



19th International Conference on B-Physics at Frontier Machines, BEAUTY 2020

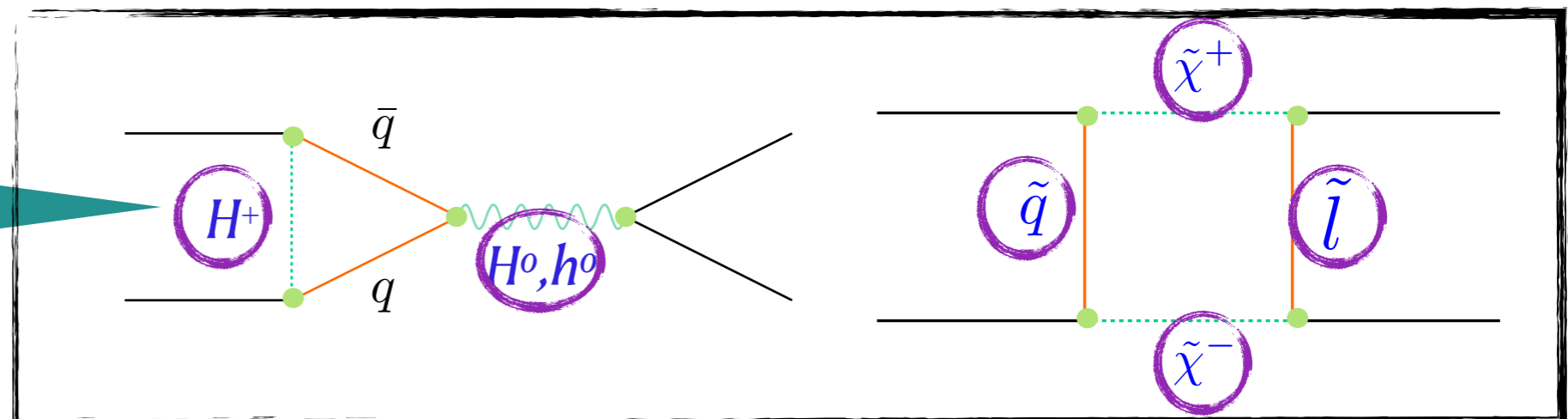
Study of rare b and τ decays

Chandiprasad Kar, on behalf of the CMS Collaboration
22nd Sept. 2020 - Virtual conference session

Why look for rare decays?

- ✓ Small Standard Model(SM) branching fraction and potential large new physics(NP) contributions
- ✓ Often Flavour Changing Neutral Current(FCNC), which are not possible at tree level in SM
- ✓ Sensitive to higher energy than direct searches due to virtual contributions
- ✓ NP can change rates or properties
- ✓ SM forbidden decays → hint for new physics

Indirect searches
to look for new particles !



Measurement of $B^0_{(s)} \rightarrow \mu^+ \mu^-$

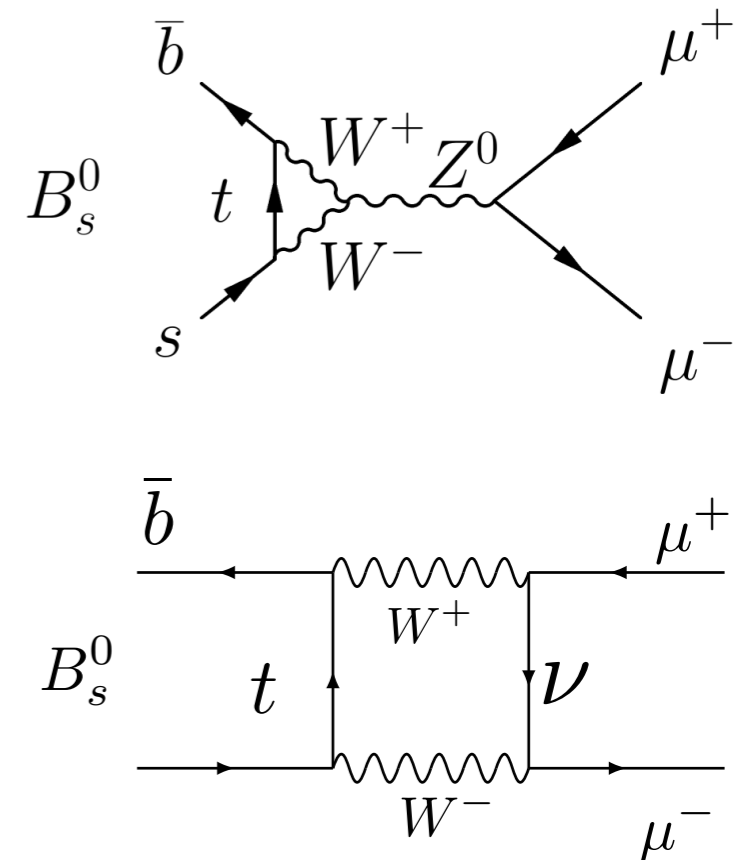
- ✓ $B \rightarrow \mu\mu$ is the flagship of rare b decays.
- ✓ $B \rightarrow \mu\mu$ are heavily suppressed in SM:
 - ▶ FCNC processes, only proceed through EW penguin, box diagrams (suppressed by $[m_W/m_t]^2$).
 - ▶ Cabibbo suppressed: $|V_{tq}|^2$
 - ▶ Helicity suppressed: $[m_\mu/m_B]^2$
- ✓ SM predictions:
 - ▶ SM branching fractions are small
 - ▶ theoretical uncertainty: 4-5 %

$$\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-) = (3.66 \pm 0.14) \times 10^{-9}$$

$$\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-) = (1.03 \pm 0.05) \times 10^{-10}$$

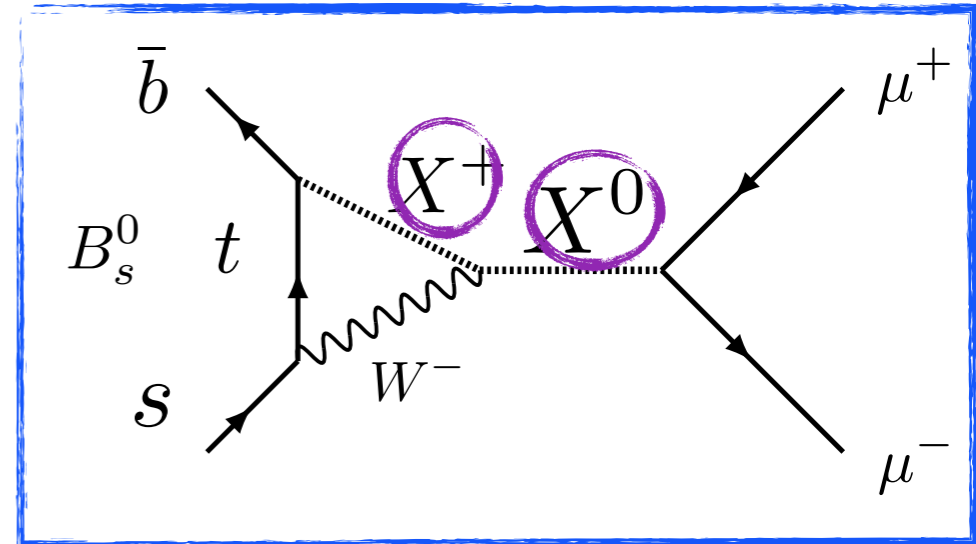
Ref:

[M. Beneke et al JHEP 10 \(2019\) 232](#)



Measurement of $B^0_{(s)} \rightarrow \mu^+ \mu^-$

- ✓ New particles in loops
- ✓ Sensitive to (pseudo)scalars : no helicity suppression
- ✓ Some of the new physics scenarios may boost the decay rates
 - ▶ 2HDM: $\mathcal{B} \propto \tan^4 \beta, M(H^\pm)$
 - ▶ MSSM: $\mathcal{B} \propto \tan^6 \beta$
- ✓ What we measure:



- ▶ **Branching fractions:** Precision measurement of $B^0_s \rightarrow \mu^+ \mu^-$ and look for first evidence of $B^0 \rightarrow \mu^+ \mu^-$
- ▶ **Effective lifetime:** the heavier of two B^0_s mass eigenstates can decay to dimuon in SM; NP scenario may alter the prediction

$$\tau_{\mu^+ \mu^-} \equiv \frac{\int_0^\infty t \Gamma(B_s(t) \rightarrow \mu^+ \mu^-) dt}{\int_0^\infty \Gamma(B_s(t) \rightarrow \mu^+ \mu^-) dt} = \frac{\tau_{B_s^0}}{(1 - y_s^2)} \left(\frac{1 + 2\mathcal{A}_{\Delta\Gamma}^{\mu^+ \mu^-} y_s + y_s^2}{1 + \mathcal{A}_{\Delta\Gamma}^{\mu^+ \mu^-}} \right)$$

$$\mathcal{A}_{\Delta\Gamma}^{\mu^+ \mu^-} \equiv -\mathcal{R}(\lambda)/(1 + |\lambda|^2)$$

$$y_s \equiv \tau_{B_s^0} \Delta\Gamma_s / 2$$

SM \rightarrow $\mathcal{A}_{\Delta\Gamma}^{\mu^+ \mu^-} = +1 \rightarrow \tau_{B_s \rightarrow \mu^+ \mu^-} = (1.609 \pm 0.010) \text{ ps}$

Ref: [HFLAV, Y. Amhis et al., Eur.Phys.J.C 77\(2017\)895](#)

Analysis aspects

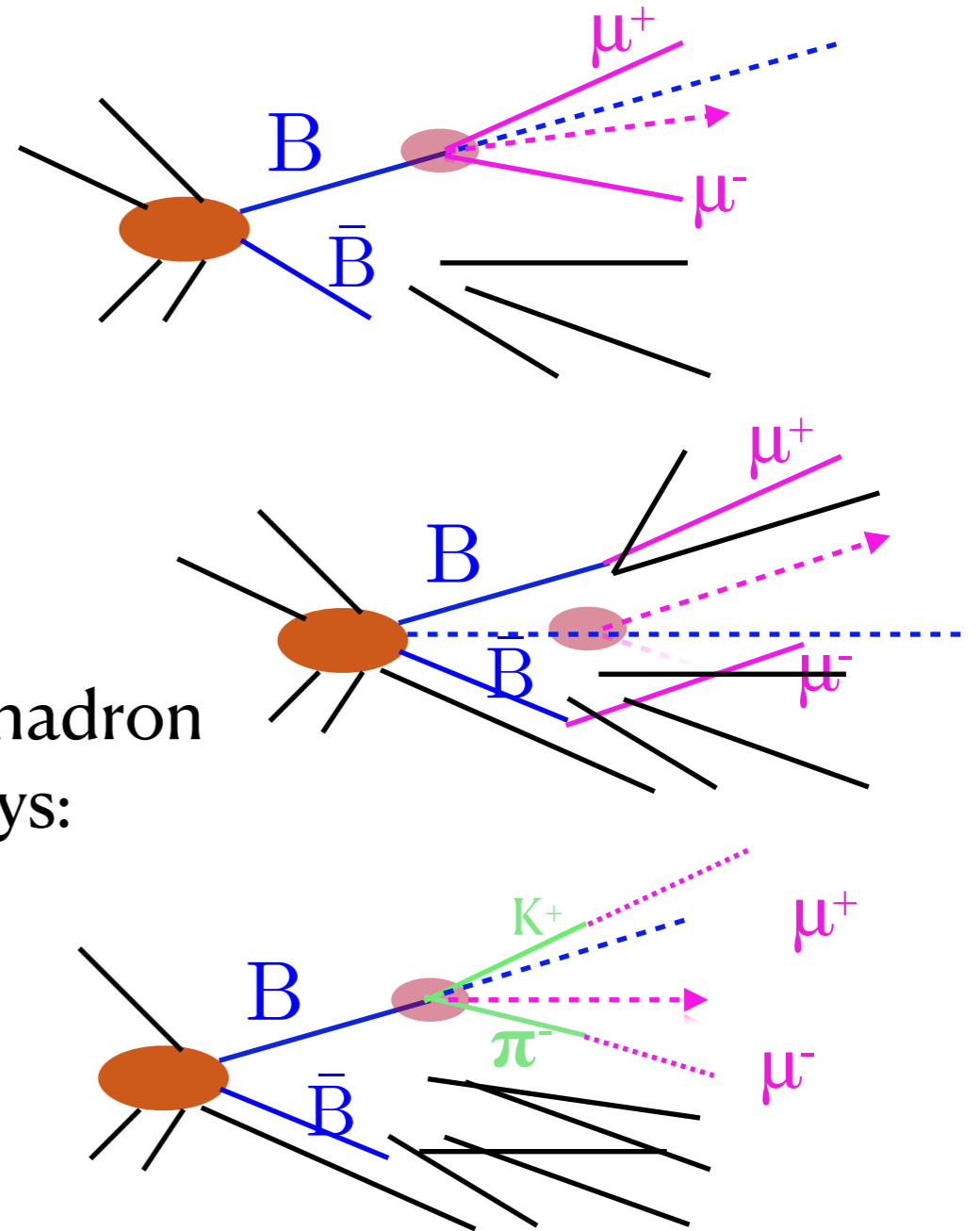
✓ Signal $B^0_{(s)} \rightarrow \mu\mu$:

