# Anomalies in an EFT: The On-Shell Way

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## **Recap: what is an Anomaly?**

- A classical symmetry, violated at the quantum level
- Corresponds to non-invariance of the path integral measure
- Example: the abelian anomaly for an axial current

$$\mathcal{L} = -\frac{1}{4} F^{\alpha}_{\mu\nu} F^{\alpha\mu\nu} - \overline{\psi} D \!\!\!/ \psi$$

Axial rotation: 
$$U(x) = e^{i\epsilon(x)q\gamma_5}$$
Noether procedure $J_5^{\mu} = iq \overline{\psi}\gamma^{\mu}\gamma_5\psi$  $\psi(x) \rightarrow \psi'(x) = U(x)\psi(x)$ Classically conserved

Quantum mechanically:

$$\partial_{\mu} \left\langle J_{5}^{\mu}(x) \right\rangle = \frac{q^{3}}{16\pi^{2}} \epsilon^{\mu\nu\rho\sigma} F_{\mu\nu}(x) F_{\rho\sigma}(x)$$

Anomalous Ward identity

# **Gauge Anomalies - the Big No-No**

• Gauging a symmetry ——→ coupling to a current

$$S = \int \mathcal{D}A \, e^{i\left(-\frac{1}{4}F_{\mu\nu}F^{\mu\nu} + A_{\mu}\langle J^{\mu}\rangle\right)}$$

- If  $\partial_{\mu}\left\langle J^{\mu}
  ight
  angle 
  eq 0$  gauge invariance is broken
- (If A is massless) we cannot establish equivalence of Lorentz and Unitary gauge
  - → Cannot quantize Lorentz invariant theory

Solution in SM:

Anomaly cancellation!

Charges of all SM fermions conspire to cancel all triangle diagrams

#### **Anomaly Cancelation : a Toy Example**

•  $SU(2)_{L} \times U(1)_{R}$  gauge theory with N=2n generations of Weyl fermions

$$\psi_L^i(\mathbf{2})_{y_i} \quad \psi_R^i = \left(\psi_{R1}^i(\mathbf{1})_{y_i + \frac{1}{2}}, \psi_{R2}^i(\mathbf{1})_{y_i - \frac{1}{2}}\right)$$

• The Lagrangian is

$$\mathcal{L} = -\frac{1}{4}F_{1\mu\nu}^2 - \frac{1}{2}\operatorname{Tr}F_{2\mu\nu}^2 + \sum_{i=1}^{N} \left[i\overline{\psi}_L^i \mathcal{D}_L^i \psi_L^i + i\overline{\psi}_R^i \mathcal{D}_R^i \psi_R^i\right]$$

$$D_{L;\mu}^{i} = \partial_{\mu} + ig_{2}A_{\mu} + ig_{1}y_{i}B_{\mu} \qquad D_{R;\mu}^{i} = \partial_{\mu} + ig_{1}(y_{i} + \tau_{3})B_{\mu}$$

• Fermions couple chirally  $\longrightarrow$  potential U(1)<sub>R</sub><sup>3</sup> and SU(2)<sub>L</sub>xU(1)<sub>R</sub> anomalies

#### **Anomaly Cancelation : a Toy Example**

• Fermions couple chirally  $\longrightarrow$  potential U(1)<sub>R</sub><sup>3</sup> and SU(2)<sub>L</sub><sup>2</sup>xU(1)<sub>R</sub> anomalies

U(1)<sup>3</sup> anomaly:

$$\partial_{\mu} \left\langle J^{\mu}_{\mathrm{U}(1)} \right\rangle_{\mathrm{U}(1)^{2}} = -\frac{g_{1}^{2}}{48\pi^{2}} F_{1\mu\nu} \tilde{F}^{\mu\nu}_{1} \sum \left[ 2y_{i}^{3} - (y_{i} + \frac{1}{2})^{3} - (y_{i} - \frac{1}{2})^{3} \right]$$

Mixed anomaly:

$$\partial_{\mu} \left\langle J^{\mu}_{\mathrm{U}(1)} \right\rangle_{\mathrm{SU}(2)^{2}} = -\frac{g_{2}^{2}}{24\pi^{2}} \varepsilon^{\mu\nu\kappa\lambda} \partial_{\mu} \operatorname{Tr} \left[ A_{\nu}\partial_{\kappa}A_{\lambda} + \frac{1}{2}ig_{2}A_{\nu}A_{\kappa}A_{\lambda} \right] \sum y_{i}$$
$$\partial_{\mu} \left\langle J^{\mu\,a}_{\mathrm{SU}(2)} \right\rangle_{\mathrm{SU}(2)\times\mathrm{U}(1)} = -\frac{g_{1}g_{2}}{24\pi^{2}} \varepsilon^{\mu\nu\kappa\lambda} \partial_{\mu} \operatorname{Tr} \left[ \tau^{a} \left( 2B_{\nu}\partial_{\kappa}A_{\lambda} + \frac{1}{2}ig_{2}B_{\nu}A_{\kappa}A_{\lambda} \right) \right] \sum y_{i}$$

