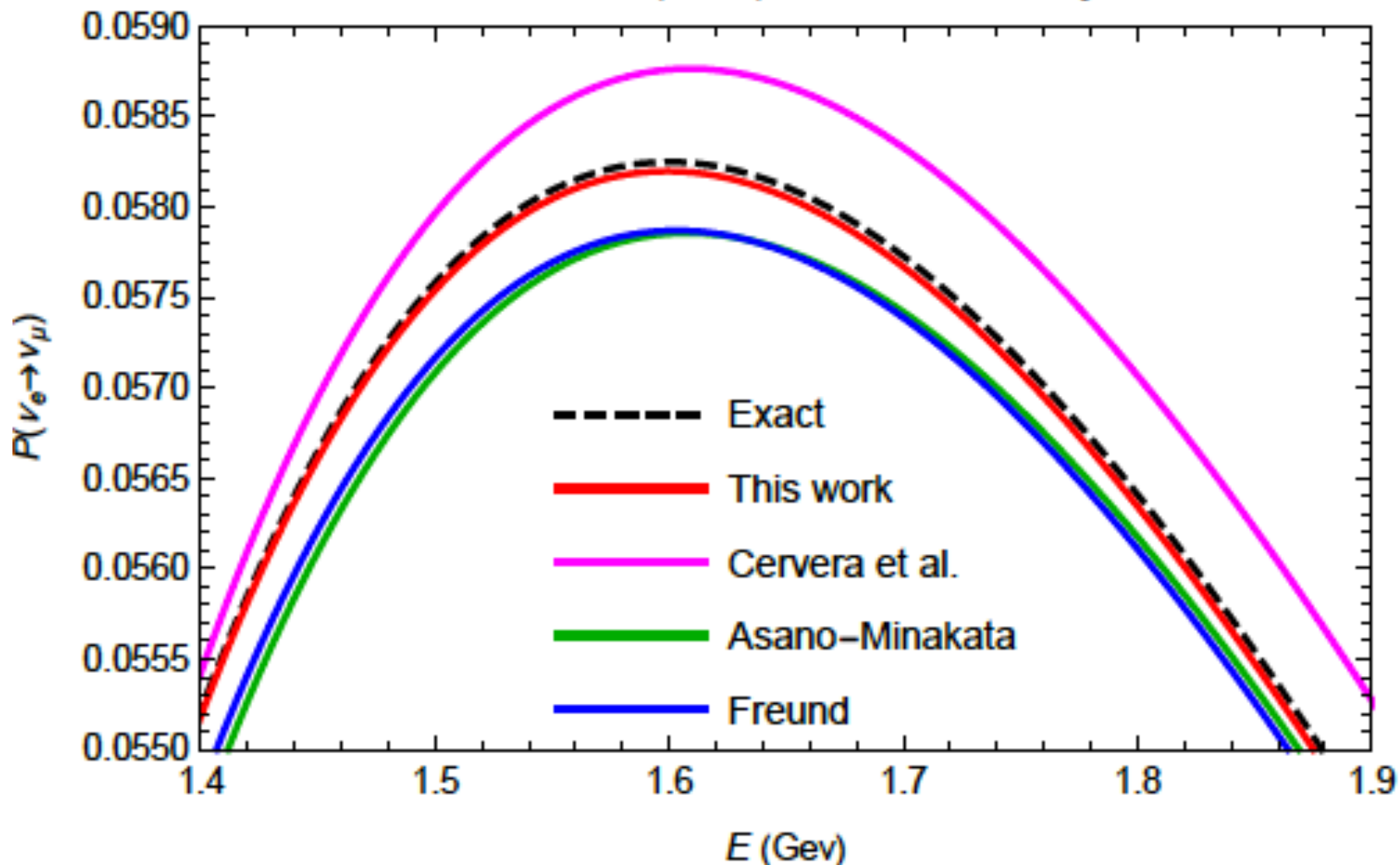
$L=1300$ km, $\delta=0$, Normal Hierarchy



Agarwalla, Kao, Takeuchi, JHEP 1404, 047 (2014)

Accuracy of Our Method and Comparison with Existing Literature

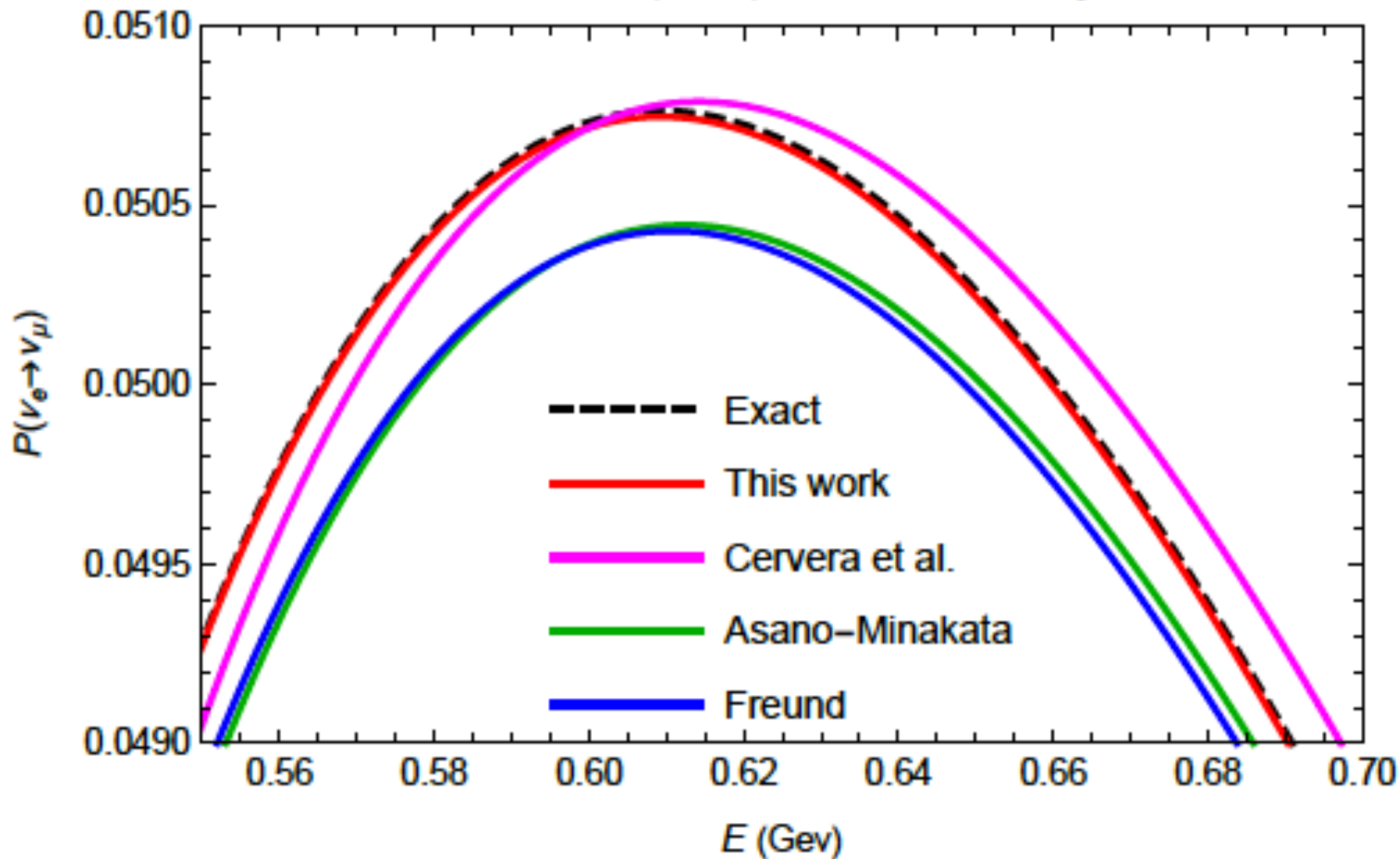
$L=810$ km, $\delta=0$, Normal Hierarchy



Agarwalla, Kao, Takeuchi, JHEP 1404, 047 (2014)

Accuracy of Our Method and Comparison with Existing Literature

$L=295$ km, $\delta=0$, Normal Hierarchy



Agarwalla, Kao, Takeuchi, JHEP 1404, 047 (2014)

Other analytical expressions suffer in accuracy due to their reliance on expansion in θ_{13} , or in simplicity when higher order terms in θ_{13} included

Our method gives accurate probability for all channels, baselines, and energies

Compact Perturbative Expressions For Neutrino Oscillations in Matter

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ABSTRACT: We further develop and extend a recent perturbative framework for neutrino oscillations in uniform matter density so that the resulting oscillation probabilities are accurate for the complete matter potential versus baseline divided by neutrino energy plane. This extension also gives the exact oscillation probabilities in vacuum for all values of baseline divided by neutrino energy. The expansion parameter used is related to the ratio of the solar to the atmospheric Δm^2 scales but with a unique choice of the atmospheric Δm^2 such that certain first-order effects are taken into account in the zeroth-order Hamiltonian. Using a mixing matrix formulation, this framework has the exceptional feature that the neutrino oscillation probability in matter has the same structure as in vacuum, to all orders in the expansion parameter. It also contains all orders in the matter potential and $\sin\theta_{13}$. It facilitates immediate physical interpretation of the analytic results, and makes the expressions for the neutrino oscillation probabilities extremely compact and very accurate even at zeroth order in our perturbative expansion. The first and second order results are also given which improve the precision by approximately two or more orders of magnitude per perturbative order.

Similar treatment by Ioannisian & Pokorski, arXiv:1801.10488

Neutrino oscillation probabilities through the looking glass

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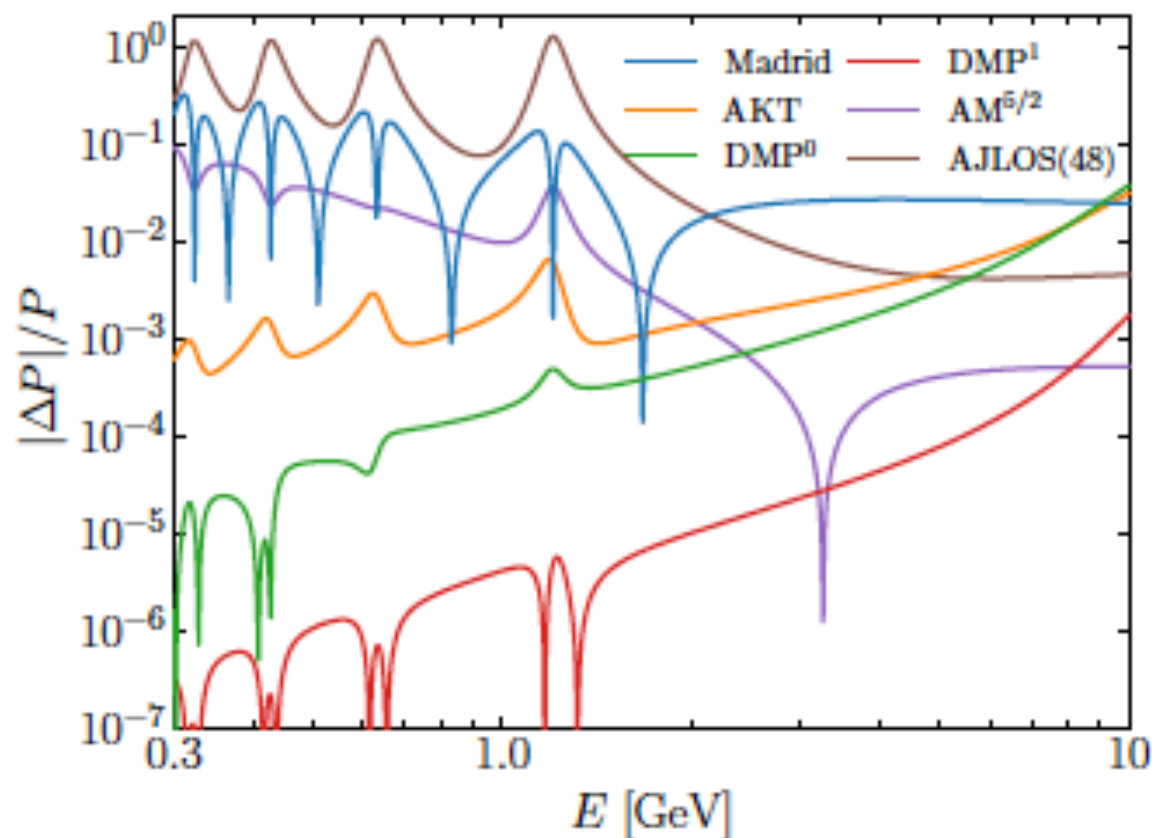
Abstract

In this paper we review different expansions for neutrino oscillation probabilities in matter in the context of long-baseline neutrino experiments. We examine the accuracy and computational efficiency of different exact and approximate expressions. We find that many of the expressions used in the literature are not precise enough for the next generation of long-baseline experiments, but several of them are while maintaining comparable simplicity. The results of this paper can be used as guidance to both phenomenologists and experimentalists when implementing the various oscillation expressions into their analysis tools.

Keywords: Neutrino physics, Neutrino oscillations in matter

arXiv:1902.00517v1 [hep-ph] 1 Feb 2019

Analytical Understanding of Neutrino Oscillation Probability



	ϵ (ϵ)	s_{13}	$a/\Delta m_{31}^2$
Madrid(like)	×	×	×
AKT	✓	✓	✓
MP	✓	×	×
DMP	✓	✓	✓
AKS	×	×	×
MF	✓	×	×
AJLOS(48)	✓	×	×
AM	×	×	×

ϵ	$\Delta m_{21}^2/\Delta m_{ee}^2$
ϵ	$\Delta m_{21}^2/\Delta m_{31}^2$

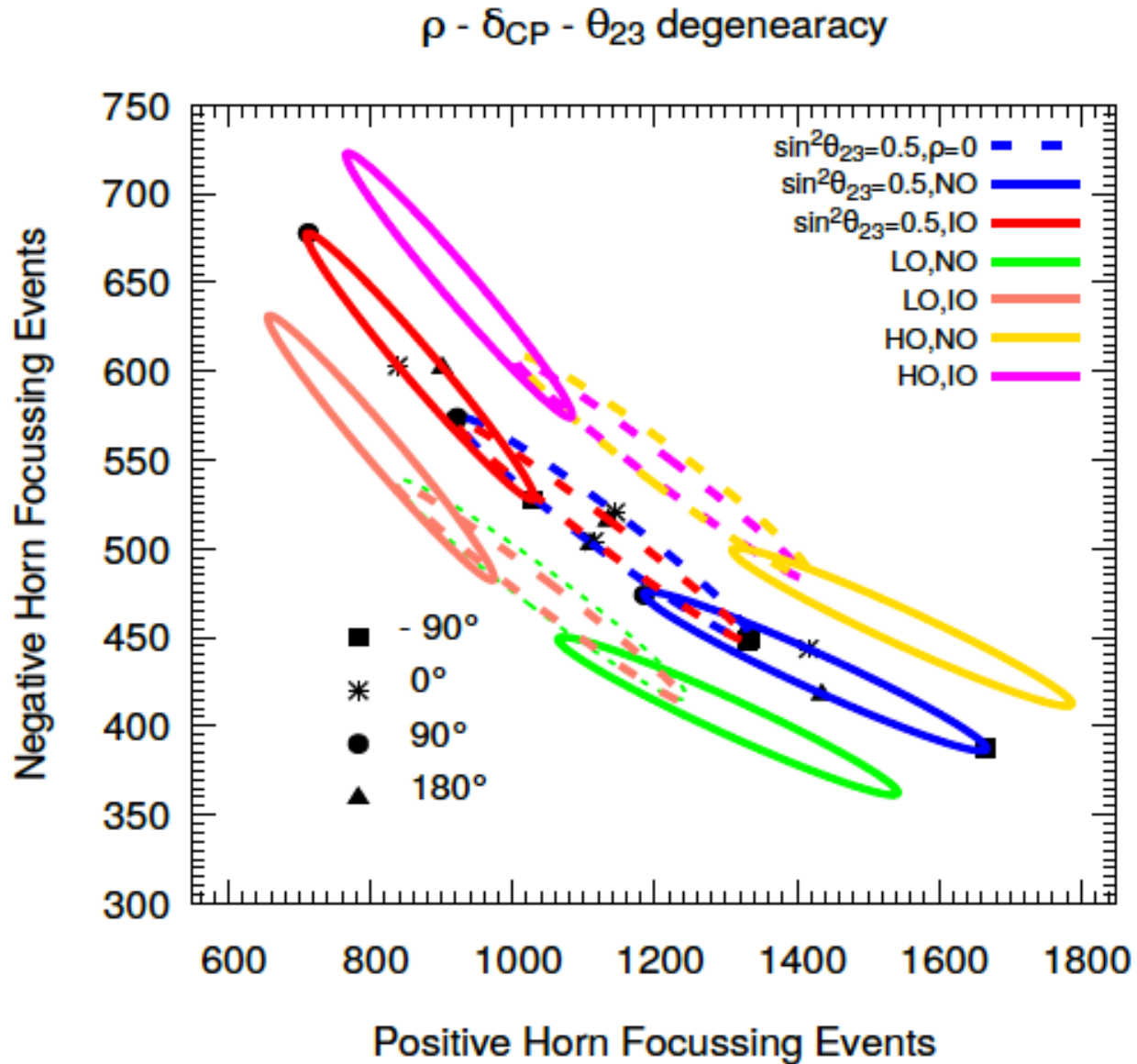
$$\Delta m_{ee}^2 \equiv \cos^2 \theta_{12} \Delta m_{31}^2 + \sin^2 \theta_{12} \Delta m_{32}^2$$

