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# Rare decays (excluding $b \rightarrow sl^+l^-$ )

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on behalf of the LHCb collaboration



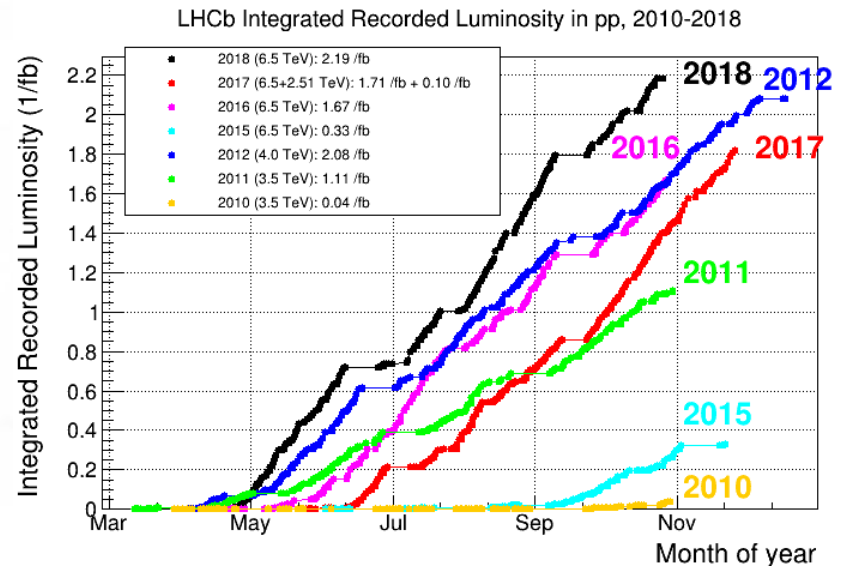
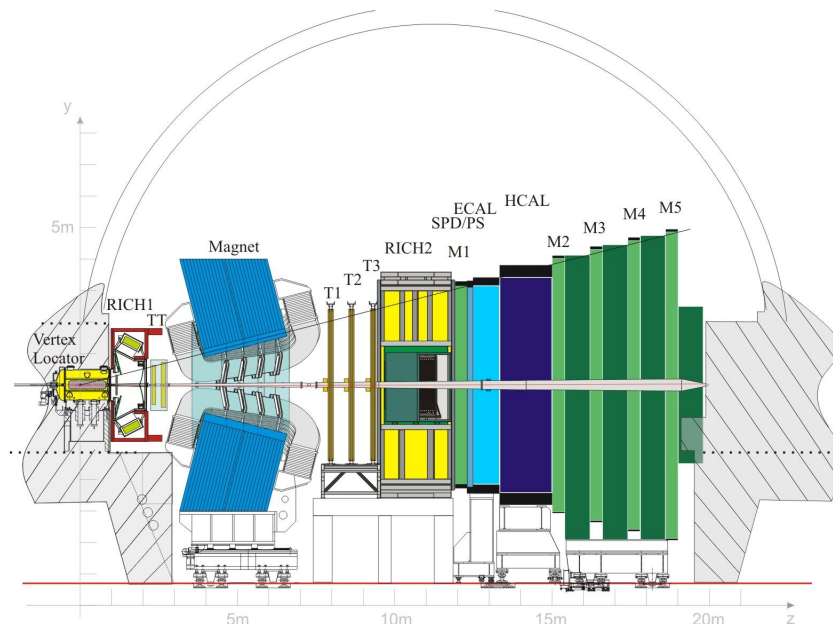
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# LHCb experiment

- Single-arm forward spectrometer at the LHC designed for the study of heavy flavour physics
- Pseudorapidity coverage  $2 < \eta < 5$  and collisions occur at reduced instantaneous luminosity compared to ATLAS/CMS
- Boosted heavy flavour hadrons travel  $\sim$  cm at small angles relative to beam axis, leading to decay vertices displaced from production vertices – experiment exploits this topology

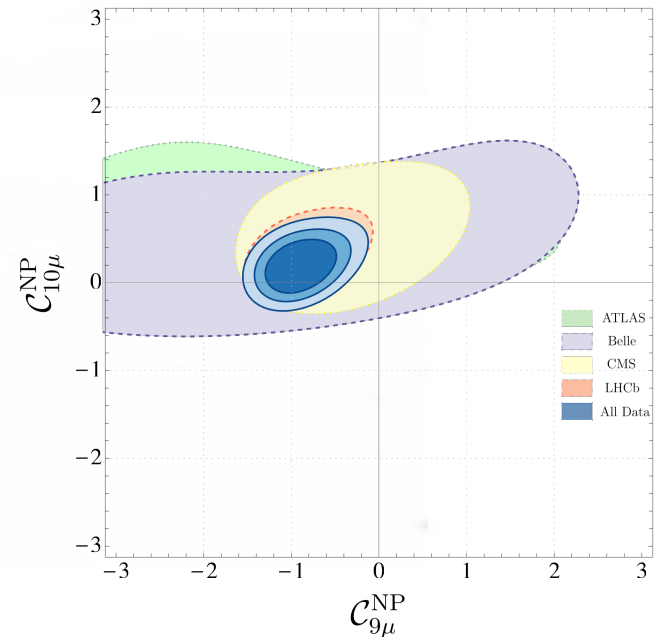
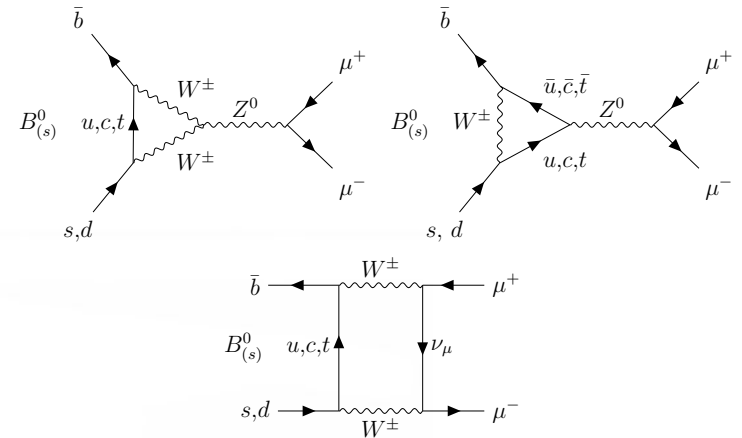


$\sim 10\text{fb}^{-1}$  delivered,  $\sim 9\text{fb}^{-1}$  recorded

# Why rare decays?

- Flavour changing neutral currents forbidden at tree level in SM – rare decays often Cabibbo/helicity suppressed
- Off shell NP contributions can significantly alter rates and angular observables compared to SM predictions
- Search for decays forbidden in the SM - allowed in some NP models
- Effective field theory formalism used to parametrise short distance effects - combine measurements and perform global fits to observables (tension in several  $b \rightarrow sl^+l^-$  measurements – see talk by Da Yu Tou)

$$\mathcal{H}_{\text{eff}} = -\frac{4G_F}{\sqrt{2}} V_{tb} V_{ts}^* \frac{e^2}{16\pi} \sum_i C_i \mathcal{O}_i$$



[[Eur. Phys. J. C, 80 6 \(2020\) 511](#)]

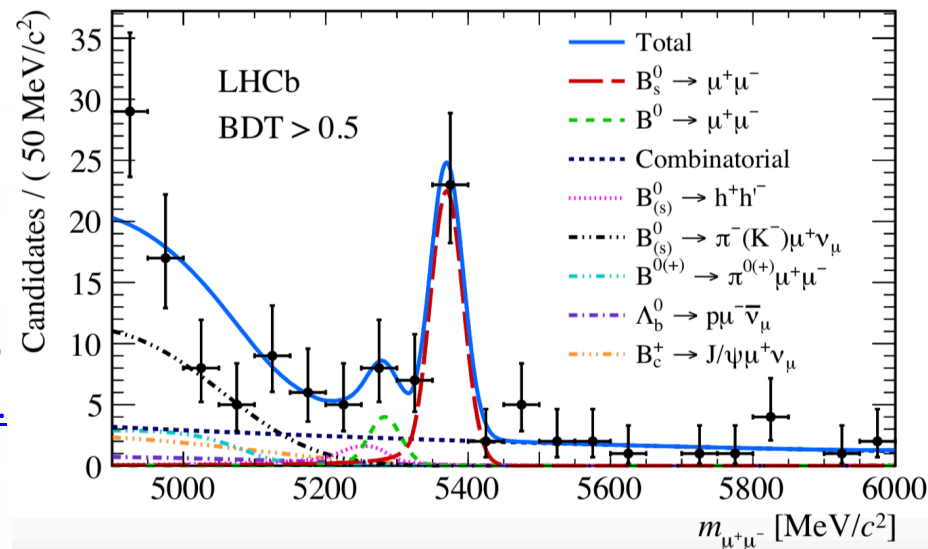
# $B_{(s)}^0 \rightarrow \mu^+ \mu^-$ combination (ATLAS + CMS + LHCb)