- ▶ two muons from same displaced vertex
- ▶ mass around $m(B^0_{(s)})$
- ▶ momentum aligned with flight direction

✓ Background:

- ▶ two semileptonic B decays
- ▶ one semileptonic B and one misidentified hadron
- ▶ rare background from single B meson decays:
 - ◉ peaking $B \rightarrow KK/K\pi$,
 - ◉ non peaking $B^0_s \rightarrow K^+\mu^-\nu$, $\Lambda_b \rightarrow p^+\mu^-\nu$

Flat shape



✓ The goal: high signal efficiency, strong background rejection !

Methodology

- ✓ Measurement of $B_s^0 \rightarrow \mu^+ \mu^-$ relative to **normalization channel**
($B^+ \rightarrow J/\psi(\mu^+ \mu^-) K^+$):

$$\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-) = \frac{n_{B_s^0}^{\text{obs}}}{N(B^+ \rightarrow J/\psi K^+)} \frac{A_{B^+}}{A_{B_s^0}} \frac{\epsilon_{B^+}^{\text{ana}}}{\epsilon_{B_s^0}^{\text{ana}}} \frac{\epsilon_{B^+}^{\mu}}{\epsilon_{B_s^0}^{\mu}} \frac{\epsilon_{B^+}^{\text{trig}}}{\epsilon_{B_s^0}^{\text{trig}}} \frac{f_u}{f_s} \mathcal{B}(B^+ \rightarrow J/\psi[\mu^+ \mu^-] K)$$

Acceptance efficiency

Selection efficiency

Muon identification efficiency

Trigger efficiency

B-hadronization composition, for B_s only

(PDG average : $f_s/f_u = 0.252 \pm 0.012$)

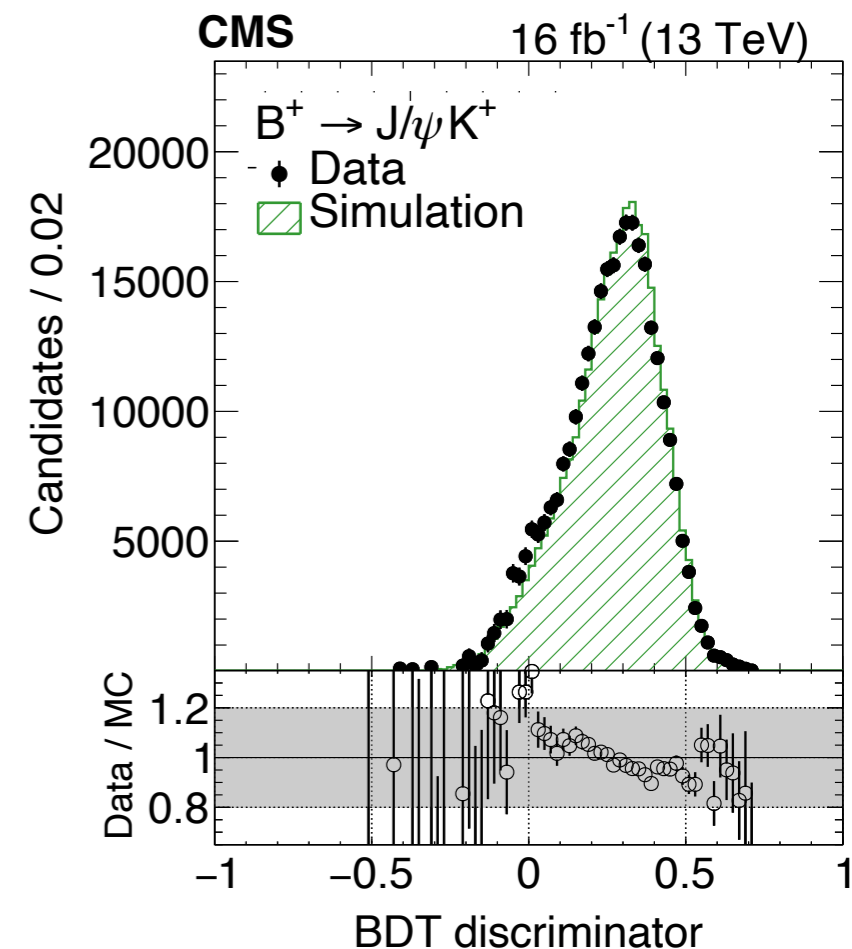
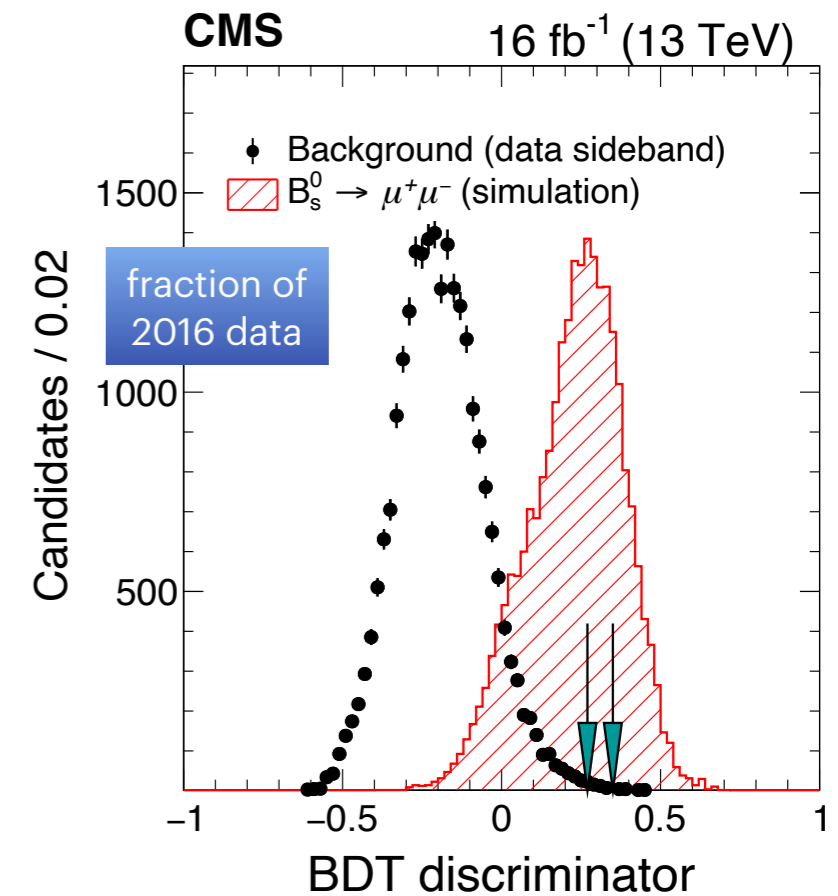
Similar trigger and selection to reduce systematics

- ✓ Analysis steps

- ▶ event classification with boosted decision tree(BDT)
- ▶ unbinned (extended) maximum likelihood fits to selected events
 - branching fraction $\mathcal{B}(B_s^0 \rightarrow \mu^+ \mu^-)$ and $\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-)$
 - effective lifetime $\tau_{\mu^+ \mu^-}$

Multi-variate analysis

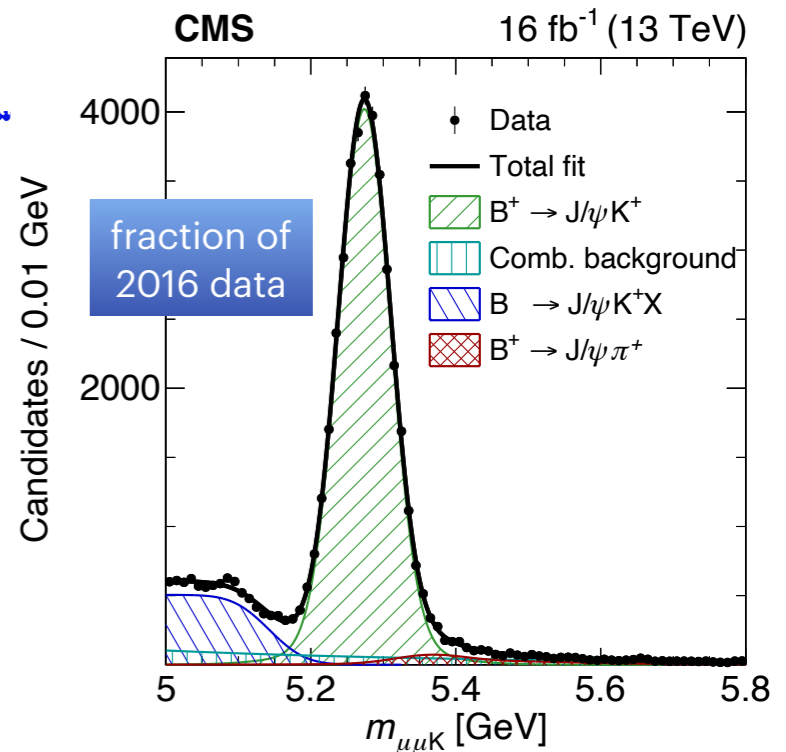
- ✓ Combined (re-)analysis of Run 1 (25 fb⁻¹) and 2016 (36 fb⁻¹) datasets
 - ▶ separations of data into ‘channels’ (central and forward)
- ✓ Boosted decision tree
 - ▶ discriminating variables: isolation, well-reconstructed secondary vertex, pointing angle, track d_{ca}
- ✓ BDT training
 - ▶ signal: $B_s \rightarrow \mu^+\mu^-$ MC simulation
 - ▶ background : data dimuon sidebands
 - ▶ avoid selection bias
 - split data randomly into three subsets (0,1,2)
 - train on 0, test on 1, apply on 2 etc.
 - each channel have 3 BDTs
- ✓ Optimal BDT discriminator binning
 - ▶ Asimov data for significance estimation
 - ▶ 14 categories for BF and 8 categories for effective lifetime



