

**Non-Invertible Peccei-Quinn Symmetry
and
the Massless Quark Solution
to the Strong CP Problem**

Sungwoo Hong

KAIST

2211.07639: C Córdova, **SH**, S Koren, K Ohmori

2306.00912: T.D Brennan, **SH**

2402.12453: C Córdova, **SH**, S Koren

EFT in EFT at IPMU

Global Symmetries

Symmetry: most essential and powerful concept in the pursuit of fundamental physics

Every discovery of **new symmetry** or **new properties** of known symmetry led to radical leap in the progress of fundamental physics.

e.g. Meson spectrum from spontaneous symmetry breaking
CP violation of QCD from realization of anomalies
Unitarization of Standard Model from Higgs Mechanism
Understanding of phases of QFT by 't Hooft anomaly matching
Tachyon stabilization via supersymmetry

Generalized Global Symmetries!

Advent of Generalized Global Symmetries (GGS)

⇒ New symmetries: new ideas in QFT and many excitements

- New anomalies and deeper understanding of phases of QFT

Generalized Global Symmetries!

Advent of Generalized Global Symmetries (GGS)

⇒ New symmetries: new ideas in QFT and many excitements

- New anomalies and deeper understanding of phases of QFT
- GGS in Particle Physics

Generalized Global Symmetries!

Advent of Generalized Global Symmetries (GGS)

⇒ New symmetries: new ideas in QFT and many excitements

- New anomalies and deeper understanding of phases of QFT
- GGS in Particle Physics

(Q1) Are there **generalized symmetries** in (3+1)d QFTs relevant for **particle physics**?

(Q2) Can there be **observable signals** (even in principle) associated with (due to) the presence of those **generalized symmetries**?

(Q3) Can **generalized symmetry** provide **novel or meaningful solutions** to problems in **particle physics**?

Generalized Global Symmetries!

Problems in Particle Physics

I. Naturalness Problem

Hierarchy Problem

Strong CP Problem

Naturally small neutrino mass

Cosmological Constant Problem

Flavor Structure/Hierarchy

II. Dark Matter

III. Baryon-antibaryon asymmetry

IV. H_0 and S_8

V. Global Structure of $G_{SM} = SU(3)_C \times SU(2)_L \times U(1)_Y/\Gamma$, $\Gamma = 1, Z_{2,3,6}$

VI. Confinement of QCD

Generalized Global Symmetries!

Problems in Particle Physics

I. Naturalness Problem

Hierarchy Problem

Strong CP Problem

Naturally small neutrino mass

Cosmological Constant Problem

Flavor Structure/Hierarchy

II. Dark Matter (e.g. axion and axion domain wall problem)

III. Baryon-antibaryon asymmetry

IV. H_0 and S_8

V. Global Structure of $G_{SM} = SU(3)_C \times SU(2)_L \times U(1)_Y/\Gamma$, $\Gamma = \mathbf{1}, Z_{2,3,6}$

VI. Confinement of QCD

Outline

I. Generalized Global Symmetries

I-1. Higher-form symmetry

I-2. Non-invertible symmetry

II. Strong CP Problem-I: IR to UV

II-1. Non-invertible Peccei-Quinn symmetry

II-2. Massless quark solution

III. Strong CP Problem-II: UV to IR

III-1. $SU(9)$ Color-Flavor unification

III-2. Flavor structure and CKM CPV phase

Outline

I. Generalized Global Symmetries

I-1. Higher-form symmetry

I-2. Non-invertible symmetry

II. Strong CP Problem-I: IR to UV

II-1. Non-invertible Peccei-Quinn symmetry

II-2. Massless quark solution

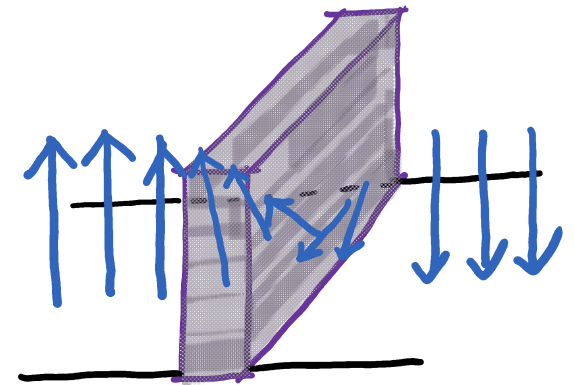
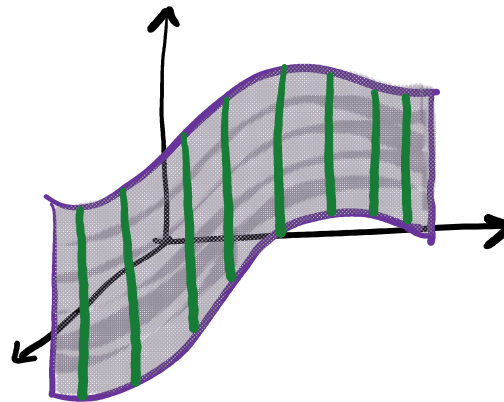
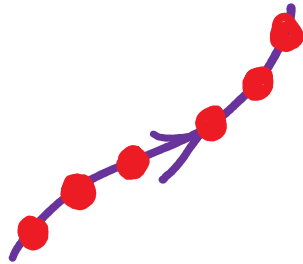
III. Strong CP Problem-II: UV to IR

III-1. $SU(9)$ Color-Flavor unification

III-2. Flavor structure and CKM CPV phase

Higher-form symmetries

Various **extended objects** appear in broad class of theories.



Local operator
e.g. particle
**0-form
symmetry**

Line operator
e.g. Wilson line
't Hooft line
**1-form
symmetry**

Surface operator
e.g. Cosmic string
2-form symmetry

Volume operator
e.g. Domain Wall
3-form symmetry

Higher-form symmetries

1. 0-form symmetry

Consider 4d two Weyl fermions $\Psi_+, \Psi_- : U(1)_+ \times U(1)_-$

$$U(1)_V : \Psi_+ \rightarrow e^{i\alpha} \Psi_+ , \quad \Psi_- \rightarrow e^{-i\alpha} \Psi_- \quad (\text{can be gauged})$$

$$U(1)_A : \Psi_+ \rightarrow e^{i\alpha} \Psi_+ , \quad \Psi_- \rightarrow e^{i\alpha} \Psi_- \Rightarrow d * j_A = \frac{K}{8\pi^2} F_2 \wedge F_2$$

Higher-form symmetries

1. 0-form symmetry

Consider 4d two Weyl fermions $\Psi_+, \Psi_- : U(1)_+ \times U(1)_-$

$$U(1)_V : \Psi_+ \rightarrow e^{i\alpha} \Psi_+, \quad \Psi_- \rightarrow e^{-i\alpha} \Psi_- \quad (\text{can be gauged})$$

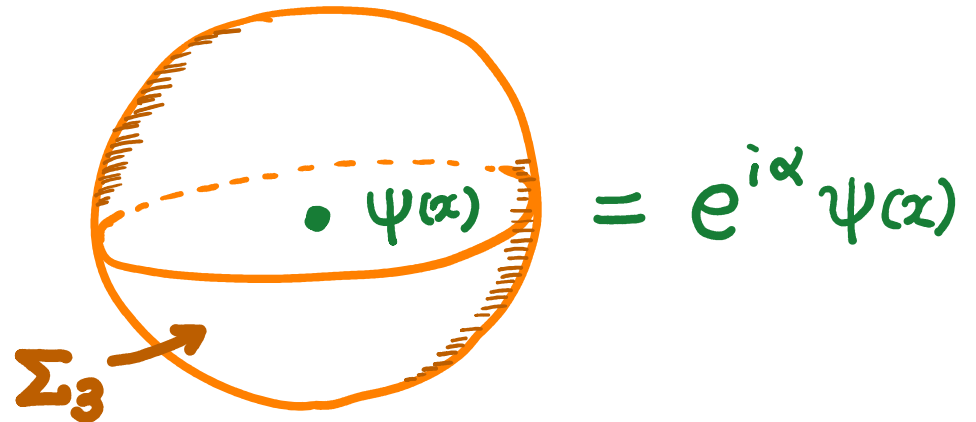
$$U(1)_A : \Psi_+ \rightarrow e^{i\alpha} \Psi_+, \quad \Psi_- \rightarrow e^{i\alpha} \Psi_- \Rightarrow d * j_A = \frac{K}{8\pi^2} F_2 \wedge F_2$$

"Symmetry Defect Operator"

$$Q(\Sigma_3) = \int_{\Sigma_3} d^3x J^0 = \int_{\Sigma_3} * J_1$$

$$U(\alpha, \Sigma_3) = e^{i\alpha Q(\Sigma_3)}$$

$$\langle U(\alpha, \Sigma_3) \psi(x) \rangle \sim e^{i\alpha} \psi(x)$$



Higher-form symmetries

1. 0-form symmetry

Consider 4d two Weyl fermions $\Psi_+, \Psi_- : U(1)_+ \times U(1)_-$

$$U(1)_V : \Psi_+ \rightarrow e^{i\alpha} \Psi_+, \quad \Psi_- \rightarrow e^{-i\alpha} \Psi_- \quad (\text{can be gauged})$$

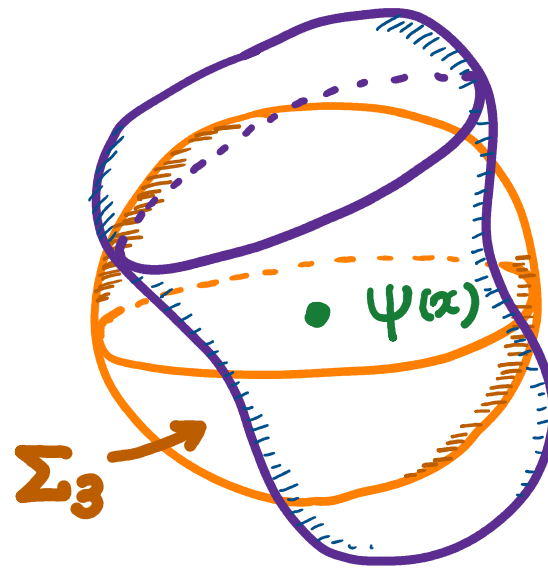
$$U(1)_A : \Psi_+ \rightarrow e^{i\alpha} \Psi_+, \quad \Psi_- \rightarrow e^{i\alpha} \Psi_- \Rightarrow d * j_A = \frac{K}{8\pi^2} F_2 \wedge F_2$$

"Symmetry Defect Operator"

$$Q(\Sigma_3) = \int_{\Sigma_3} d^3x J^0 = \int_{\Sigma_3} * J_1$$

$$U(\alpha, \Sigma_3) = e^{i\alpha Q(\Sigma_3)}$$

$$\langle U(\alpha, \Sigma_3) \psi(x) \rangle \sim e^{i\alpha} \psi(x)$$



$$= e^{i\alpha} \psi(x)$$

$$\Delta Q = \int_{\hat{\Sigma}_4} d * J_1 = 0$$

$$U(\alpha, \Sigma_3) = \text{topological}$$

Higher-form symmetries

2. p-form symmetry

0-form \leftrightarrow local op (particle)

0-form $\leftrightarrow j_1$ (j_μ)

0-form $\leftrightarrow A_1$ (A_μ)

p-form \leftrightarrow p-dim op

p-form $\leftrightarrow j_{p+1}$

p-form $\leftrightarrow A_{p+1}$

$$S \supset \int d^4x A_\mu j^\mu = \int A_1 \wedge^* j_1$$

$$S \supset \int A_{p+1} \wedge^* j_{p+1}$$

$$U(\alpha, \Sigma_3) = e^{i\alpha \int^* j_1}$$

$$U(\alpha, \Sigma_{d-p-1}) = e^{i\alpha \int^* j_{p+1}}$$

Higher-form symmetries

2. p-form symmetry

0-form \leftrightarrow local op (particle)

0-form $\leftrightarrow j_1$ (j_μ)

0-form $\leftrightarrow A_1$ (A_μ)

$$S \supset \int d^4x A_\mu j^\mu = \int A_1 \wedge *j_1$$

$$U(\alpha, \Sigma_3) = e^{i\alpha \int *j_1}$$

p-form \leftrightarrow p-dim op

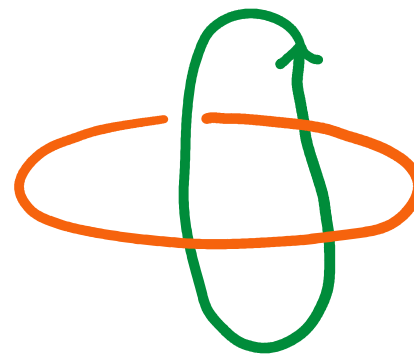
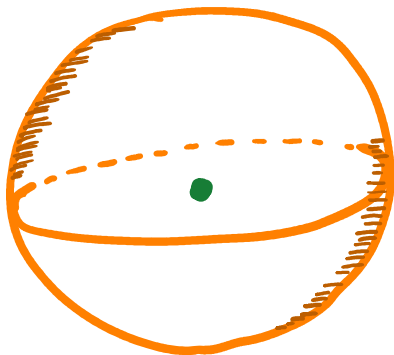
p-form $\leftrightarrow j_{p+1}$

p-form $\leftrightarrow A_{p+1}$

$$S \supset \int A_{p+1} \wedge *j_{p+1}$$

$$U(\alpha, \Sigma_{d-p-1}) = e^{i\alpha \int *j_{p+1}}$$

E.g.) 0- and 1-form symmetry in 3d



Higher-form symmetries

2. p-form symmetry

2-1. $U(1)_{EM}$ with Ψ_+ , Ψ_-

EoM: $d * F_2 = j_\Psi \quad \left(d * F_2 = 0 \Rightarrow U(1)^{(1)}(e) \right)$

charged op: Wilson $W_1 = e^{i\phi A_1}$, SDO $U(\Sigma_2) = e^{i\phi * F_2}$

Bianchi id: $dF_2 = 0 \Rightarrow U(1)^{(1)}(m)$

charged op: 't Hooft $T_1 = e^{i\phi \tilde{A}_1}$, SDO $U(\Sigma_2) = e^{i\phi F_2}$

$U(1)^{(0)}_A$: $\Psi_+ \rightarrow e^{i\alpha} \Psi_+$, $\Psi_- \rightarrow e^{i\alpha} \Psi_- \Rightarrow d * j_A = \frac{K}{8\pi^2} F_2 \wedge F_2$

Higher-form symmetries

2. p-form symmetry

2-2. $SU(N)$ YM (either pure YM or with only adj matter)

$\exists Z_N^{(1)}(e)$: under 0-form center $\Psi \rightarrow e^{\frac{2\pi i}{N} * N} \Psi$
→ Wilson line with charge = $0, 1, \dots, (N - 1)$ not screened

\nexists mag 1-form : $\Pi_1(SU(N)) = \emptyset$

Higher-form symmetries

2. p-form symmetry

2-2. $SU(N)$ YM (either pure YM or with only adj matter)

$\exists Z_N^{(1)}(e)$: under 0-form center $\Psi \rightarrow e^{\frac{2\pi i}{N} * N} \Psi$
 \rightarrow Wilson line with charge = $0, 1, \dots, (N - 1)$ not screened

\nexists mag 1-form : $\Pi_1(SU(N)) = \emptyset$

2-3. $PSU(N) = \frac{SU(N)}{Z_N}$: $Z_N^{(1)}(e)$ is gauged (electric states projected out)

\nexists electric 1-form

$\exists Z_N^{(1)}(m)$: $\Pi_1(PSU(N)) = Z_N$ or " $N * \frac{1}{N} = 1$ "

$\Rightarrow \oint G_2 = 2\pi/N$, $\int \text{tr}(G_2 \wedge G_2) \supset \frac{N-1}{2N} \int w_2 \wedge w_2$ Fractional Instanton

Outline

I. Generalized Global Symmetries

I-1. Higher-form symmetry

I-2. **Non-invertible symmetry**

II. Strong CP Problem-I: IR to UV

II-1. Non-invertible Peccei-Quinn symmetry

II-2. Massless quark solution

III. Strong CP Problem-II: UV to IR

III-1. $SU(9)$ Color-Flavor unification

III-2. Flavor structure and CKM CPV phase

Non-Invertible Symmetry

1. From $U(1)$ Instanton

Consider again $U(1)_{EM}$ with Ψ_+ , Ψ_-

EoM: $d * F_2 = j_\Psi \quad \left(d * F_2 = 0 \Rightarrow U(1)^{(1)}(e) \right)$

charged op: Wilson $W_1 = e^{i\oint A_1}$, SDO $U(\Sigma_2) = e^{i\oint *F_2}$

Bianchi id: $dF_2 = 0 \Rightarrow U(1)^{(1)}(m)$

charged op: 't Hooft $T_1 = e^{i\oint \tilde{A}_1}$, SDO $U(\Sigma_2) = e^{i\oint F_2}$

$U(1)^{(0)}_A : \Psi_+ \rightarrow e^{i\alpha} \Psi_+$, $\Psi_- \rightarrow e^{i\alpha} \Psi_- \Rightarrow d * j_A = \frac{K}{8\pi^2} F_2 \wedge F_2$

$\Rightarrow \Pi_3(U(1)) = \emptyset$ and $U(1)_A$ turns into **non-invertible symm**

Non-Invertible Symmetry

1. From $U(1)$ Instanton

Consider again $U(1)_{EM}$ with Ψ_+, Ψ_-

EoM: $d * F_2 = j_\Psi$ ($d * F_2 = 0 \Rightarrow U(1)^{(1)}(e)$)

charged op: Wilson $W_1 = e^{i\oint A_1}$, SDO $U(\Sigma_2) = e^{i\oint *F_2}$

Bianchi id: $dF_2 = 0 \Rightarrow U(1)^{(1)}(m)$

charged op: 't Hooft $T_1 = e^{i\oint \tilde{A}_1}$, SDO $U(\Sigma_2) = e^{i\oint F_2}$

$U(1)^{(0)}_A$: $\Psi_+ \rightarrow e^{i\alpha} \Psi_+$, $\Psi_- \rightarrow e^{i\alpha} \Psi_- \Rightarrow d * j_A = \frac{K}{8\pi^2} F_2 \wedge F_2$

$\Rightarrow \Pi_3(U(1)) = \emptyset$ and $U(1)_A$ turns into **non-invertible symm**

