

# Aspects of High Scale Leptogenesis with Low Energy CP Violation

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The origin of the matter-antimatter (or baryon) asymmetry of the Universe is still a fundamental and unresolved problem in Particle Physics and Cosmology, i.e., in Astroparticle Physics. Its solution requires physics beyond that predicted by the Standard Model.

Leptogenesis offers a particularly appealing solution as it relates the generation and smallness of neutrino masses to the generation of the baryon asymmetry of the Universe (BAU).

In its simple realisation a lepton charge CP violating asymmetry is generated in the Early Universe in the CP and lepton charge non-conserving decays of the heavy Majorana neutrinos  $N_{1,2,3}$  of the (type I) seesaw mechanism of neutrino mass generation. This asymmetry is converted into a BAU by (B+L) violating but (B-L) conserving sphaleron processes which exist in the SM and are effective at  $T \sim (132 - 10^{12})$  GeV. The generation of BAU takes place approximately at  $T \sim M_1$ , assuming  $M_1 < M_2 < M_3$ ,  $M_i$  being the mass of  $N_i$ .

# In

K. Moffat, S. Pascoli, S.T.P., H. Schulz, J. Turner, arXiv:1804.05066 (Phys. Rev. D98 (2018) 015036),

K. Moffat, S. Pascoli, S.T.P., J. Turner, arXiv:1809.08251 (JHEP 03 (2019) 034),

I. Brivio, K. Moffat, S. Pascoli, S.T.P., arXiv:1905.12642 (JHEP 10 (2019) 059),

A. Granelli, K. Moffat, S.T.P., arXiv:2107.02079 (JHEP 11(2021) 149),

**we have addressed the following questions:**

**A.** How low can be the scale of non-resonant leptogenesis (LG) in the general case (i.e., in the absence of further specific (symmetry) conditions or constraints on the spectrum of masses of  $N_{1,2,3}$ )?

**B.** How low/high can be the scale of non-resonant leptogenesis (LG) in the general case (no specific additional conditions on  $M_{1,2,3}$ ) when the requisite CP violation in LG is provided exclusively by the CP violating Dirac or Majorana phases in the PMNS neutrino mixing matrix?

**C.** Is leptogenesis compatible with the Neutrino Option in general and in the case of low-energy leptonic CP violation?

**D.** How the transitions between the different LG flavour regimes occur when the requisite CPV is due exclusively to the leptonic Dirac or Majorana CPV phases?

# Neutrino Masses, Mixing, Seesaw Mechanism

## Reference Model: 3 light $\nu$ mixing

$$\nu_{lL} = \sum_{j=1}^3 U_{lj} \nu_{jL} \left( + \sum_{k=1}^3 V_{lk} N_{kL} \right), \quad l = e, \mu, \tau.$$

The PMNS matrix  $U$  (practically) -  $3 \times 3$  unitary ( $|V_{lk}| \lll 1$ ).

$\nu_j, m_j \neq 0$ : (Dirac or) Majorana particles.

( $N_k$  - heavy Majorana neutrinos,  $M_k \gtrsim 10^6$  GeV.)

Data: 3  $\nu$ s are light:  $\nu_{1,2,3}, m_{1,2,3} \lesssim 0.5$  eV.

3- $\nu$  mixing: 3-flavour neutrino oscillations possible.

$\nu_\mu, E$ ; at distance  $L$ :  $P(\nu_\mu \rightarrow \nu_{\tau(e)}) \neq 0, P(\nu_\mu \rightarrow \nu_\mu) < 1$

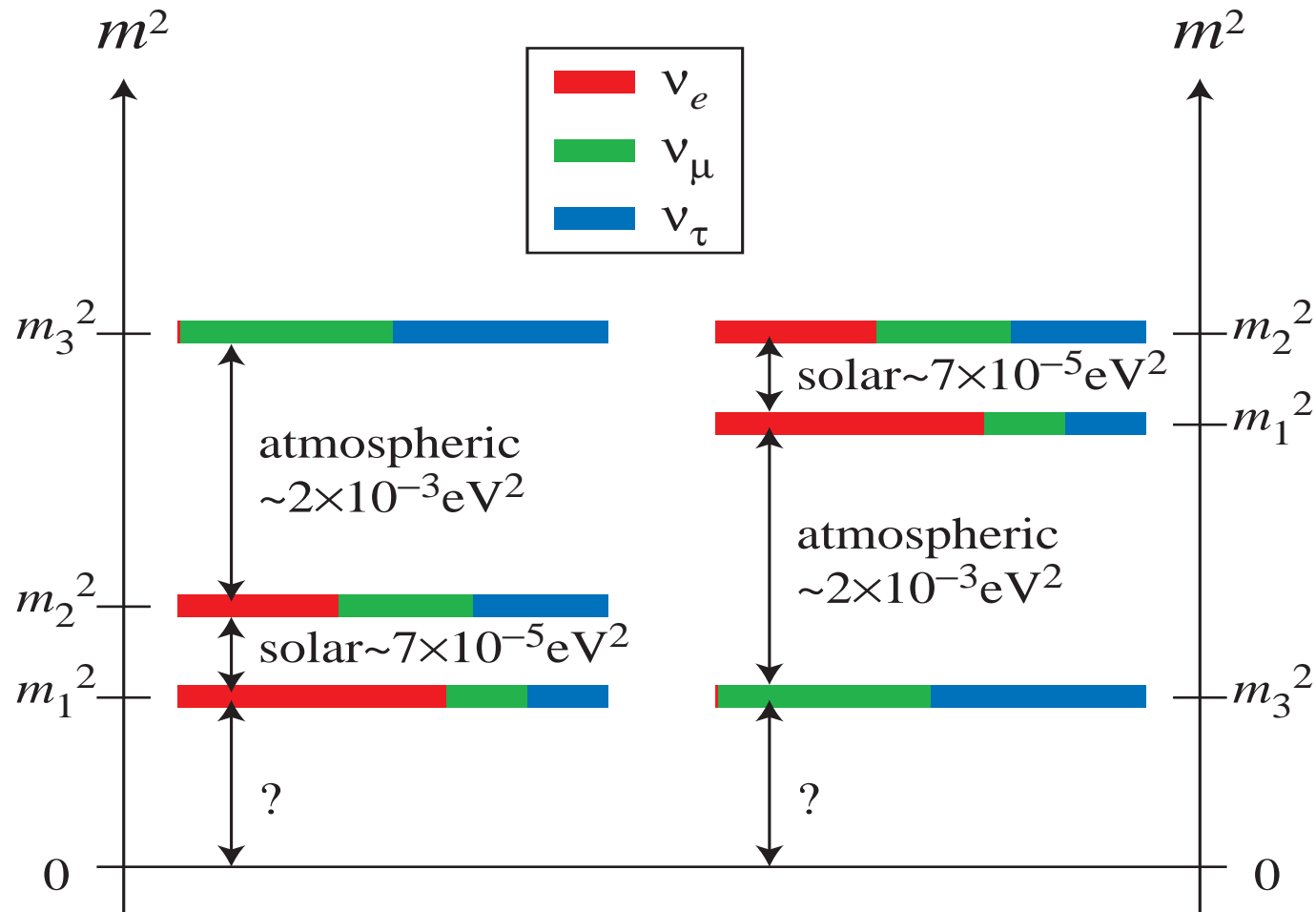
$P(\nu_l \rightarrow \nu_{l'}) = P(\nu_l \rightarrow \nu_{l'}; E, L; U; m_2^2 - m_1^2, m_3^2 - m_1^2)$

# PMNS Matrix: Standard Parametrization

$$U = V P, \quad P = \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\frac{\alpha_{21}}{2}} & 0 \\ 0 & 0 & e^{i\frac{\alpha_{31}}{2}} \end{pmatrix},$$

$$V = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix}$$

- $s_{ij} \equiv \sin \theta_{ij}$ ,  $c_{ij} \equiv \cos \theta_{ij}$ ,  $\theta_{ij} = [0, \frac{\pi}{2}]$ ,
- $\delta$  - Dirac CPV phase,  $\delta = [0, 2\pi]$ ; CP inv.:  $\delta = 0, \pi, 2\pi$ ;
- $\alpha_{21}, \alpha_{31}$  - Majorana CPV phases; CP inv.:  $\alpha_{21(31)} = k(k')\pi$ ,  $k(k') = 0, 1, 2, \dots$   
S.M. Bilenky et al., 1980
- $\Delta m_{\odot}^2 \equiv \Delta m_{21}^2 \cong 7.34 \times 10^{-5} \text{ eV}^2 > 0$ ,  $\sin^2 \theta_{12} \cong 0.305$ ,  $\cos 2\theta_{12} \gtrsim 0.306$  ( $3\sigma$ ),
- $|\Delta m_{31(32)}^2| \cong 2.448$  ( $2.502$ )  $\times 10^{-3} \text{ eV}^2$ ,  $\sin^2 \theta_{23} \cong 0.545$  ( $0.551$ ), NO (IO),
- $\theta_{13}$  - the CHOOZ angle:  $\sin^2 \theta_{13} = 0.0222$  ( $0.0223$ )  
F. Capozzi et al. (Bari Group), arXiv:2003.08511.



**NO:**  $\Delta m_{31(32)}^2 > 0$ ,  $m_1 < m_2 < m_3$ ;

**IO:**  $\Delta m_{31(32)}^2 < 0$ ,  $m_3 < m_1 < m_2$ .

- Dirac phase  $\delta$ :  $\nu_l \leftrightarrow \nu_{l'}, \bar{\nu}_l \leftrightarrow \bar{\nu}_{l'}, l \neq l'$ ;  $A_{CP}^{(l,l')} \propto J_{CP} \propto \sin \theta_{13} \sin \delta$ :

P.I. Krastev, S.T.P., 1988

$$J_{CP} = \text{Im} \{ U_{e1} U_{\mu 2} U_{e2}^* U_{\mu 1}^* \} = \frac{1}{8} \sin 2\theta_{12} \sin 2\theta_{23} \sin 2\theta_{13} \cos \theta_{13} \sin \delta$$

Current data:  $|J_{CP}| \lesssim 0.035$  (can be relatively large!); b.f.v. with  $\delta = 3\pi/2$ :  
 $J_{CP} \cong -0.035$ .

- Majorana phases  $\alpha_{21}, \alpha_{31}$ :

–  $\nu_l \leftrightarrow \nu_{l'}, \bar{\nu}_l \leftrightarrow \bar{\nu}_{l'}$  not sensitive;

S.M. Bilenky et al., 1980;  
P. Langacker et al., 1987

- $|\langle m \rangle|$  in  $(\beta\beta)_{0\nu}$ -decay depends on  $\alpha_{21}, \alpha_{31}$ ;
- $\Gamma(\mu \rightarrow e + \gamma)$  etc. in SUSY theories depend on  $\alpha_{21,31}$ ;
- BAU, leptogenesis scenario:  $\delta, \alpha_{21,31}$  !



**Global analyses after Nu2020: combine latest T2K and NO $\nu$ A data.**

**Results on CPV due to  $\delta$  and NO vs IO spectrum - inconclusive.**

K.J. Kelly, P.A. Machado, S.J. Parke, Y.F. Perez Gonzalez and R. Zukanovich-Funchal,

“Back to (Mass-)Square(d) One: The Neutrino Mass Ordering in Light of Recent Data,” arXiv:2007.08526 [hep-ph].

I. Esteban, M.C. Gonzalez-Garcia, M. Maltoni, T. Schwetz and A. Zhou, “The fate of hints: updated global analysis of three-flavor neutrino oscillations,” arXiv:2007.14792 [hep-ph].