In order to qualify as an expansion parameter, the authors require that the probability recovers the exact (to all orders) expression as that parameter goes to zero. That is, x is an expansion parameter if and only if

$$\lim_{x \rightarrow 0} P_{\text{approx}}(x) = P_{\text{exact}}(x = 0)$$

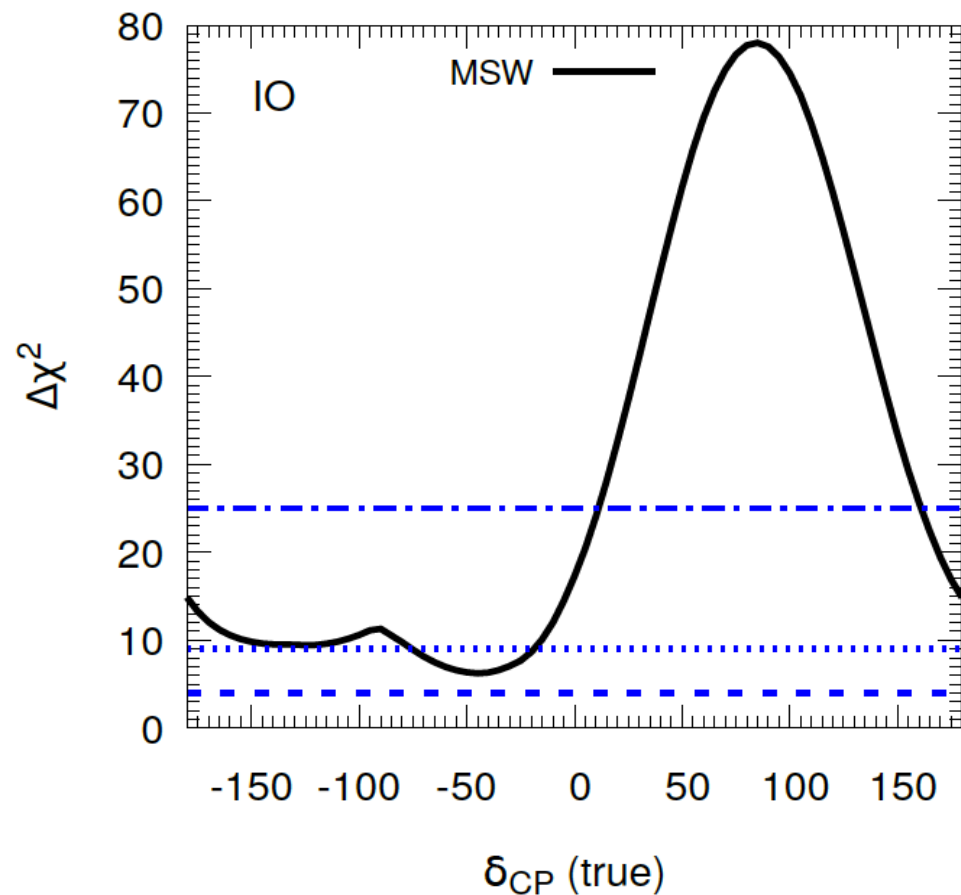
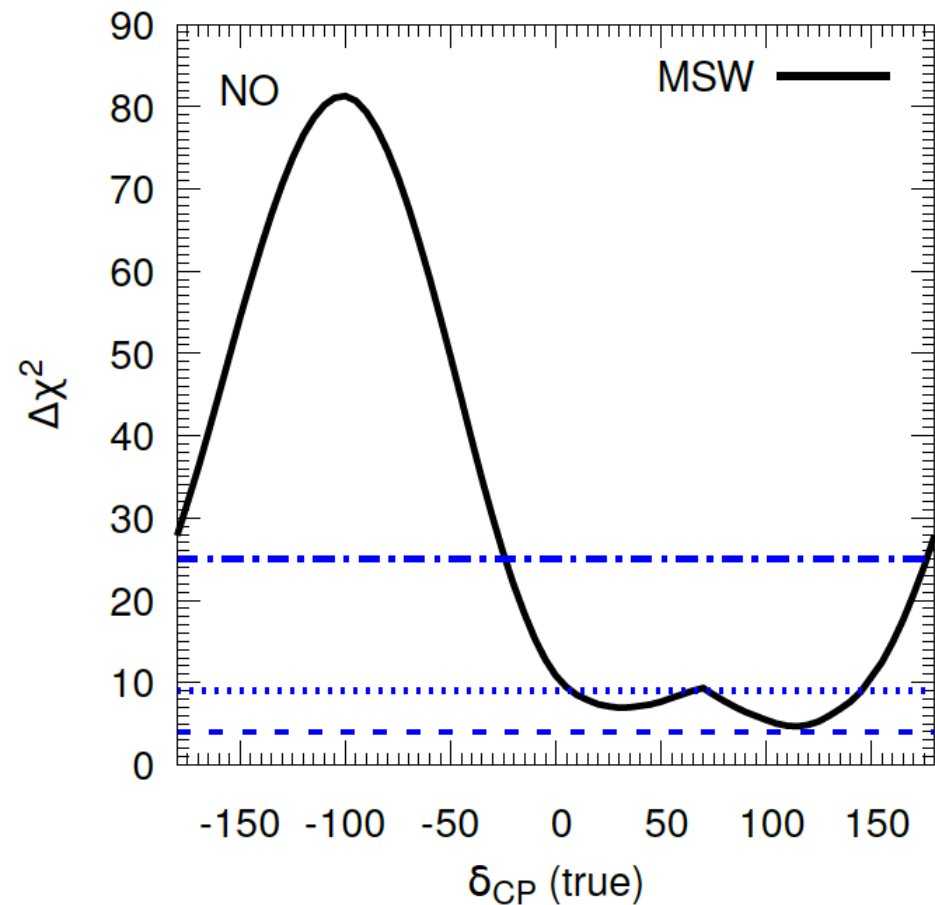
Berenboim, Denton, Parke, Ternes, arXiv:1902.00517

Bi-event Plot for DUNE



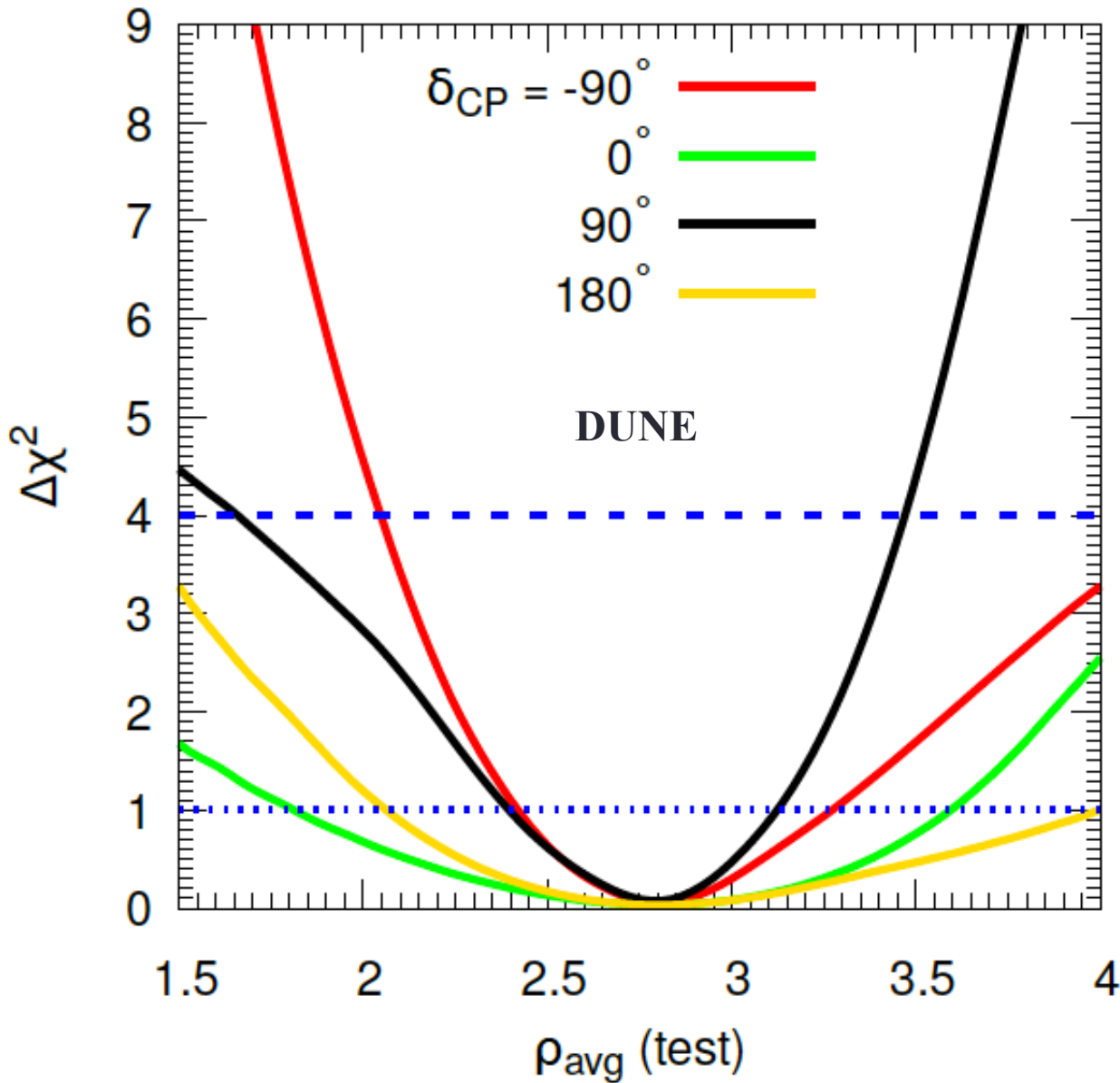
SKA, Soumya C., Masoom Singh, in preparation

DUNE Sensitivity to Reject Vacuum Solution



SKA, Soumya C., Masoom Singh, in preparation

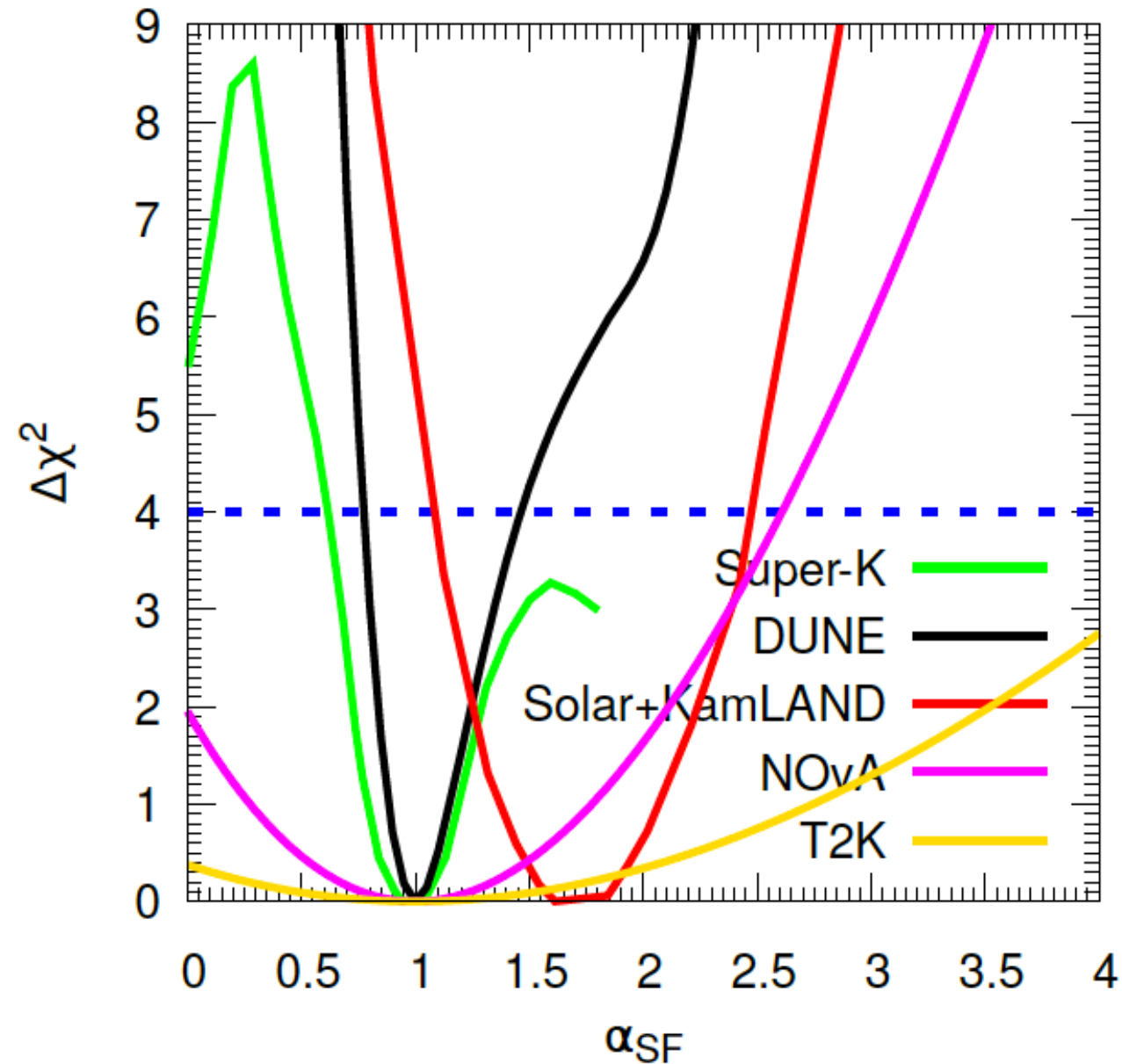
Precision in measuring Line-Averaged Constant Density



For $\delta_{\text{CP}} = -90$ degree
Relative 1σ precision
in ρ_{avg} is $\sim 15\%$

SKA, Soumya C., Masoom Singh, in preparation

Testing Standard Matter Profile



$$\rho_{\text{avg}} \rightarrow \alpha_{\text{SF}} \times \rho_{\text{avg}}$$

Vacuum: $\alpha_{\text{SF}} = 0$

Standard Profile: $\alpha_{\text{SF}} = 1$

Super-K

arXiv:1710.09126

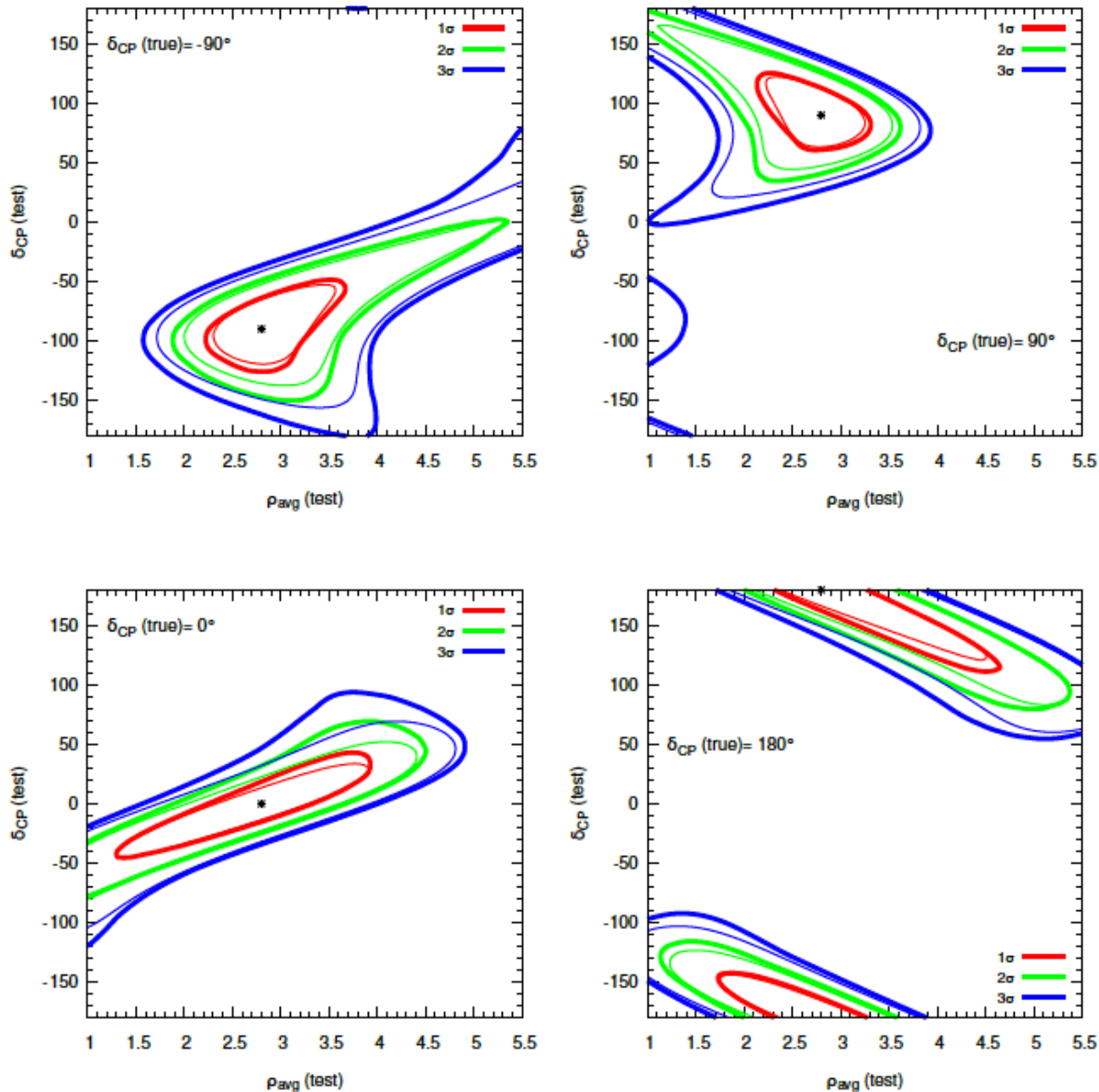
Solar+KamLAND

arXiv:1507.05287

Standard $\alpha_{\text{SF}} = 1$
disfavored by
Solar + KamLAND
data due to tension
between them in
measuring Δm_{21}^2