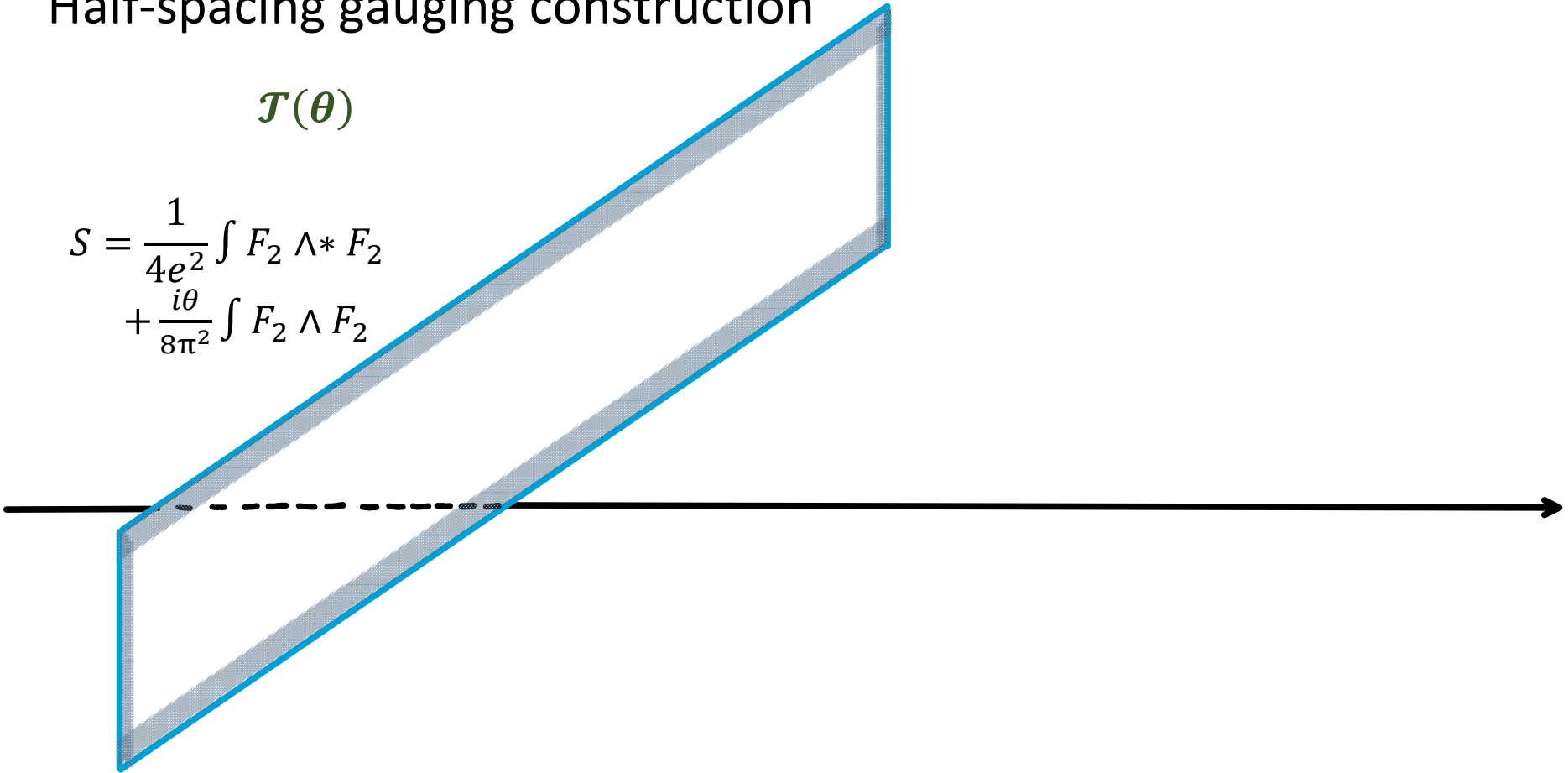
Non-Invertible Symmetry

1. From $U(1)$ Instanton

Half-spacing gauging construction

$\mathcal{T}(\theta)$

$$S = \frac{1}{4e^2} \int F_2 \wedge * F_2 + \frac{i\theta}{8\pi^2} \int F_2 \wedge F_2$$



Non-Invertible Symmetry

1. From $U(1)$ Instanton

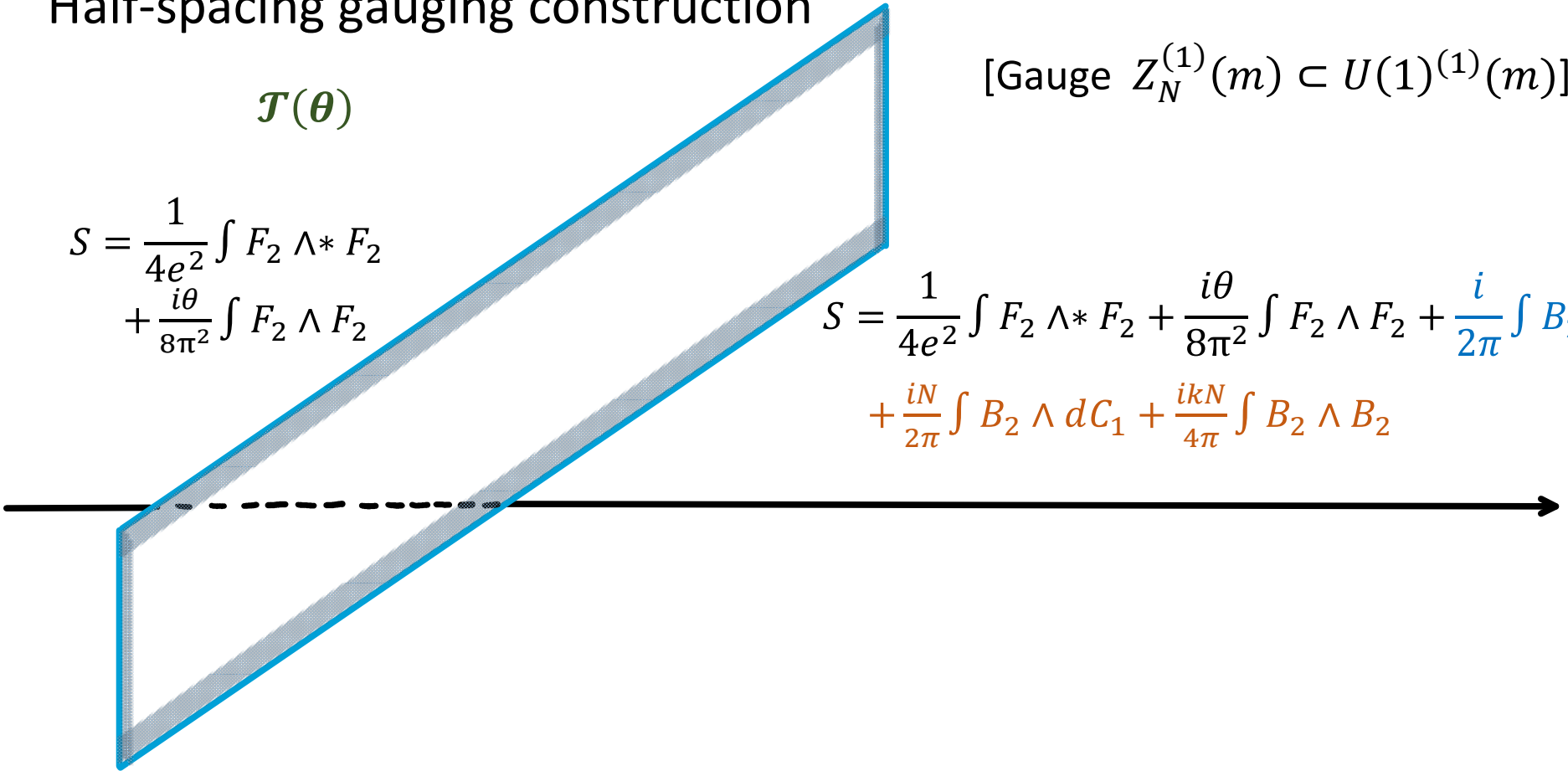
Half-spacing gauging construction

$\mathcal{T}(\theta)$

[Gauge $Z_N^{(1)}(m) \subset U(1)^{(1)}(m)$]

$$S = \frac{1}{4e^2} \int F_2 \wedge * F_2 + \frac{i\theta}{8\pi^2} \int F_2 \wedge F_2$$

$$S = \frac{1}{4e^2} \int F_2 \wedge * F_2 + \frac{i\theta}{8\pi^2} \int F_2 \wedge F_2 + \frac{i}{2\pi} \int B_2 \wedge F_2 + \frac{iN}{2\pi} \int B_2 \wedge dC_1 + \frac{ikN}{4\pi} \int B_2 \wedge B_2$$



Non-Invertible Symmetry

1. From $U(1)$ Instanton

Half-spacing gauging construction

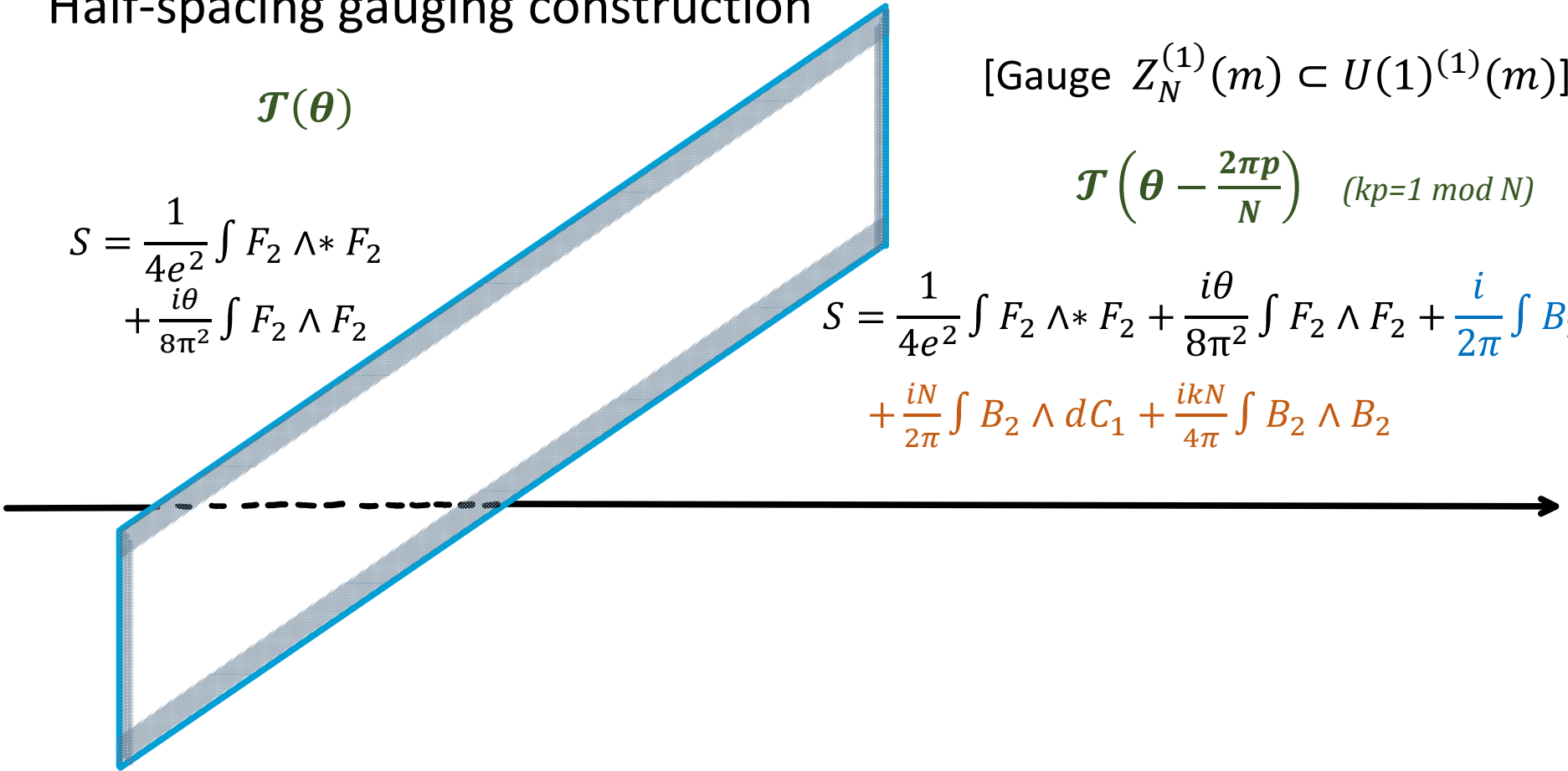
$\mathcal{J}(\theta)$

$$S = \frac{1}{4e^2} \int F_2 \wedge * F_2 + \frac{i\theta}{8\pi^2} \int F_2 \wedge F_2$$

[Gauge $Z_N^{(1)}(m) \subset U(1)^{(1)}(m)$]

$\mathcal{J}\left(\theta - \frac{2\pi p}{N}\right) \quad (kp=1 \text{ mod } N)$

$$S = \frac{1}{4e^2} \int F_2 \wedge * F_2 + \frac{i\theta}{8\pi^2} \int F_2 \wedge F_2 + \frac{i}{2\pi} \int B_2 \wedge F_2 + \frac{iN}{2\pi} \int B_2 \wedge dC_1 + \frac{ikN}{4\pi} \int B_2 \wedge B_2$$



Non-Invertible Symmetry

1. From $U(1)$ Instanton

Half-spacing gauging construction

$\mathcal{J}(\theta)$

$$S = \frac{1}{4e^2} \int F_2 \wedge * F_2 + \frac{i\theta}{8\pi^2} \int F_2 \wedge F_2$$

[Gauge $Z_N^{(1)}(m) \subset U(1)^{(1)}(m)$]

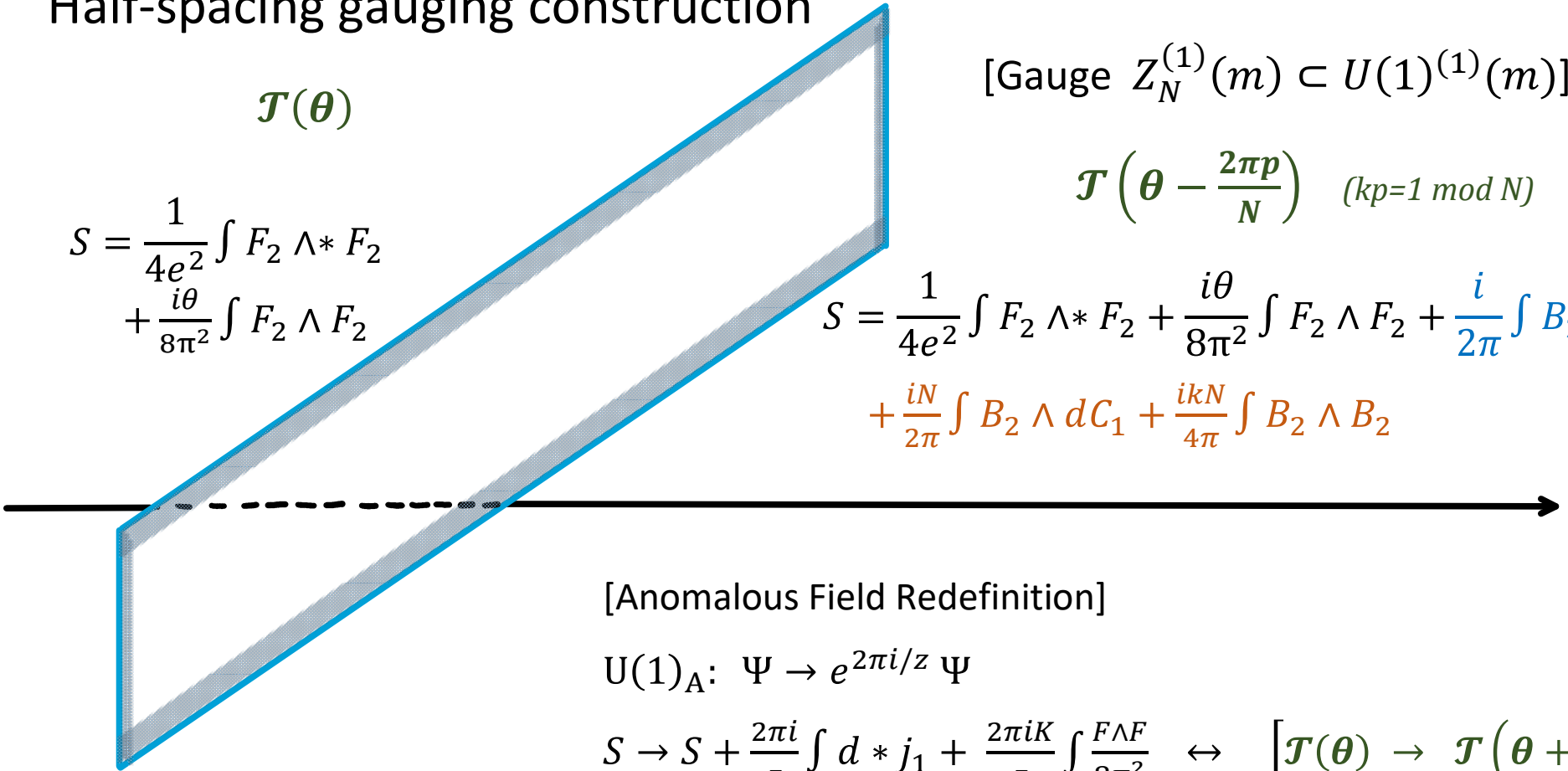
$\mathcal{J}\left(\theta - \frac{2\pi p}{N}\right) \quad (kp=1 \text{ mod } N)$

$$S = \frac{1}{4e^2} \int F_2 \wedge * F_2 + \frac{i\theta}{8\pi^2} \int F_2 \wedge F_2 + \frac{i}{2\pi} \int B_2 \wedge F_2 + \frac{iN}{2\pi} \int B_2 \wedge dC_1 + \frac{ikN}{4\pi} \int B_2 \wedge B_2$$

[Anomalous Field Redefinition]

$$U(1)_A: \Psi \rightarrow e^{2\pi i/z} \Psi$$

$$S \rightarrow S + \frac{2\pi i}{z} \int d * j_1 + \frac{2\pi i K}{z} \int \frac{F \wedge F}{8\pi^2} \leftrightarrow \left[\mathcal{J}(\theta) \rightarrow \mathcal{J}\left(\theta + \frac{2\pi K}{z}\right) \right]$$



