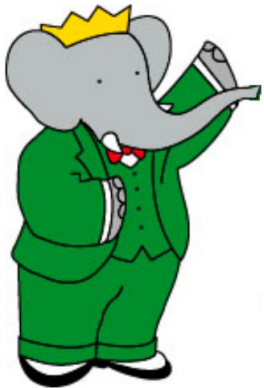




Search for low-mass new physics states at BaBar

19th International Conference on B-Physics at Frontier Machines

21-24 September 2020 – IPMU, Tokyo – Virtual conference



Laura Zani*

On behalf of the BaBar collaboration



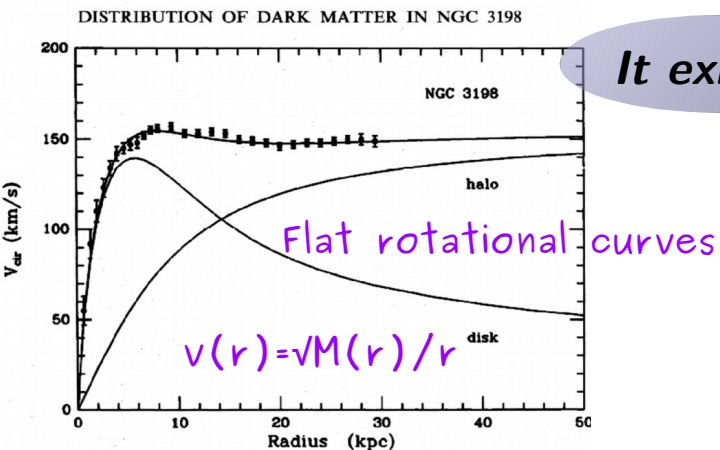
*zani@cppm.in2p3.fr - Aix Marseille Univ, CNRS/IN2P3, CPPM, Marseille, France

Outline

- Dark Matter puzzle
- Experimental context: B-factories and BaBar
- Dark sector searches at electron-positron colliders
 - Axion-Like Particles in meson decays
 - Dark tauonic force *arxiv:2005.01885*
- Results

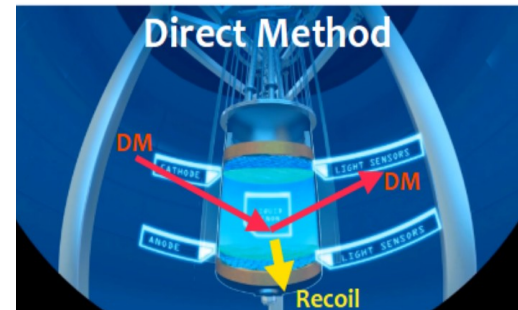
Dark matter puzzle

- **Dark Matter (DM)** is one of the most compelling reason for New Physics (NP) searches

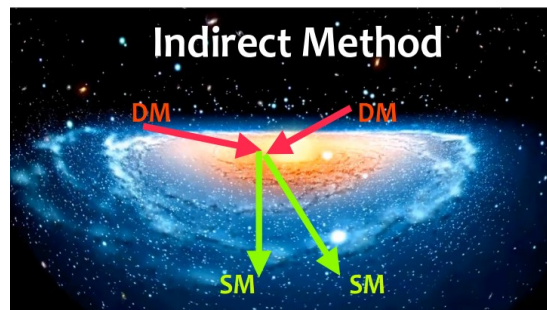


...how to search for it?

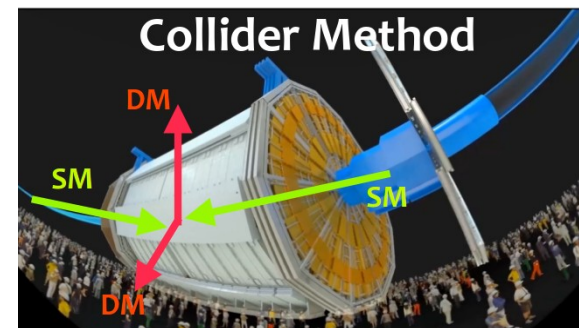
- 1) Detect the energy of *nuclear(electron) recoil*



- 2) Detect the *flux of visible particles* produced by *DM annihilation* and decay

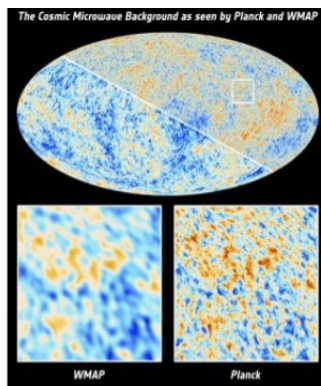


- 3) DM weakly couples to SM particles and it can be produced in *SM-particles annihilation at accelerators*

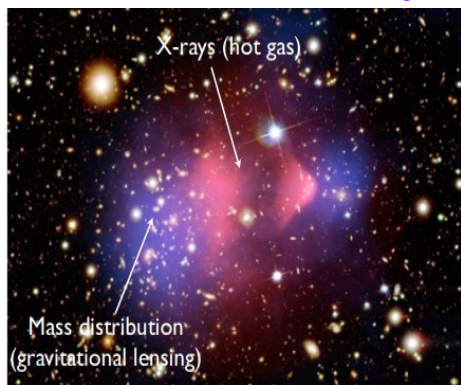


→ This presentation will focus on DM searches at B-factories

CMB fluctuations



Gravitational lensing



BaBar experiment



BaBar belongs to the first generation of **B-factories**: dedicated experiments at e^+e^- *asymmetric-energy colliders* for the production of quantum coherent $B\bar{B}$ pairs \rightarrow **CP violation studies**.

(1999-2008)

$$e^+e^- \rightarrow \Upsilon(4S) [10.58 \text{ GeV}] \rightarrow B\bar{B}$$

$$\sigma(b\bar{b}) = 1.1 \text{ nb}, \sigma(\tau^+\tau^-) = 0.91 \text{ nb}$$

SVT: 5 layers double-sided Si.
Crucial for measuring Δt .

DCH: 40 layers in 10 super-layers, axial and stereo.

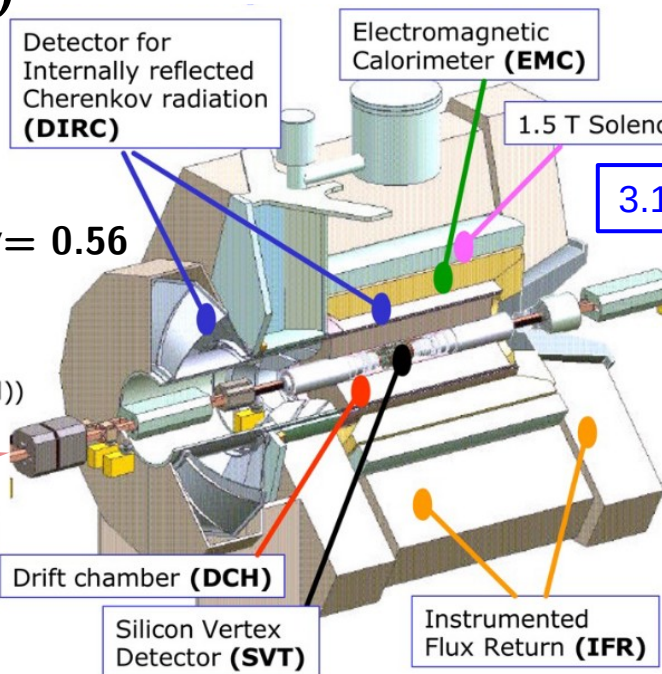
DIRC: Array of precisely machined quartz bars.
Excellent Kaon identification.

EMC: Crystal calorimeter (CsI(Tl))
Very good energy resolution.
Electron ID, π^0 and γ reco.