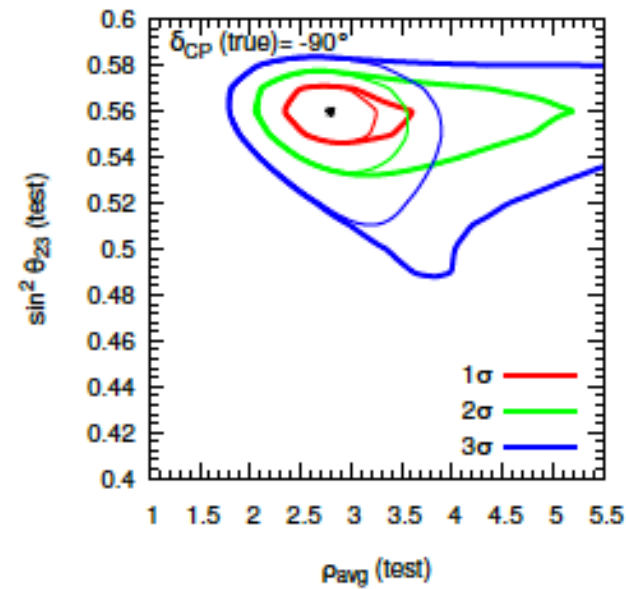
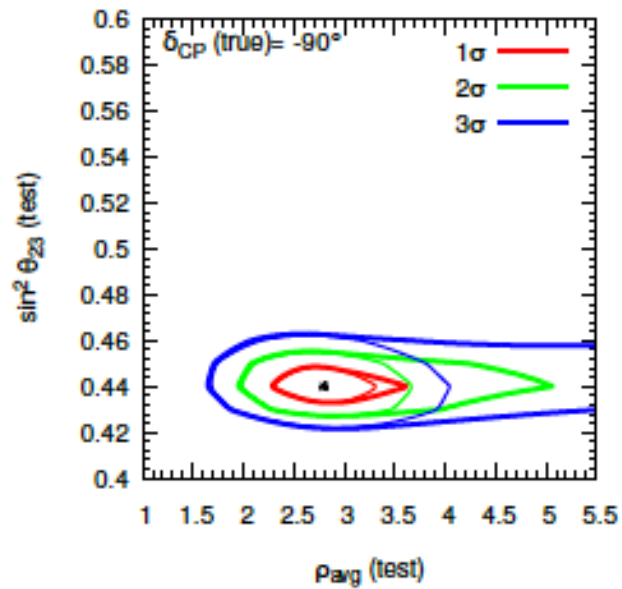
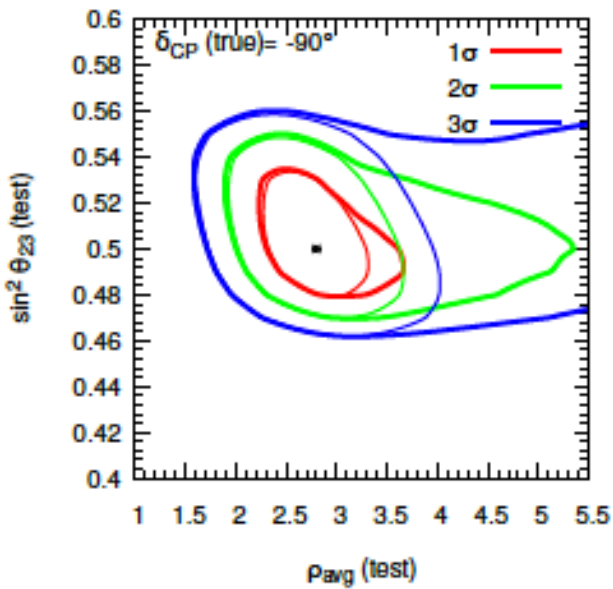
SKA, Soumya C., Masoom Singh, in preparation

Degeneracies in $\rho_{avg} - \delta_{CP}$ Plane for DUNE



SKA, Soumya C., Masoom Singh, in preparation

Degeneracies in $\rho_{avg} - \sin^2\theta_{23}$ Plane for DUNE



SKA, Soumya C., Masoom Singh, in preparation

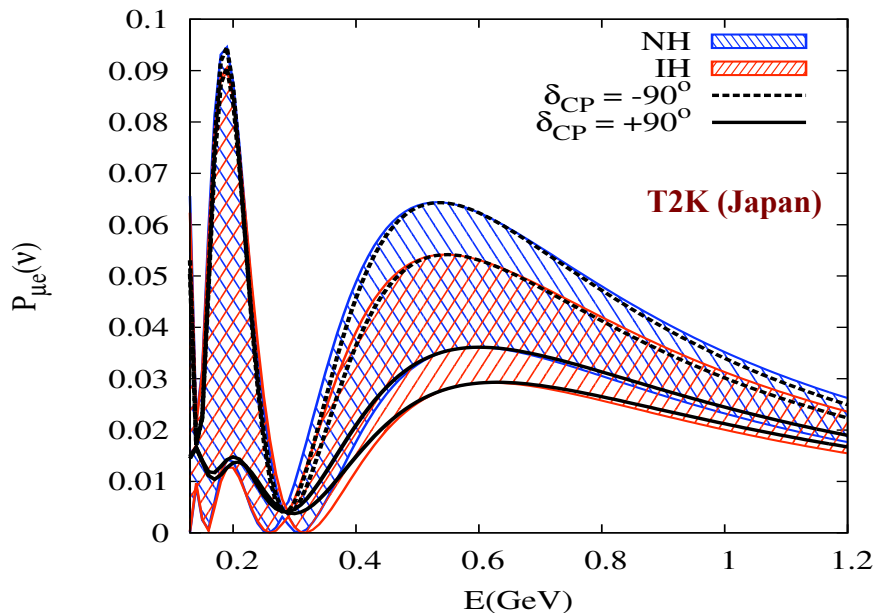
Concluding Remarks

- *Earth's Matter Effect plays an important role in present and future long-baseline neutrino oscillation experiments*
- *Precise understanding of mixing angles and mass-squared differences in matter is important to explain the results*
- *Approximate analytical expressions of oscillation probability can help to understand various parameter degeneracies*
- *Future goal is to have a robust test of three-flavor paradigm in presence of Earth Matter*
- *Future large-scale oscillation facilities should measure Earth Matter Density and explore possible degeneracies among line-averaged constant density, CP Phase, and θ_{23}*

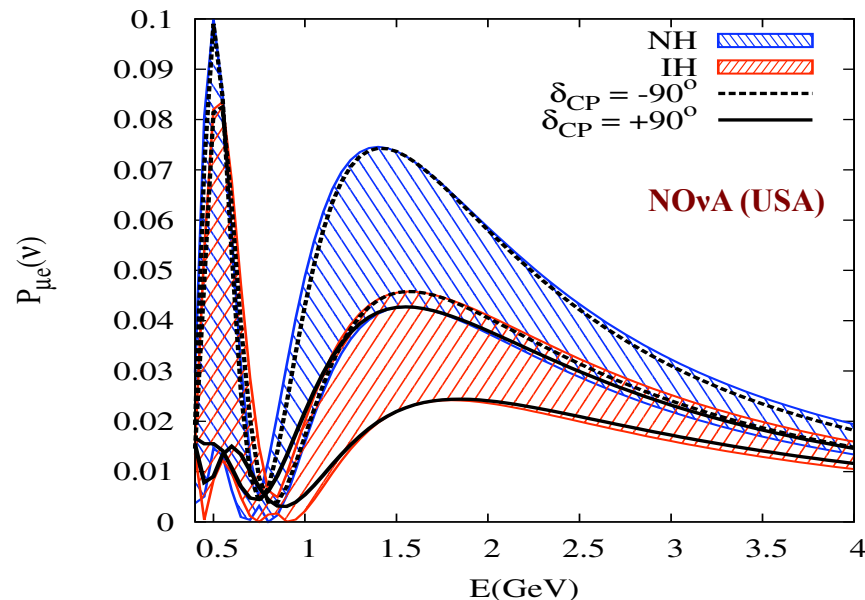
Thank you

Hierarchy – δ_{CP} degeneracy in $\nu_\mu \rightarrow \nu_e$ oscillation channel

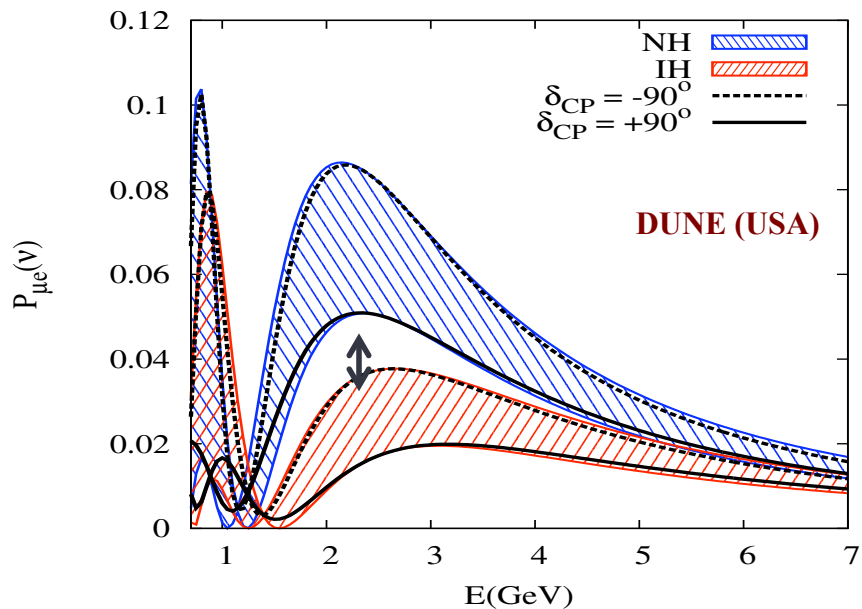
L=295km, $\sin^2 2\theta_{13} = 0.089$, $\sin^2 \theta_{23} = 0.5$



L=810km, $\sin^2 2\theta_{13} = 0.089$, $\sin^2 \theta_{23} = 0.5$



L=1300km, $\sin^2 2\theta_{13} = 0.089$, $\sin^2 \theta_{23} = 0.5$



For ν : Max: NH, -90° and Min: IH, 90°

Favorable combinations

NH, LHP (-180° to 0°) and IH, UHP (0° to 180°)

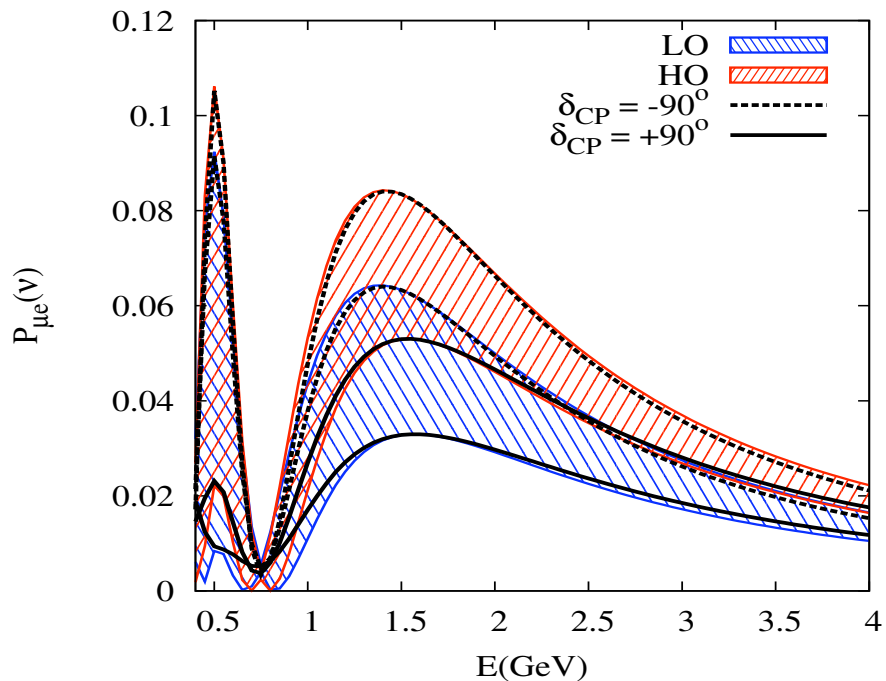
Degeneracy pattern different between T2K & NOvA

DUNE: Large Earth matter effects
Clear separation between NH and IH

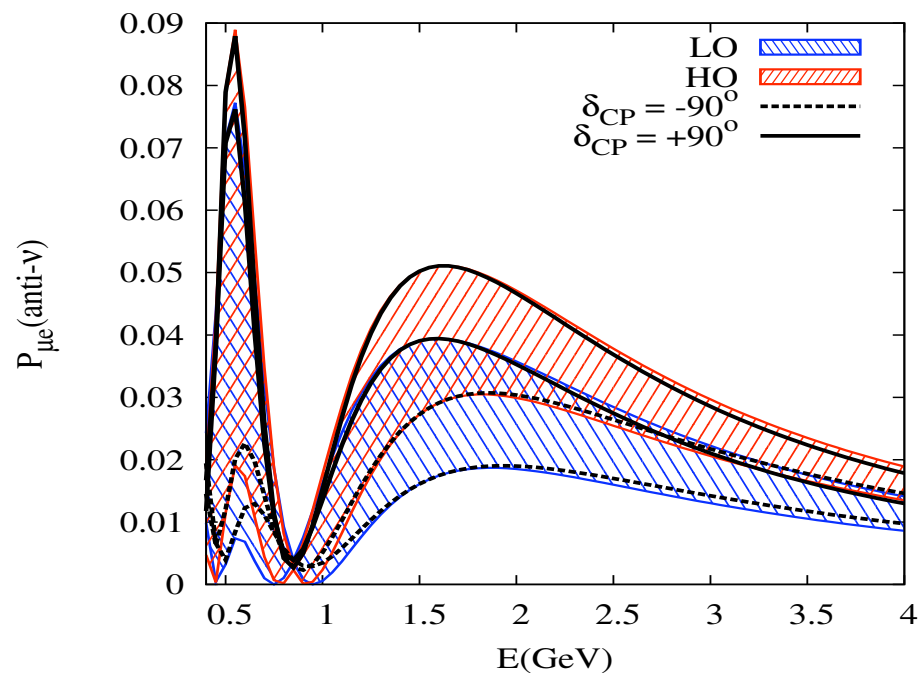
Agarwalla, arXiv:1401.4705 [hep-ph]

Octant – δ_{CP} degeneracy in $\nu_\mu \rightarrow \nu_e$ oscillation channel

$L=810\text{km}$, $\sin^2 2\theta_{13} = 0.089$, NH



$L=810\text{km}$, $\sin^2 2\theta_{13} = 0.089$, NH



For neutrino:
Maximum: HO, -90°
Minimum: LO, 90°

LO: $\sin^2 \theta_{23} = 0.41$
HO: $\sin^2 \theta_{23} = 0.59$

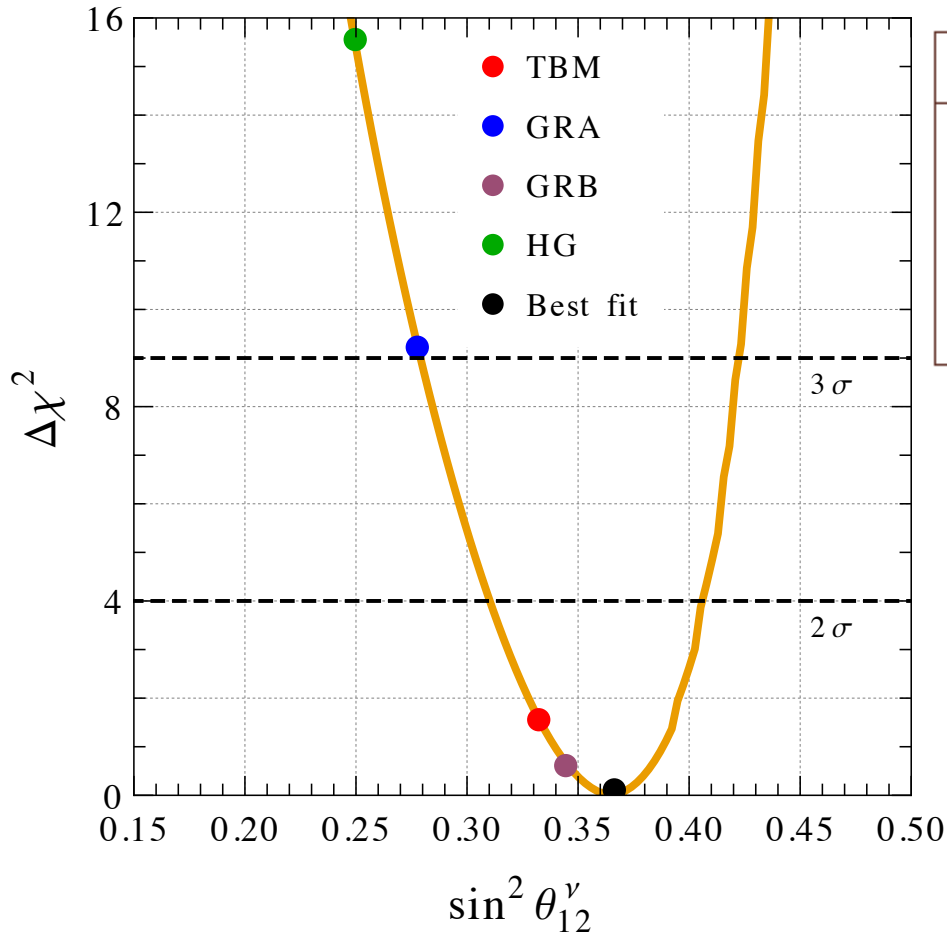
For anti-neutrino:
Maximum: HO, 90°
Minimum: LO, -90°

