

Hirosifest@Caltech

- A few features in common with Hirosi: topological strings, Cumrun, bipolar, administration
- In awe of Hirosi: Presentations in real time, ability to absorb and summarize results of others
- NB, HO, C. Vafa: On the worldsheet derivation of large-N dualities for the superstring ([hep-th/0310118](https://arxiv.org/abs/hep-th/0310118))

Studied open/closed dualities through F-terms computed using a $\hat{c} = 5$ topological string

In this talk, I will discuss how another $\hat{c} = 5$ topological string relates the RNS and pure spinor formalisms

D=5 Holomorphic Chern-Simons and the Pure Spinor Superstring

(to appear soon on arXiv)

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- 1) Review of RNS and Pure Spinor formalisms
- 2) D=5 Holomorphic Chern-Simons as an N=1 and N=2 string theory
- 3) B-RNS-GSS superstring with worldsheet and spacetime susy
- 4) Twisting the N=1 B-RNS-GSS formalism into the N=2 pure spinor formalism
- 5) Comments on multiloop amplitude prescriptions

Review of superstring formalisms

- **RNS formalism** has manifest $N=1$ worldsheet susy but $D=10$ spacetime susy is hidden
- Up to 2-loop 4-pt NS amplitudes, but need to sum over spin structures
- Ramond vertex operators and RR backgrounds are complicated
- Spacetime susy is manifest in **Green-Schwarz formalism**, but covariant quantization is complicated
- For compactifications to lower dimensions, can use **hybrid formalism** with manifest $D=4$ or $D=6$ spacetime susy
- To covariantly quantize with $D=10$ spacetime susy, use **pure spinor formalism** with twisted $N=2$ worldsheet susy
- Up to 2-loop 5-pt and 3-loop 4-pt computations with no sum over spin structures
- Can quantize in $AdS_5 \times S^5$ background with manifest $PSU(2,2|4)$ invariance

Review of Pure Spinor formalism

- Worldsheet variables: $x^m, (\theta^\alpha, p_\alpha), (\lambda^\alpha, w_\alpha), (\bar{\lambda}_\alpha, \bar{w}^\alpha), (r_\alpha, s^\alpha)$ $\alpha = 1$ to 16

Green-Schwarz-Siegel variables + pure spinor ghosts + non-minimal variables

Pure spinor constraints: $\lambda \gamma^m \lambda = 0, \quad \bar{\lambda} \gamma^m \bar{\lambda} = \bar{\lambda} \gamma^m r = 0$

- Formalism has twisted $\hat{c}=3$ N=2 worldsheet susy

$$T = \frac{1}{2} \partial x^m \partial x_m + p_\alpha \partial \theta^\alpha + w_\alpha \partial \lambda^\alpha + \bar{w}^\alpha \partial \bar{\lambda}_\alpha + s^\alpha \partial r_\alpha$$

$$G^+ = j_{BRST} = \lambda^\alpha d_\alpha + \bar{w}^\alpha r_\alpha$$

$$G^- = B_{pure} = s^\alpha \partial \bar{\lambda}_\alpha + w_\alpha \partial \theta^\alpha + (\lambda \bar{\lambda})^{-1} \Pi^m (\bar{\lambda} \gamma_m d) + (\lambda \bar{\lambda})^{-2} (\bar{\lambda} \gamma^m r) (d \gamma_m d) + \dots$$

$$J = j_{ghost} = w_\alpha \lambda^\alpha + r_\alpha s^\alpha$$

$$d_\alpha = p_\alpha - \frac{1}{2} \partial x^m (\gamma_m \theta)_\alpha + \frac{1}{8} (\theta \gamma^m \partial \theta) (\gamma_m \theta)_\alpha, \quad \Pi^m = \partial x^m + \frac{1}{2} \theta \gamma^m \partial \theta \quad \text{are GSS definitions}$$

Pure spinor vertex operators and scattering amplitudes

- Physical states are vertex operators in the cohomology of the BRST operator

$$Q_{pure} = \int dz G^+ = \int dz (\lambda^\alpha d_\alpha + \bar{w}^\alpha r_\alpha)$$