Simulation Vs reality

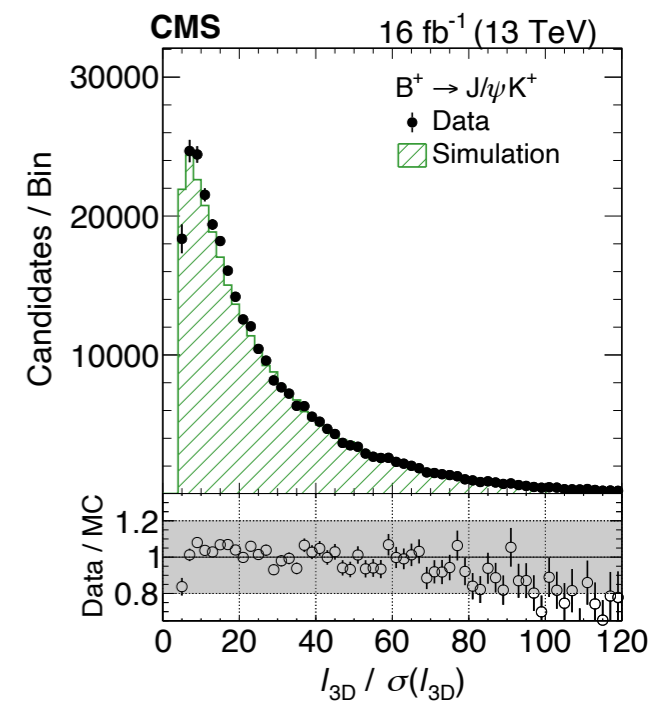
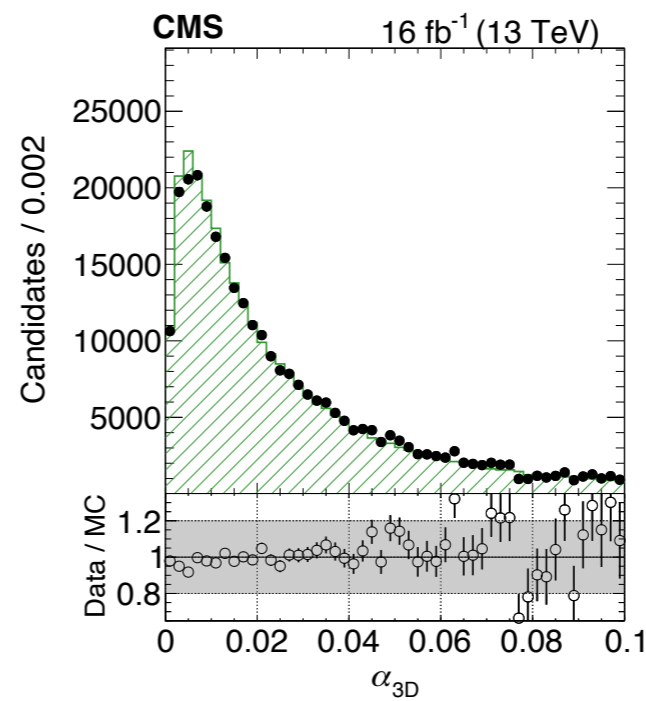
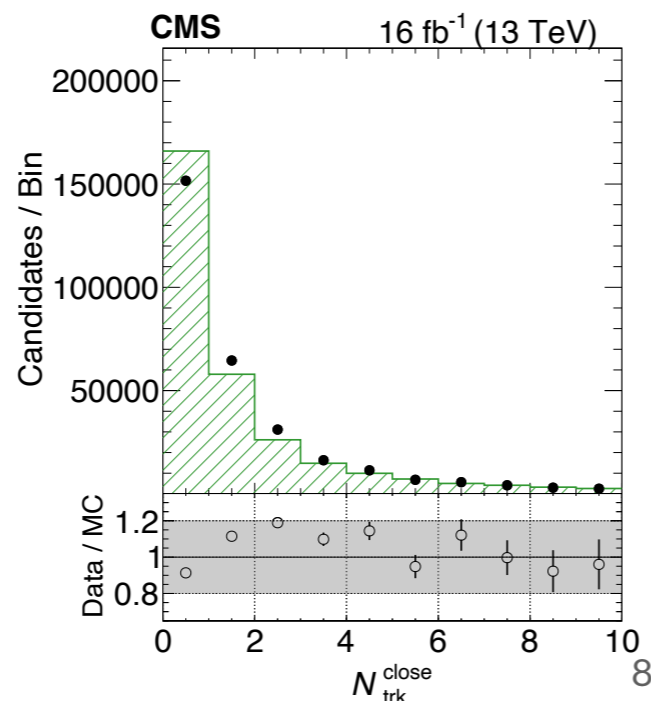
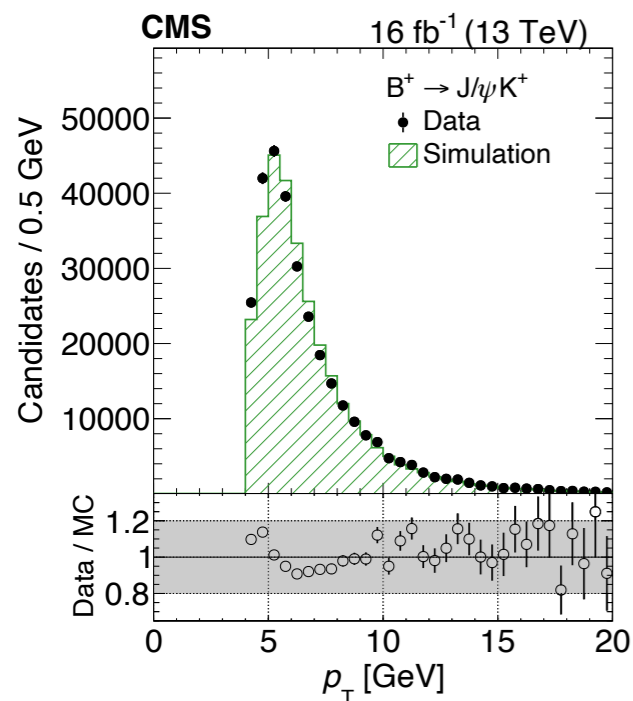
✓ Fits to invariant mass

- ▶ Signal: double Gaussian with common mean
- ▶ background:
 - combinatorial: exponential function
 - partial reco'ed bg: error function
 - $B^+ \rightarrow J/\psi \pi^+$: triple Gaussian, fixed to 4% of signal shape from MC



✓ Comparison of **normalization** samples in sideband subtracted data and MC

- ▶ Difference accounted for in systematics

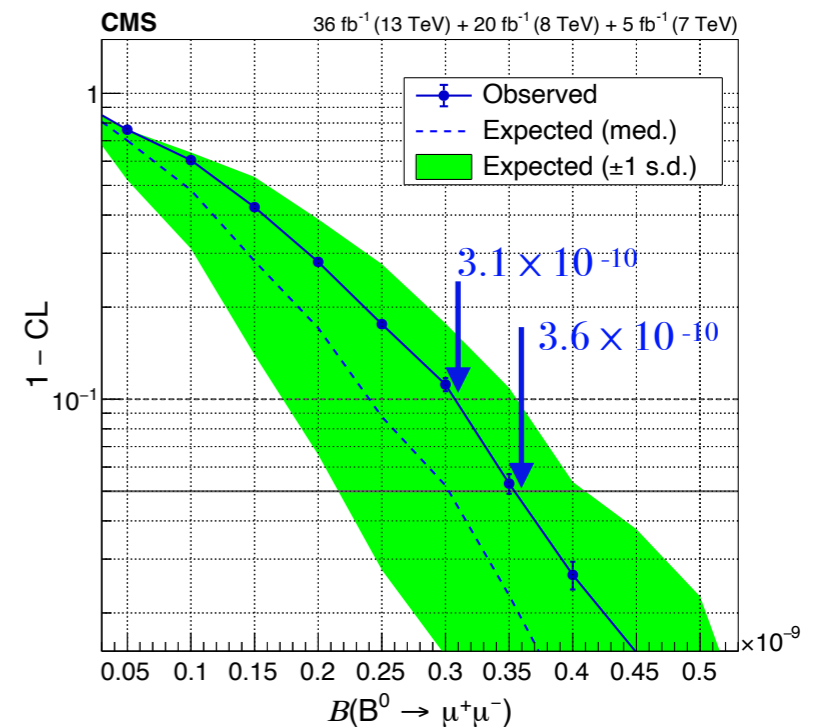
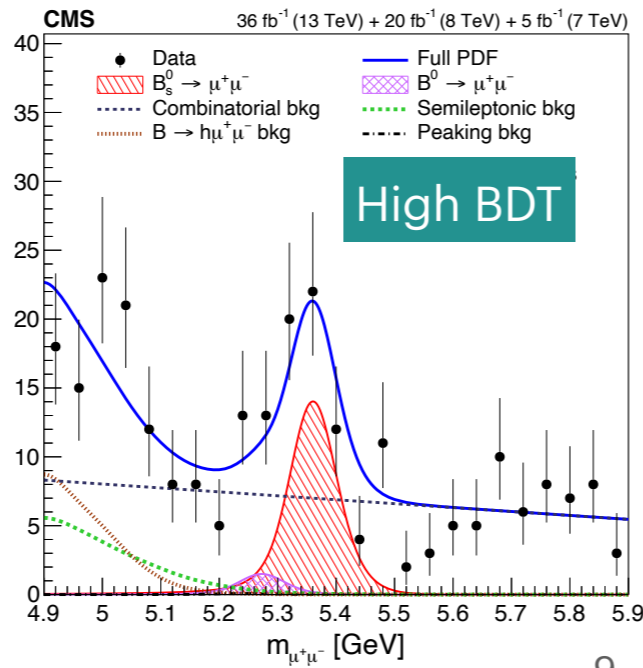
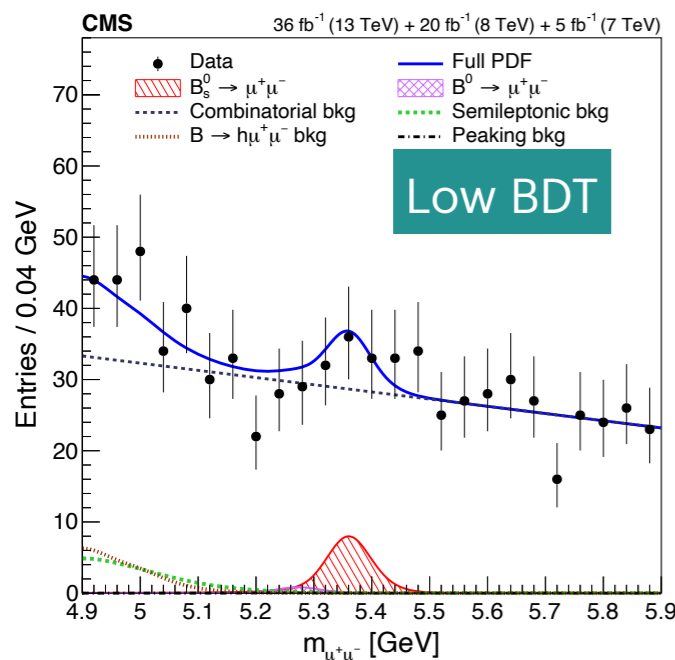
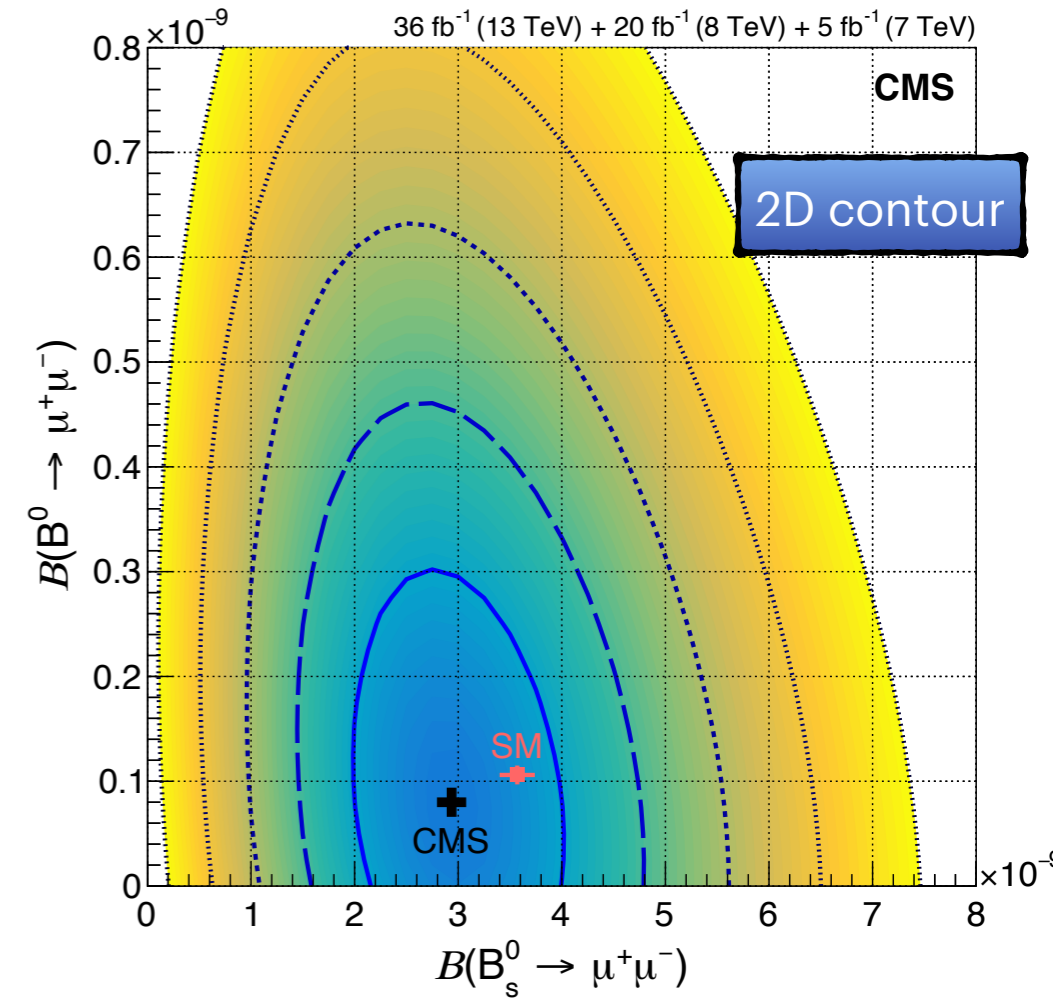