Unfavorable CP values for neutrino are favorable for anti-neutrino & vice-versa

Oscillation Data and Neutrino Mixing Schemes

Sum Rule:
$$\cos \delta_{\text{CP}} = \frac{\tan \theta_{23}}{\sin 2\theta_{12} \sin \theta_{13}} \left[\cos 2\theta'_{12} + (\sin^2 \theta_{12} - \cos^2 \theta'_{12}) (1 - \cot^2 \theta_{23} \sin^2 \theta_{13}) \right]$$

DUNE + T2HK



Symmetry form	θ'_{12} [°]	$\cos \delta_{\text{CP}}$	δ_{CP} [°]
BM	45	unphysical	unphysical
TBM	$\arcsin(1/\sqrt{3}) \approx 35$	-0.16	99 \vee 261
GRA	$\arctan(1/\phi) \approx 32$	0.21	78 \vee 282
GRB	$\arccos(\phi/2) = 36$	-0.24	104 \vee 256
HG	30	0.39	67 \vee 293

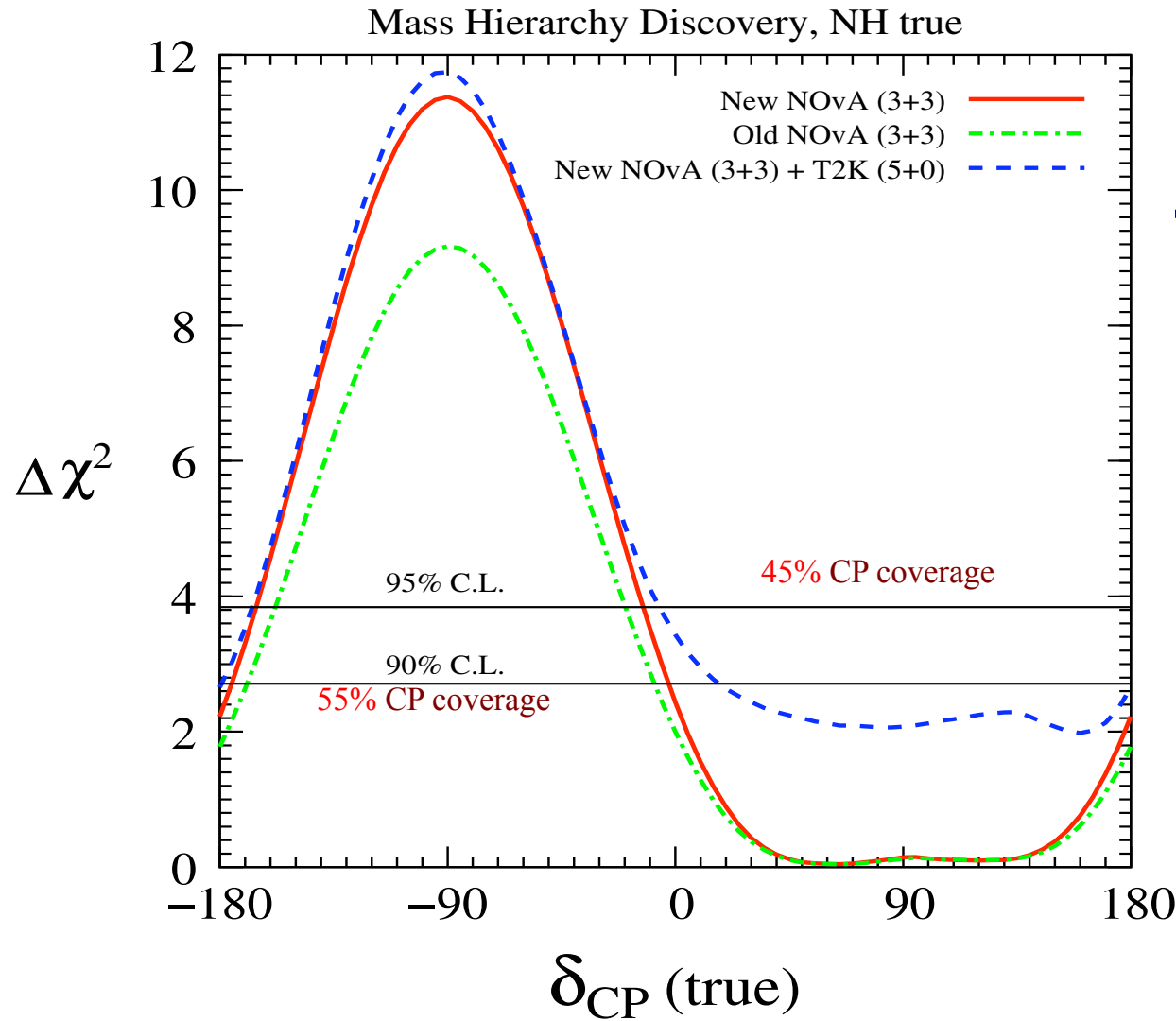
$U_{\text{PMNS}} = U_e^\dagger U_\nu$ golden ratio: $\phi = (1 + \sqrt{5})/2$

black dot: current best-fit value of $\delta_{\text{CP}} = 248^\circ$ which means $\sin^2 \theta'_{12} = 0.364$ ($\Delta\chi^2 = 0$)

Agarwalla, Chatterjee, Petcov, Titov, arXiv:1711.02107

the coloured dots corresponding to the values of $\sin^2 \theta'_{12}$ which characterise the GRB (violet), TBM (red), GRA (blue) and HG (green) symmetry forms.

Mass Hierarchy Discovery with T2K and NOvA

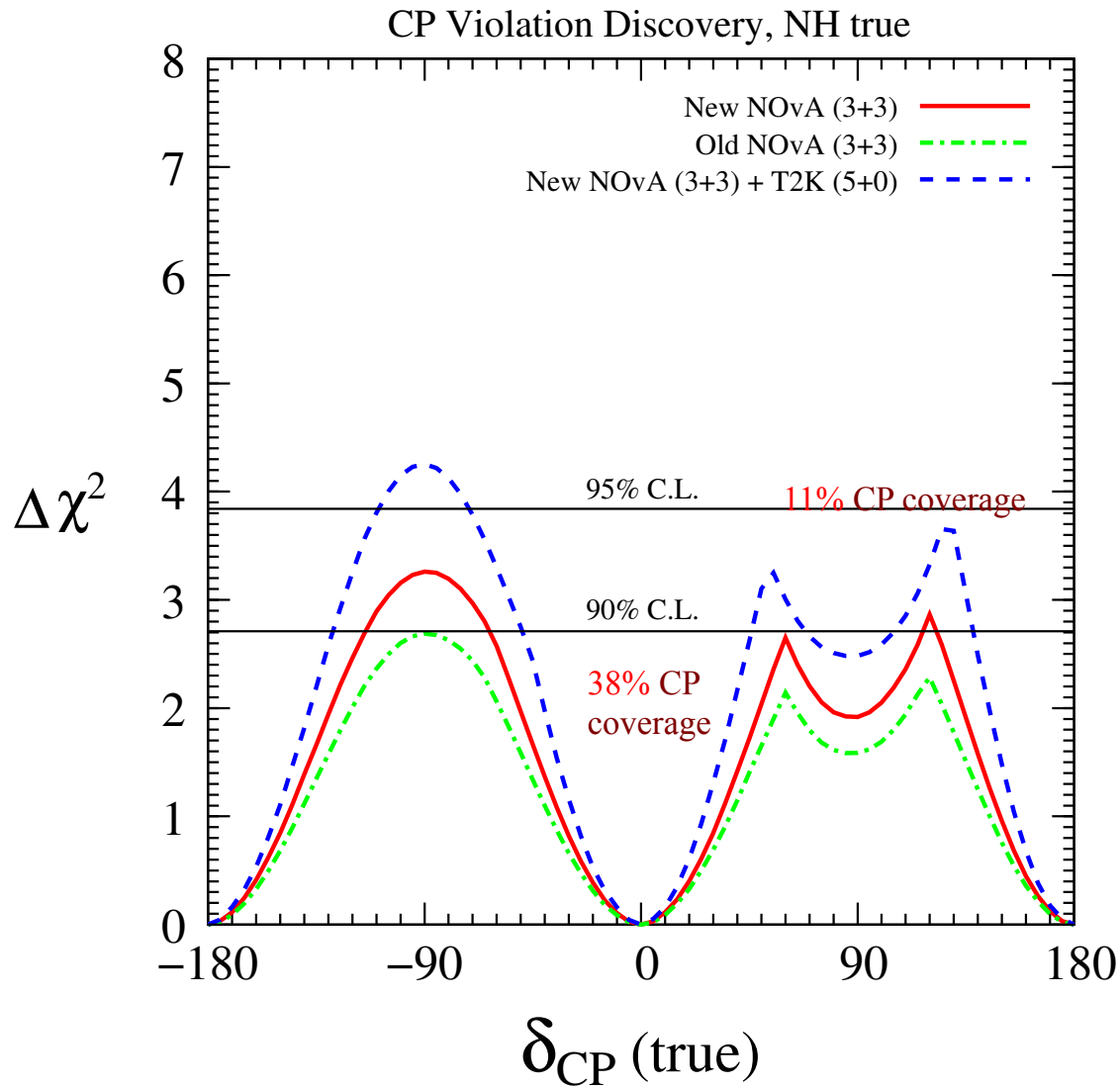


T2K: Total p.o.t.: 7.8×10^{21}

NOvA: Total p.o.t.: 3.6×10^{21}

**Adding data from
T2K and NOvA
is useful to kill the
intrinsic degeneracies**

CP-Violation Discovery with T2K and NOvA



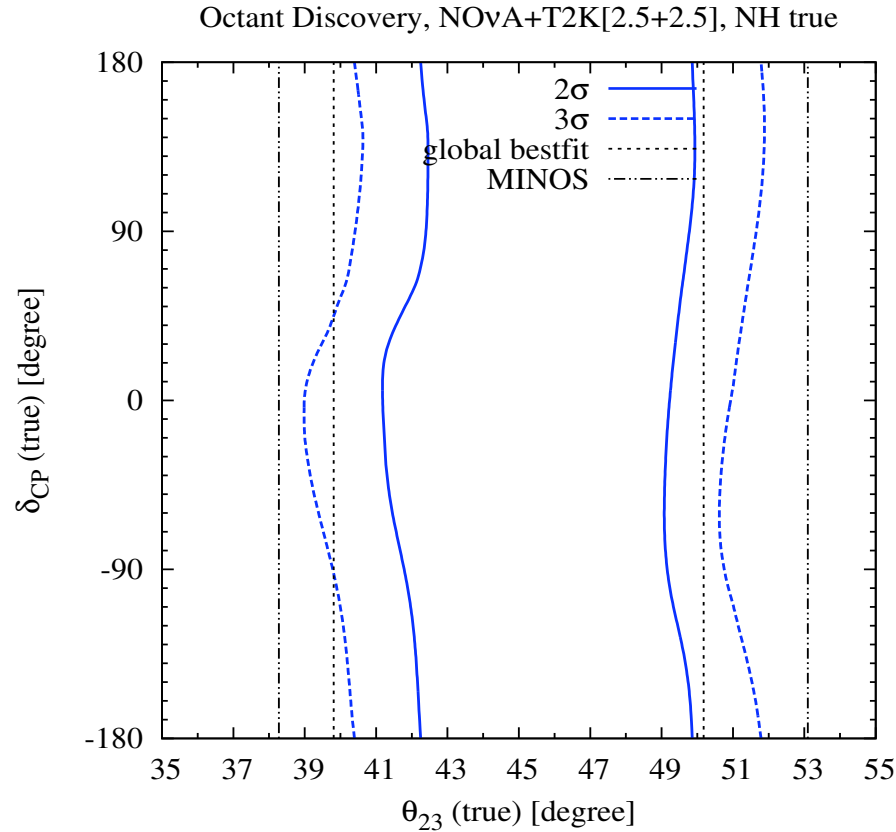
CP asymmetry $\propto 1/\sin 2\theta_{13}$

**Large θ_{13} increases statistics
but reduces asymmetry**

Systematics are important

Agarwalla, Prakash, Raut, Sankar, arXiv: 1208.3644 [hep-ph]
Ghosh, Ghosal, Goswami, Raut, arXiv:1401.7243 [hep-ph]

Resolving Octant of θ_{23} with T2K and NOvA



Agarwalla, Prakash, Sankar, arXiv:1301.2574 [hep-ph]

If $\theta_{23} < 41^\circ$ or $\theta_{23} > 50^\circ$, we can resolve the octant issue at 2σ irrespective of δ_{CP}

If $\theta_{23} < 39^\circ$ or $\theta_{23} > 52^\circ$, we can resolve the octant issue at 3σ irrespective of δ_{CP}

Important message: T2K must run in anti-neutrino mode in future

Diagonalization of the Effective Hamiltonian

$$H_a = U \begin{bmatrix} 0 & 0 & 0 \\ 0 & \delta m_{21}^2 & 0 \\ 0 & 0 & \delta m_{31}^2 \end{bmatrix} U^\dagger + \begin{bmatrix} a & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} = \tilde{U} \begin{bmatrix} \lambda_1 & 0 & 0 \\ 0 & \lambda_2 & 0 \\ 0 & 0 & \lambda_3 \end{bmatrix} \tilde{U}^\dagger,$$

$$H'_a = Q^\dagger U^\dagger H_a U Q$$

$$= Q^\dagger \left\{ \begin{bmatrix} 0 & 0 & 0 \\ 0 & \delta m_{21}^2 & 0 \\ 0 & 0 & \delta m_{31}^2 \end{bmatrix} + U^\dagger \begin{bmatrix} a & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} U \right\} Q$$

$$= \begin{bmatrix} ac_{12}^2 c_{13}^2 & ac_{12} s_{12} c_{13}^2 & ac_{12} c_{13} s_{13} \\ ac_{12} s_{12} c_{13}^2 & as_{12}^2 c_{13}^2 + \delta m_{21}^2 & as_{12} c_{13} s_{13} \\ ac_{12} c_{13} s_{13} & as_{12} c_{13} s_{13} & as_{13}^2 + \delta m_{31}^2 \end{bmatrix}, \quad Q = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & e^{i\delta} \end{bmatrix}.$$

Jacobi Method (1846)



- Carl Gustav Jacob Jacobi (1804-1851)
- “Über ein leichtes Verfahren die in der Theorie der Säkularstörungen vorkommenden Gleichungen numerisch aufzulösen,”
Crelle’s Journal **30** (1846) 51-94.

1st Rotation

$$H'_a = \begin{bmatrix} ac_{12}^2 c_{13}^2 & ac_{12} s_{12} c_{13}^2 & ac_{12} c_{13} s_{13} \\ ac_{12} s_{12} c_{13}^2 & as_{12}^2 c_{13}^2 + \delta m_{21}^2 & as_{12} c_{13} s_{13} \\ ac_{12} c_{13} s_{13} & as_{12} c_{13} s_{13} & as_{13}^2 + \delta m_{31}^2 \end{bmatrix}$$

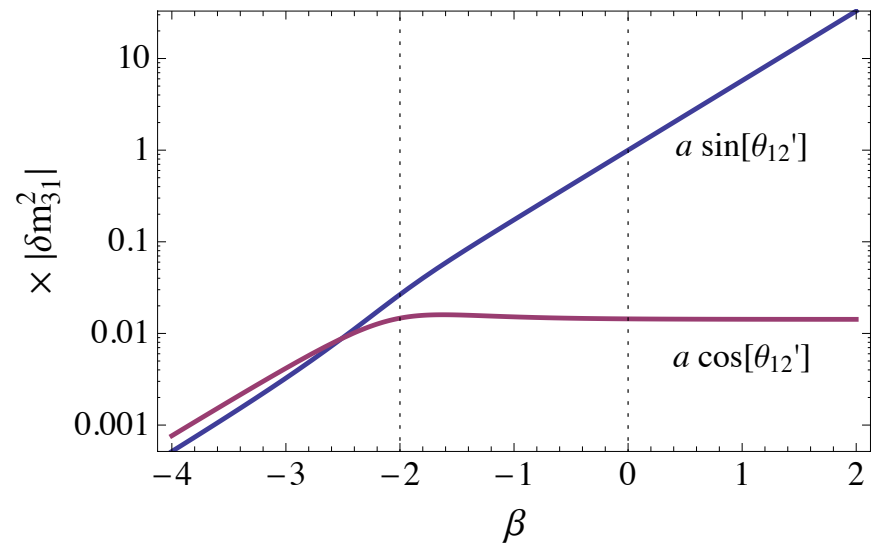
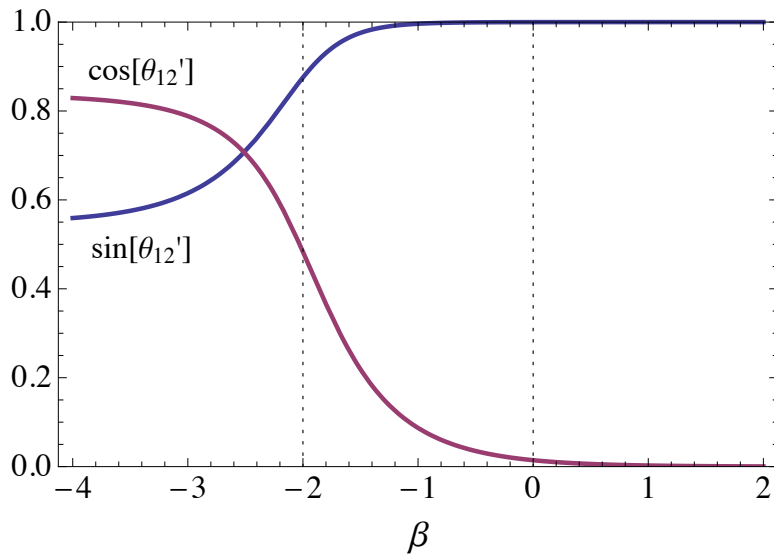
$$V = \begin{bmatrix} c_\varphi & s_\varphi & 0 \\ -s_\varphi & c_\varphi & 0 \\ 0 & 0 & 1 \end{bmatrix}, \quad \tan 2\varphi = \frac{a \sin 2\theta_{12}}{(\delta m_{21}^2 / c_{13}^2) - a \cos 2\theta_{12}}$$

$$H''_a = V^\dagger H'_a V = \begin{bmatrix} \lambda'_- & 0 & ac'_{12} c_{13} s_{13} \\ 0 & \lambda'_+ & as'_{12} c_{13} s_{13} \\ ac'_{12} c_{13} s_{13} & as'_{12} c_{13} s_{13} & as_{13}^2 + \delta m_{31}^2 \end{bmatrix},$$

$$\theta'_{12} = \theta_{12} + \varphi, \quad \lambda'_{\pm} = \frac{(\delta m_{21}^2 + ac_{13}^2) \pm \sqrt{(\delta m_{21}^2 - ac_{13}^2)^2 + 4ac_{13}^2 s_{12}^2 \delta m_{21}^2}}{2}$$