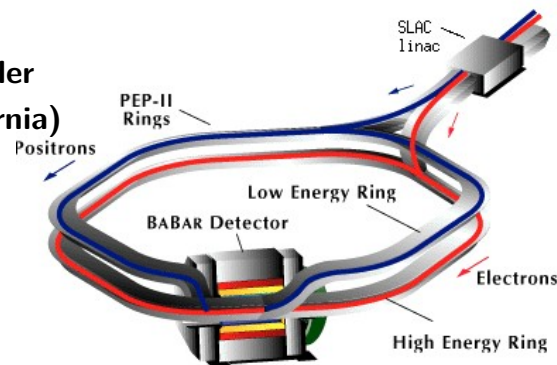
IFR: Layers of RPCs within iron.
Muon and neutral hadron (K_L)

$$\beta\gamma = 0.56$$

9 GeV e^-



PEP II collider
(SLAC, California)

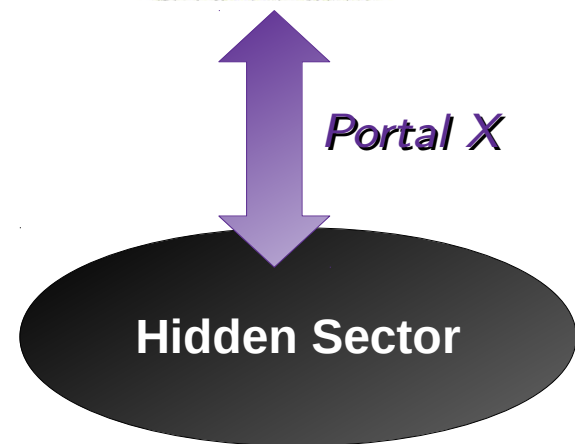
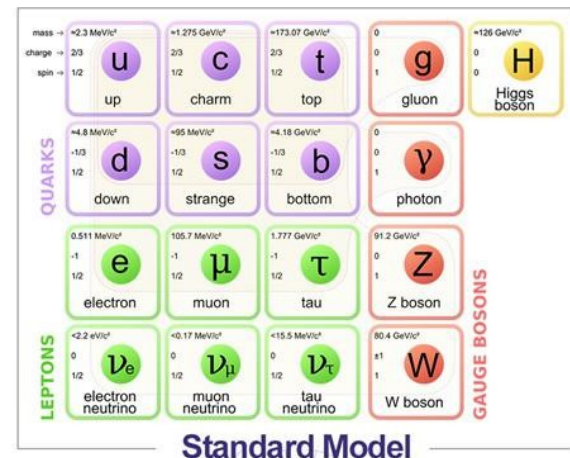


- **Clean environment** \rightarrow lower background, high resolution
- **Hermetic detector** with excellent PID capability \rightarrow efficient reconstruction of *neutrals* (π^0 , η , ...)
- Full data set **at $\Upsilon(4S)$, $\Upsilon(3S)$, $\Upsilon(2S)$** and their vicinities (*off-resonance scans*)*: **514 fb⁻¹**

*Luminosity paper: <https://arxiv.org/pdf/1301.2703.pdf>

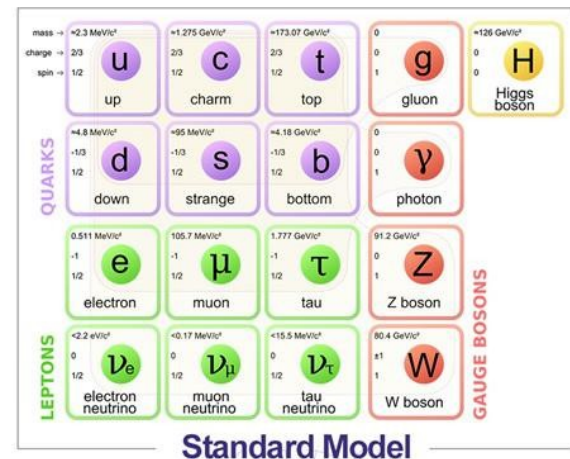
Dark Sector at B-Factories

- Possible sub-GeV scale scenario: light dark sector weakly coupled to SM through a light *mediator X*
 - Scalar portal \rightarrow Dark Higgs/Scalars
 - Vector portal \rightarrow Dark Photon A'
 - Neutrino portal \rightarrow Sterile Neutrinos
 - *Pseudo-scalar portal* \rightarrow *Axion Like Particles (ALPs)*



Dark Sector at B-Factories

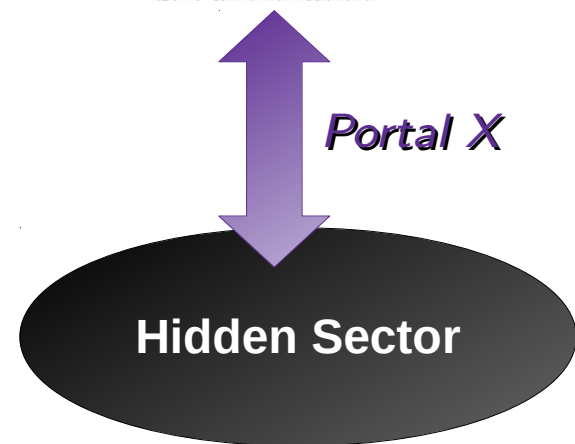
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 - *Pseudo-scalar portal \rightarrow Axion Like Particles (ALPs)*



Preliminary, finalizing submission

- Search for ALPs in B-meson decays: $B^\pm \rightarrow K^\pm a, a \rightarrow \gamma\gamma$
- Search for dark leptophilic scalars in τ -pair events: $e^+e^- \rightarrow \tau^+\tau^-\phi_L, \phi_L \rightarrow l^+l^- (l=e,\mu)$

Submitted to PRL, arxiv:2005.01885

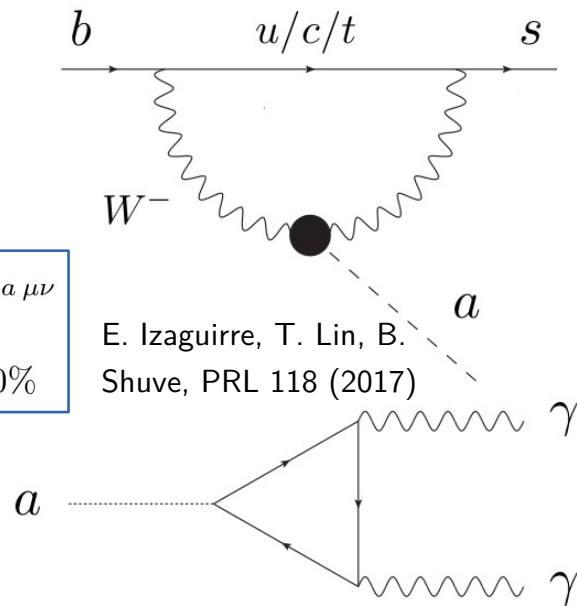


ALPs in meson decays

- ALPs are pseudo-scalars mainly coupling to pairs of gauge bosons, with non-renormalizable coupling constant $[g_{aV}] \sim 1/M$
- Most of ALPs searches target **gluons or photons coupling** at $E \sim \text{MeV-GeV}$
- W^\pm coupling is usually suppressed at low energy for $E \ll M_W$
 - *Flavor Changing Neutral Current* processes are a perfect testbed to search for low mass ALP emitted by a W^\pm (exploit $b \rightarrow s$ transitions)
- $B \rightarrow K \Upsilon \Upsilon$ is extremely rare in the SM and hence uniquely sensitive to very small **ALP-W coupling** g_{aW}
- $\tau \sim 1/m_a^3 g_{a\Upsilon\Upsilon}^2$: displaced vertex, long-lived particle constraints

$$\mathcal{L} = -\frac{g_{aV}}{4} a W_{\mu\nu}^a \tilde{W}^{a\mu\nu}$$

$$BF(a \rightarrow \gamma\gamma) = 100\%$$



Search for the process $B^\pm \rightarrow K^\pm a, a \rightarrow \Upsilon\Upsilon$ by looking at narrow peaks in the **diphoton invariant mass spectrum** → *signature searched for the first time!*