- $B_{(s)}^0 \rightarrow \mu^+ \mu^-$  decays highly suppressed in the SM (loop + helicity) – excellent NP probe
- Measured observables:
  - Branching fractions of  $B_{(s)}^0 \rightarrow \mu^+ \mu^-$  decays
  - Ratio of branching fractions ( $\mathcal{R}$ )
  - Effective lifetime of  $B_s^0 \rightarrow \mu^+ \mu^-$  decays ( $\tau_{\mu\mu}$ )
- ATLAS [[JHEP 04 \(2019\) 098](#)] (excluding  $\tau_{\mu\mu}$ ), CMS [[JHEP 04 \(2020\) 188](#)] and LHCb [[Phys. Rev. Lett. 118, 191801 \(2017\)](#)] all published results with  $B_{(s)}^0 \rightarrow \mu^+ \mu^-$  decays – measurements combined using (profile) likelihoods

Normalisation channels:

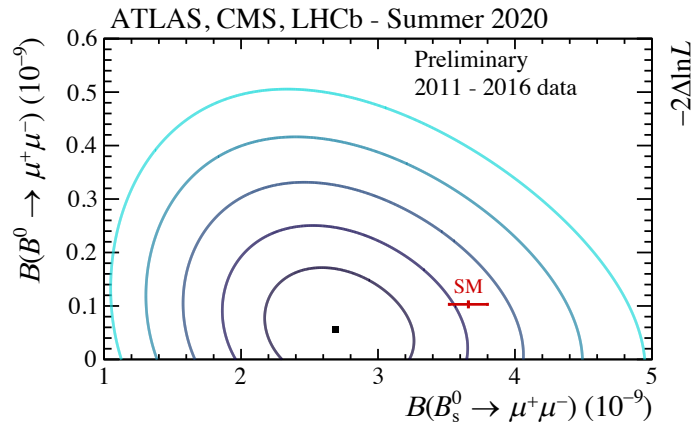
$$B^+ \rightarrow J/\psi(\rightarrow \mu^+ \mu^+) K^+$$

$$\text{and } B^0 \rightarrow K^+ \pi^- \text{ (LHCb only)}$$



Experiment	Data-taking years
	(CoM energy (TeV), Int luminosity ( $\text{fb}^{-1}$ ))
ATLAS	11(7, 4.9), 12(8, 20), 15-16(13, 26.3)
CMS	11(7, 5), 12(8, 20), 16(13, 36)
LHCb	11(7, 1), 12(8, 2), 15-16(13, 1.4)

# $B_{(s)}^0 \rightarrow \mu^+ \mu^-$ combination (ATLAS + CMS + LHCb)

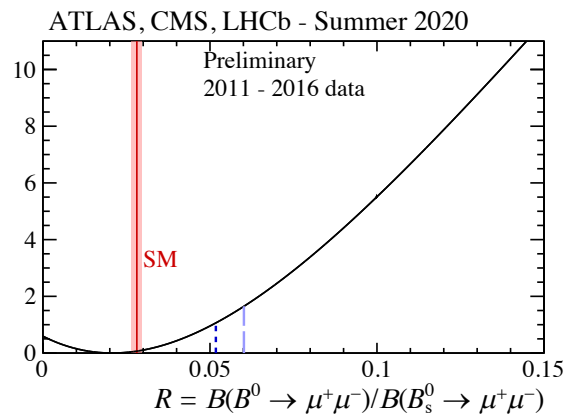


$$\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^+) = (2.69_{-0.35}^{+0.37}) \times 10^{-9}$$

$$\mathcal{B}(B^0 \rightarrow \mu^+ \mu^+) < 1.6 \text{ (1.9)} \times 10^{-10}$$

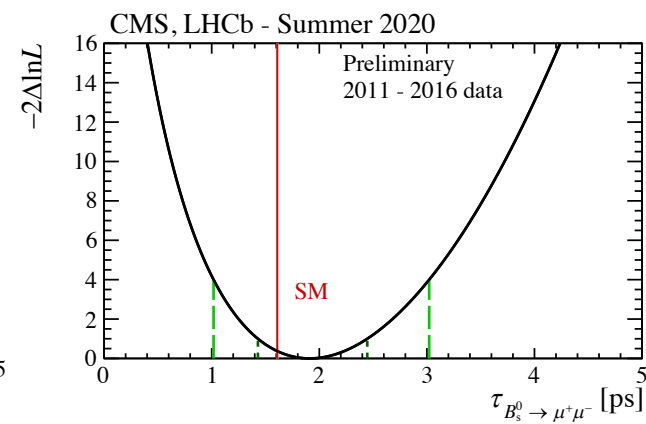
at 90% (95%) CL

- $B_s^0 \rightarrow \mu^+ \mu^-$  BF result is compatible at  $2.4\sigma$  with SM
- $B^0 \rightarrow \mu^+ \mu^-$  BF limit is compatible at  $0.64\sigma$  with SM
- 2-D compatibility at  $2.1\sigma$  with SM



$$\mathcal{R} < 0.052 \text{ (0.060)}$$

at 90% (95%) CL



$$\tau_{\mu\mu} = 1.91_{-0.35}^{+0.37} \text{ ps}$$

$$\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-)_{SM} = (3.66 \pm 0.14) \times 10^{-9}$$

$$\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-)_{SM} = (1.03 \pm 0.05) \times 10^{-10}$$

$$(\tau_{\mu\mu})_{SM} = 1.609 \pm 0.010 \text{ ps}$$

[JHEP 10 (2019) 232]

■ Most precise measurement to date!

# Search for the rare decays $B_s^0 \rightarrow e^+ e^-$ and $B^0 \rightarrow e^+ e^-$

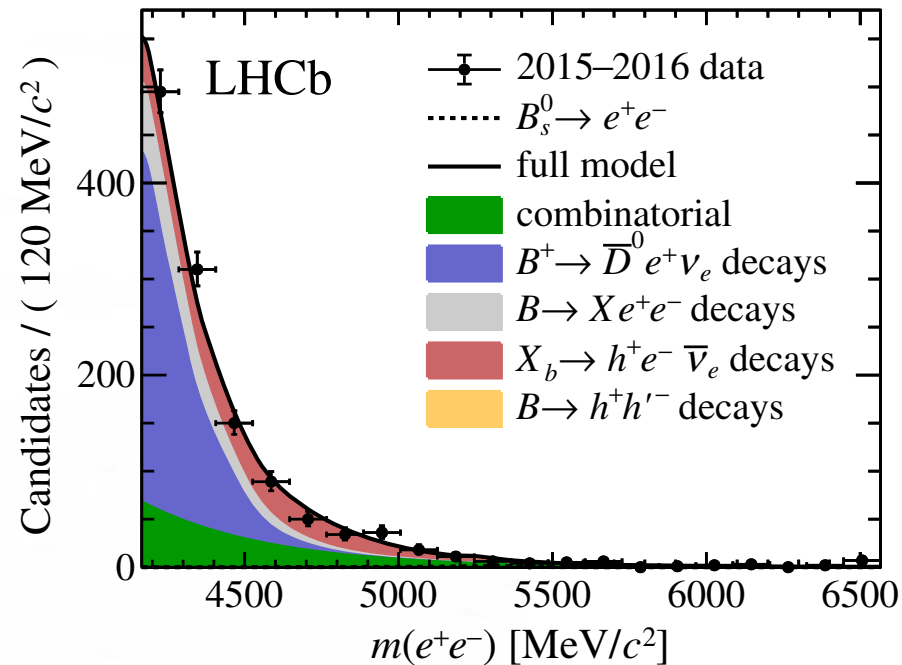
- Helicity suppressed by  $\mathcal{O}(10^{-4})$  relative to  $B_{(s)}^0 \rightarrow \mu^+ \mu^-$  - NP effects could increase BF's by  $\mathcal{O}(10^6)$  - SM null test
- Simultaneous fit to data split by run and bremsstrahlung category
- Selection BDT incorporates MVA-based isolation tools developed for  $B_{(s)}^0 \rightarrow \mu^+ \mu^-$  analysis to improve performance
- Yield of physical background (partially reconstructed and misidentified) components constrained in the fit to expected values