Non-Invertible Symmetry

1. From $U(1)$ Instanton

Half-spacing gauging construction

$\mathcal{T}(\theta)$

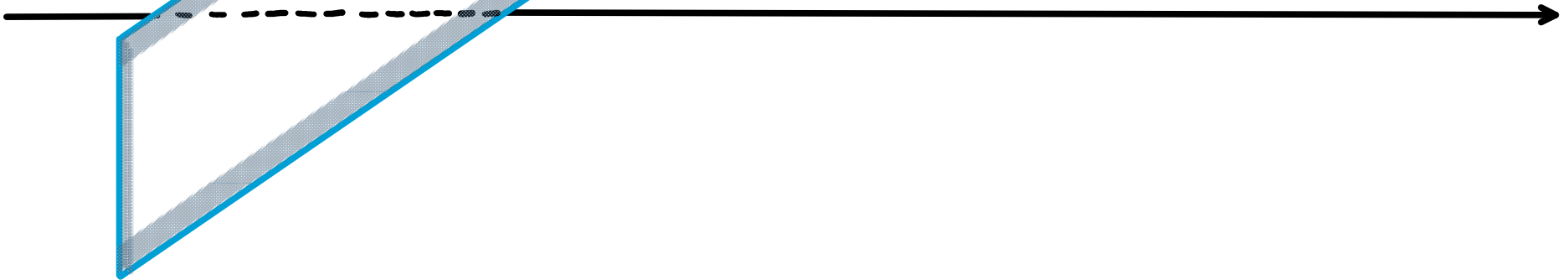


$\mathcal{T}(\theta)$

$$S = \frac{1}{4e^2} \int F_2 \wedge * F_2 + \frac{i\theta}{8\pi^2} \int F_2 \wedge F_2$$

[Gauge $Z_N^{(1)}(m) \subset U(1)^{(1)}(m)$]
[Anomalous Field Redefinition]

$$\frac{p}{N} = \frac{K}{z}$$



Non-Invertible Symmetry

1. From $U(1)$ Instanton

Half-spacing gauging construction

$\mathcal{J}(\theta)$

$$S = \frac{1}{4e^2} \int F_2 \wedge * F_2 + \frac{i\theta}{8\pi^2} \int F_2 \wedge F_2$$

[Gauge $Z_N^{(1)}(m) \subset U(1)^{(1)}(m)$]

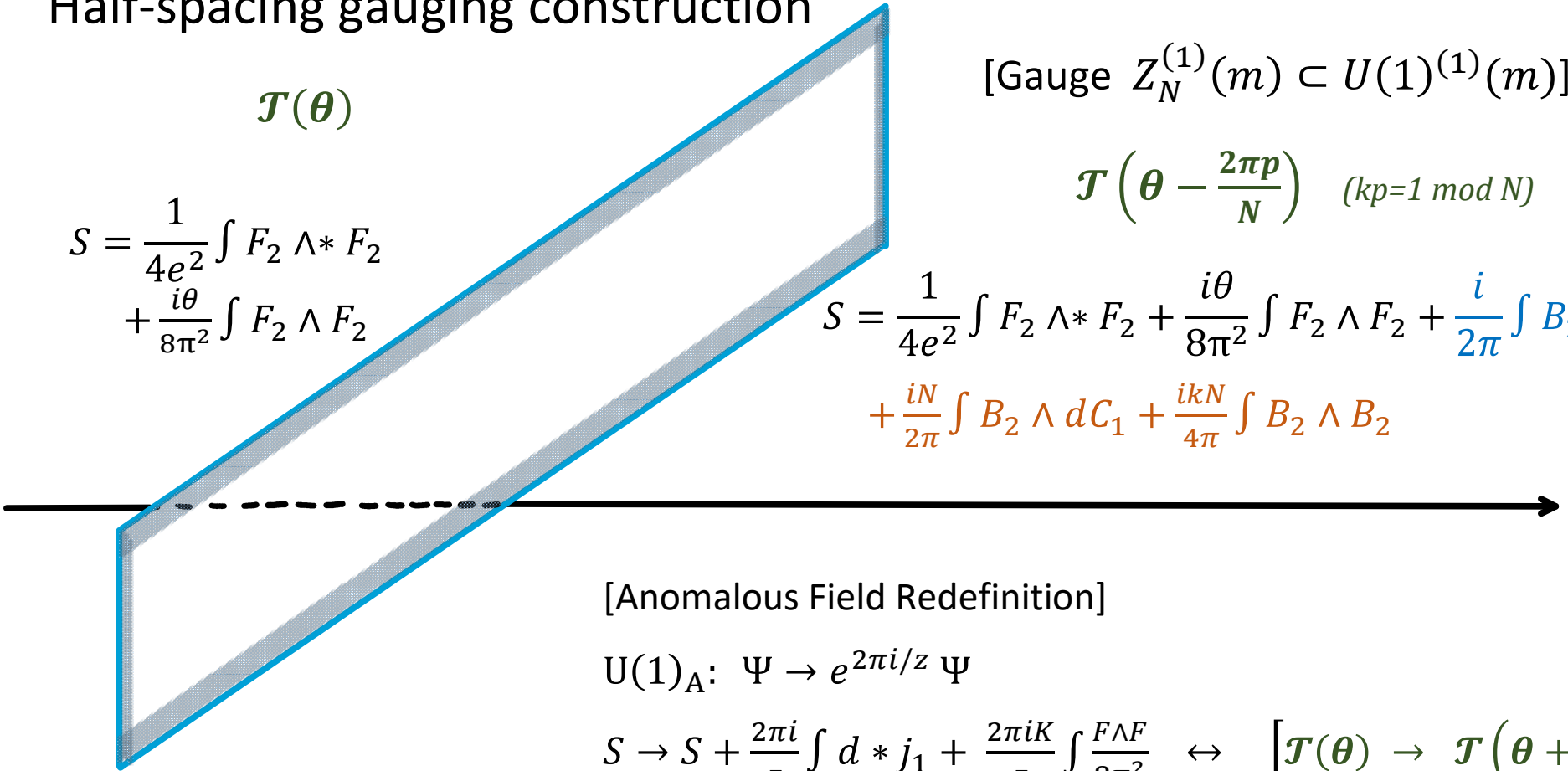
$$\mathcal{J}\left(\theta - \frac{2\pi p}{N}\right) \quad (kp=1 \text{ mod } N)$$

$$S = \frac{1}{4e^2} \int F_2 \wedge * F_2 + \frac{i\theta}{8\pi^2} \int F_2 \wedge F_2 + \frac{i}{2\pi} \int B_2 \wedge F_2 + \frac{iN}{2\pi} \int B_2 \wedge dC_1 + \frac{ikN}{4\pi} \int B_2 \wedge B_2$$

[Anomalous Field Redefinition]

$$U(1)_A: \Psi \rightarrow e^{2\pi i/z} \Psi$$

$$S \rightarrow S + \frac{2\pi i}{z} \int d * j_1 + \frac{2\pi i K}{z} \int \frac{F \wedge F}{8\pi^2} \leftrightarrow \left[\mathcal{J}(\theta) \rightarrow \mathcal{J}\left(\theta + \frac{2\pi K}{z}\right) \right]$$



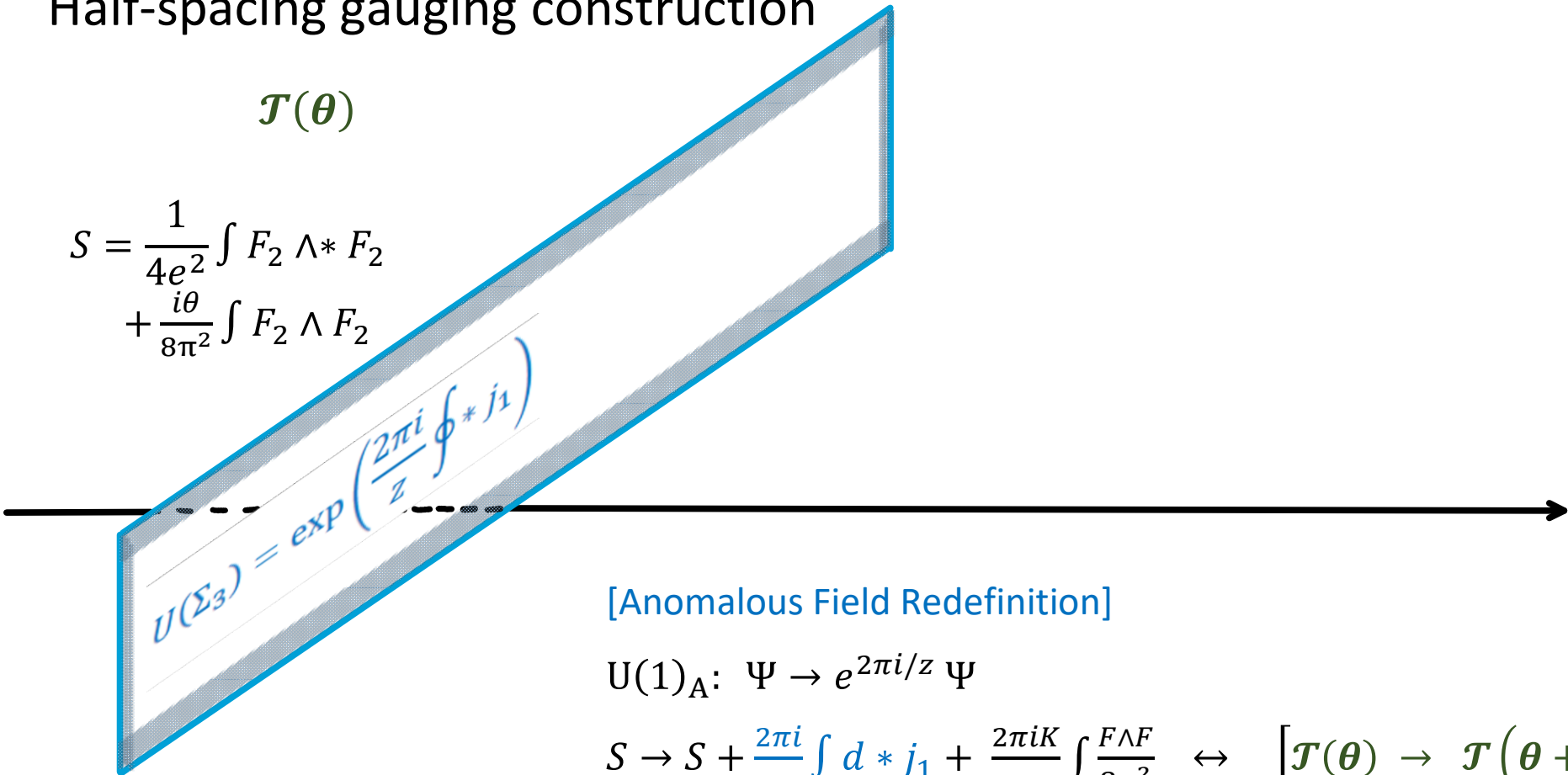
Non-Invertible Symmetry

1. From $U(1)$ Instanton

Half-spacing gauging construction

$\mathcal{T}(\theta)$

$$S = \frac{1}{4e^2} \int F_2 \wedge * F_2 + \frac{i\theta}{8\pi^2} \int F_2 \wedge F_2$$



[Anomalous Field Redefinition]

$$U(1)_A: \Psi \rightarrow e^{2\pi i/z} \Psi$$

$$S \rightarrow S + \frac{2\pi i}{z} \int d * j_1 + \frac{2\pi i K}{z} \int \frac{F \wedge F}{8\pi^2} \leftrightarrow \left[\mathcal{T}(\theta) \rightarrow \mathcal{T}\left(\theta + \frac{2\pi K}{z}\right) \right]$$

Non-Invertible Symmetry

1. From $U(1)$ Instanton

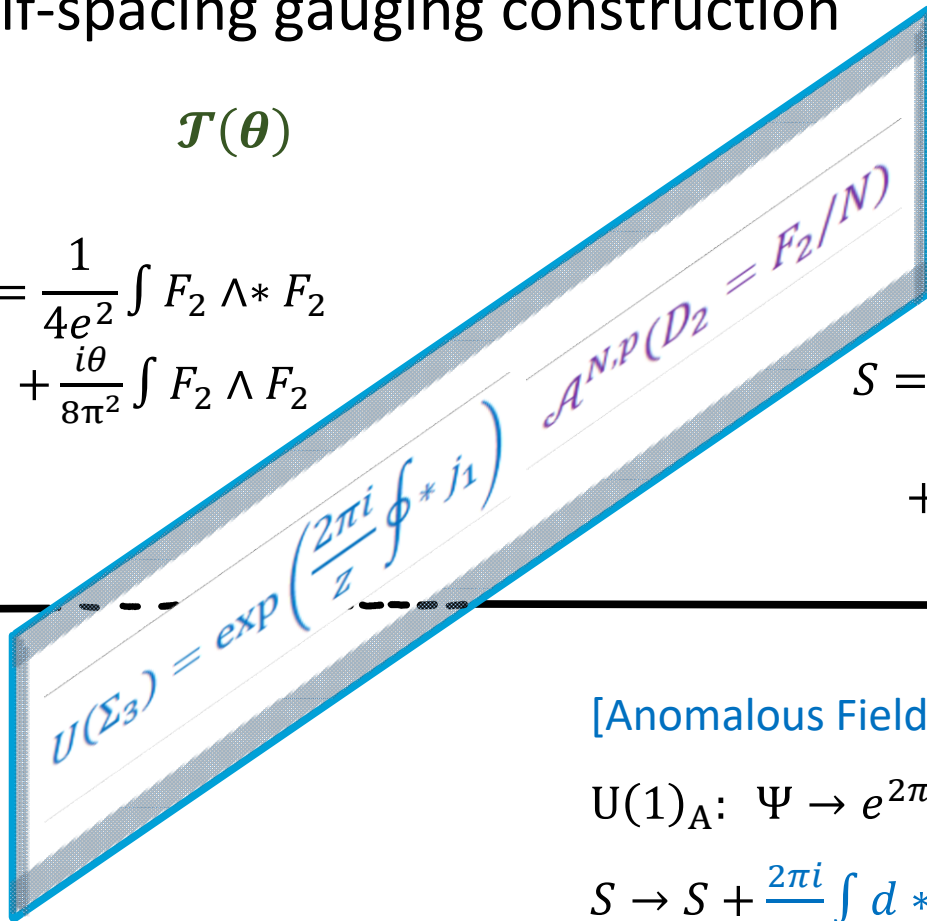
Half-spacing gauging construction

$\mathcal{T}(\theta)$

[Gauge $Z_N^{(1)}(m) \subset U(1)^{(1)}(m)$]

$$S = \frac{1}{4e^2} \int F_2 \wedge * F_2 + \frac{i\theta}{8\pi^2} \int F_2 \wedge F_2$$

$$S = \frac{1}{4e^2} \int F_2 \wedge * F_2 + \frac{i\theta}{8\pi^2} \int F_2 \wedge F_2 + \frac{i}{2\pi} \int B_2 \wedge F_2 + \frac{iN}{2\pi} \int B_2 \wedge dC_1 + \frac{ikN}{4\pi} \int B_2 \wedge B_2$$



[Anomalous Field Redefinition]

$$U(1)_A: \Psi \rightarrow e^{2\pi i/z} \Psi$$

$$S \rightarrow S + \frac{2\pi i}{z} \int d * j_1 + \frac{2\pi i K}{z} \int \frac{F \wedge F}{8\pi^2} \leftrightarrow \left[\mathcal{T}(\theta) \rightarrow \mathcal{T}\left(\theta + \frac{2\pi K}{z}\right) \right]$$

Non-Invertible Symmetry

1. From $U(1)$ Instanton

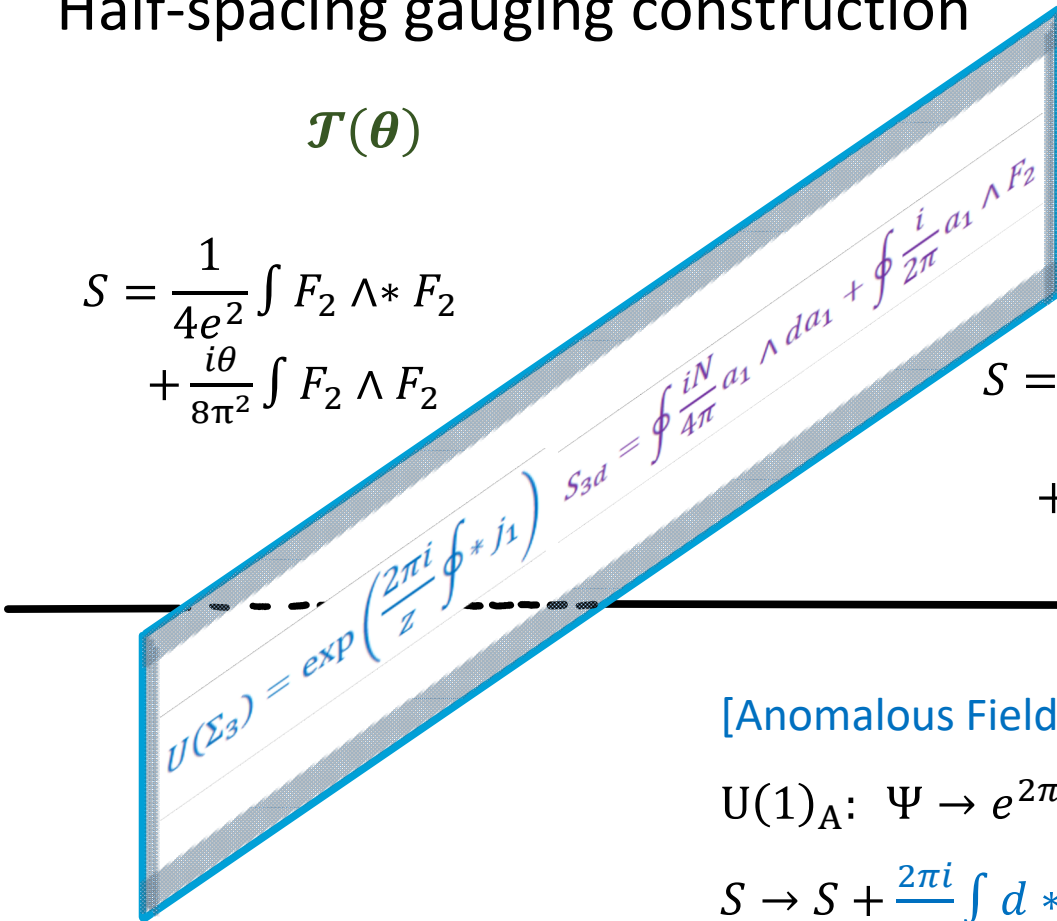
Half-spacing gauging construction

$\mathcal{T}(\theta)$

[Gauge $Z_N^{(1)}(m) \subset U(1)^{(1)}(m)$]

$$S = \frac{1}{4e^2} \int F_2 \wedge * F_2 + \frac{i\theta}{8\pi^2} \int F_2 \wedge F_2$$

$$S = \frac{1}{4e^2} \int F_2 \wedge * F_2 + \frac{i\theta}{8\pi^2} \int F_2 \wedge F_2 + \frac{i}{2\pi} \int B_2 \wedge F_2 + \frac{iN}{2\pi} \int B_2 \wedge dC_1 + \frac{ikN}{4\pi} \int B_2 \wedge B_2$$