$B^\pm \rightarrow K^\pm a$: event selection

- Reconstruct an event with a *good K^\pm candidate* and 2 *photons*, constrained by a **kinematic fit** to come from the *beam spot*
- Constrain the reconstructed **B-meson kinematic variables** (mass, energy) to the beam energy:

$$m_{ES} = \sqrt{\frac{(s/2 + \vec{p}_i \cdot \vec{p}_B)^2}{E_i^2} - p_B^2} > 5.0 \text{ GeV}$$

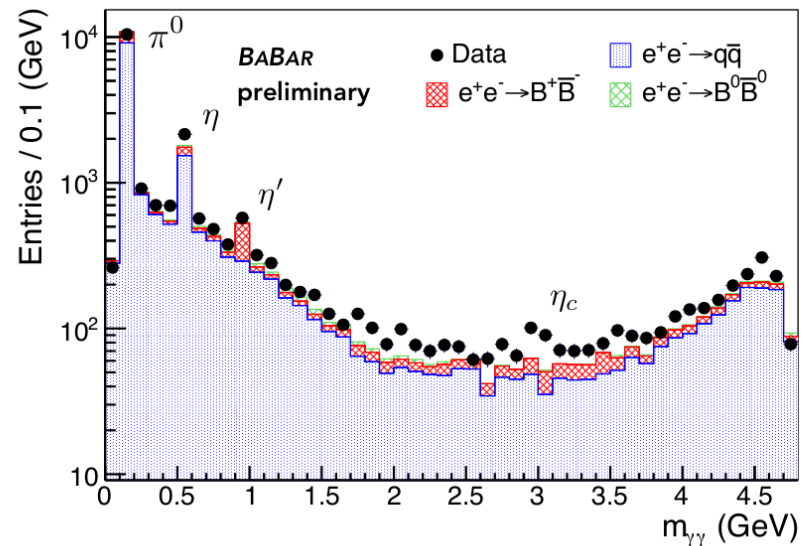
$$|\Delta E| = |\sqrt{s}/2 - E_B^{CM}| < 0.3 \text{ GeV}$$

(E_i, p_i) = four momentum of CM system in lab frame

- **2 Boosted Decision Trees** trained separately on the two main background contributions: continuum from $e^+e^- \rightarrow q\bar{q}$ processes and generic $B\bar{B}$ decays

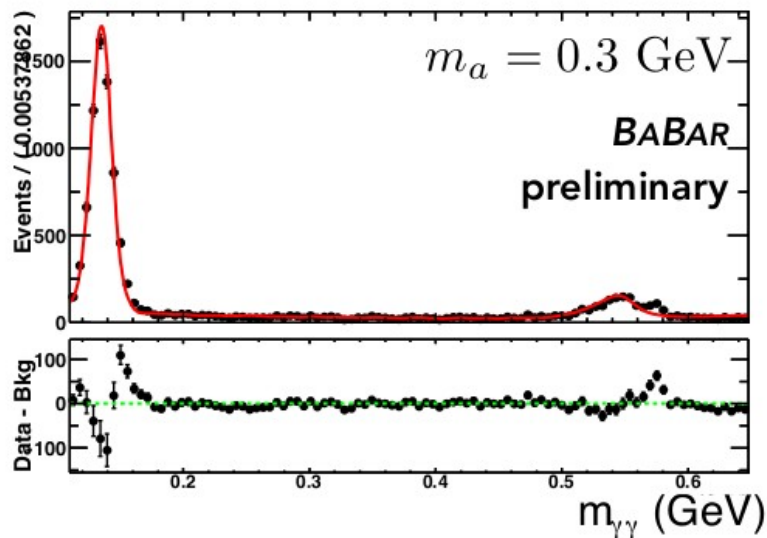
→ Final BDT output selections Punzi FOM optimized

Total data set: 424/fb at $\Upsilon(4S)$, corresponding to 240 million B^+B^- pairs
Blind analysis: optimize selections on 8% of data then discard it.



$B^\pm \rightarrow K^\pm a$: fit strategy and signal extraction

- Scan diphoton spectrum: **476 unbinned maximum likelihood fits** for each mass hypothesis (excluding scan in the vicinity of peaking background contamination from π^0, η, η')
- Fit windows vary from $30\text{-}70\sigma$, with σ **the signal resolution** (8-14 MeV) \rightarrow extracted from fits to signal simulations by using double-side Crystal Ball function and interpolated for intermediate values

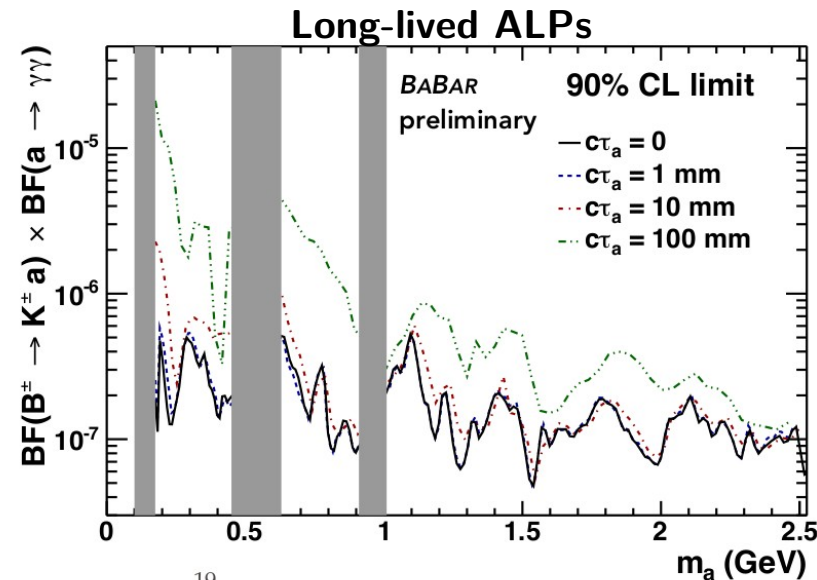
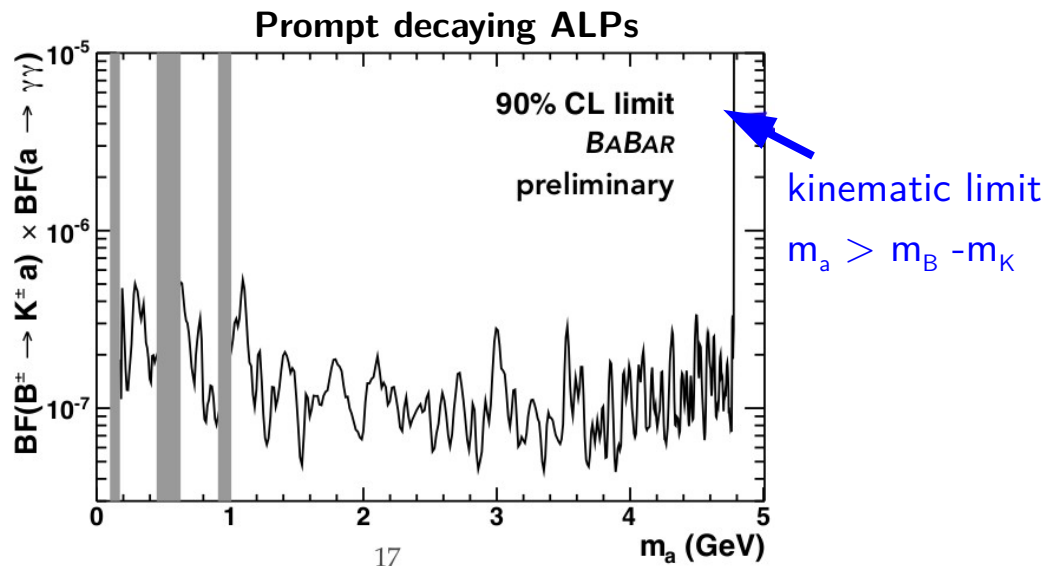


- **Signal PDF:** double-side Crystal Ball function; shape parameters fixed to results from fits to simulations
- **Background PDF:**
 - *Combinatoric from continuum* \rightarrow 2nd-order polynomial for $m_a < 1.35$ GeV, 1st-order polynomial for higher masses;
 - *Peaking* \rightarrow SM diphoton resonance templates (from simulation) + resolution Gaussian function.