CMS results

✓ UML fit to invariant mass ($M(\mu\mu)$) in the 14 BDT categories

Channel	Branching fraction	Sign. (Obs)
$B_s^0 \rightarrow \mu^+\mu^-$	$(2.9_{-0.6}^{+0.7}(\text{exp}) \pm 0.2(f_s/f_u)) \times 10^{-9}$	5.6σ
$B^0 \rightarrow \mu^+\mu^-$	$(0.8_{-1.3}^{+1.4}) \times 10^{-10}$	0.6σ

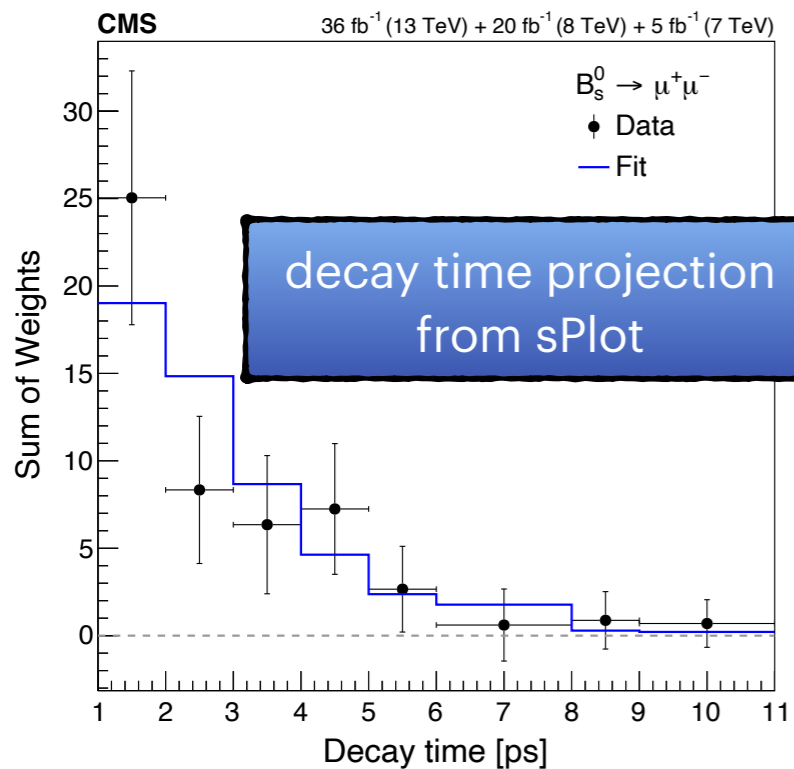
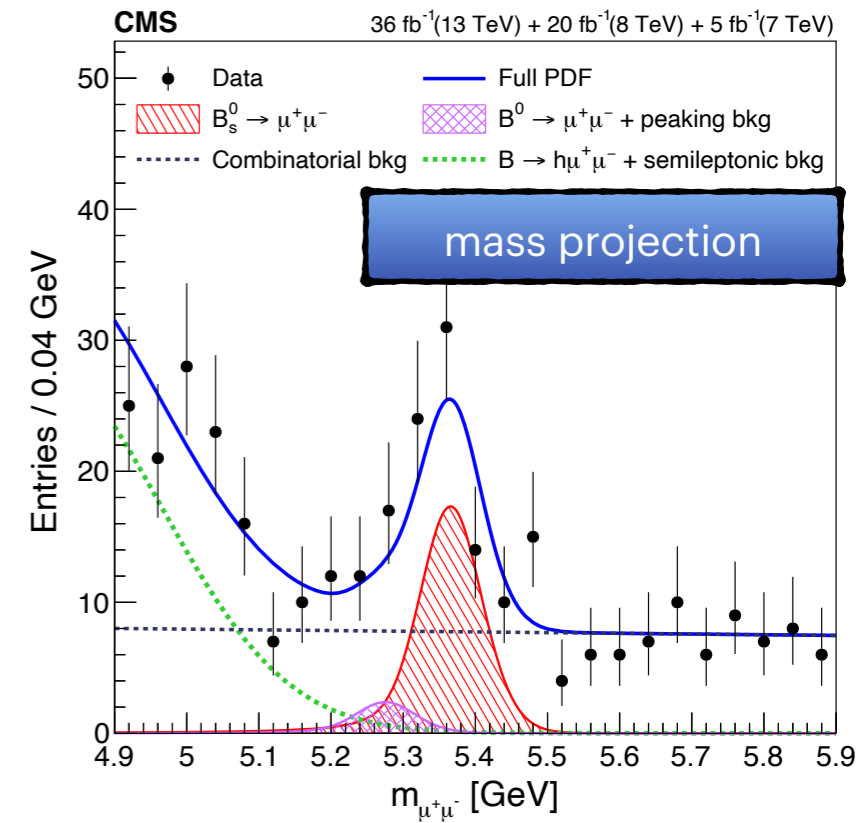
- ✓ Frequentist CLs method for upper limit
- ✓ $\mathcal{B}(B^0 \rightarrow \mu\mu) < 3.6 \times 10^{-10}$ @ 95% C.L
- ✓ Results are consistent with SM as well as with other experiments



CMS results

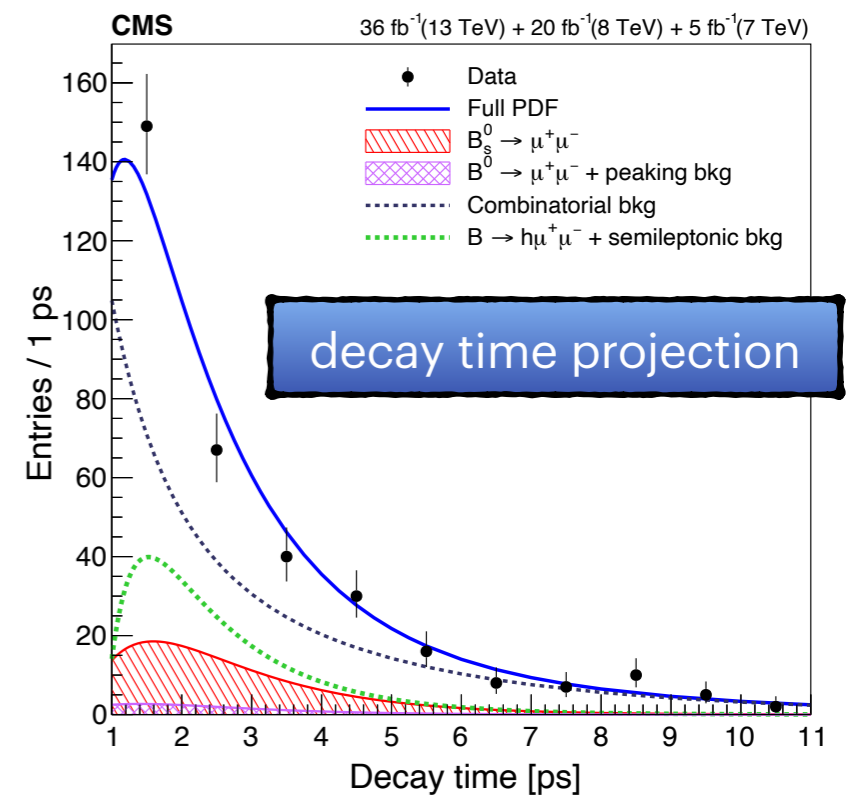
- ✓ Method 1: 2D UML fit to invariant mass ($M(\mu\mu)$) and decay time: Simultaneous fit to 8 categories.(primary method)
- ✓ Method 2: And sPlot weight from the BF; binned likelihood fit with efficiency and resolution
- ✓ Consistent with SM as well as with previous measurements

	$\tau_{\mu^+\mu^-}$ (ps)
2D UML	$1.70 + 0.61 / - 0.44$
sPlot	$1.55 + 0.52 / - 0.33$



SM

$\mathcal{A}_{\Delta\Gamma}^{\mu^+\mu^-} = +1 \rightarrow$
 $\tau_{B_s \rightarrow \mu^+\mu^-} = (1.609 \pm 0.010) \text{ ps}$