• Anomalies cancel if  $\sum y_i = 0$ 

# Toy Example: Higgsing the Theory

- What happens if we Higgs the theory?
- Introduce Higgs  $\phi(2)_{\frac{1}{2}}$  with a VEV that breaks SU(2)<sub>L</sub>X U(1)<sub>R</sub>  $\rightarrow$  U(1)<sub>V</sub>
- Choose Higgs quartic, gauge couplings and Yukawas such that

$$m_Z, m_W, m_{i< N}^f, \ll \mathbf{E} \ll m_N^f, m_h$$

• Integrate the radial mode and the Nth generation of fermions LEFT for A, Z, W with N-1 "massless" fermions and  $\sum y_i \neq 0$ 

Is this EFT consistent? How can we quantize in a Lorentz invariant way?

## **Anomaly Cancelation in the EFT**

#### D'Hoker and Farhi 84' Goldstone and Wilczek 81'

- Is the EFT consistent? Missing Nth generation of fermions
- D'Hoker and Farhi:
  - Integrating out radial mode leaves an EFT for the Goldstone matrix U
  - Integrating out the Nth generation generates gauged WZW (or GW) terms
  - The gauged WZW cancel the anomaly from the N-1 light fermions
- Effective action:

$$\mathcal{S}_{\rm EFT} = \underbrace{\mathcal{S}_{\rm nl\sigma m}(A_{\mu}, B_{\mu}, \psi^{i}, U)}_{\rm WZW} + \Gamma_{\rm WZW}(U) + \int d^{4}x \, g_{1} \, y_{N} \, B_{\mu} J_{\rm GW}^{\mu}$$

Fixed by the nonlinear realization of SU(2)xU(1)

$$U \in \frac{\mathrm{SU}(2)_L \times U(1)_R}{\mathrm{U}(1)_V}$$
 is the Goldstone matrix

#### **Anomaly Cancelation in the EFT**

#### D'Hoker and Farhi 84' Goldstone and Wilczek 81'

• Improved Goldstone-Wilczek term 
$$\int d^4x \, g_1 \, y_N \, B_\mu \hat{J}^\mu_{\rm GW} \text{ where}$$

$$J^\mu_{\rm GW} = \frac{1}{24\pi^2} \epsilon^{\mu\alpha\beta\gamma} \text{Tr} \left[ \underbrace{U^\dagger D_\alpha U U^\dagger D_\beta U U^\dagger D_\gamma U - i \frac{3g_2}{2} F_{2\alpha\beta} D_\gamma U U^\dagger - i \frac{3g_1}{2} F_{1\alpha\beta} \tau_3 U^\dagger D_\gamma U}_{\text{Cancels the U(1)}_{\rm R}^3 \text{ and } \text{SU(2)}_{\rm L}^2 \text{xU(1)}_{\rm R} \text{ anomalies}} \right]$$

$$-g_2^2 \left( A_\alpha F_{2\beta\gamma} - \frac{1}{2} i g_2 A_\alpha A_\beta A_\gamma \right) \right]$$

Local counterterm that does not cancel the  $SU(2)_{L}^{2}xU(1)_{R}$  anomaly but only shifts it only to the  $U(1)_{R}$ . Analogous to the regulator ambiguity of the chiral anomaly - we can choose to preserve  $SU(2)_{L}$  or  $U(1)_{R}$ , but not both.

#### **Anomaly Cancelation in the EFT**

**Main point:** The  $U(1)^3$  and  $U(1)xSU(2)^2$  anomalies of the GW current are exactly equal to those of the integrated out Nth fermion generation, and the anomalies are canceled.

$$\partial_{\mu} \left\langle J_{\mathrm{SU}(2)}^{\mu a} \right\rangle_{\mathrm{SU}(2) \times \mathrm{U}(1)} = -\frac{g_1 g_2}{24\pi^2} \varepsilon^{\mu\nu\kappa\lambda} \partial_{\mu} \operatorname{Tr} \left[ \tau^a \left( 2B_{\nu} \partial_{\kappa} A_{\lambda} + \frac{1}{2} i g_2 B_{\nu} A_{\kappa} A_{\lambda} \right) \right] \sum_{i}^{N-1} y_i + \delta S_{GW} = 0$$