Highest global significance $< 1\sigma$ after including trial factors

$B^\pm \rightarrow K^\pm a$: branching fraction limits

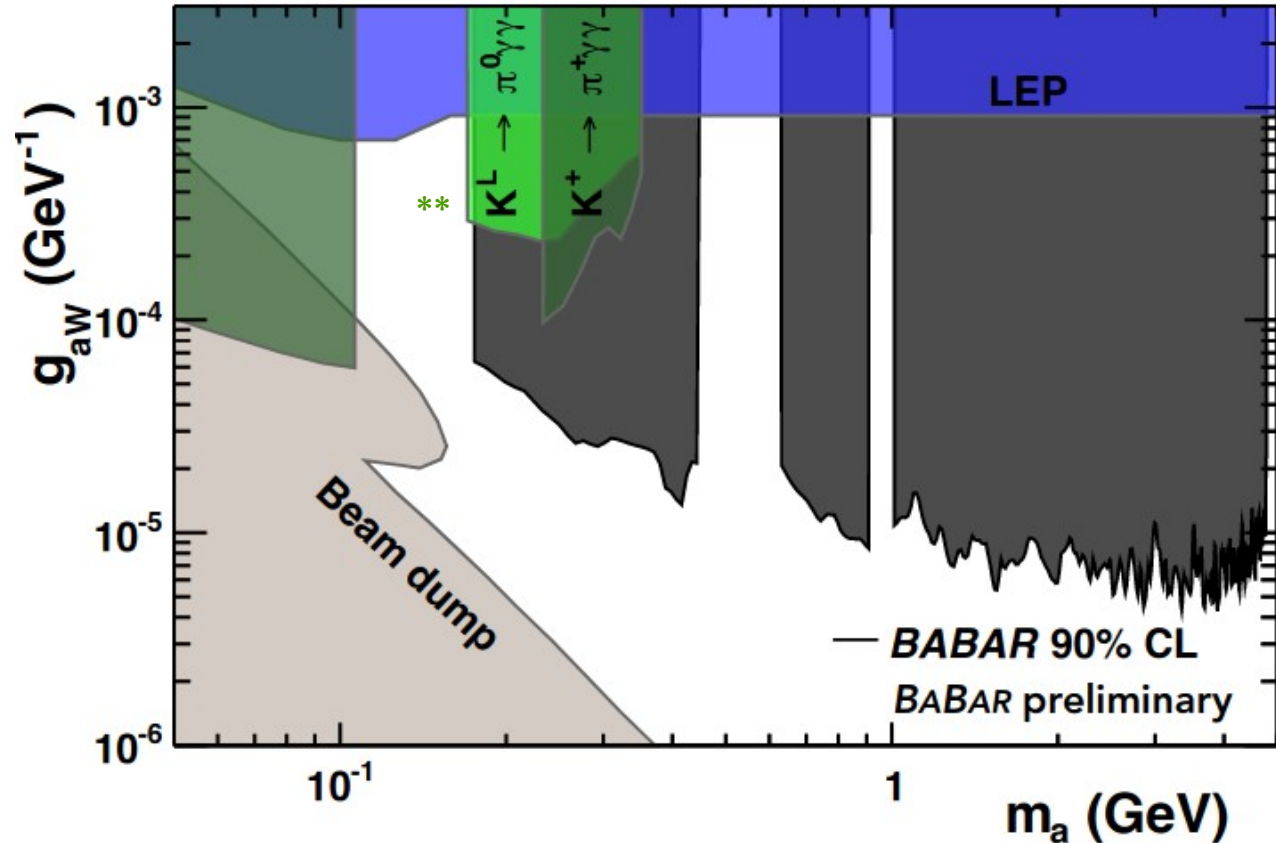
- No significant signal observed, set **Bayesian 90% CL upper limits** on the $BF(B^\pm \rightarrow K^\pm a, a \rightarrow \gamma\gamma)$:
 - Flat positive priors and integrate over nuisance parameters (**marginalization**) \rightarrow *systematic uncertainty* modeled with a Gaussian distribution with width equal to the size of the estimated effect
- Systematic effect due to background modeling expected to be improved in final revision
- Same selection and fit procedures as optimized for **prompt ALPs** applied to *long-lived ALPs*, for $m_a < 2.5$ GeV



$B^\pm \rightarrow K^\pm a$: limits on ALP coupling

- From measured upper limits on BF as a function of the lifetime derive limits on g_{aW} coupling
- Improvement of 2 orders of magnitude on all the mass spectrum for $m_a < 5$ GeV

** Limits from kaons decays are extracted from existing measurements, according to the study presented here: *Phys.Rev.Lett.* 118 (2017) 11, 111802

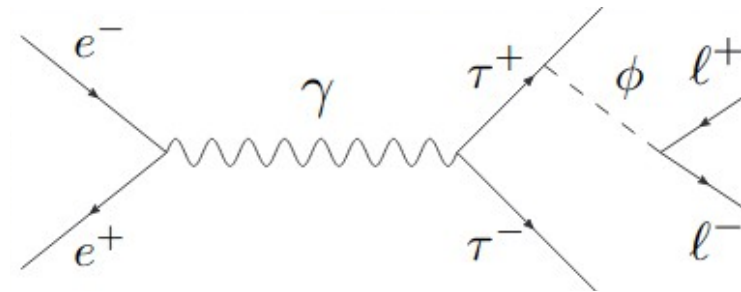


Dark tauonic force

- New light dark scalar with mass-proportional coupling to leptons
- Could explain the $g-2$ muon anomaly (only weakly constrained by previous searches for *muonic dark force**)
 - Leptophilic scalar radiatively emitted by τ pairs may be enhanced due to larger masses!
- Look for the process: $e^+e^- \rightarrow \tau^+\tau^-\phi_L, \phi_L \rightarrow l^+l^-$ ($l=e,\mu$)
- Search for a narrow resonance in **dilepton spectrum** for $0.04 \text{ GeV} < m_{\phi_L} < 7.0 \text{ GeV}$:
 - $m_{\phi_L} < 2m_\mu$ search separately for lifetimes $c\tau_{\phi_L} = 0, 1, 10, 100$ mm;
 - above dimuon threshold, only prompt decays.

