

Topological symmetry in field theory

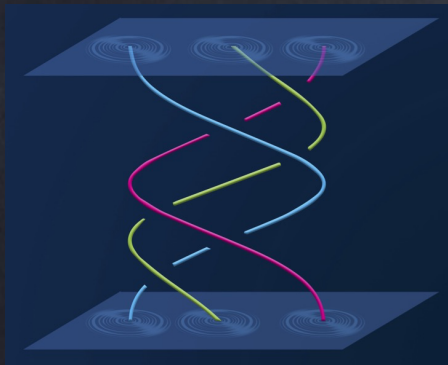
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University of Texas at Austin

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Joint work with Greg Moore and Constantin Teleman

arXiv:2209.07471



Global Categorical Symmetry

Symmetry in quantum field theory and quantum gravity

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Leads to a calculus of topological defects which takes full advantage of well-developed theorems and techniques in topological field theory

Let's begin with some motivation from representation theory of Lie groups and Lie algebras

Computations in... $\mathfrak{sl}_2(\mathbb{R})$

Set

$$h = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad e = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \quad f = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$$

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Simple matrix manipulations verify the identity

$$\boxed{\frac{1}{2}h^2 + ef + fe = \frac{1}{2}h^2 + h + 2fe}$$

Namely, both sides equal

$$\begin{pmatrix} \frac{3}{2} & 0 \\ 0 & \frac{3}{2} \end{pmatrix}$$

In the 3-dimensional representation of $\mathfrak{sl}_2(\mathbb{R})$ we have

$$h' = \begin{pmatrix} 2 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & -2 \end{pmatrix} \quad e' = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 2 \\ 0 & 0 & 0 \end{pmatrix} \quad f' = \begin{pmatrix} 0 & 0 & 0 \\ 2 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}$$

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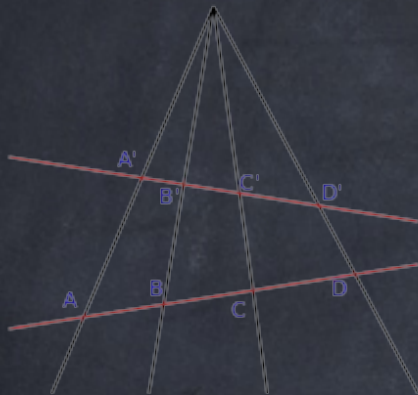
Now slightly less simple matrix manipulations verify the identity

$$\boxed{\frac{1}{2}(h')^2 + e'f' + f'e' = \frac{1}{2}(h')^2 + h' + 2f'e'}$$

Namely, both sides equal

$$\begin{pmatrix} 4 & 0 & 0 \\ 0 & 4 & 0 \\ 0 & 0 & 4 \end{pmatrix}$$

The Lie group $\mathrm{SL}_2(\mathbb{R})$ acts on the projective line \mathbb{RP}^1 as fractional linear transformations



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Both sides act as multiplication by $4\lambda^2 - 2\lambda$

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Many recent results about extended notions of symmetry in QFT: [Apruzzi](#), [Bah](#), [Benini](#), [Bhardwaj](#), [Bonetti](#), [Bullimore](#), [Córdova](#), [Choi](#), [Cvetič](#), [Del Zotto](#), [Dumitrescu](#), [Frölich](#), [Fuchs](#), [Gaiotto](#), [García Etxebarria](#), [Gould](#), [Gukov](#), [Heckman](#), [Heidenreich](#), [Hopkins](#), [Hosseini](#), [Hsin](#), [Hübner](#), [Intriligator](#), [Ji](#), [Jian](#), [Johnson-Freyd](#), [Jordan](#), [Kaidi](#), [Kapustin](#), [Komargodski](#), [Lake](#), [Lam](#), [McNamara](#), [Minasian](#), [Montero](#), [Ohmari](#), [Pantev](#), [Pei](#), [Plavnik](#), [Reece](#), [Robbins](#), [Roumpedakis](#), [Rudelius](#), [Runkel](#), [Schäfer-Nameki](#), [Scheimbauer](#), [Schweigert](#), [Seiberg](#), [Seifnashri](#), [Shao](#), [Sharpe](#), [Tachikawa](#), [Thorngren](#), [Torres](#), [Vandermeulen](#), [Wang](#), [Wen](#), [Willett](#), ..., ..., ...