From the local counterterm that makes the mixed anomaly violate  $U(1)_{R}$  but not  $SU(2)_{L}$ 

$$\partial_{\mu} \left\langle J_{\mathrm{U}(1)}^{\mu} \right\rangle_{\mathrm{U}(1)^{2}} = \frac{1}{32\pi^{2}} g_{1}^{2} F_{1\mu\nu} \tilde{F}_{1}^{\mu\nu} \sum_{i}^{N-1} y_{i} + \partial_{\mu} J_{\mathrm{GW}}^{\mu}|_{A=0} = 0$$
  
$$\partial_{\mu} \left\langle J_{\mathrm{U}(1)}^{\mu} \right\rangle_{\mathrm{SU}(2)^{2}} = -\frac{g_{2}^{2}}{24\pi^{2}} \varepsilon^{\mu\nu\kappa\lambda} \partial_{\mu} \operatorname{Tr} \left[ \left( 2B_{\nu}\partial_{\kappa}A_{\lambda} + \frac{1}{2}ig_{2}B_{\nu}A_{\kappa}A_{\lambda} \right) \right] \sum_{i}^{N-1} y_{i} + \partial_{\mu} J_{\mathrm{GW}}^{\mu}|_{B=0} = 0$$

From the Goldstone dependent terms that cancel the anomalies

# **Quick Summary**

- Gauge anomalies are bad (... or were bad in 1984)
- Gauge anomalies in an EFT
  - Start in the UV with an anomaly free theory
  - Higgs the theory and integrate out one heavy generation of fermions
  - The EFT in anomaly free
  - The anomaly from the light fermions is canceled by the GW current

Is this a *gauge independent* statement?

#### **Another Look at EFT Anomaly Cancelation**

• Back to the improved GW current:

$$J_{\rm GW}^{\mu} = \frac{1}{24\pi^2} \epsilon^{\mu\alpha\beta\gamma} \operatorname{Tr} \left[ U^{\dagger} D_{\alpha} U U^{\dagger} D_{\beta} U U^{\dagger} D_{\gamma} U - i \frac{3g_2}{2} F_{2\alpha\beta} D_{\gamma} U U^{\dagger} - i \frac{3g_1}{2} F_{1\alpha\beta} \tau_3 U^{\dagger} D_{\gamma} U - g_2^2 \left( A_{\alpha} F_{2\beta\gamma} - \frac{1}{2} i g_2 A_{\alpha} A_{\beta} A_{\gamma} \right) \right]$$

• Go to unitary gauge: U is eaten, left only with the local counterterm

$$g_1 B_\mu J^\mu_{\rm GW} = -\frac{g_1 g_2^2}{24\pi^2} \epsilon^{\mu\alpha\beta\gamma} B_\mu \text{Tr} \left[ \left( A_\alpha F_{2\beta\gamma} - \frac{1}{2} i g_2 A_\alpha A_\beta A_\gamma \right) \right]$$

But this counterterm only *shifts* the mixed anomaly to the U(1)...

What cancels the anomalies?

#### **The Plot Thickens**

• Well known paper by Preskill 91':

#### Gauge Anomalies in an Effective Field Theory

 There is no problem with quantizing an anomalous gauge theory, as long as the gauge bosons are *massive* (the theory is in the Higgs phase or "spontaneously broken").

- D'Hoker and Farhi's anomaly cancelation is a *gauge artifact*
- The theory in the Higgs phase has a cutoff A related to the GB mass

## **Preskill's Argument**

• Massive U(1) coupled to a single Weyl fermion

$$\mathcal{L} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} + \frac{\mu^2}{2}A_{\mu}A^{\mu} + i\bar{\psi}_L \not\!\!\!D\psi$$

Under 
$$A_{\mu} o A_{\mu} + rac{1}{e} \partial_{\mu} \omega$$
 the theory has an anomaly  $rac{e^2 q^3}{48 \pi^2} \omega F_{\mu
u} \tilde{F}^{\mu
u}$ 

We can cancel the anomaly by introducing a gauge artifact field b:

$$\mathcal{L} = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} + \frac{1}{2}\left(\partial_{\mu}b - \mu A_{\mu}\right)^{2} + i\bar{\psi}_{L}\not{D}\psi - \frac{e^{3}q^{3}}{48\pi^{2}\mu}bF_{\mu\nu}\tilde{F}^{\mu\nu}$$

The anomaly cancels if  $b \rightarrow b + \frac{\mu}{e} \omega$  under a gauge transformation

