

# Status report of A03

## Investigation of Primordial Black Holes and Macroscopic Dark Matter

(原始ブラックホール・巨視的ダークマターの探求)

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# Primordial Black Hole

- ◎ Remnants of primordial non-linear inhomogeneity
- ◎ BHs not produced by late time stellar collapse
- ◎ Reliable formation scenario:
  - collapse of rarely dense regions generated by quantum fluctuation during inflation
  - It's rare, but has a finite probability!!
- ◎ If you accept inflation, you should be able to accept the **PBH formation**
- ◎ **PBH** is a plausible and appealing **DM** candidate
  - BHs “exist” in our universe
  - BHs behave as **DM** in a cosmological scale
  - Reliable scenario of **PBH** formation

# How many PBHs in our universe?

- ◎ They could provide a substantial part of **DM**
- ◎ How large fraction of **DM PBHs** can account for?

To answer this, we need

- precise theoretical estimation of abundance
- realistic and attractive models
- tests through observational constraints

- ◎ What are distinct characters of **PBH DM**?

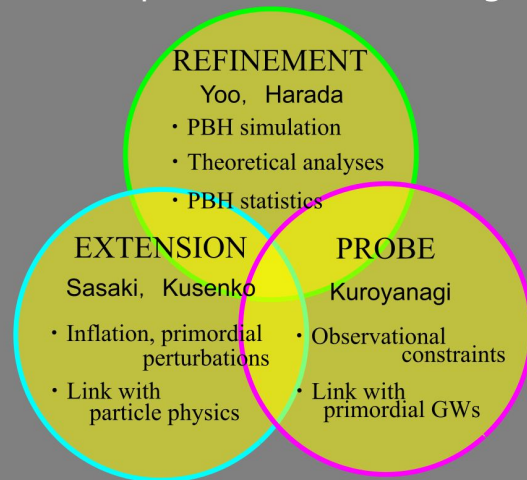
For the prediction, we need

- deeper understanding of formation process
- finding model dependent features
- proposal of specific observables to probe it

- ◎ Possible other macroscopic **DM**?

- Exotic stars (gravastar, soliton star, Q-balls...)

Close cooperation with other groups



Additional strong supports from 公募研究 (open-solicited research)

# Activities of A03 in the fiscal year 2021

- 2104.05271 *Cosmology of strongly interacting fermions in the early universe*  
Domenech, **Sasaki**
- 2105.05581 *Backreaction of Mass and Angular Momentum Accretion on Black Holes:  
General Formulation of the Metric Perturbations and Application to the Blandford-Znajek Process*  
Kimura, **Harada**, **Naruko**, Toma
- 2105.06099 *Interstellar Gas Heating by Primordial Black Holes*  
Takhitov, Lu, Gelmini, Hayashi, Inoue, **Kusenko**
- 2105.06816 *Exploring Evaporating Primordial Black Holes with Gravitational Waves*  
Domenech, Takhistov, **Sasaki**
- 2105.12554 *Beating the Lyth bound by parametric resonance during inflation*  
Cai, Jiang, **Sasaki**, Vardanyan, Zhou
- 2106.03786 *Probing pre-Recombination Physics by the Cross-Correlation of Stochastic Gravitational Waves and CMB Anisotropies*  
Braglia, **Kuroyanagi**
- 2106.03237 *Spins of primordial black holes formed in different cosmological scenarios*  
Flores, **Kusenko**
- 2106.06651 *Thakurta metric does not describe a cosmological black hole*  
**Harada**, Maeda, Sato
- 2107.05260 *Robustness of particle creation in the formation of a compact object*  
Okabayashi, **Harada**, Nakao
- 2108.08416 *Primordial black holes as a dark matter candidate in theories with supersymmetry and inflation*  
Flores, **Kusenko**
- 2108.13026 *Testing the Non-circularity of the Spacetime around Sagittarius A\* with Orbiting Pulsars*  
Takamori, **Naruko**, Sakurai, Takahashi, Yamauchi, **Yoo**