**Result on CPV, b.f.v.:  $\delta = 197^\circ$ , NO;  $\delta = 282^\circ$ , IO.**

**At  $3\sigma$ :  $\delta$  is found to lie in  $[120^\circ, 369^\circ]$  ( $[193^\circ, 352^\circ]$ ), NO (IO).**

**IO: CPV due to  $\delta$  at  $3\sigma$ .**

**IO disfavored at  $1.6\sigma$  with respect to NO ( $2.7\sigma$  including SuperK  $\nu_{atm}$  data).**

Ordering	$\theta_{12}$ ( $^\circ$ )	$\theta_{13}$ ( $^\circ$ )	$\theta_{23}$ ( $^\circ$ )	$\delta$ ( $^\circ$ )	$\Delta m_{\odot}^2$ ( $10^{-5} \text{ eV}^2$ )	$\Delta m_{\text{atm}}^2$ ( $10^{-3} \text{ eV}^2$ )
<b>NO</b>	$33.44^{+0.77}_{-0.74}$	$8.57^{+0.12}_{-0.12}$	$49.2^{+0.9}_{-1.2}$	$197^{+27}_{-24}$	$7.42^{+0.21}_{-0.20}$	$2.517^{+0.026}_{-0.028}$
<b>IO</b>	$33.45^{+0.78}_{-0.75}$	$8.60^{+0.12}_{-0.12}$	$49.3^{+0.9}_{-1.1}$	$282^{+26}_{-30}$	$7.42^{+0.21}_{-0.20}$	$-2.498^{+0.028}_{-0.028}$

**Best-fit values and  $1\sigma$  allowed ranges of the neutrino mixing angles  $\theta_{12}$ ,  $\theta_{13}$ ,  $\theta_{23}$ , and of the  $\Delta m_{\odot}^2 \equiv \Delta m_{21}^2$  and  $\Delta m_{\text{atm}}^2 \equiv \Delta m_{31}^2$  ( $\Delta m_{\text{atm}}^2 \equiv \Delta m_{32}^2$ ) in the case of NO (IO) light neutrino mass spectrum, obtained in I. Esteban et al., arXiv:2007.14792. We quote also the best-fit value and  $1\sigma$  allowed ranges of the Dirac CPV phase  $\delta$  from I. Esteban et al., arXiv:2007.14792. However, these data on  $\delta$  are not used in our analyses.**

$$\mathcal{L}_{Y,M}(x) = - \left( Y_{\alpha i} \overline{\psi_{\alpha L}}(x) i\tau_2 \Phi^*(x) N_{iR}(x) + h.c. \right) - \frac{1}{2} M_i \overline{N}_i(x) N_i(x),$$

$Y_{\alpha i}$  – the matrix of the neutrino Yukawa coupling,

$$N_i(x) = N_{iR}(x) + N_{iL}^c(x) = C(\overline{N}_i(x))^T, \quad N_{iL}^c(x) \equiv C(\overline{N}_{iR}(x))^T, \quad C^{-1}\gamma_\mu C = -\gamma_\mu^T.$$

$N_{1,2,3}(x)$  – heavy Majorana neutrinos  $N_{1,2,3}$ ,  $M_{1,2,3} > 0$ . In high scale leptogenesis  $M_{1,2,3} \sim (10^6 - 10^{14})$  GeV.

**After the SBEWS,**

$$\mathcal{L}_\nu^m = -\frac{1}{2} \begin{pmatrix} \overline{\nu_{\alpha L}} & \overline{N_{iL}^c} \end{pmatrix} \begin{pmatrix} O_{\alpha\beta} & \frac{v}{\sqrt{2}} Y_{\alpha j} \\ \frac{v}{\sqrt{2}} (Y^T)_{i\beta} & M_i \delta_{ij} \end{pmatrix} \begin{pmatrix} \nu_{\beta R}^c \\ N_{jR} \end{pmatrix} + h.c., \quad v = 246 \text{ GeV},$$

$$\alpha, \beta = e, \mu, \tau \quad (i, j = 1, 2, 3), \quad \nu_{\beta R}^c(x) \equiv C(\overline{\nu_{\beta L}}(x))^T.$$

Can be diagonalised by means of the Takagi transformation; at leading order in  $|vY_{\alpha i}|/M_i \ll 1$ , leads to the well known seesaw expression for the tree-level light neutrino mass matrix  $m_\nu^{\text{tree}}$ :

$$\left( m_\nu^{\text{tree}} \right)_{\alpha\beta} \cong -\frac{v^2}{2} Y_{\alpha i} M_i^{-1} (Y^T)_{i\beta}.$$

# Casas-Ibarra Parametrisation

One-loop contribution to  $m_\nu$ :

$$(m_\nu^{\mathbf{1-loop}})_{\alpha\beta} = Y_{\alpha i} \frac{M_i}{32\pi^2} \left( \frac{\log(M_i^2/m_H^2)}{M_i^2/m_H^2 - 1} + 3 \frac{\log(M_i^2/m_Z^2)}{M_i^2/m_Z^2 - 1} \right) (Y^T)_{i\beta},$$

$m_H = 125$  GeV,  $m_Z = 91.2$  GeV.

A. Pilaftsis, hep-ph/9901206; D. Aristizabal Sierra, C. Yaguna, arXiv:1106.3587; J. Lopez-Pavon, S. Pascoli, C.-F. Wong, arXiv:1209.5342

The light neutrino mass matrix including the one-loop correction reads:

$$(m_\nu)_{\alpha\beta} \equiv (m_\nu^{\mathbf{tree}} + m_\nu^{\mathbf{1-loop}})_{\alpha\beta} = -\frac{v^2}{2} Y_{\alpha i} f(M_i) (Y^T)_{i\beta},$$

$$f(M_i) \equiv M_i^{-1} - \frac{M_i}{16\pi^2 v^2} \left( \frac{\log(M_i^2/m_H^2)}{M_i^2/m_H^2 - 1} + 3 \frac{\log(M_i^2/m_Z^2)}{M_i^2/m_Z^2 - 1} \right).$$

$$\hat{m}_\nu = U^\dagger m_\nu U^*, \quad \hat{m}_\nu \equiv \mathbf{diag}(m_1, m_2, m_3).$$

The Casas-Ibarra parametrisation of  $Y_{\alpha j}$  has the form:

$$Y_{\alpha j} = \pm i \frac{\sqrt{2}}{v} U_{\alpha a} \sqrt{m_a} R_{ja} \sqrt{f^{-1}(M_j)}, \quad R^T = R, \quad \text{complex } (f^{-1}(M_j) \rightarrow M_j).$$

J. Lopez-Pavon, E. Molinaro, STP, arXiv:1506.05296

**We use the following parametrisation of the  $R$ -matrix:**

$$R = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_1 & s_1 \\ 0 & -s_1 & c_1 \end{pmatrix} \begin{pmatrix} c_2 & 0 & s_2 \\ 0 & 1 & 0 \\ -s_2 & 0 & c_2 \end{pmatrix} \begin{pmatrix} c_3 & s_3 & 0 \\ -s_3 & c_3 & 0 \\ 0 & 0 & 1 \end{pmatrix},$$

$c_j \equiv \cos(x_j + iy_j)$  and  $s_j \equiv \sin(x_j + iy_j)$ ,  $x_j$  and  $y_j$  being free real parameters ( $j = 1, 2, 3$ ).

# High Scale Leptogenesis

## Boltzmann Equations vs. Density Matrix Formalism

In high scale LG, based on type I seesaw, the out-of-equilibrium decays  $N_j \rightarrow l^+ + \Phi^{(-)}$  and  $N_j \rightarrow l^- + \Phi^{(+)}$  of  $N_j$ , caused by the CPV  $Y_{\alpha i}$  proceed with different rates, producing CPV asymmetries  $\Delta L_l \neq 0$  and  $\Delta L \neq 0$  in  $L_l$ ,  $l = e, \mu, \tau$  and  $L = L_e + L_\mu + L_\tau$  of the Universe.

$\Delta L_l \neq 0$  and  $\Delta L \neq 0$  are converted into a baryon asymmetry by  $(B - L)$ -conserving, but  $(B + L)$ -violating, sphaleron processes which exist in the SM and are effective at temperatures  $T \sim (10^2 - 10^{12})$  GeV.

The values of the masses  $M_i$  of  $N_i$  set the scale of LG.

The charged lepton final states in the decays of the heavy neutrino  $N_i$ ,  $N_i \rightarrow \Phi^+ \psi_i$  and  $N_i \rightarrow \Phi^- \bar{\psi}_i$ , are a superposition of the charged lepton flavour states, namely,

$$|\psi_i\rangle = \sum_{\alpha=e,\mu,\tau} C_{i\alpha} |\psi_\alpha\rangle, \quad |\bar{\psi}_i\rangle = \sum_{\alpha=e,\mu,\tau} \bar{C}_{i\alpha}^* |\bar{\psi}_\alpha\rangle,$$

$$C_{i\alpha} = \bar{C}_{i\alpha} = \frac{Y_{\alpha i}}{\sqrt{(Y^\dagger Y)_{ii}}}.$$

We consider for simplicity the decays of  $N_1$ ,  $N_1 \rightarrow \Phi^+ \psi_1$  and  $N_1 \rightarrow \Phi^- \bar{\psi}_1$ .

Without the SM charged lepton Yukawa interactions,  $\mathcal{L}_Y^l$ ,  $|\psi_1\rangle$ ,  $|\bar{\psi}_1\rangle$  – coherent superpositions of  $|\psi_\alpha\rangle$ ,  $|\bar{\psi}_\alpha\rangle$ ,  $\alpha = e, \mu, \tau$ , the flavour states are not distinguishable.

When  $\mathcal{L}_Y^l$  – in thermal equilibrium,  $|\psi_e\rangle$ ,  $|\psi_\mu\rangle$ ,  $|\psi_\tau\rangle$  ( $|\bar{\psi}_e\rangle$ ,  $|\bar{\psi}_\mu\rangle$ ,  $|\bar{\psi}_\tau\rangle$ ) – distinguishable since charged lepton Yukawa couplings  $h_e \ll h_\mu \ll h_\tau$ .

If  $\mathcal{L}_Y^l$  are faster than  $N_1 \rightarrow \Phi^+ \psi_1$ ,  $N_1 \rightarrow \Phi^- \bar{\psi}_1$ , the coherence in  $|\psi_1\rangle$  ( $|\bar{\psi}_1\rangle$ ) – destroyed.  $\mathcal{L}_Y^l$  – source of decoherence effects.

$$\Gamma_{\tau, \mu, e} \cong 8 \times 10^{-3} h_{\tau, \mu, e}^2 T,$$

$$h_\tau = \frac{\sqrt{2}}{v} m_\tau \cong 1.02 \times 10^{-2}, \quad h_\mu = \frac{\sqrt{2}}{v} m_\mu \cong 6.08 \times 10^{-4},$$

$$h_e = \frac{\sqrt{2}}{v} m_e \cong 2.94 \times 10^{-6}.$$

**The comparison of  $\Gamma_{\tau, \mu, e}$  with the Hubble expansion rate  $H$  gives:**

$$\frac{\Gamma_\tau}{H} \cong \frac{M_P}{T} 4.85 \times 10^{-8} \cong \left( \frac{1 \text{ GeV}}{T} \right) 5.92 \times 10^{11},$$

$$\frac{\Gamma_\mu}{H} \cong \frac{M_P}{T} 1.72 \times 10^{-10} \cong \left( \frac{1 \text{ GeV}}{T} \right) 2.10 \times 10^9,$$

$$\frac{\Gamma_e}{H} \cong \frac{M_P}{T} 0.43 \times 10^{-14} \cong \left( \frac{1 \text{ GeV}}{T} \right) 0.52 \times 10^5,$$

$M_P \cong 1.22 \times 10^{19}$  **GeV** is the Planck mass,  
 $H(T) = (2\pi T^2 / (3M_P)) \sqrt{g_* \pi / 5}$ ,  $g_* \cong 106.75$ .

**Thus,  $\Gamma_\tau$ ,  $\Gamma_\mu$ ,  $\Gamma_e$  - relevant at  $T \lesssim 10^{12}$ ,  $10^9$ ,  $10^5$  **GeV**.**



**Boltzmann equations: semi-classical, time-evolution of the number densities of  $N_1$  and  $B - L$  charge of the Universe.**

### **Valid**

**i) at  $T \sim M_1 \gg 10^{12}$  GeV where  $\Gamma_l(M_1)/H(M_1) \ll 1$ ,  
provided the decoherence effects due to  $\Gamma_{\tau,\mu,e}$  are neglected:  
unflavoured or single-flavour regime, leptogenesis ( $N_1$ : 1BE1F);**

**ii) at  $10^9 < T \sim M_1 \lesssim 10^{12}$  GeV,  $\Gamma_\tau/H(M_1) \gtrsim 1$  ( $\Gamma_{\mu,e}/H(M_1) \ll 1$ , set to 0),  
provided  $\mathcal{L}_Y^\tau$ -mediated interactions are infinitely ( $\equiv$  “sufficiently”) fast during the whole period of LG:  
two-flavoured regime, leptogenesis ( $N_1$ : 1BE2F);**

**iii) at  $T \sim M_1 < 10^9$  GeV, where  $\Gamma_\tau/H(M_1) \gg 1$ ,  $\Gamma_\mu/H(M_1) \gtrsim 1$ ,  
provided  $\mathcal{L}_Y^\tau$ - and  $\mathcal{L}_Y^\mu$  mediated interactions are infinitely ( $\equiv$  “sufficiently”) fast during the whole period of LG: three-flavoured regime, leptogenesis ( $N_1$ : 1BE3F).**

**At  $T \gg 10^{12}$  GeV,  $\Gamma_l/H(T) \ll 1$ , charged lepton flavour states are indistinguishable,  $|\psi_1\rangle$  ( $|\overline{\psi_1}\rangle$ ) from  $N_1$  decay – coherent superpositions of  $|\psi_\alpha\rangle$  ( $|\overline{\psi_\alpha}\rangle$ ),  $\alpha = e, \mu, \tau$ ,**

**$\Gamma_{\tau,\mu}$  decoherence effects - neglected:** unflavoured or single-flavoured regime, unflavoured or single-flavoured leptogenesis.