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Main idea: Make analogous universal computations with symmetries in QFT

Warning

The word ‘symmetry’ in mathematics usually refers to *groups* (“invertible symmetries”) rather than algebras (“noninvertible symmetries”), but in modern QFT-speak the term ‘symmetry’ is also used for the latter. Algebras of operators, including those that commute with a Hamiltonian, date from the earliest days of quantum mechanics

Motivation: algebras

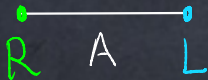
Abstract symmetry data (for algebras) is a pair (A, R) :

A algebra

R right regular module

Definition: Let V be a vector space. An (A, R) -action on V is a pair (L, θ) consisting of a left A -module L together with an isomorphism of vector spaces

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Analogy:

algebra \rightsquigarrow topological field theory
element of algebra \rightsquigarrow defect in TFT

Field theory

Analogy: field theory \sim module over an algebra OR \sim representation of a Lie group

Warning: This analogy is quite limited

Field theory

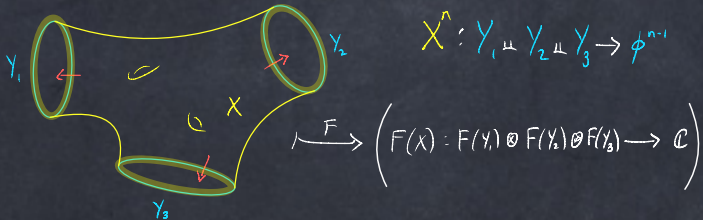
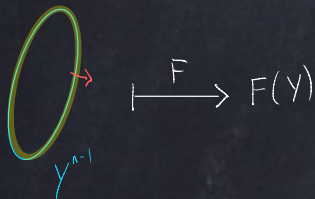
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Segal Axiom System: A (Wick-rotated) field theory F is a linear representation of a bordism (multi)category $\mathbf{Bord}_n(\mathcal{F})$

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Kontsevich-Segal: Axioms for 2-tier nontopological theory $F: \mathbf{Bord}_{\langle n-1, n \rangle}(\mathcal{F}) \rightarrow {}^t \mathbf{Vect}$

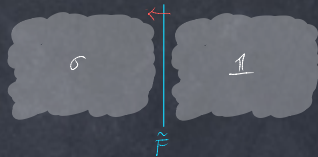
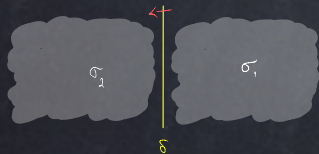
Domain walls, boundary theories

$\sigma, \sigma_1, \sigma_2$ $(n+1)$ -dimensional theories

$\delta: \sigma_1 \rightarrow \sigma_2$ domain wall

$\rho: \sigma \rightarrow \mathbb{1}$ right boundary theory

$\tilde{F}: \mathbb{1} \rightarrow \sigma$ left boundary theory



Domain walls, boundary theories, defects

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domain wall

(σ_2, σ_1) -bimodule

$\rho: \sigma \rightarrow \mathbb{1}$

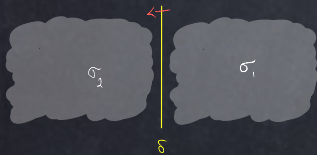
right boundary theory

right σ -module

$\tilde{F}: \mathbb{1} \rightarrow \sigma$

left boundary theory

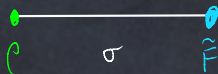
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Domain walls, boundary theories, defects