**A03 A02 A01**  
**collaboration**

# Activities of A03 in the fiscal year 2021

- 2109.00791 *Primordial black holes in peak theory with a non-Gaussian tail*  
Kitajima, Tada, Yokoyama, **Yoo**
- 2109.04051 *False Vacuum Decay in Rotating BTZ Spacetimes*  
Saito, **Yoo**
- 2109.11376 *The stochastic gravitational wave background from close hyperbolic encounters of primordial blackholes in dense clusters*  
Garcia-Bellido, Jaraba, **Kuroyanagi**
- 2109.12824 *Primordial Black Holes from CDM Isocurvature*  
Passaglia, **Sasaki**
- 2110.13421 *Complete conformal classification of the Friedmann-Lemaître-Robertson-Walker solutions with a linear equation of state II*  
**Harada**, Igata, Sato, Carr
- 2110.07488 *Testing Primordial Black Holes with multi-band observations of the stochastic gravitational wave background*  
Braglia, Garcia-Bellido, **Kuroyanagi**
- 2111.01005 *Solving information loss paradox via Euclidean path integral*  
Chen, **Sasaki**, Yeom, Yoon
- 2111.09509 *Establishing the Non-Primordial Origin of Black Hole-Neutron Star Mergers*  
**Sasaki**, Takhistov, Vardanyan, Zhang
- 2112.12335 *Primordial black hole formation from massless scalar isocurvature*  
**Yoo, Harada, Hirano**, Okawa, **Sasaki**
- 2112.12680 *Primordial Black Hole Formation in Non-Minimal Curvaton Scenario*  
Pi, **Sasaki**
- 2112.13836 *One Small Step for an Inflation, One Giant Leap for Inflation: a novel non-Gaussian tail and primordial black holes*  
Cai, Ma, **Sasaki**, Wang, Zhou
- 2201.13414 *Tracking the origin of black holes with the stochastic gravitational wave background popcorn signal*  
Braglia, Garcia-Bellido, **Kuroyanagi**

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# Activities of A03 in the fiscal year 2021

- 2202.01028 *Simulation of Primordial Black Holes with large negative non-Gaussianity*  
Escriva, Tada, Yokoyama, **Yoo**
- 2202.00201 *Dynamical photon sphere and time evolving shadow around black holes with temporal accretion*  
**Koga**, Asaka, Kimura, Okabayashi
- 2202.00202 *Periapsis shift in dark matter distribution around a black hole*  
Igata, **Harada**, Saida, Takamori
- 2203.10740 *Gravothermal catastrophe and critical dimension in a D-dimensional asymptotically AdS spacetime*  
Asami, **Yoo**

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**collaboration**

## ©Today's talks

- **Alexander Kusenko**  
*Primordial black holes: a generic DM candidate in supersymmetry and other extensions of the Standard Model*
- **Yuichiro Tada**  
*Primordial black holes and induced gravitational waves in light of the non-Gaussian tail*
- **Sachiko Kuroyanagi**  
*Probing primordial black holes through the stochastic gravitational wave background*

## ©Other activities

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# New PBH formation scenarios

2112.12680 *Primordial Black Hole Formation in Non-Minimal Curvaton Scenario*

Pi, **Sasaki**

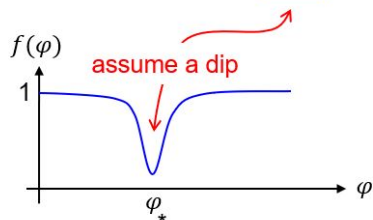
2112.13836 *One Small Step for an Inflation, One Giant Leap for Inflation: a novel non-Gaussian tail and primordial black holes*

Cai, Ma, **Sasaki**, Wang, Zhou

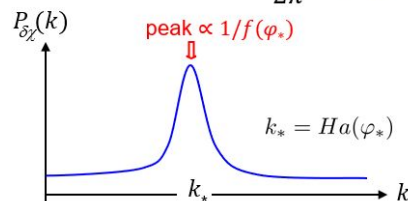
# New PBH formation scenarios-1

PBH formation in non-minimal curvaton scenario Pi & MS, 2112.12680

$$\mathcal{L} = -\frac{1}{2}(\partial\varphi)^2 - V(\varphi) - \frac{1}{2}f(\varphi)^2(\partial\chi)^2 - \frac{1}{2}m_\chi^2\chi^2 \quad (m_\chi^2 \ll H^2)$$



vacuum fluctuation:  $f(\varphi)\delta x = \frac{H}{2\pi} \Rightarrow \delta x = \frac{H}{2\pi f}$



