Black holes and random matrices

Stephen Shenker

Stanford

HirosiFest October 27, 2022

Stephen Shenker (Stanford)

Black holes and random matrices

HirosiFest 1 / 24

Lossy horizons

- Black hole horizons appear to display irreversible, dissipative behavior.
- Ping the black hole, look at the response:



Oscillates and decays – quasinormal modes. Classical gravity, signal decays forever.

Black holes are ordinary quantum systems

- Central dogma: black holes, at least when viewed from the outside, are ordinary quantum systems.
- Gauge/gravity duality, AdS/CFT, holographic duality
- In certain large *N* quantum systems, strongly coupled quantum behavior on the "boundary" is "dual" to weakly coupled quantum gravitational behavior in the "bulk" spacetime [Maldacena].
- High energy thermal states of the boundary are dual to black holes. Don't evaporate.



Long time behavior of correlation functions

- Boundary version of pinging the black hole: Thermal correlator $\langle O(t)O(0) \rangle$. Thermal relaxation \leftrightarrow quasinormal modes [Horowitz-Hubeny].
- Boundary calculation:

$$\langle O(t)O(0)\rangle = \sum_{m,n} e^{-\beta E_m} |\langle m|O|n\rangle|^2 e^{i(E_m-E_n)t}/Z$$

- We expect that black hole energy levels are discrete (finite (Bekenstein-Hawking) entropy S) and nondegenerate (chaos).
- Then at long times $\langle O(t)O(0) \rangle$ stops decreasing. It oscillates in an erratic way and is exponentially small (in S).
- What is the bulk explanation for this? Maldacena's version of the black hole information problem.

What are the rules?



- We know the rules for the boundary.
- We know a lot about the bulk, but we don't know all the rules describing it.
- We don't know enough to calculate the spectrum *E_n* from the bulk point of view.
- Turn to a simple model where we can do more.

- In recent years a simple model of black holes in 1 space and 1 time dimension has been introduced: the Sachdev-Ye-Kitaev (SYK) model.
- 0 space, 1 time boundary system an ordinary quantum mechanical system.

$$H_{SYK} = \sum_{abcd} J_{abcd} \psi_a \psi_b \psi_c \psi_d$$

 ψ_a are *N* Majorana fermions. J_{abcd} are independent, random, Gaussian distributed couplings. An *ensemble* of boundary QM systems.

Correlation function

$$\langle O(t)O(0)\rangle = \sum_{m,n} e^{-eta E_m} |\langle m|O|n
angle|^2 e^{i(E_m-E_n)t}/Z$$

The oscillating phases are the main actors here. Use a simpler, related diagnostic, the "spectral form factor" (SFF) [Papadodimas-Raju]:

$$\mathsf{SFF}(t) = \sum_{m,n} e^{-\beta(E_m + E_n)} e^{i(E_m - E_n)t} = Z_L(\beta + it)Z_R(\beta - it)$$

- The Fourier transform of the energy differences
- Study in SYK model: First, do computer "experiments" ...

[You-Ludwig-Xu,Garcia-Garcia-Verbaaschot,

Cotler-Gur-Ari-Hanada-Polchinski-Saad-SS-Stanford-Streicher-Tezuka ...]



- Slope is analog of quasinormal mode decay.
- Does not continue forever. Exponentially small ramp and plateau (erratic behavior smoothed out by ensemble averaging).
- What does this pattern mean?
- A signature of random matrix statistics.

- Abundant evidence that black holes are highly chaotic quantum systems.
- The energy levels of quantum chaotic systems are widely believed to have the same statistical properties as those of *random matrices*.
 [Wigner; Bohigas-Giannoni-Schmit...]
- Each entry of the "Hamiltonian" is independently drawn from a Gaussian distribution.
- A remarkable example of universality. Independent of dimension, locality. Only weakly dependent on symmetry.