Data-taking years

11, 12, 15 - 16

Normalisation channel :

$B^+ \rightarrow J/\psi(\rightarrow e^+ e^-) K^+$



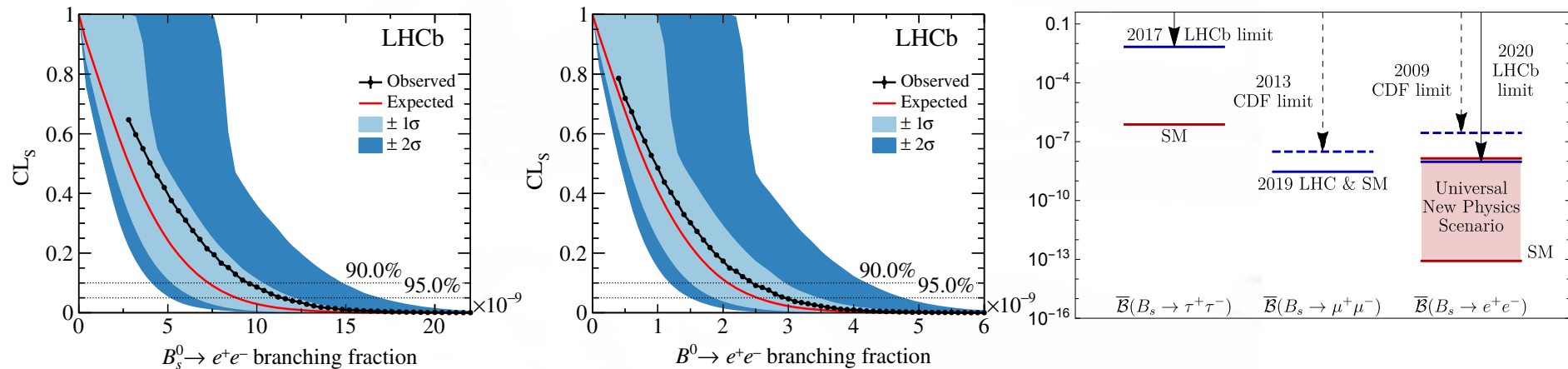
$$\mathcal{B}(B_s^0 \rightarrow e^+ e^-)_{SM} = (8.35 \pm 0.39) \times 10^{-14}$$

$$\mathcal{B}(B^0 \rightarrow e^+ e^-)_{SM} = (2.39 \pm 0.14) \times 10^{-15}$$

[JHEP 05 (2017) 156]

# Search for the rare decays $B_s^0 \rightarrow e^+ e^-$ and $B^0 \rightarrow e^+ e^-$

- No excess of events are observed over the background – limits set (while neglecting the contribution from the other decay) using the  $CL_s$  method



$$\mathcal{B}(B_s^0 \rightarrow e^+ e^-) < 9.4 \text{ (11.2)} \times 10^{-9}$$

$$\mathcal{B}(B^0 \rightarrow e^+ e^-) < 2.5 \text{ (3.0)} \times 10^{-9}$$

at 90% (95%) CL

Previous results from the CDF collaboration

$$\mathcal{B}(B_s^0 \rightarrow e^+ e^-)_{\text{CDF}} < 2.8 \times 10^{-7}$$

$$\mathcal{B}(B^0 \rightarrow e^+ e^-)_{\text{CDF}} < 8.3 \times 10^{-8}$$

at 90% CL [[Phys. Rev. Lett. 102 \(2009\) 201801](#)]

- World leading limit!



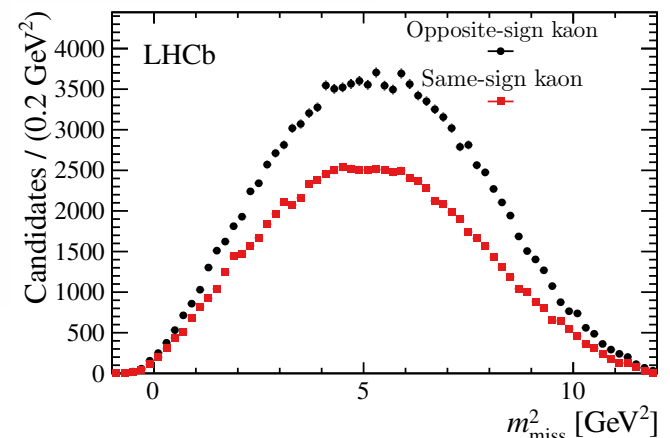
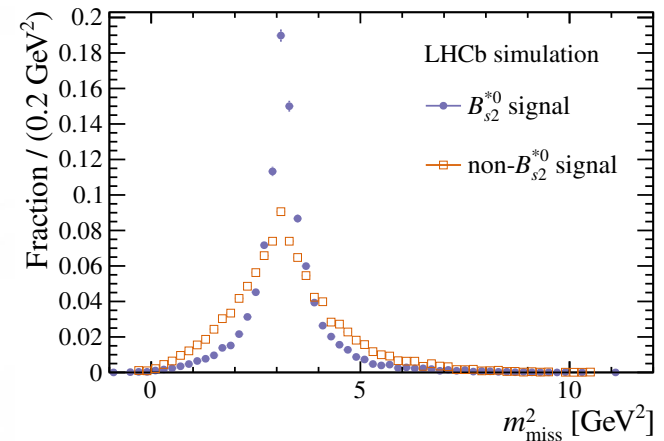
# Search for the lepton flavour violating decay $B^+ \rightarrow K^+ \mu^- \tau^+$ using $B_{s2}^{*0}$ decays

- Decay is forbidden in the SM but predicted in several LFV models
  - $PS^3$  model predicts  $\mathcal{B}(B^+ \rightarrow K^+ \mu^- \tau^+) \sim 10^{-5}$   
[JHEP 10 (2018) 148]
- $\tau$  four-momentum fully reconstructed using  $B_{s2}^{*0} \rightarrow B^+ K^-$  decays ( $\sim 1\%$  of  $B^+$  production)
  - Kinematic constraints used to reconstruct missing mass  $m_\tau$  - search for peak in  $m_\tau^2$  distribution
- Select  $\tau$  inclusively offline by requiring additional charged track near  $K^+ \mu^-$  pair

Previous result from the BaBar collaboration  
 $\mathcal{B}(B^+ \rightarrow K^+ \mu^- \tau^+)_{\text{BaBar}} < 2.8 \times 10^{-5}$   
 at 90% CL [Phys. Rev. D 86, 012004 (2012)]