## **Preskill's Argument**

• In the b theory, we can always go to unitary gauge and set b=0, and the Lagrangian reduces to the original, anomalous Lagrangian

$$\mathcal{L} = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} + \frac{\mu^2}{2} A_\mu A^\mu + i \bar{\psi}_L \not\!\!\!D \psi$$

- The two theories, with and without the b field, are identical in unitary gauge, and so all of their physical observables are the same
- Consequently, there is *no physical difference* between an anomalous massive theory, and a massive theory with "WZW" anomaly cancellation

## **A Pause for Confusion**

- By Preskill's argument, an *anomalous* EFT is equivalent to an *anomaly canceled* EFT. But what about the Ward identities? Anomalous or not?
- Also...
  - Didn't we say that anomalous gauge theories cannot be quantized?
  - Apparently *massive* anomalous gauge theories *can* be quantized

Our research question:

What's the fundamental difference between the massless and massive theory that only allows to quantize the latter?

We want a manifestly gauge invariant answer!

## **On-Shell Methods: a Manifestly Gauge Invariant Formalism**

- To understand the consistency of massive anomalous gauge theories, we first focus on the inconsistency of massless ones
- This inconsistency should arise in a *gauge invariant* way, i.e. in scattering amplitudes
- The *on-shell formalism* allows us to compute tree and loop level scattering amplitudes without introducing any action / gauge freedom
- We first review the formalism, and then arrive at the on-shell notion of a gauge anomaly as *tension between locality and unitarity @ 1-loop*

# **On-Shell Methods: a Manifestly Gauge Invariant Formalism**

- In the *on-shell formalism*, a theory is specified not by an action, but by the representations of the scattering particles under the Lorentz group (actually little group)
- The basic building blocks are tree-level three-point amplitudes



# **Extremely Quick Intro to Spinor-Helicity**

• 3-pt amplitudes are uniquely determined by their little group transformation. i.e. saying that a helicity -1 vector scatters with helicity ±1/2 fermion is enough to fix the amplitude (up to color factors)

The spinor-helicity variables are defined by  $p_i^\mu \, \bar{\sigma}_\mu^{\dot{lpha} lpha} = |i\rangle^{\dot{lpha}} \, [i|^lpha$  or explicitly

$$|i\rangle^{\dot{\alpha}} = \sqrt{2E_i} \begin{pmatrix} \cos\theta_i/2\\ e^{i\phi_i}\sin\theta_i/2 \end{pmatrix}$$
$$[i|^{\alpha} = \sqrt{2E_i} \begin{pmatrix} \cos\theta_i/2\\ e^{-i\phi_i}\sin\theta_i/2 \end{pmatrix}$$

with little group weight -1/2

with little group weight +1/2

# **Rules For Forming Helicity Amplitudes**

- The little group transformation of the amplitude is known and has to be saturated by stacking up spinor-helicity variables.
- Dotted and undotted indices have to be contracted separately, with  $\epsilon^{\dot{lpha}eta},\,\epsilon^{lphaeta}$
- A spinor in the denominator has the opposite little group weight (helicity)



• Locality requires that all amplitudes factorize on their poles. Since 3-pt amplitudes can't factorize, they cannot have poles. Dimensional analysis further reduces them to these unique expressions.

- A theory with a single Weyl Fermion coupled to massless vectors is inconsistent
- The inconsistency arises in the 1-loop 4-vector amplitudes
  - Constructed in a manifestly *unitary* way, these amplitudes cannot be also *local*
  - This is seen using *generalized unitarity*



How do we determine F?

Bern, Dunbar, Kosower 95' Forde 07'

Expand amplitude in basis of all possible loop integrals (like Passarino-Veltman)

$$\begin{array}{c}
\overset{4}{\phantom{0}} \stackrel{}{\phantom{0}} \stackrel{}{\phantom{0}} \stackrel{}{\phantom{0}} \stackrel{}{\phantom{0}} \stackrel{}{\phantom{0}} \stackrel{}{\phantom{0}} \stackrel{}{\phantom{0}} \stackrel{}{\phantom{0}} = A^{\mathrm{PT}} \left[ c_4 I_4 \left( s_{12}, s_{14} \right) + \left( c_3^{(12)} + c_3^{(34)} \right) I_3 \left( s_{12} \right) \\ & + \left( c_3^{(14)} + c_3^{(23)} \right) I_3 \left( s_{14} \right) + c_2^{(12)} I_2 \left( s_{12} \right) + c_2^{(14)} I_2 \left( s_{14} \right) + R(s_{12}, s_{13}, s_{14}) \right]
\end{array}$$

The  $I_i(s)$  are known master integrals with unique branch cuts. We can extract the coefficients  $c_i$  by performing unitarity cuts on both sides. R is a rational term, unfixed by unitarity alone.

