# On the stringy E-functions of minimal models

### Victor Batyrev

#### Eberhard Karls Universität Tübingen

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KAVLI INSTITUTE FOR THE PHYSICS AND MATHEMATICS OF THE UNIVERSE

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### Defintion

Let X be a d-dimensional smooth projective variety over  $\mathbb{C}$ . The generating polynomial of the Hodge numbers  $h^{p,q}(X)$  of X

$$E(X; u, v) := \sum_{0 \le p, q \le d} (-1)^{p+q} h^{p,q}(X) u^p v^q$$

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we call *E*-polynomial (or Hodge polynomial) of *X*. Its value E(X; 1, 1) is the usual Euler number of *X*.

Using the mixed Hodge structure on the cohomology groups with compact supports  $H_c^k(X, \mathbb{C})$ , one can extend by additivity usual *E*-polynomials to Hodge-Deligne *E*-polynomials

$$E(X; u, v) := \sum_{p,q} e^{p,q}(X) u^p v^q,$$

where the coefficients

$$e^{p,q}(X) := \sum_{k\geq 0} (-1)^k h^{p,q}(H^k_c(X,\mathbb{C})).$$

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are called Hodge-Deligne numbers of X.

Stringy Hodge numbers of Gorenstein varieties: One needs *stringy Hodge numbers*  $h_{\text{str}}^{p,q}(X)$  of singular Gorenstein projective algebraic varieties X satisfying the equations

 $h^{p,q}_{\mathrm{str}}(X) = h^{p,q}(Y) \ \forall p,q,$ 

if X admits a crepant desingularization  $\rho$  :  $Y \rightarrow X$  ( $\rho^* K_X = K_Y$ ).

### Topological mirror symmetry test:

X is a *d*-dimensional Calabi-Yau variety with at worst canonical Gorenstein singularities. If  $X^*$  is a Calabi-Yau mirror of X, then

$$h^{p,q}_{\mathrm{str}}(X) = h^{d-p,q}_{\mathrm{str}}(X^*), \ \forall p,q.$$

Let X be a normal irreducible quasi-projective  $\mathbb{Q}$ -Gorenstein algebraic variety. Take a resolution of singularities of X

$$\rho : Y \to X$$

whose the exceptional locus  $\bigcup_{i=1}^{r} D_i$  is a union of smooth irreducible divisors with only normal crossings.  $I := \{1, \dots, r\}$  $K_Y = \rho^* K_X + \sum_i a_i D_i,$ 

$$\kappa_{Y} = \rho \kappa_{X} + \sum_{i \in I} a_{i} D_{i},$$

The rational numbers  $a_i \in \mathbb{Q}$   $(i \in I)$  are called *discrepancies* of divisors  $D_i$ .

We consider the following three classes of singularities of  $\mathbb{Q}$ -Gorenstein algebraic varieties X that appear in the minimal model program:

### Definition

Singularities of X are called at worst

- *terminal* if  $a_i > 0$ ,  $\forall i \in I$ ;
- canonical if  $a_i \ge 0$ ,  $\forall i \in I$ ;
- ▶ log-terminal if  $a_i > -1$ ,  $\forall i \in I$ .

All  $\mathbb{Q}$ -Gorenstein algebraic varieties X considered in this talk have at worst log-terminal singularities.

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# Stringy E-function I

### Definition (first version)

Let  $\rho$  :  $Y \to X$  be a resolution and  $K_Y = \rho^* K_X + \sum_{i \in I} a_i D_i$ . Assume that  $a_i > -1$  ( $\forall i \in I$ ). Define for any subset  $J \subseteq I$  :

$$D_{\emptyset} := Y, \quad D_J := \bigcap_{j \in J} D_j \quad (\emptyset \neq J \subseteq I).$$

The stringy *E*-function of X is the following rational function

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(a product over  $\emptyset$  is assumed to be 1)

# Theorem (B., 1998)

The stringy E-function of a projective variety X with at worst log-terminal singularities has the following properties:

► 
$$E_{\text{str}}(X; u, v) = E_{\text{str}}(X; v, u)$$
 (symmetry  $u \leftrightarrow v$ ).