$\sigma, \sigma_1, \sigma_2$	$(n + 1)$ -dimensional theories	
$\delta: \sigma_1 \rightarrow \sigma_2$	domain wall	(σ_2, σ_1) -bimodule
$\rho: \sigma \rightarrow \mathbb{1}$	right boundary theory	right σ -module
$\tilde{F}: \mathbb{1} \rightarrow \sigma$	left boundary theory	left σ -module

The “sandwich” $\rho \otimes_{\sigma} \tilde{F}$ is an (absolute) n -dimensional theory



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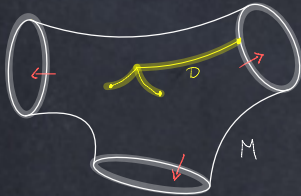
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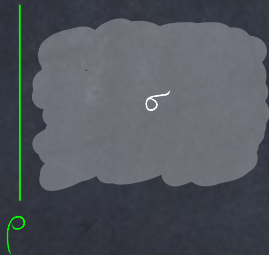
More generally, one can have *defects* supported on any (stratified) manifold $D \subset M$



Main definition: abstract symmetry data

Fix a dimension n and background fields \mathcal{F} (which we keep implicit)

Definition: A *quiche* is a pair (σ, ρ) in which $\sigma: \text{Bord}_{n+1}(\mathcal{F}) \rightarrow \mathcal{C}$ is an $(n+1)$ -dimensional topological field theory and ρ is a right topological σ -module.



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Regular ρ : Suppose \mathcal{C}' is a symmetric monoidal n -category and σ is an $(n+1)$ -dimensional topological field theory with codomain $\mathcal{C} = \mathbf{Alg}(\mathcal{C}')$. Let $A = \sigma(\text{pt})$. Then A is an algebra in \mathcal{C}' which, as an object in \mathcal{C} , is $(n+1)$ -dualizable. Assume that the right regular module A_A is n -dualizable as a 1-morphism in \mathcal{C} . Then the boundary theory ρ determined by A_A is the *right regular boundary theory* of σ , or the *right regular σ -module*.

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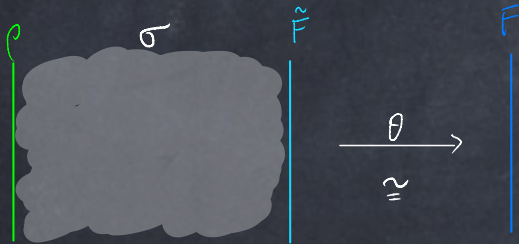
A regular boundary theory is also sometimes called *Dirichlet*

Main definition: concrete realization of symmetry

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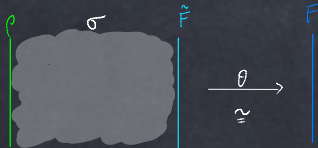
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- Symmetry persists under renormalization group flow, hence a low energy approximation to F should also be an (σ, ρ) -module. If F is gapped, then we can bring to bear powerful methods and theorems in topological field theory to investigate *topological* left σ -modules. This leads to dynamical predictions