Bern, Dunbar, Kosower 95'

Forde 07'

Let's extract  $c_4$  by performing three unitarity cuts on both sides of the expansion

On the RH side we have

$$c_4 I_4(s_{12}, s_{14}) = -ic_4 \int \frac{d^4 \ell}{(2\pi)^4} \frac{1}{\ell^2 (\ell - p_1)^2 (\ell - p_2)^2 (\ell + p_4)^2}$$

Cutting the integral means replacing:

$$\frac{i}{\left(\ell-x\right)^2} \to 2\pi\,\delta\left[\left(\ell-x\right)^2\right]$$

$$c_4\,I_4\,(s_{12},s_{14}) \to -c_4\,\int d^4\ell\,\delta\left[\ell^2\right]\,\delta\left[\left(\ell-p_1\right)^2\right]\,\delta\left[\left(\ell-p_2\right)^2\right]\delta\left[\left(\ell+p_4\right)^2\right]$$

Bern, Dunbar, Kosower 95' Forde 07'

Next, we eliminate the delta functions by choosing an appropriate parametrization for  $\ell$ 

$$\ell^{\mu}=trac{\langle1|ar{\sigma}^{\mu}|4]}{2}$$
 such that  $\ell^2=\left(\ell-p_1
ight)^2=\left(\ell+p_4
ight)^2=0$  ,

and solve for t so that 
$$\left(\ell-p_2
ight)^2=0$$
 :

$$\left| t = -\frac{\langle 4|2|1]}{s_{13}} \right| \longrightarrow c_4 I_4 \rightarrow -c_4 \int d^4 \ell \prod_i \delta[\ell_i] = -c_4$$

Bern, Dunbar, Kosower 95'

Forde 07'

On the RH side, we also cut 
$$\ \ell^2 = \left(\ell - p_1
ight)^2 = \left(\ell + p_4
ight)^2 = 0$$

By *cutting rules*, the amplitude has the form



where the  $A_i$  are tree level 3-pt amplitudes involving the external vector and the internal Weyl Fermion

Bern, Dunbar, Kosower 95' Forde 07'

In our case

$$A_1 A_2 A_3 A_4 = \frac{\left[\ell 1\right]^2}{\left[\ell \ell_1\right]} \times \frac{\left\langle \ell_1 2 \right\rangle^2}{\left\langle \ell_1 \ell_2 \right\rangle} \times \frac{\left[\ell_2 3\right]^2}{\left[\ell_2 \ell_3\right]} \times \frac{\left\langle \ell_3 4 \right\rangle^2}{\left\langle \ell_3 \ell \right\rangle}$$

$$\begin{aligned} |\ell\rangle &= t |1\rangle & |\ell| = |4| \\ |\ell_1\rangle &= t |1\rangle & |\ell_1| = -t^{-1} |1| + |4| \\ |\ell_2\rangle &= t |1\rangle + |4\rangle & |\ell_2| = \frac{s_{12}}{s_{14}} \left(t^{-1} |1| - |4|\right) \\ |\ell_3\rangle &= t |1\rangle + |4\rangle & |\ell_3| = |4| \end{aligned}$$



For 
$$t = -\frac{\langle 4|2|1]}{s_{13}}$$
 all satisfy  $\ell_i^2 = 0$ 

#### Bern, Dunbar, Kosower 95' Forde 07'

Similarly, we obtain all other coefficients in

$$\begin{array}{ccc}
\mathbf{4} & & & \\
\mathbf{1} & & & \\
\mathbf{3} & & \\
\mathbf{3} & & \\
\end{array} \underbrace{\mathbf{1}^{++}}_{\mathbf{2}^{--}} & & A^{\mathrm{PT}} \left[ c_4 I_4 \left( s_{12}, s_{14} \right) + \left( c_3^{(12)} + c_3^{(34)} \right) I_3 \left( s_{12} \right) \\
& & + \left( c_3^{(14)} + c_3^{(23)} \right) I_3 \left( s_{14} \right) + c_2^{(12)} I_2 \left( s_{12} \right) + c_2^{(14)} I_2 \left( s_{14} \right) + R(s_{12}, s_{13}, s_{14}) \right] \\
\end{array}$$