[Anomalous Field Redefinition]

$$U(1)_A: \Psi \rightarrow e^{2\pi i/z} \Psi$$

$$S \rightarrow S + \frac{2\pi i}{z} \int d * j_1 + \frac{2\pi i K}{z} \int \frac{F \wedge F}{8\pi^2} \leftrightarrow \left[\mathcal{T}(\theta) \rightarrow \mathcal{T}\left(\theta + \frac{2\pi K}{z}\right) \right]$$

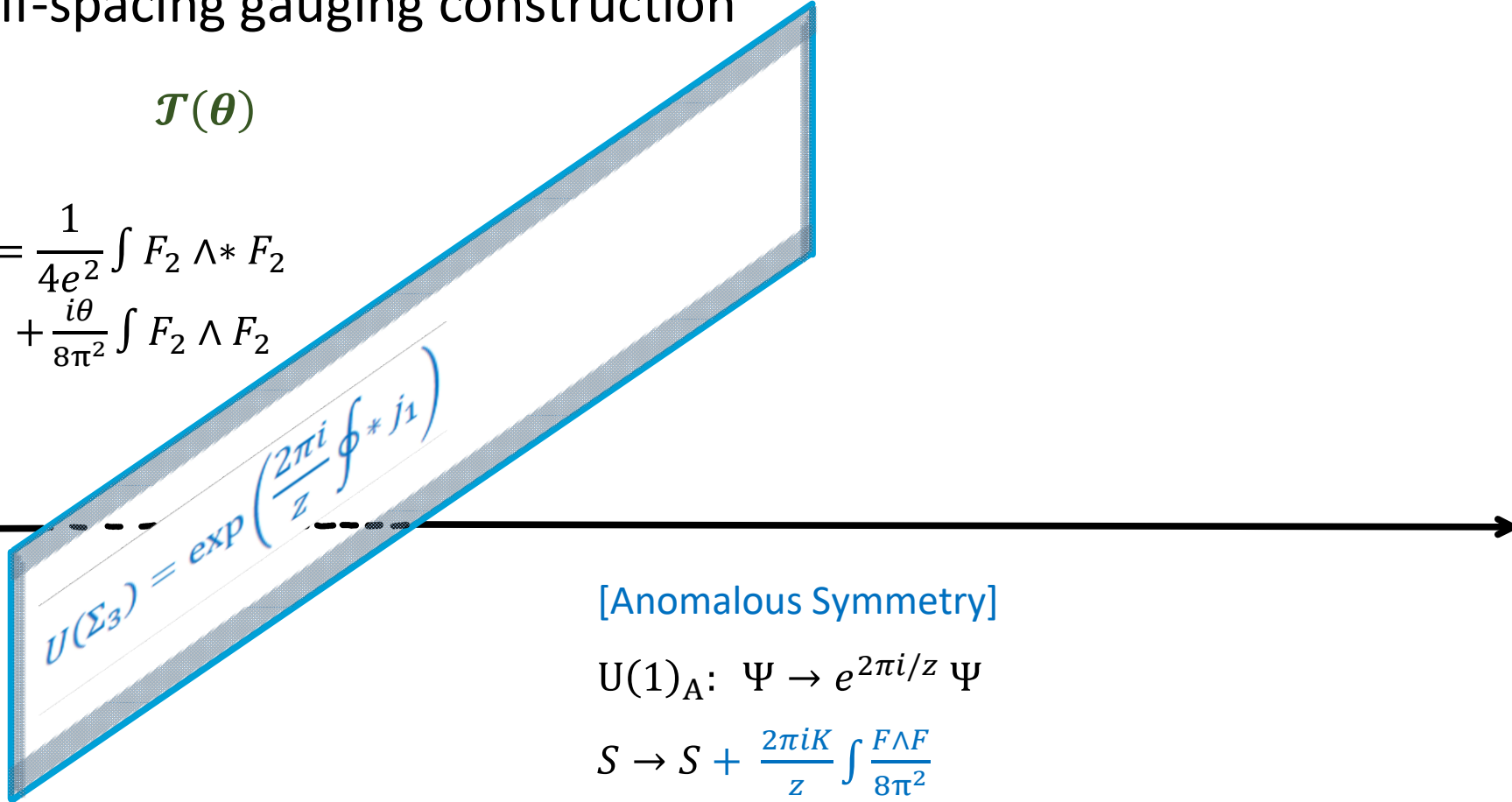
Non-Invertible Symmetry

1. From $U(1)$ Instanton

Half-spacing gauging construction

$\mathcal{T}(\theta)$

$$S = \frac{1}{4e^2} \int F_2 \wedge * F_2 + \frac{i\theta}{8\pi^2} \int F_2 \wedge F_2$$


$$U(\Sigma_3) = \exp\left(\frac{2\pi i}{z} \int \phi * j_1\right)$$

[Anomalous Symmetry]

$$U(1)_A: \Psi \rightarrow e^{2\pi i/z} \Psi$$

$$S \rightarrow S + \frac{2\pi i K}{z} \int \frac{F \wedge F}{8\pi^2}$$

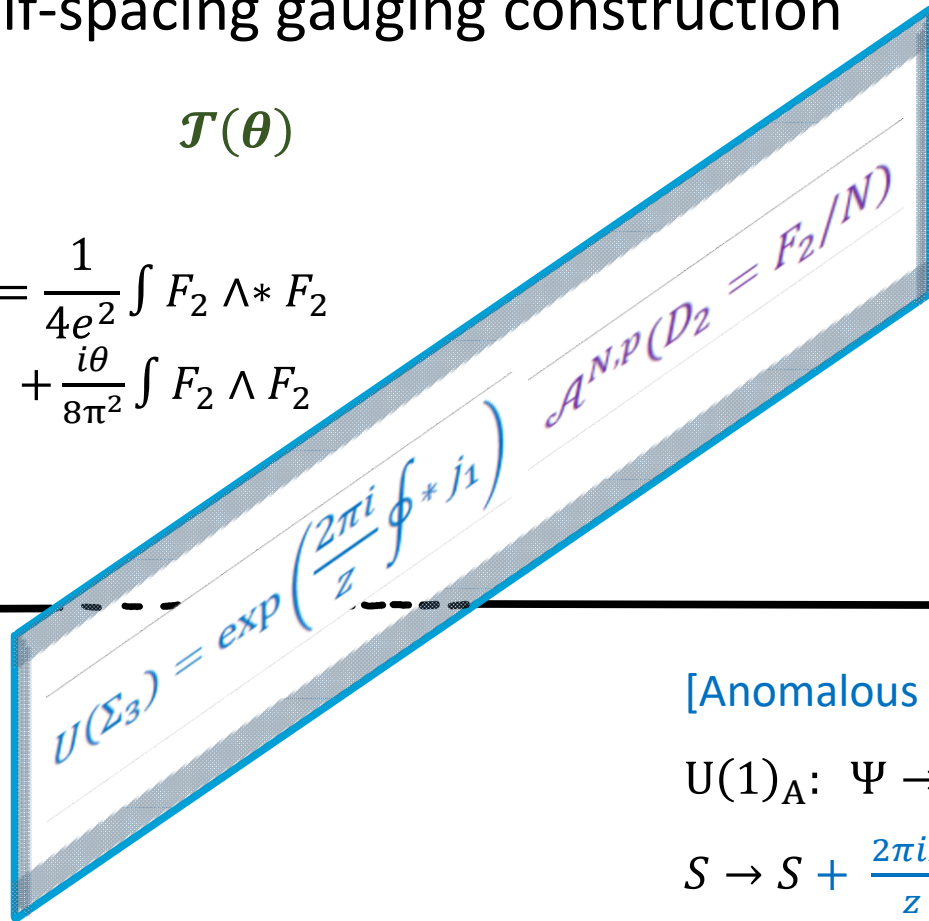
Non-Invertible Symmetry

1. From $U(1)$ Instanton

Half-spacing gauging construction

$\mathcal{I}(\theta)$

$$S = \frac{1}{4e^2} \int F_2 \wedge * F_2 + \frac{i\theta}{8\pi^2} \int F_2 \wedge F_2$$



[Anomalous Symmetry] \times [$\mathcal{A}^{N,p}(F_2/N)$]

$U(1)_A: \Psi \rightarrow e^{2\pi i/z} \Psi$

$$S \rightarrow S + \frac{2\pi i K}{z} \int \frac{F \wedge F}{8\pi^2} - \frac{2\pi i p}{N} \int \frac{F \wedge F}{8\pi^2} \rightarrow S$$

Non-Invertible Symmetry

1. From $U(1)$ Instanton

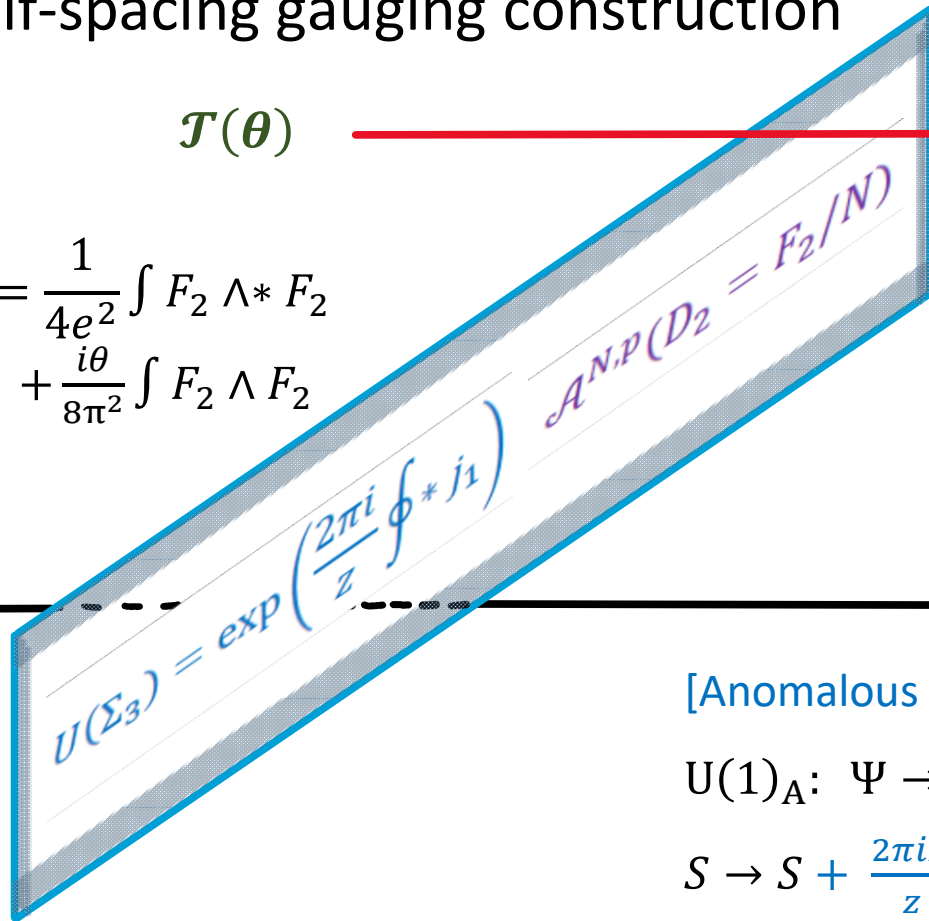
Half-spacing gauging construction

$\mathcal{T}(\theta)$



$\mathcal{T}(\theta)$

$$S = \frac{1}{4e^2} \int F_2 \wedge * F_2 + \frac{i\theta}{8\pi^2} \int F_2 \wedge F_2$$



[Anomalous Symmetry] \times [$\mathcal{A}^{N,p}(F_2/N)$]

$$U(1)_A: \Psi \rightarrow e^{2\pi i/z} \Psi$$

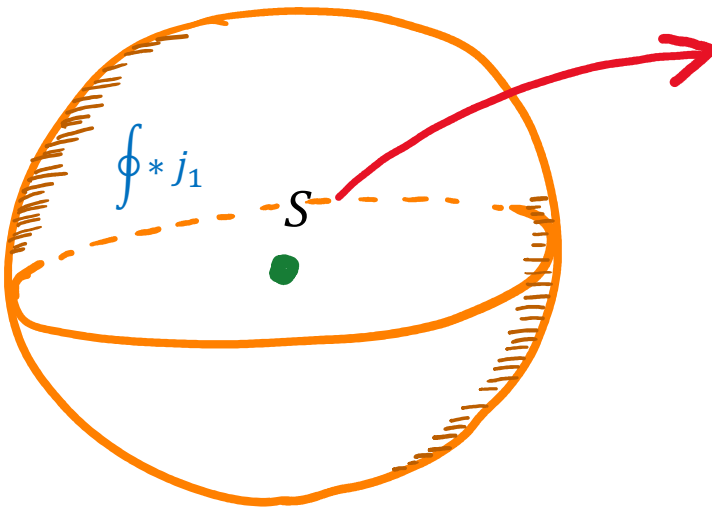
$$S \rightarrow S + \frac{2\pi i K}{z} \int \frac{F \wedge F}{8\pi^2} - \frac{2\pi i p}{N} \int \frac{F \wedge F}{8\pi^2} \rightarrow S$$

Non-Invertible Symmetry

1. From $U(1)$ Instanton

Summary:

$$U(1)^{(0)}_A : \Psi_+ \rightarrow e^{i\alpha} \Psi_+ , \quad \Psi_- \rightarrow e^{i\alpha} \Psi_- \Rightarrow d * j_A = \frac{K}{8\pi^2} F_2 \wedge F_2$$



$$S \rightarrow S + \frac{2\pi i K}{z} \int \frac{F_2 \wedge F_2}{8\pi^2}$$

$$\underbrace{\exp\left(\frac{2\pi i}{z} \oint^* j_1\right)}$$

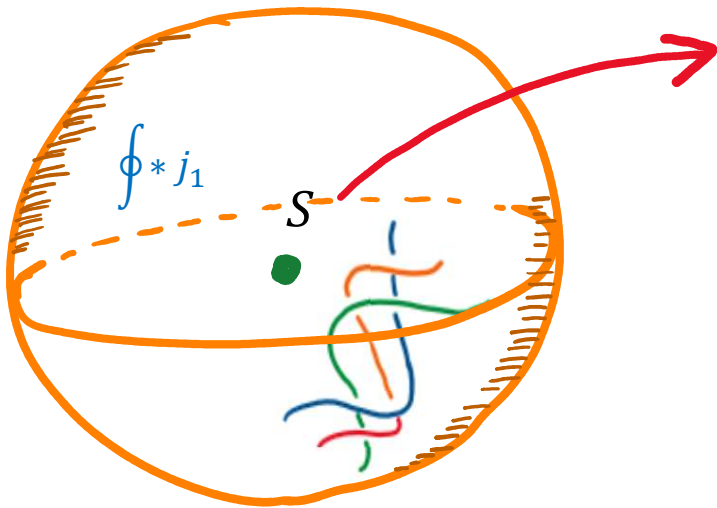
$$U\left(\frac{2\pi}{z}, \Sigma_3\right)$$

Non-Invertible Symmetry

1. From $U(1)$ Instanton

Summary:

$$U(1)^{(0)}_A : \Psi_+ \rightarrow e^{i\alpha} \Psi_+ , \quad \Psi_- \rightarrow e^{i\alpha} \Psi_- \Rightarrow d * j_A = \frac{K}{8\pi^2} F_2 \wedge F_2$$



$$S \rightarrow S + \frac{2\pi i K}{z} \int \frac{F_2 \wedge F_2}{8\pi^2} - \frac{2\pi i p}{N} \int \frac{F_2 \wedge F_2}{8\pi^2} \rightarrow S$$

$$\underbrace{\exp\left(\frac{2\pi i}{z} \oint * j_1\right)}_{U\left(\frac{2\pi}{z}, \Sigma_3\right)} \times \mathcal{A}^{N,p}(F_2/N)$$

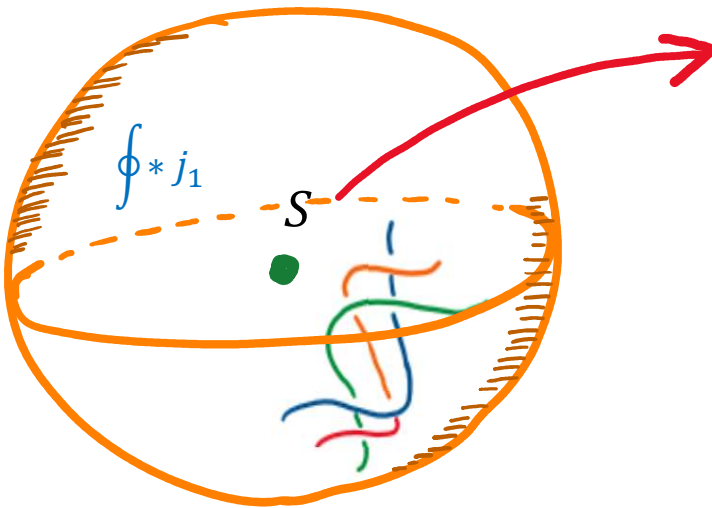
$$U\left(\frac{2\pi}{z}, \Sigma_3\right)$$

Non-Invertible Symmetry

1. From $U(1)$ Instanton

Summary:

$$U(1)^{(0)}_A : \Psi_+ \rightarrow e^{i\alpha} \Psi_+ , \quad \Psi_- \rightarrow e^{i\alpha} \Psi_- \Rightarrow d * j_A = \frac{K}{8\pi^2} F_2 \wedge F_2$$



$$S \rightarrow S + \frac{2\pi i K}{z} \int \frac{F_2 \wedge F_2}{8\pi^2} - \frac{2\pi i p}{N} \int \frac{F_2 \wedge F_2}{8\pi^2} \rightarrow S$$

$$\mathcal{D}_{\frac{2\pi}{z}}(\Sigma_3) = \underbrace{\exp\left(\frac{2\pi i}{z} \oint * j_1\right)}_{U\left(\frac{2\pi}{z}, \Sigma_3\right)} \times \mathcal{A}^{N,p}(F_2/N)$$

$$\mathcal{D}_k(\Sigma_3) \times \bar{\mathcal{D}}_k(\Sigma_3) \sim \sum_S \xi(S) \exp\left(\frac{i}{2\pi N} \int_S F_2\right) \neq 1$$