The time-evolution of the number densities of  $N_1$  and  $B-L$  charge can be described by the set of **semi-classical single-flavoured Boltzmann equations (1BE1F)**:

$$\begin{aligned} \frac{dN_{N_1}}{dz} &= -D_1 (N_{N_1} - N_{N_1}^{eq}) , \\ \frac{dN_{B-L}}{dz} &= \epsilon^{(1)} D_1 (N_{N_1} - N_{N_1}^{eq}) - W_1 N_{B-L} , \end{aligned}$$

$z \equiv M_1/T$ , the decay parameter  $D_1(z) = \kappa_1 z \frac{K_1(z)}{K_2(z)}$ ,  $\kappa_1 = \Gamma_{N_1}^{(0)}/H(T = M_1)$ ,  $\Gamma_{N_1}^{(0)} = (Y^\dagger Y)_{11} M_1/8\pi - N_1$  total decay rate at  $T = 0$ ,  $N_{N_1}^{eq}(z) = \frac{3}{8} z^2 K_2(z)$ ,  $K_n(z)$ ,  $n = 1, 2, \dots$ , is the modified  $n^{\text{th}}$  Bessel function of the 2nd kind; the wash-out parameter  $W_1 = D_1(z) N_{N_1}^{eq}(z)/(2N_\ell^{eq}(z = 0))$ , ( $N_\ell^{eq}(z = 0) = 3/4$ ).

The CPV-asymmetry parameter  $\epsilon^{(1)}$ :

$$\epsilon^{(1)} = \frac{3}{16\pi(Y^\dagger Y)_{11}} \sum_{j \neq 1} \Im [(Y^\dagger Y)_{1j}^2] \frac{\xi(x_j)}{\sqrt{x_j}}, \quad x_j \equiv M_j^2/M_1^2,$$
$$\xi(x) \equiv \frac{2}{3}x \left[ (1+x) \log \left( 1 + \frac{1}{x} \right) - \frac{2-x}{1-x} \right].$$

Since the Yukawas enter in  $\epsilon^{(1)}$  only through the product  $Y^\dagger Y$ , there is no dependence on the PMNS matrix. There is therefore no contribution to  $\epsilon^{(1)}$  from the CPV Dirac and Majorana phases in the PMNS matrix.

**Boltzmann equations cannot describe the transitions between the different flavour regimes in leptogenesis: decoherence effects neither infinitely fast nor negligible; may not describe correctly the generation of BAU in a given flavour regime.**

**The density matrix equations (DMEs) – accurate tool to study thermal LG accounting for quantum decoherence processes as their effects change continuously in time (at  $T$  decreases).**

**The DMEs describe the time-evolution of the entries of the density matrix :**

$$N = \sum_{\alpha, \beta} N_{\alpha\beta} |\psi_\alpha\rangle \langle \psi_\beta|, \quad \alpha, \beta = e, \mu, \tau.$$

**$N_{\alpha\alpha}$  – the number density of  $\frac{1}{3}B - L_\alpha$  asymmetry,  $N_{B-L} = \text{Tr}(N) = \sum_\alpha N_{\alpha\alpha}$ .**

**$N_{\alpha\beta}$ ,  $\alpha \neq \beta$ , describe the degree of coherence between the flavour states.**

The DMEs in the three-flavour basis explicitly read:

$$\begin{aligned}\frac{dN_{N_1}}{dz} &= -D_1(N_{N_1} - N_{N_1}^{\text{eq}}) \\ \frac{dN_{\alpha\beta}}{dz} &= \epsilon_{\alpha\beta}^{(1)} D_1(N_{N_1} - N_{N_1}^{\text{eq}}) - \frac{1}{2} W_1 \left\{ P^{0(1)}, N \right\}_{\alpha\beta} \\ &\quad - \frac{\Gamma_\tau}{Hz} [I_\tau, [I_\tau, N]]_{\alpha\beta} - \frac{\Gamma_\mu}{Hz} [I_\mu, [I_\mu, N]]_{\alpha\beta},\end{aligned}$$

A. De Simone, A. Riotto, hep-ph/0611357; S. Blanchet, P.D. Bari and G.G. Raffelt, hep-ph/0611337; S. Blanchet et al., arXiv:1112.4528

$I_\tau$  and  $I_\mu$  are  $3 \times 3$  matrices,  $(I_\tau)_{\alpha\beta} = \delta_{\alpha\tau}\delta_{\beta\tau}$ ,  $(I_\mu)_{\alpha\beta} = \delta_{\alpha\mu}\delta_{\beta\mu}$ ,

$$P_{\alpha\beta}^{0(1)} \equiv C_{1\alpha} C_{1\beta}^*, \quad (\text{projection matrices}).$$

The anti-commutator structure

$$\left\{ P^{0(1)}, N \right\}_{\alpha\beta} = \sum_{\gamma=e,\mu,\tau} (C_{1\alpha} C_{1\gamma}^* N_{\gamma\beta} + C_{1\gamma} C_{1\beta}^* N_{\alpha\gamma}).$$

The double-commutator structures in the equation for  $dN_{N_{\alpha\beta}}/dz$  give rise to an exponentially damping term proportional to  $\Gamma_{\tau,\mu}/Hz$  for the equations describing the density matrix off-diagonal elements  $N_{\alpha\beta}$ . If these terms are infinitely large, i.e.,  $\Gamma_{\tau,\mu} \rightarrow +\infty$ , the density matrix is driven towards a diagonal form and the DMEs reduce to the three-flavoured set of Boltzmann equations 1BE3F.

## The CPV-asymmetry:

$$\epsilon_{\alpha\beta}^{(1)} = \frac{3}{32\pi (Y^\dagger Y)_{11}} \sum_{j \neq 1} \left\{ i [Y_{\alpha 1} Y_{\beta j}^* (Y^\dagger Y)_{j1} - Y_{\beta 1}^* Y_{\alpha j} (Y^\dagger Y)_{1j}] f_1(x_j) \right. \\ \left. + i [Y_{\alpha 1} Y_{\beta j}^* (Y^\dagger Y)_{1j} - Y_{\beta 1}^* Y_{\alpha j} (Y^\dagger Y)_{j1}] f_2(x_j) \right\}, \quad x_j \equiv M_j^2/M_1^2,$$
$$f_1(x) \equiv \frac{\xi(x)}{\sqrt{x}}, \quad f_2(x) \equiv \frac{2}{3(x-1)}.$$

In the numerical analyses that follow, we will use the **ULYSSES** Python package (A. Granelli, K. Moffat, Y. Perez-Gonzalez, H. Schulz and J. Turner, arXiv:2007.09150) to solve the DME.

The code computes  $N_{B-L} = N_{ee} + N_{\mu\mu} + N_{\tau\tau}$ , which is then converted into **BAU**  $\eta_B$  (expressed in terms of the baryon-to-photon ratio):

$$\eta_B = \frac{28}{79} \frac{1}{27} N_{B-L},$$

28/79 is the SM sphaleron conversion coefficient; the 1/27 factor comes from the dilution of the baryon asymmetry due to the change of the photon density between leptogenesis and recombination.

# Baryon Asymmetry

$$Y_B = \frac{n_B - n_{\bar{B}}}{n_\gamma} = (6.10 \pm 0.04) \times 10^{-10}, \quad \text{CMB}$$

P.A.R. Ade et al. (Planck Collab.), arXiv:1502.01589

**A.** The Davidson-Ibarra bound (hep-ph/0202239):  
successful LG possible for

$$T \sim M_1 \gtrsim 10^9 \text{ GeV}$$

**Assumed**  $M_1 \ll M_2 \ll M_3$ ; flavour effects not included.

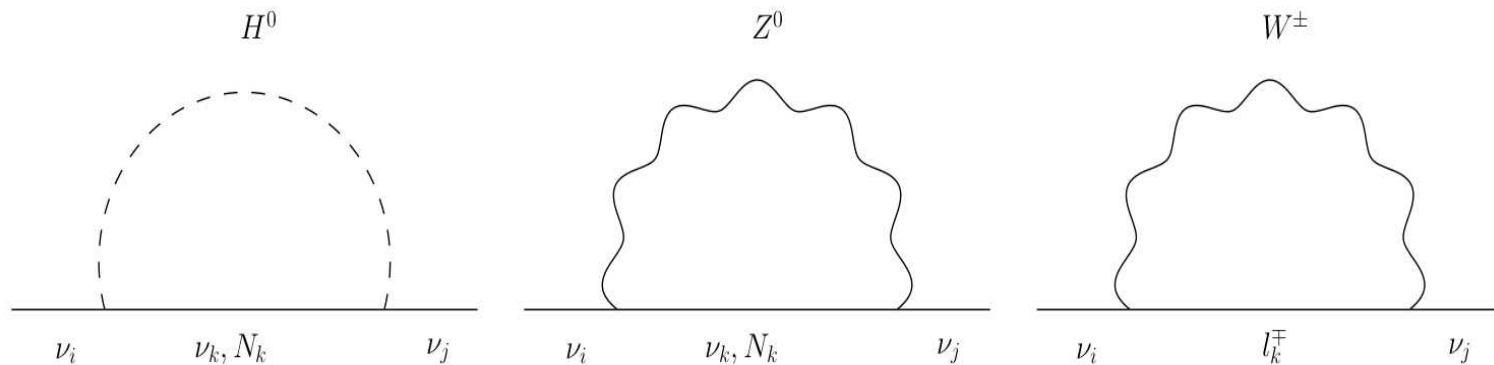
**K.** Moffat et al., arXiv:1804.05066

Successful LG possible for

$$T \sim M_1 = 10^6 \text{ GeV}, \quad M_2 \cong 3M_1, \quad M_3 \cong 3M_2$$

Three-flavour LG; density matrix formalism; 11-dim parameter space scanned  
 ( $\theta_{23}$ ,  $\delta$ ,  $\alpha_{21,31}$ ,  $m_1$ , 6 Casas-Ibarra matrix parameters)

May require fine tuning; for  $M_1 < 10^6$  GeV the fine tuning is “exceedingly” large.



$$m_\nu = m^{\text{tree}} + m^{\text{1-loop}}.$$

$$m^{\text{tree}} \approx m_D M^{-1} m_D^T, \quad m_D = vY,$$



$$m^{\mathbf{1-loop}} = -\frac{1}{32\pi^2 v^2} m_D \mathbf{diag} (g(M_1), g(M_2), g(M_3)) m_D^T,$$

$$g(M_i) \equiv M_i \left( \frac{\log\left(\frac{M_i^2}{m_H^2}\right)}{\frac{M_i^2}{m_H^2} - 1} + 3 \frac{\log\left(\frac{M_i^2}{m_Z^2}\right)}{\frac{M_i^2}{m_Z^2} - 1} \right)$$

	$\theta_{23}(\circ)$	$\delta(\circ)$	$\alpha_{21}(\circ)$	$\alpha_{31}(\circ)$	$x_1(\circ)$	$y_1(\circ)$	$x_2(\circ)$	$y_2(\circ)$	$x_3(\circ)$	$y_3(\circ)$	$m_{1(3)}(\text{eV})$	$M_1(\text{GeV})$	$M_2(\text{GeV})$	$M_3(\text{GeV})$
$S_1$	46.24	281.21	181.90	344.71	132.23	179.88	87.81	2.88	-30.25	177.5	0.120	$10^{6.0}$	$10^{6.5}$	$10^{7.0}$
$S_2$	46.57	88.26	116.07	420.44	44.36	171.78	86.94	2.96	97.01	174.30	0.079	$10^{6.5}$	$10^7$	$10^{7.5}$
$S_3$	46.63	31.71	130.95	649.65	-72.33	170.54	86.96	2.22	-1.86	178.31	0.114	$10^{6.5}$	$10^{7.2}$	$10^{7.9}$
$\overline{S}_1$	40.56	158.51	157.48	511.0	-16.23	179.29	90.04	1.29	-107.14	179.22	0.0047	$10^{6.0}$	$10^{6.5}$	$10^{7.0}$
$\overline{S}_2$	43.67	201.02	238.77	658.33	-39.88	178.68	88.12	2.46	53.97	158.01	0.0133	$10^{6.5}$	$10^{7.0}$	$10^{7.5}$
$\overline{S}_3$	43.64	57.28	179.87	292.95	86.58	174.40	91.11	1.61	134.48	173.74	0.012	$10^{6.5}$	$10^{7.2}$	$10^{7.9}$
$FT^{\text{loop}}$	44.59	140.04	537.15	291.89	164.06	-149.85	178.99	49.15	93.39	-14.50	0.15882	$10^{9.0}$	$10^{9.5}$	$10^{10}$
$FT^{\text{tree}}$	43.81	31.59	681.96	276.19	271.56	-125.27	14.95	-11.50	344.87	5.22	0.0041	$10^{9.0}$	$10^{9.5}$	$10^{10}$

The best-fit points for the leptogenesis scenarios are given and are all consistent with  $\eta_B = (6.10 \pm 0.04) \times 10^{-10}$ ,  $\theta_{13} = 8.52^\circ$  and  $\theta_{12} = 33.63^\circ$ . The upper (lower) three rows are the best-fit points for normal (inverted) ordering. The final two rows are the best fit points for normal ordering in the loop and tree-level dominated scenarios.