$$\begin{aligned} c_4^L &= \frac{s_{12}^2 s_{14}^4}{2s_{13}^4} \quad , \quad c_4^R &= \frac{s_{12}^4 s_{14}^2}{2s_{13}^4} \\ c_3^{L,(14)} &= c_3^{L,(23)} &= \frac{s_{12} s_{14}^4}{2s_{13}^4} \quad , \quad c_3^{R,(14)} &= c_3^{R,(23)} &= \frac{s_{12}^3 s_{14}^2}{2s_{13}^4} \\ c_3^{L,(34)} &= c_3^{L,(12)} &= \frac{s_{14}^3 s_{12}^2}{2s_{13}^4} \quad , \quad c_3^{R,(34)} &= c_3^{R,(12)} &= \frac{s_{14} s_{12}^4}{2s_{13}^4} \\ c_2^{(12)} &= \frac{s_{14} \left(2s_{14}^2 - 5s_{12}s_{14} - s_{12}^2\right)}{6s_{13}^3} \quad , \quad c_2^{R,(12)} &= \frac{s_{14} \left(2s_{14}^2 + 7s_{12}s_{14} + 11s_{12}^2\right)}{6s_{13}^3} \\ c_2^{(14)} &= \frac{s_{12} \left(2s_{12}^2 + 7s_{12}s_{14} + 11s_{14}^2\right)}{6s_{13}^3} \quad , \quad c_2^{R,(14)} &= \frac{s_{12} \left(2s_{12}^2 - 5s_{12}s_{14} - s_{14}^2\right)}{6s_{13}^3} \, . \, (C.41) \end{aligned}$$

Coefficients for LH/RH fermions running in the loop. Since the vectorlike contribution cannot be anomalous, we set

$$c_i = c_i^L - c_i^R$$

Bern, Dunbar, Kosower 95' Forde 07'

Similarly, we obtain all other coefficients in

$$\begin{array}{c}
\mathbf{4}^{--} \\
\mathbf{5}^{++} \\
\mathbf{3}^{++} \\
\mathbf{2}^{--}
\end{array} = \begin{array}{c}
A^{\mathrm{PT}} \left[ c_4 I_4 \left( s_{12}, s_{14} \right) + \left( c_3^{(12)} + c_3^{(34)} \right) I_3 \left( s_{12} \right) \\
+ \left( c_3^{(14)} + c_3^{(23)} \right) I_3 \left( s_{14} \right) + c_2^{(12)} I_2 \left( s_{12} \right) + c_2^{(14)} I_2 \left( s_{14} \right) + R(s_{12}, s_{13}, s_{14}) \right] \\
\end{array}$$

$$c_{4}^{L} = \frac{s_{12}^{2}s_{14}^{4}}{2s_{13}^{4}} , \quad c_{4}^{R} = \frac{s_{12}^{4}s_{14}^{2}}{2s_{13}^{4}}$$

$$c_{3}^{L,(14)} = c_{3}^{L,(23)} = \frac{s_{12}s_{14}^{4}}{2s_{13}^{4}} , \quad c_{3}^{R,(14)} = c_{3}^{R,(23)} = \frac{s_{12}^{3}s_{14}^{2}}{2s_{13}^{4}}$$

$$c_{3}^{L,(34)} = c_{3}^{L,(12)} = \frac{s_{14}^{3}s_{12}^{2}}{2s_{13}^{4}} , \quad c_{3}^{R,(34)} = c_{3}^{R,(12)} = \frac{s_{14}s_{12}^{4}}{2s_{13}^{4}}$$

$$c_{2}^{(12)} = \frac{s_{14}\left(2s_{14}^{2} - 5s_{12}s_{14} - s_{12}^{2}\right)}{6s_{13}^{3}} , \quad c_{2}^{R,(12)} = \frac{s_{14}\left(2s_{14}^{2} + 7s_{12}s_{14} + 11s_{12}^{2}\right)}{6s_{13}^{3}}$$

$$c_{2}^{(14)} = \frac{s_{12}\left(2s_{12}^{2} + 7s_{12}s_{14} + 11s_{14}^{2}\right)}{6s_{13}^{3}} , \quad c_{2}^{R,(14)} = \frac{s_{12}\left(2s_{12}^{2} - 5s_{12}s_{14} - s_{14}^{2}\right)}{6s_{13}^{3}} . (C.41)$$

What about the rational term? Can't determine from cuts!

Coefficients for LH/RH fermions running in the loop. Since the vectorlike contribution cannot be anomalous, we set

 $c_i = c_i^L - c_i^R$ 

# **Touching Base**

- We look for an inconsistency in the on shell construction of the 1-loop, massless 4-vector amplitude (on shell manifestation of a "gauge anomaly")
- Using generalized unitarity, we can nail down the functional form of



up to an unknown rational term.