$$\mathcal{L} = -\xi \sum_{l=e,\mu,\tau} \frac{m_l}{v} \bar{l} \phi_L l$$

arXiv:1606.04943



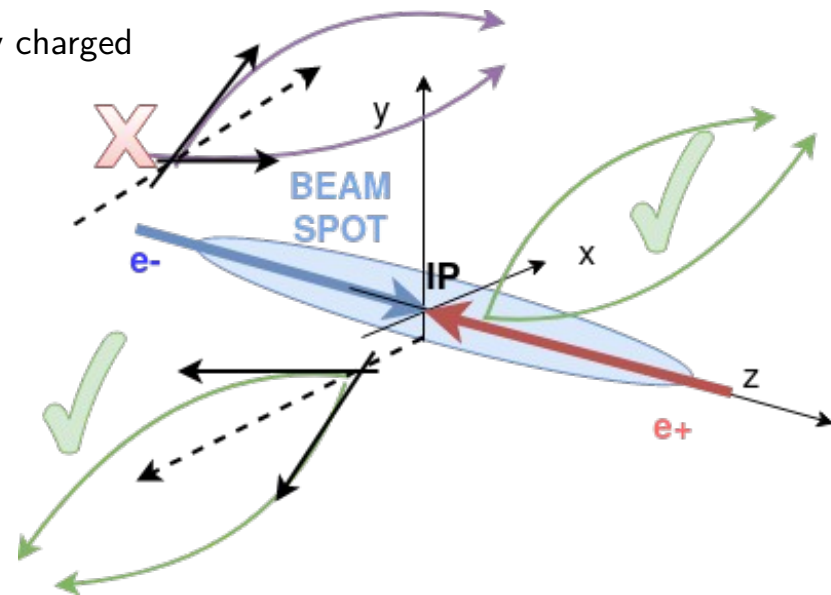
Total BaBar data set at $\Upsilon(2S)$, $\Upsilon(3S)$, $\Upsilon(4S)$ and their vicinities: **514 fb⁻¹**

- 5% used for analysis optimization and discarded → **BLIND ANALYSIS**

* Phys. Rev. D 94,011102 (2016)

Dark leptophilic scalar: event selection

- Only 4 tracks + missing energy/momentum due to τ neutrinos
- Apply Lepton Identification and reconstruct ϕ_L candidates as two oppositely charged leptons, kinematically fitted to the **same vertex**:
 - Constrain to beam spot region for dimuon resonance (prompt only)
 - Resulting momentum points back to Interaction Point for e^+e^-
→ **allow for displaced vertex signatures**
- Reject radiative dilepton + pair conversions contamination:
 - Visible mass lower than 9 GeV
 - Angular isolation from nearby tracks for reconstructed ϕ_L candidate
 - Missing momentum of the event > 300 MeV
- **Boosted Decision Tree** optimized for best signal sensitivity as function of the ϕ_L mass



- Remaining **peaking background** from J/ψ and $\Upsilon(2S)$ contamination → corresponding mass not scanned in the signal search
- $\pi^0 \rightarrow \gamma\gamma$, with $\gamma \rightarrow e^+e^-$ conversions observed for $c\tau_{\phi_L} = 1\text{mm}$ → broader feature than signal resonances, included in the scan and model as background component

Dark leptophilic scalar: signal yield extraction

- **Scan** the dilepton mass spectra with *unbinned maximum likelihood fits* for each mass hypothesis as a function of the lifetimes, in step of **signal resolution, σ**

- For dimuon final states, fit *dimuon reduced mass* for more precise background description:

$$\rightarrow m_R = (m^2_{\mu\mu} - 4m^2_{\mu})^{1/2}$$

- Fit windows on **dielectron** (*dimuon*) spectrum of **20-50 σ** (*fixed to 60 σ*)

Resolutions, σ :

1 MeV ($m_{\phi_L} = 40$ MeV, $c\tau_{\phi_L} = 100$ mm) –
50 MeV ($m_{\phi_L} = 5$ GeV, prompt decays)

→ extracted from fits to signal simulations by using double-side Crystal Ball function

Fit Properties

• Signal PDF:

non-parametric kernel density function, modeled from signal samples and interpolated among simulated mass points

• Background PDF:

- *Combinatoric from continuum* → **dielectron**: 2nd-order polynomial + exponential (except for $c\tau_{\phi_L} = 100$ mm, polynomial only); **dimuon**: 3rd-order polynomial and 2nd-order polynomial for higher masses.
- *Peaking from π^0 conversions* (for $c\tau_{\phi_L} = 1$ mm) → resolution Gaussian function modeled from **sideband data**
- *Peaking from J/ψ and $\Upsilon(2S)$* → shape fixed to results from fits to simulated samples, floating yields (fitted directly on **data**)

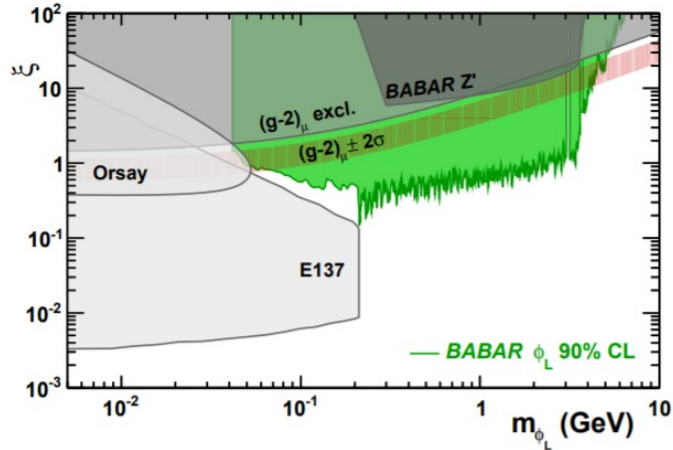
→ Highest significance, including trial factor, is 1.4 σ at ~ 2.14 GeV mass

Dark leptophilic scalar: results

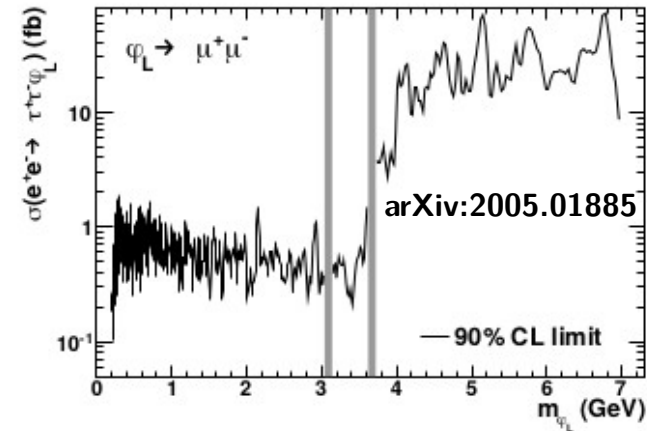
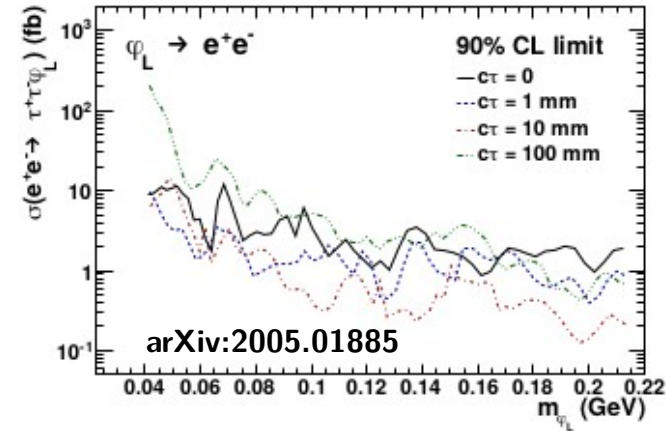
- From the fitted signal yields compute the cross section for $e^+e^- \rightarrow \tau^+\tau^-\phi_L$, $\phi_L \rightarrow l^+l^-$ ($l=e,\mu$) for different {lifetime, final state} as a function of the beam energy

$$\sigma_{4S} = \frac{N_{sig}}{\sum_{i=2S,3S,4S} \left(\frac{\sigma_{th,i}}{\sigma_{th,4S}} \epsilon_i \mathcal{L}_i \right) BF(\phi_L \rightarrow l^+l^-)}$$

- Extract 90% CL Bayesian upper limits
 - flat positive priors and Gaussian-distributed systematic uncertainty **included by marginalizing**
 - dominant systematic effect coming from data/MC comparison → assign 3.8% (4%) systematic uncertainty in the dielectron (dimuon) signal efficiency



