Positive trajectories in EFT and large-N QCD

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Take-home messages

- Systematic methods to use bootstrap and semidefinite programming for bounds on scattering amplitudes
 For tree-level EFT — "positivity" ~> full set of constraints
- Suited for large-N QCD (theory of weakly interacting mesons)



[Albert, JH, Rastelli, Vichi 2023]

- Part 1. Pedagogical introduction to EFT bootstrap $\phi\phi \rightarrow \phi\phi$
- Part 2. Large-*N* QCD $\pi\pi \rightarrow \pi\pi$

Pedagogical introduction to EFT bootstrap

 $\phi\phi
ightarrow\phi\phi$

EFT bootstrap

 $\mathcal{A}_{\phi\phi\to\phi\phi}(s, t, u) \qquad \qquad \text{cutoff} \\ u = -s - t \qquad \qquad \qquad \text{EFT} \qquad \mathcal{M}^2$

Low-energy

- $\mathcal{L}_{\text{EFT}} = \frac{1}{2}(\partial\phi)^2 + \frac{\lambda}{24}\phi^4 + \frac{g_2}{2}(\partial\phi)^4 + \frac{g_3}{3}(\partial\phi)^2(\partial\partial\phi)^2 + \dots$
- $A_{\text{EFT}} = -\lambda + g_2(s^2 + t^2 + u^2) + g_3 stu + ...$
- Weakly coupled EFT

High-energy

- Analyticity
- Unitarity
- P. w. expansion

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• Regge bound $\lim_{|s|\to\infty} \frac{\mathcal{A}(s,t)}{s^2} = 0$

Goals: Derive rigorous bounds on EFT coefficients (dual bootstrap)

$$\frac{-\#}{M^2} \leqslant \frac{g_3}{g_2} \leqslant \frac{\#}{M^2}$$

Find interesting partial UV completions saturating the bounds



Assumptions

• Analyticity + weakly coupled EFT

cuts
$$s > M^2$$
, $s < -t - M^2$

• Unitarity + Partial wave expansion
$$\rho_J(s) = \operatorname{disc} f_J(s), |1 + if_J| \leq 1$$

 $\operatorname{disc} \mathcal{A}(s, t) = \sum_J 16\pi (2J+1)\rho_J(s)P_J\left(1+\frac{2t}{s}\right), \qquad \underbrace{0 \leq \rho_J(s)}_{\operatorname{positivity}} \leq 2$

$$\lim_{|s|\to\infty}\frac{\mathcal{A}(s,t)}{s^2}=0$$

"Extremal Effective Field Theories" [Caron-Huot & van Duong 2020]

$$\mathcal{L}_{\mathsf{EFT}} = \frac{1}{2} (\partial \phi)^2 + \frac{\lambda}{24} \phi^4 + \frac{g_2}{2} (\partial \phi)^4 + \frac{g_3}{3} (\partial \phi)^2 (\partial \partial \phi)^2 + \dots$$

Most general low-energy amplitude (s + t + u = 0)

$$\mathcal{A}_{\text{EFT}}(s,t) = -\lambda + g_2(s^2 + t^2 + u^2) + g_3 stu + g_4(s^2 + t^2 + u^2)^2 + \dots$$

1. Derive sum rules from dispersion relation

$$g_2 = \sum \int [UV-data]$$
 etc.

2. Numerical optimisation (semidefinite programming, SDPB)

Let's try to get a dispersion relation for g_2

Let's try to get a dispersion relation for g_2

$$\mathcal{A} = g_2(s^2 + t^2 + u^2) + \dots$$
$$\lim_{|s| \to \infty} \frac{\mathcal{A}}{c^2} = 0$$

Worked example: Positivity of g_2 from sum rule

$$g_{2} = \underbrace{\sum_{J=0,2,\dots} 16(2J+1) \int_{M^{2}}^{\infty} \frac{ds}{s} \rho_{J}(s)}_{\substack{\text{same for all observables}\\ \text{unknown}}} \underbrace{\frac{1}{s^{2}}}_{\substack{\text{specific for } g_{2}\\ \text{explicit}}}$$

"Bracket notation" [Caron-Huot & van Duong 2020]

$$g_2 = \left\langle \frac{1}{s^2} \right\rangle$$

 $\langle \cdot \rangle$ is a positive transform. Conclusion $g_2 \ge 0$.