- Further constraint: *locality* implies that the full 1-loop amplitude, including the rational term, factorizes correctly on all of its poles
- For our inconsistent theory, *no rational term* can lead to correct factorization

#### **Constraints on the Rational Term**

• First of all, our amplitude is *color ordered* so external legs cannot cross and we cannot have a pole in the u (or  $s_{13}$ ) channel. Taking the limit  $s_{13} \neq 0$ , we have

$$\lim_{s_{13}\to 0} A^{1-\text{loop}} = \lim_{s_{13}\to 0} A^{\text{PT}} \left[ \sum c_i I_i + R \right] = \lim_{s_{13}\to 0} A^{\text{PT}} \left[ -\frac{s_{12}}{s_{13}} + R \right]$$

Demanding a sign flip under the cyclic shift A(1<sup>++</sup>,2<sup>--</sup>,3<sup>++</sup>,4<sup>--</sup>) → A(4<sup>--</sup>,1<sup>++</sup>,2<sup>--</sup>,3<sup>++</sup>) we arrive at the only viable rational term:

$$R = \frac{s_{12} - s_{14}}{2s_{13}}$$

• But  $A^{\text{PT}} = \frac{[13]^2 \langle 24 \rangle^2}{s_{12}s_{14}}$ , and so the rational term modifies the residues of the full amplitude in the s<sub>12</sub> and s<sub>14</sub> channels.

#### **Constraints on the Rational Term**

• The extra  $s_{12}$  residue from the rational term is:

$$\operatorname{Res}_{s_{12}\to 0} A^{\mathrm{PT}}R = \frac{[13]^2 \langle 24 \rangle^2}{2s_{14}}$$

• By locality, this residue should be a product of two 3-pt amplitudes:



• However, there are no possible 3-pt amplitudes that can yield this residue

# The On Shell Inconsistency of a Massless Anomalous Gauge Theory

- The inconsistency of a massless gauge anomalous theory arises in the 1-loop, 4vector amplitude
- Constructed in a manifestly unitary way, there is no choice of rational term that could lead to consistent factorization on all channels
- From an on-shell perspective, the tension is between *unitarity* and *locality*
- This is different from our field theory intuition, where the gauge anomaly signals a disconnect between *unitarity* and *Lorentz invariance* (different gauges)

#### Chen, Huang and McGady 14'

## The On Shell Consistency of a Massive Anomalous Gauge Theory

- The demonstrate the consistency of the massive theory, we developed a formalism for generalized unitarity with *massive* external vectors. This is a fusion of the generalized unitarity formalism of Forde 07' and the massive amplitude formalism of Arkani-Hamed, Huang and Huang 17'.
- Massive particles correspond to **bolded** spinors  $|\mathbf{i}\rangle_{I}^{\dot{\alpha}}$ ,  $[\mathbf{i}|^{J\alpha}$ , transforming as  $\Box$  of their SU(2) little group, and defined so that

$$p_{i}^{\mu}\bar{\sigma}_{\mu}^{\dot{\alpha}\alpha}=\left|\mathbf{i}\right\rangle_{I}^{\dot{\alpha}}\left[\mathbf{i}\right]^{I\alpha}$$

This work, 19'

## A 1-Loop Massive Amplitude

In this work we perform the first generalized unitarity calculation in the massive

amplitude formalism. Expanding in the master integral basis, we have as usual

$$A^{1-\text{loop}}(\mathbf{1}, \mathbf{2}, \mathbf{3}, \mathbf{4}) = \sum_{i} c_{i} I_{i} (s_{12}, s_{14}) + R$$

Once again we calculate  $c_{4}$  by doing a quadruple cut

# **Example: Calculation of c**<sub>4</sub>

$$\begin{array}{l}
\mathbf{4} \qquad \mathbf{\ell} \qquad \mathbf{1} \\
\ell_{3} \qquad \mathbf{1} \qquad \mathbf{1} \\
\ell_{3} \qquad \mathbf{1} \qquad \mathbf{1} \qquad \mathbf{1} \\
\mathbf{1} \qquad \mathbf{1} \qquad \mathbf{1} \qquad \mathbf{1} \qquad \mathbf{1} \\
\mathbf{1} \qquad \mathbf{1} \qquad \mathbf{1} \\
\mathbf{1} \qquad \mathbf{1} \\
\mathbf{1} \\$$