Example: quantum mechanics with G -symmetry

$$n = 1$$

\mathcal{F} {orientation, Riemannian metric} for F and \tilde{F}

\mathcal{H} Hilbert space

H Hamiltonian

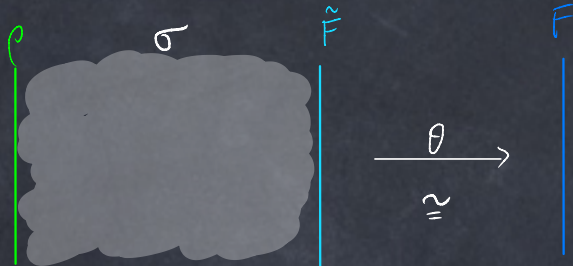
$G \subset \mathcal{H}$ finite group

$S: G \rightarrow \text{Aut}(\mathcal{H})$ action on \mathcal{H}

$\sigma(\text{pt})$ $\mathbb{C}[G]$

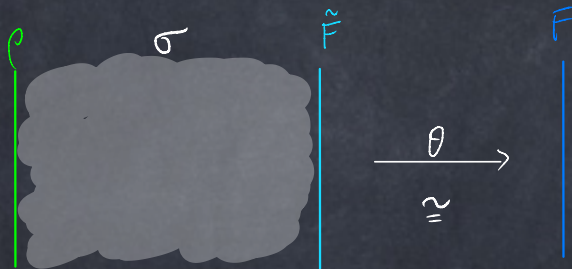
$F(\text{pt})$ \mathcal{H}

$\tilde{F}(\text{pt})$ $\mathbb{C}[G]^{\mathcal{H}}$ (left module)



Example: gauge theory with BA -symmetry

n	any dimension
A	finite abelian group $A = \mu_2$
BA	a homotopical/shifted A (“1-form A -symmetry”)
H	Lie group with $A \subset Z(H)$ $H = \mathrm{SU}_2$
$\overline{H} = H/A$	$\overline{H} = \mathrm{SO}_3$
F	H -gauge theory
\tilde{F}	\overline{H} -gauge theory



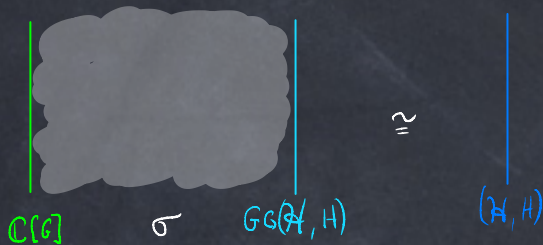
Defects: quantum mechanics

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\mathcal{H} Hilbert space

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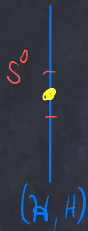
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Consider a point defect in F . The link of a point in a 1-manifold (imaginary time) is S^0 , a 0-sphere of radius ϵ , and the vector space of defects is

$$\varprojlim_{\epsilon \rightarrow 0} \text{Hom}(1, F(S_\epsilon^0))$$

which is a space of singular operators on \mathcal{H} . To focus on formal aspects we write ‘ $\text{End}(\mathcal{H})$ ’

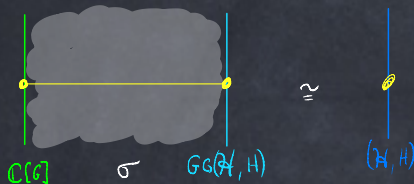
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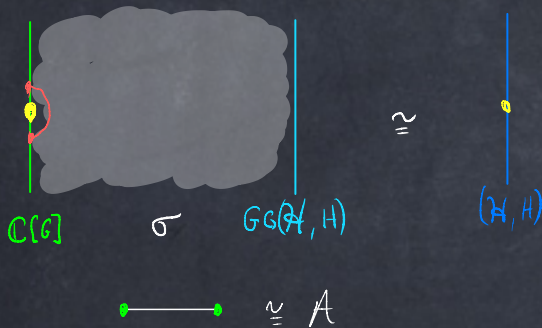
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We now consider defects in $(\rho, \sigma, \tilde{F})$ which transport to point defects in F

Point ρ -defects

The link is a closed interval with ρ -colored boundary. It evaluates under (σ, ρ) to the *vector space* $A = \mathbb{C}[G]$. The “label” of the defect is therefore an element of A . Note $G \subset A$ labels invertible defects.