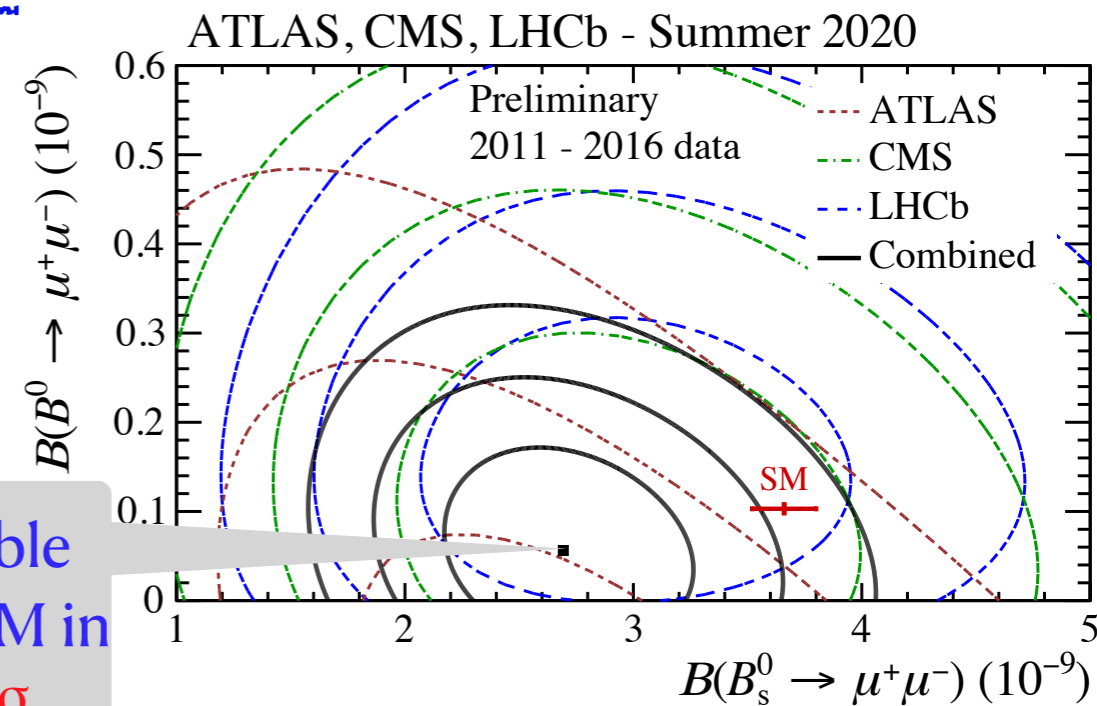
ATLAS + CMS + LHCb results

CMS-PAS-BPH-20-003
LHCb-CONF-2020-002
ATLAS-CONF-2020-049

- ✓ Based on binned 2D profile likelihoods,
- ✓ Apply the analytic model on the **combined 2D likelihood histogram** to obtain the combined branching fractions

Channel	Branching fraction
$B_s^0 \rightarrow \mu^+ \mu^-$	$(2.69^{+0.37}_{-0.35}) \times 10^{-9}$
$B^0 \rightarrow \mu^+ \mu^-$	$(0.6 \pm 0.7) \times 10^{-10}$

Compatible with the SM in 2D; 2.1σ

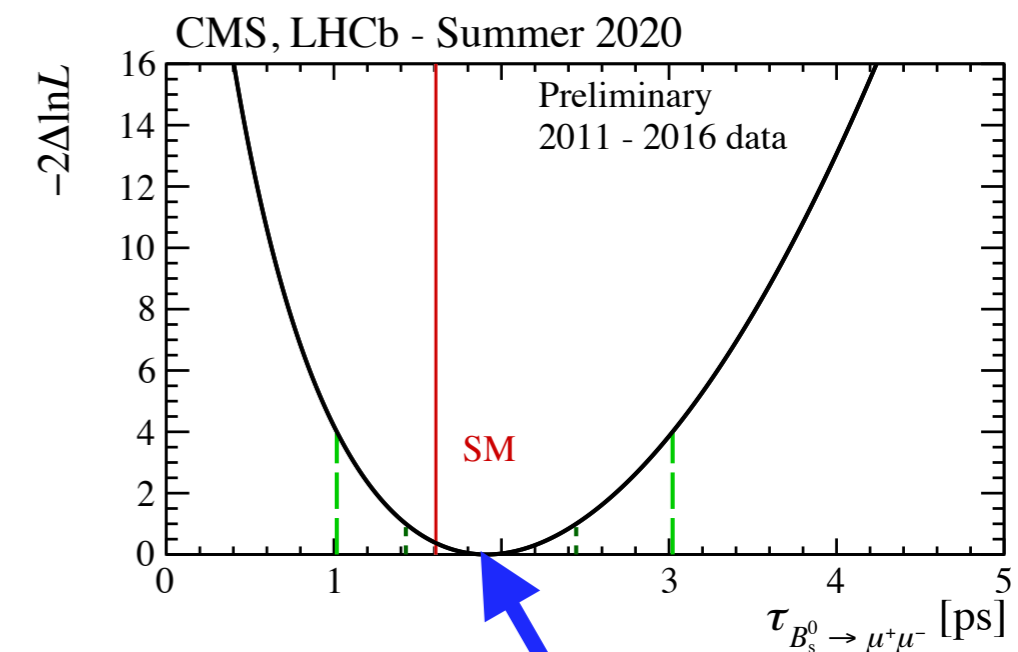


- ✓ Upper limit for B^0 and ratio of BF

	Upper Limit @90(95)% CL
$\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-)$	$< 1.6(1.9) \times 10^{-10}$
$R = \mathcal{B}(B^0)/\mathcal{B}(B_s^0)$	$< 0.052(0.060)$

- ✓ Effective lifetime, obtain from the sum of 1D log-likelihood curves,

- ▶ Compatible with SM



Exp.	$\tau_{\mu^+ \mu^-}$ (ps)
CMS+LHCb	$1.91 + 0.37 / - 0.35$

Search for $\tau \rightarrow 3\mu$ decay

✓ $\tau \rightarrow 3\mu$ transition

- ▶ doesn't conserve lepton family number
- ▶ doesn't involve neutrinos in the final state
- ▶ allowed by neutrino oscillation

✓ Suppressed in the Standard Model.

- ▶ Branching ratio $\tau \rightarrow 3\mu(\text{SM}) \sim \mathcal{O}(10^{-14})$
- ▶ Enhanced BR by SUSY, 2HDM

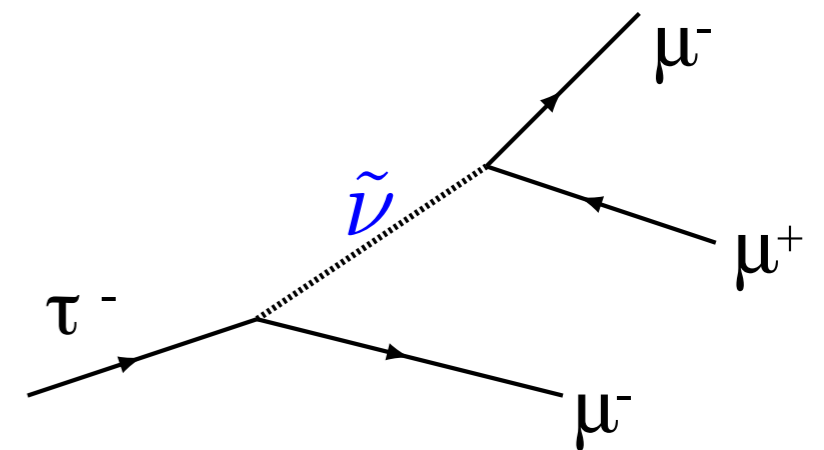
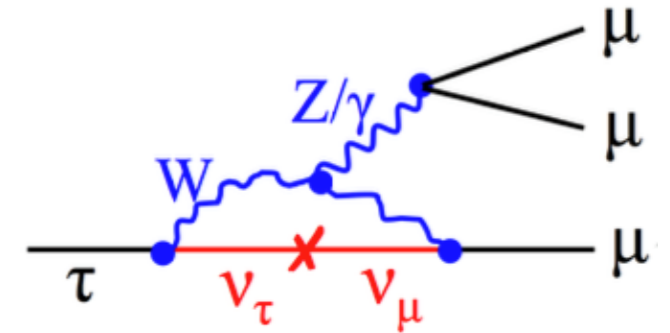
$$\tau \rightarrow 3\mu(\text{BSM}) \sim \mathcal{O}(10^{-8})$$

✓ Stringent limit by **lepton collider**;

- ▶ **Belle**: BF $\tau \rightarrow 3\mu(\text{SM}) < 2.1 \times 10^{-8}$ @ 90% CL
- ▶ Babar: BF $\tau \rightarrow 3\mu(\text{SM}) < 3.3 \times 10^{-8}$ @ 90% CL

✓ limit by **hadron collider**;

- ▶ LHCb(LHC): BF $\tau \rightarrow 3\mu(\text{SM}) < 4.6 \times 10^{-8}$ @ 90% CL
- ▶ ATLAS(LHC): BF $\tau \rightarrow 3\mu(\text{SM}) < 3.8 \times 10^{-7}$ @ 90% CL



Ref: [Phys. Lett. B687 \(2010\)139143](#)

Ref: [Phys. Rev. D81 \(2010\)111101](#)

Ref: [JHEP 02\(2015\)121](#)

Ref: [Eur. Phys. J. C\(2016\) 76:232](#)

Selection and normalization

BPH-17-004

✓ First search for $\tau \rightarrow 3\mu$ transition in CMS using 33.2 fb^{-1} of 2016 data.

✓ Sources of τ

- ▶ Heavy flavor (HF) decay (D, B): large cross section; low p_T , high η ; high background
- ▶ W decay: small cross section; high p_T ; low background; large missing energy

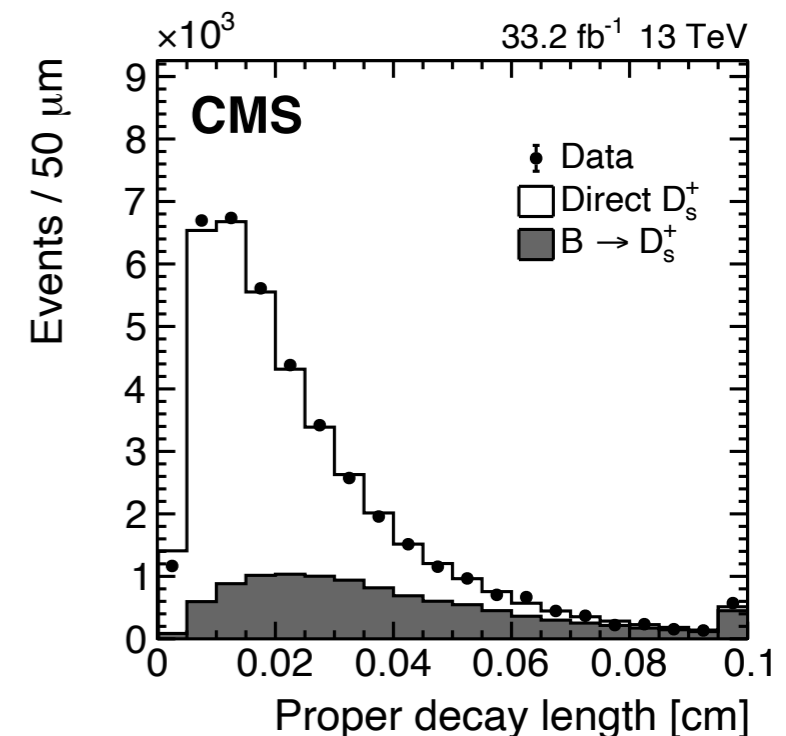
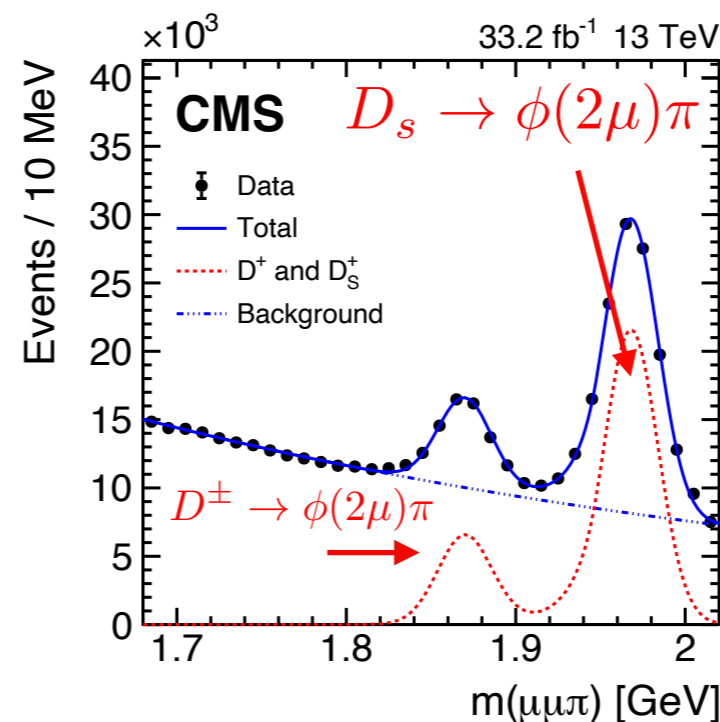
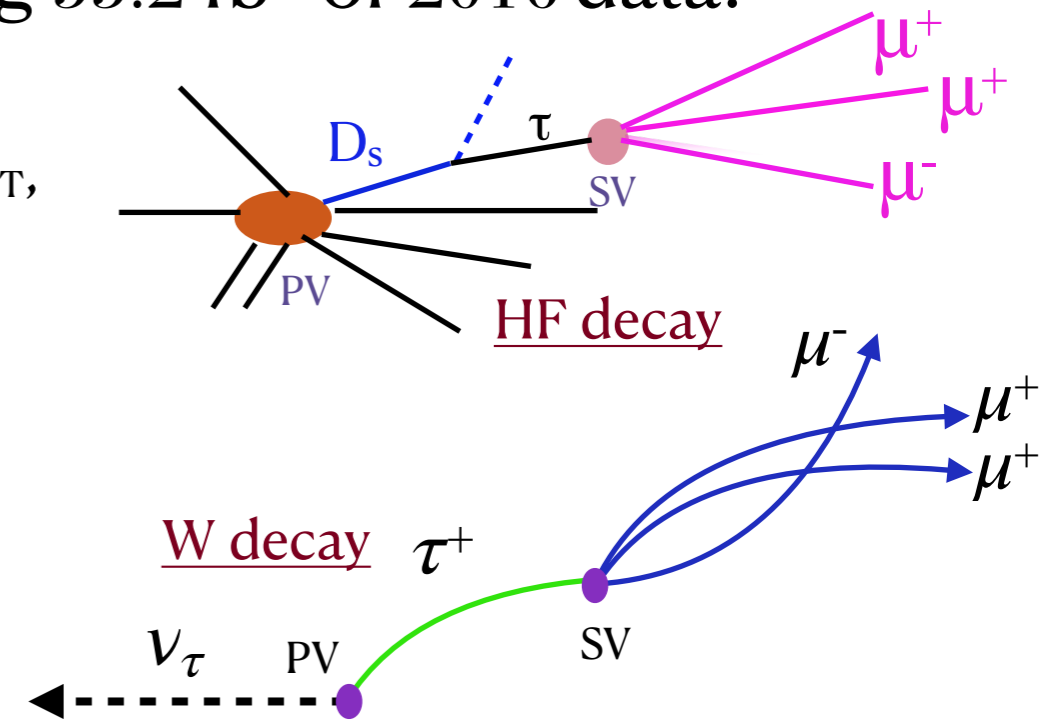
✓ τ selection