Density-density correlations

 Random matrix formula for density-density correlations, the Sine kernel formula. [Dyson; Gaudin; Mehta]

$$\langle
ho(E)
ho(E')
angle \sim e^{2S(ar{E})} - rac{1}{2(\pi(E-E'))^2}(1-\cos(2\pi e^{S(ar{E})}(E-E')))$$



(Here $e^{S(\overline{E})}$ is the local density of states.)

• Long-range spectral rigidity, short-range level repulsion

Ramp and plateau from Sine kernel



- Fourier transform of Sine kernel formula gives ramp and plateau.
- Ramp is signature of long-range spectral rigidity.
- Sharp transition to plateau is signature of short-range level repulsion.
- Not only does the SFF signal stop decreasing, but it displays a distinctive, universal pattern late-time pattern.
- What is the bulk, gravitational explanation for this pattern?

Collective fields in SYK

- SYK gives us a tool.
- Rewrite the dynamics using collective (Hubbard-Stratonovich) fields, G,Σ (e.g., Landau-Ginzburg description of superconducting pairs).

$$G(t,t') = rac{1}{N} \sum_{a=1}^{N} \psi_a(t) \psi_a(t').$$

Schematically,

$$\int d\psi_{a} \exp(-I_{\mathsf{fermion}}(\psi)) \rightarrow \int dG d\Sigma \exp(-N \ I_{\mathsf{coll}}(G, \Sigma))$$

- I_{coll} especially simple after averaging over J's.
- An exact rewrite.
- Collective fields connect to a gravitational description.

SYK and JT gravity

• At low energies the collective field description is related to a certain kind of 2D gravity called Jackiw-Teitelboim gravity [Jensen,

Maldacena-Stanford-Yang, Engelsoy-Mertens-Verlinde].

• Concretely, G(t, t') is given by the correlator of a quantum field on a 2D geometry:



• The collective field integral

$$\int dG d\Sigma \exp(-N \ I_{coll}(G, \Sigma))$$

is a proxy for a complete bulk description. In this simple model we know all the rules.

SFF in JT

• The SFF:

$$\mathsf{SFF}(t) = \sum_{m,n} e^{-\beta(E_m + E_n)} e^{i(E_m - E_n)t} = Z_L(\beta + it)Z_R(\beta - it)$$

• L and R "replicas" of SYK. Collective fields

$$G_{LL} = \psi^L \psi^L, \ G_{RR} = \psi^R \psi^R, \ G_{LR} = \psi^L \psi^R$$

• The ramp comes from a nonzero saddle point for *G_{LR}*, linking the L and R replicas.



- A spacetime "wormhole" connecting the L and R boundary systems [Saad-SS-Stanford].
- (The plateau is more subtle, involving more complicated topologies. See [Saad-Stanford-Yang-Yao]).

Stephen Shenker (Stanford)



- The double cone [Saad-SS-Stanford].
- Represents a one dimensional universe (a line) evolving in time.
- A spacetime wormhole.
- Spatial wormholes, like Einstein-Rosen bridges, correspond to the one dimensional slice.

- Candidate wormhole configurations have been found in higher dimensions [Cotler-Jensen]. This is consistent with the universal character of random matrix statistics.
- Closely related wormhole configurations have recently been used to explain a host of subtle quantum phenomena in black holes, at least in simple models:
 - Long-time behavior of correlation functions [Saad].
 - ETH and OPE statistics [Belin-de Boer, Pollack-Rozali-Sully-Wakeham]
 - The Page curve for radiation from evaporating black holes [Almheiri-Hartman-Maldacena-Shaghoulian-Tajdini; Penington-SS-Stanford-Yang].
 - Firewall formation [Stanford-Yang].
 - ...
- But their existence raises a number of puzzling questions.

- Standard gauge/gravity duals, like AdS₅ ↔ Super-Yang-Mills₄, are not averaged. One specific boundary quantum system. We don't know the rules here.
- A toy model for non-averaged behavior: a single member of an ensemble.
- SYK with one choice of J's.