- highly non-Gaussian curvature perturbation

$$e^{4\zeta} - \left[ \frac{4r}{3+r} \left( 1 + \frac{\delta\chi}{\chi} \right)^2 \right] e^\zeta + \left[ \frac{3r-3}{3+r} \right] = 0$$

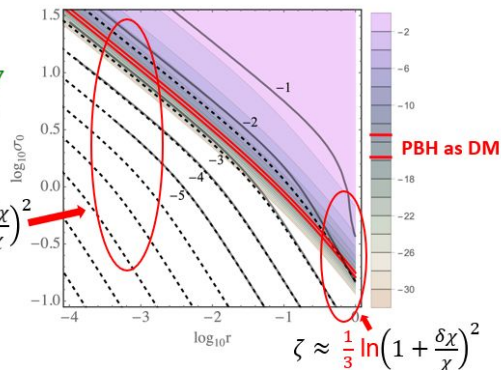
MS, Valiviita & Wands, astro-ph/0607627

$\zeta$  = curvature perturbation on **uniform density** slices

$r = \frac{\rho_\chi}{\rho_{\text{tot}}}$  at epoch of curvaton decay

- yet **curvature pert spectrum** is well approximated by that of **chi<sup>2</sup>**

$$\zeta \approx \frac{\delta\chi}{\chi} + \frac{3}{4r} \left( \frac{\delta\chi}{\chi} \right)^2$$



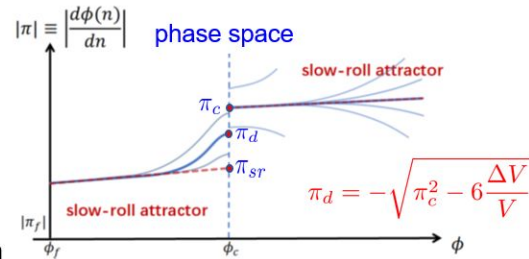
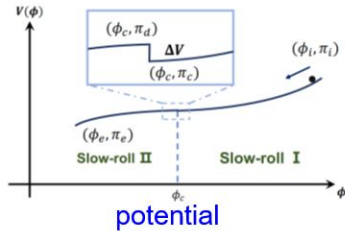
LISA will detect iGWs if PBH=CDM



# New PBH formation scenarios-2

a new model for PBH formation: Inflation with an upward step

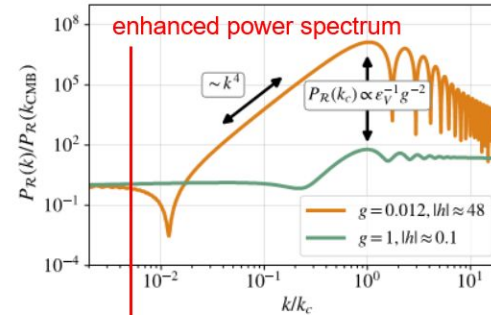
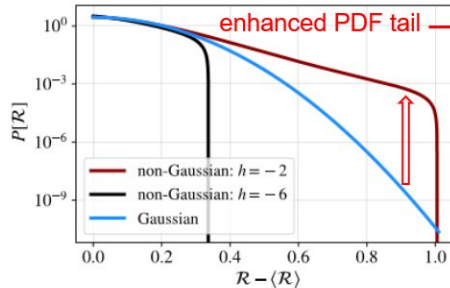
Cai, Ma, MS, Wang & Zhou, 2112.13836



- highly non-Gaussian curvature perturbation

$$\mathcal{R}_c \simeq \frac{2}{|h|} \left(1 - \sqrt{1 - |h|\mathcal{R}_G}\right) \text{ for } g \equiv \frac{\pi_d}{\pi_c} \ll 1$$

$$|h| = 6 \frac{\pi_{sr}}{\pi_d}$$



abundant PBH formation

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# Simulation of PBH formation from iso-curvature perturbation