Limits on the *leptophilic scalar coupling* as a function of the searched mass are derived within MadGraph5 (iterative procedure)

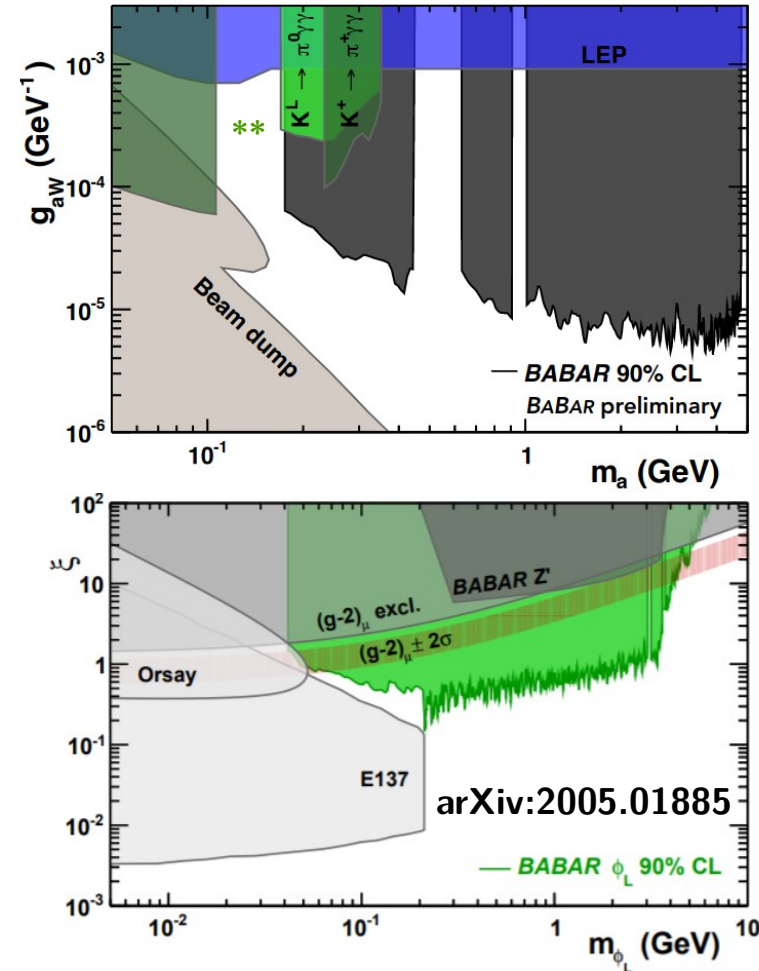


Summary

- The full BaBar data set dominates constraints on some low mass New Physics states.
- Shown today:
 - *First ALPs search in flavor changing B-meson decays ($B^\pm \rightarrow K^\pm a$, $a \rightarrow \gamma\gamma$)*
 - improve the existing limits on the ALP and W-boson **coupling g_{aW}** of 2 orders of magnitude below 5 GeV
 - *First search for a new dark leptophilic scalar produced in τ -pair events*
 - limits on the leptophilic **scalar coupling ξ** improved by one order of magnitude \rightarrow favored $g-2$ muon anomaly band ruled out completely up to 4 GeV.

Thanks for your attention!

** Limits derived in [Phys.Rev.Lett. 118 \(2017\) 11, 111802](#)

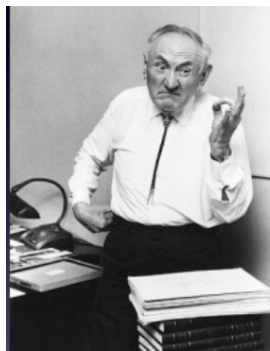


backup

Introduction to dark matter

- Dark Matter (DM) is one of the most compelling issue for physics beyond the Standard Model. Many **astrophysics** and **cosmological observations** provide evidences for its existence:

F. Zwicky, 1933



Virial Theorem:

$$2E_{\text{kine}} = -U$$

$$\langle v(r)^2 \rangle = GM(r)/r$$

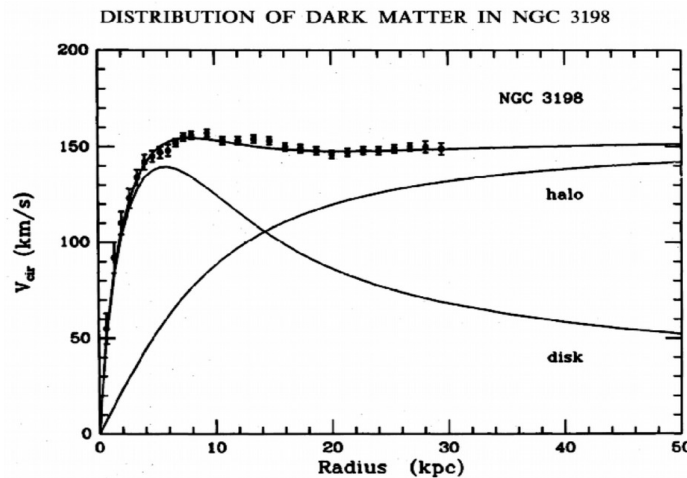
V. Rubin, 1970s



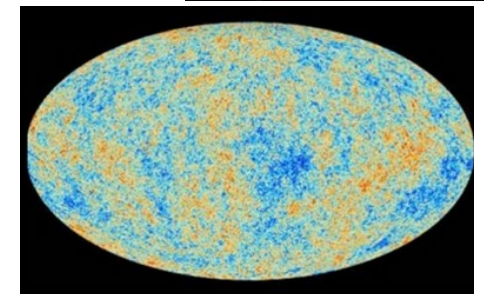
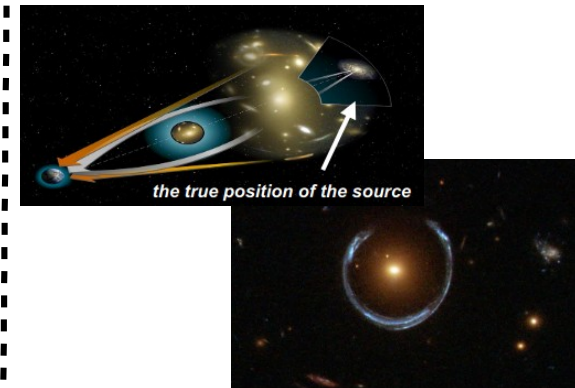
Flat rotational

curves: $v(r) = \text{const}$

→ mass distribution linearly growing with r (assuming $\rho(r) = 1/r^2$)



Gravitational Lensing



CMB Fluctuations

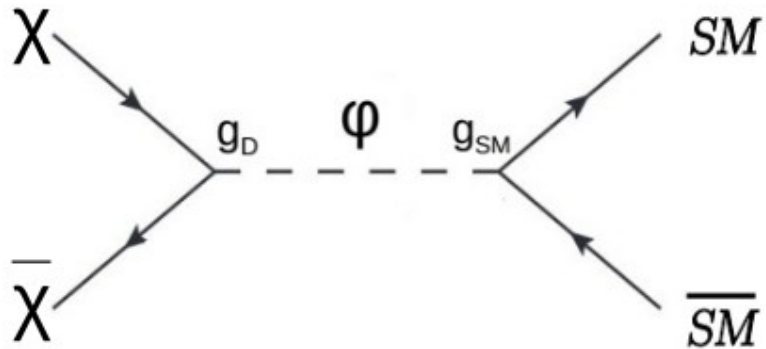
A large amount of not-luminous matter must populate galaxy bulks.