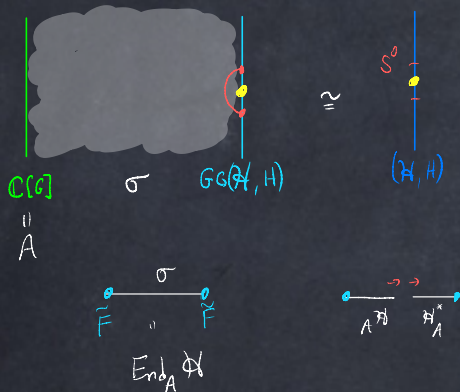
ρ -defects are topological



Point \tilde{F} -defects

The link is again a closed interval, but now with \tilde{F} -colored boundary. The value under (σ, \tilde{F}) is $\text{End}_A(\mathcal{H})$, the space of observables that commute with the G -action

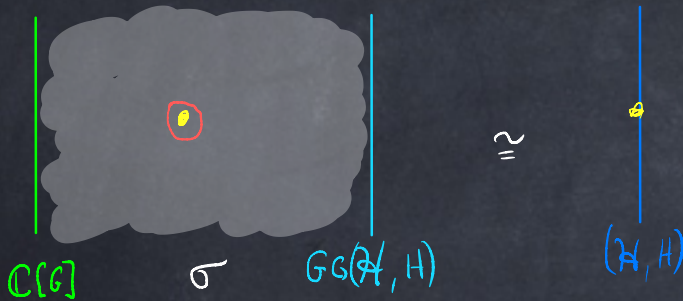
\tilde{F} -defects are typically not topological



Point σ -defects: central defects

The link is S^1 , and the value under σ is the vector space which is the center of the group algebra $A = \mathbb{C}[G]$.

σ -defects are topological



The general point defect

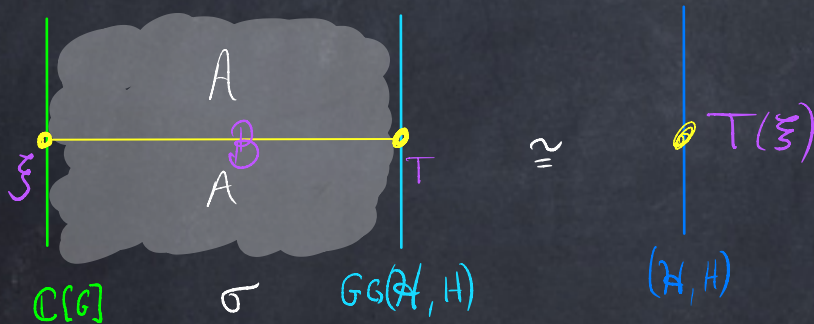
A general point defect in F can be realized by a line defect in $(\rho, \sigma, \tilde{F})$.

Label the defect beginning with the highest dimensional strata and work down in dimension

B (A, A) -bimodule

ξ vector in B

T (A, A) -bimodule map $B \rightarrow \text{End}(\mathcal{H})$

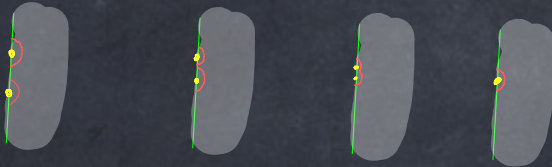
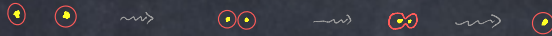
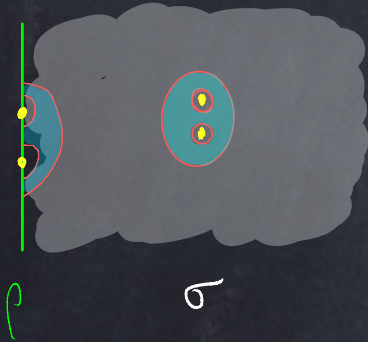


Composition law on defects

Compute using the links of the defects—2 incoming and 1 outgoing

σ -defects: pair of pants

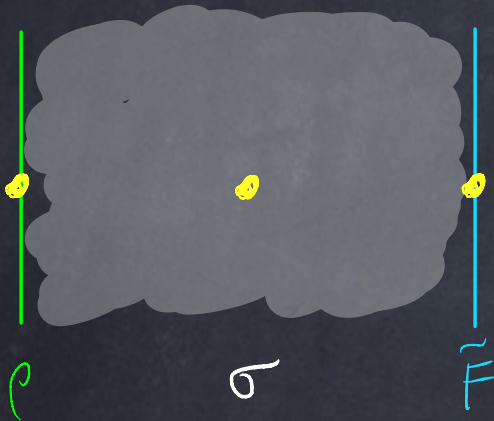
ρ -defects: pair of chaps



Commutation relations among defects

The sandwich realization makes clear that

- ρ -defects (symmetries) commute with \tilde{F} -defects
- σ -defects (central symmetries) commute with both ρ -defects and with \tilde{F} -defects