- ▶ Trigger: two muons and a track with mass and vertex requirements, (two muon $p_T > 3 \text{ GeV}$, track $p_T > 1.2 \text{ GeV}$)
- ▶ vertex displaced from beam spot $> 2\sigma$
- ▶ mass in $1.62 - 2.00 \text{ GeV}$

✓ Normalization: (HF decay)

$$D_s^\pm \rightarrow \phi \pi^\pm \rightarrow \mu^+ \mu^- \pi^\pm$$

- ▶ Selected with signal trigger
- ▶ Template fit to proper decay length in data to evaluate the fraction of D_s candidates from B meson decay



Analysis strategy

BPH-17-004

- ✓ To suppress the background the BDT is trained on sideband data and signal MC sample
- ✓ Six categories for HF decay; based on BDT score and mass resolution
- ✓ **Signal from D_s**

$$N_{sig(D)} = N \frac{\mathcal{B}(D_s \rightarrow \tau\nu)}{\mathcal{B}(D_s \rightarrow \phi\pi \rightarrow \mu\mu\pi)} \frac{\mathcal{A}_{3\mu(D)} \epsilon_{reco}^{3\mu} \epsilon_{trig.sig}^{2\mu} \mathcal{B}(\tau \rightarrow 3\mu)}{\mathcal{A}_{2\mu\pi} \epsilon_{reco}^{2\mu\pi} \epsilon_{trig(\mu\mu\pi)}^{2\mu}}$$

Normalization channel yield

Acceptance and efficiency correction

Fraction from decay length fit

Signal from direct B meson decay

$$N_{sig(B)} = f \frac{\mathcal{B}(B \rightarrow \tau + \dots)}{\mathcal{B}(B \rightarrow D_s + \dots) \mathcal{B}(D_s \rightarrow \tau\nu)} \frac{\mathcal{A}_{3\mu(B)}}{\mathcal{A}_{3\mu(D)}} N_{sig(D)}$$

- ✓ **Signal from W**

$$\mathcal{B}(\tau \rightarrow 3\mu) = \frac{N_{sig(W)}}{\mathcal{L}\sigma(pp \rightarrow W + X) \mathcal{B}(W \rightarrow \tau\nu) \mathcal{A}_{3\mu(W)} \epsilon_{3\mu(W)}}$$

Integrated luminosity

Ref: [Phys. Lett. B 759 \(2016\) 601](#), [Phys. Rev. D 98 \(2018\) 030001](#)

Acceptance efficiency

combined efficiency

- ✓ Two categories for W decay; based on pseudo-rapidity (barrel and endcap)

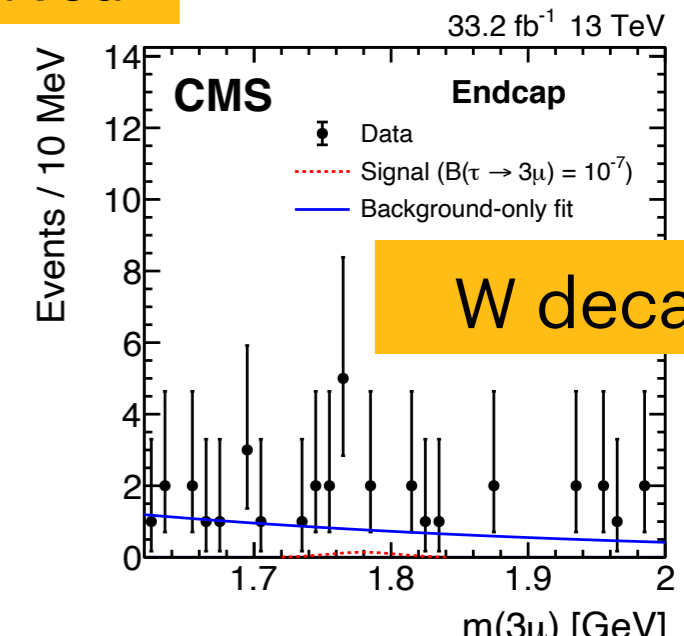
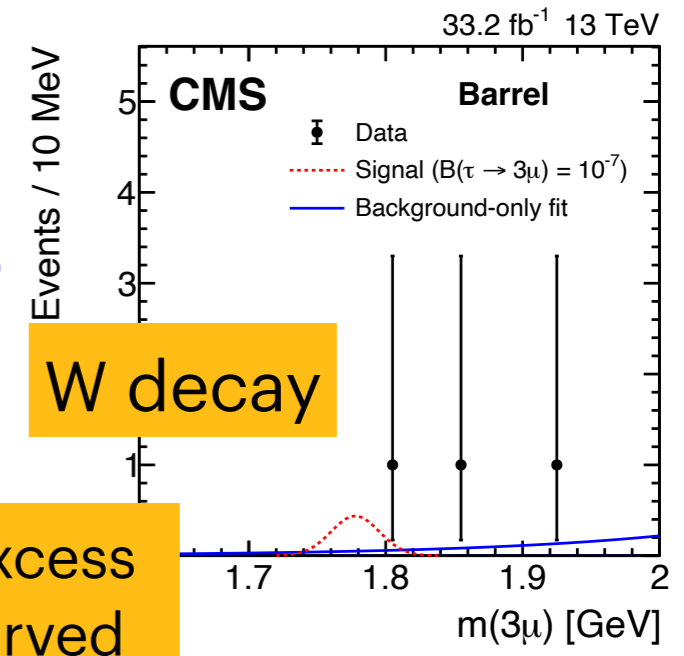
Result

BPH-17-004

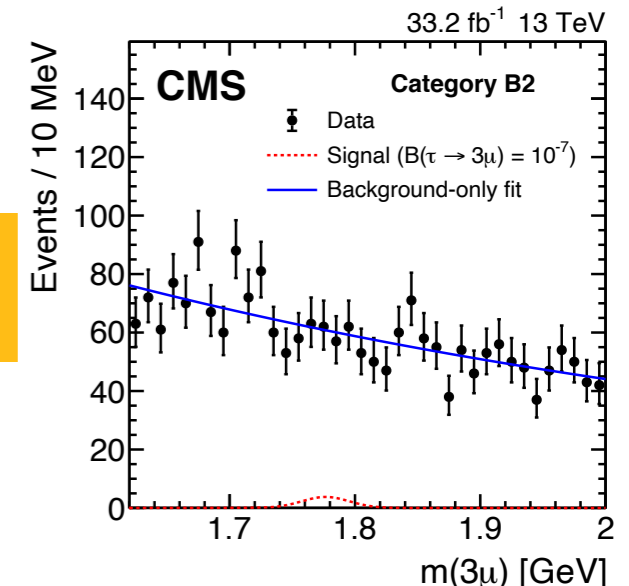
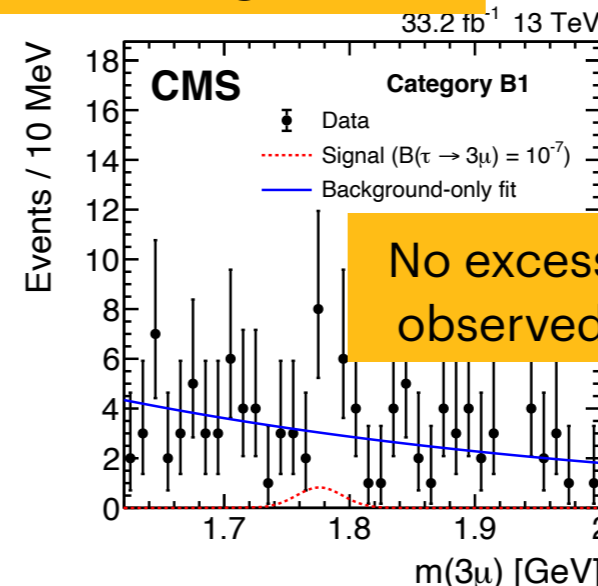
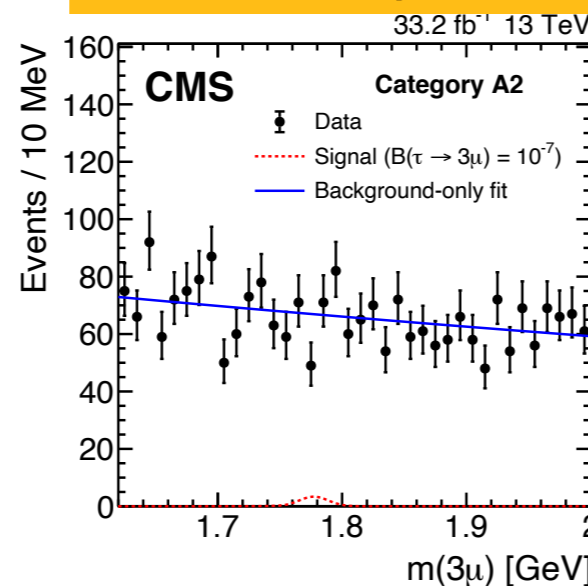
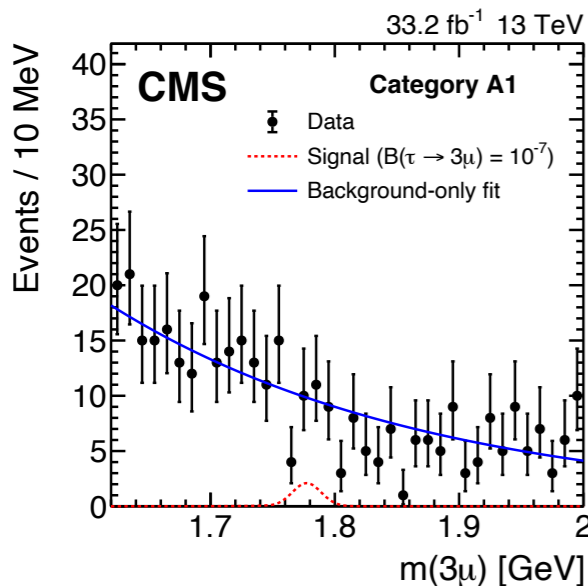
- ✓ Simultaneous unbinned maximum likelihood fit performed over eight categories(6+2)
- ✓ Upper limit using modified frequentist CLs method