- ► E<sub>str</sub>(X; u, v) = (uv)<sup>d</sup> E<sub>str</sub>(X; u<sup>-1</sup>, v<sup>-1</sup>) if X is projective and d = dim X (Poincaré duality).
- ►  $E_{\text{str}}(X; u, v)$  does not depend on the resolution. In particular,  $E_{\text{str}}(X; u, v) = E(X; u, v)$  if X is smooth.
- $E_{\text{str}}(X; u, v) = E_{\text{str}}(Y; u, v)$  if  $\rho : Y \to X$  is crepant, i.e.,  $\rho^* K_X = K_Y$ . In particular,  $E_{\text{str}}(X; u, v) = E(Y; u, v)$  if  $\rho : Y \to X$  is a crepant desingularization.
- $E_{str}(X; u, v) = E_{str}(X'; u, v)$  if X and X' are K-equivalent.

### Definition (second version)

Let  $\rho$  :  $Y \to X$  be a resolution and  $K_Y = \rho^* K_X + \sum_{i \in I} a_i D_i$ . Assume that  $a_i > -1$  ( $\forall i \in I$ ). Define for any subset  $J \subseteq I$  :

$$D_J^\circ := D_J \setminus \bigcup_{j \notin J} D_j.$$

The stringy *E*-function of X is the following rational function

$$\mathcal{E}_{\mathrm{str}}(X;u,v):=\sum_{\emptyset\subseteq J\subseteq I}\mathcal{E}(D_J^\circ;u,v)(uv-1)^{|J|}\prod_{j\in J}rac{1}{(uv)^{a_j+1}-1}$$

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# The combinatorial meaning of the product

$$\prod_{j\in J}\frac{1}{(uv)^{a_j+1}-1}$$

Using the equation

$$\frac{1}{t-1} = t^{-1} + t^{-2} + \dots = \sum_{l>0} t^{-l},$$

we obtain

$$\prod_{j\in J} \frac{1}{(uv)^{a_j+1}-1} = \sum_{n\in\mathbb{Z}_{>0}^{|J|}} (uv)^{-\alpha(n)},$$

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where  $\alpha(x)$  is the linear function  $\sum_{j \in J} (a_j + 1) x_j$ .

#### Definition

Let X be a *d*-dimensional irreducible projective variety with at worst Gorenstein canonical singularities. Assume that  $E_{\text{str}}(X; u, v)$  is a polynomial. Then the *stringy Hodge numbers*  $h_{\text{str}}^{p,q}(X)$  are defined by the equation:

$$E_{\mathrm{str}}(X; u, v) = \sum_{p,q} (-1)^{p+q} h_{\mathrm{str}}^{p,q}(X) u^p v^q.$$

It is more convenient to check the mirror symmetry  $h_{\text{str}}^{p,q}(X) = h_{\text{str}}^{d-p,q}(X^*), \ \forall p,q$ , using the equivalent single equation

$$E_{
m str}(X; u, v) = (-u)^d E_{
m str}(X^*; u^{-1}, v).$$

 $M \cong \mathbb{Z}^d$ ,  $N := \operatorname{Hom}(M, \mathbb{Z})$ ,  $\langle *, * \rangle : M \times N \to \mathbb{Z}$  pairing  $M_{\mathbb{R}} := M \otimes \mathbb{R}$ ,  $N_{\mathbb{R}} := N \otimes \mathbb{R}$  are vector spaces over  $\mathbb{R}$ .

## Definition (B. 1994)

A *d*-dimensional lattice polytope  $P \subset M_{\mathbb{R}}$  containing  $0 \in M$  in its interior is called *reflexive* if the *polar dual* polytope

$$P^* := \{y \in N_{\mathbb{R}} : \langle x, y \rangle \ge -1, \ \forall x \in P\}$$

is a lattice polytope.