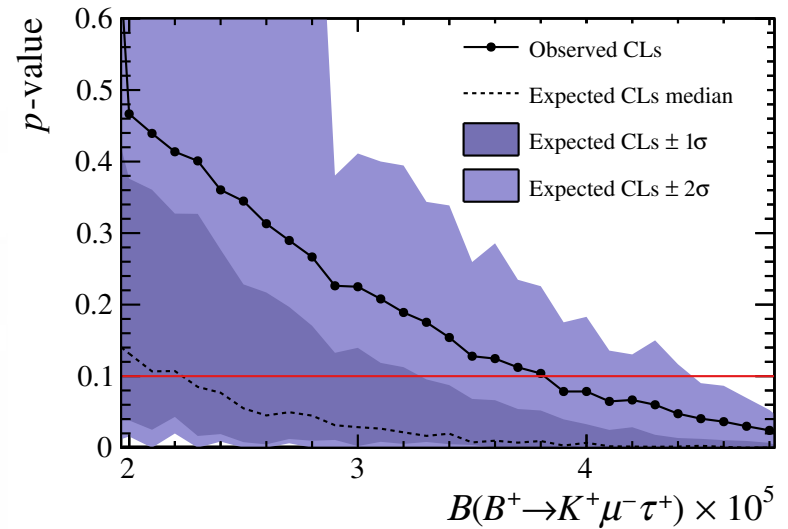
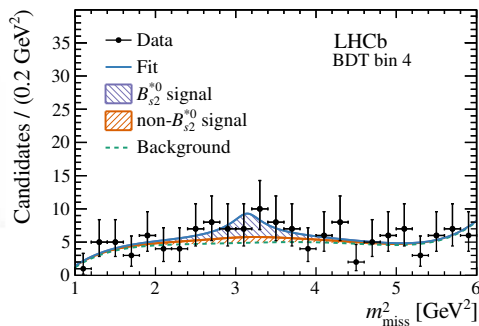
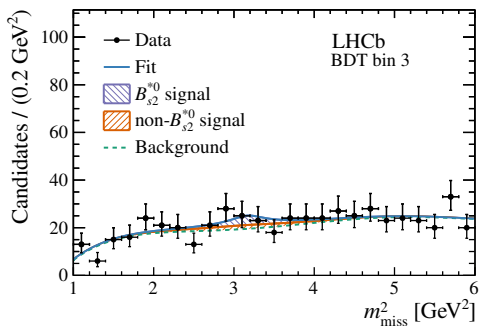
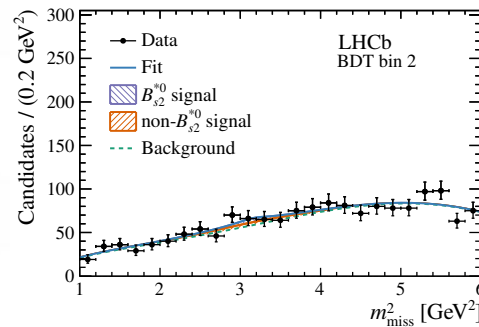
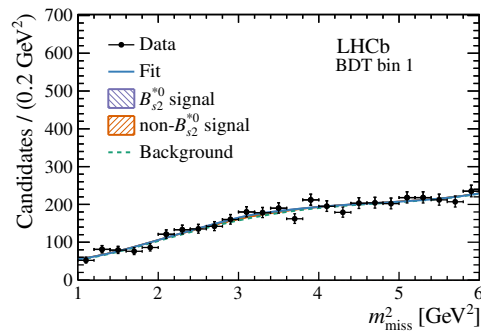
Data-taking years
11, 12, 15, 16, 17, 18

Normalisation channel :  
 $B^+ \rightarrow J/\psi(\rightarrow \mu^+ \mu^-) K^+$



# Search for the lepton flavour violating decay $B^+ \rightarrow K^+ \mu^- \tau^+$ using $B_{s2}^{*0}$ decays

- Simultaneous fit to data in four bins of BDT output - polynomial background parameterisation determined from same-sign kaon sample
- No excess of events observed over the background – limits set using the  $CL_s$  method



$\mathcal{B}(B^+ \rightarrow K^+ \mu^- \tau^+) < 3.9 (4.5) \times 10^{-5}$   
at 90% (95%) CL

# Measurement of CP-violating and mixing-induced observables in $B_s^0 \rightarrow \phi\gamma$ decays

- In SM (loop)  $b \rightarrow s \gamma$  decays, photon mainly produced with LH helicity due to parity violation – small RH component
  - Several NP models predict an enhanced RH component – effects particular observables
- Measure time-dependent  $B_s^0 \rightarrow \phi(\rightarrow K^+K^-)\gamma$  decay rate to extract mixing induced/CP-violating coefficients  $\{\mathcal{A}^\Delta, S, C\}$
- Flavour-tagging algorithm used ( $\epsilon_{eff} \sim 5\%$ )

$$\mathcal{P}(t) \propto e^{-\Gamma_s t} \left\{ \cosh(\Delta\Gamma_s t/2) - \mathcal{A}^\Delta \sinh(\Delta\Gamma_s t/2) + \zeta C \cos(\Delta m_s t) - \zeta S \sin(\Delta m_s t) \right\},$$

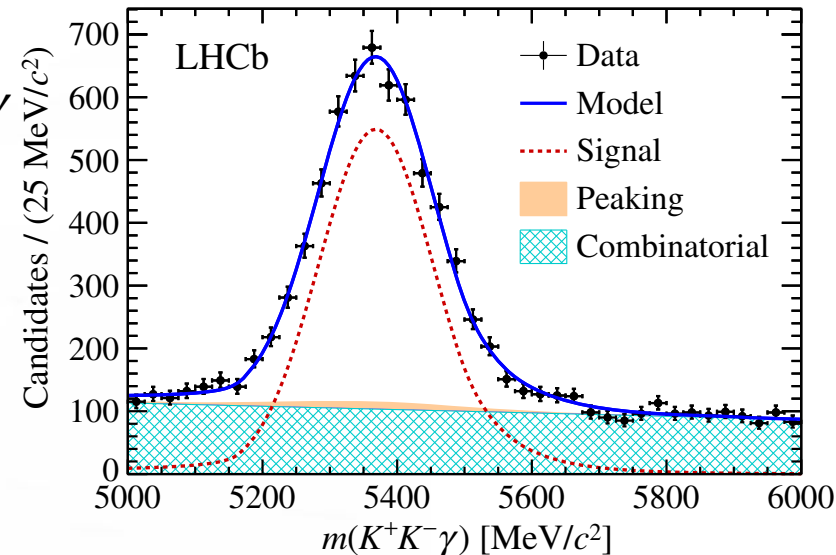
Dependent on  $B_s^0$  or  $\bar{B}_s^0$

Data-taking years
11, 12

Calibration channel:

$$B^0 \rightarrow K^*(\rightarrow K^+\pi^-)\gamma$$

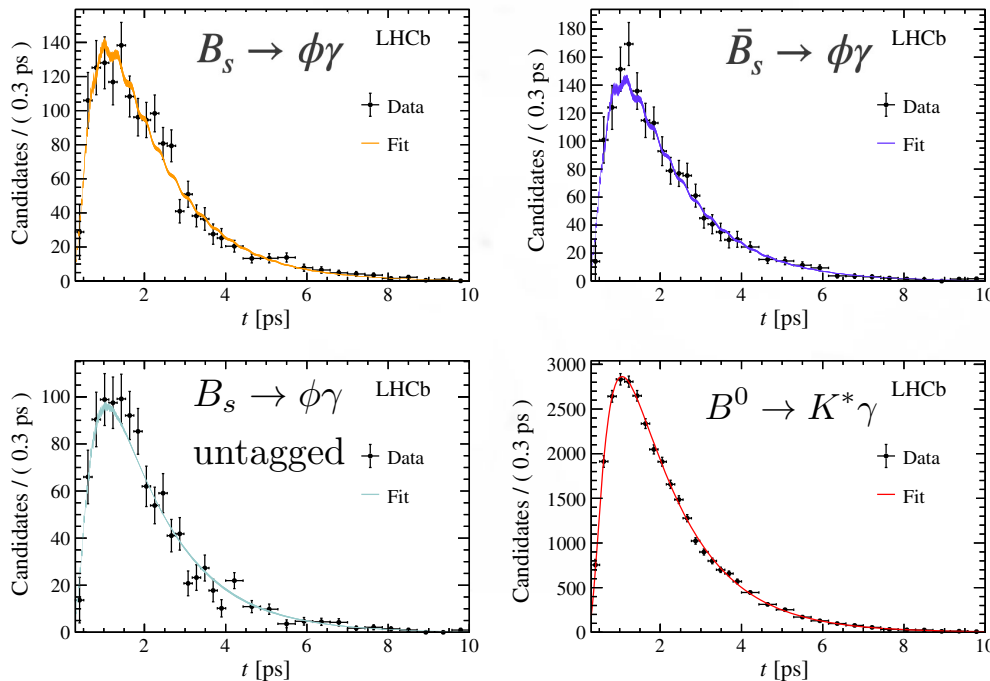
(Used to control decay time efficiency)



- Fit signal peak in order to perform background subtraction

# Measurement of CP-violating and mixing-induced observables in $B_s^0 \rightarrow \phi\gamma$ decays

- Simultaneous decay time fit to background subtracted  $B_s^0 \rightarrow \phi\gamma$  and  $B^0 \rightarrow K^*\gamma$  data to extract coefficients
- Decay time resolution  $\mathcal{R}(t)$  from per-candidate uncertainties/simulation and decay time efficiency  $\epsilon(t)$  from fit to data (driven by  $B^0 \rightarrow K^*\gamma$ )



$$\text{PDF}_t = \epsilon(t) (\mathcal{R}(t) \otimes \mathcal{P}(t))$$

$$\begin{aligned} \mathcal{A}^\Delta &= -0.67^{+0.37}_{-0.41} \pm 0.17 \\ S &= 0.43 \pm 0.30 \pm 0.11 \\ C &= 0.11 \pm 0.29 \pm 0.11 \end{aligned}$$

$$\begin{aligned} \mathcal{A}_{\text{SM}}^\Delta &= 0.047 \pm 0.025 \pm 0.015 \\ S_{\text{SM}} &= 0 \pm 0.002 \\ C_{\text{SM}} &= 0.005(5) \end{aligned}$$

[Phys. Lett. B 664 (2008) 174]

- $\mathcal{A}^\Delta$  compatible with SM at  $1.7\sigma$
- $S$  compatible with SM at  $1.3\sigma$
- $C$  compatible with SM at  $0.3\sigma$
- Still statistically limited!