Need to check:
$$\frac{1}{s^2} \ge 0$$
 $\forall s \ge M^2$
 $\forall J = 0, 2, 4, ...$

Equivalently [Adams, Arkani-Hamed, Dubovsky, Nicolis, Rattazzi 2006]

$$g_2 \propto \int_{M^2}^{\infty} ds \, s^{-2} \sigma_{\phi\phi o any}(s) \geqslant 0$$

Worked example: Upper bound on ratio

Let's try something more advanced

$$\mathcal{A} = \ldots + g_3 stu + \ldots$$

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$$g_{2} = \left\langle \frac{1}{s^{2}} \right\rangle$$

$$g_{3} = -\oint \frac{ds}{2\pi i s} \left. \frac{\partial_{t} A(s, t)}{s^{2}} \right|_{t=0} = \dots = \left\langle \frac{3 - 2J(J+1)}{s^{3}} \right\rangle$$

Assume we want to show that $Ag_2 - g_3 \geqslant 0$

$$Ag_2 - g_3 = \left\langle \frac{A}{s^2} - \frac{3}{s^3} + \frac{2J(J+1)}{s^3} \right\rangle$$

Need to check

$$\frac{A}{s^2} - \frac{3}{s^3} + \frac{2J(J+1)}{s^3} \ge 0 \qquad \forall s \ge M^2$$
$$\forall J = 0, 2, 4, \dots$$

Satisfied for
$$A \ge A_* = \frac{3}{M^2}$$

Conclusion

$$A_*g_2 - g_3 \ge 0 \qquad \Rightarrow \qquad \frac{g_3}{g_2} \le A_* = \frac{3}{M^2}$$

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Worked example: Null constraints

For lower bound on $\frac{g_3}{g_2}$, need null constraints [Caron-Huot & Van Duong 2020] Two rules for the same coefficient $A = \ldots + g_4(s^2 + t^2 + u^2)^2 + \ldots$

$$\frac{\mathcal{A}(s,t)}{s^4} \qquad \Rightarrow \qquad g_4 = \left\langle \frac{1}{2s^4} \right\rangle$$
$$\frac{\partial_t^2 \mathcal{A}(s,t)}{6s^2} \qquad \Rightarrow \qquad g_4 = \left\langle \frac{8 - 8J - 7J^2 + 2J^3 + J^4}{16s^4} \right\rangle$$
subtract
$$\qquad \Rightarrow \qquad 0 = \left\langle \frac{J(J+1)(J^2 + J - 8)}{s^4} \right\rangle$$

Constraints on high-energy only

- Gives upper and lower bounds $\frac{-10.6124872}{M^2} \leqslant \frac{g_3}{q_2} \leqslant \frac{3}{M^2}$
- Introduces notion of numerical strength (larger N_{null}, stronger bounds)

See alSO [Bellazzini, Elias Miró, Rattazzi, Riembau and Riva 2020; Tolley, Wang, Zhou 2020; Arkani-Hamed, Huang, Huang 2020]

Worked example: A slide for the experts

Semi-definite problem semidefinite bootstrap solver SDPB [Simmons-Duffin 2015]

$$\begin{pmatrix} g_2 \\ g_3 \\ 0 \\ \vdots \end{pmatrix} = \begin{pmatrix} \left\langle \frac{1}{s^2} \right\rangle \\ \left\langle \frac{3-2J(J+1)}{s^3} \right\rangle \\ \left\langle \frac{J(J+1)(J^2+J-8)}{s^4} \right\rangle \\ \vdots \end{pmatrix} \Leftrightarrow \vec{L} = \left\langle \vec{V} \right\rangle$$

Search for functionals $\alpha[\vec{V}] = \vec{\alpha} \cdot \vec{V} \ge 0$ for all $s \ge M^2$, for all J = 0, 2, ...Then $\langle \alpha[\vec{V}] \rangle \ge 0 \Rightarrow \alpha[\vec{L}] \ge 0$ For lower bound: choose $\vec{\alpha} = (-B, 1, \alpha_3, ...)$. Max *B* keeping $\alpha[\vec{V}] \ge 0$ Result $B_* = -10.6124872$ ($N_{\text{Null}} = 1$) $-B_*g_2 + g_3 \ge 0 \Rightarrow \frac{B_*}{M^2} \le \frac{g_3}{g_2}$

Do try this at home!