Non-Invertible Symmetry

2. From Fractional Instanton

e.g. $G = SU(N)$

electric 1-form: Z_N

magnetic 1-form: none

Non-Invertible Symmetry

2. From Fractional Instanton

e.g. $G = SU(N)/Z_L$

electric 1-form: $Z_{N/L}$

magnetic 1-form: Z_L

Non-Invertible Symmetry

2. From Fractional Instanton

e.g. $G = SU(N)/Z_L$

electric 1-form: $Z_{N/L}$

magnetic 1-form: Z_L

$$U(1)_A \text{ with } \alpha = \frac{2\pi}{z}, \quad S \rightarrow S + \frac{2\pi Ki}{z} \int_{M_4} \frac{G \wedge G}{8\pi^2} + \frac{2\pi Ki}{z} \left(\frac{L-1}{L} \right) \int_{M_4} \frac{w_2 \wedge w_2}{2}$$

$\in Z$ $\in Z_L$

Non-Invertible Symmetry

2. From Fractional Instanton

e.g. $G = SU(N)/Z_L$

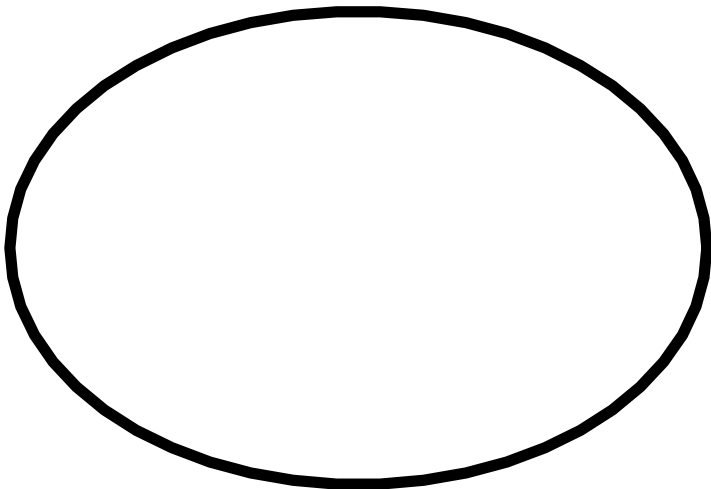
electric 1-form: $Z_{N/L}$

magnetic 1-form: Z_L

$$U(1)_A \text{ with } \alpha = \frac{2\pi}{z}, \quad S \rightarrow S + \frac{2\pi Ki}{z} \int_{M_4} \frac{G \wedge G}{8\pi^2} + \frac{2\pi Ki}{z} \left(\frac{L-1}{L}\right) \int_{M_4} \frac{w_2 \wedge w_2}{2}$$

$\in Z$ $\in Z_L$

Global $U(1)_A$



Non-Invertible Symmetry

2. From Fractional Instanton

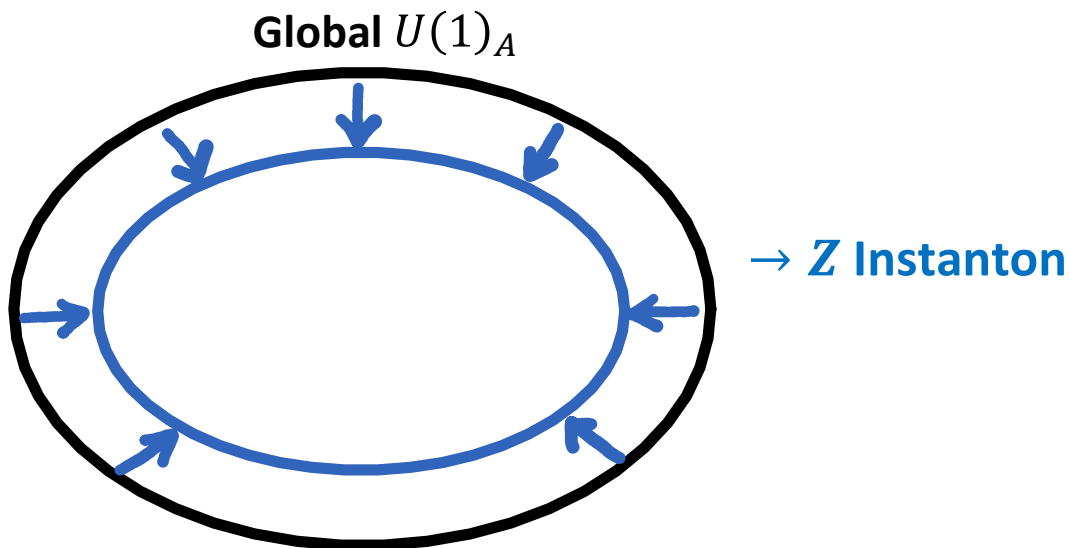
e.g. $G = SU(N)/Z_L$

electric 1-form: $Z_{N/L}$

magnetic 1-form: Z_L

$$U(1)_A \text{ with } \alpha = \frac{2\pi}{z}, \quad S \rightarrow S + \frac{2\pi Ki}{z} \int_{M_4} \frac{G \wedge G}{8\pi^2} + \frac{2\pi Ki}{z} \left(\frac{L-1}{L}\right) \int_{M_4} \frac{w_2 \wedge w_2}{2}$$

$\in Z$ $\in Z_L$



Non-Invertible Symmetry

2. From Fractional Instanton

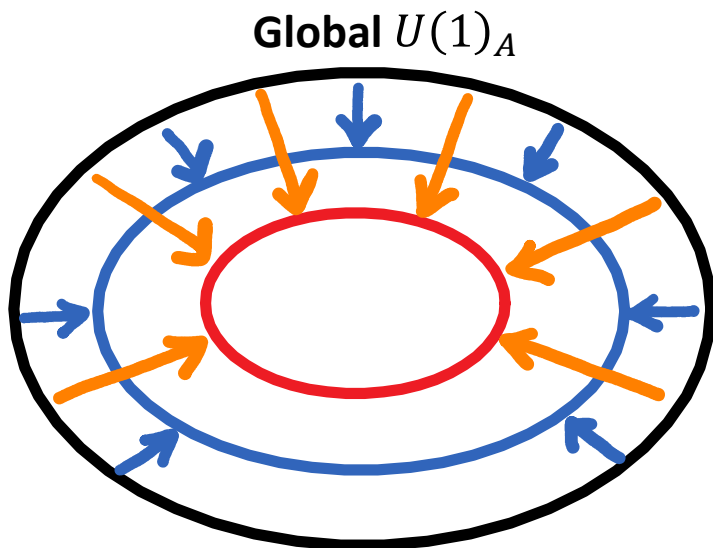
e.g. $G = SU(N)/Z_L$

electric 1-form: $Z_{N/L}$

magnetic 1-form: Z_L

$$U(1)_A \text{ with } \alpha = \frac{2\pi}{z}, \quad S \rightarrow S + \frac{2\pi Ki}{z} \int_{M_4} \frac{G \wedge G}{8\pi^2} + \frac{2\pi Ki}{z} \left(\frac{L-1}{L} \right) \int_{M_4} \frac{w_2 \wedge w_2}{2}$$

$\in Z$ $\in Z_L$



→ Z Instanton

→ Z_L (fractional) Instanton

Non-Invertible Symmetry

2. From Fractional Instanton

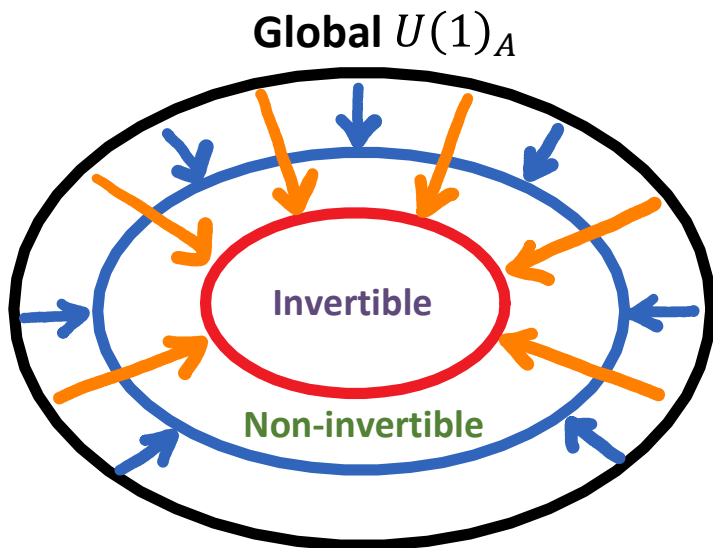
e.g. $G = SU(N)/Z_L$

electric 1-form: $Z_{N/L}$

magnetic 1-form: Z_L

$$U(1)_A \text{ with } \alpha = \frac{2\pi}{z}, \quad S \rightarrow S + \frac{2\pi Ki}{z} \int_{M_4} \frac{G \wedge G}{8\pi^2} + \frac{2\pi Ki}{z} \left(\frac{L-1}{L} \right) \int_{M_4} \frac{w_2 \wedge w_2}{2}$$

$\in Z$ $\in Z_L$



→ Z Instanton

→ Z_L (fractional) Instanton

Non-Invertible Symmetry

2. From Fractional Instanton

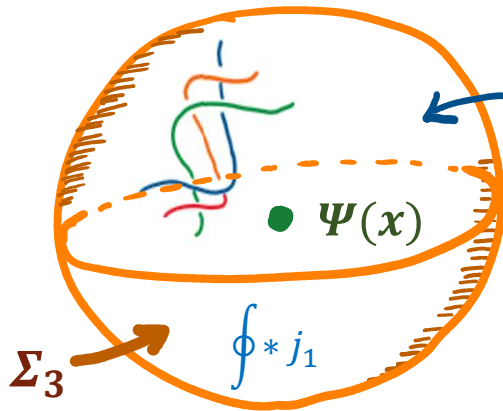
e.g. $G = SU(N)/Z_L$

electric 1-form: $Z_{N/L}$

magnetic 1-form: Z_L

$$U(1)_A \text{ with } \alpha = \frac{2\pi}{z}, \quad S \rightarrow S + \frac{2\pi Ki}{z} \int_{M_4} \frac{G \wedge G}{8\pi^2} + \frac{2\pi Ki}{z} \left(\frac{L-1}{L}\right) \int_{M_4} \frac{w_2 \wedge w_2}{2}$$

$\in Z$ $\in Z_L$



$$S_{3d} = \frac{iN}{4\pi} \int_{\Sigma_3} a_1 \wedge da_2 + \frac{i}{2\pi} \int_{\Sigma_3} a_1 \wedge w_2$$

$$U\left(\frac{2\pi}{z}, \Sigma_3\right) \rightarrow D_z = U\left(\frac{2\pi}{z}, \Sigma_3\right) \times \mathcal{A}^{N,p}(w_2) \text{ with } \frac{p}{N} = \frac{K}{z}$$

Outline

I. Generalized Global Symmetries

I-1. Higher-form symmetry

I-2. Non-invertible symmetry

II. Strong CP Problem-I: IR to UV

II-1. Non-invertible Peccei-Quinn symmetry

II-2. Massless quark solution

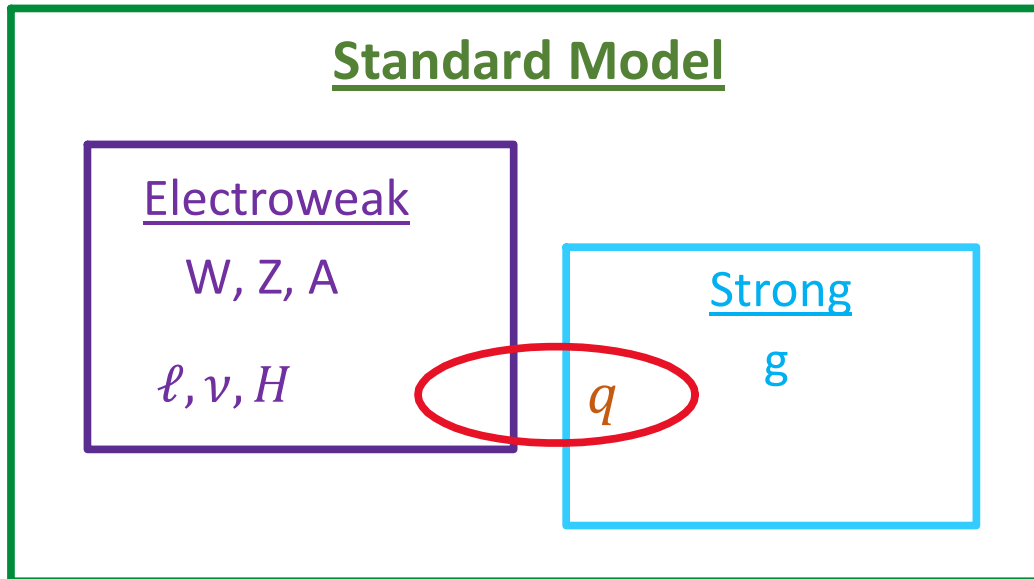
III. Strong CP Problem-II: UV to IR

III-1. $SU(9)$ Color-Flavor unification

III-2. Flavor structure and CKM CPV phase

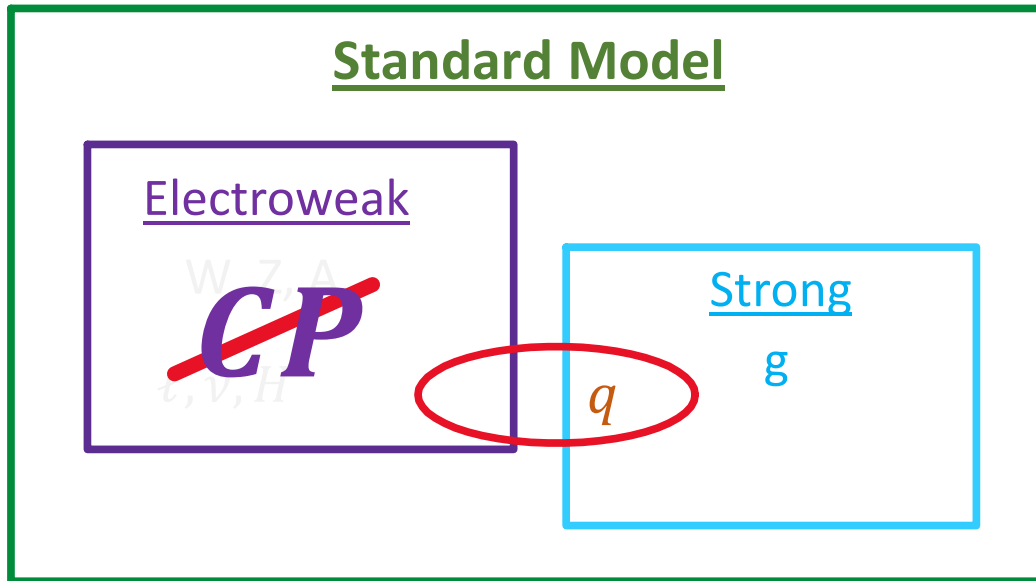
Strong-CP Problem

1. Strong CP Problem



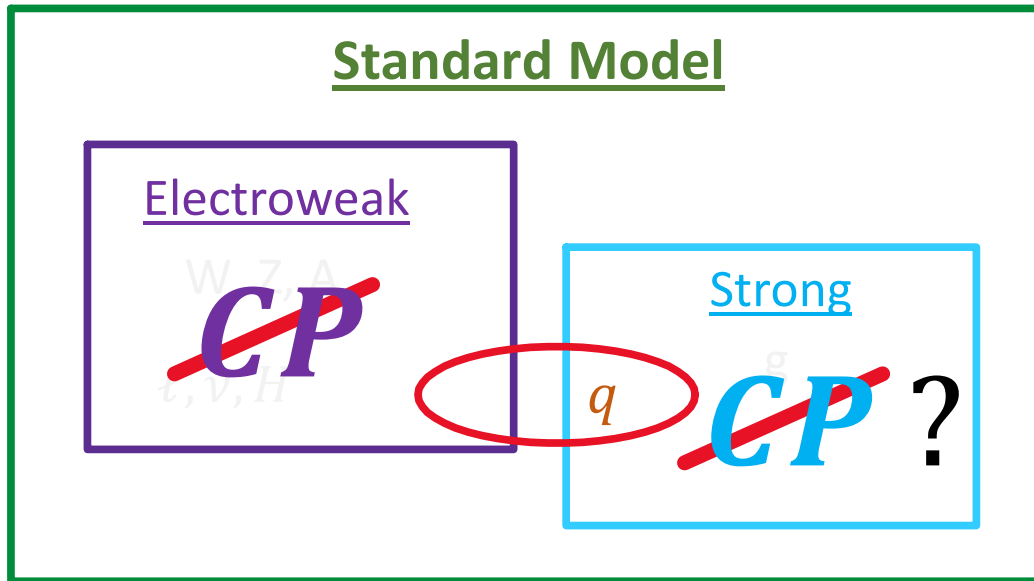
Strong-CP Problem

1. Strong CP Problem



Strong-CP Problem

1. Strong CP Problem



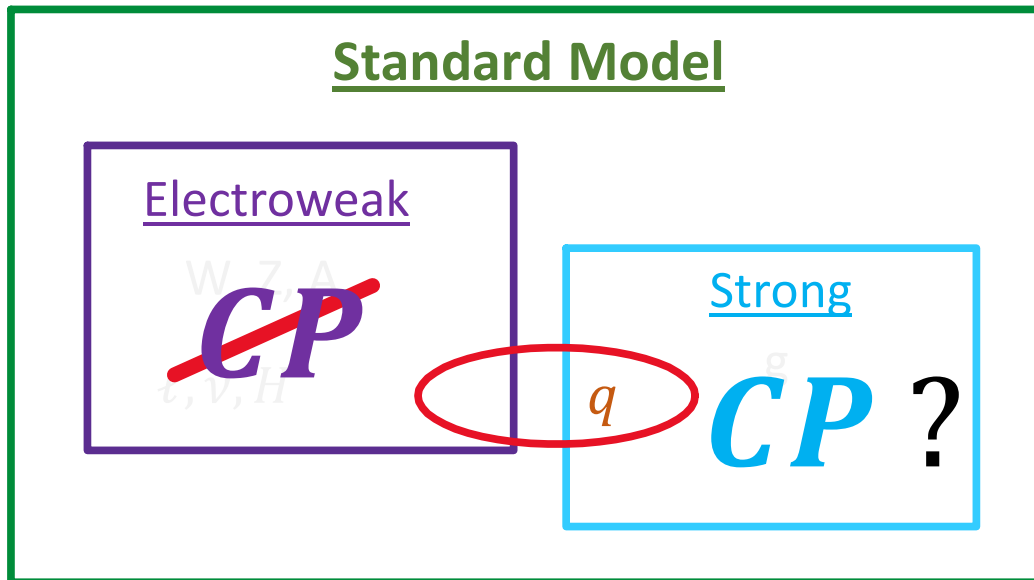
Expectation based on **general rules** of **effective field theory**

$$S_{QCD} \supset \frac{i\bar{\theta}}{8\pi^2} \int \text{Tr}(G \wedge G)$$

$$\bar{\theta} \sim \mathcal{O}(1)$$

Strong-CP Problem

1. Strong CP Problem



Expectation based on general rules of effective field theory

$$S_{QCD} \supset \frac{i\bar{\theta}}{8\pi^2} \int \text{Tr}(G \wedge G)$$

Neutron Electric Dipole Moment

$$d_n \sim 3 \times 10^{16} \bar{\theta} \rightarrow \bar{\theta} < 10^{-10}$$

Strong-CP Problem

2. Non-invertible Peccei-Quinn Symmetry

Conclusion:

We start with $\mathcal{L} \supset y_u \tilde{H} Q \bar{u} + y_e H L \bar{e}$ but $y_d = 0$

So, new symmetries appearing below are approximate symmetries and y_d is the symmetry breaking spurion (parameter).