1st Rotation

$$\tan 2\theta'_{12} = \tan 2(\theta_{12} + \varphi) = \frac{(\delta m_{21}^2 / c_{13}^2) \sin 2\theta_{12}}{(\delta m_{21}^2 / c_{13}^2) \cos 2\theta_{12} - a}$$



2nd Rotation

$$H_a'' = \begin{bmatrix} \lambda'_- & 0 & ac'_{12}c_{13}s_{13} \\ 0 & \lambda'_+ & as'_{12}c_{13}s_{13} \\ ac'_{12}c_{13}s_{13} & as'_{12}c_{13}s_{13} & as_{13}^2 + \delta m_{31}^2 \end{bmatrix}$$

$$W = \begin{bmatrix} 1 & 0 & 0 \\ 0 & c_\phi & s_\phi \\ 0 & -s_\phi & c_\phi \end{bmatrix}, \quad \tan 2\phi = \frac{as'_{12} \sin 2\theta_{13}}{\delta m_{31}^2 + as_{13}^2 - \lambda'_+} \approx \frac{a \sin 2\theta_{13}}{(\delta m_{31}^2 - \delta m_{31}^2 s_{12}^2) - a \cos 2\theta_{13}}$$

$$H_a''' = W^\dagger H_a'' W = \begin{bmatrix} \lambda'_- & -ac'_{12}c_{13}s_{13}s_\phi & ac'_{12}c_{13}s_{13}c_\phi \\ -ac'_{12}c_{13}s_{13}s_\phi & \lambda''_{\mp} & 0 \\ ac'_{12}c_{13}s_{13}c_\phi & 0 & \lambda''_{\pm} \end{bmatrix},$$

$$\lambda''_{\pm} = \frac{[\lambda'_+ + (\delta m_{31}^2 + as_{13}^2)] \pm \sqrt{[\lambda'_+ - (\delta m_{31}^2 + as_{13}^2)]^2 + 4a^2 s_{12}'^2 c_{13}^2 s_{13}^2}}{2}$$

Effective Mixing Matrix

$$\tilde{U} = UQVW$$

$$= R_{23}(\theta_{23}, 0) R_{13}(\theta_{13}, \delta) R_{12}(\theta_{12}, 0) Q R_{12}(\varphi, 0) R_{23}(\phi, 0)$$

$$= R_{23}(\theta_{23}, 0) Q R_{13}(\theta_{13}, 0) R_{12}(\theta_{12}, 0) R_{12}(\varphi, 0) R_{23}(\phi, 0)$$

$$= R_{23}(\theta_{23}, 0) Q R_{13}(\theta_{13}, 0) R_{12}(\theta_{12} + \varphi, 0) R_{23}(\phi, 0)$$

$$= R_{23}(\theta_{23}, 0) Q R_{13}(\theta_{13}, 0) R_{12}(\theta'_{12}, 0) R_{23}(\phi, 0)$$

$$\approx R_{23}(\theta_{23}, 0) Q R_{13}(\theta_{13}, 0) R_{13}(\phi, 0) R_{12}(\theta'_{12}, 0)$$

$$= R_{23}(\theta_{23}, 0) Q R_{13}(\theta_{13} + \phi, 0) R_{12}(\theta'_{12}, 0)$$

$$= R_{23}(\theta_{23}, 0) Q R_{13}(\theta'_{13}, 0) R_{12}(\theta'_{12}, 0)$$

$$= R_{23}(\theta_{23}, 0) R_{13}(\theta'_{13}, \delta) R_{12}(\theta'_{12}, 0) Q$$

$$\theta'_{13} = \theta_{13} + \phi$$

Effective Mixing Matrix

$$R_{12}(\theta'_{12}, 0)R_{23}(\phi, 0)$$

$$= \begin{bmatrix} c'_{12} & s'_{12} & 0 \\ -s'_{12} & c'_{12} & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 \\ 0 & c_\phi & s_\phi \\ 0 & -s_\phi & c_\phi \end{bmatrix} \approx \begin{bmatrix} 0 & 1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 \\ 0 & c_\phi & s_\phi \\ 0 & -s_\phi & c_\phi \end{bmatrix}$$

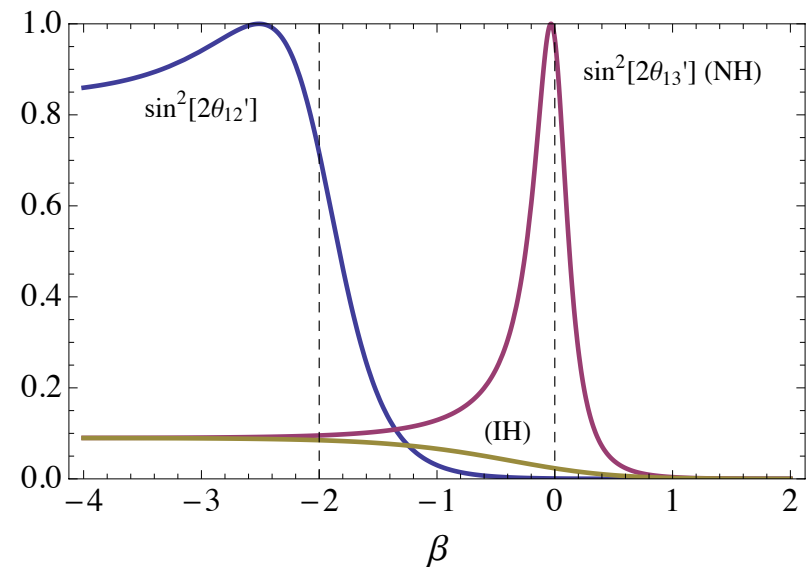
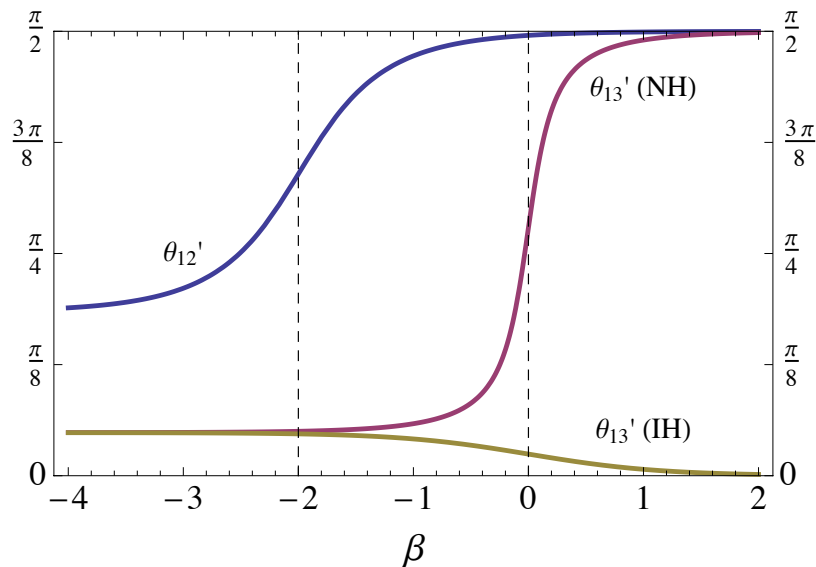
$$= \begin{bmatrix} c_\phi & 0 & s_\phi \\ 0 & 1 & 0 \\ -s_\phi & 0 & c_\phi \end{bmatrix} \begin{bmatrix} 0 & 1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix} \approx \begin{bmatrix} c_\phi & 0 & s_\phi \\ 0 & 1 & 0 \\ -s_\phi & 0 & c_\phi \end{bmatrix} \begin{bmatrix} c'_{12} & s'_{12} & 0 \\ -s'_{12} & c'_{12} & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$= R_{13}(\phi, 0)R_{12}(\theta'_{12}, 0)$$

Effective Mixing Angles

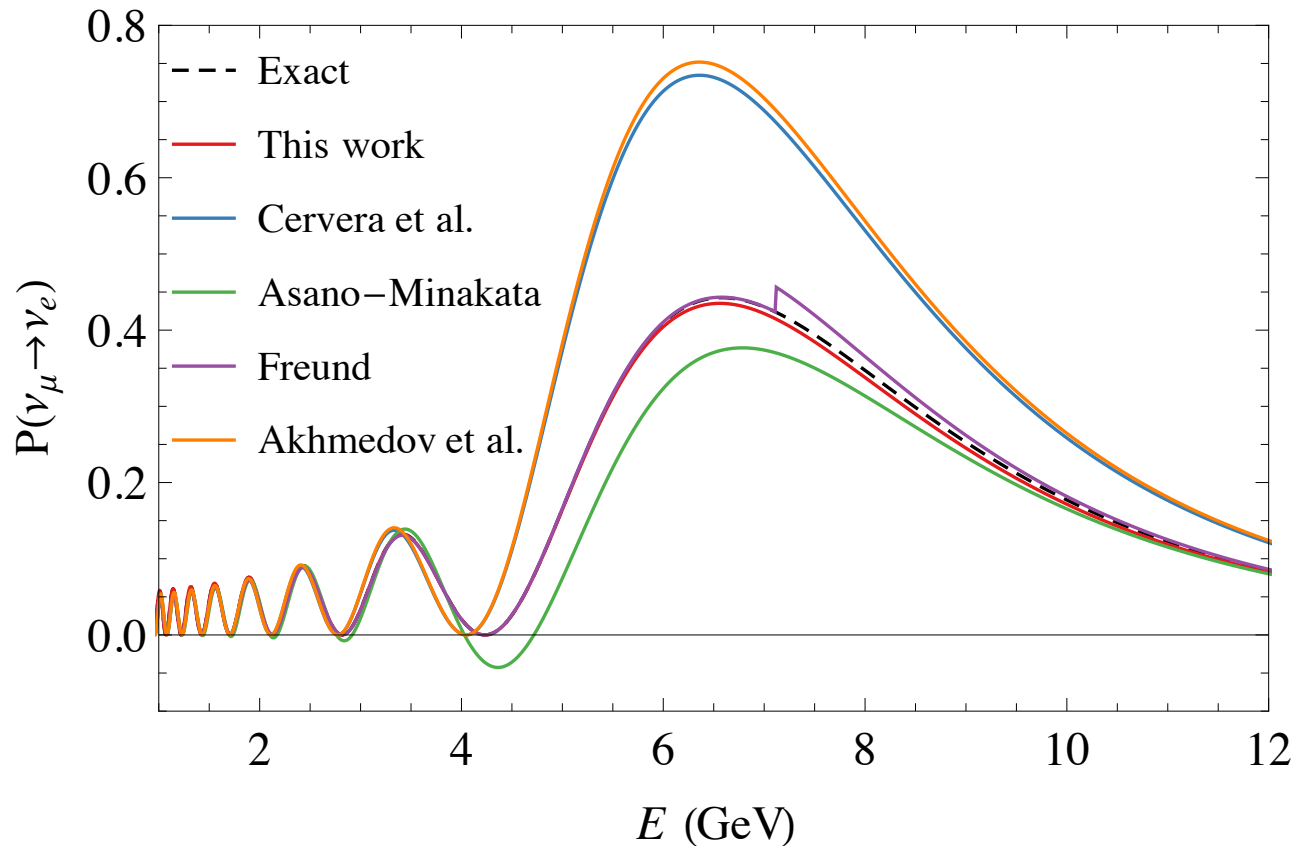
$$\tan 2\theta'_{12} = \frac{(\delta m_{21}^2 / c_{13}^2) \sin 2\theta_{12}}{(\delta m_{21}^2 / c_{13}^2) \cos 2\theta_{12} - a},$$

$$\tan 2\theta'_{13} = \frac{(\delta m_{31}^2 - \delta m_{21}^2 s_{12}^2) \sin 2\theta_{13}}{(\delta m_{31}^2 - \delta m_{21}^2 s_{12}^2) \cos 2\theta_{13} - a},$$



Accuracy of Our Method and Comparison with Existing Literature

$L=8770$ km, $\delta=0$, Normal Hierarchy

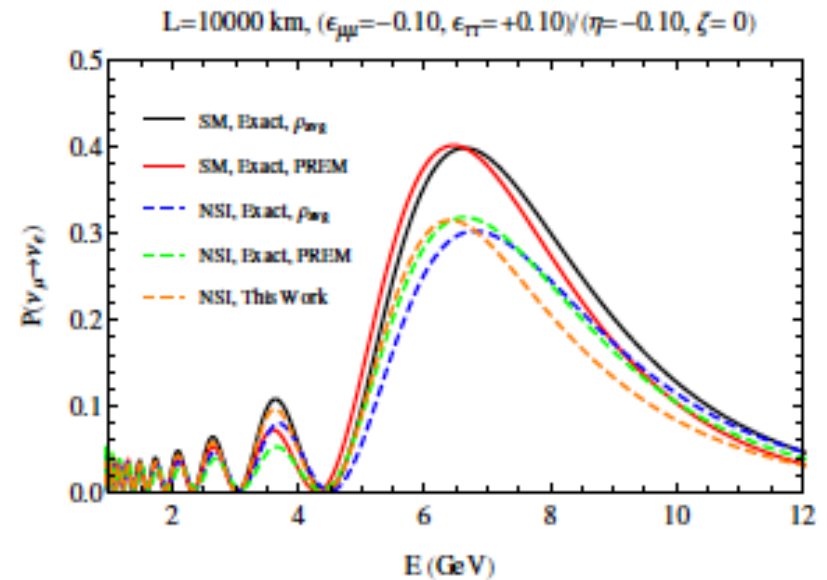
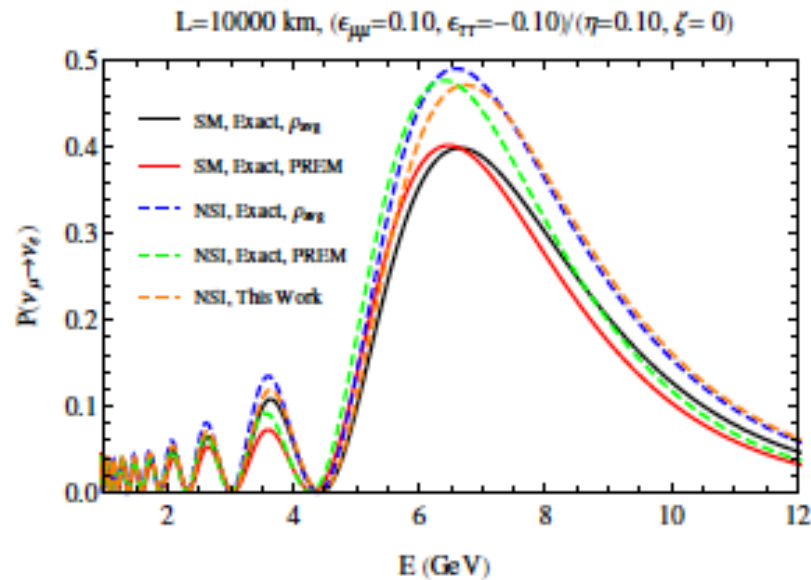
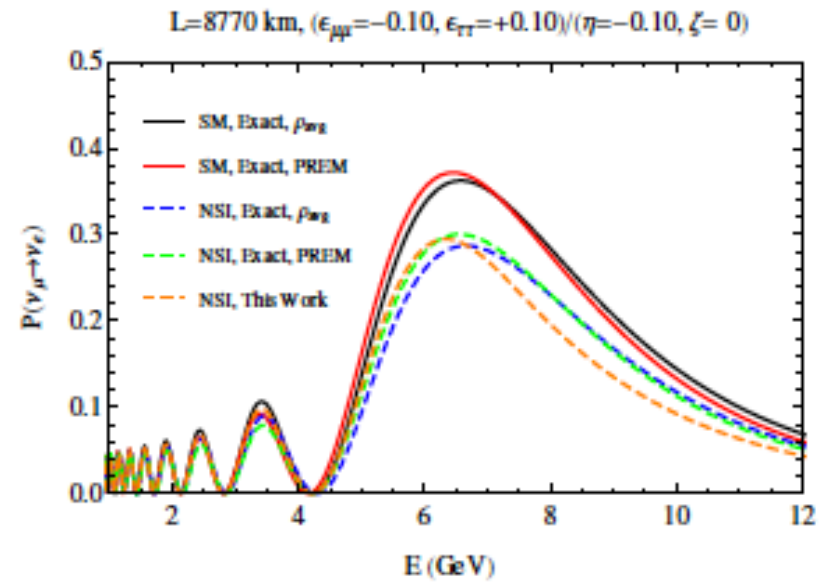
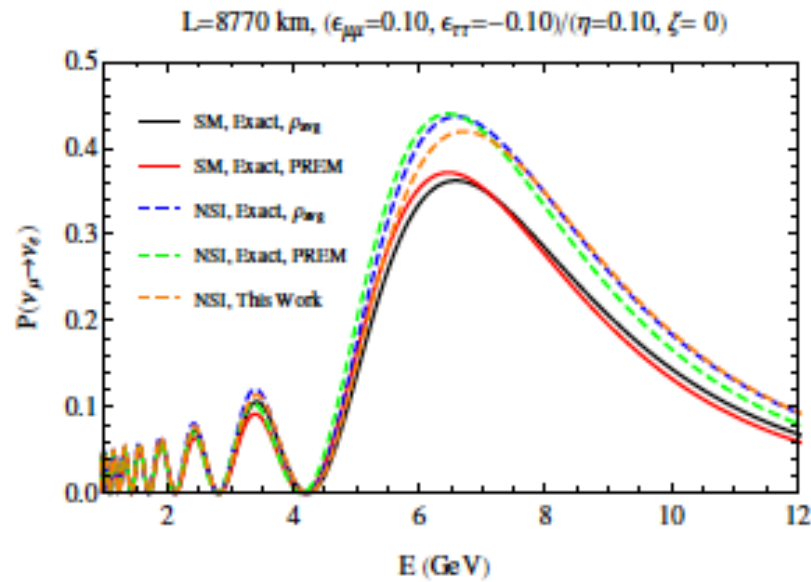


Agarwalla, Kao, Takeuchi, JHEP 1404, 047 (2014)

Other analytical expressions suffer in accuracy due to their reliance on expansion in θ_{13} , or in simplicity when higher order terms in θ_{13} included

Our method gives accurate probability for all channels, baselines, and energies

Comparison between Constant and Varying Earth Density Profile



Three Flavor Effects in $\nu_\mu \rightarrow \nu_e$ oscillation probability

$$P(\nu_\mu \rightarrow \nu_e) - P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e) = \quad \leftarrow \text{Our measurement}$$

$$\frac{16A}{\Delta m_{31}^2} \sin^2 \left(\frac{\Delta m_{31}^2 L}{4E} \right) c_{13}^2 s_{13}^2 s_{23}^2 (1 - 2s_{13}^2) \quad \leftarrow \text{Matter Effects, small}$$

$$- \frac{2AL}{E} \sin \left(\frac{\Delta m_{31}^2 L}{4E} \right) c_{13}^2 s_{13}^2 s_{23}^2 (1 - 2s_{13}^2) \quad \leftarrow \text{Matter Effects, } \propto L$$

$$- 8 \frac{\Delta m_{21}^2 L}{2E} \sin^2 \left(\frac{\Delta m_{31}^2 L}{4E} \right) \sin \delta s_{13} c_{13}^2 c_{23} s_{23} c_{12} s_{12} \quad \leftarrow \text{CPV, Our goal!}$$

$$\text{Here, } A = 2 \sqrt{2} G_F n_e E = 7.6 \times 10^{-5} \text{eV}^2 \cdot \frac{\rho}{\text{g cm}^{-3}} \cdot \frac{E}{\text{GeV}}$$

How to proceed?