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Corners/kinks may be saturated by interesting extremal amplitudes: "partial UV completions"

Populating the allowed regions





$$\mathcal{L}_{\mathsf{EFT}} = \frac{1}{2} (\partial \phi)^2 + \frac{\lambda}{24} \phi^4 + \frac{g_2}{2} (\partial \phi)^4 + \frac{g_3}{3} (\partial \phi)^2 (\partial \partial \phi)^2 + \dots$$
$$\mathcal{A}_{\mathsf{EFT}}(s,t) = -\lambda + g_2 (s^2 + t^2 + u^2) + g_3 \, stu + g_4 (s^2 + t^2 + u^2)^2 + \dots$$

- λ inaccessible
- $g_2 \ge 0$ positivity of elastic amplitude at two subtractions
- Upper and lower bound on any $\frac{g_i}{g_2}$. Puts dimensional analysis on a firm footing

Charged scalars [McPeak, Venuti, Vichi], Photons [JH, McPeak, Russo, Vichi], gravitons [Caron-Huot, Li, Parra-Martinez, Simmons-Duffin], massless fields coupled to gravity [Caron-Huot, Mazáç, Rastelli, Simmons-Duffin], pions [Albert & Rastelli, ...], massive vector [Bertucci, JH, McPeak, Ricossa, Riva, Vichi 2024], connection with non-perturbative bounds [Chen, Fitzpatrick, Karateev], etc. etc.

Large-N QCD

 $\pi\pi o \pi\pi$

Large-N QCD

Planar limit $(N \to \infty)$: $q\bar{q}$ mesons form a closed subsector ['t Hooft; Witten; ...]



Low-energy

- Chiral perturbation theory
- Data:

 $\{g_{0,1}, g_{2,0}, g_{2,1}, \ldots\} \leftrightarrow$ $\{f_{\pi}, L_1, L_2, \ldots\}$

• Weak coupling assumptions satisfied everywhere

High-energy

- Meromorphic amplitude (stable mesons exchanged at tree-level)
- Data: meson masses and onshell couplings {m²_X, g²_{ππX},...}

• Cut
$$\rightsquigarrow$$
 poles
 $\rho_J(s) \sim \sum_X g_{\pi\pi X}^2 \delta(s - m_X^2) \delta_{JJ_X}$

Is there a unique set of meson masses m_i^2 and three-point couplings g_{ijk} consistent with crossing, unitarity, Regge limit, etc?

Would be large-N QCD

Disc amplitude $\mathcal{A}_{\pi^a\pi^b\pi^c\pi^d} = 4[\operatorname{Tr}(T_aT_bT_dT_c) + \operatorname{Tr}(T_aT_cT_dT_b)]M(s,t) + \dots$

- M(s, t) = M(t, s) with no LH cut
- Pomeron suppressed \Rightarrow improved Regge bound $M(s, t) \sim s^{\alpha_{\rho}(0)}$, $\alpha_{\rho}(0) < 1$

$$\lim_{|s|\to\infty}\frac{M(s,t)}{s}=0$$

Parametrisation

$$M(s,t) = 0 + g_{1,0}(s+t) + g_{2,0}(s^{2}+t^{2}) + 2g_{2,1}st + \dots$$

All EFT coefficients dispersive!

N-independent observables

$$ilde{g}_2' = rac{2g_{2,1}M^2}{g_{1,0}} \sim N^0, \qquad ilde{g}_2 = rac{g_{2,0}M^2}{g_{1,0}} \sim N^0$$

Allowed region for $\pi\pi \to \pi\pi$ scattering







Focus on new observables: masses and on-shell couplings

$$\{ m_X^2 , g_{\pi\pi X}^2 \}$$

Minimal input to produce higher-spin particles/Regge trajectories?

Spin-*J* exchange:
$$\frac{P_J(1+\frac{2t}{M^2})}{s-M^2} + \frac{P_J(1+\frac{2s}{M^2})}{t-M^2} \sim s^J$$

Regge bound $M(s, t) \lesssim s$

Logic:

- Spin-1 is marginally allowed $\left(M(s,t) = \frac{m_{\rho}^2 + 2t}{m_{\rho}^2 s} \frac{m_{\infty}^2}{m_{\infty}^2 t} + \frac{m_{\rho}^2 + 2s}{m_{\rho}^2 t} \frac{m_{\omega}^2}{m_{\infty}^2 s}\right)$
- Spin-2 can never appear alone force presence by maximising spin-2 coupling!