2112.12335 *Primordial black hole formation from massless scalar isocurvature*

**Yoo, Harada, Hirano**, Okawa, **Sasaki**

# Simulation of PBH formation from iso-curvature

◎PBH can be formed from the isocurvature of a massless scalar field

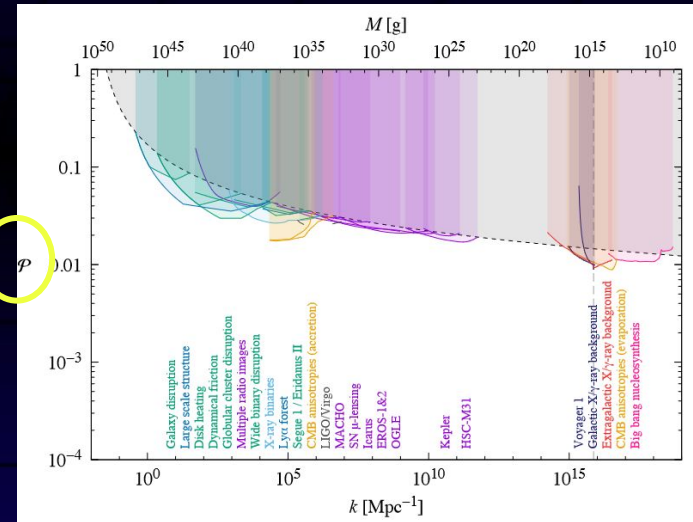
2112.12335 **CY, Harada, Hirano, Okawa, Sasaki**

◎The formation and accretion process are similar to those in the RD dominated universe

◎Constraints on the massless scalar isocurvature would be given by observational constraints of the PBH abundance similarly to those for the curvature perturbation.

Can be replaced by  
the power spectrum of  
the massless scalar isocurvature

2002.12778 Carr, Kohri, Sendouda, Yokoyama



# Technically significant progress

© We solve standard 3+1 dimensional equations on  $z$ -axis with CARTOON method

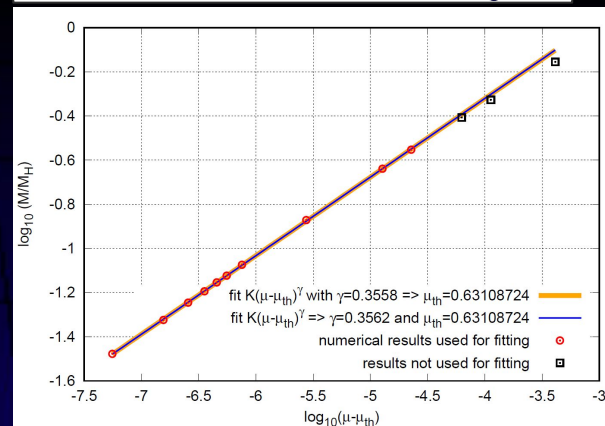
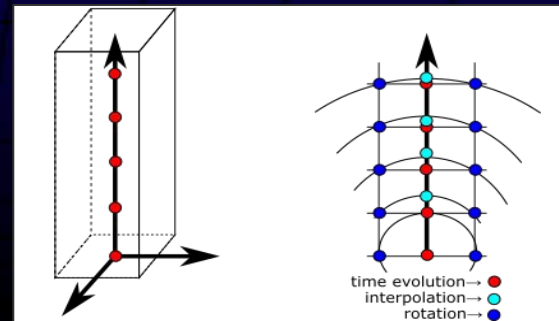
© Critical behavior for the radiation fluid

$$M \propto (\mu - \mu_{\text{th}})^\gamma \text{ with } \gamma \simeq 0.3558$$

© To resolve the small black hole

- **Fixed mesh refinement**
- **Inhomogeneous coordinates**

© Technically important step (to me) for the simulation of non-spherical PBH formation and evaluating the PBH spin



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# Ongoing works by young PDs

# Hirano's work

## ■ PBH

- [1] C. M. Yoo, T. Harada, **SH**, H. Okawa, and M. Sasaki, "Primordial black hole formation from massless scalar isocurvature," [arXiv:[2112.12335](#) [gr-qc]].
- [2] C. M. Yoo, T. Harada, **SH**, and K. Kohri, "Abundance of Primordial Black Holes in Peak Theory for an Arbitrary Power Spectrum," PTEP 2021 (2021) no.1, 013E02 [arXiv:[2008.02425](#) [astro-ph.CO]].