First possibility:

Choose small L (~ 200 km), so that matter effects are small

But, we want to work at oscillation maximum:

$$\frac{\Delta m_{31}^2 L}{4E} \sim \frac{\pi}{2} \quad \rightarrow \quad E_\nu < 1 \text{ GeV}$$

Since, $\sigma \propto E_\nu$: we need a high flux at oscillation maximum

Off-axis beam: narrow range of neutrino energies

This is the working principle of Hyper-Kamiokande

How to proceed?

Second possibility:

Take large L (> 1000 km)

Estimate the matter effects, and settle the issue of Mass Hierarchy

But, we still want to work at oscillation maximum:

$$\frac{\Delta m_{31}^2 L}{4E} \sim \frac{\pi}{2} \quad \rightarrow \quad E_\nu > 2 \text{ GeV}$$

Unfold CP-violation from matter effects through energy dependence

On-axis beam: wide range of neutrino energies

This is the working principle of DUNE

Present Understanding of the 2-3 Mixing Angle

Information on θ_{23} comes from: a) **atmospheric neutrinos** and b) **accelerator neutrinos**

In two-flavor scenario:
$$P_{\mu\mu} = 1 - \sin^2 2\theta_{\text{eff}} \sin^2 \left(\frac{\Delta m_{\text{eff}}^2 L}{4E} \right)$$

For accelerator neutrinos: relate effective 2-flavor parameters with 3-flavor parameters:

$$\Delta m_{\text{eff}}^2 = \Delta m_{31}^2 - \Delta m_{21}^2 (\cos^2 \theta_{12} - \cos \delta_{\text{CP}} \sin \theta_{13} \sin 2\theta_{12} \tan \theta_{23})$$

$$\sin^2 2\theta_{\text{eff}} = 4 \cos^2 \theta_{13} \sin^2 \theta_{23} (1 - \cos^2 \theta_{13} \sin^2 \theta_{23}) \quad \text{where} \quad \frac{|U_{\mu 3}|^2}{|U_{\tau 3}|^2} = \tan^2 \theta_{23}$$

Nunokawa et al, hep-ph/0503283; A. de Gouvea et al, hep-ph/0503079

Combining beam and atmospheric data in MINOS, we have:

MINOS Collaboration: arXiv:1304.6335v2 [hep-ex]

$$\sin^2 2\theta_{\text{eff}} = 0.95_{-0.036}^{+0.035} (10.71 \times 10^{21} \text{ p.o.t})$$

$$\sin^2 2\bar{\theta}_{\text{eff}} = 0.97_{-0.08}^{+0.03} (3.36 \times 10^{21} \text{ p.o.t})$$

Atmospheric data, dominated by Super-Kamiokande, still prefers maximal value of $\sin^2 2\theta_{\text{eff}} = 1$ (≥ 0.94 (90% C.L.))

Talk by Y. Itow in Neutrino 2012 conference, Kyoto, Japan

Bounds on θ_{23} from the global fits

	Forero etal	Fogli etal	Gonzalez-Garcia etal
$\sin^2 \theta_{23}$ (NH)	$0.427^{+0.034}_{-0.027} \oplus 0.613^{+0.022}_{-0.040}$	$0.386^{+0.024}_{-0.021}$	$0.41^{+0.037}_{-0.025} \oplus 0.59^{+0.021}_{-0.022}$
3σ range	$0.36 \rightarrow 0.68$	$0.331 \rightarrow 0.637$	$0.34 \rightarrow 0.67$
$\sin^2 \theta_{23}$ (IH)	$0.600^{+0.026}_{-0.031}$	$0.392^{+0.039}_{-0.022}$	Relative 1σ precision of 11%
3σ range	$0.37 \rightarrow 0.67$	$0.335 \rightarrow 0.663$	

All the three global fits indicate for non-maximal 2-3 mixing!

In ν_μ survival probability, the dominant term is mainly sensitive to $\sin^2 2\theta_{23}$!

If $\sin^2 2\theta_{23}$ differs from 1 (as indicated by recent data), we get two solutions for θ_{23} :
 one in lower octant (LO: $\theta_{23} < 45$ degree), other in higher octant (HO: $\theta_{23} > 45$ degree)

In other words, if $(0.5 - \sin^2 \theta_{23})$ is +ve (-ve) then θ_{23} belongs to LO (HO)

This is known as the octant ambiguity of θ_{23} !

Fogli and Lisi, hep-ph/9604415

ν_μ to ν_e oscillation data can break this degeneracy!

The preferred value would depend on the choice of the neutrino mass hierarchy!

Octant – δ_{CP} degeneracy in $\nu_\mu \rightarrow \nu_e$ oscillation channel

$$P_{\mu e} = \beta_1 \sin^2 \theta_{23} + \beta_2 \cos(\hat{\Delta} + \delta_{CP}) + \beta_3 \cos^2 \theta_{23} \quad (\text{upto second order in } \alpha = \Delta_{21}/\Delta_{31} \text{ and } \sin 2\theta_{13})$$

$$\beta_1 = \sin^2 2\theta_{13} \frac{\sin^2 \hat{\Delta}(1 - \hat{A})}{(1 - \hat{A})^2}, \quad \beta_3 = \alpha^2 \sin^2 2\theta_{12} \cos^2 \theta_{13} \frac{\sin^2 \hat{\Delta} \hat{A}}{\hat{A}^2}$$

$$\beta_2 = \alpha \cos \theta_{13} \sin 2\theta_{12} \sin 2\theta_{13} \sin 2\theta_{23} \frac{\sin \hat{\Delta} \hat{A} \sin \hat{\Delta}(1 - \hat{A})}{\hat{A} (1 - \hat{A})}$$

$$A(\text{eV}^2) = 0.76 \times 10^{-4} \rho \text{ (g/cc)} E(\text{GeV}) \quad \hat{\Delta} = \Delta_{31} L / 4E, \quad \hat{A} = A / \Delta_{31}$$

Cervera etal, hep-ph/0002108; Freund etal, hep-ph/0105071

We demand that: $P_{\mu e}(\text{LO}, \delta_{CP}^{\text{LO}}) = P_{\mu e}(\text{HO}, \delta_{CP}^{\text{HO}})$

$$\text{Above condition gives us: } \cos(\hat{\Delta} + \delta_{CP}^{\text{LO}}) - \cos(\hat{\Delta} + \delta_{CP}^{\text{HO}}) = \frac{\beta_1 - \beta_3}{\beta_2} (\sin^2 \theta_{23}^{\text{HO}} - \sin^2 \theta_{23}^{\text{LO}})$$

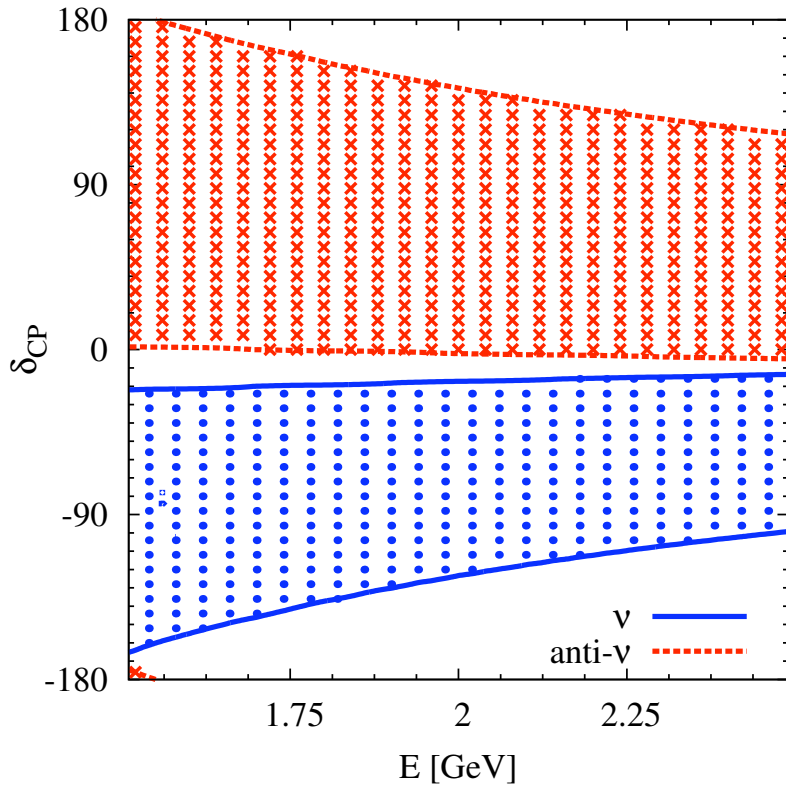
For $L=810 \text{ km}$ & $E=2 \text{ GeV}$, we get for NH and neutrino: $\cos(\hat{\Delta} + \delta_{CP}^{\text{LO}}) - \cos(\hat{\Delta} + \delta_{CP}^{\text{HO}}) = 1.7$

$P_{\mu e}(\text{LO}, -116^\circ \leq \delta_{CP} \leq -26^\circ)$ is degenerate with $P_{\mu e}(\text{HO}, 64^\circ \leq \delta_{CP} \leq 161^\circ)$

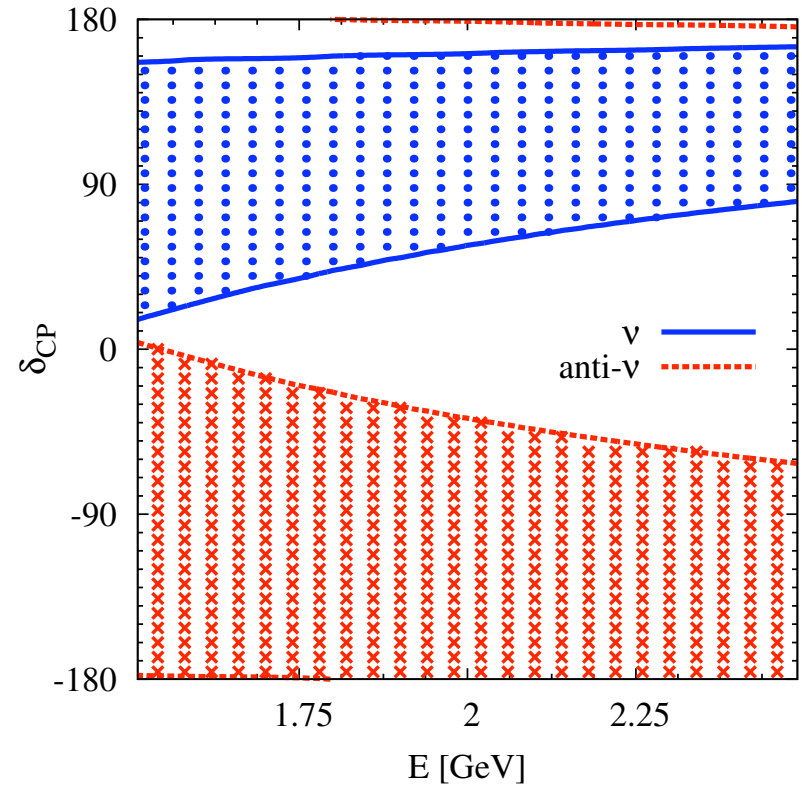
Agarwalla, Prakash, Uma Sankar, arXiv:1301.2574

Octant – δ_{CP} degeneracy in $\nu_\mu \rightarrow \nu_e$ oscillation channel

NOvA, LO-NH



NOvA, HO-NH



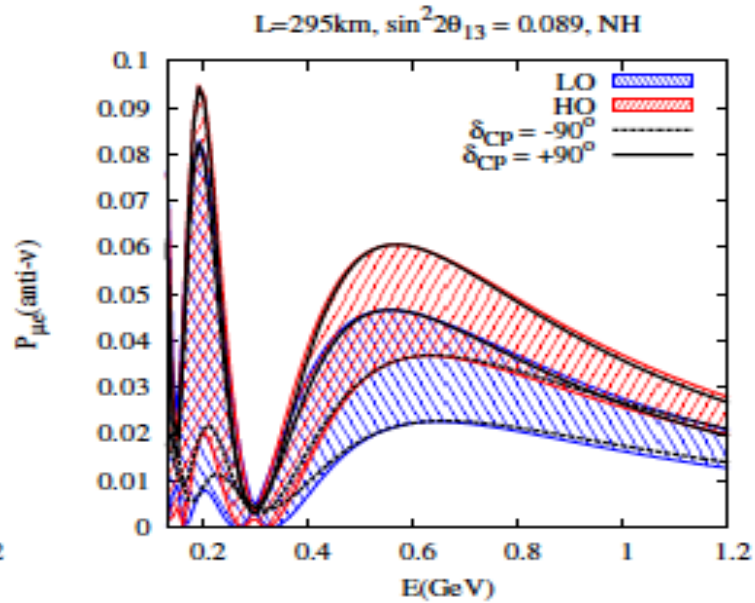
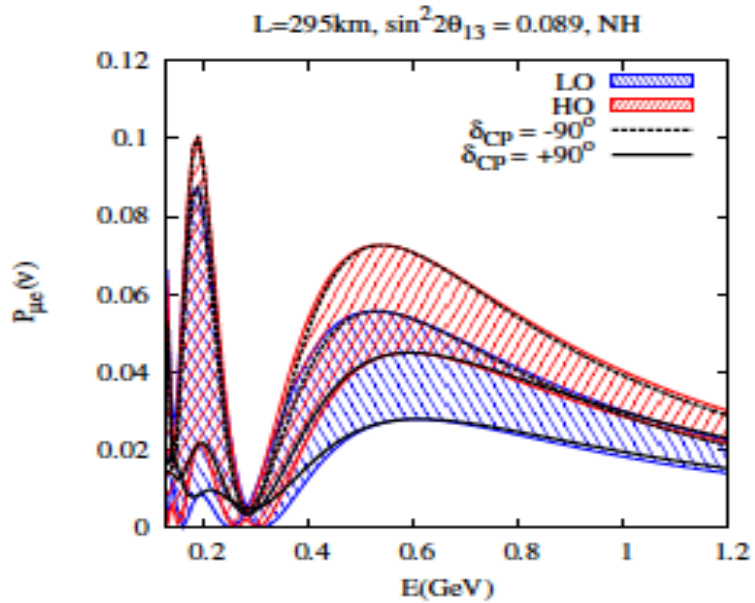
Agarwalla, Prakash, Sankar, arXiv:1301.2574 [hep-ph]

Octant – δ_{CP} degeneracy in $P_{\mu e}$ as a function of neutrino energy

At 2 GeV, $P_{\mu e}(\text{LO}, -116^\circ \leq \delta_{CP} \leq -26^\circ)$ is degenerate with $P_{\mu e}(\text{HO}, 64^\circ \leq \delta_{CP} \leq 161^\circ)$