# First observation of the radiative decay

$$\Lambda_b^0 \rightarrow \Lambda \gamma$$

- Like  $B_s^0 \rightarrow \phi \gamma$ , sensitive to NP enhanced RH components via photon polarisation  $\lambda_\gamma$  - prior to angular analysis need observation!
- Difficult to reconstruct due to long  $\Lambda$  lifetime and unknown  $\gamma$  direction i.e. no decay vertex – decay reconstructed as  $\Lambda_b^0 \rightarrow \Lambda(\rightarrow p\pi^-)\gamma$
- Custom ‘distance of closest approach’ variable between  $\Lambda_b^0$  and  $\Lambda$  trajectories used to discriminate between signal and background (dominated by combinatorial)
- $5.6\sigma$  excess observed – first observation of a radiative baryonic decay!

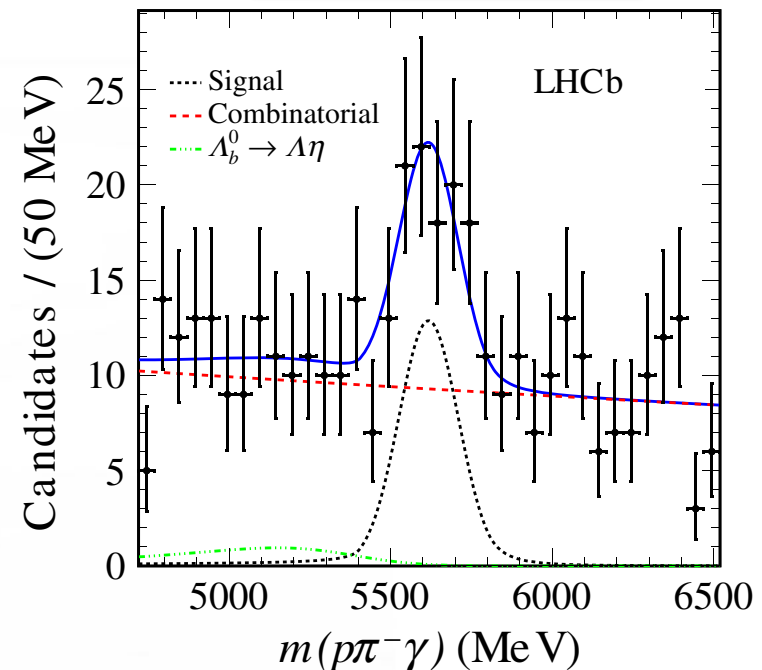
$$\mathcal{B}(\Lambda_b^0 \rightarrow \Lambda \gamma) = (7.1 \pm 1.5 \pm 0.6 \pm 0.7) \times 10^{-6}$$

Data-taking years
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16
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Normalisation channel:

$$B^0 \rightarrow K^* \gamma$$



Previous result from the CDF collaboration

$$\mathcal{B}(\Lambda_b^0 \rightarrow \Lambda \gamma)_{\text{CDF}} < 1.3 \times 10^{-3}$$

at 90% CL [[Phys. Rev. D 66 \(2002\) 112002](#)]

$$\mathcal{B}(\Lambda_b^0 \rightarrow \Lambda \gamma)_{\text{SM}} = (6 - 500) \times 10^{-7}$$

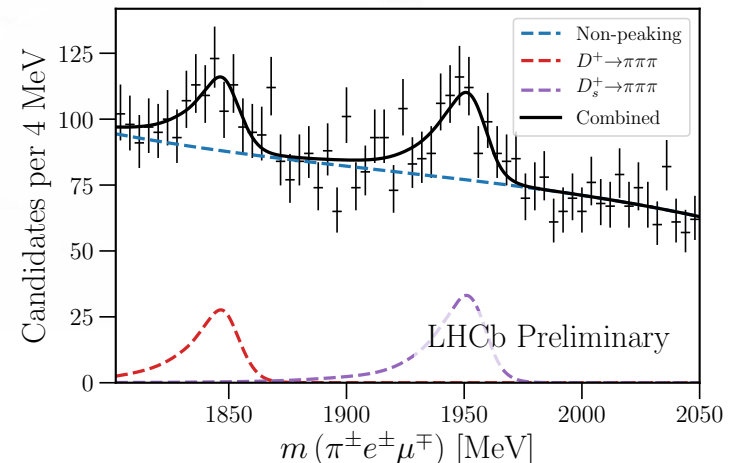
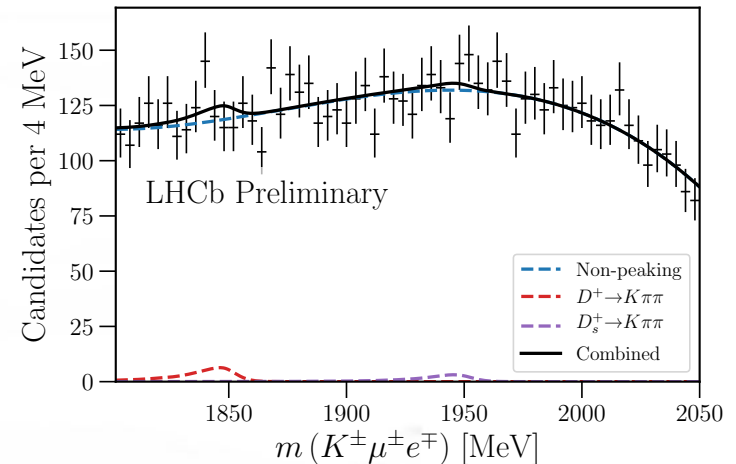
# Searches for 25 rare and forbidden decays of $D^+$ and $D_s^+$ mesons

- Rare charm decays have additional GIM suppression compared to rare  $B$  decays
- Broad search for 25 rare and forbidden (topologically similar) decays of the form  $D_{(s)}^+ \rightarrow h^\pm l^+ l^\mp$  ( $h = \{K, \pi\}, l = \{e, \mu\}$ )
- Resonances vetoed when fitting signal in three-body invariant mass distribution
- Different background sources for different final states – physical backgrounds modelled using fast simulated samples