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However, ρ -defects do not necessarily commute with each other

$$\begin{array}{c} g \\ a \end{array} \left| \text{blob} \right. = ga = (g * g')g \left| \text{blob} \right. = \begin{array}{c} ga g^{-1} \\ g \end{array} \left| \text{blob} \right.$$

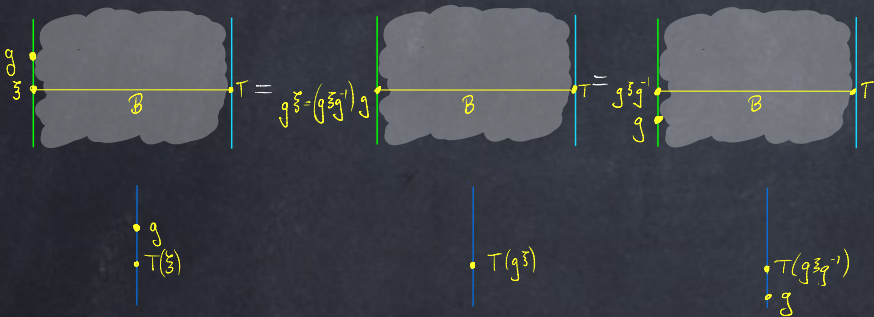
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However, ρ -defects do not necessarily commute with each other

Nor do they commute with the general defect



Quotients: augmentations

Definition: An *augmentation* of an algebra A is an algebra homomorphism $\epsilon: A \rightarrow \mathbb{C}$.

Use ϵ to give a right A -module structure to \mathbb{C} : $\lambda \cdot a = \lambda\epsilon(a)$, $\lambda \in \mathbb{C}$

Example: $A = \mathbb{C}[G]$:

$$\epsilon: \mathbb{C}[G] \longrightarrow \mathbb{C}$$

$$\sum_{g \in G} \lambda_g g \longmapsto \sum_{g \in G} \lambda_g$$

The “quotient” of a left A -module L is the vector space

$$Q = \mathbb{C} \otimes_A L = \mathbb{C} \otimes_{\epsilon} L$$

The diagram shows two horizontal lines representing tensor products. The top line has a green dot labeled \mathbb{C} on the left, a blue dot labeled L on the right, and the letter A in the middle. An arrow labeled θ points from this line to a blue V . Below the arrow is a symbol for isomorphism \cong . The bottom line has an orange dot labeled \mathbb{C}_{ϵ} on the left, a blue dot labeled L on the right, and the letter A in the middle. An arrow points from this line to a blue Q , with an equals sign $=$ between the two arrows.

$$\begin{array}{ccc} \mathbb{C} & A & L \\ \downarrow \theta & & \downarrow \theta \\ \mathbb{C}_{\epsilon} & A & L \end{array} \quad \begin{array}{l} \xrightarrow{\cong} V \\ \\ = Q \end{array}$$

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Example: $A = \mathbb{C}[G]$, S a finite G -set, $L = \mathbb{C}\langle S \rangle$: then $Q = \mathbb{C} \otimes_A \mathbb{C}\langle S \rangle \cong \mathbb{C}\langle S/G \rangle$

Quotients and quotient defects

We use the yoga of fully local topological field theory: let \mathcal{C}' be a symmetric monoidal n -category and set $\mathcal{C} = \text{Alg}(\mathcal{C}')$, the $(n + 1)$ -category whose objects are algebras in \mathcal{C}'