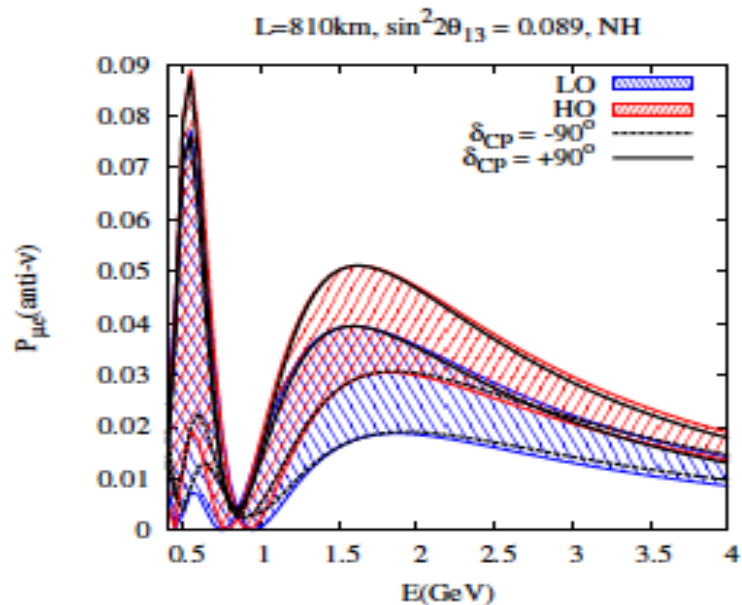
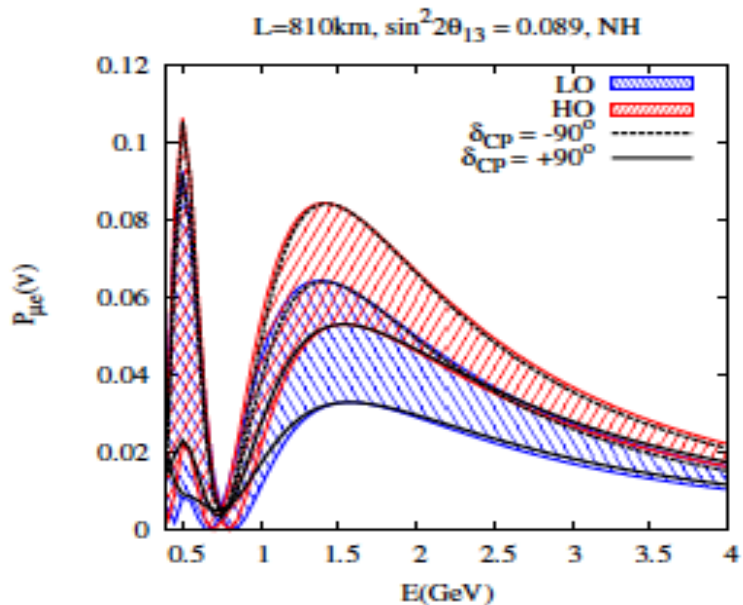
As an example, $P_{\mu e}(\text{LO}, \delta_{CP} = -90^\circ)$ is degenerate with $P_{\mu e}(\text{HO}, \delta_{CP} \approx 66^\circ)$

Octant – δ_{CP} degeneracy in T2K and NOvA



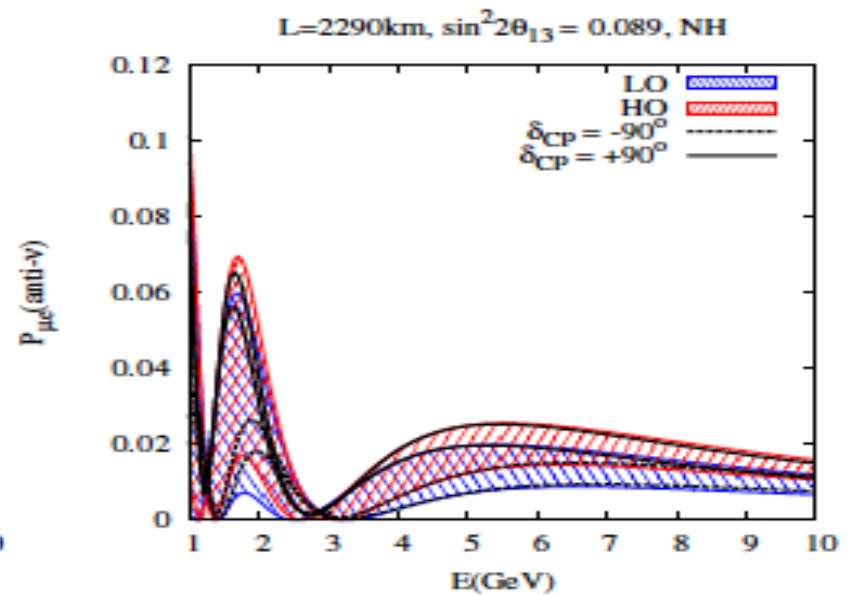
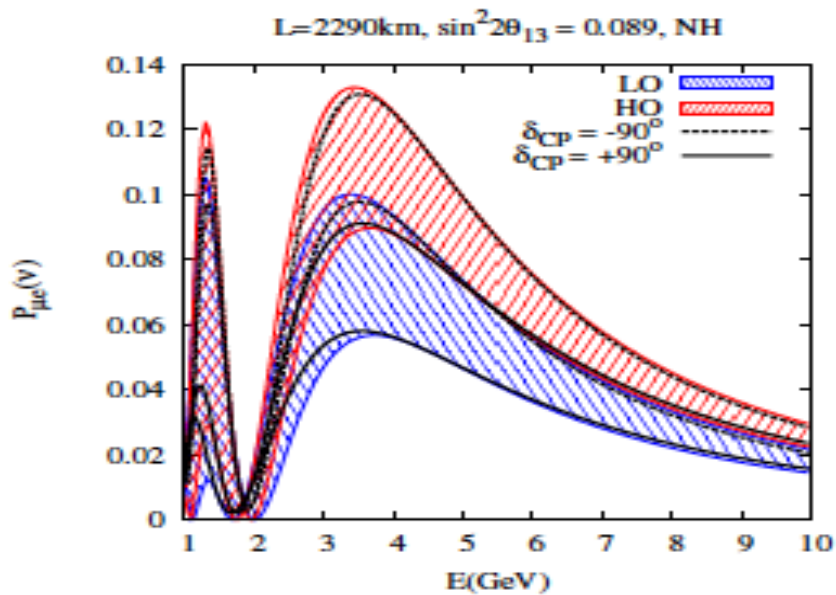
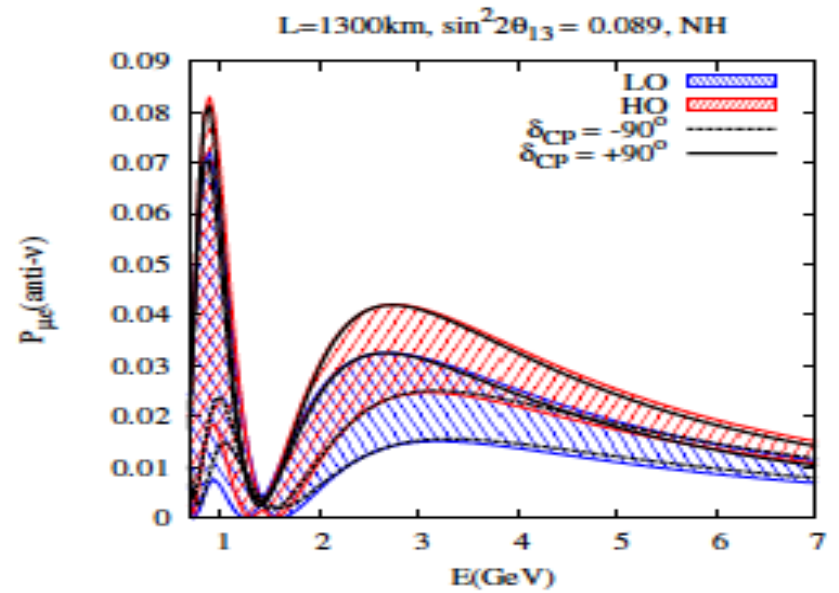
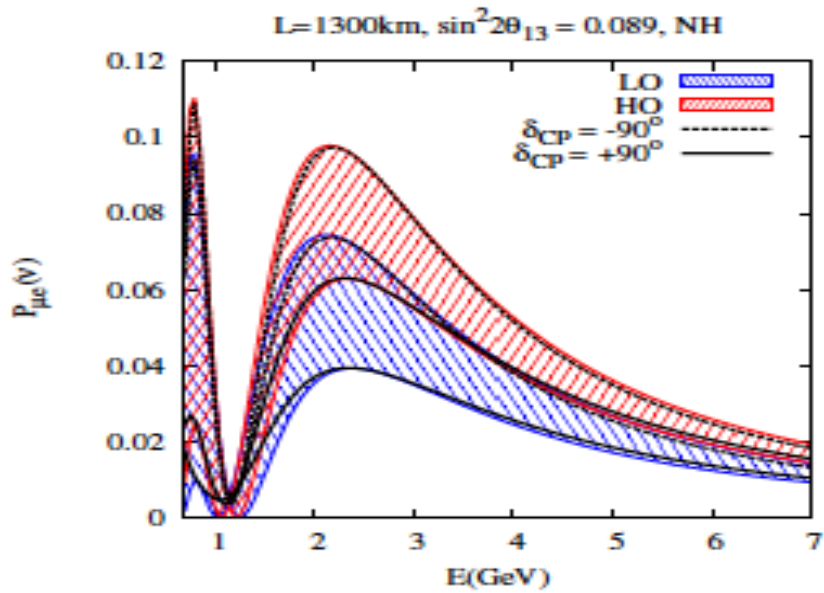
For neutrino:
 favorable
 combinations:
 Max: HO, -90°
 Min: LO, 90°

For anti-neutrino:
 favorable
 combinations:
 Max: HO, 90°
 Min: LO, -90°



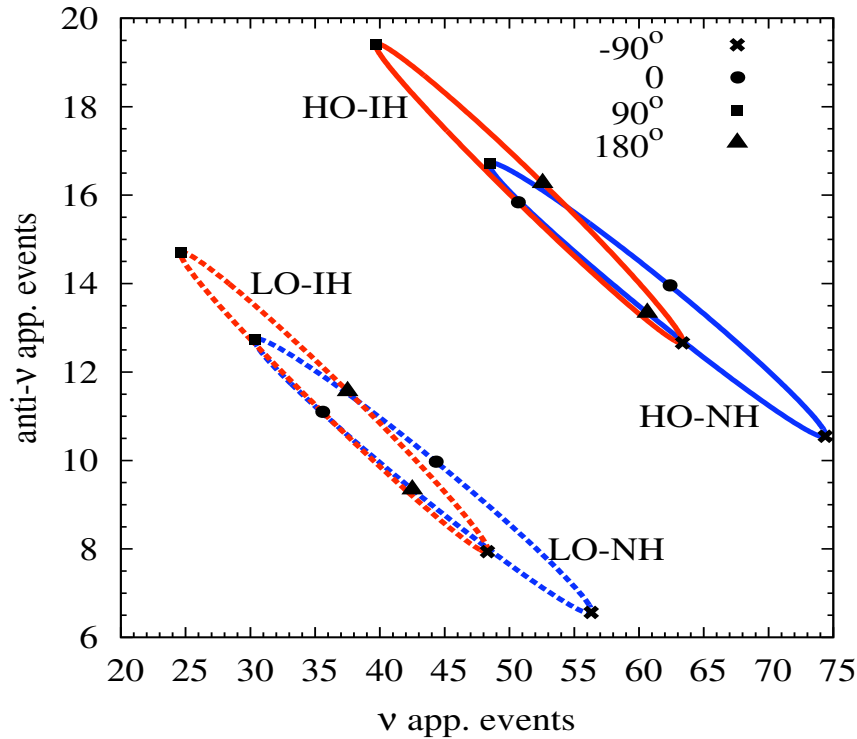
Unfavorable CP
 values for neutrino
 are favorable for
 anti-neutrino and
 vice-versa!

Octant – δ_{CP} degeneracy in LBNE and LBNO

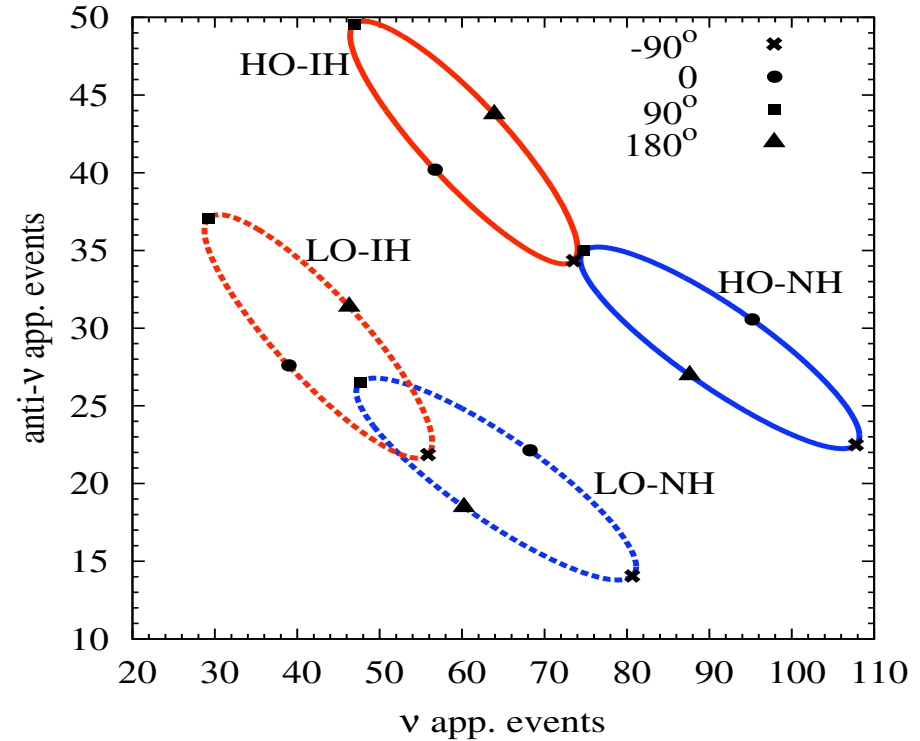


Bi-Event Plots for T2K and NOvA

T2K[2.5+2.5]



NOvA[3+3]



Agarwalla, Prakash, Sankar, arXiv:1301.2574 [hep-ph]; see also the talk by T. Nakadaira in this workshop

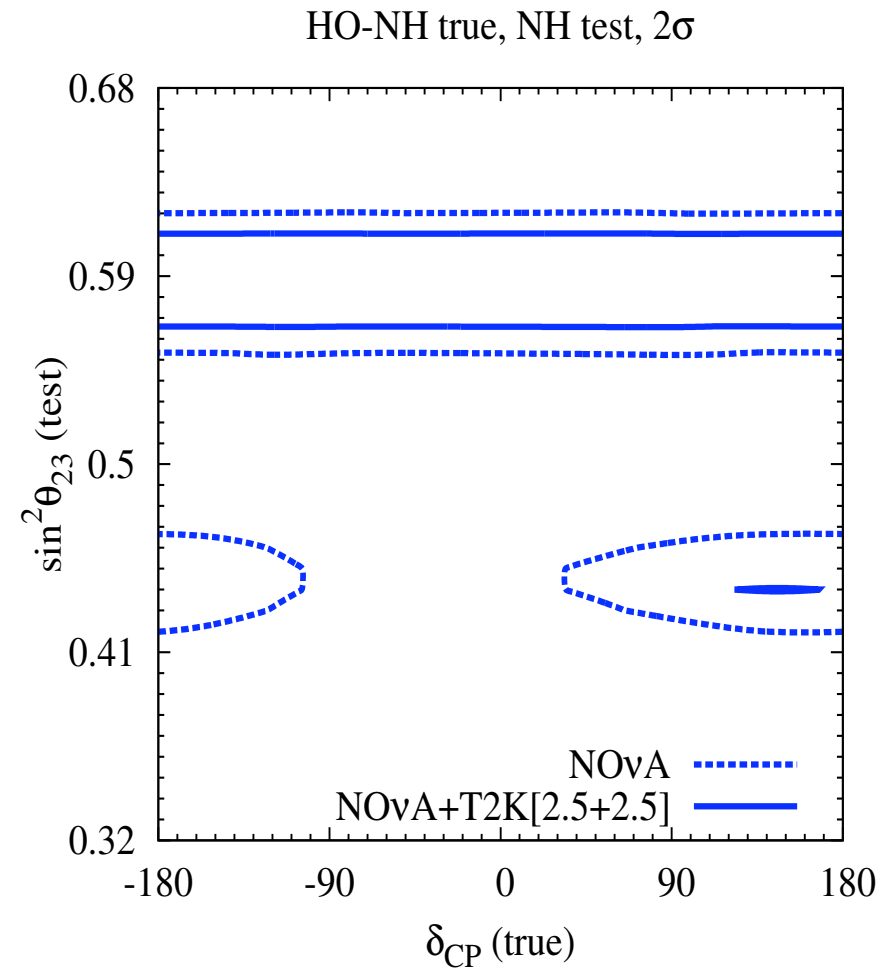
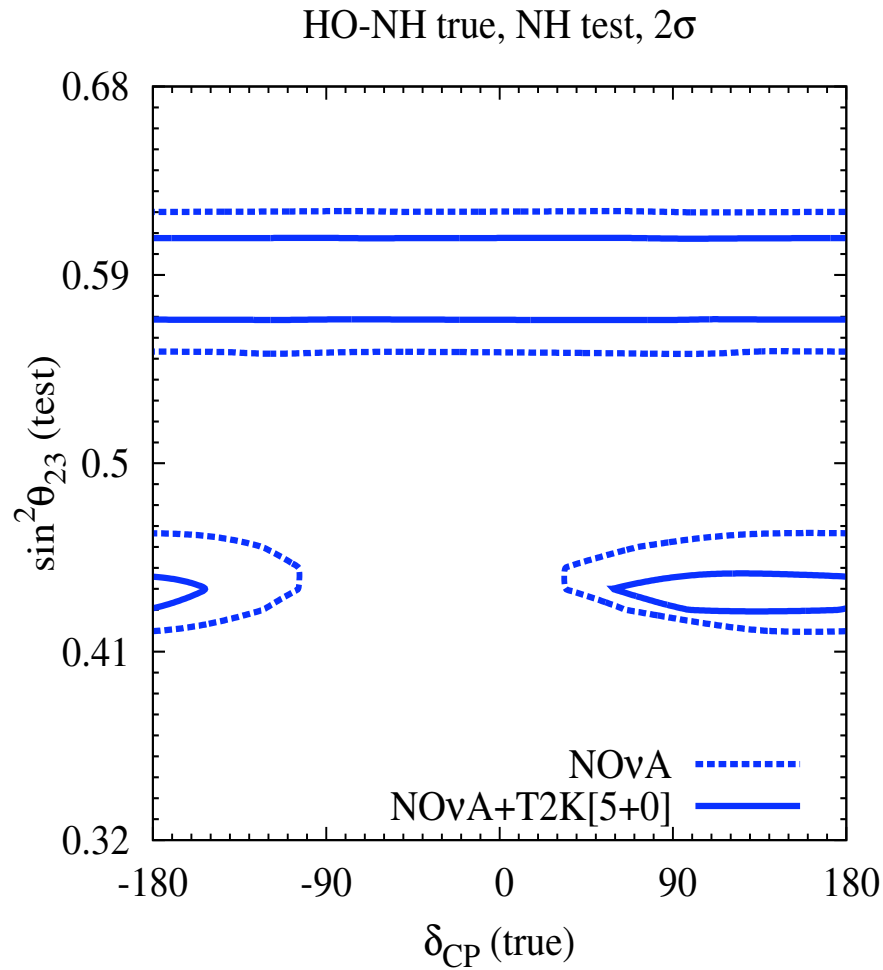
neutrino vs. anti-neutrino events for various octant-hierarchy combinations, ellipses due to varying δ_{CP} !