#### If $P \subset M_{\mathbb{R}}$ is reflexive, then $P^* \subset N_{\mathbb{R}}$ is also reflexive and

$$(P^*)^* = P.$$

There exists a natural 1-to-1 correspondence between k-dimensional faces  $Q \prec P$  and (d - k - 1)-dimensional dual faces  $Q^* \prec P^*$ :

$$Q^* := \{y \in P^* : \langle x, y \rangle = -1 \ \forall x \in Q\}.$$

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Examples of the combinatorial polar duality:

$$\begin{aligned} P &:= \operatorname{Conv}(\pm e_1, \dots, \pm e_d) \in \mathbb{R}^d, \\ P^* &:= \{ (x_1, \dots, x_d) \in \mathbb{R}^d \ : \ |x_i| \leq 1 \ (1 \leq i \leq d) \}. \end{aligned}$$



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The combinatorial duality  $P \leftrightarrow P^*$  perfectly agrees with predictions of mirror symmetry for Calabi-Yau hypersurfaces in Gorenstein toric Fano varieties.

### Theorem (B., Borisov 1996)

Let  $X \subset V_P$  and  $X^* \subset V_{P^*}$  be general Calabi-Yau hypersurfaces in Gorenstein toric Fano varieties  $V_P$  and  $V_{P^*}$  corresponding to a pair of *d*-dimensional reflexive polytopes  $(P, P^*)$ . Then one has

$$E_{\rm str}(X; u, v) = (-u)^{d-1} E_{\rm str}(X^*; u^{-1}, v),$$

i.e.

$$h^{p,q}_{\mathrm{str}}(X) = h^{d-1-p,q}_{\mathrm{str}}(X^*) \quad \forall p,q.$$

# Idea of proof

- Consider general Calabi-Yau hypersurfaces X ⊂ V<sub>P</sub> in Gorenstein toric Fano varieties V<sub>P</sub> as projective compactifications of non-degenerate affine hypersurfaces Z ⊂ T<sub>d</sub> defined by a Laurent polynomial with a reflexive Newton polytope P.
- Apply the algorithm of Danilov and Khovanskii (1986) for computing the Hodge-Deligne polynomials E(Z<sub>Q</sub>; u, v) of affine hypersurfaces Z<sub>Q</sub> ⊂ T<sub>dim Q</sub> for all faces Q ≤ P.
- Derive the combinatorial formula for the stringy E-function

$$\mathsf{E}_{\mathrm{str}}(X; u, v) = \sum_{\substack{Q \leq P \\ k = \dim Q \geq 1}} \mathsf{E}(Z_Q; u, v)(uv - 1)^{d-k} \sum_{n \in \sigma_Q^\circ} (uv)^{\langle Q, n \rangle}$$

• Use the duality  $Q \leftrightarrow Q^*$  and the equality  $\sigma_Q = \mathbb{R}_{\geq 0}Q^*$  for  $(d - \dim Q)$ -dimensional cones  $\sigma_Q$  in the normal fan  $\Sigma_P$  of P.

### Definition

A Laurent polynomial

$$f(\mathbf{t}) = \sum_{m \in A} a_m \mathbf{t}^m \in \mathbb{C}[M] \cong \mathbb{C}[t_1^{\pm 1}, \dots, t_d^{\pm 1}]$$

with Newton polytope  $P = \operatorname{conv}(A) \subset M_{\mathbb{R}} := M \otimes \mathbb{R}$  is called *non-degenerate* if for any *k*-dimensional face  $Q \leq P$  the affine hypersurface

$$Z_Q := \{\sum_{m \in A \cap Q} a_m \mathbf{t}^m = 0\} \subset \mathbb{T}_k.$$

is either empty, or reduced and smooth. The non-degeneracy of  $f(\mathbf{t})$  is a Zariski open condition on its coefficients  $\{a_m\} \in \mathbb{C}^{|A \cap M|}$ .

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### Definition

A normal projective variety X with at worst  $\mathbb{Q}$ -factorial terminal singularities is called *minimal model* if the canonical class  $K_X$  is a semi-ample  $\mathbb{Q}$ -Cartier divisor.

### Example

A normal projective variety X with at worst  $\mathbb{Q}$ -factorial terminal singularities and trivial canonical class (Calabi-Yau variety) is always a minimal model.