CL(90%)	Expected BR($\tau \rightarrow 3\mu$)	Observed BR($\tau \rightarrow 3\mu$)
HF decay	10.0×10^{-8}	9.2×10^{-8}
W decay	13×10^{-8}	20×10^{-8}
Combined	6.9×10^{-8}	8.0×10^{-8}

- ✓ Similar sensitivity to LHCb and Babar
- ✓ 4 times away from current most restrictive one(Belle)



HF decay Different categories



Summary

Two main topics were discussed today:

✓ $B^0_{(s)} \rightarrow \mu^+ \mu^-$:

- ▶ The very rare decay $B^0_s \rightarrow \mu^+ \mu^-$ has been clearly observed. More data are required to precisely measure the properties of B^0_s mesons and to observe $B^0 \rightarrow \mu^+ \mu^-$ decay in the near future.

Ref: [CMS JHEP 04 \(2020\) 188](#)

✓ $\tau \rightarrow 3\mu$:

- ▶ Three-muon mass fit performed in eight categories (HF and W production mode)
- ▶ No excess observed
- ▶ Upper limit set at 90% CL: $BF(\tau \rightarrow 3\mu) < 8.0 \times 10^{-8}$

Ref: [BPH-17-004](#)

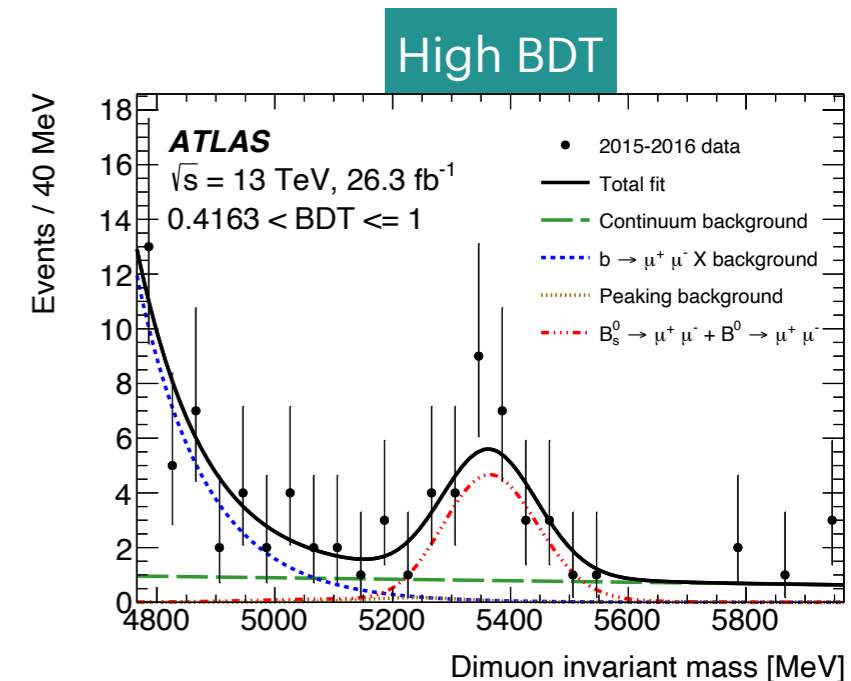
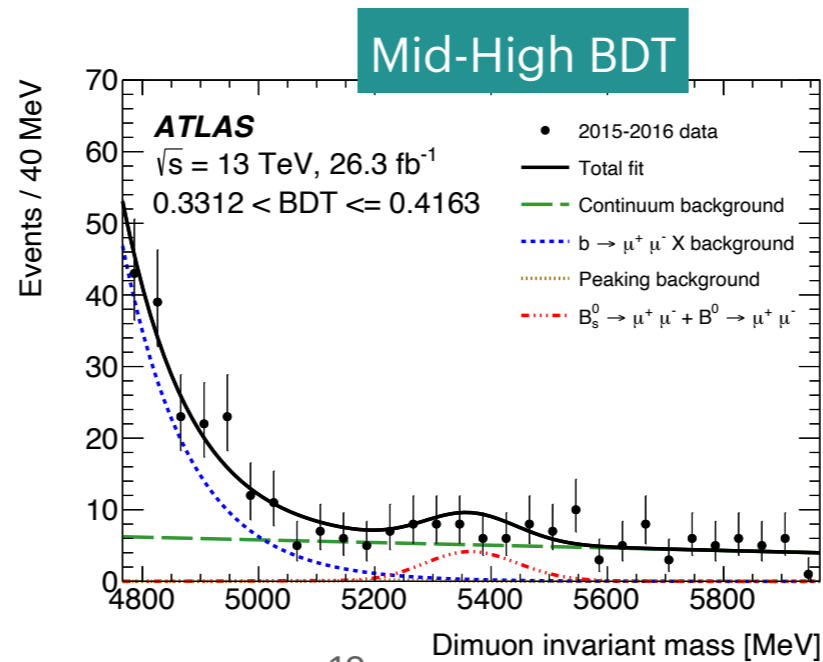
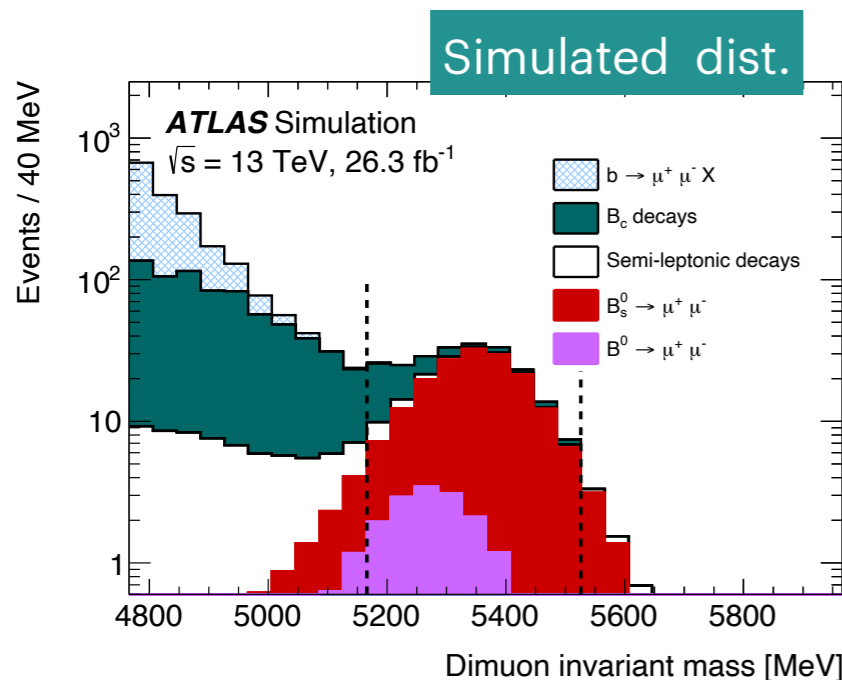
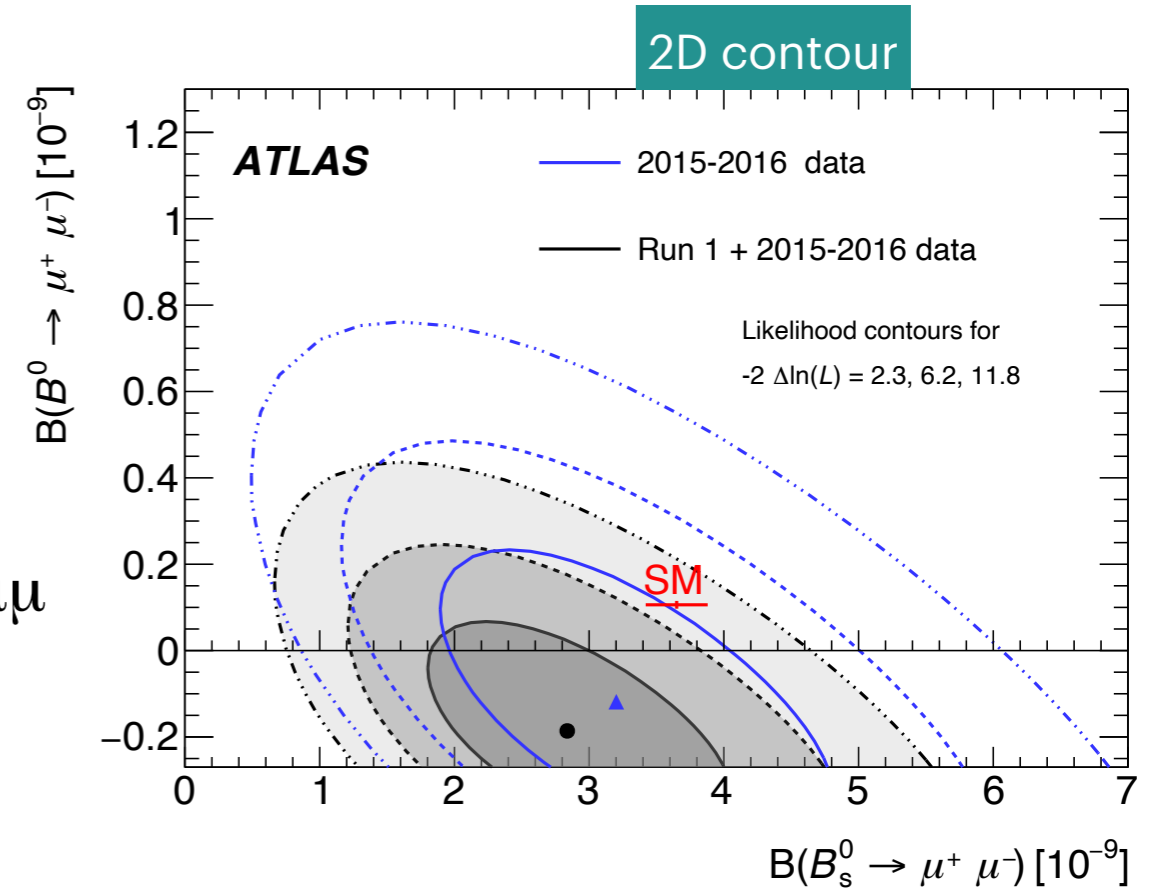
Thank you !

Backup

ATLAS results

Channel	Branching fraction
$B_s \rightarrow \mu^+ \mu^-$	$(2.8^{+0.8}_{-0.7}) \times 10^{-9}$
$B_d \rightarrow \mu^+ \mu^-$	$< 2.1 \times 10^{-10}$

✓ Combined significance (Run 1+Run2) for $B_s \rightarrow \mu\mu$
 4.6σ .