Strong-CP Problem

2. Non-invertible Peccei-Quinn Symmetry

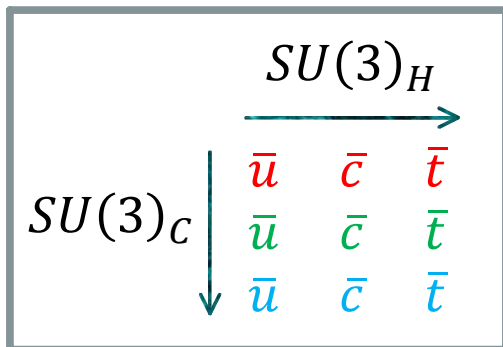
Conclusion:

We start with $\mathcal{L} \supset y_u \tilde{H} Q \bar{u} + y_e H L \bar{e}$ but $\mathbf{y}_d = \mathbf{0}$

So, new symmetries appearing below are approximate symmetries and \mathbf{y}_d is the symmetry breaking spurion (parameter).

(1) $SU(3)_C \times SU(3)_H / Z_3 : \quad Z_3^{\bar{d}} \text{ NIS}$

(2) $SU(3)_C \times U(1)_H / Z_3, H = B_1 + B_2 - 2B_3 : \quad Z_3^{Q - \bar{u} + \bar{d}} \text{ NIS}$



$$B_i \equiv Q_i - \bar{u}_i - \bar{d}_i$$

Strong-CP Problem

2. Non-invertible Peccei-Quinn Symmetry

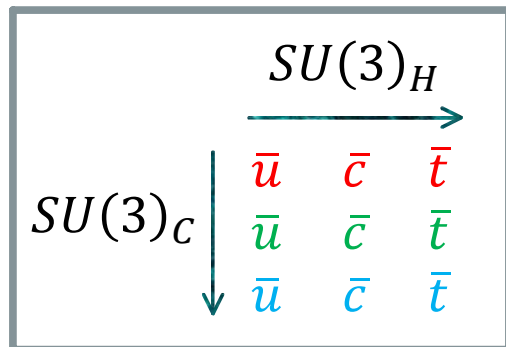
Conclusion:

We start with $\mathcal{L} \supset y_u \tilde{H} Q \bar{u} + y_e H L \bar{e}$ but $y_d = 0$

So, new symmetries appearing below are approximate symmetries and y_d is the symmetry breaking spurion (parameter).

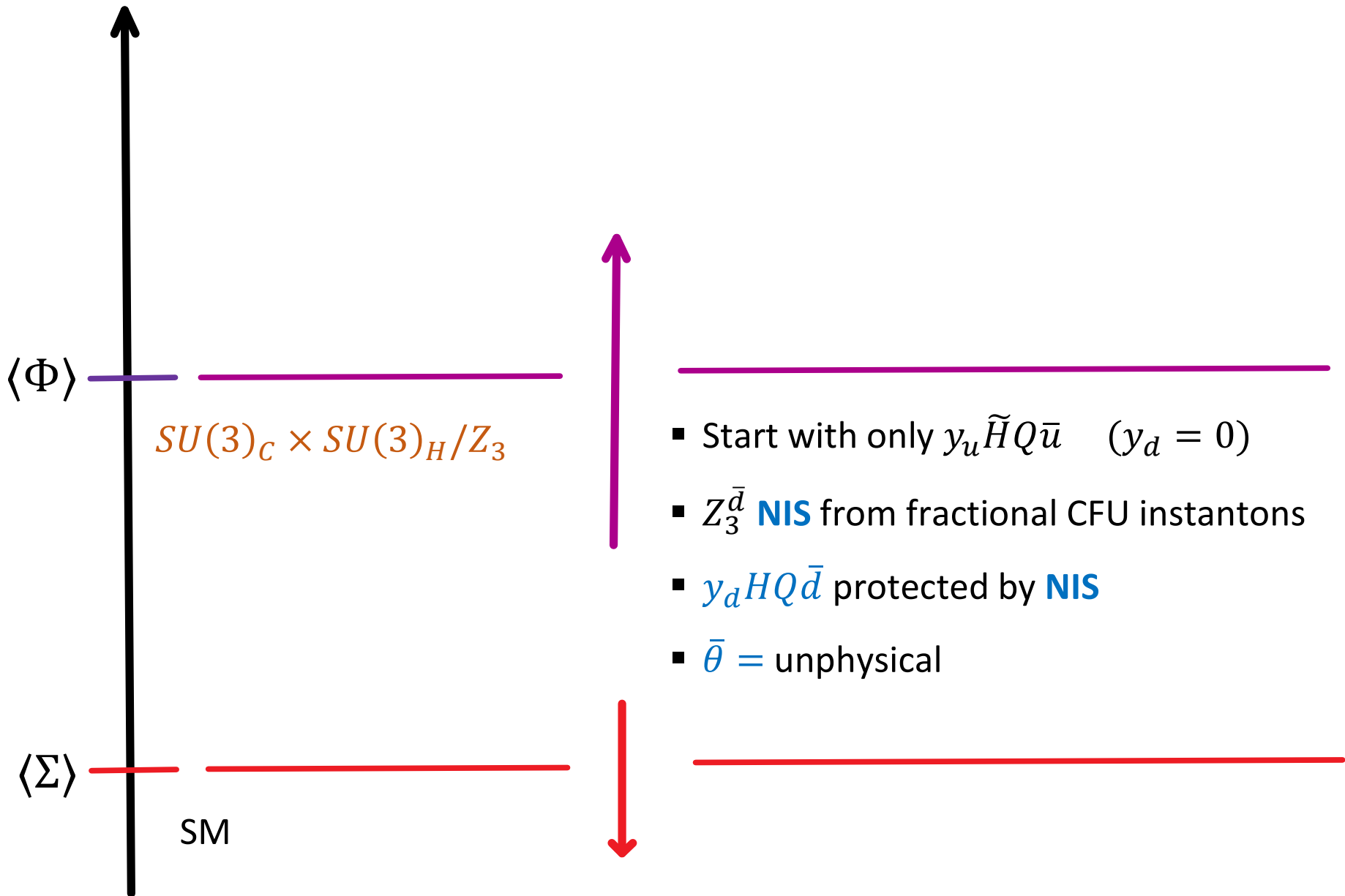
(1) $SU(3)_C \times SU(3)_H / Z_3 : \quad Z_3^{\bar{d}}$ NIS

(2) $SU(3)_C \times U(1)_H / Z_3, H = B_1 + B_2 - 2B_3 : \quad Z_3^{Q-\bar{u}+\bar{d}}$ NIS

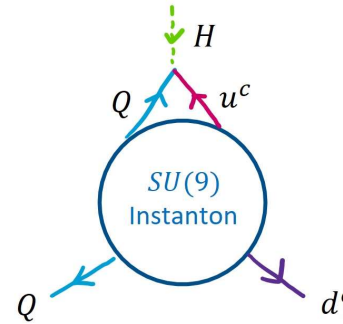
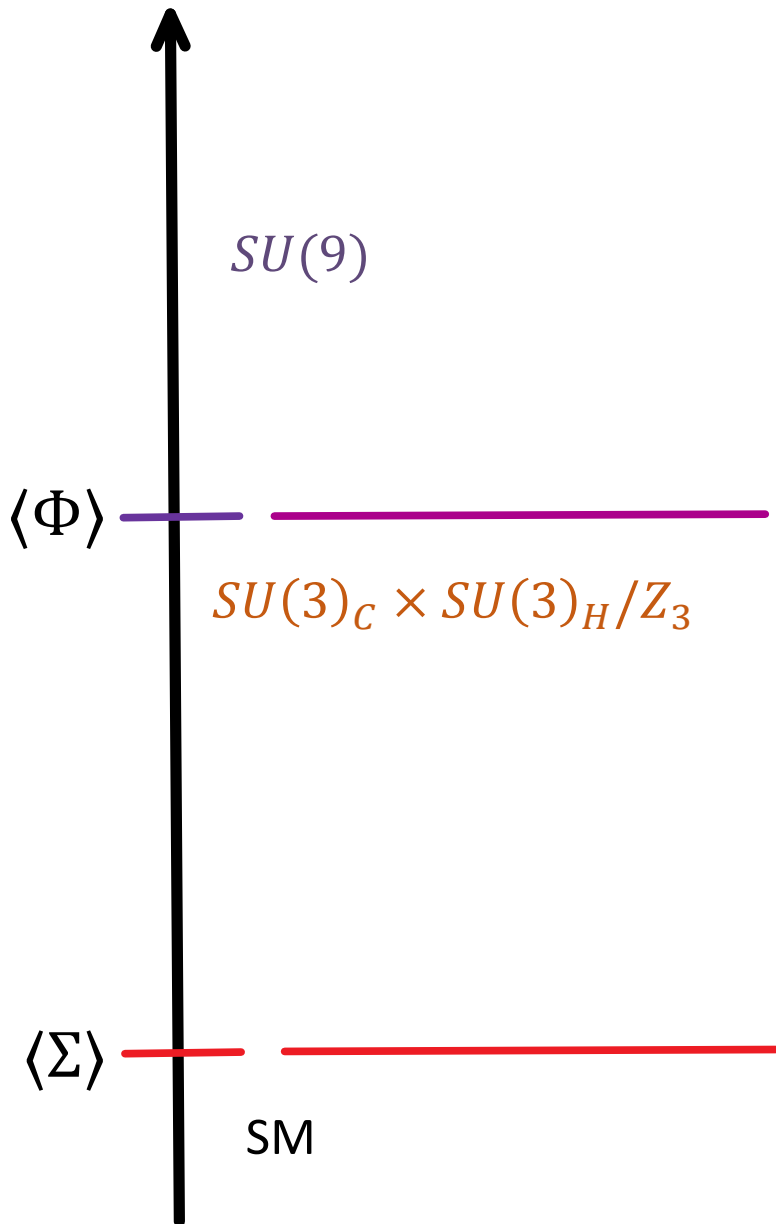


$$B_i \equiv Q_i - \bar{u}_i - \bar{d}_i$$

Solving Strong CP with Non-Invertible Symmetry



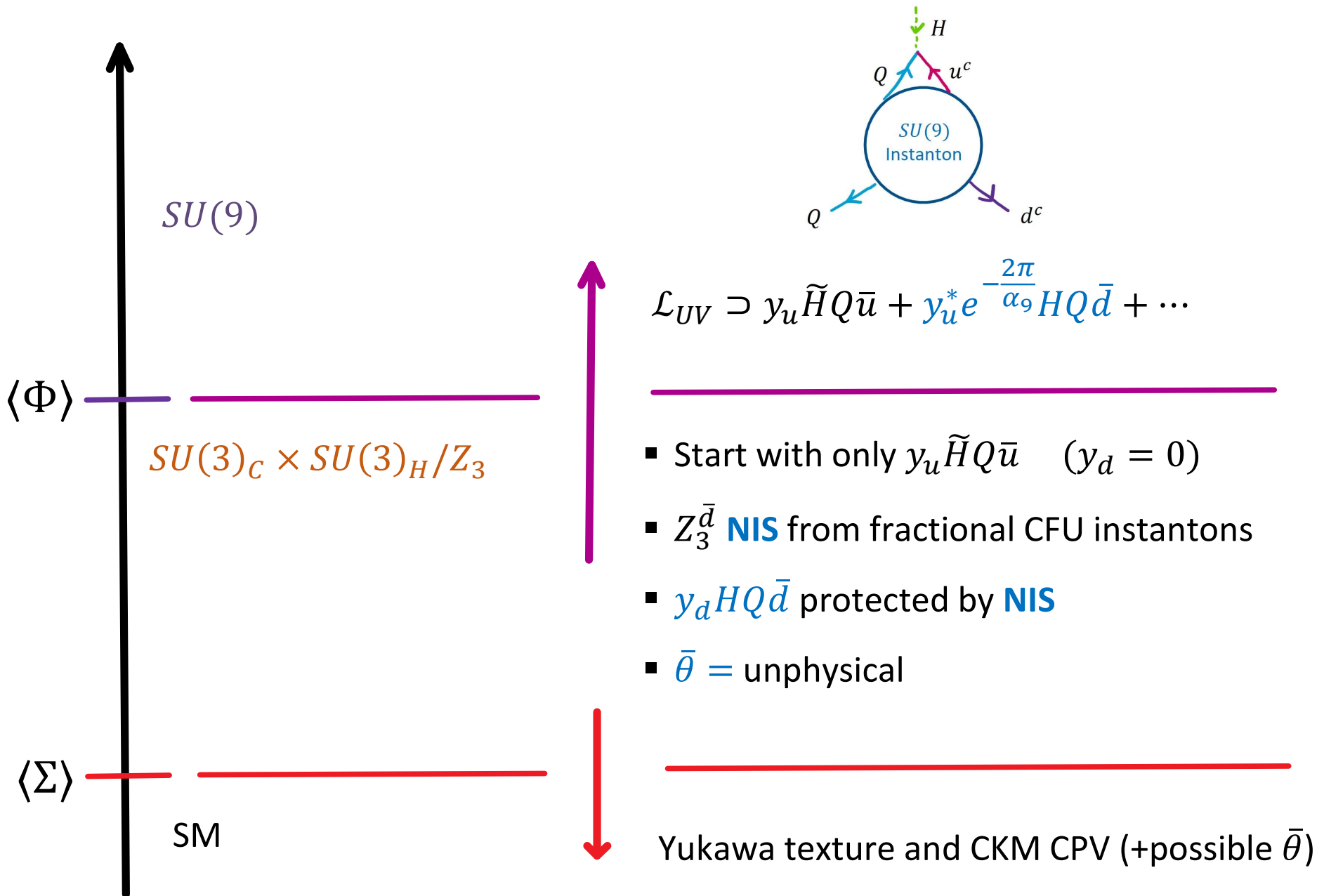
Solving Strong CP with Non-Invertible Symmetry



$$\mathcal{L}_{UV} \supset y_u \tilde{H} Q \bar{u} + y_u^* e^{-\frac{2\pi}{\alpha_9} H Q \bar{d}} + \dots$$

- Start with only $y_u \tilde{H} Q \bar{u}$ ($y_d = 0$)
- $Z_3^{\bar{d}}$ **NIS** from fractional CFU instantons
- $y_d H Q \bar{d}$ protected by **NIS**
- $\bar{\theta} = \text{unphysical}$

Solving Strong CP with Non-Invertible Symmetry



Strong-CP Problem

2. Non-invertible Peccei-Quinn Symmetry

(1) $SU(3)_C \times SU(3)_H / Z_3 : \mathbf{Z}_3^{\bar{d}}$ NIS

	$SU(3)_C$	$SU(3)_H$	$U(1)_B$	$U(1)_{\bar{d}}$
Q	3	3	+1	0
\bar{u}	$\bar{3}$	$\bar{3}$	-1	0
\bar{d}	$\bar{3}$	$\bar{3}$	-1	+1

$$\mathcal{L} \sim y_u \tilde{H} Q^i \bar{u}_i \quad (\text{flavor-diagonal/universal})$$

$$y_u = 1 \times 1 \quad \text{number}$$

Strong-CP Problem

2. Non-invertible Peccei-Quinn Symmetry

(1) $SU(3)_C \times SU(3)_H / Z_3$: $Z_3^{\bar{d}}$ NIS

	$SU(3)_C$	$SU(3)_H$	$U(1)_B$	$U(1)_{\bar{d}}$
Q	3	3	+1	0
\bar{u}	$\bar{3}$	$\bar{3}$	-1	0
\bar{d}	$\bar{3}$	$\bar{3}$	-1	+1

$$\mathcal{L} \sim y_u \tilde{H} Q^i \bar{u}_i \quad (\text{flavor-diagonal/universal})$$

$$y_u = 1 \times 1 \quad \text{number}$$

CFU Fractional Instanton (CFU=Color-(non-abelian)Flavor-U(1))

Quotient by Z_3 : (i) $[Z_3 \in SU(3)_C] \equiv [Z_3 \in SU(3)_H]$

(ii) Under "diagonal" Z_3 entire fields are neutral, more magnetic states

(iii) $\exists Z_3$ magnetic 1-form: $\oint w_2(C) = \oint w_2(H) = 0,1,2 \pmod{3}$

(iv) CFU instanton: $\mathcal{N}_C = \frac{1}{3} \int w_2(C) \wedge w_2(C)$, $\mathcal{N}_H = \frac{1}{3} \int w_2(H) \wedge w_2(H)$

Strong-CP Problem

2. Non-invertible Peccei-Quinn Symmetry

(1) $SU(3)_C \times SU(3)_H / Z_3$: $Z_3^{\bar{d}}$ NIS

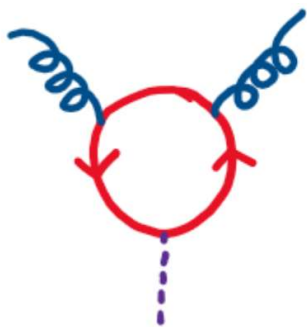
	$SU(3)_C$	$SU(3)_H$	$U(1)_B$	$U(1)_{\bar{d}}$
Q	3	3	+1	0
\bar{u}	$\bar{3}$	$\bar{3}$	-1	0
\bar{d}	$\bar{3}$	$\bar{3}$	-1	+1

$$\mathcal{L} \sim y_u \tilde{H} Q^i \bar{u}_i \quad (\text{flavor-diagonal/universal})$$

$$y_u = 1 \times 1 \quad \text{number}$$

CFU Fractional Instanton (CFU=Color-(non-abelian)Flavor-U(1))

Quotient by Z_3 : (i) $[Z_3 \in SU(3)_C] \equiv [Z_3 \in SU(3)_H]$



(ii) Under "diagonal" Z_3 entire fields are neutral, more magnetic states

(iii) $\exists Z_3$ magnetic 1-form: $\oint w_2(C) = \oint w_2(H) = 0, 1, 2 \pmod{3}$