- Super-YM vertex operator: $V = \lambda^\alpha A_\alpha(x, \theta)$ where $A_\alpha(x, \theta)$ is spinor gauge superfield
- Integrated operator: $U = \int dz [\partial\theta^\alpha A_\alpha + \Pi^m A_m + d_\alpha W^\alpha + (w\gamma^{mn}\lambda)F_{mn}]$

- n-point g-loop amplitude prescription:

$$A = \int d^{3g-3+n}\tau \langle \mathfrak{N} \prod_{r=1}^n V(z_r) \prod_{s=1}^{3g-3+n} \int dy_s \mu(y_s) G^-(y_s) \rangle$$

- No sum over spin structures since all variables have integer conformal weight
- Integration over zero modes naively produces 0/0 which can be regularized using \mathfrak{N}

How is this topological N=2 amplitude prescription related to the N=1 worldsheet supersymmetric prescription of the RNS formalism?

Clue: D=5 holomorphic Chern-Simons theory

D=5 Holomorphic Chern-Simons theory

- D=5 holomorphic Chern-Simons theory describes onshell D=10 super-YM states which are in the cohomology of the D=10 spacetime susy generator q_+
- Under the subgroup $U(1) \times SU(5)$ of $SO(10)$, q_+ carries $U(1)$ charge $+\frac{5}{2}$
- Since $\{q_+, q_a\} = P_a$ where $P_m = (P_a, P^a)$ for $a=1$ to 5, states in the cohomology of q_+ are sYM states with $P_a=0$ and can be described by the $SU(5)$ -covariant superfield

$$V(x_a, \Gamma^b) = \chi(x) + A_a(x)\Gamma^a + \xi_{ab}(x)\Gamma^a\Gamma^b + F^{ab}(x)(\Gamma^3)_{ab} + \partial^a \xi^+(x)(\Gamma^4)_a$$

$$\xi^\alpha = (\xi^a, \xi_{ab}, \xi^+) \text{ is the gluino where } \xi^a = \partial^a \chi, \quad A_m = (A^a, A_a) \text{ is the gluon}$$

- 3-point tree amplitude is $\int d^5\Gamma d^5x V_1(x, \Gamma)V_2(x, \Gamma)V_3(x, \Gamma)$
- Multiloop scattering amplitudes in D=5 holomorphic Chern-Simons can be computed using either the usual RNS formalism where the external states are restricted to super-YM states with $P_a=0$, or using a topological theory with the twisted $\hat{c}=5$ $N=2$ superconformal generators

$$T = \partial x^a \partial x_a + \bar{\Gamma}_a \partial \Gamma^a, \quad G^+ = \partial x_a \Gamma^a, \quad G^- = \partial x^a \bar{\Gamma}_a, \quad J = \Gamma^a \bar{\Gamma}_a$$

Twisting N=1 into N=2 for D=5 holomorphic CS theory

- To relate the N=1 and N=2 amplitude prescriptions, start with the RNS formalism and define

$$\Gamma^a = \gamma(\psi^a + i\psi^{a+5}), \quad \bar{\Gamma}_a = \gamma^{-1}(\psi^a - i\psi^{a+5}), \quad \tilde{\gamma} = \gamma^2, \quad \tilde{\beta} = \gamma^{-1}\beta + \gamma^{-2}\psi^a \psi^{a+5}$$

$$\Rightarrow Q_{RNS} = \int dz [cT + G^+ + \tilde{\gamma}(b + G^-) + c(\tilde{\beta}\partial\tilde{\gamma} + \partial(\tilde{\beta}\tilde{\gamma}) + b\partial c)]$$