Data-taking years
16

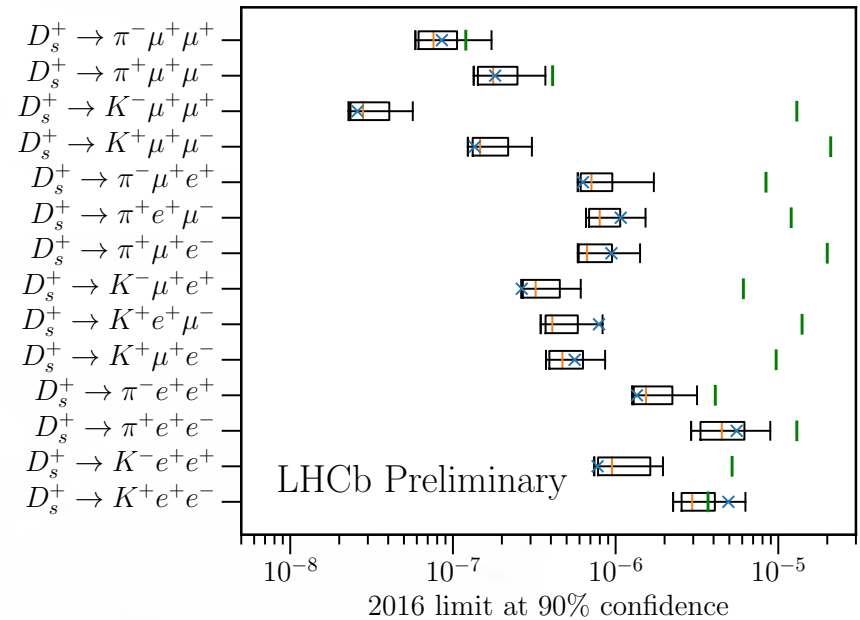
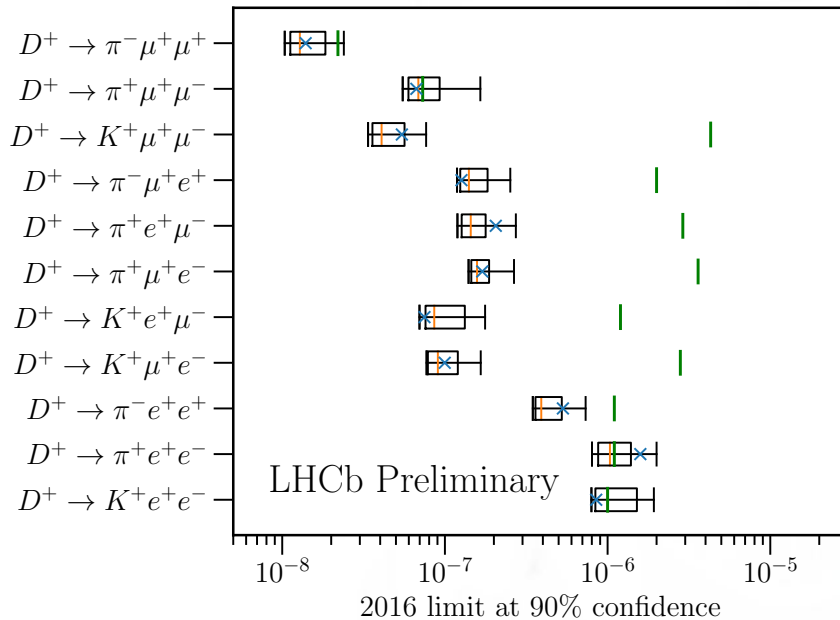
Normalisation channel :

$$D_{(s)}^+ \rightarrow \phi(\rightarrow l^+ l^-) \pi^+$$



# Searches for 25 rare and forbidden decays of $D^+$ and $D_s^+$ mesons

- (Preliminary) results all consistent with background-only hypothesis



| - expected median   
 x - observed limit   
 | - previous world's best limit (BaBar, CLEO, LHCb)

- Limits improve on the previous world best results by up to a factor of 500!

# Summary

- Rare decays are very sensitive probes for new physics contributions and shall remain an active research topic in the years to come
- Many exciting LHCb rare decays analyses published/currently ongoing
- LHCb is setting world's best measurements and limits on observables within flavour physics (and beyond)
- Non  $b \rightarrow sl^+l^-$  measurements still provide important tests of LFV and new physics may manifest in measurements
- The LHCb upgrades will offer significantly higher luminosities and improved detector performance – should provide exciting new discoveries and allow SM rare decays to be tested to even greater precision
- LHC upgrade II will allow precision tests of observables such as the  $B_{(s)}^0 \rightarrow \mu^+\mu^-$  BF ratio ( $\mathcal{R}$ ) ( $\sim 10\%$ )
- Decisive measurements of observables such as the  $B_s^0$  effective lifetime ( $\tau_{\mu\mu}$ ) ( $\sim 2\%$ ) and per cent measurements of observables such as the photon polarisation ( $\lambda_\gamma$ ) ( $\sim 4\%$ ) [[LHCb-PUB-2018-009](#)]
- If tensions in  $b \rightarrow sl^+l^-$  are confirmed, upgrade will allow to discriminate between potential NP models, and allow complementary, rarer measurements to be studied such as  $b \rightarrow dl^+l^-$  decays

## Thanks for listening!



# Backup

# Search strategy

- Many presented analyses measure branching fractions relative to a known normalization channel, as this allows large systematic uncertainties in  $\sigma_{pp \rightarrow b\bar{b}}$  and  $\mathcal{L}_{\text{Int}}$ , as well as other systematic uncertainties related to reconstruction and selection to cancel in the ratio

$$\mathcal{B}(\text{Signal}) = \frac{N_{\text{Signal}}}{2 \times \mathcal{L}_{\text{Int}} \times \sigma_{pp \rightarrow b\bar{b}} \times f \times \epsilon_{\text{Signal}}}$$

Large uncertainties

$N_{\text{Signal}}$  = Signal yield

$\mathcal{L}_{\text{Int}}$  = Integrated luminosity

$\sigma_{pp \rightarrow b\bar{b}}$  =  $b\bar{b}$  cross section

$f$  = Hadronisation fraction

$\epsilon$  = Total efficiency

- Instead measure:

$$\mathcal{B}(\text{Signal}) = \frac{\mathcal{B}(\text{Norm})}{N_{\text{Norm}}} \times \frac{\epsilon_{\text{Norm}}}{\epsilon_{\text{Signal}}} \times \frac{f_{\text{Norm}}}{f_{\text{Signal}}} \times N_{\text{Signal}}$$

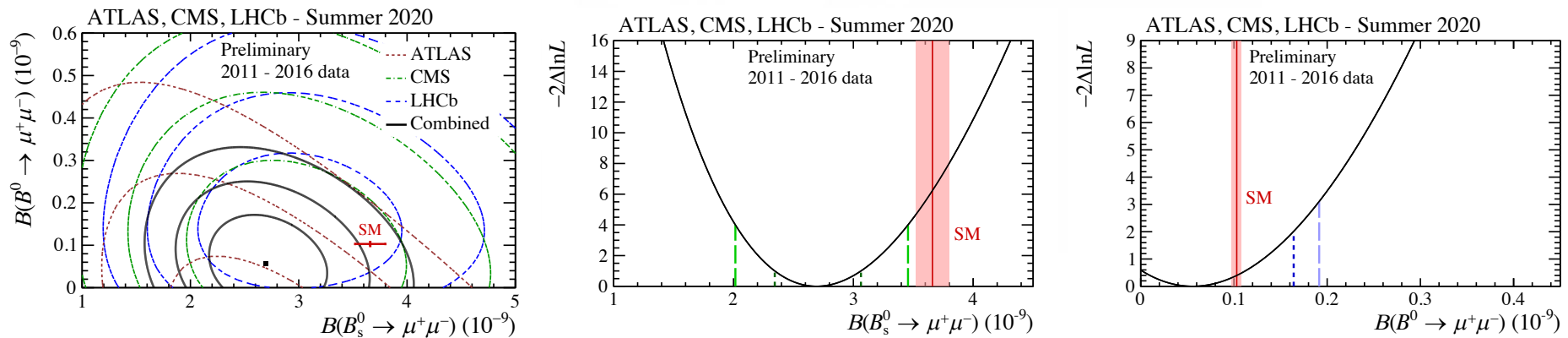
# $B_{(s)}^0 \rightarrow \mu^+ \mu^-$ combination (ATLAS + CMS + LHCb)

## Effective lifetime definition

(mean decay time of an untagged sample of decays)

$$\begin{aligned} \tau_{\mu^+\mu^-} &\equiv \frac{\int_0^\infty t \langle \Gamma (B_s^0 \rightarrow \mu^+ \mu^-) \rangle dt}{\int_0^\infty \langle \Gamma (B_s^0 \rightarrow \mu^+ \mu^-) \rangle dt} & y_s &\equiv \frac{\Delta\Gamma_s}{2\Gamma_s}, \\ &= \frac{\tau_{B_s^0}}{1 - y_s^2} \left[ \frac{1 + 2\mathcal{A}_{\Delta\Gamma} y_s + y_s^2}{1 + \mathcal{A}_{\Delta\Gamma} y_s} \right] & \mathcal{A}_{\Delta\Gamma} &\equiv \frac{R_H^{\mu^+\mu^-} - R_L^{\mu^+\mu^-}}{R_H^{\mu^+\mu^-} + R_L^{\mu^+\mu^-}} \end{aligned}$$