Light dark sectors

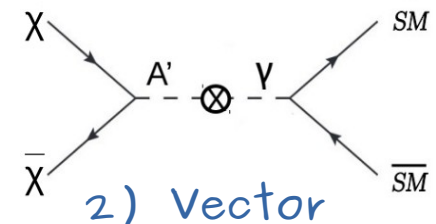
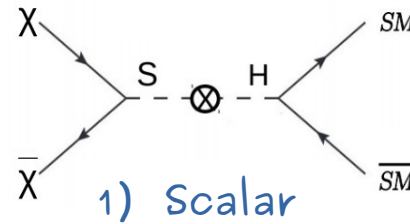
- Possibility of *light dark sectors* motivates the search for a **DM mediator (φ)**:

$$\langle \sigma v \rangle_{relic} \sim \frac{g_D g_{SM} m_\chi^2}{m_\phi^4}$$

$$m_\phi^4 \leq \frac{m_\chi^2}{\langle \sigma v \rangle} \text{ since } g < O(1)$$

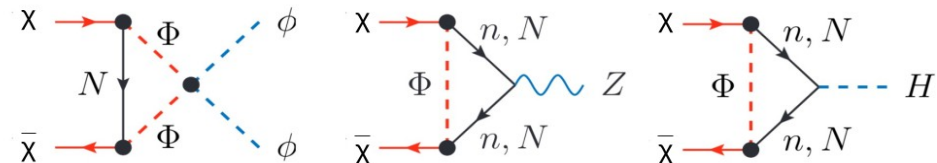


→ According to the spin and parity of new mediator, 3 renormalizable portals with dimensionless couplings are allowed by SM symmetries:



Naturally included in the context of light *dark sectors* → *much more generic*

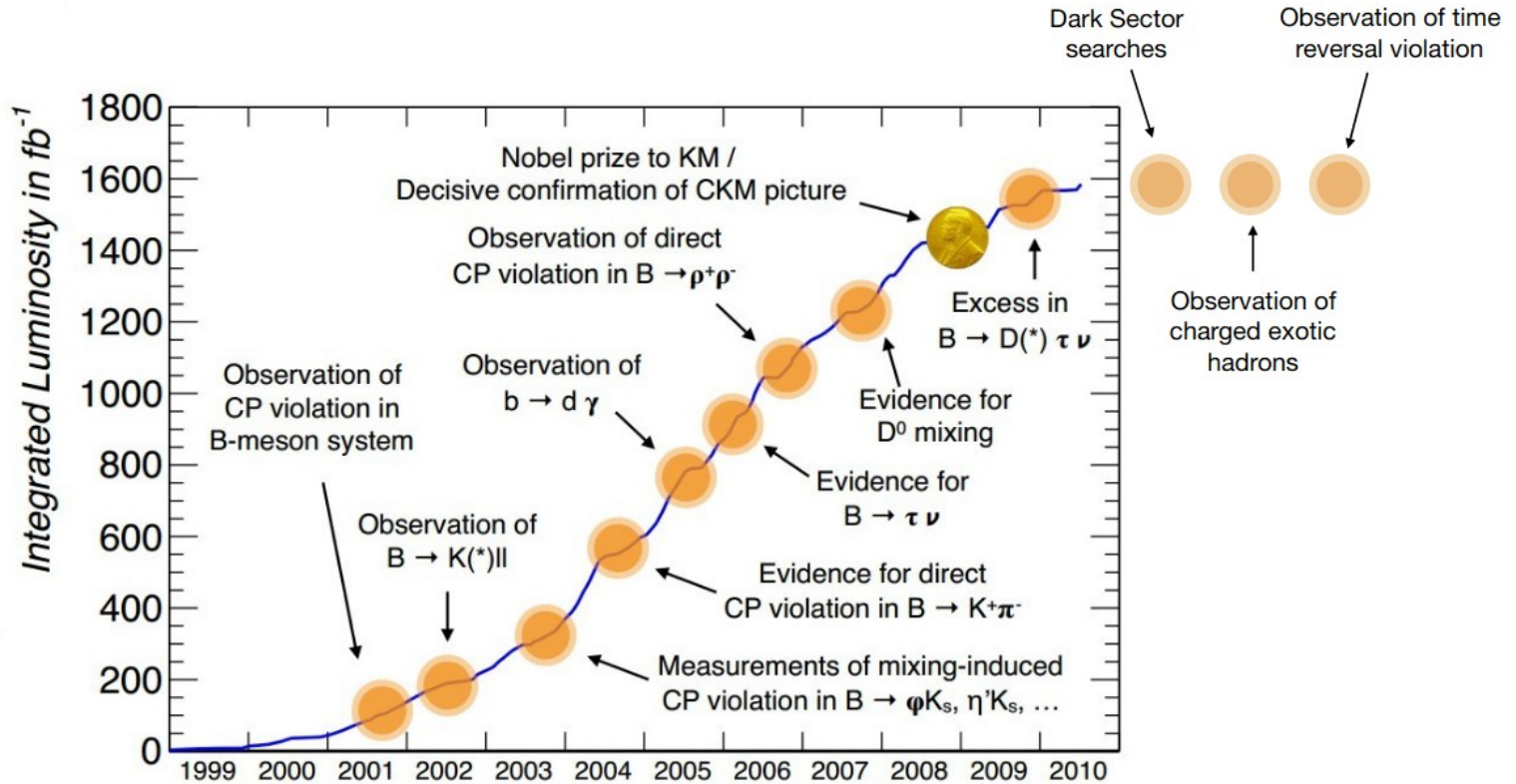
3) Neutrino portal



+ a not-renormalizable **pseudo-scalar** portal assuming Axion-Like Particles

(ALPs) as mediators:
$$\mathcal{L}_a = \frac{1}{f_a} a F^{\mu\nu} \tilde{F}_{\mu\nu}$$

Physics at B-factories



BaBar: PEP-II e^+e^- collider, SLAC, USA, 1999–2008

Belle: KEKB e^+e^- collider, KEK, Tsukuba, Japan, 1999–2010

$B^\pm \rightarrow K^\pm a$: analysis strategy

- Search for the process $B^\pm \rightarrow K^\pm a, a \rightarrow \gamma\gamma$ by looking at narrow peaks in the **diphoton invariant mass** spectrum \rightarrow *Promising signature searched for the **first time!***
- Extract from unbinned maximum likelihood fits the signal yields and measure the branching fraction $BF(B^\pm \rightarrow K^\pm a, a \rightarrow \gamma\gamma)$ for $0.1 \text{ GeV} < m_a < 4.78 \text{ GeV}$
- Exclude mass intervals in vicinity of peaking π^0, η, η' backgrounds: 0.1-0.175 GeV, 0.45-0.63 GeV, 0.91-1.01 GeV
- For $m_a < 2.5 \text{ GeV}$, ALPs can be long lived \rightarrow determine signal BFs for $c\tau_a = 1, 10, 100 \text{ mm}$

Monte Carlo Simulation

Signal (EvtGen): promptly decaying samples for 24 ALP mass points (0.1-4.78 GeV), long-lived samples for 16 ALP mass points (0.1-2.5 GeV)

Background (weighted to data luminosity):
 $e^+e^- \rightarrow q\bar{q}$ (JetSet), $e^+e^- \rightarrow B\bar{B}$ (EvtGen)+ two-lepton final states (KKMC + Tauola)