$R$ -matrix parameters:  $R = R_{23}(\omega_1) R_{13}(\omega_2) R_{12}(\omega_3)$

$\omega_j = x_j + iy_j$ ,  $\omega_1 = \omega_{23}$ ,  $\omega_2 = \omega_{13}$ ,  $\omega_3 = \omega_{12}$ .

**B.** How low/high can be the scale of non-resonant leptogenesis (LG) in the general case (no specific additional conditions on  $M_{1,2,3}$ ) when the requisite CP violation in LG is provided exclusively by the CP violating Dirac ( $\delta$ ) or Majorana ( $\alpha_{21,31}$ ) phases in the PMNS neutrino mixing matrix?

**2006:**  $N_1, N_2, M_1 \ll M_2$ , 2-flavour LG,  $M_1 \lesssim 5 \times 10^{11}$  GeV, Dirac CPV, CP conserving Casas-Ibarra matrix  $R$ ,

$$|\sin \theta_{13} \sin \delta| \gtrsim 0.09$$

S. Pascoli, STP, A. Riotto hep-ph/0611338

**K. Moffat et al., arXiv:1809.08251 (JHEP 03 (2019) 034)**

**2018:  $N_1, N_2, N_3, M_1 < M_2 < M_3$ , 3-flavour and 2-flavour regimes investigated, assumed CP conserving  $R$ -matrix (= real or purely imaginary elements).**

**Confirmed the 2006 PPR result.**

**Thermal LG viable for  $10^6 \lesssim T \cong M_1 \lesssim 10^{13}$  GeV.**

**At  $T \cong M_1 \sim 10^6$  GeV, “fine tuning” of the light neutrino masses necessary (as discussed in A.).**

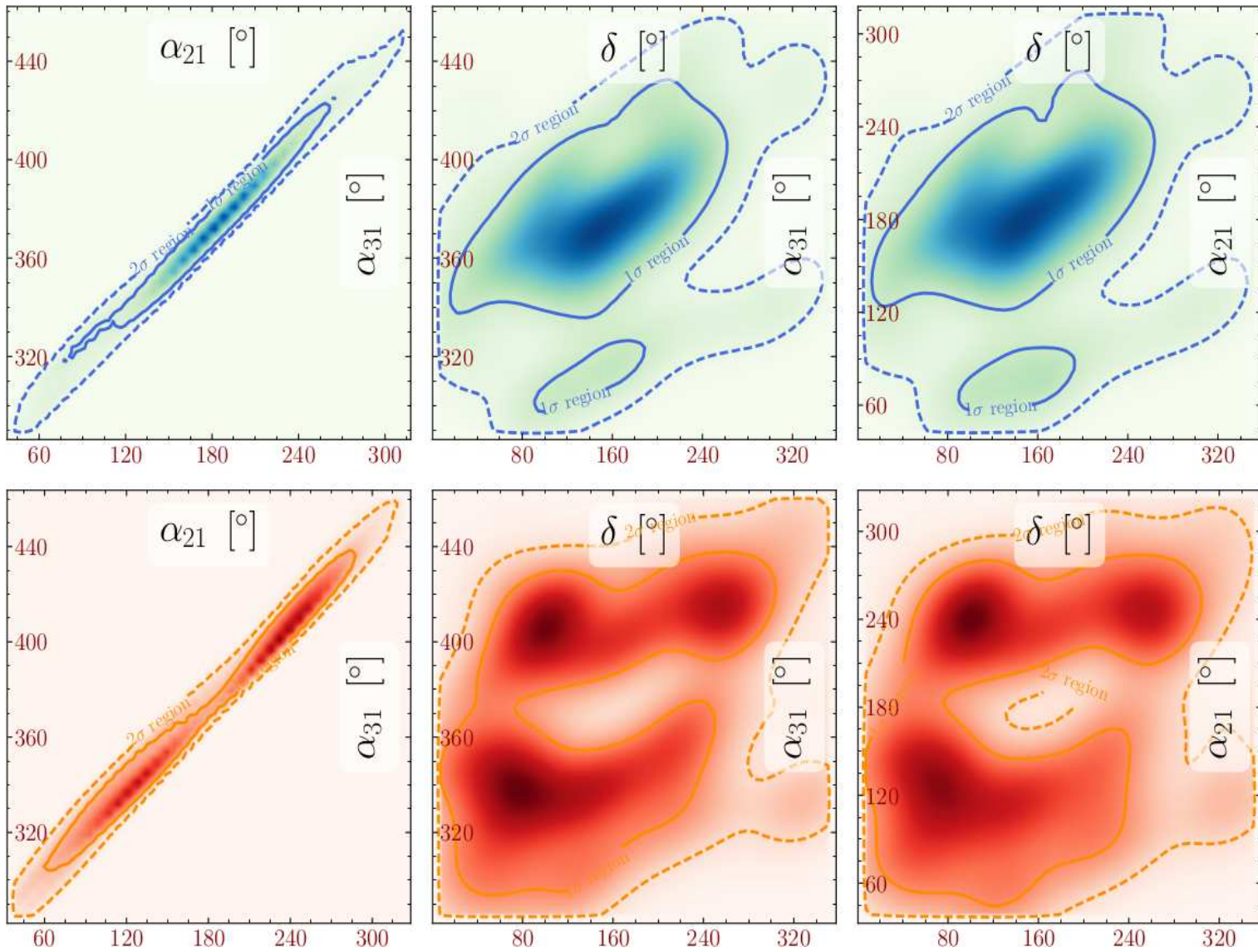
**Results presented for  $M_1 < 10^9$  GeV:**

$M_1 = 3.16 \times 10^6, 1.29 \times 10^8, 7 \times 10^8$  GeV,

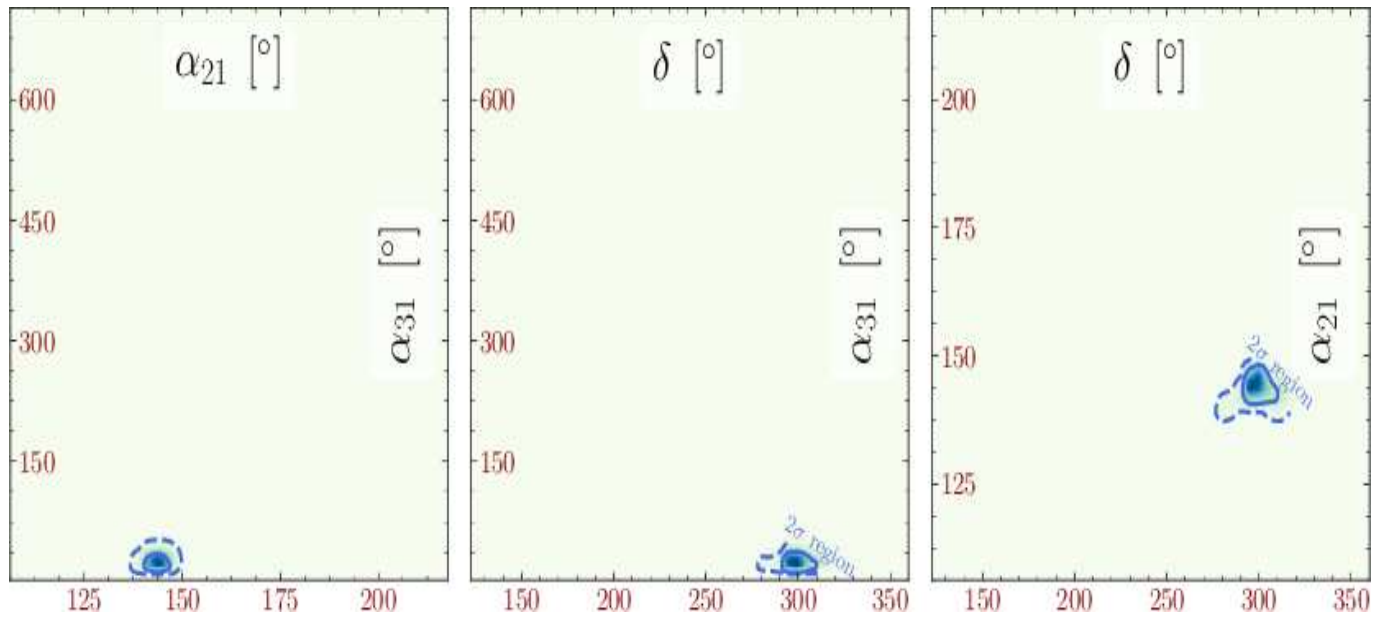
$M_2 = 3.5M_1, M_3 = 3.5M_2$ ;

$x_1 = y_2 = 0, y_1 = y_3 = x_3 = 180^\circ, \cos x_2 = 0$

( $R$ -matrix parameters:  $\omega_j = x_j + iy_j, \omega_1 = \omega_{23}, \omega_2 = \omega_{13}, \omega_3 = \omega_{12}$ ).



$$M_1 = 1.29 \times 10^8 \text{ GeV.}$$



$$M_1 = 3.16 \times 10^6 \text{ GeV}, m_1 = 0.05 \text{ eV}.$$

**Results presented also for  $10^9 < M_1 < 10^{12}$  GeV,  
 $9M_1 < 3M_2 < M_3$  ( $M_1 \ll M_2$ ).**

$m_1 = 0.0215$  eV;  $x_1 = 90^\circ$ ,  $x_3 = 180^\circ$ ,  $y_2 = 0$ , **chosen so that CP symmetry holds for  $\delta = 0$ ,  $\alpha_{21} = 180^\circ$  and  $\alpha_{31} = 0$**  ( $y_1 = y_3 = -33^\circ$ ,  $x_2 = 18^\circ$ ).

**i) Dirac CPV due to  $\delta$ ;  $\alpha_{21} = 180^\circ$ ,  $\alpha_{31} = 0^\circ$ .**

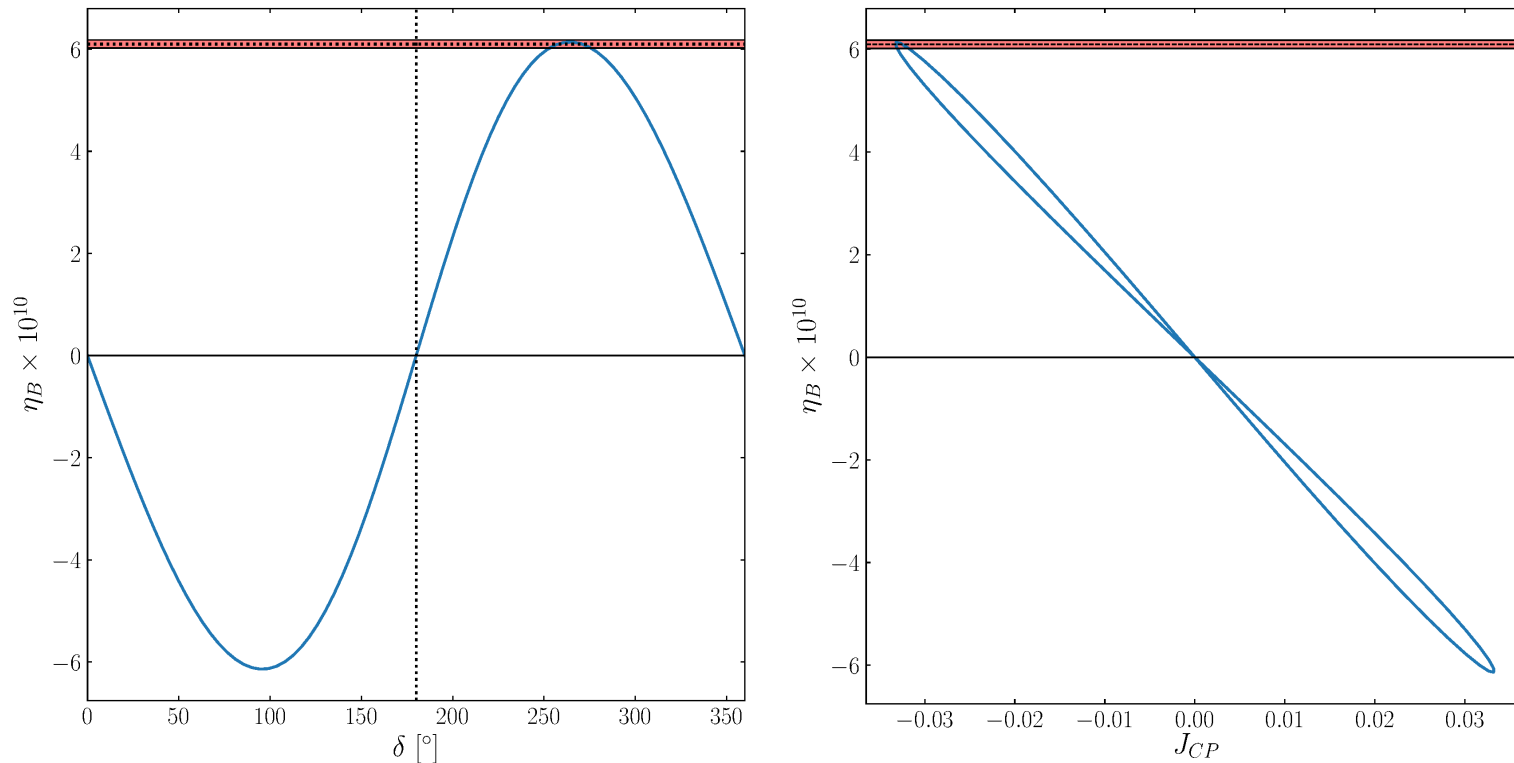
$\min(M_1) = 5.13 \times 10^{10}$  GeV,  $M_2 = 2.19 \times 10^{12}$  GeV,  
 $M_3 = 1.01 \times 10^{13}$  GeV.