### Remark

If X and X' are two birational minimal models, then

$$E_{\rm str}(X; u, v) = E_{\rm str}(X'; u, v).$$

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Let  $P \subset M_{\mathbb{R}}$  be any *d*-dimensional reflexive polytope,  $\Sigma_P$  the normal fan of P in  $N_{\mathbb{R}}$ . Consider a maximal simplicial refinement  $\widehat{\Sigma}$  of  $\Sigma_P$  coming from triangulations of facets of the dual reflexive polytope  $P^* \subset N_{\mathbb{R}}$  such that  $\widehat{\Sigma}[1] = P^* \cap (N \setminus \{0\})$ . Then  $\widehat{\Sigma}$  defines a simplicial projective toric variety with at worst terminal singularities and crepant morphisms

$$ho : \widehat{V} o V_P, \ \widehat{X} := 
ho^{-1}(X) o X \subset V_P,$$

such that  $\hat{X} \subset \hat{V}$  is a minimal Calabi-Yau model of a Gorenstein Calabi-Yau hypersurface  $X \subset V_P$ .

We want to extend the combinatorial computing of the stringy *E*-function to arbitrary minimal models  $\widehat{Z}$  of non-degenerate hypersurfaces  $Z \subset \mathbb{T}_d$  defined by Laurent polynomials f with a given Newton polytope P.

### Questions

- For which *d*-dimensional lattice polytopes *P* a minimal model  $\hat{Z}$  does exist?
- For which P is the minimal model  $\hat{Z}$  a Calabi-Yau variety?
- How to construct a minimal model  $\hat{Z}$  through the Newton polytope *P*?
- How to compute the stringy *E*-function of the minimal model  $\hat{Z}$  through the Newton polytope *P*?

# Fine interior F(P) of a lattice polytope P

 $A \subset M$  a finite subset,  $P := \operatorname{conv}(A) \subset M_{\mathbb{R}}$  a full-dimensional lattice polytope. Consider the piecewise linear function  $\operatorname{ord}_{P} : N_{\mathbb{R}} \to \mathbb{R}$ :

$$\operatorname{ord}_{P}(y) = \min_{x \in P} \langle x, y \rangle, \ y \in N_{\mathbb{R}}.$$

Then  $P = \{ x \in M_{\mathbb{R}} : \langle x, \nu \rangle \ge \operatorname{ord}_{P}(\nu) \quad \forall \nu \in N \setminus \{0\} \}.$ 

Definition

 $F(P) := \{ x \in M_{\mathbb{R}} : \langle x, \nu \rangle \ge \operatorname{ord}_{P}(\nu) + 1 \quad \forall \nu \in N \setminus \{0\} \}$ is called Fine interior of *P*.

 Jonathan Fine, Resolution and completion of algebraic varieties, Ph.D.-Thesis, University of Warwick, June 1983. (in [Ph.D.-Thesis, §4] F(P) is called heart of P. )

# Example: $F(P) = \operatorname{Conv}(P^{\circ} \cap M)$ if dim P = 2



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### Some results in

Shihoko Ishii, The minimal model theorem for divisors of toric varieties, Tohoku Math. J. (1999), 213-226.

can be reformulated in the following way:

# Theorem (Ishii, 1999)

A non-degenerate affine hypersurface  $Z \subset \mathbb{T}_d$  defined by a Laurent polynomial  $f \in \mathbb{C}[M]$  with Newton polytope P is birational to a minimal model  $\hat{Z}$  if and only if the Fine interior of P is not empty. Moreover, a minimal model  $\hat{Z}$  (if exists) can be obtained as Zariski closure of Z in some torus embedding  $\mathbb{T}_d \hookrightarrow \hat{V}$ , in some simplicial projective toric variety  $\hat{V}$  with at worst terminal singularities.

### Definition

The support of Fine interior F(P) is the finite set

$$S_F(P) := \{ \nu \in \mathbb{N} : \operatorname{ord}_{F(P)}(\nu) = \operatorname{ord}_P(\nu) + 1 \}.$$

This is the set of *essential valuations*  $\nu \in N$  that contribute to F(P)

- Find the set S<sub>F</sub>(P) as the set of lattice generators of 1-dimensional cones in the fan Σ.
- Construct the simplicial fan ∑ with ∑[1] = S<sub>F</sub>(P) as a normal fan of some full-dimensional simple polytope □(ε) with given facet normals S<sub>F</sub>(P) using "puffing up" of the rational polytope F(P).