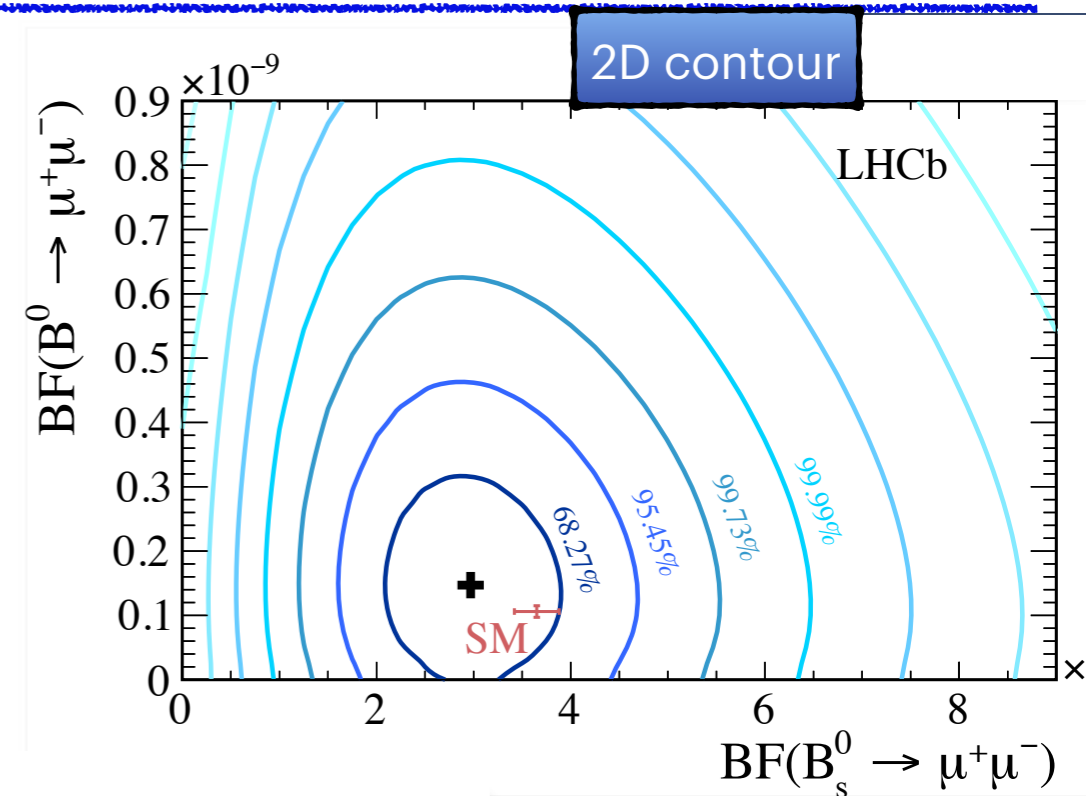


LHCb results

Ref: [LHCb PRL 118, 191801 \(2017\)](#)

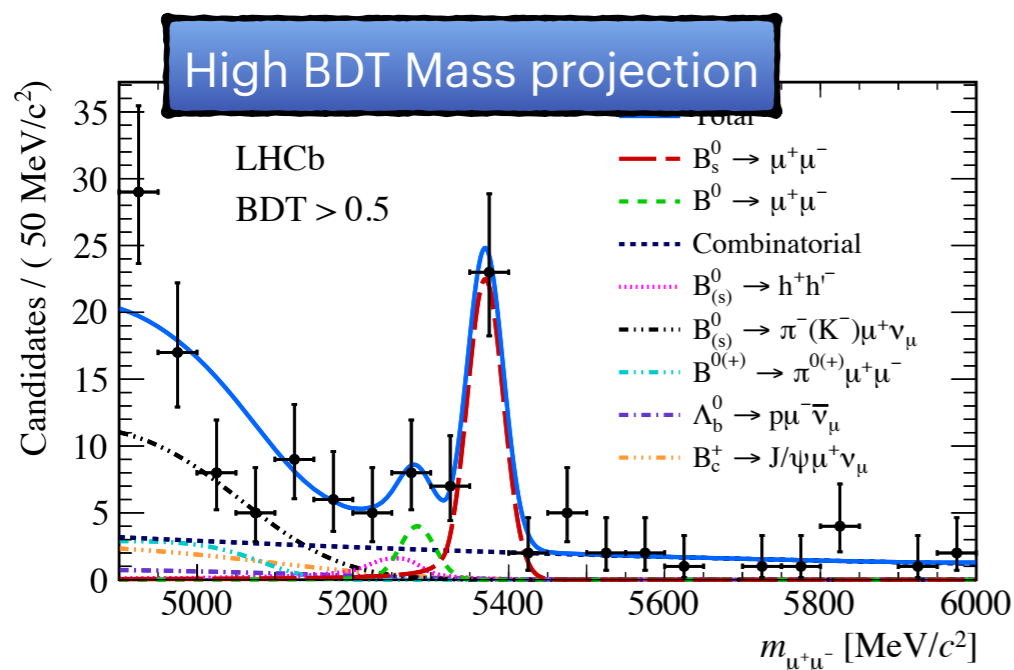
✓ Compatible with SM.

Channel	Branching fraction	Sign.(Obs)
$B_s \rightarrow \mu^+ \mu^-$	$(3.0 \pm 0.6^{+0.3}_{-0.2}) \times 10^{-9}$	7.8σ
$B_d \rightarrow \mu^+ \mu^-$	$(1.5^{+1.2}_{-1.0}) \times 10^{-10}$	1.6σ

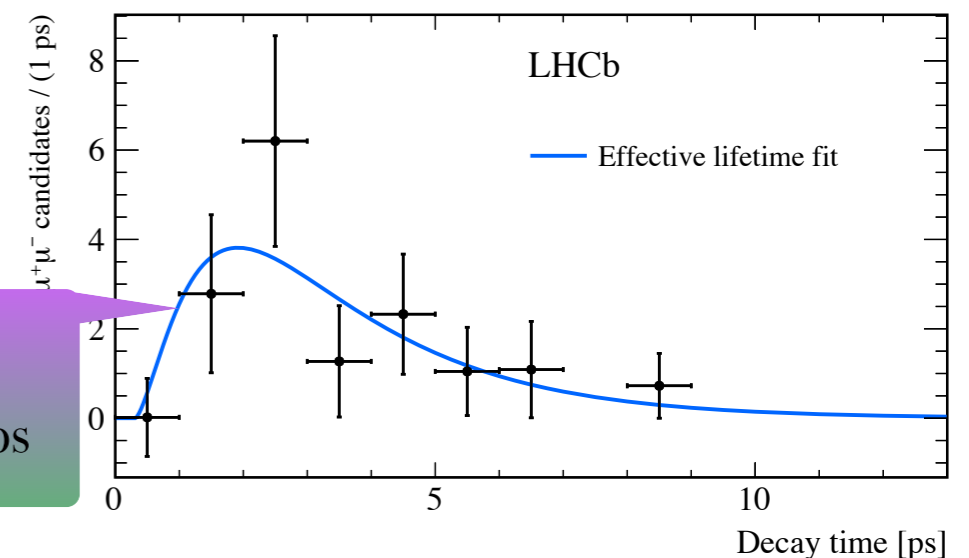


✓ $\mathcal{B}(B_d \rightarrow \mu\mu) < 3.4 \times 10^{-10}$ @ 95% C.L.

✓ sPlot method to evaluate effective lifetime



Decay time projection



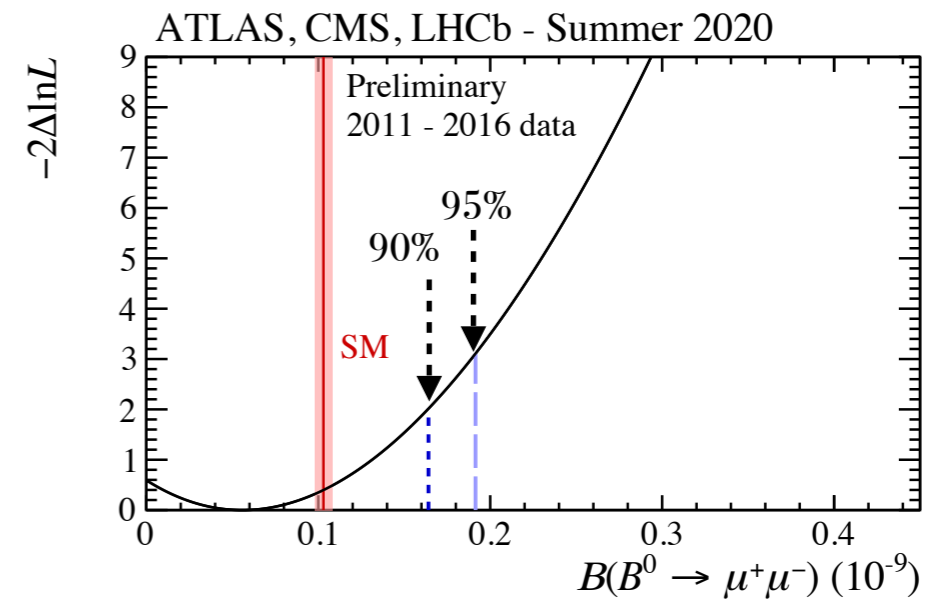
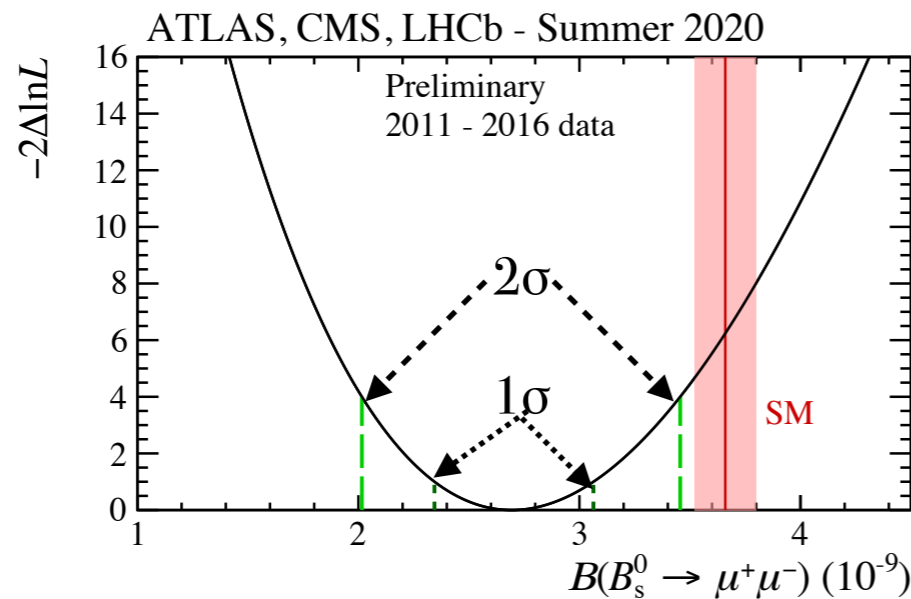
$\tau(\mu\mu) = 2.04 \pm 0.44 \pm 0.05 \text{ ps}$

Combined 1D likelihood curve

CMS-PAS-BPH-20-003
LHCb-CONF-2020-002
ATLAS-CONF-2020-049

- ✓ Profile 1D likelihood for one of the branching fraction and ratio

$$R = \mathcal{B}(B^0 \rightarrow \mu^+ \mu^-) / \mathcal{B}(B_s \rightarrow \mu^+ \mu^-)$$



- ✓ Compatible with SM at 2.4σ and 0.6σ for $\mathcal{B}(B_s)$ and $\mathcal{B}(B^0)$

	Upper Limit @90(95)% CL
$\mathcal{B}(B^0 \rightarrow \mu^+ \mu^-)$	$< 1.6(1.9) \times 10^{-10}$
$R = \mathcal{B}(B^0) / \mathcal{B}(B_s)$	$< 0.052(0.060)$