**ii) Majorana CPV due to  $\alpha_{21}$ :  $\delta = \alpha_{31} = 0^\circ$ .**

$\min(M_1) = 3.05 \times 10^{10}$  GeV,  $M_2 = 10^{13}$  GeV,  $M_3 = 3 \times 10^{13}$  GeV.

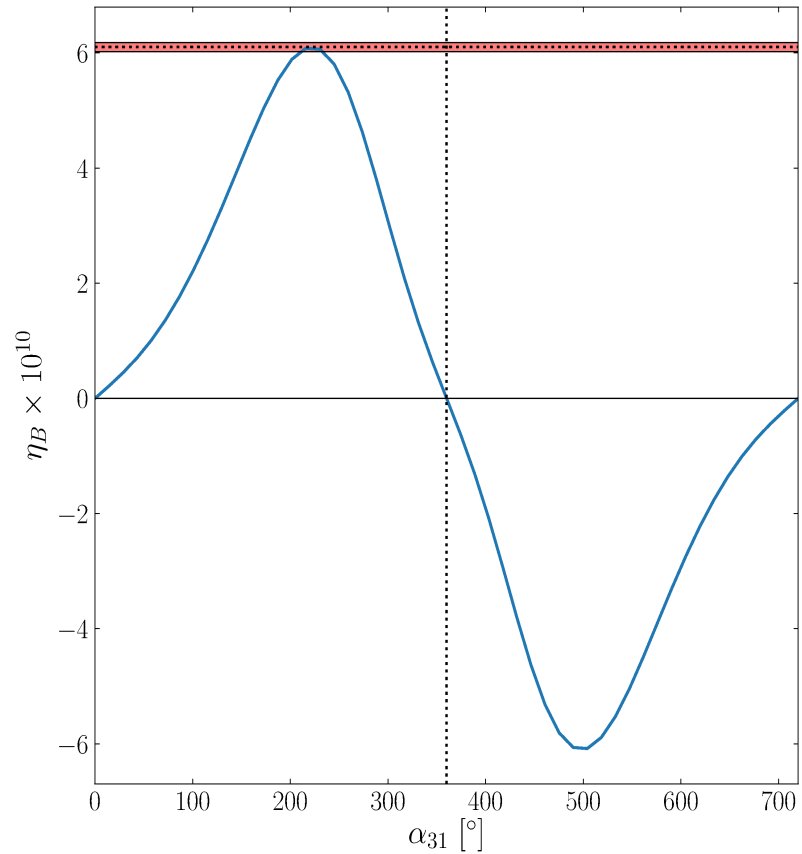
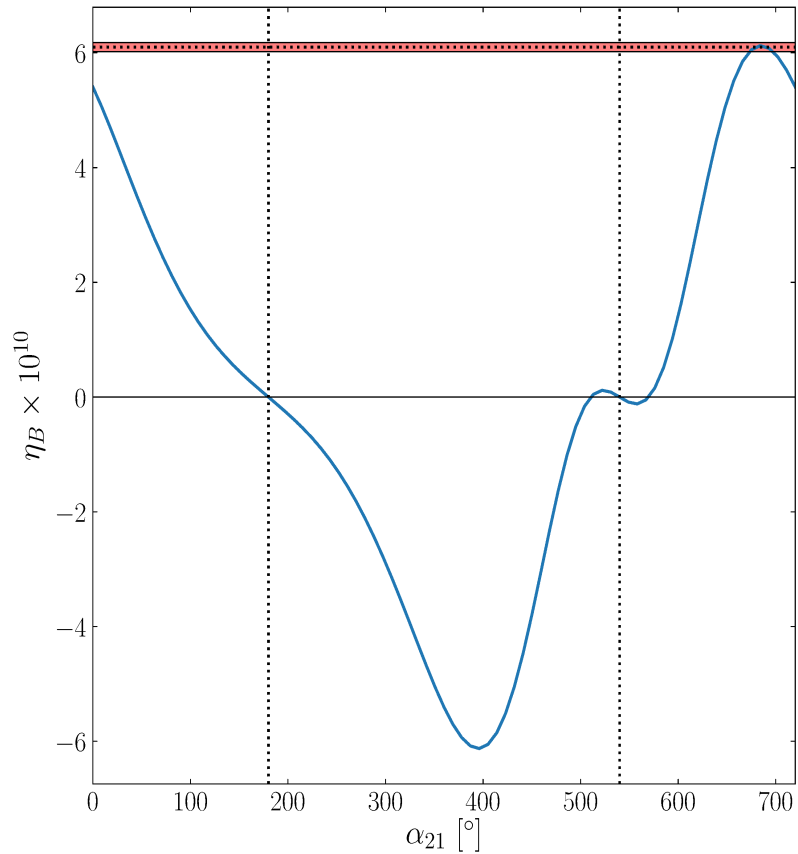
**iii) Majorana CPV due to  $\alpha_{31}$ :  $\delta = 0$ ,  $\alpha_{21} = 180^\circ$ .**

$\min(M_1) = 5.13 \times 10^{10}$  GeV,  $M_2 = 10^{13}$  GeV,  $M_3 = 3 \times 10^{13}$  GeV.



**CP violation due to Dirac CPV  $\delta$ :**  
 $\min(M_1) = 5.13 \times 10^{10}$  GeV,  $M_2 = 2.19 \times 10^{12}$  GeV,  
 $M_3 = 1.01 \times 10^{13}$  GeV;  
 $J_{CP} \cong -0.03$ .

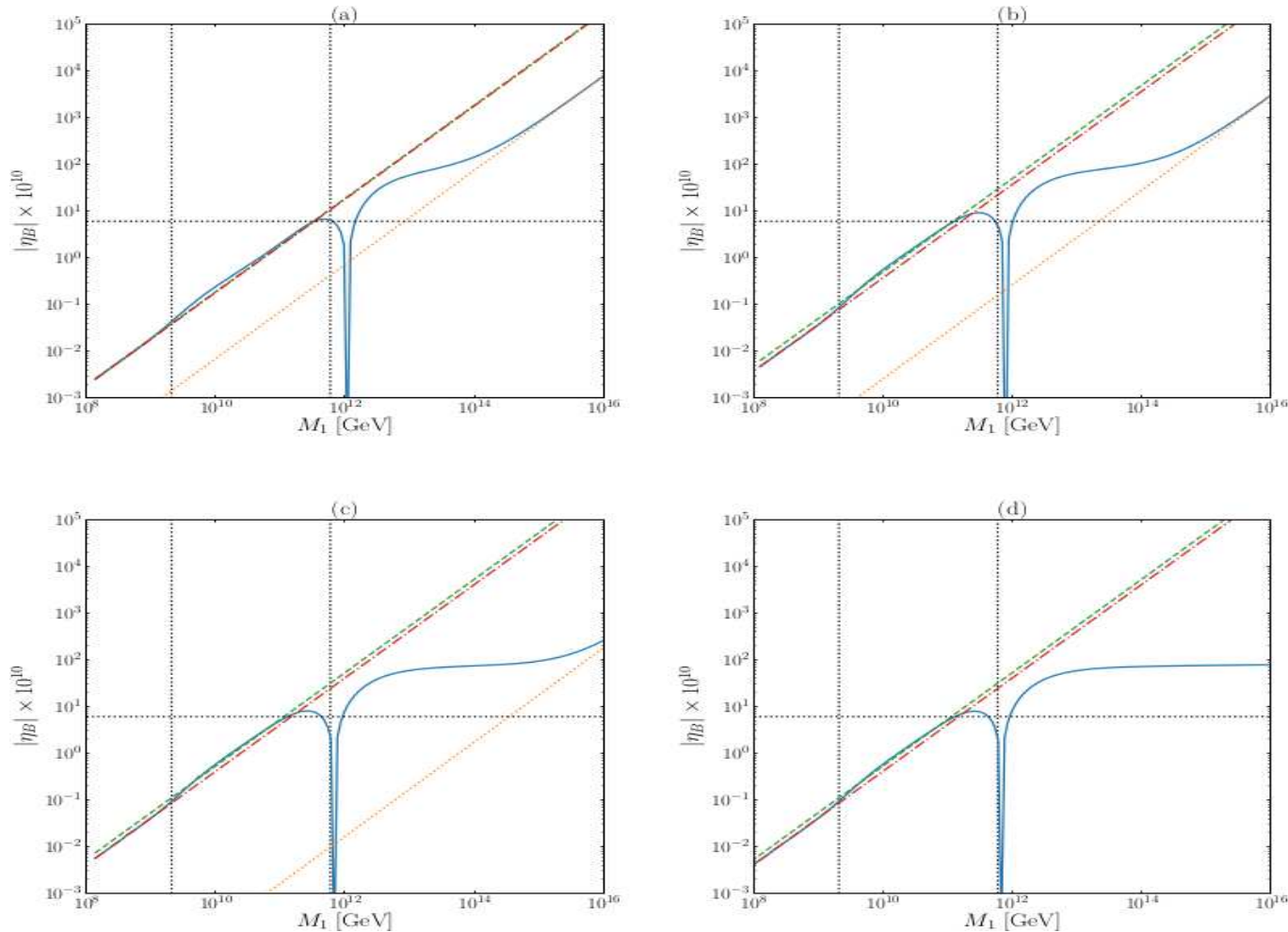




$\alpha_{21}$ :  $\min(M_1) = 3.05 \times 10^{10}$  **GeV**,  $M_2 = 10^{13}$  **GeV**,  
 $M_3 = 3 \times 10^{13}$  **GeV**.

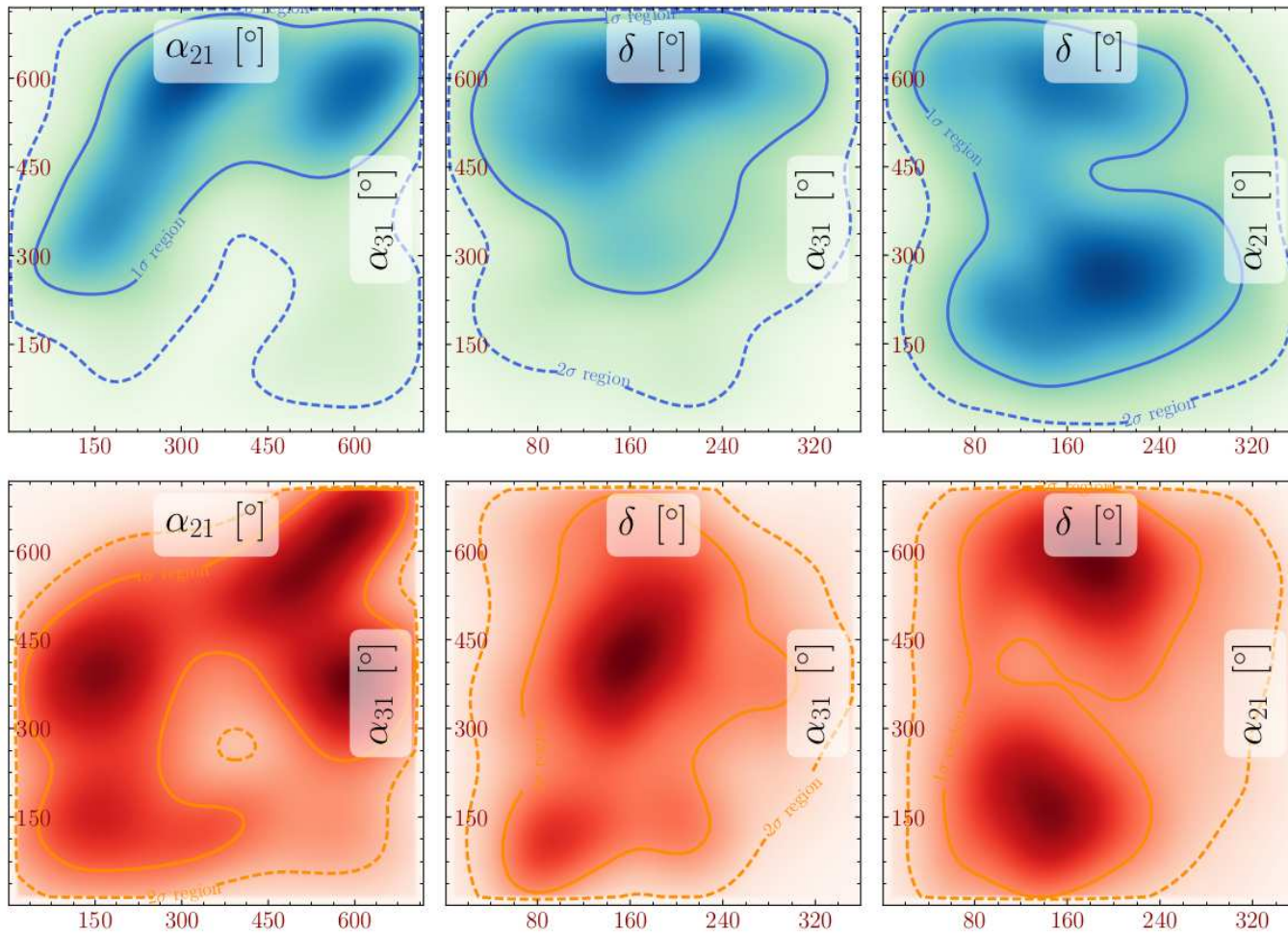
$\alpha_{31}$ :  $\min(M_1) = 5.13 \times 10^{10}$  **GeV**,  $M_2 = 10^{13}$  **GeV**,  
 $M_3 = 3 \times 10^{13}$  **GeV**.