Definition: An *augmentation* $\epsilon_A: A \rightarrow 1$ of an algebra $A \in \text{Alg}(\mathcal{C}')$ is an algebra homomorphism from A to the tensor unit $1 \in \mathcal{C}$

Definition: Let \mathcal{F} be a collection of $(n + 1)$ -dimensional fields, and suppose $\sigma: \text{Bord}_{n+1}(\mathcal{F}) \rightarrow \mathcal{C}$ is a topological field theory. A right boundary theory ϵ for σ is an *augmentation* of σ if $\epsilon(\text{pt})$ is an augmentation of $\sigma(\text{pt})$

Augmentations are also called *Neumann boundary theories*



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Augmentations do not always exist

Definition: Suppose given finite symmetry data (σ, ρ) and a (σ, ρ) -module structure (\tilde{F}, θ) on a quantum field theory F . Suppose ϵ is an augmentation of σ . Then the *quotient* of F by the symmetry σ is

$$F/\sigma = \epsilon \otimes_{\sigma} \tilde{F}$$



Dirichlet-to-Neumann and Neumann-to-Dirichlet domain walls

The categories of domain walls $\rho \rightarrow \epsilon$ and $\epsilon \rightarrow \rho$ are each free of rank one; let

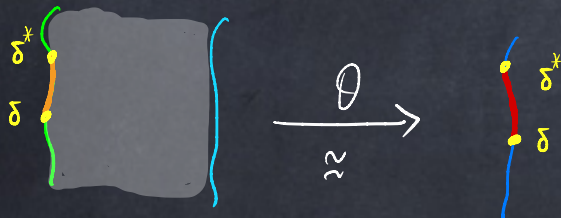
$$\delta : \rho \longrightarrow \epsilon$$

$$\delta^* : \epsilon \longrightarrow \rho$$

be generators. Transporting via θ we obtain domain walls

$$\delta : F \longrightarrow F/\sigma$$

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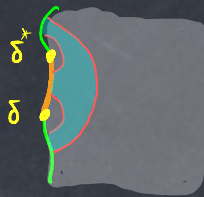
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$$\delta : F \longrightarrow F/\sigma$$

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We will soon compute the self-domain wall

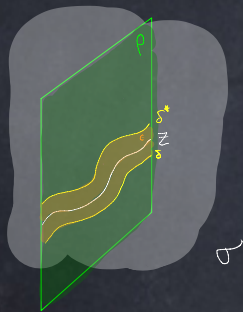
$$\delta^* \circ \delta : F \longrightarrow F$$



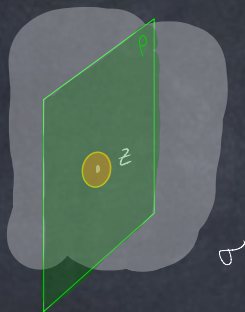
Quotient defects (after Roumpedakis–Seifnashri–Shao [arXiv:2204.02407](#))

Passing from F to F/σ on a manifold M places the topological defect ϵ on all of M

There is also a *quotient defect* $\epsilon(Z)$ —it is a ρ -defect—supported on a submanifold $Z \subset M$, defined using a tubular neighborhood ν of $Z \subset M$. It is *topological*, as are all ρ -defects



$$\text{codim}_M(Z) = 1$$



$$\text{codim}_M(Z) = 2$$

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If $\text{codim}_M(Z) = 1$, then

$$\epsilon(Z) = \delta^* \circ \delta$$



Computation for finite homotopy theories

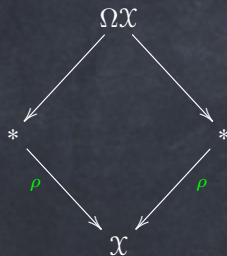
Finite homotopy theories are a special class of topological field theories, introduced in 1988 by **Kontsevich**, picked up a few years later by **Quinn**, developed by **Turaev**, ...