## ■ EFT beyond GR

- [3] **SH**, M. Kimura, M. Yamaguchi, "Black holes in effective field theory extension of GR with scalar and parity violation", in preparation

## ■ Modified gravity

- [4] **SH**, T. Fujita, "Effective field theory of large scale structure in modified gravity", in preparation
- [5] S. Akama, **SH**, "Strong coupling problem in extended generalized galilean genesis and non-gaussianity", in preparation
- [6] **SH**, T. Kobayashi, D. Yamauchi, and S. Yokoyama, "UV sensitive one-loop matter power spectrum in degenerate higher-order scalar-tensor theories," Phys. Rev. D102 (2020) no.10, 103505 [arXiv:[2008.02798](#) [gr-qc]].
- [7] J. Tokuda, K. Aoki, and **SH**, "Gravitational positivity bounds," JHEP 11 (2020), 054 [arXiv:[2007.15009](#) [hep-th]].

**A03 B01**  
collaboration

# Ongoing work by Koga-kun -1

## PBH binary distribution with the effect of critical phenomena

Y. Koga, T. Harada, Y. Tada, S. Yokoyama, & C-M. Yoo, (paper in preparation).

### Data analysis of GWTC-2 [Callister+ (2021)]

- BH-BH binaries are distributed in  $-0.2 \lesssim \chi_{\text{eff}} \lesssim 0.4$  &  $0.2 \lesssim q < 1$ .  
 Effective spin of binary  $\curvearrowright$       Mass ratio  $\curvearrowright$
- Apparent **anti-correlation** between  $\chi_{\text{eff}}$  &  $q$ .
- Unexpected from astrophysical models.

**➔** Investigation of PBH-PBH binary distribution is interesting.

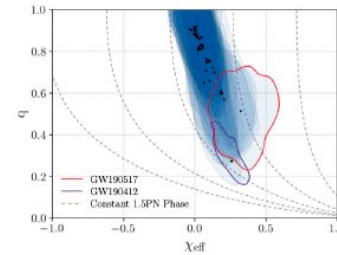


Figure 5. Effective spin and mass ratio posteriors for BBHs

### This work

- We investigate PBH binary distribution.
- Consider a simplest model as the first step.
- Include the effect of critical phenomena.

Interesting to investigate this effect on  $\chi_{\text{eff}}$ .

Average of PBH spins [Harada+ (2021)]

- Ordinary PBH formation:  $M \sim M_H \Rightarrow \sqrt{\langle a_*^2 \rangle} = \mathcal{O}(10^{-3})$
- **Critical collapse:**  $M \ll M_H \Rightarrow \sqrt{\langle a_*^2 \rangle} \leq 1$

$M_H$ : total mass in Hubble horizon

# Ongoing work by Koga-kun -2

## Assumptions

- Each PBH is formed by gravitational collapse of an overdense region, with the density perturbation amplitude  $\delta > \delta_{\text{th}}$ , of the radiation-dominated universe & has the parameters:

Mass  $M$ , Kerr parameter  $a$ , & spin direction  $(\theta, \phi)$ .

- The density contrast  $\delta$  obeys the Gaussian distribution:

$$P_G(\nu) = \frac{1}{\sqrt{2\pi}} e^{-\nu^2/2}, \quad \nu := \delta/\sigma_0$$

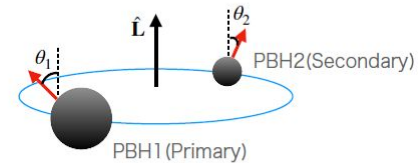
- The narrow power spectrum of  $\delta$ :

$$\mathcal{P}_\delta(k) \approx \sigma_0^2 k_0 \delta_D(k - k_0)$$

- The mass scaling law (critical phenomena):

$$M(\nu) = KM_H(\sigma_0\nu - \sigma_0\nu_{\text{th}})^\beta, \quad K = 3.3, \quad \beta = 0.36. \quad [\text{Evans \& Coleman (1994)}]$$

- The binary is formed by randomly choosing two PBHs.