# High Scale Transition



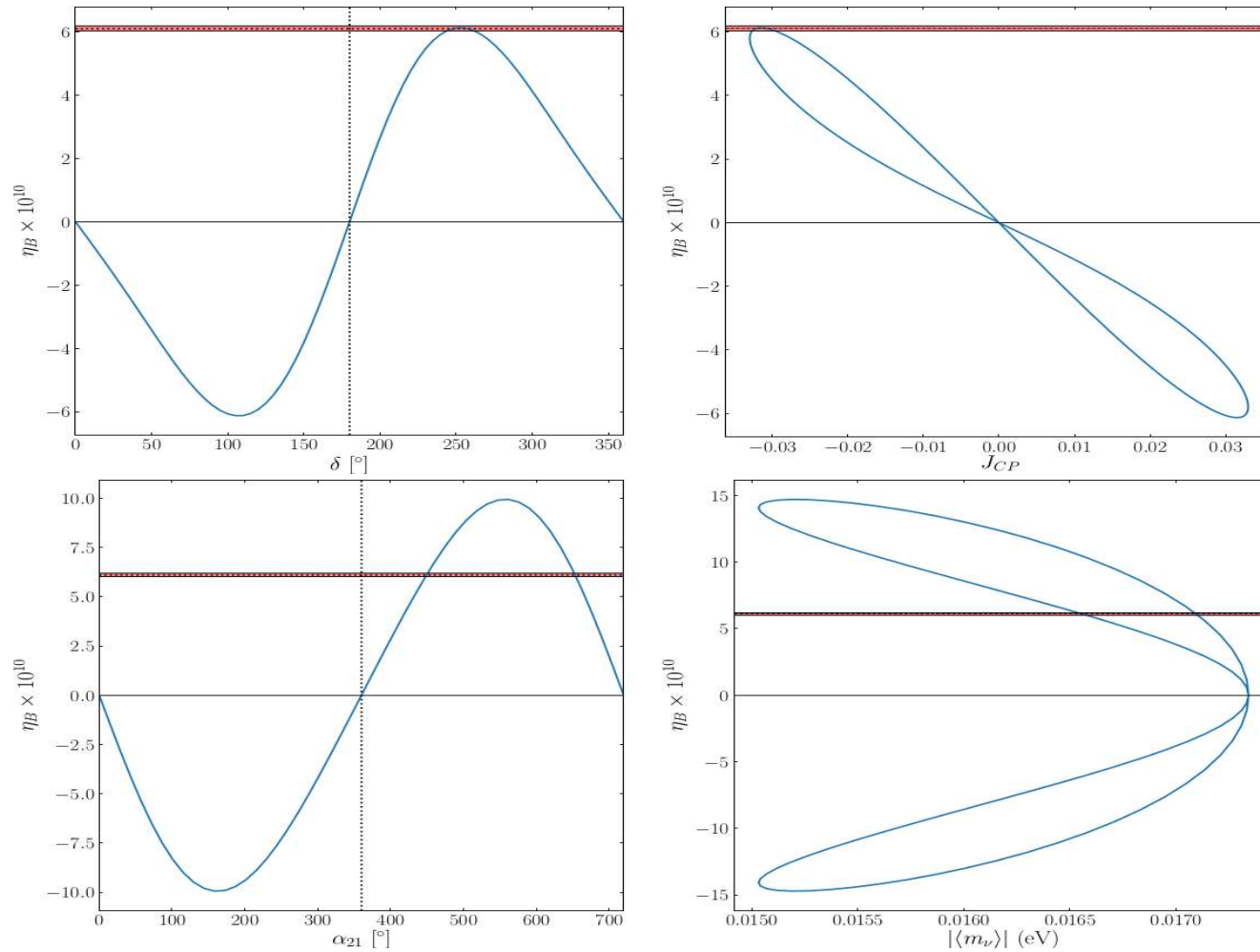
LG from  $M_1$  ( $M_1 \ll M_2 \ll M_3$ ): dashed green - 2-flavour BE; red dot-dashed - 3-flavour BE; dotted orange - single flavour BE; solid blue - solution of DME.  $y_3$  - the only CPV  $R$ -matrix parameter:  $y_3 = 30^\circ$  (a),  $5^\circ$  (b),  $0.3^\circ$  (c),  $0^\circ$  (d). The vertical dotted lines:  $M_1 = 10^9$  and  $10^{12}$  GeV.

**Case d: CPV only from  $\delta$ ,  $\alpha_{21}$ ,  $\alpha_{31}$ .**



**CP conserving  $R$ - matrix ( $y_j = 0$ ), CPV due to  $\delta$ ,  $\alpha_{21}$  and  $\alpha_{31}$ :  $M_1 = 10^{13}$  GeV,  $M_1 \ll M_2 \ll M_3$ ;  $m_1 = 0.0159$  eV, NO;  $x_1 = -96.55^\circ$ ,  $x_2 = -105.2^\circ$ ,  $x_3 = 141.4^\circ$ .**

**1BE1F:  $\eta_B = 0!$ .**



$M_1 = 3.16 \times 10^{13}$  GeV, CP conserving  $R$ -matrix.

$\delta$ :  $\alpha_{21} = \alpha_{31} = 0$ , successful LG for  $\delta \cong 250^\circ$ , for which  $J_{CP} \cong -0.03$ .

$\alpha_{21}$ :  $\delta = \alpha_{31} = 0$ , successful LG for  $\alpha_{21} = 449^\circ, 653^\circ$ , for which  $|\langle m \rangle| = 0.0171, 0.0166$  eV.

## A. Granelli et al., arXiv:2107.02079: summary of the results.

Studied the transitions between different flavour regimes:  $M_1 \ll M_2 \ll M_3$ , CPV due to Dirac or Majorana CPV phases of the PMNS matrix. Used DME; the 1, 2 and 3 flavour regimes described by BE.

The 2 to 1 flavour LG transition taking place at  $M_1 \sim 10^{12}$  GeV:  
 $\eta_B$  goes through zero and changes sign in the transition  
**only in the strong wash-out regime and zero  $N_1$  initial abundance..**

Results on the 1 to 2 and 2 to 3 flavour regimes transitions in the case of  $N_{1,2}$  with  $M_1 \ll M_2$  (CP violation due to Dirac or Majorana CPV phases).

- i) the BEs can fail to describe correctly the generation of  $\eta_B$  in the 1, 2 and 3 flavour regimes, e.g., underestimating  $\eta_B$  by a factor  $\sim 10$ ;**
  - ii) the 1-to-2 and the 2-to-3 flavour transitions can take place at the same  $M_1$ , with  $\eta_B$  going through a relatively shallow minimum at the transition value of  $M_1$ ;**
  - iii) the 2-flavour regime can persist above  $5 \times 10^{11} - 10^{12}$  GeV (below  $\sim 10^9$  GeV);**
  - iv) the flavour effects in LG persist beyond  $\sim 10^{12}$  GeV, with the requisite CPV provided by the Dirac or/and Majorana CPV phases;**
  - v) at  $M_1 \sim 10^{12}$  GeV,  $|\eta_B|$  reaches a “plateau” where it remains practically constant as  $M_1$  increases, and flavour effects are fully operative;**
  - vi) determined  $\min(M_1)$  and the ranges of  $\delta$  and of  $(\alpha_{21} - \alpha_{31})$  for which one can have successful LG;**
  - vii) for NO spectrum,  $\text{sgn}(\sin \delta)$  is correlated with  $\text{sgn}(\eta_B)$  in the region of viable LG, with  $\pi < \delta < 2\pi$  ( $0 < \delta < \pi$ ) for real (purely imaginary) CP conserving Casas-Ibarra parameter  $\sin 2\theta_{\text{CI}}$ ;**
- for IO spectrum (viable LG possible only for purely imaginary  $\sin 2\theta_{\text{CI}}$ ) the same correlations holds for  $4.6 \times 10^{10} \lesssim M_1 \lesssim 10^{13}$  GeV with  $\pi < \delta < 2\pi$ ;**  
**these results are testable in low-energy experiments.**

Consider two-flavoured LG with  $N_{1,2,3}$ ,  $M_1 \ll M_2 \ll M_3$ ,  $M_1 10^9$  GeV. Only the CPV decays of  $N_1$  contribute to the generation of CPV lepton asymmetry which is converted into a baryon asymmetry by the sphaleron effects. The DMEs describe the evolution of the number of  $N_1$  in a comoving volume,  $N_{N_1}$ , and of the CPV asymmetries in the lepton charges  $L_\tau$  and  $L_{\tau^\perp} = L_{e+\mu} = L_e + L_\mu$ .

The  $B - L$  asymmetry  $N_{B-L} = N_{\tau\tau} + N_{\tau^\perp\tau^\perp}$  is given by:

$$N_{B-L}(z_f) = N_{B-L}^{1BE1F}(z_f) + N_{B-L}^{\text{decoh}}(z_f),$$

$$N_{B-L}^{1BE1F}(z) \equiv \int_{z_0}^z e^{-\int_{z'}^z W_1(z'') dz''} \epsilon^{(1)} D_1(z') (N_{N_1}(z') - N_{N_1}^{\text{eq}}(z')) dz', \quad z_0 = 10^{-3},$$

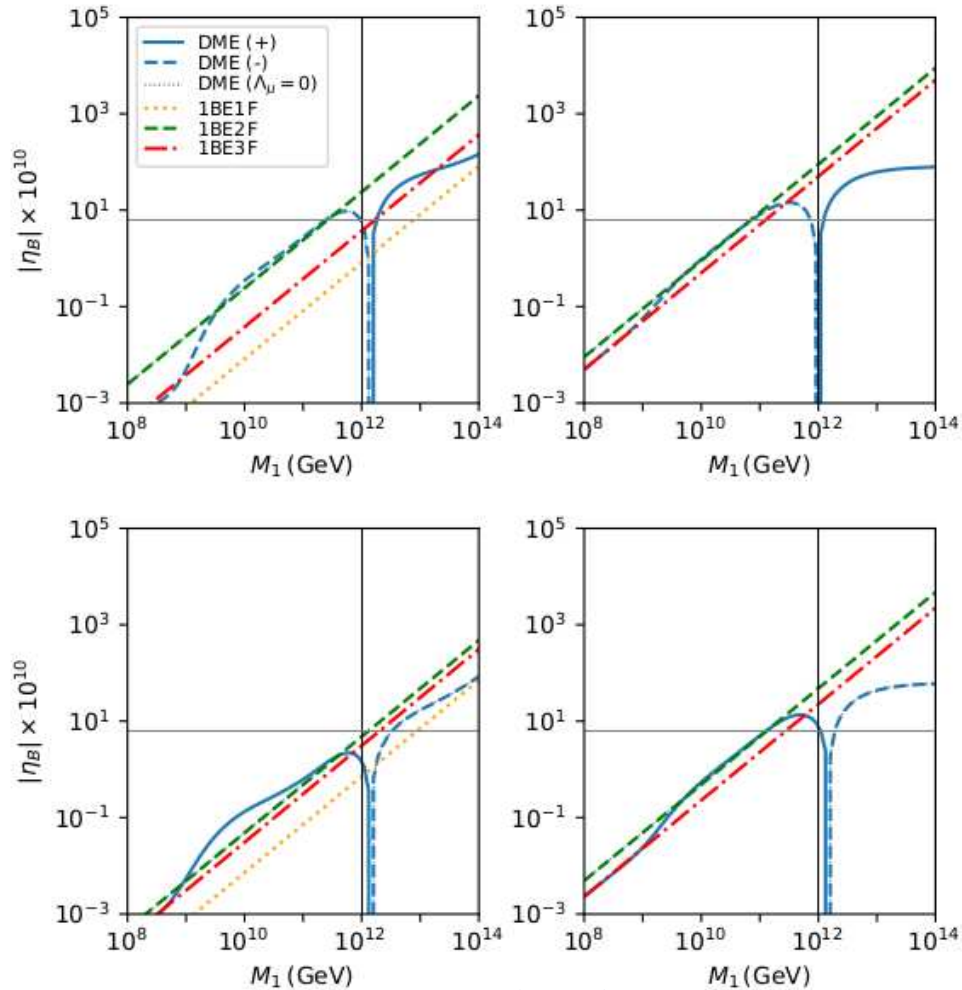
is the solution to the 1BE1F. It vanishes if CPV in LG is due to  $\delta$  and/or  $\alpha_{21,31}$  since in this case  $\epsilon^{(1)} = \epsilon_{\tau\tau}^{(1)} + \epsilon_{\tau^\perp\tau^\perp}^{(1)} = \epsilon_{\tau\tau}^{(1)} + \epsilon_{ee}^{(1)} + \epsilon_{\mu\mu}^{(1)} = 0$ .