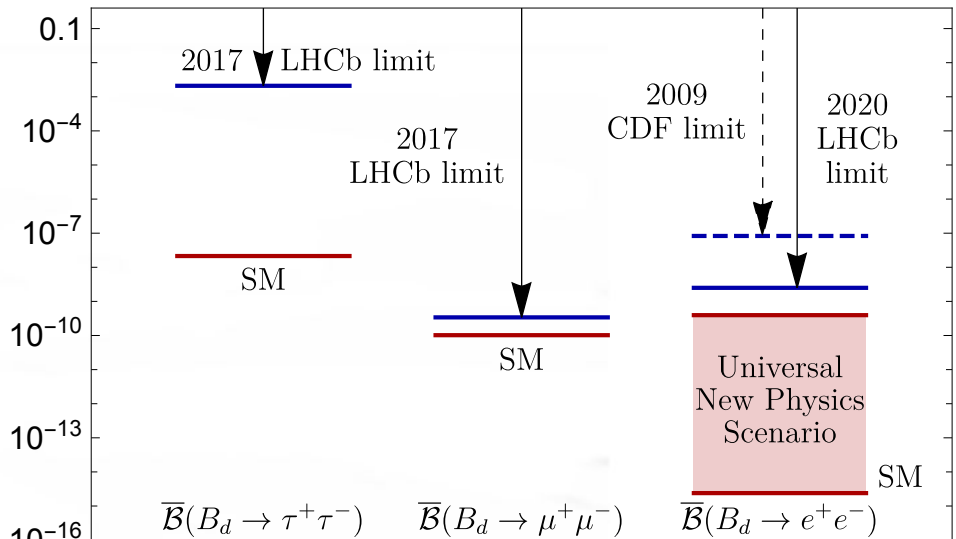
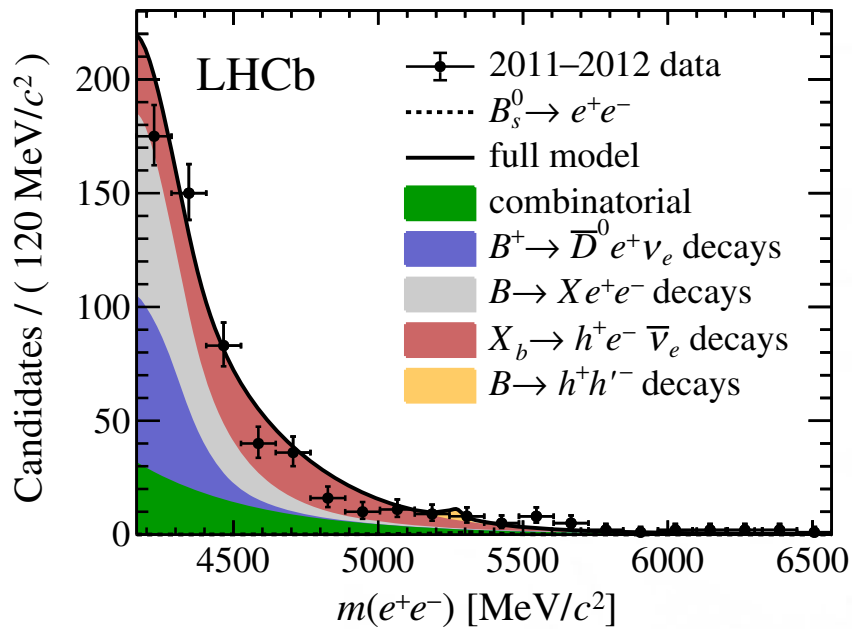
## 1D likelihood projections



# Search for the rare decays $B_s^0 \rightarrow e^+ e^-$ and

# $B^0 \rightarrow e^+ e^-$

- Bremsstrahlung losses corrected by adding momentum of all photons consistent with being emitted upstream of magnet



- Several primary physical backgrounds:

$$B \rightarrow X e^+ e^-$$

$$B^+ \rightarrow \bar{D}^0 (\rightarrow Y^+ e^- \bar{\nu}_e) e^+ \nu_e$$

$$B^0 \rightarrow \pi^- e^+ \nu_e$$

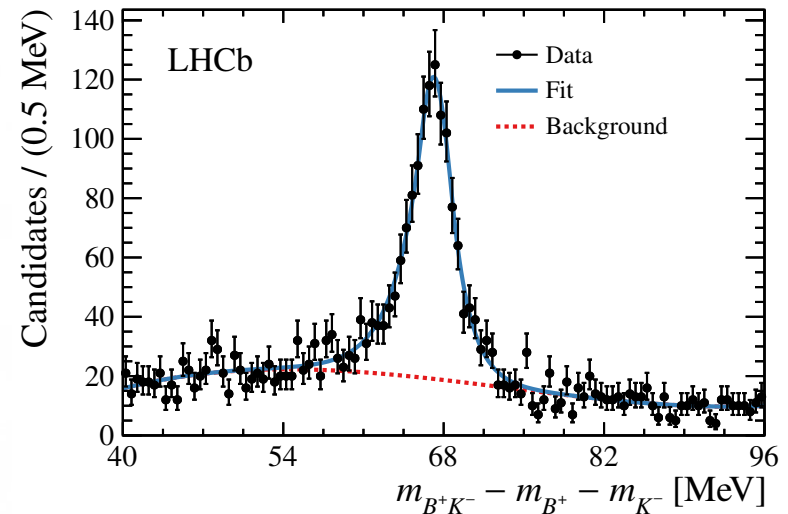
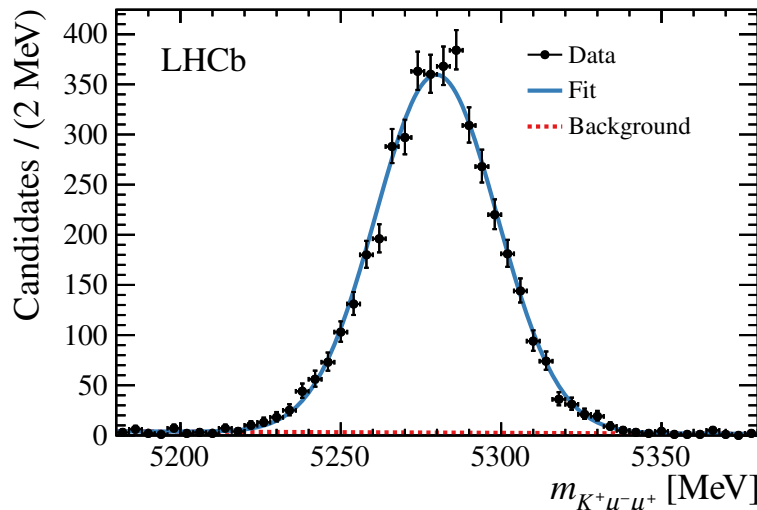
$$B \rightarrow h^+ h'^-$$

- There are different relative proportions of physical background contributions between Run 1 and Run 2 due to different performances of the particle identification and BDT algorithms

# Search for the lepton flavour violating decay $B^+ \rightarrow K^+ \mu^- \tau^+$ using $B_{s2}^{*0}$ decays

Fits to normalisation mode mass and  $B^+ - K^-$  mass difference

- Fits to determine yields and to quantify contributions from  $B_{s2}^{*0}$  decays and non- $B_{s2}^{*0}$  candidates with nearby kaons



How missing mass is reconstructed

$$E_B = \frac{(m_{BK}^2 - m_B^2 - m_K^2)^2}{2E_K^2} \frac{1}{1 - (p_K/E_K)^2 \cos^2 \theta} (1 \pm \sqrt{d})$$

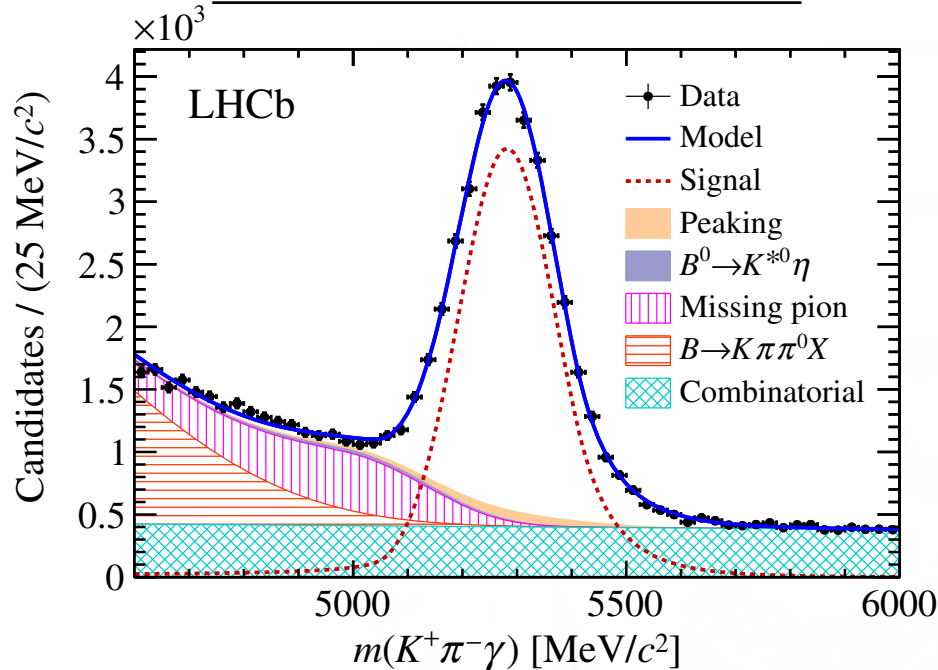
$$d = \frac{p_K^2}{E_K^2} \cos^2 \theta - \frac{4m_B^2 p_K^2 \cos^2 \theta}{(m_{BK}^2 - m_B^2 - m_K^2)^4} \left(1 - \frac{p_K^2}{E_K^2} \cos^2 \theta\right)$$

$$P_{\text{miss}} = P_B - P_{K^+ \mu^-}$$

- Missing mass squared – lowest energy, real solution for which the missing energy is greater than energy of reconstructed third track under  $\pi$  mass hypothesis