# Ongoing work by Koga-kun -3

## Single PBH distribution

c.f [Harada + (2021)]

$$P(a, M, \theta, \phi) da dM d\theta d\phi = \frac{1}{4\pi} P(a | M(\nu), \nu) P_\nu(\nu) da d\nu d(\cos \theta) d\phi$$

$$\left( \begin{array}{l} P(a | M, \nu) = \frac{P_h(a|C(M, \nu))}{C(M, \nu) N_a(M, \nu)}, \quad P_h(h) = 563h^2 \exp[-2.37 - 4.12 \ln h - 1.53(\ln h)^2 - 0.13(\ln h)^3], \\ C(M, \nu) = 6.24 \times 10^{-3} \sqrt{1 - \gamma^2} \left( \frac{M}{M_{k_0}} \right)^{-1/3} \left( \frac{\nu}{10} \right)^{-2}, \quad N_a(M, \nu) := \int_0^{1/C(M, \nu)} P_h(h) dh, \quad \gamma := \sigma_1^2 / (\sigma_0 \sigma_2), \quad \sigma_j^2 := \int d \ln k k^{2j} \mathcal{P}_\delta(k) \end{array} \right.$$

## ➔ PBH binary distribution

$$P(\mathcal{M}, q, \chi_{\text{eff}}) = \frac{1+q}{2\mathcal{M}q^2\beta^2\sigma_0^2} \left( \frac{(1+q)^{2/5}\mathcal{M}^2}{q^{1/5}K^2M_{k_0}^2} \right)^{1/\beta} \int_0^1 da_1 \int_0^1 da_2 \Theta(L(a_1, a_2, \chi_{\text{eff}}, q)) L(a_1, a_2, \chi_{\text{eff}}, q) \\ \times \frac{1}{a_1 a_2} \prod_{i=1}^2 P(a_i | M_i(\mathcal{M}, q), \nu(M_i(\mathcal{M}, q))) P(\nu(M_i(\mathcal{M}, q)))$$

effective spin

$$\chi_{\text{eff}} = \frac{M_1 a_1 \cos \theta_1 + M_2 a_2 \cos \theta_2}{M_1 + M_2}$$

mass ratio

$$q = m_2 / m_1$$

chirp mass

$$\mathcal{M} = \frac{(M_1 M_2)^{3/5}}{(M_1 + M_2)^{1/5}}$$

$$\left( \begin{array}{l} M_1(\mathcal{M}, q) = q^{-3/5}(1+q)^{1/5}\mathcal{M}, \quad M_2(\mathcal{M}, q) = q^{2/5}(1+q)^{1/5}\mathcal{M}, \quad \nu(M) = \sigma_0^{-1} \left( M / (KM_{k_0}) \right)^{1/\beta} + \nu_{\text{th}}, \\ L(a_1, a_2, \chi, q) = \min[a_1, qa_2 + (1+q)\chi] + \min[a_1, qa_2 - (1+q)\chi] \end{array} \right.$$

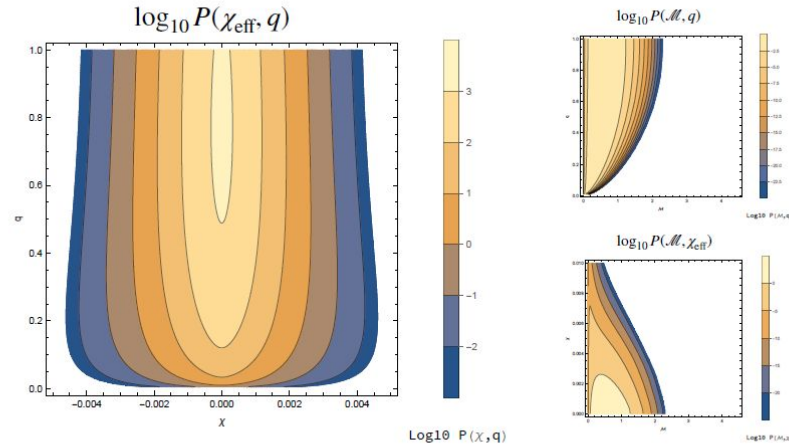
# Ongoing work by Koga-kun -4

## Numerical result of $P(\chi_{\text{eff}}, q)$

- $|\chi_{\text{eff}}| \lesssim 0.001$  in 90% CL.
- $0 \lesssim q \leq 1$ .
- Symmetric about  $\chi_{\text{eff}} = 0$ .
- No (anti-)correlation between  $\chi_{\text{eff}}$  &  $q$ .