If $\delta_{CP} = -90^\circ$ (90°), the asymmetry between ν and anti- ν events is largest for NH (IH)

For NOvA & T2K, the ellipses for the two hierarchies overlap whereas the ellipses of LO are well separated from those of HO, the same is true for T2K as well!

Octant discovery: balanced neutrino & anti-neutrino runs needed in each experiment!

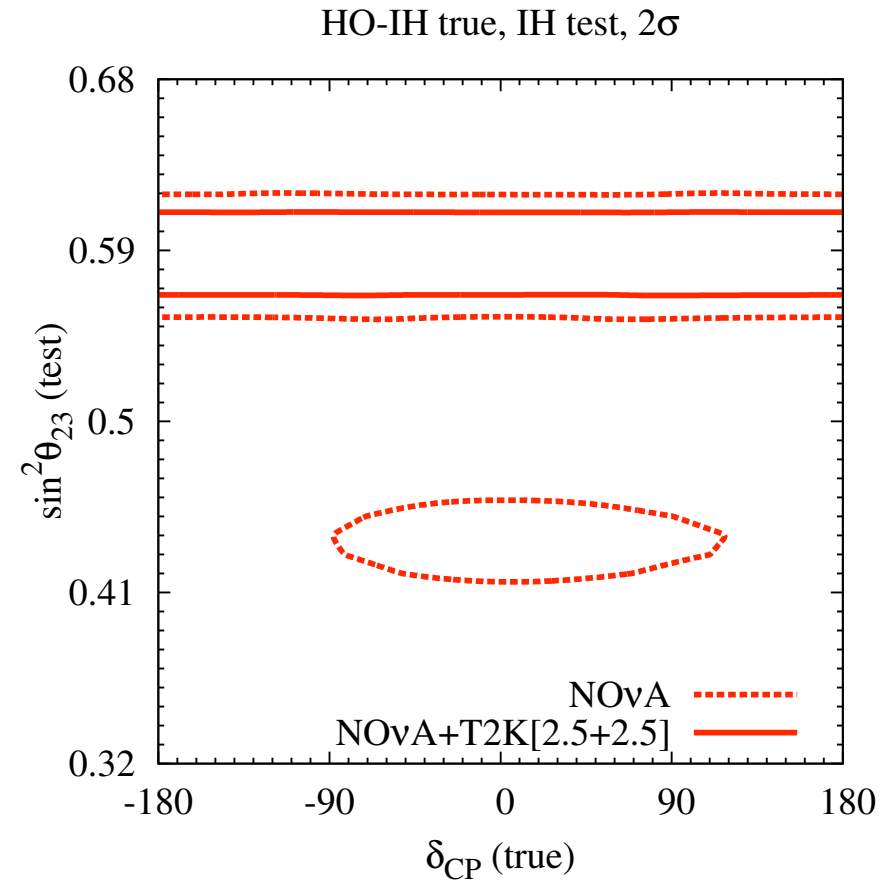
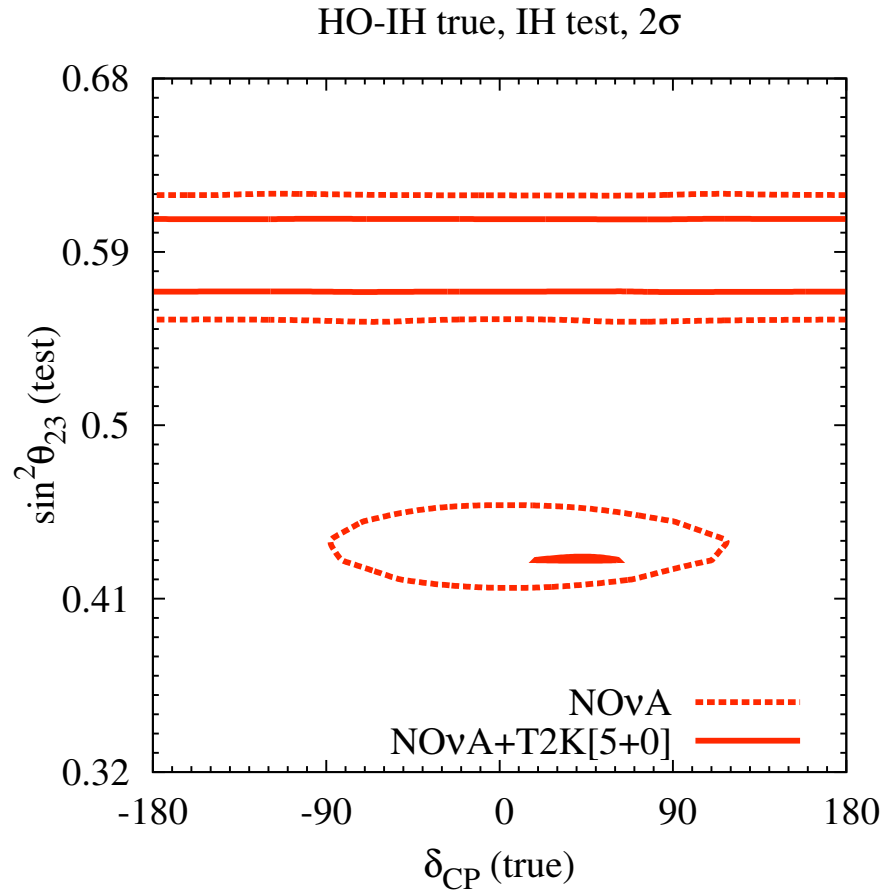
Allowed regions in test $\sin^2\theta_{23}$ - true δ_{CP} plane



Agarwalla, Prakash, Sankar, arXiv:1301.2574 [hep-ph]

**Balanced neutrino & anti-neutrino runs from T2K are mandatory
if HO turns out to be the right octant!**

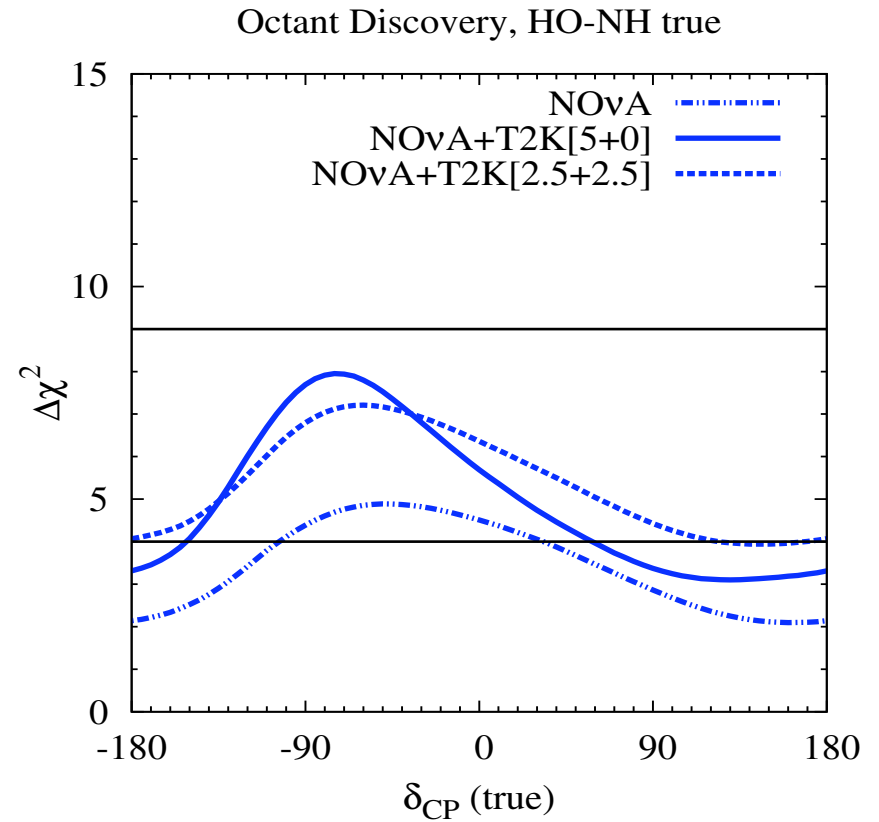
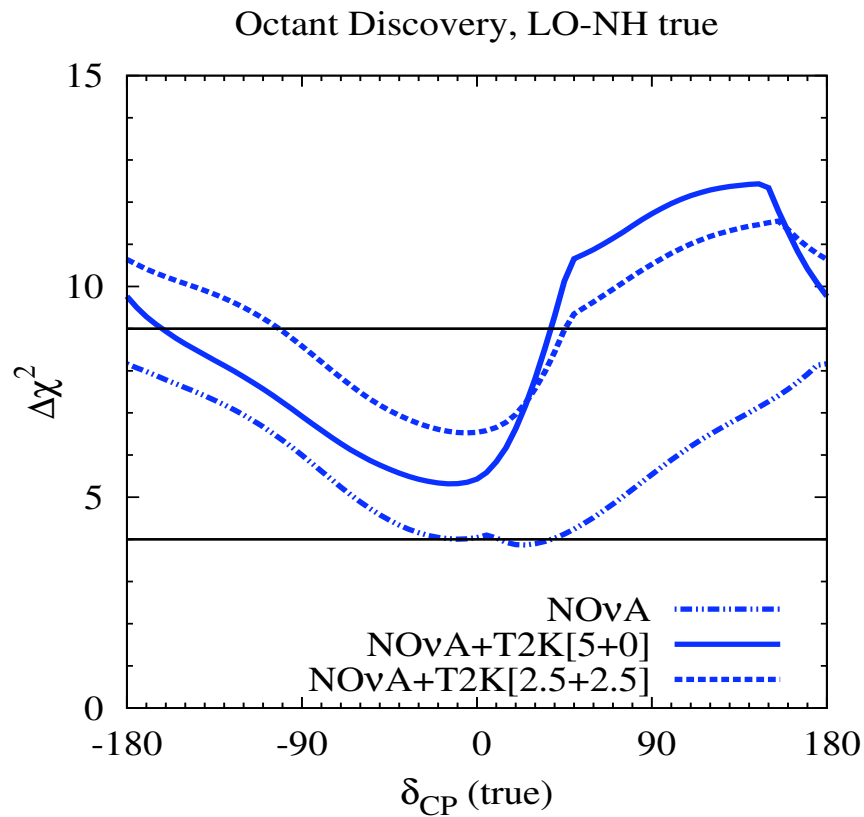
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Agarwalla, Prakash, Sankar, arXiv:1301.2574 [hep-ph]

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Resolving Octant of θ_{23} with T2K and NOvA

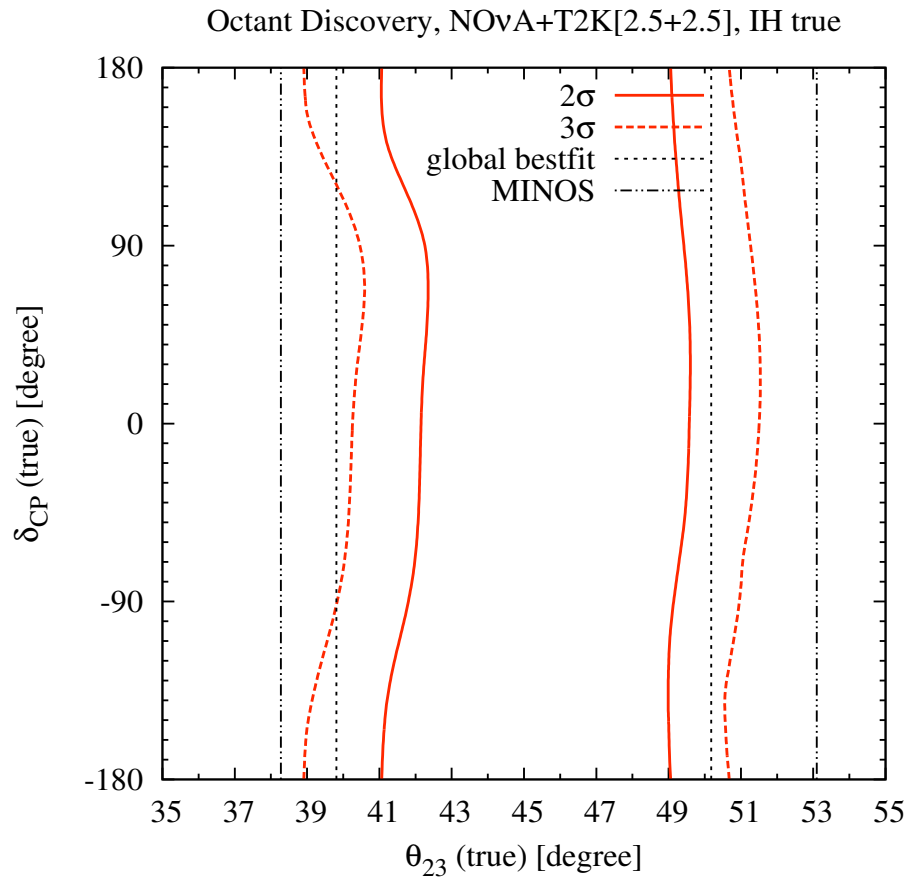
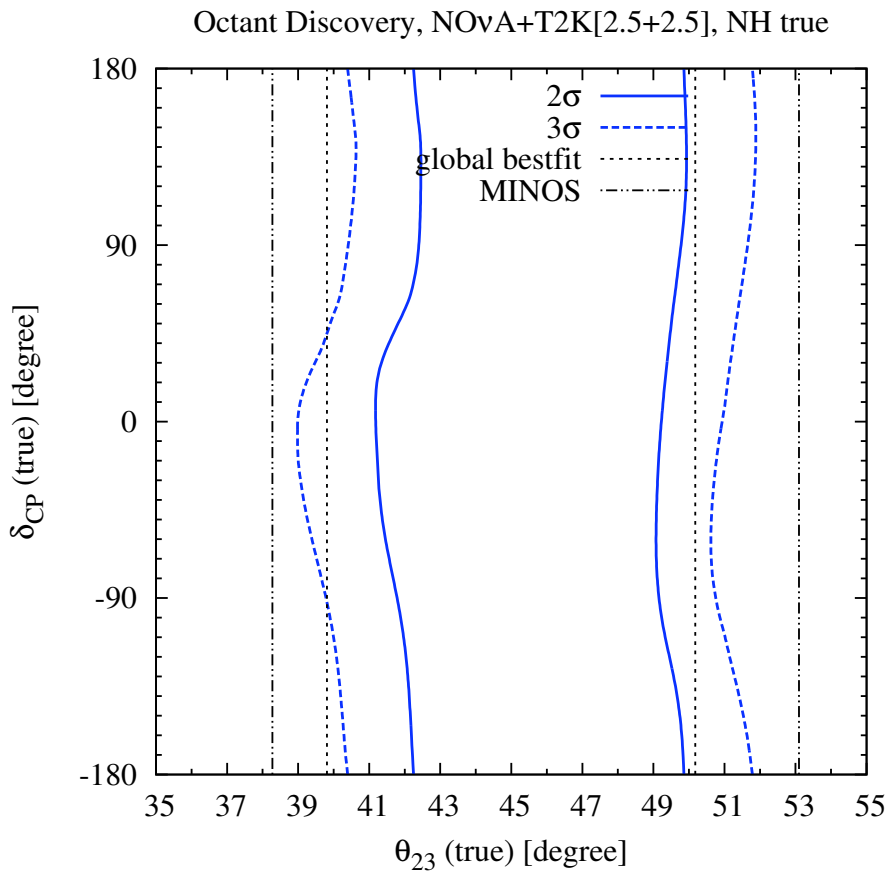


Agarwalla, Prakash, Sankar, arXiv:1301.2574 [hep-ph]

A 2σ resolution of the octant, for all combinations of neutrino parameters, becomes possible if we add the balanced neutrino and anti-neutrino runs from T2K (2.5 years ν + 2.5 years anti- ν) and NOvA (3 years ν + 3 years of anti- ν)

Important message: T2K must run in anti-neutrino mode in future!

Octant discovery in θ_{23} (true) – δ_{CP} (true) plane with T2K & NOvA



Agarwalla, Prakash, Sankar, arXiv:1301.2574 [hep-ph]

With Normal Hierarchy

If $\theta_{23} < 41^\circ$ or $\theta_{23} > 50^\circ$, we can resolve the octant issue at 2σ irrespective δ_{CP}

If $\theta_{23} < 39^\circ$ or $\theta_{23} > 52^\circ$, we can resolve the octant issue at 3σ irrespective δ_{CP}