(iv) CFU instanton: $\mathcal{N}_C = \frac{1}{3} \int w_2(C) \wedge w_2(C)$, $\mathcal{N}_H = \frac{1}{3} \int w_2(H) \wedge w_2(H)$

$$\mathcal{A}_f = \sum_{\Psi_i} q_i I_{\Psi_i} = 3(\mathcal{N}_C + \mathcal{N}_H)(2q_Q + q_{\bar{d}} + q_{\bar{u}})$$

Strong-CP Problem

2. Non-invertible Peccei-Quinn Symmetry

(1) $SU(3)_C \times SU(3)_H / Z_3 : \mathbf{Z}_3^{\bar{d}}$ NIS

	$SU(3)_C$	$SU(3)_H$	$U(1)_B$	$U(1)_{\bar{d}}$
Q	3	3	+1	0
\bar{u}	$\bar{3}$	$\bar{3}$	-1	0
\bar{d}	$\bar{3}$	$\bar{3}$	-1	+1

$$\mathcal{L} \sim y_u \tilde{H} Q^i \bar{u}_i \quad (\text{flavor-diagonal/universal})$$

$$y_u = 1 \times 1 \quad \text{number}$$

	$U(1)_B$	$U(1)_{\bar{d}}$
$[SU(3)_C]^2$	0	N_g
$[SU(2)_L]^2$	$N_c N_g$	0
$[U(1)_Y]^2$	$-18 N_c N_g$	$4 N_c N_g$
$[SU(3)_H]^2$	0	N_c
$[CH]$	0	2

Strong-CP Problem

2. Non-invertible Peccei-Quinn Symmetry

(1) $SU(3)_C \times SU(3)_H / Z_3$: $Z_3^{\bar{d}}$ NIS

	$SU(3)_C$	$SU(3)_H$	$U(1)_B$	$U(1)_{\bar{d}}$
Q	3	3	+1	0
\bar{u}	$\bar{3}$	$\bar{3}$	-1	0
\bar{d}	$\bar{3}$	$\bar{3}$	-1	+1

$$\mathcal{L} \sim y_u \tilde{H} Q^i \bar{u}_i \quad (\text{flavor-diagonal/universal})$$

$$y_u = 1 \times 1 \quad \text{number}$$

	$U(1)_B$	$U(1)_{\bar{d}}$
$[SU(3)_C]^2$	0	N_g
$[SU(2)_L]^2$	$N_c N_g$	0
$[U(1)_Y]^2$	$-18 N_c N_g$	$4 N_c N_g$
$[SU(3)_H]^2$	0	N_c
$[CH]$	0	2

Symmetry

(i) Without including $[CH]$ instanton:

$$\frac{U(1)_B}{Z_3} \times U(1)_{\bar{d}} \rightarrow Z_3^B \times Z_3^{\bar{d}}$$

non-abelian instantons dominant \rightarrow No NIS

Strong-CP Problem

2. Non-invertible Peccei-Quinn Symmetry

(1) $SU(3)_C \times SU(3)_H / Z_3$: $Z_3^{\bar{d}}$ NIS

	$SU(3)_C$	$SU(3)_H$	$U(1)_B$	$U(1)_{\bar{d}}$
Q	3	3	+1	0
\bar{u}	$\bar{3}$	$\bar{3}$	-1	0
\bar{d}	$\bar{3}$	$\bar{3}$	-1	+1

$$\mathcal{L} \sim y_u \tilde{H} Q^i \bar{u}_i \quad (\text{flavor-diagonal/universal})$$

$$y_u = 1 \times 1 \quad \text{number}$$

	$U(1)_B$	$U(1)_{\bar{d}}$
$[SU(3)_C]^2$	0	N_g
$[SU(2)_L]^2$	$N_c N_g$	0
$[U(1)_Y]^2$	$-18 N_c N_g$	$4 N_c N_g$
$[SU(3)_H]^2$	0	N_c
$[CH]$	0	2

Symmetry

(i) Without including $[CH]$ instanton:

$$\frac{U(1)_B}{Z_3} \times U(1)_{\bar{d}} \rightarrow Z_3^B \times Z_3^{\bar{d}}$$

non-abelian instantons dominant \rightarrow No NIS

(ii) With $[CH]$ instanton:

$$Z_3^B \times Z_3^{\bar{d}} \rightarrow Z_3^B \times \emptyset$$

Strong-CP Problem

2. Non-invertible Peccei-Quinn Symmetry

(1) $SU(3)_C \times SU(3)_H / Z_3$: $Z_3^{\bar{d}}$ NIS

	$SU(3)_C$	$SU(3)_H$	$U(1)_B$	$U(1)_{\bar{d}}$
Q	3	3	+1	0
\bar{u}	$\bar{3}$	$\bar{3}$	-1	0
\bar{d}	$\bar{3}$	$\bar{3}$	-1	+1

$$\mathcal{L} \sim y_u \tilde{H} Q^i \bar{u}_i \quad (\text{flavor-diagonal/universal})$$

$$y_u = 1 \times 1 \quad \text{number}$$

	$U(1)_B$	$U(1)_{\bar{d}}$
$[SU(3)_C]^2$	0	N_g
$[SU(2)_L]^2$	$N_c N_g$	0
$[U(1)_Y]^2$	$-18 N_c N_g$	$4 N_c N_g$
$[SU(3)_H]^2$	0	N_c
$[CH]$	0	2

Symmetry

(i) Without including $[CH]$ instanton:

$$\frac{U(1)_B}{Z_3} \times U(1)_{\bar{d}} \rightarrow Z_3^B \times Z_3^{\bar{d}}$$

non-abelian instantons dominant \rightarrow No NIS

(ii) With $[CH]$ instanton:

$$Z_3^B \times Z_3^{\bar{d}} \rightarrow Z_3^B \times \emptyset$$

Strong-CP Problem

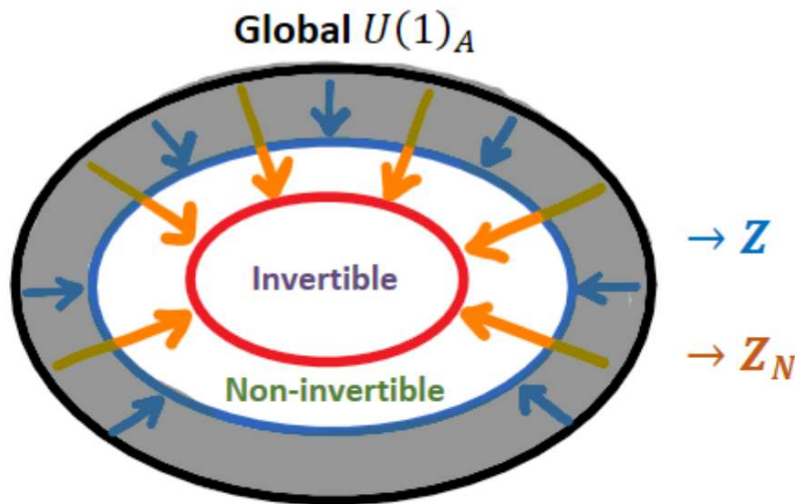
2. Non-invertible Peccei-Quinn Symmetry

(1) $SU(3)_C \times SU(3)_H / Z_3 : Z_3^{\bar{d}}$ NIS

	$SU(3)_C$	$SU(3)_H$	$U(1)_B$	$U(1)_{\bar{d}}$
Q	3	3	+1	0
\bar{u}	$\bar{3}$	$\bar{3}$	-1	0
\bar{d}	$\bar{3}$	$\bar{3}$	-1	+1

$$\mathcal{L} \sim y_u \tilde{H} Q^i \bar{u}_i \quad (\text{flavor-diagonal/universal})$$

$$y_u = 1 \times 1 \quad \text{number}$$



Symmetry

(i) Without including $[CH]$ instanton:

$$\frac{U(1)_B}{Z_3} \times U(1)_{\bar{d}} \rightarrow Z_3^B \times Z_3^{\bar{d}}$$

non-abelian instantons dominant \rightarrow No NIS

(ii) With $[CH]$ instanton:

$$Z_3^B \times Z_3^{\bar{d}} \rightarrow Z_3^B \times \emptyset \Rightarrow Z_3^{\bar{d}} \text{ NIPQ Symmetry}$$

Outline

I. Generalized Global Symmetries

I-1. Higher-form symmetry

I-2. Non-invertible symmetry

II. Strong CP Problem-I: IR to UV

II-1. Non-invertible Peccei-Quinn symmetry

II-2. Massless quark solution

III. Strong CP Problem-II: UV to IR

III-1. $SU(9)$ Color-Flavor unification

III-2. Flavor structure and CKM CPV phase

Strong-CP Problem

3. Massless Quark Solution to the Strong CP Problem

(1) $SU(3)_C \times SU(3)_H / Z_3$: $Z_3^{\bar{d}}$ NIS

$\mathcal{L} \sim y_d H Q \bar{d}$ term is **forbidden** by $Z_3^{\bar{d}}$ **non-invertible** Peccei-Quinn symmetry

Down quarks (d, s, b) are massless if $Z_3^{\bar{d}}$ is exact.

($y_d =$ non-invertible $Z_3^{\bar{d}}$ breaking spurion (parameter))

Strong-CP Problem

3. Massless Quark Solution to the Strong CP Problem

(1) $SU(3)_C \times SU(3)_H/Z_3$: $Z_3^{\bar{d}}$ NIS

$\mathcal{L} \sim y_d H Q \bar{d}$ term is **forbidden** by $Z_3^{\bar{d}}$ **non-invertible** Peccei-Quinn symmetry

Down quarks (d, s, b) are massless if $Z_3^{\bar{d}}$ is exact.

($y_d =$ non-invertible $Z_3^{\bar{d}}$ breaking spurion (parameter))

Massless Quark Solution:

$$\begin{aligned} 1. \quad \mathcal{L}_{SM} &\supset y_u \tilde{H} Q \bar{u} + y_d H Q \bar{d} + \frac{\theta}{32\pi^2} G \tilde{G} \\ &= m_u e^{i\varphi_u} u \bar{u} + m_d e^{i\varphi_d} d \bar{d} + \frac{\theta}{32\pi^2} G \tilde{G} \end{aligned}$$

$$u \rightarrow e^{i\alpha} u, \quad \varphi_u \rightarrow \varphi_u + \alpha, \quad \theta \rightarrow \theta - \alpha$$

$$d \rightarrow e^{i\alpha} d, \quad \varphi_d \rightarrow \varphi_d + \alpha, \quad \theta \rightarrow \theta - \alpha$$

Strong-CP Problem

3. Massless Quark Solution to the Strong CP Problem

(1) $SU(3)_C \times SU(3)_H/Z_3$: $Z_3^{\bar{d}}$ NIS

$\mathcal{L} \sim y_d H Q \bar{d}$ term is **forbidden** by $Z_3^{\bar{d}}$ **non-invertible** Peccei-Quinn symmetry

Down quarks (d, s, b) are massless if $Z_3^{\bar{d}}$ is exact.

($y_d =$ non-invertible $Z_3^{\bar{d}}$ breaking spurion (parameter))

Massless Quark Solution:

$$\begin{aligned} 1. \quad \mathcal{L}_{SM} &\supset y_u \tilde{H} Q \bar{u} + y_d H Q \bar{d} + \frac{\theta}{32\pi^2} G \tilde{G} \\ &= m_u e^{i\varphi_u} u \bar{u} + m_d e^{i\varphi_d} d \bar{d} + \frac{\theta}{32\pi^2} G \tilde{G} \end{aligned}$$

$$u \rightarrow e^{i\alpha} u, \quad \varphi_u \rightarrow \varphi_u + \alpha, \quad \theta \rightarrow \theta - \alpha$$

$$d \rightarrow e^{i\alpha} d, \quad \varphi_d \rightarrow \varphi_d + \alpha, \quad \theta \rightarrow \theta - \alpha$$

$$\bar{\theta} \equiv \arg e^{-i\theta} \det(y_u y_d)$$

Neutron electric dipole moment $d_n \sim 3 \times 10^{16} \bar{\theta} \rightarrow \bar{\theta} < 10^{-10}$

Strong-CP Problem

3. Massless Quark Solution to the Strong CP Problem

(1) $SU(3)_C \times SU(3)_H/Z_3$: $Z_3^{\bar{d}}$ NIS

$\mathcal{L} \sim y_d H Q \bar{d}$ term is **forbidden** by $Z_3^{\bar{d}}$ **non-invertible** Peccei-Quinn symmetry

Down quarks (d, s, b) are massless if $Z_3^{\bar{d}}$ is exact.

($y_d =$ non-invertible $Z_3^{\bar{d}}$ breaking spurion (parameter))

Massless Quark Solution:

2. In the presence of **massless** chiral fermion, e.g. , " $\bar{\theta}$ is unphysical"

$$\mathcal{L}_{SM} \supset y_u \tilde{H} Q \bar{u} + \frac{\theta}{32\pi^2} G \tilde{G} = m_u e^{i\varphi_u} u \bar{u} + \frac{\theta}{32\pi^2} G \tilde{G}$$

Field redefinition: $d \rightarrow e^{i\alpha} d \Rightarrow \delta S = \frac{i}{8\pi^2} (\bar{\theta} - \alpha) \int \text{tr}(G_2 \wedge G_2)$

Strong-CP Problem

3. Massless Quark Solution to the Strong CP Problem

(1) $SU(3)_C \times SU(3)_H/Z_3$: $Z_3^{\bar{d}}$ NIS

$\mathcal{L} \sim y_d H Q \bar{d}$ term is **forbidden** by $Z_3^{\bar{d}}$ **non-invertible** Peccei-Quinn symmetry

Down quarks (d, s, b) are massless if $Z_3^{\bar{d}}$ is exact.

($y_d =$ non-invertible $Z_3^{\bar{d}}$ breaking spurion (parameter))

Massless Quark Solution:

2. In the presence of **massless** chiral fermion, e.g. , " $\bar{\theta}$ is unphysical"

$$\mathcal{L}_{SM} \supset y_u \tilde{H} Q \bar{u} + \frac{\theta}{32\pi^2} G \tilde{G} = m_u e^{i\varphi_u} u \bar{u} + \frac{\theta}{32\pi^2} G \tilde{G}$$

$$\text{Field redefinition: } d \rightarrow e^{i\alpha} d \Rightarrow \delta S = \frac{i}{8\pi^2} (\bar{\theta} - \alpha) \int \text{tr}(G_2 \wedge G_2)$$

Note: $M \equiv e^{-i\theta} \det(y_u y_d) \in \mathbb{C}$ and $CP: \text{Im}(M) \rightarrow -\text{Im}(M)$

M behaves smoothly as $|M| \rightarrow 0$

CP-invariance $\leftrightarrow M \in \mathbb{R}_+$

Strong-CP Problem

3. Massless Quark Solution to the Strong CP Problem

(1) $SU(3)_C \times SU(3)_H / Z_3 : Z_3^{\bar{d}}$ NIS

$\mathcal{L} \sim y_d H Q \bar{d}$ term is **forbidden** by $Z_3^{\bar{d}}$ **non-invertible** Peccei-Quinn symmetry

Down quarks (d, s, b) are massless if $Z_3^{\bar{d}}$ is exact.

($y_d =$ non-invertible $Z_3^{\bar{d}}$ breaking spurion (parameter))

Massless Quark Solution:

2. In the presence of **massless** chiral fermion, e.g. , " $\bar{\theta}$ is unphysical"

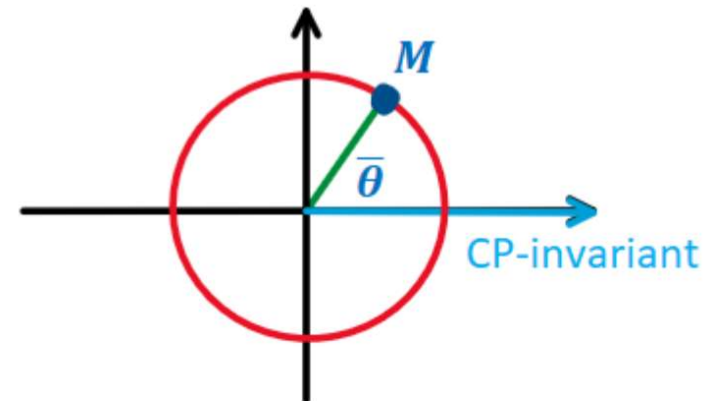
$$\mathcal{L}_{SM} \supset y_u \tilde{H} Q \bar{u} + \frac{\theta}{32\pi^2} G \tilde{G} = m_u e^{i\varphi_u} u \bar{u} + \frac{\theta}{32\pi^2} G \tilde{G}$$

$$\text{Field redefinition: } d \rightarrow e^{i\alpha} d \Rightarrow \delta S = \frac{i}{8\pi^2} (\bar{\theta} - \alpha).$$

Note: $M \equiv e^{-i\theta} \det(y_u y_d) \in \mathbb{C}$ and $CP: \text{Im}(M) \rightarrow -$

M behaves smoothly as $|M| \rightarrow 0$

CP-invariance $\leftrightarrow M \in \mathbb{R}_+$



Strong-CP Problem

3. Massless Quark Solution to the Strong CP Problem

(1) $SU(3)_C \times SU(3)_H / Z_3$: $Z_3^{\bar{d}}$ NIS

$\mathcal{L} \sim y_d H Q \bar{d}$ term is **forbidden** by $Z_3^{\bar{d}}$ **non-invertible** Peccei-Quinn symmetry

Down quarks (d, s, b) are massless if $Z_3^{\bar{d}}$ is exact.

($y_d =$ non-invertible $Z_3^{\bar{d}}$ breaking spurion (parameter))

Massless Quark Solution:

2. In the presence of **massless** chiral fermion, e.g. , " $\bar{\theta}$ is unphysical"

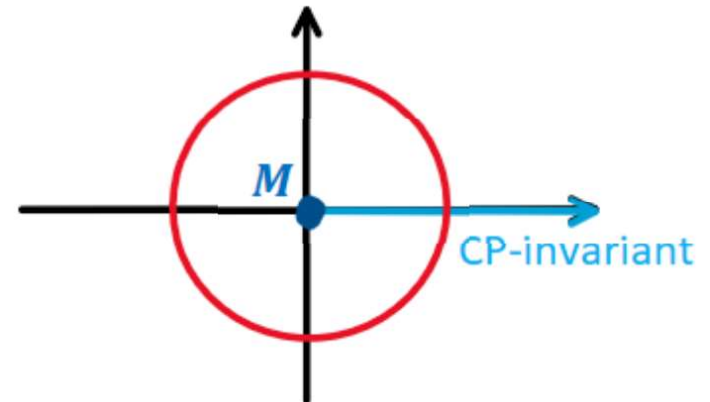
$$\mathcal{L}_{SM} \supset y_u \tilde{H} Q \bar{u} + \frac{\theta}{32\pi^2} G \tilde{G} = m_u e^{i\varphi_u} u \bar{u} + \frac{\theta}{32\pi^2} G \tilde{G}$$

Field redefinition: $d \rightarrow e^{i\alpha} d \Rightarrow \delta S = \frac{i}{8\pi^2} (\bar{\theta} - \alpha)$.