$B^\pm \rightarrow K^\pm a$: selection variables

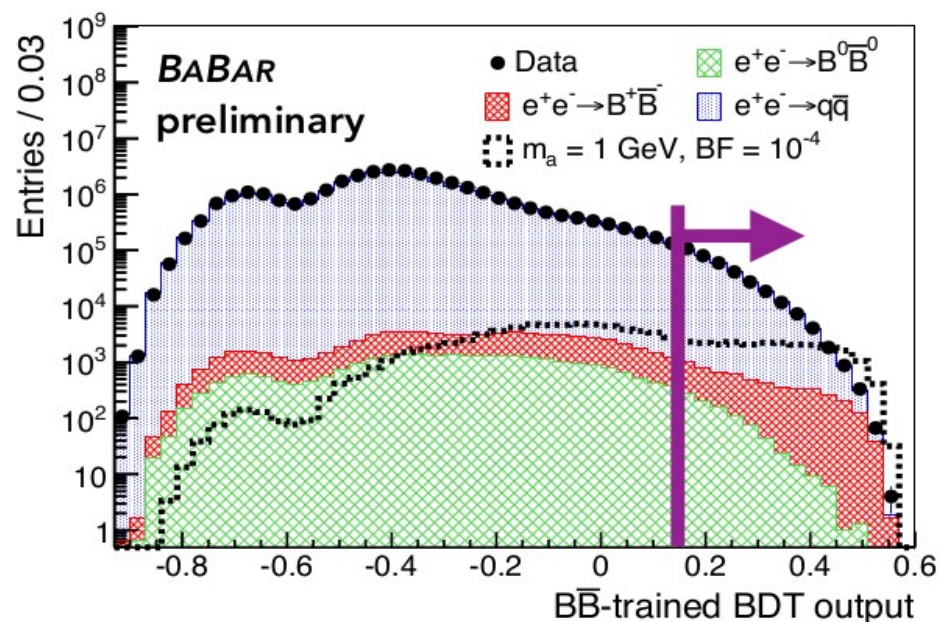
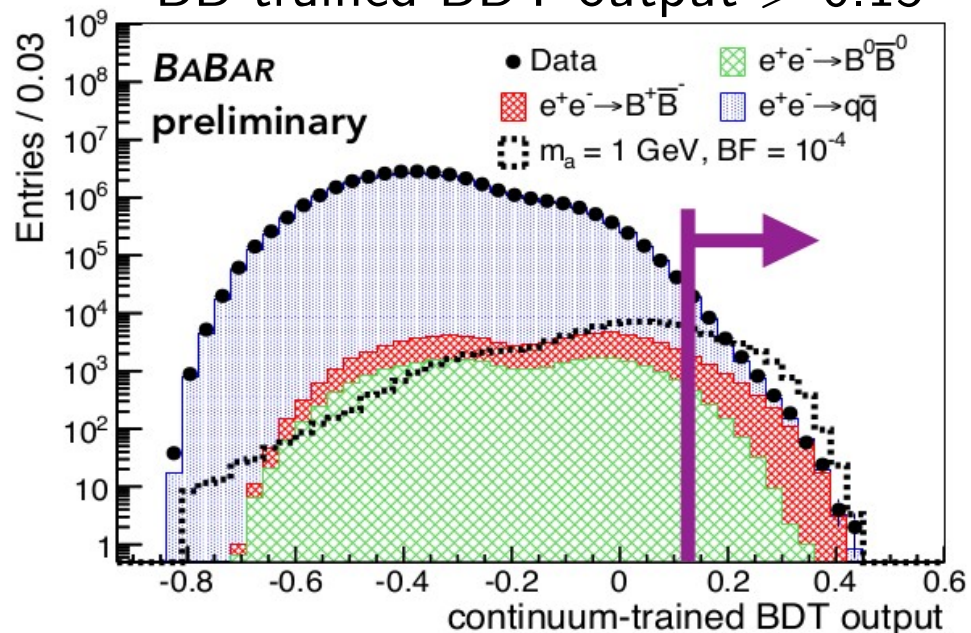
- 13 BDT training observables:
 - m_{ES}
 - ΔE
 - cosine of angle between sphericity axes of B^\pm candidate and rest of event (ROE)
 - PID info for kaon candidate
 - 2nd Legendre moment of ROE, calculated relative to B^\pm thrust axis
 - helicity angle of most energetic photon, and of kaon
 - energy of most energetic photon in a candidate
 - invariant mass of ROE
 - multiplicity of neutral clusters
 - invariant mass of diphoton pair, with 1 photon in B^\pm candidate and 1 photon in ROE, closest to each of π^0, η, η'

$B^\pm \rightarrow K^\pm a$: final selections

- Optimized BDT output selections with Punzi FOM:

- Continuum-trained BDT output > 0.13

- BB trained BDT output > 0.15



$B^\pm \rightarrow K^\pm a$: systematic uncertainties

- Assess uncertainty on signal yield from fit by varying order of polynomial for continuum background (3rd-order for $m_a < 1.35$ GeV, constant at higher mass), varying shape of peaking background within uncertainties, and using next-nearest neighbor for interpolating signal shape
 - Dominates total uncertainty for some masses in vicinity of π^0/η
- Systematic uncertainty on signal yield from varying signal shape width within uncertainty is on average 3% of statistical uncertainty
- 6% systematic uncertainty on signal efficiency, derived from data/MC ratio in vicinity of η'
- Other systematic effects negligible by comparison, including on limited signal MC statistics, luminosity

Dark leptophilic scalar: simulation details

- **Signal** (MadGraph): 36 mass points of promptly decaying ϕ_L samples + long-lived samples up to $c\tau_{\phi_L} = 300$ mm
- **Background (weighted to data luminosity)**: $e^+e^- \rightarrow q\bar{q}$ (JetSet), $e^+e^- \rightarrow (B\bar{B}, \Upsilon(2S, 3S))$ (EvtGen) + radiative dilepton final states (BHWIDE, KKMC + Tauola)

Dark leptophilic scalar: BDT training variables

Input distributions used in dimuon BDT:

- Ratio of second to zeroth Fox-Wolfram moment of all tracks and neutrals.
- Invariant mass of the four track system, assuming the pion (muon) mass for the tracks originating from the tau (ϕ_L) decays.
- Invariant mass and transverse momentum of all tracks and neutrals.
- Invariant mass squared of the system recoiling against all tracks and neutrals.
- Transverse momentum of the system recoiling against all tracks and neutrals.
- Number of neutral candidates with an energy greater than 50 MeV.
- Invariant masses of the three track systems formed by the ϕ_L and the remaining positively or negatively charged tracks.
- Momentum of each track from ϕ_L decays.
- Angle between the two tracks produced by the tau decay.
- Variable indicating if a track has been identified as a muon or an electron by PID algorithm for each track.

Dark leptophilic scalar: BDT training variables

Input distributions used in dielectron BDT:

- Transverse momentum of the system recoiling against all tracks and neutrals.
- Energy of the system recoiling against all tracks and neutrals.
- Number of tracks identified as electron candidates by a PID algorithm applied to each track.
- Angle between φ_L candidate momentum and closest track produced in tau decay.
- Angle between φ_L candidate momentum and farthest track produced in tau decay.
- Angle of φ_L candidate relative to the beam in the center-of-mass frame.
- Angle between the two tracks produced by the tau decay.
- Angle between φ_L candidate and nearest neutral candidate with $E > 50$ MeV.
- Energy of nearest neutral candidate (with $E > 50$ MeV) to φ_L candidate.
- Total energy in neutral candidates, each of which has an energy greater than 50 MeV.
- Distance between beamspot and φ_L candidate vertex.
- Uncertainty in the distance between beamspot and φ_L candidate decay vertex.
- φ_L candidate vertex significance, defined by the beamspot-vertex distance divided by its uncertainty.
- φ_L candidate vertex significance, defined by the beamspot-vertex distance divided by its uncertainty.
- Angle between the φ_L candidate momentum, and line from beamspot to φ_L decay vertex.
- Distance of closest approach to beamspot of e^- in φ_L candidate.
- Distance of closest approach to beamspot of e^+ in φ_L candidate.
- Transverse distance between φ_L decay vertex and best-fit common origin of τ candidates and φ_L candidate.
- χ^2 of the kinematic fit to the φ_L and τ candidates constraining their origin to the same production point.
- χ^2 of the kinematic fit of the φ_L candidate with the constraint that the e^+e^- pair is produced from a photon
- conversion in detector material.
- Dielectron mass for φ_L candidate when re-fit with the photon conversion constraint.⁸