$N_{B-L}^{\text{decoh}}$  incorporates the decoherence effects due to  $\Gamma_\tau$ . At  $M_1 > 10^{12}$  GeV, to leading order in  $\Lambda_\tau \equiv \Gamma_\tau/Hz = \text{const.}/M_1$ ,

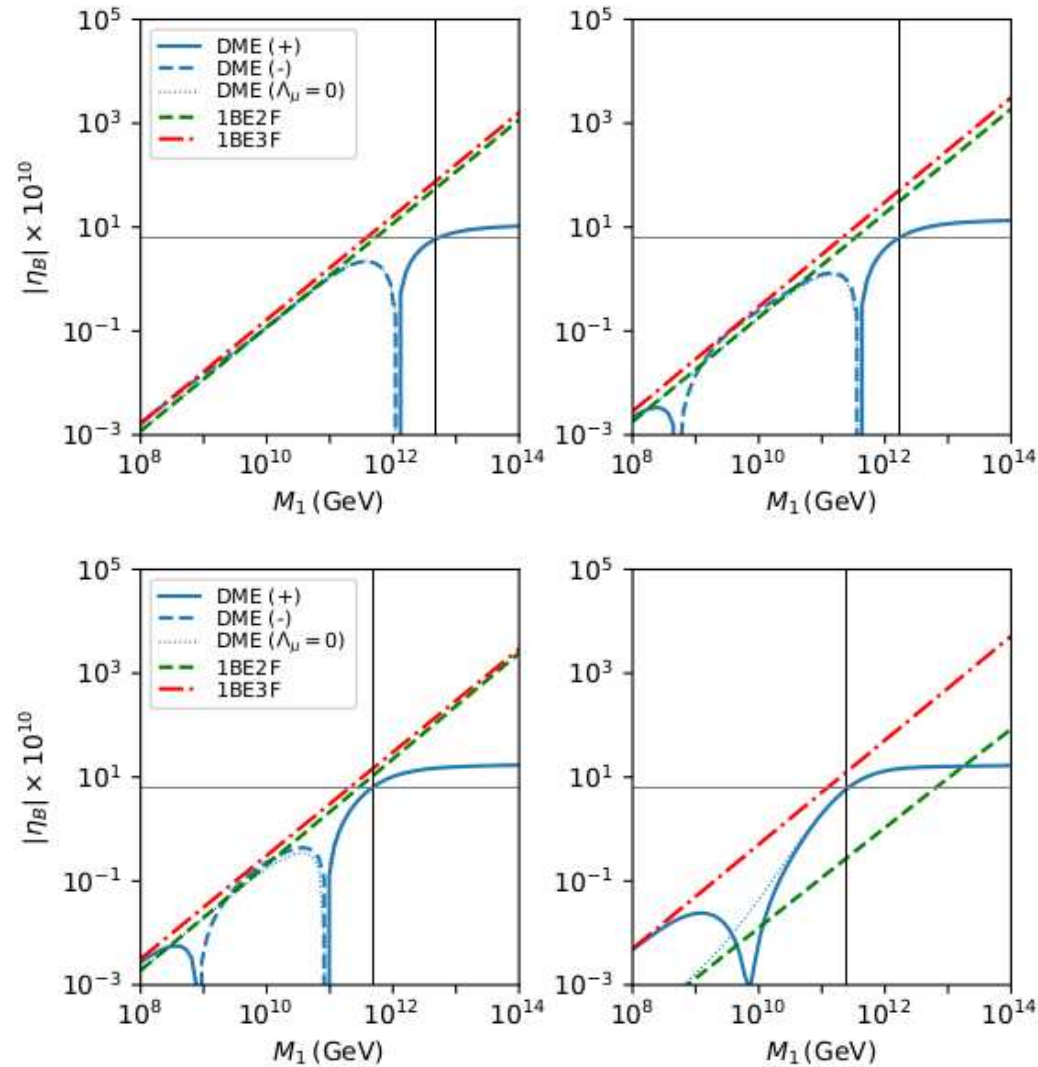
$$N_{B-L}(z_f) = \Lambda_\tau \mathcal{I}_2(\kappa_1; z_f) (1 - 2p_{1\tau}) \epsilon_{\tau\tau}^{(1)} + \mathcal{O}(\Lambda_\tau^2), \quad p_{1\tau} = |C_{1\tau}|^2 = |Y_{\tau 1}|^2 / (Y^\dagger Y)_{11},$$

$\mathcal{I}_2(\kappa_1; z_f)$  does not depend on  $M_1$ ,  $\epsilon^{(1)} \propto M_1$ ,  $\Lambda_\tau \propto 1/M_1$ , thus  $N_{B-L}(z_f)$  is constant with  $M_1$ . At  $M_1 > 10^{12}$  GeV there should exist an interval of values of  $M_1$  in which  $\eta_B$  does not change with  $M_1$ .

The numerical solutions of the DMEs show the existence of a plateau at  $M_1 > 10^{12}$  GeV.

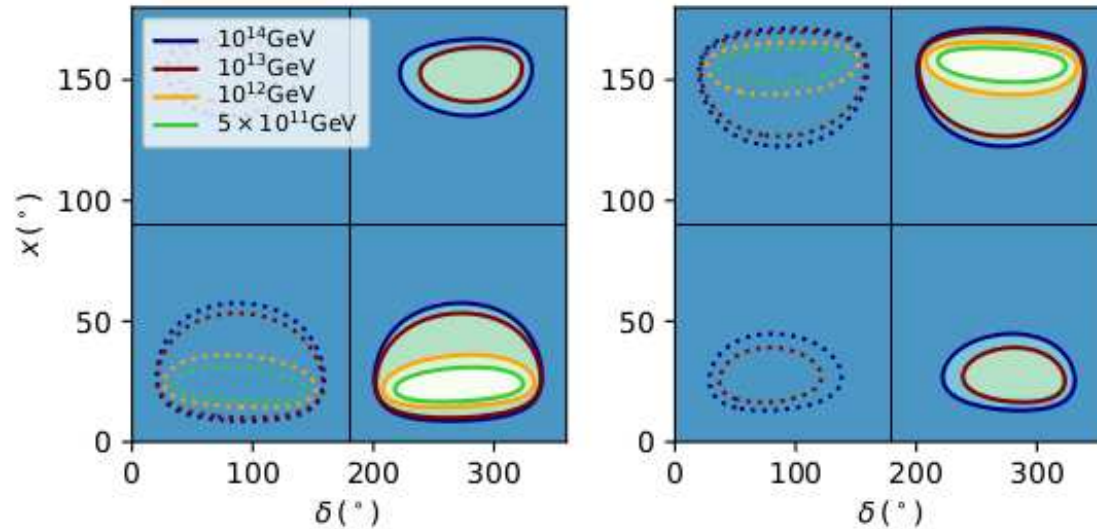


$|\eta_B|$  as a function of  $M_1$  calculated with DME (blue), and 1-, 2- and 3-flavoured Boltzmann equations 1BE1F (orange dotted), 1BE2F (green dashed) and 1BE3F (red dash-dotted). The solid (dashed) blue curve corresponds to  $\eta_B > 0$  ( $\eta_B < 0$ ), while the dotted blue curve is obtained for  $\Lambda_\mu = 0$ . The relevant parameters are set to:  $M_3 = 5M_2 = 50M_1$ ,  $\delta = 228^\circ$ ,  $\alpha_{21} = 200^\circ$ ,  $\alpha_{31} = 175^\circ$ ,  $x_1 = -/+10^\circ$ ,  $x_2 = -/+20^\circ$ ,  $x_3 = -/+10^\circ$  in the top/bottom panels and  $y_1 = y_2 = 0$  and  $y_3 = 30^\circ/0$  in the left/right panels. In the top-right and bottom-right panels the CPV is due only to the CPV phases in the PMNS matrix ( $y_{1,2,3} = 0$ ), so the 1BE1F solution  $\eta_B^{1BE1F} = 0$ . The horizontal (vertical) grey (black) line corresponds to the observed  $\eta_B$  (to  $M_1 = 10^{12}$  GeV).

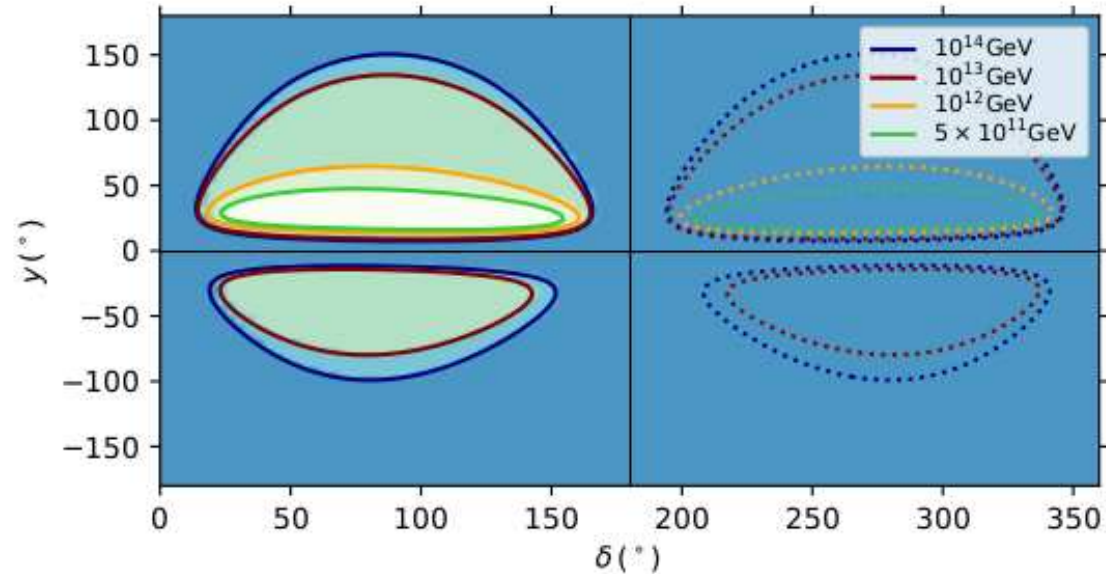


$|\eta_B|$  versus  $M_1$  for NH spectrum, obtained with DME (blue), 1BE2F (green), 1BE3F (red), for  $\delta = 3\pi/2$ ,  $\alpha_{23} = 0$ ,  $x = 150^\circ/40^\circ$  (top left/right panels) and  $30^\circ/22.2^\circ$  (bottom left/right panels). The solid (dashed) blue line corresponds to  $\eta_B > 0$  ( $\eta_B < 0$ ); the dotted blue line is obtained for  $\Lambda_\mu = 0$ . The horizontal grey line marks the present BAU at  $6.1 \times 10^{-10}$  and is reproduced with the solution of DMEs at the minimal mass scales marked by the vertical black line, i.e., at  $M_1 \simeq 4.7/1.7 \times 10^{12}$  GeV top-left/right panels and  $4.8/2.5 \times 10^{11}$  GeV bottom-left/right panels.