# Calabi-Yau minimal models if P is reflexive (B.1994)

#### Remark

Let P be a reflexive polytope. Then

## The algorithm

- Take the Zariski closure Z̃ of Z in the Gorenstein toric Fano variety Ṽ defined by the normal fan of P. We call (Calabi-Yau) Z̃ canonical model of Z;
- Take a maximal projective simplicial subdivision  $\hat{\Sigma}$  of  $\Sigma_P$  with  $\hat{\Sigma}[1] = S_F(P)$ . The Zariski closure  $\hat{Z}$  of Z in the toric variety  $\hat{V}$  is a (Calabi-Yau) *minimal model of* Z.

# Example: dim = 2



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#### Definition

Let *P* be a lattice polytope with  $F(P) \neq \emptyset$ . We define *canonical* hull C(P) of *P* as

$$C(P) := \{ x \in M_{\mathbb{R}} : \langle x, \nu \rangle \geq \operatorname{ord}_{P}(\nu) \ \forall \nu \in S_{F}(P) \}.$$

#### Remarks

- ▶ The canonical hull *C*(*P*) is a rational polytope containing *P*.
- For any 2-dimensional lattice polytope one has P = C(P).
- A d-dimensional lattice polytope P is reflexive if and only if
  F(P) = 0 and P = C(P).
- ln general, C(P) is larger than P.

# Example (B. 2017)

Let P be the d-dimensional lattice simplex with vertices

 $e_1, \ldots, e_d, e_0 = -e_1 - \cdots - e_{d-1} - 2e_d$ , where d = 2k + 1 is an odd integer  $\geq 3$ . Then  $F(P) = \{0\}$ , but P is not reflexive. It follows from [B., arXiv:2006.15825] that the stringy *E*-function of a Calabi-Yau compactification  $X = \hat{Z}$  of the non-degenerate affine hypersurface

$$Z : t_1 + \dots + t_{2k+1} + \frac{1}{t_1 \cdots t_{2k} t_{2k+1}^2} = 0$$

is a polynomial and X admits a Calabi-Yau mirror  $X^* \subset \mathbb{P}(1^d, 2)$  satisfying the topological mirror symmetry test.

# Theorem (B. 2017)

Let  $Z \subset \mathbb{T}_d$  be a non-degenerate affine hypersurface with Newton polytope P. Then

- Z is birational to a Calabi-Yau minimal models if and only if F(P) is a single lattice point (in the latter we can assume that F(P) = {0}).
- If F(P) = {0}, then the Zariski closure Z̃ of Z in the Q-Gorenstein canonical toric Fano variety Ṽ corresponding to the normal fan of C(P) is a projective Calabi-Yau variety with at worst canonical singularities.
- A minimal model  $\widehat{Z}$  of Z is the Zariski closure in a toric variety  $\widehat{V}$  corresponding to a simplicial fan  $\widehat{\Sigma}$  with  $\widehat{\Sigma}[1] = S_F(P)$  which is a maximal projective crepant partial resolution of  $\widetilde{V}$ .

# Theorem (B. 2020)

Let  $P \subset M_{\mathbb{R}}$  be a *d*-dimensional lattice polytope with  $F(P) \neq \emptyset$ . Consider the *d*-dimensional rational polytope  $\tilde{P} := C(P) + F(P)$ . Then the following statements hold:

- All primitive lattice vectors generating 1-dimensional cones of the normal fan Σ̃ of the rational polytope P̃ are contained in S<sub>F</sub>(P) (i.e. Σ̃[1] ⊆ S<sub>F</sub>(P)).
- The fan  $\widetilde{\Sigma}$  defines a Q-Gorenstein toric variety  $\widetilde{V}$  with at worst canonical singularities.
- The Zariski closure Z̃ of Z in the toric variety Ṽ is a projective Q-Gorenstein hypersurface with at worst canonical singularities.