- Defining $\tilde{\gamma} = \tilde{\eta} e^{\tilde{\Phi}}$, $\tilde{\beta} = \partial\tilde{\xi} e^{-\tilde{\Phi}}$, $\partial H = J = \psi^a \psi^{a+5} \Rightarrow \tilde{\eta} = e^{-\frac{1}{2}(\phi+H)} = e^{-\frac{\phi}{2}} \Sigma_+$
- The RNS spacetime susy generator is $q_\alpha = \int dz e^{-\frac{\phi}{2}} \Sigma_\alpha$, so $q_+ = \int dz \tilde{\eta}$ and D=5 hCS states annihilated by q_+ are in the “small” tilded Hilbert space
- Finally, perform the similarity transformation with $R = \int dz (cG^- + c\partial c\tilde{\beta})$ so

$$e^{-R} Q_{RNS} e^R = \int dz (G^+ + \tilde{\gamma}b), \quad e^{-R} b e^R = b - G^- - \partial c\tilde{\beta} - \partial(c\tilde{\beta})$$

- Cohomology of $\int dz (\partial x_a \Gamma^a + \tilde{\gamma}b)$ can only depend on (x_a, Γ^a) zero modes \Rightarrow hCS states
- If RNS picture-changing operators are put on top of b ghosts, path integral over (b,c) and $(\tilde{\beta}, \tilde{\gamma})$ ghosts cancel and the RNS prescription reproduces the topological N=2 prescription

$$A = \int d^{3g-3+n} \tau \langle \prod_{r=1}^n V(z_r) \prod_{s=1}^{3g-3+n} \int dy_s \mu(y_s) G^-(y_s) \rangle$$

B-RNS-GSS formalism for the superstring

- Has both N=1 worldsheet susy and D=10 spacetime susy

- Worldsheet matter variables: (x^m, ψ^m) , $(\theta^\alpha, \Lambda^\alpha)$, $(\Omega_\alpha, p_\alpha)$, $(\bar{\Lambda}_\alpha, R_\alpha)$, $(S^\alpha, \bar{\Omega}^\alpha)$

$$S = \int d^2z \left[\frac{1}{2} \left(\partial x^m \bar{\partial} x_m + \psi^m \bar{\partial} \psi_m \right) + p_\alpha \bar{\partial} \theta^\alpha + \Omega_\alpha \bar{\partial} \Lambda^\alpha + \bar{\Omega}^\alpha \bar{\partial} \bar{\Lambda}_\alpha + S^\alpha \bar{\partial} R_\alpha \right]$$

$$G = \psi^m \partial x_m + \Lambda^\alpha p_\alpha + \Omega_\alpha \partial \theta^\alpha + \bar{\Omega}^\alpha R_\alpha + S^\alpha \partial \bar{\Lambda}_\alpha$$

- After performing similarity transformation $\mathcal{O} \rightarrow e^A \mathcal{O} e^{-A}$ with $A = \int dz \psi^m (\Lambda \gamma_m \theta)$, formalism has manifest D=10 spacetime supersymmetry with

$$T = \frac{1}{2} (\Pi^m \Pi_m + \psi^m \partial \psi_m) + d_\alpha \bar{\partial} \theta^\alpha + \frac{1}{2} (\Omega_\alpha \partial \Lambda^\alpha - \Lambda^\alpha \partial \Omega_\alpha + \bar{\Omega}^\alpha \partial \bar{\Lambda}_\alpha - \bar{\Lambda}_\alpha \partial \bar{\Omega}^\alpha + S^\alpha \partial R_\alpha + R_\alpha \partial S^\alpha)$$

$$G = \psi^m \Pi_m + \Lambda^\alpha d_\alpha + \Omega_\alpha \partial \theta^\alpha + \bar{\Omega}^\alpha R_\alpha + S^\alpha \partial \bar{\Lambda}_\alpha$$

- BRST operator: $Q = \int dz [cT + \gamma G + \gamma^2 b + c(b \partial c + \beta \partial \gamma + \partial(\beta \gamma))]$

- Super-YM vertex: $V = \int dz G [\Lambda^\alpha A_\alpha(x, \theta) + \psi^m A_m(x, \theta) + \Omega_\alpha W^\alpha(x, \theta)]$

$$= \int dz [\partial \theta^\alpha A_\alpha + \Pi^m A_m + d_\alpha W^\alpha + (\psi^m \psi^n + \Omega \gamma^{mn} \Lambda) F_{mn} + \Omega_\alpha \psi^m \partial_m W^\alpha]$$