Regions of viable leptogenesis in the  $\delta - x$  half plane,  $0 \leq x \leq \pi$  ( $\theta_{\text{CI}} = x + iy$ ), for NH spectrum, **real  $R$ -matrix ( $y = 0$ )**, **CP violation due to the Dirac phase  $\delta$** ,  $\alpha_{23} = 0$  (left panel) and  $2\pi$  (right panel) and different values of  $M_1$ . The solid contours corresponding to fixed values of  $M_1$  surround the regions in which there is a combination of values of  $\delta$  and  $x$  for which  $\eta_B = 6.1 \times 10^{-10}$ . The dotted contours surround regions where one can have  $|\eta_B| = 6.1 \times 10^{-10}$  but  $\eta_B < 0$ . The predicted  $\eta_B$  outside the contours is always smaller in magnitude than the observed BAU. The regions of viable leptogenesis in the half-plane  $-\pi \leq x \leq 0$  (or  $\pi \leq x \leq 2\pi$ ), which are not shown, can be obtained from those in the figure by substituting  $x$  with  $x - \pi$ .



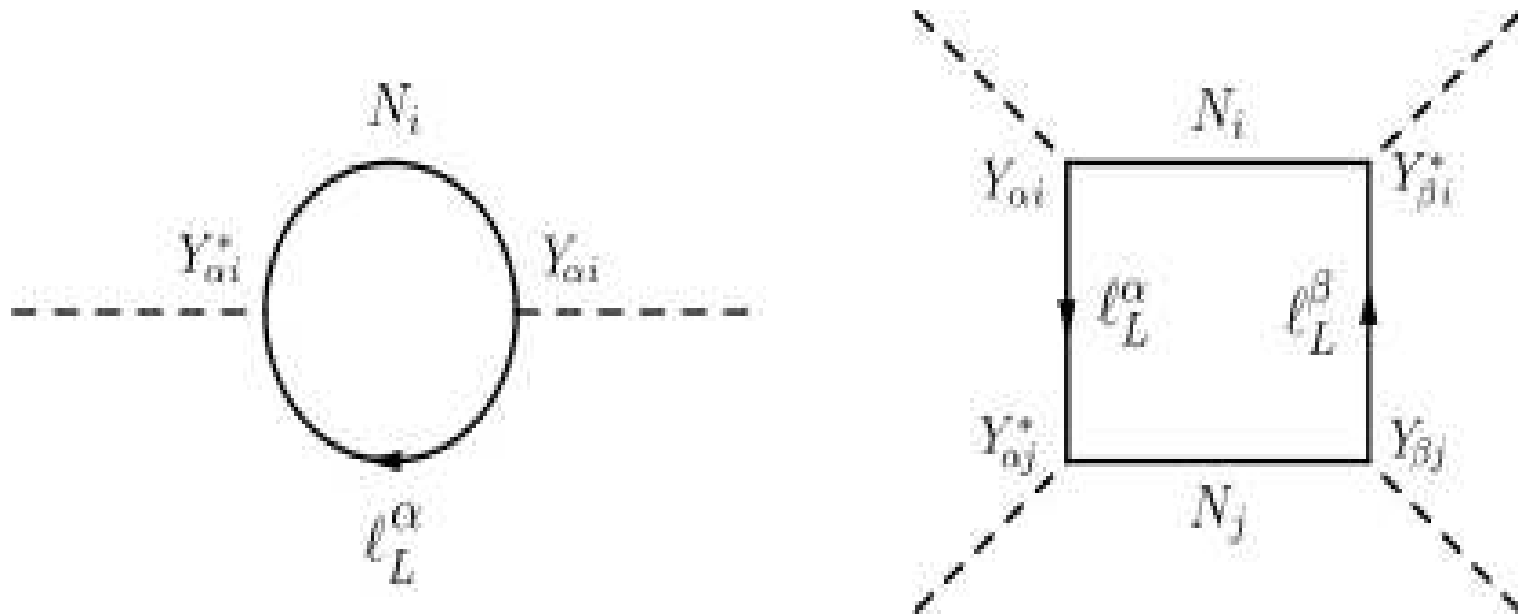
Regions of viable leptogenesis in the  $\delta - y$  plane for NH spectrum,  $x = k\pi$ ,  $k = 0, 1, 2$  ( $\theta_{CI} = x + iy$ ),  $\alpha_{23} = \pi$ , i.e., **CP violation due to  $\delta$  ( $y \neq 0$ , CP-conserving R-matrix)** and different  $M_1$ . The solid contours corresponding to fixed values of  $M_1$  surround the regions in which there is a combination of values of  $\delta$  and  $y$  for which  $\eta_B = 6.1 \times 10^{-10}$ . The dotted contours surround regions where one can have  $|\eta_B| = 6.1 \times 10^{-10}$  but  $\eta_B < 0$ . The predicted  $\eta_B$  outside the contours is smaller in magnitude than the present BAU. Setting  $\alpha_{23} = 3\pi$  leads to a figure which can be obtained from the present by changing  $y$  to  $-y$ .

# I. Brivio et al, arXiv:1905.12642

## C. Is leptogenesis compatible with the Neutrino Option?

### The Neutrino Option

$$V_0(\Phi) = -\frac{M_{H0}^2}{2}\Phi^\dagger\Phi + \lambda_0(\Phi^\dagger\Phi)^2,$$



I. Brivio and M. Trott, 2017 (arXiv:1703.10924)

$$\Delta M_H^2 = \frac{1}{8\pi^2} \text{Tr} [Y M^2 Y^\dagger].$$

**Our analysis:**

$M_{H0}^2$  **generated at one loop,  $\lambda_0$ -present in  $V_0(\Phi)$ .**

**Successful LG possible in the resonant regime.**

**The case of 2 heavy Majorana  $N_j$  considered:**

$N_{1,2}$ ,  $N_{1,2}$  **form a pseudo-Dirac pair,  $M_2 - M_1 \ll M_{1,2}$ .**

$$M_{H0}^2 = \Delta M_H^2 = \frac{1}{8\pi^2 v^2} \cosh(2y) M^3 (m_1 + m_2 + m_3),$$

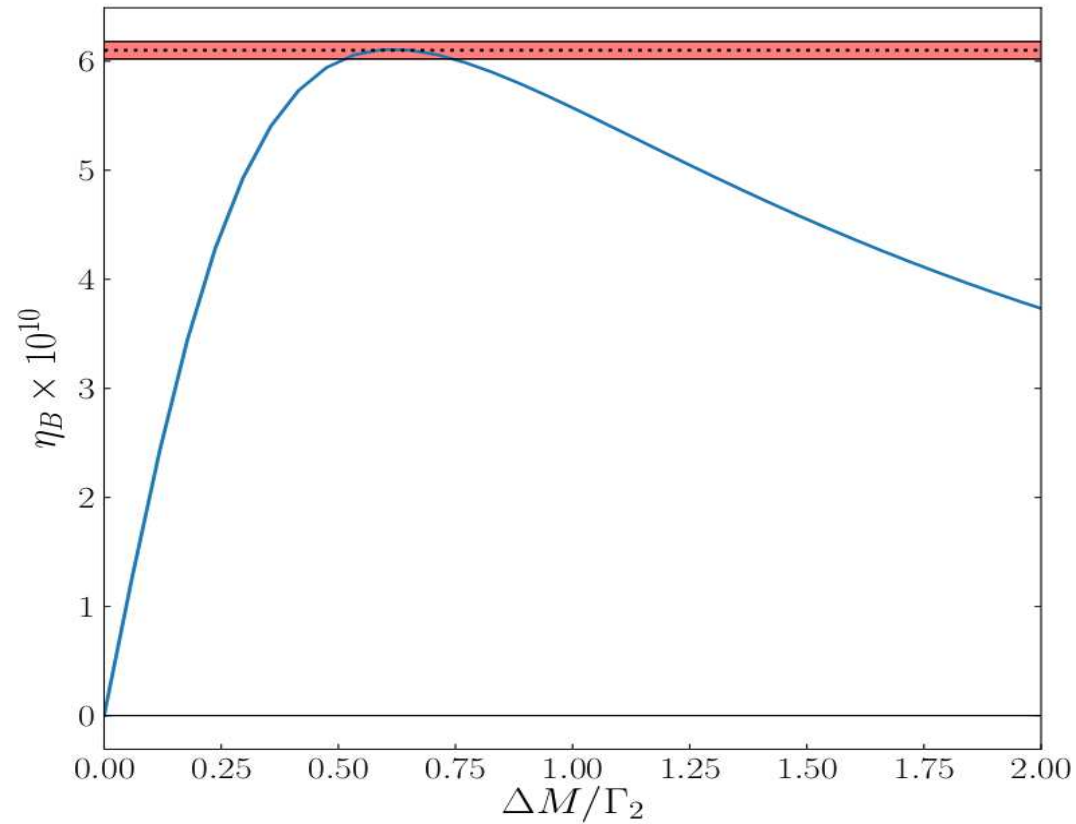
**R:**  $R_{12} = \cos \theta$ ,  $R_{13} = \sin \theta$ ,  $R_{22} = -\sin \theta$ ,  $R_{23} = \cos \theta$ ,  
**NO spectrum,  $\theta = x + iy$ .**

**The Neutrino Option and successful LG are compatible in the case of a NO (IO) neutrino mass spectrum for**

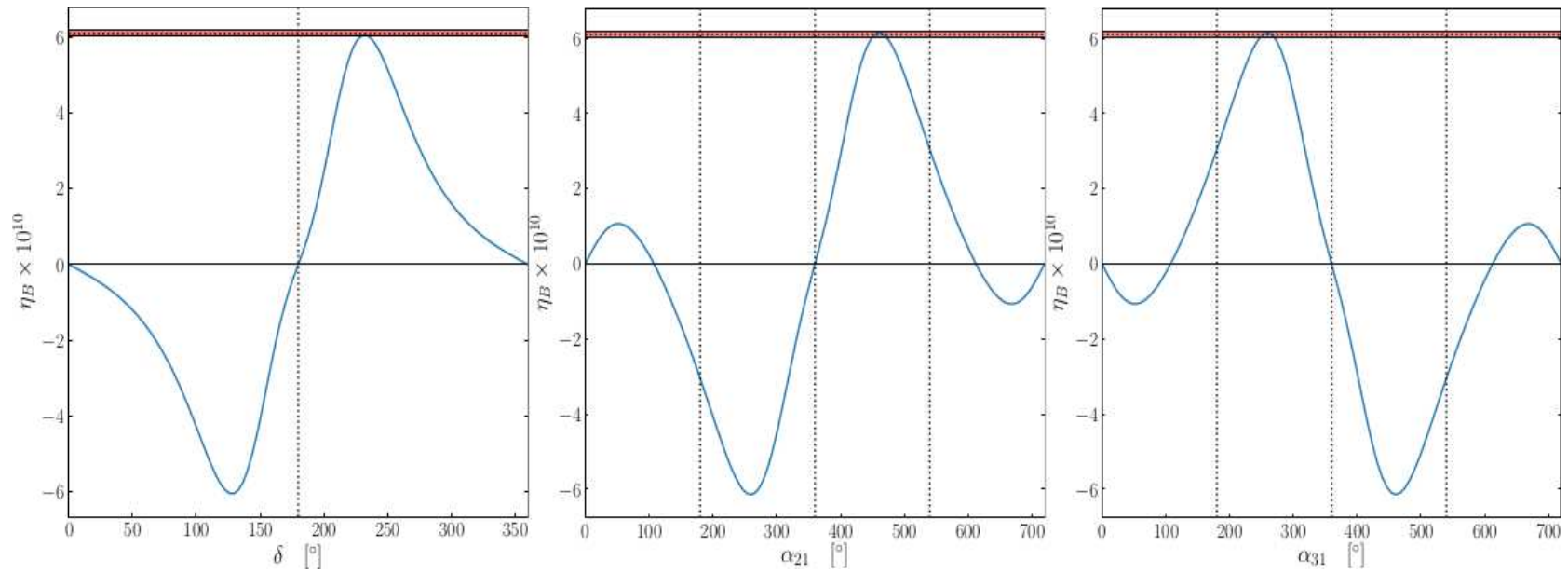
$$1.2 \times 10^6 < M \text{ (GeV)} < 8.8 \times 10^6 \\ (2.4 \times 10^6 < M \text{ (GeV)} < 7.4 \times 10^6), M = (M_1 + M_2)/2.$$

**Successful leptogenesis requires that  $\Delta M/M \equiv (M_2 - M_1)/M \sim 10^{-8}$ .**

**LG can produce the BAU within the Neutrino Option scenario when the requisite CP violation in LG is provided exclusively by the Dirac or Majorana low energy CPV phases of the PMNS matrix.**



$\Gamma_2 = 1.62 \times 10^{-2}$  ( $8.63 \times 10^{-3}$ ) **GeV for NO (IO) spectrum.**



$$M = 8 \times 10^6 \text{ GeV}$$

## Conclusions.

The see-saw mechanism provides a link between the  $\nu$ -mass generation and the baryon asymmetry of the Universe (BAU).

Any of the CPV phases in  $U_{\text{PMNS}}$  can be the leptogenesis CPV parameters.

Low energy leptonic CPV can be directly related to the existence of BAU.

Understanding the status of the CP-symmetry in the lepton sector is of fundamental importance.

These results underline further the importance of the experimental studies on Dirac and/or Majorana leptonic CP-violation at low energies.