They are associated to a π -finite topological space \mathcal{X} (possibly equipped with a “cocycle”)

They occur often in this context as $\sigma = \sigma_{\mathcal{X}}$, e.g., for $\mathcal{X} = BG$ or $\mathcal{X} = B^{p+1}A$ or extensions

$$B^2A \longrightarrow \mathcal{X} \longrightarrow BG$$

Defects—in particular quotient defects—can be made explicit and computations are easy. Here is the composition $\delta^* \circ \delta$, essentially a finite homotopy theory based on $\Omega\mathcal{X}$:



Duality defects (after **Córdova–Choi–Hsin–Lam–Shao** [arXiv:2111.01139](#))

I conclude with an application—symmetry used to constrain dynamics via:

If a gapped theory F_{UV} has a (σ, ρ) -module structure, then the low energy topological field theory approximation F_{IR} should also have a (σ, ρ) -module structure

$$\begin{array}{c} (\sigma, \rho) \curvearrowright F_{\text{UV}} \\ \Downarrow \text{RG flow} \\ (\sigma, \rho) \curvearrowright F_{\text{IR}} \end{array}$$

We will prove in a particular example that there does not exist a *topological* left σ -module $\tilde{\lambda}$ such that $\lambda := \rho \otimes_{\sigma} \tilde{\lambda}$ is invertible. Therefore, F_{UV} cannot flow to an invertible field theory, i.e., is not “trivially gapped”

Duality defect

σ	$n + 1$ -dimensional topological field theory
ρ	right regular σ -module
ϵ	augmentation of σ : “invertible” right σ -module
\tilde{F}	left σ -module
F	n -dimensional QFT $\rho \otimes_{\sigma} \tilde{F}$
F/σ	n -dimensional QFT $\epsilon \otimes_{\sigma} \tilde{F}$

Suppose there is an isomorphism $\phi: F/\sigma \xrightarrow{\cong} F$. Recall $\delta: F \rightarrow F/\sigma$

Definition: The *duality defect* Δ is the self-domain wall

$$\Delta = \phi \circ \delta: F \longrightarrow F$$

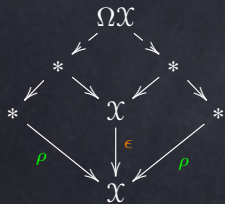
Computation: $\Delta^* \circ \Delta = (\phi\delta)^*(\phi\delta) = \delta^*\phi^*\phi\delta = \delta^* \circ \delta$ since $\phi^* = \phi^{-1}$ (ϕ is invertible)

Example

Let $n = 4$ and let σ be the 5-dimensional finite homotopy theory built from $\mathcal{X} = B^2//\mu_2$

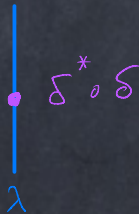
This models $B//\mu_2$ -symmetry (“1-form symmetry”)

Recall that ρ , ϵ , δ , and δ^* and the composition $\delta^* \circ \delta$ fit into the diagram



$\delta^* \circ \delta$ is roughly 3-dimensional $//\mu_2$ -gauge theory

In an invertible (σ, ρ) -module λ , the self-domain wall $\delta^* \circ \delta$ is multiplication by 3-dimensional $//\mu_2$ -gauge theory



Now suppose F is a 4d QFT with a left (σ, ρ) -structure, and assume given an isomorphism

$$\phi: F/\sigma \xrightarrow{\cong} F$$

Example: F is U_1 gauge theory with coupling constant τ

F has B/μ_2 symmetry from $\mu_2 \subset U_1$

F/σ is U_1 gauge theory with coupling constant $\tau/4$

ϕ is S-duality which sends $\tau \mapsto -1/\tau$

Set $\tau = 2\sqrt{-1}$

We do not use details of the gauge theory beyond its B/μ_2 symmetry

Then the duality defect $\Delta: F \rightarrow F$ is a “square root” of 3d μ_2 gauge theory

Theorem: No such square root exists

Conclusion: The gauge theory F is not trivially gapped

Happy Birthday, Hiroshi!