Single sample noise





- The ramp and plateau are *noisy*. Noise is of order the signal.
 Expected from RMT universality. This is what a regular AdS/CFT should produce.
- Can recover the wormhole signal the smooth ramp without an ensemble, by averaging over time.
- But the bulk description must contain something else to account for the noise.

Factorization puzzle

- A sharp puzzle if the boundary Hamiltonian is not averaged over.
- From the boundary point of view Z_{LR} is exactly equal to $Z_L \cdot Z_R$, since the L and R systems are decoupled. Z_{LR} factorizes.
- But from the bulk point of view Z_{LR} contains a LR wormhole. But Z_L and Z_R do not. So superficially Z_{LR} does not factorize.
- If wormholes are actually then the bulk description must contain something else to restore factorization.
- It seems very plausible that this "something else" is also the source of the noise.
- If we average over an ensemble of Hamiltonians then $\langle Z_{LR} \rangle \neq \langle Z_L \rangle \langle Z_R \rangle$ in general.
- And in fact the connection between wormholes and fluctuating couplings was pointed out long ago [Coleman; Giddings-Strominger].

SYK with fixed couplings

• How to study this?

۲

• Examine the collective field description of SYK with *fixed* couplings (*J*'s) [Saad-SS-Stanford-Yao].

$$\int dGd\Sigma \exp(-N I_{\rm coll}(G, \Sigma, J))$$

- Same kind of collective field description, with a much more complicated weighting factor that depends explicitly on the random couplings *J*.
- Can only do a precise analysis in a toy model of the toy model SYK with one time point (!) ψ(t) → ψ. An ordinary integral over N Grassman variables.
- Can analyze the collective field integral with precision, and make a plausible guess for how full SYK works.

Half-wormholes

- With fixed couplings there is another saddle point of the collective field integral, in addition to the wormhole.
- This saddle point has $G_{LL}(t, t')$ and $G_{RR}(t, t')$ set to their values in the wormhole saddle, but $G_{LR}(t, t')$ set to zero.



- The contribution of the wormhole saddle is essentially independent of the choice of *J*'s.
- But the half-wormhole contribution is very sensitive. It is the source of the noise.
- On averaging, over J's, or over a time window, the half-wormhole contribution vanishes, leaving the wormhole signal.
- Geometrical picture in JT [Blommaert-Mertens-Verscheide; Saad-SS-Yao; Blommaert-Iliesiu-Kruthoff]

Factorization

- There are multiple possible collective field (bulk) descriptions. With fixed *J*'s there is no clear preference between them.
- For example, we can introduce *G*_{*LR*} and look for saddle points when integrating over it.
- Or we could just integrate it out first, eliminating it. This leaves a "bulk" description without wormholes. They've been integrated out.
- In this description Z_{LR} manifestly factorizes.
- The noisy ramp is described by half-wormholes in the L and R systems separately.



• The wormhole and half-wormhole contributions on the LHS combine to restore factorization. Their contributions are of the same magnitude.

- Do these structures have parallels in higher dimensional "less toylike" models of quantum gravity, like standard AdS/CFT systems?
- The idea of multiple bulk descriptions and of extra ingredients needed for factorization echo some ideas that have emerged in other simple model gravitational systems [cf. Marolf-Maxfield, Jafferis-Schneider, Eberhardt, Mukhametzhanov, Benini-Copetti-De Pietra...].
- We haven't yet made contact with with the bulk degrees of freedom that we think are important in such systems – strings, branes etc. – especially when they are interacting in a complicated, chaotic, way. (cf. the "fuzzball" program [Mathur,])
- We need another simple, tractable model, in the spirit of SYK, to help us move closer to understanding the rules of quantum gravity.

A B A B A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 B
 A
 A
 A
 A
 A

Happy Birthday Hirosi!