Setup

Spin J > 1 cannot appear alone

Assumptions:

- Spin-1 state at m = M = 1 $(m_{\rho}^{\text{phys}} = 775 \text{ MeV}/c^2)$
- Spin-2 state at $m = 1.65~(m_{f_2}^{\text{phys}} = 1275~\text{MeV}/c^2)$
- All other states above *M*['] (free parameter)

Force presence by maximising $\frac{g_{\pi}^2}{g_1}$

$$\frac{g_{\pi\pi f_2}}{g_{1,0}}$$

$$\vec{L} = \begin{pmatrix} g_{1,0} \\ 0 \\ \vdots \end{pmatrix} = g_{\pi\pi\rho}^2 \vec{V}(1, m_\rho^2) + g_{\pi\pi f_2}^2 \vec{V}(2, m_{f_2}^2) + \left\langle \vec{V}(J, s) \right\rangle_{M'}$$

Expect

- $M' \gg 1$ nothing allowed
- $M' \sim m_{
 ho_3}$ extremal solution with all spins ($m_{
 ho_3}^{
 m phys} = 1688~{
 m MeV}/c^2$)

Aside: higher spins from sum rules

All physical states must sum to the zero vector in null-constraint space



[[]Albert, JH, Rastelli, Vichi 2023]

Results



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What's the theory saturating the kink?



[Albert, JH, Rastelli, Vichi 2023]

Extremal spectrum

Extremal functional method [EI-Showk & Paulos 2012]



| | Dominant state | | Other states | | | | | |
|----|----------------|-----------------|--------------|-------------------------|----------|-------------------------|----------|------------------------|
| J | m^2 | \tilde{g}_X^2 | m^2 | \tilde{g}_X^2 | m^2 | \tilde{g}_X^2 | m^2 | \tilde{g}_X^2 |
| 3 | 4.747774 | 0.33527 | | | | | | |
| 4 | 6.902792 | 0.28933 | | | | | | |
| 5 | 9.181336 | 0.25334 | 5.278811 | 1.515×10^{-4} | | | | |
| 6 | 11.54579 | 0.22174 | 4.747774 | 3.297×10^{-6} | 6.582414 | 1.773×10^{-4} | | |
| 7 | 14.01378 | 0.19857 | 4.835758 | 7.862×10^{-7} | 7.581251 | 1.237×10^{-4} | | |
| 8 | 16.67318 | 0.18599 | 4.747774 | 8.771×10^{-8} | 6.235041 | 1.265×10^{-6} | 9.207674 | 1.352×10^{-4} |
| 9 | 19.28674 | 0.16358 | 4.808180 | 1.895×10^{-8} | 6.571938 | 4.367×10^{-7} | 11.31167 | $2.537{	imes}10^{-4}$ |
| 10 | 21.93016 | 0.14912 | 5.019793 | 7.308×10^{-9} | 7.879411 | 3.754×10^{-7} | 13.61458 | 4.242×10^{-4} |
| 11 | 24.82063 | 0.11649 | 4.825621 | 6.643×10^{-10} | 9.289181 | 1.875×10^{-6} | 15.69828 | 1.554×10^{-4} |
| 12 | 27.53345 | 0.10811 | 4.747774 | 8.380×10^{-11} | 5.390215 | 7.235×10^{-11} | 11.48907 | 7.067×10^{-6} |

[Albert, JH, Rastelli, Vichi 2023]

Comparison with real world



Black: [PDG], orange: our data [Albert, JH, Rastelli, Vichi 2023]

Results collected



[Albert, JH, Rastelli, Vichi 2023]

What we have achieved:

- Spin 2 maximisation forces presence of Regge trajectory
- Interesting kink gives extremal amplitude
- Many observables are close to real-world QCD (N = 3)

Problems:

- No daughter trajectories
- Curved trajectory?
- Actual solution to crossing?
- Scarcity of large-N lattice data to compare with

Mixed π - ρ scattering amplitudes [In progress with Albert, Rastelli, Vichi] $M_{\pi\pi\pi\pi}$, $M_{\rho\rho\pi\pi}^{++}$, $M_{\rho\rho\pi\pi}^{+0}$, $M_{\rho\rho\pi\pi}^{+-}$, $M_{\rho\rho\pi\pi}^{00}$, $M_{\rho\rho\rho\rho}^{++++}$, $M_{\rho\rho\rho\rho}^{+++0}$, ...

Foundational work: Flavourless massive vector



[Bertucci, JH, McPeak, Ricossa, Riva, Vichi 2024]

Thank you for listening!