# Measurement of CP-violating and mixing-induced observables in $B_s^0 \rightarrow \phi\gamma$ decays

Fit to normalisation mode



- Several additional partially reconstructed backgrounds:  $B \rightarrow K\pi\pi\gamma$   
 $B \rightarrow K\pi\pi^0 X$   
 $B^0 \rightarrow K^*\eta(\rightarrow \gamma\gamma)$

- Decay time resolution modelled by sum of two Gaussians
- Decay time efficiency modelled as

$$\epsilon(t) \propto \frac{t^{a/t}}{\cosh(bt)}$$

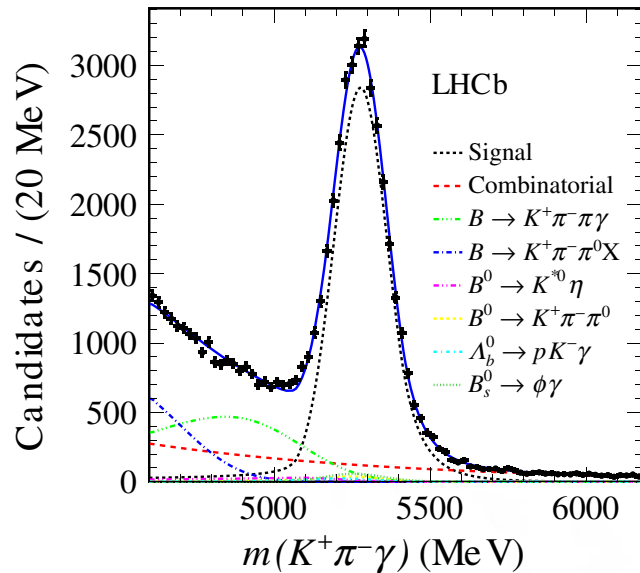
## Flavour tagging algorithms

- Same-side (SS) – identifies flavour of signal candidate by identifying charge of kaon produced alongside  $B_s^0$  meson – neural network implementation
- Opposite-side (OS) – rely on pair production of  $b$  hadrons – examine decay products of other  $b$  hadron in the event

# First observation of the radiative decay

$$\Lambda_b^0 \rightarrow \Lambda \gamma$$

Fit to normalisation mode



- Several additional physical backgrounds:

$$B \rightarrow K^+ \pi^- \pi \gamma$$

$$B^0 \rightarrow K^* \eta$$

$$B_s^0 \rightarrow \phi \gamma$$

$$\Lambda_b^0 \rightarrow p K^- \gamma$$

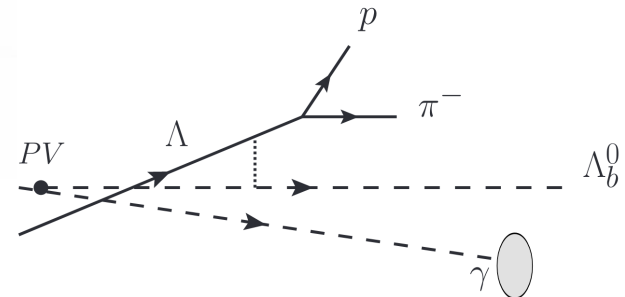
$$B^0 \rightarrow K^+ \pi^- \pi^0$$

## Primary systematic uncertainties

Source	Uncertainty (%)
Data/simulation agreement	7.7
$\Lambda_b^0$ fit model	3.0
$B^0 \rightarrow K^{*0} \gamma$ backgrounds	2.7
Size of simulated samples	1.7
Efficiency ratio	1.4
Sum in quadrature	9.0
$f_{\Lambda_b^0}/f_{B^0}$	8.7
Input branching fractions	3.0
Sum in quadrature	9.2

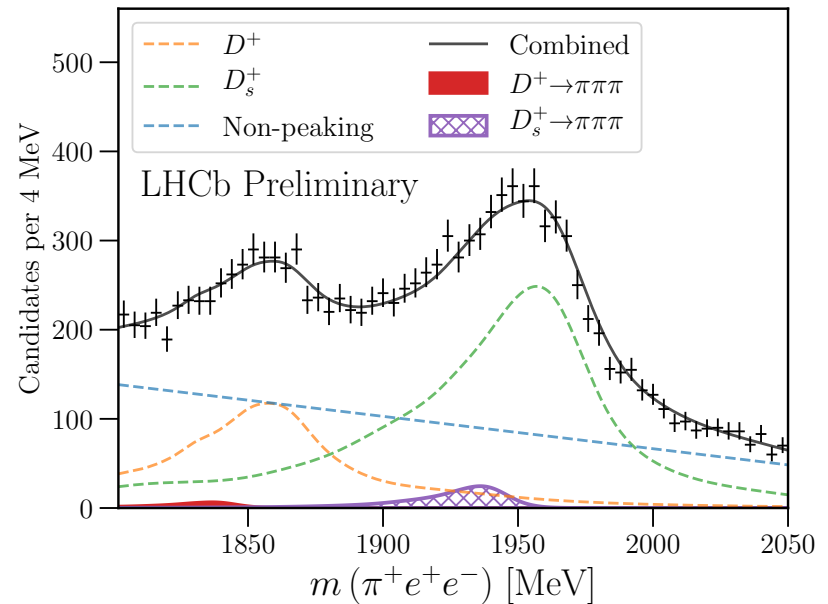
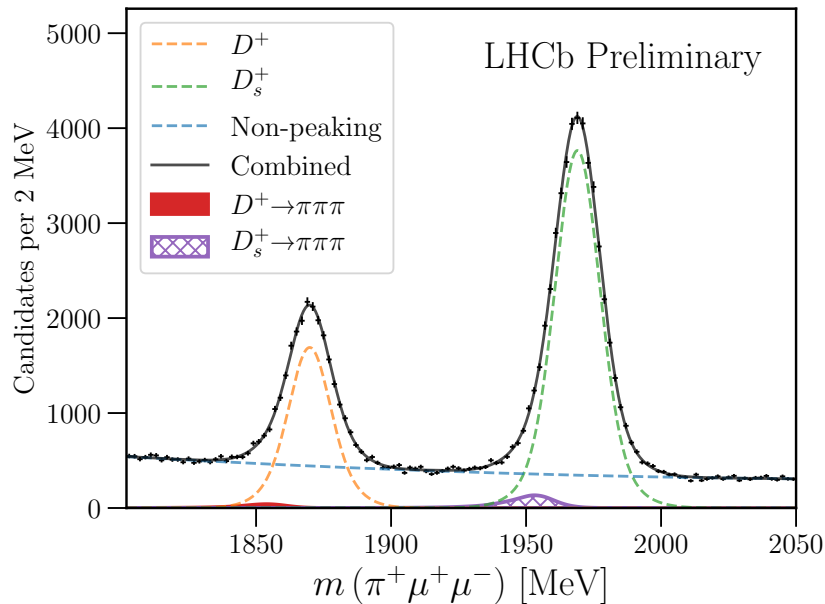
- Largest systematic from  $f_{\Lambda_b^0}/f_{B^0}$

## Distance of closest approach variable



# Searches for 25 rare and forbidden decays of $D^+$ and $D_s^+$ mesons

## Fits to two normalisation modes



- Primary physical backgrounds (same as for signal):

$$D^+ \rightarrow hhh$$

$$D_s^+ \rightarrow hhh$$