And we just need to solve for 
$$\ \ell^2=\ell_1^2=\ell_2^2=\ell_3^2=0$$

# **Calculation of c**<sub>4</sub>

To solve the cut conditions, we need to express  $\ell$  in a basis of massless vectors constructed from external *massive* momenta. Following Forde 07' we define

$$\gamma = -p_1 \cdot p_4 - \sqrt{\Delta}, \quad \Delta = (p_1 \cdot p_4)^2 - m^4$$
$$p_1^{\flat,\mu} = \frac{\gamma}{\gamma^2 - m^4} \left(\gamma p_1^{\mu} + m^2 p_4^{\mu}\right) \qquad p_4^{\flat,\mu} = -\frac{\gamma}{\gamma^2 - m^4} \left(m^2 p_1^{\mu} + \gamma p_4^{\mu}\right)$$

with 
$$\left(p_1^\flat\right)^2 = \left(p_4^\flat\right)^2 = 0$$
 .

# **Calculation of c**<sub>4</sub>

#### Now we can compute $c_4$

$$c_4 = \frac{1}{2} \sum_{t_{\pm}} \frac{1}{m^4} \langle \mathbf{4} | \ell | \mathbf{1} ] \langle \mathbf{1} | \ell_1 | \mathbf{2} ] \langle \mathbf{2} | \ell_2 | \mathbf{3} ] \langle \mathbf{3} | \ell_3 | \mathbf{4} ]$$

By substituting  $\ell$  that satisfies  $\ell_i^2 = 0$ :

$$\begin{aligned} \langle \mathbf{a} | \, \ell \, | \mathbf{b} ] &= x \left\langle \mathbf{a} | p_1^{\flat} + p_4^{\flat} | \mathbf{b} \right] + \hat{t} \left\langle \mathbf{a} | 1^{\flat} 2 4^{\flat} | \mathbf{b} \right] + \frac{x^2}{A_t \hat{t}} \left\langle \mathbf{a} | 4^{\flat} 2 1^{\flat} | \mathbf{b} \right] \\ \langle \mathbf{1} | \ell_1 | \mathbf{2} ] &= \langle \mathbf{1} | \ell | \mathbf{2} ] - \langle \mathbf{1} | 1 | \mathbf{2} ] \\ \langle \mathbf{2} | \ell_2 | \mathbf{3} ] &= \langle \mathbf{2} | \ell | \mathbf{3} ] - \langle \mathbf{2} | 1 | \mathbf{3} ] - \langle \mathbf{2} | 2 | \mathbf{3} ] \\ \langle \mathbf{3} | \ell_3 | \mathbf{4} ] &= \langle \mathbf{3} | \ell | \mathbf{4} ] + \langle \mathbf{3} | 4 | \mathbf{4} ] \end{aligned} \qquad \qquad \hat{t}_{\pm} = \frac{1}{\gamma s_{13}} \left( p_1 \cdot p_4 \pm \sqrt{\Delta} \sqrt{1 - \frac{4m^4}{s_{12} s_{14}}} \right)$$

#### **Status: Generalized Unitarity for 4-Massive Vectors**

• We've calculated all of the coefficients

$$c_4, c_3^{(12)}, c_3^{(34)}, c_3^{(14)}, c_3^{(23)}, c_2^{(12)}, c_2^{(14)}$$

using our generalized unitarity formalism for massive external particles

- All of the coefficients match their correct massless limits
- We are still working on extracting the s<sub>13</sub> pole and finding the rational term which cancels it (lots of algebra!)

## **Expectations from Calculation**

- We know from the field theory side that the massive anomalous theory *is* consistent, so we <u>expect to find</u> a rational term that can factorize on all the poles
- It would be interesting to understand if there's a spurious s<sub>13</sub> pole that can be resolved by a rational term, without modifying all of the other residues, or if there's no s<sub>13</sub> pole to begin with.
- Next, we plan to study how the spurious poles emerge in the m → 0, or alternatively the high energy limit. This will provide us with a natural cutoff for the massive EFT, analogous to the one explored by Preskill
- Most of all, we want to gain *physical intuition* why massive theory is consistent, while the massless one isn't. Is there a way to know in advance that the massive theory resolved the tension between *unitarity* and *locality*, without all the gory detail?

# **Summary**

- We presented a counterintuitive field theory argument (due to Preskill) that massive anomalous gauge theories are equivalent to massive "anomaly canceled" theories
- We asked the gauge invariant question why massless theories are inconsistent while massive ones are
- We are close to a technical resolution of the question: the spurious poles arising in the 1-loop 4-vector amplitude should disappear in the massive theory. The tension between *Unitarity* and *locality* is resolved.
- We are still wondering about the gauge invariant physics that makes one theory consistent, while the other one isn't. Perhaps a close look at the poles will provide a more fundamental resolution of this issue.

# **Thank You!**