- Can compute scattering amplitudes without spin fields using standard N=1 prescription, but extra variables means BRST invariance is not enough to obtain physical superstring spectrum

Twisting the B-RNS-GSS formalism

- To relate the B-RNS-GSS and pure spinor formalisms, first define **U(1) generator J** so that $[J, G] = G^+ - G^-$ where $[J, G^+, G^-, T]$ form an N=2 algebra

$$J = \Lambda^\alpha \Omega_\alpha + R^\alpha S_\alpha + \frac{\lambda \gamma^{mn\bar{\lambda}}}{\lambda \bar{\lambda}} \psi_m \psi_n + \frac{\bar{\lambda} \gamma^{mnp r}}{(\lambda \bar{\lambda})^2} \psi_m \psi_n \psi_p$$

where $\Lambda^\alpha = \lambda^\alpha + \frac{u^m (\gamma_m \bar{\lambda})^\alpha}{\lambda \bar{\lambda}}$, $\bar{\Lambda}_\alpha = \bar{\lambda}_\alpha + \frac{\bar{u}^m (\gamma_m \lambda)_\alpha}{\lambda \bar{\lambda}}$, $R_\alpha = r_\alpha + \frac{\rho^m (\gamma_m \lambda)_\alpha}{\lambda \bar{\lambda}}$

Constraints: $\lambda \gamma_m \lambda = \bar{\lambda} \gamma_m \bar{\lambda} = r \gamma_m \bar{\lambda} = v_m (\gamma^m \bar{\lambda})^\alpha = \bar{u}^m (\gamma_m \bar{\lambda})^\alpha = \rho^m (\gamma_m \bar{\lambda})^\alpha = 0$

$$u_m = \Lambda \gamma_m \Lambda, \quad \bar{u}_m = \bar{\Lambda} \gamma_m \bar{\Lambda}, \quad \rho_m = R \gamma_m \bar{\Lambda} \quad (\hat{c} = 5)$$

- Twist all spin $\frac{1}{2}$ variables to have integer conformal weight by defining

$$\Gamma^m = \gamma \frac{\lambda \gamma^{mn\bar{\lambda}}}{\lambda \bar{\lambda}} \psi_n, \quad \bar{\Gamma}_m = \gamma^{-1} \left(\frac{\lambda \gamma_{mn\bar{\lambda}}}{\lambda \bar{\lambda}} \psi^n + \frac{\bar{\lambda} \gamma_{mnp r}}{(\lambda \bar{\lambda})^2} \psi^n \psi^p \right), \quad \tilde{\Lambda}^\alpha = \gamma \Lambda^\alpha, \quad \tilde{R}^\alpha = \gamma R^\alpha,$$

$$\tilde{\gamma} = \gamma^2, \quad \tilde{\beta} = \gamma^{-1} \beta + \gamma^{-2} J, \quad J = \Lambda^\alpha \Omega_\alpha + R^\alpha S_\alpha + \Gamma^m \bar{\Gamma}_m$$

$$\tilde{\gamma} = \tilde{\eta} e^{\tilde{\Phi}}, \quad \tilde{\beta} = \partial \tilde{\xi} e^{-\tilde{\Phi}}, \quad \partial H = J \Rightarrow \tilde{\eta} = e^{-\frac{1}{2}(\phi+H)} = e^{-\frac{\phi}{2}} \left(\lambda^\alpha \Sigma_\alpha + \frac{(\bar{\lambda} \gamma_{mnp r}) (\lambda \gamma^{mnp \Sigma})}{(\lambda \bar{\lambda})^2} \right)$$

Non-minimal term in $\tilde{\eta}$ is needed for $\{\tilde{\eta}, Q_{\text{B-RNS-GSS}}\} = 0$