$\gamma = 0.85$ ,  $\delta_{\text{peak,th}} = 1.92$ ,  $\sigma_0 = 0.192$  (PS approximation),

$\nu_{\text{th}} = 10$ , Normalization with  $M_{k_0} = 1$ .



## Conclusion

- The central values of  $\chi_{\text{eff}}$  &  $q$  seem to be well inside the observational data.
- Necessary to incorporate other effects, such as accretion, non-linear evolution during binary formation, etc..., to explain the anti-correlation.

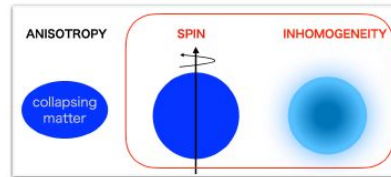
# Ongoing work by Kokubu-kun-1

## PBH formation from dynamical Einstein cluster

Takafumi Kokubu

### Motivation & Model

- Primordial BHs (PBH): a possible dark matter candidate
- Detailed **gravitational** dynamics of PBH formation is a fundamental issue
- We estimate the probability of PBH formation in **matter** dominated era



- PBHs form by 3 effects
- But, difficult to the all affects
- Thus, adopt 2 of them (spin & inhomogeneity)



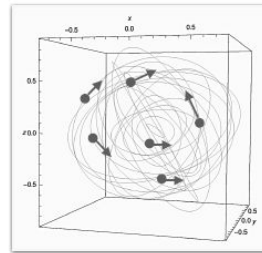
### Dynamical Einstein Cluster

- **INHOMOGENEOUS** cloud of counter-rotating particles
- Many particles with angular momentum = **ROTATION**
- Total angular momentum = 0
- Allowed to move radially



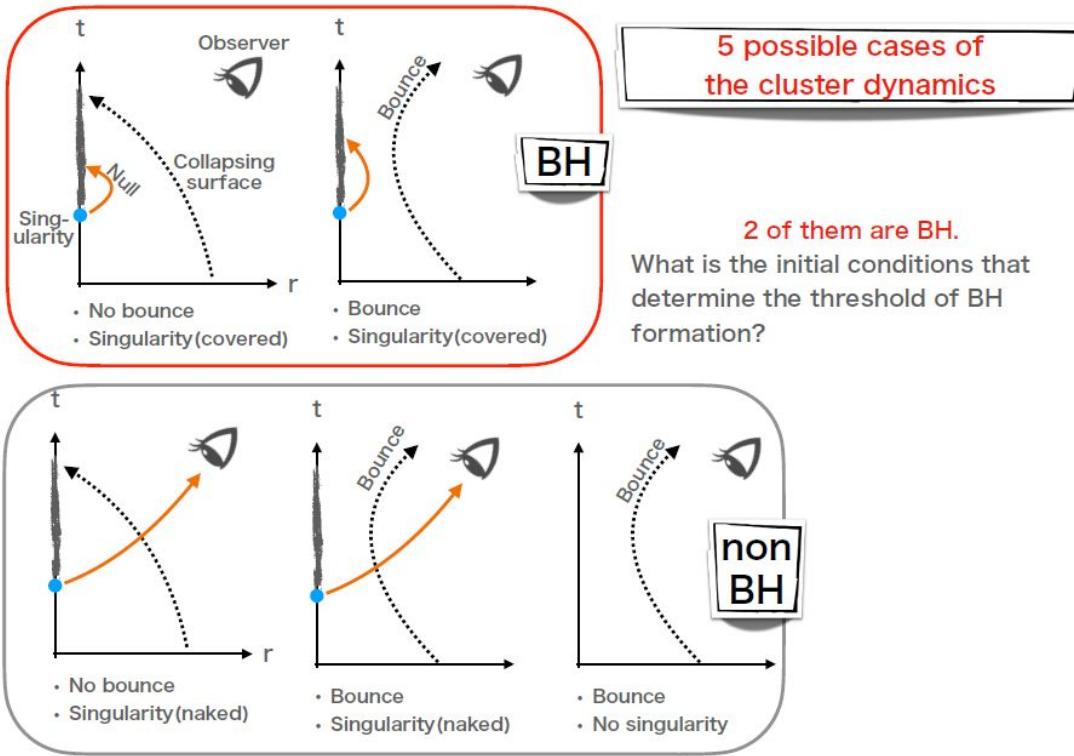
$$T_{\nu}^{\mu} = \text{diag}(-c, 0, \Pi, \Pi), \quad \Pi : \text{tangential pressure}$$