We call  $\widetilde{Z}$  the *canonical model* of non-degenerate affine hypersurface  $Z \subset \mathbb{T}_d$ .

# Theorem (B. 2020)

Let  $P \subset M_{\mathbb{R}}$  be a *d*-dimensional lattice polytope with  $F(P) \neq \emptyset$ . Consider the normal fan  $\widetilde{\Sigma}$  of the rational polytope  $\widetilde{P} := C(P) + F(P)$ . Then the following statements hold:

- Any convex maximal simplicial subdivision  $\widehat{\Sigma}$  of  $\widetilde{\Sigma}$  with  $\widehat{\Sigma}[1] = S_F(P)$  defines a crepant morphism  $\widehat{V} \to \widetilde{V}$  of the corresponding toric varieties.
- The corresponding simplicial toric variety  $\hat{V}$  has at worst terminal singularities.
- The Zariski closure Z of a non-degenerate affine hypersurface Z in V is a minimal model of Z.

# Example: dim P = 2



The fan  $\widetilde{\Sigma}$  is the coarsest common refinement of the normal fans  $\Sigma_P$  and  $\Sigma_{F(P)}$ . One has  $\widetilde{P} = P + F(P) = F(2P)$ .

# Example: dim P = 3



The 3-dimensional lattice simplex P has 1-dimensional Fine interior

$$F(P) = \operatorname{Conv}\left(\left(\frac{4}{3}, 1, 1\right), \left(\frac{5}{3}, 1, 1\right)\right).$$

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- There exist a unique(!) canonical model Z̃ of any non-degenerate toric affine hypersurface Z with the Newton polytope P if F(P) ≠ Ø.
- The Kodaira dimension of  $\widehat{Z}$  equals  $\kappa = \min\{d 1, \dim F(P)\}$ .

- ▶ The *litaka fibration* of  $\tilde{Z} \to V_{F(P)}$  is induced by the natural toric morphism  $\tilde{V} \to V_{F(P)}$  (canonical toric Fano fibration).
- ► Generic *fibers of the litaka fibrations* are (d − 1 − κ)-dimensional canonical non-degenerate toric hypersurfaces of Kodaira dimension 0.

# Theorem (B., 2020)

Let  $Z \subset \mathbb{T}_d$  be a non-degenerate affine hypersurface with the Newton polytope P and  $F(P) \neq \emptyset$ . Then the stringy *E*-function of its minimal model  $\hat{Z}$  equals

$$E_{\mathrm{str}}(\widehat{Z}; u, v) = \sum_{\substack{Q \leq P \\ k = \dim Q \geq 1}} E(Z_Q; u, v) \sum_{\nu \in \sigma_Q^\circ \cap N} (uv - 1)^{d-k} (uv)^{-\alpha(\nu)}.$$

where  $\alpha(\nu) := \operatorname{ord}_{F(P)}(\nu) - \operatorname{ord}_{P}(\nu)$ ,  $E(Z_Q; u, v) \in \mathbb{Z}[u, v]$  is the Hodge-Deligne polynomial of the non-degenerate (k-1)-dimensional affine toric hypersurface  $Z_Q \subset \mathbb{T}_k$ ,  $\sigma_Q^\circ$  is the interior of the (d-k)-dimensional dual cone  $\sigma_Q \in \Sigma_P$ .

## Corollary (B., 2017)

Let  $Z \subset \mathbb{T}_d$  be a non-degenerate affine hypersurface with the Newton polytope P and  $F(P) = \{0\}$ . Then the stringy *E*-function of its Calabi-Yau minimal model  $\hat{Z}$  equals

$$E_{\mathrm{str}}(\widehat{Z}; u, v) = \sum_{\substack{Q \leq P \\ k = \dim Q \geq 1}} E(Z_Q; u, v)(uv - 1)^{d-k} \sum_{\nu \in \sigma_Q^\circ \cap N} (uv)^{\mathrm{ord}_P(\nu)}.$$

The last formula is the best tool for testing Mirror Symmetry for non-degenerate Calabi-Yau hypersurfaces in toric varieties.

# Thank you !

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