Relation to pure spinor amplitudes

- Physical superstring states should be annihilated by both $\int dz \tilde{\eta}$ and $Q_{B-RNS-GSS}$
- In terms of the twisted variables,

$$Q_{B-RNS-GSS} = \int dz [c T + G^+ + \tilde{\gamma}(b + G^-) + c(\tilde{\beta}\partial\tilde{\gamma} + \partial(\tilde{\beta}\tilde{\gamma}) + b\partial c)]$$

$$= e^R \int dz (G^+ + \tilde{\gamma}b) e^{-R}$$

$$R = \int dz (cG^- + c\partial c\tilde{\beta}), \quad G^+ = \lambda^\alpha d_\alpha + \bar{w}^\alpha r_\alpha + u^m \bar{\Gamma}_m + \bar{v}^m \rho_m, \quad G^- = B_{\text{pure}} + \mathcal{O}(\bar{\Gamma})$$

- States in cohomology of $\int dz (G^+ + \tilde{\gamma}b)$ are pure spinor states in cohomology of $\int dz (\lambda^\alpha d_\alpha + \bar{w}^\alpha r_\alpha)$ and independent of $(u^m, v_m, \Gamma^m, \bar{\Gamma}_m, \bar{u}_m, \bar{v}^m, \rho_m, \tau^m, b, c, \tilde{\beta}, \tilde{\gamma})$
- Super-YM vertex operator of B-RNS-GSS is equal to super-YM pure spinor vertex operator up to BRST-trivial terms depending on the non-minimal variables
- As in holomorphic Chern-Simons amplitudes, N=1 prescription can be related to N=2 topological prescription by inserting picture-raising operators on top of b ghosts so that the (b,c) and $(\tilde{\beta}, \tilde{\gamma})$ correlation functions cancel.
- But superstring multiloop amplitude prescription has subtleties not present in hCS prescription

Comments on multiloop amplitude prescriptions

- In pure spinor formalism, picture-changing operators (PCO's) have no singularities with each other, but BRST-trivial terms can contribute if they are proportional to $(\lambda\bar{\lambda})^{-11}$

How is this consistent with equivalence of the RNS and pure spinor amplitude prescriptions?

- N=1 vertex operators and N=1 PCO's are related to N=2 vertex operators and N=2 PCO's by the similarity transformation $A = \int dz \eta \tilde{\xi} = \int dz e^{-2\tilde{\phi}+H} \tilde{\xi}$
 $e^A V_{N=1} e^{-A} = V_{N=2}, \quad e^A \xi e^{-A} = \xi + \tilde{\xi} \Rightarrow e^A Q(\xi) e^{-A} = Q(\xi) + Q(\tilde{\xi})$
- Since $\tilde{\xi} = e^{2\tilde{\phi}-H} \xi$ is in the small tilded Hilbert space (i.e. $\{\int dz \tilde{\eta}, \tilde{\xi}\} = 0$), $Q(\xi)$ can be ignored in the N=2 prescription and the N=1 PCO $Q(\xi)$ is mapped to the N=2 PCO $Q(\tilde{\xi})$
- But for B-RNS-GSS amplitudes, $\tilde{\xi}$ contains inverse power of $\lambda\bar{\lambda}$. So if the pure spinor B ghosts contribute a sufficient inverse power of $\lambda\bar{\lambda}$, the term $Q(\xi)$ may contribute in the N=2 PCO
- For the computation of supersymmetric "F-terms", these inverse powers of $\lambda\bar{\lambda}$ are not present and the B-RNS-GSS and pure spinor multiloop amplitude prescriptions coincide
- But for "D-terms", $Q(\xi)$ can contribute and modify the pure spinor multiloop prescription

Conclusions

- Relation of RNS with D=5 holomorphic Chern-Simons is equivalent to relation of B-RNS-GSS with pure spinor formalism
- Twisting N=1 \rightarrow N=2 requires defining $J = \partial H$ which implies $\tilde{\eta} = e^{-\frac{1}{2}(\phi+H)}$
- Physical states must be annihilated by Q and η_0 and $\tilde{\eta}_0$
- Multiloop amplitude prescription for D-terms requires careful treatment of $Q(\xi)$ term in twisted N=1 PCO $Q(\xi + \tilde{\xi})$

Happy Birthday Hiroshi!