( $\Pi = 0$ : Tolman-Bondi sol.)



# Ongoing work by Kokubu-kun-2

## Model property



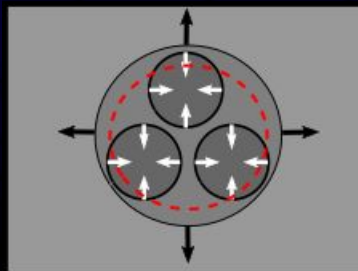
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# Ongoing work by seniors

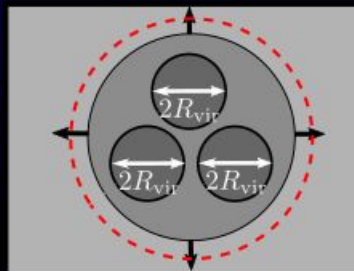
# Effect of velocity dispersion in PBH in MD

in preparation Harada, Kohri, Sasaki, Terada, CY

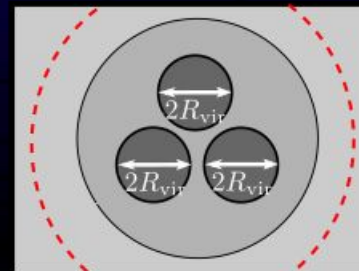
©Let us consider two separated scales  $k$  and  $k_{\text{PBH}} < k$



1. smaller scale  $k$  collapses

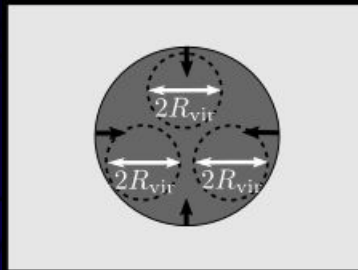


2. smaller scale  $k$  virializes

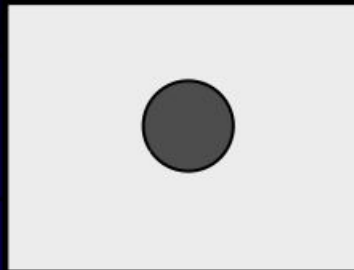


3. max expansion for  $k_{\text{PBH}}$

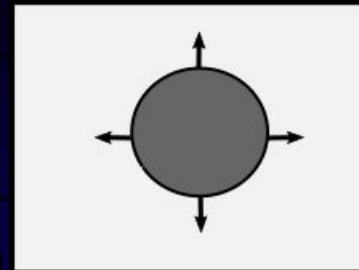
4. halos of  $k$  dissolves



5. contraction halts due to  $\sigma_v$



6. bounceback



©What is the condition for the velocity dispersion to halt the collapse?

# Today's talks

- **Alexander Kusenko**  
*Primordial black holes: a generic DM candidate in supersymmetry and other extensions of the Standard Model*
- **Yuichiro Tada**  
*Primordial black holes and induced gravitational waves in light of the non-Gaussian tail*
- **Sachiko Kuroyanagi**  
*Probing primordial black holes through the stochastic gravitational wave background*

# It's time to study **Primordial Black Hole!**

- ©We aim to develop the **PBH** study further and clarify the possibility of **PBH DM**
- ©The field is broad and still many possibilities to extend and think of
- ©Anybody is welcome to join us. Please contact me if you are interested in our activity.

**Let's enjoy **PBH** research with us!**  
**Thank you for your attention.**