Note: $M \equiv e^{-i\theta} \det(y_u y_d) \in \mathbb{C}$ and $CP: \text{Im}(M) \rightarrow -$

M behaves smoothly as $|M| \rightarrow 0$

CP-invariance $\leftrightarrow M \in \mathbb{R}_+$



Strong-CP Problem

3. Massless Quark Solution to the Strong CP Problem

(1) $SU(3)_C \times SU(3)_H / Z_3$: $Z_3^{\bar{d}}$ NIS

$\mathcal{L} \sim y_d H Q \bar{d}$ term is **forbidden** by $Z_3^{\bar{d}}$ **non-invertible** Peccei-Quinn symmetry

Down quarks (d, s, b) are massless if $Z_3^{\bar{d}}$ is exact.

($y_d =$ non-invertible $Z_3^{\bar{d}}$ breaking spurion (parameter))

Massless Quark Solution:

3. In SM, "massless up quark solution" tried.

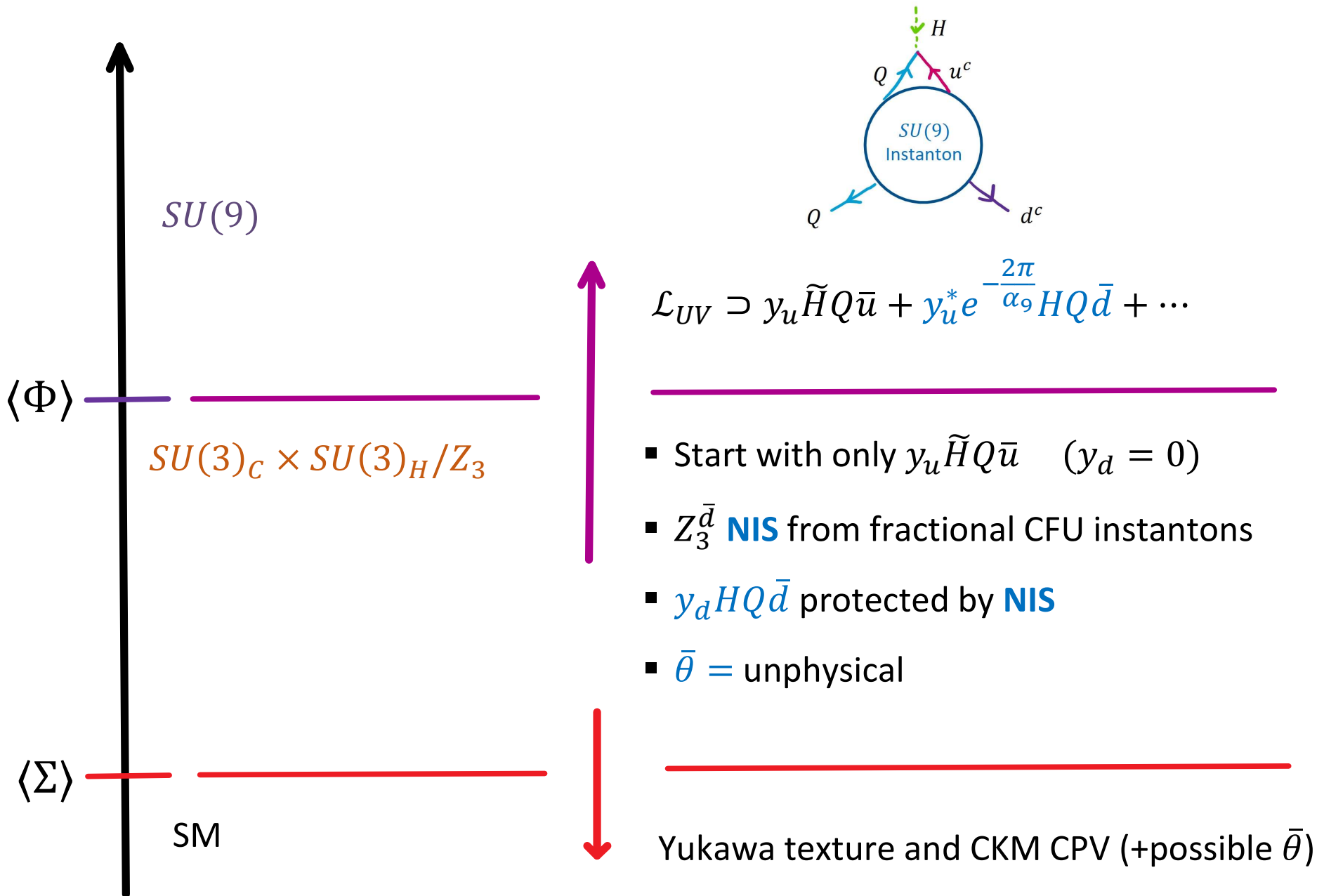
In nature, up quark seems to be massive

e.g. Chiral-PT + observed hadron mass : $m_u/m_d \sim 0.6$

QCD instanton calculation not under analytic control

Lattice QCD : QCD instanton **not sufficient** in size

Solving Strong CP with Non-Invertible Symmetry



Outline

I. Generalized Global Symmetries

I-1. Higher-form symmetry

I-2. Non-invertible symmetry

II. Strong CP Problem-I: IR to UV

II-1. Non-invertible Peccei-Quinn symmetry

II-2. Massless quark solution

III. Strong CP Problem-II: UV to IR

III-1. $SU(9)$ Color-Flavor unification

III-2. Flavor structure and CKM CPV phase

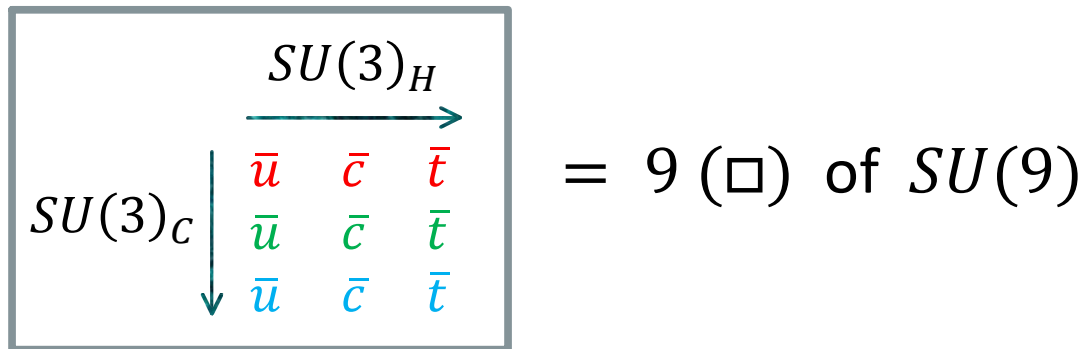
Color-Flavor Unification

1. $SU(9)$ Unification and the Strong CP phase $\bar{\theta}$

$$\begin{array}{c} \begin{array}{ccc} & \xrightarrow{SU(3)_H} & \\ & \bar{u} & \bar{c} & \bar{t} \\ SU(3)_C \downarrow & \bar{u} & \bar{c} & \bar{t} \\ & \bar{u} & \bar{c} & \bar{t} \end{array} \\ \end{array} = 9 (\square) \text{ of } SU(9)$$

Color-Flavor Unification

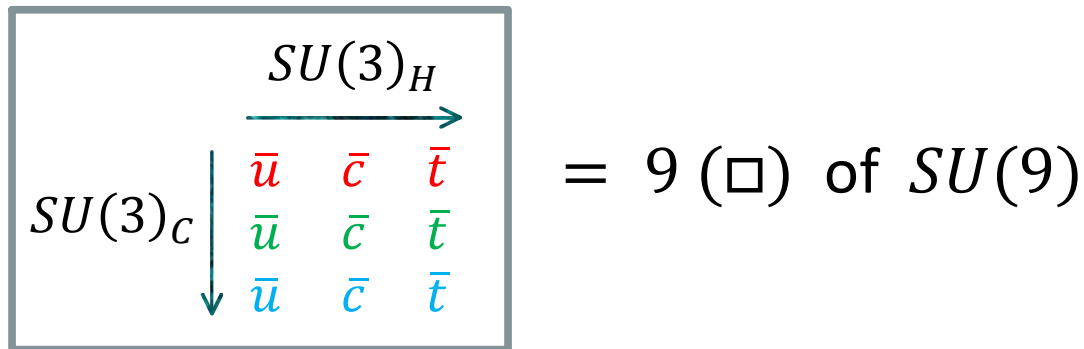
1. $SU(9)$ Unification and the Strong CP phase $\bar{\theta}$



	$SU(9)$	$U(1)_{Q-\bar{u}}$	$U(1)_{\bar{d}}$
$Q = (\mathbf{u}, \mathbf{d})^t$	9	+1	0
$\bar{\mathbf{u}}$	$\bar{9}$	-1	0
$\bar{\mathbf{d}}$	$\bar{9}$	0	+1
H	1	0	0

Color-Flavor Unification

1. $SU(9)$ Unification and the Strong CP phase $\bar{\theta}$

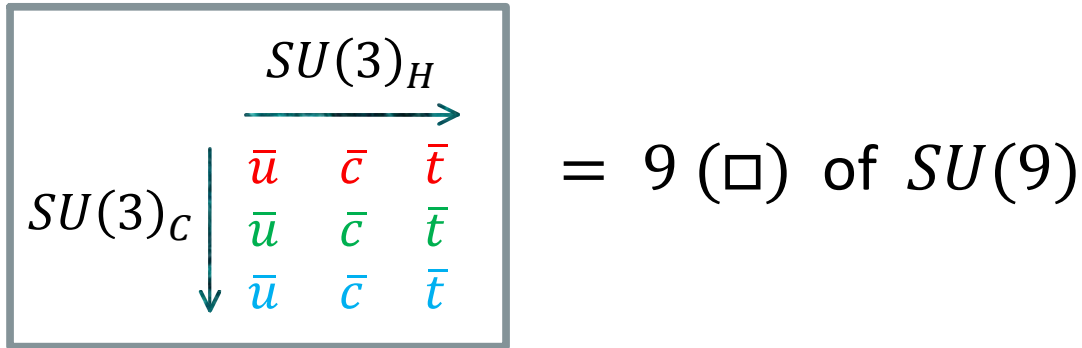


	$SU(9)$	$U(1)_{Q-\bar{u}+\bar{d}}$
Φ	165 (3S)	0
$\Sigma_{1,2}$	80 (adj)	0
ρ	9	-1
χ	1	0

	$SU(9)$	$U(1)_{Q-\bar{u}}$	$U(1)_{\bar{d}}$
$Q = (\mathbf{u}, \mathbf{d})^t$	9	+1	0
\bar{u}	$\bar{9}$	-1	0
\bar{d}	$\bar{9}$	0	+1
H	1	0	0

Color-Flavor Unification

1. $SU(9)$ Unification and the Strong CP phase $\bar{\theta}$



	$SU(9)$	$U(1)_{Q-\bar{u}}$	$U(1)_{\bar{d}}$
$Q = (\mathbf{u}, \mathbf{d})^t$	9	+1	0
$\bar{\mathbf{u}}$	$\bar{9}$	-1	0
$\bar{\mathbf{d}}$	$\bar{9}$	0	+1
H	1	0	0

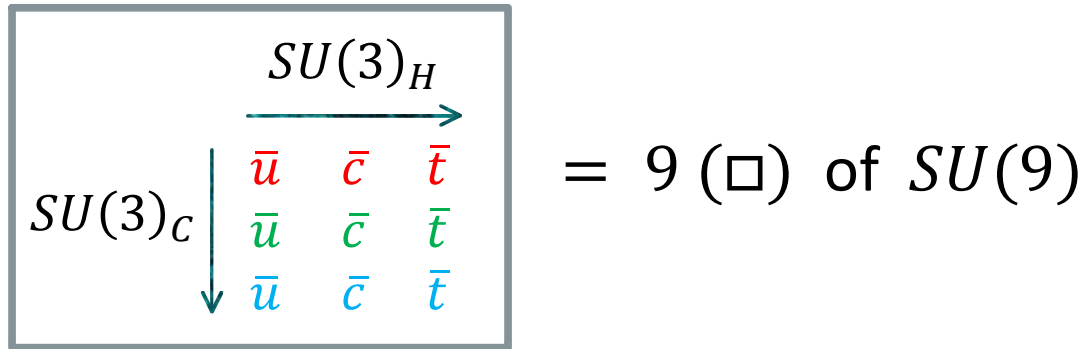
$$\mathcal{L}_0 = y_t \tilde{H} Q \bar{\mathbf{u}} + h.c. + \frac{i\theta_9}{32\pi^2} \int F \tilde{F}$$

$$y_t \sim O(1)$$

y_d perturbatively protected by $U(1)_{\bar{d}}$

Color-Flavor Unification

1. $SU(9)$ Unification and the Strong CP phase $\bar{\theta}$



	$SU(9)$	$U(1)_{Q-\bar{u}}$	$U(1)_{\bar{d}}$
$Q = (\mathbf{u}, \mathbf{d})^t$	9	+1	0
$\bar{\mathbf{u}}$	$\bar{9}$	-1	0
$\bar{\mathbf{d}}$	$\bar{9}$	0	+1
H	1	0	0

$$\mathcal{L}_0 = y_t \tilde{H} Q \bar{\mathbf{u}} + h.c. + \frac{i\theta_9}{32\pi^2} \int F \tilde{F}$$

$$y_t \sim O(1)$$

y_d perturbatively protected by $U(1)_{\bar{d}}$

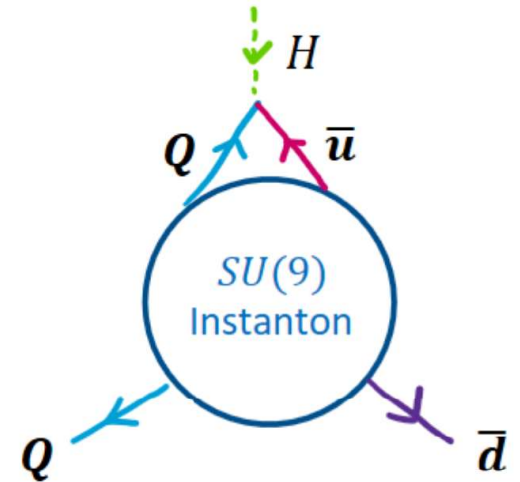
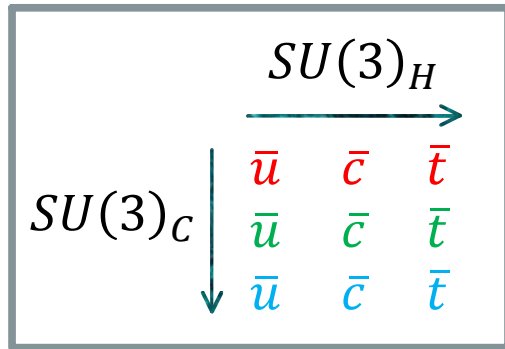
$$U(1)_{Q-\bar{u}} [SU(9)]^2 = U(1)_{\bar{d}} [SU(9)]^2 = 1$$

$$\Rightarrow [AF] U(1)_{B=Q-\bar{u}-\bar{d}}$$

$$[\text{Anomalous}] U(1)_{Q-\bar{u}+\bar{d}} \text{ or } U(1)_{\bar{d}}$$

Color-Flavor Unification

1. $SU(9)$ Unification and the Strong CP phase $\bar{\theta}$



	$SU(9)$	$U(1)_{Q-\bar{u}}$	$U(1)_{\bar{d}}$
$Q = (\mathbf{u}, \mathbf{d})^t$	9	+1	0
\bar{u}	$\bar{9}$	-1	0
\bar{d}	$\bar{9}$	0	+1
H	1	0	0

$$\mathcal{L}_0 = y_t \tilde{H} Q \bar{u} + h.c. + \frac{i\theta_9}{32\pi^2} \int F \tilde{F}$$

$$+ y_t^* e^{i\theta_9} e^{-\frac{2\pi}{\alpha_9}} H Q \bar{d}$$

$$y_d \sim y_t^* e^{i\theta_9} e^{-\frac{2\pi}{\alpha_9(\Lambda_9)}}$$

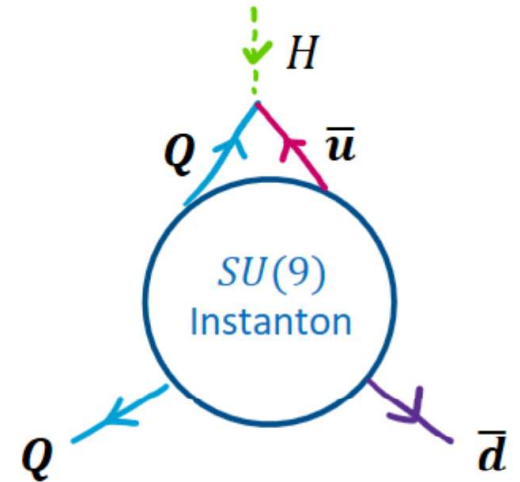
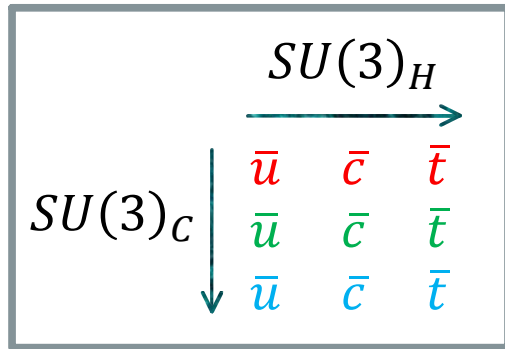
$$U(1)_{Q-\bar{u}}[SU(9)]^2 = U(1)_{\bar{d}}[SU(9)]^2 = 1$$

$$\Rightarrow [AF] U(1)_{B=Q-\bar{u}-\bar{d}}$$

$$[\text{Anomalous}] U(1)_{Q-\bar{u}+\bar{d}} \text{ or } U(1)_{\bar{d}}$$

Color-Flavor Unification

1. $SU(9)$ Unification and the Strong CP phase $\bar{\theta}$



	$SU(9)$	$U(1)_{Q-\bar{u}}$	$U(1)_{\bar{d}}$
$Q = (u, d)^t$	9	+1	0
\bar{u}	$\bar{9}$	-1	0
\bar{d}	$\bar{9}$	0	+1
H	1	0	0

$$\mathcal{L}_0 = y_t \tilde{H} Q \bar{u} + h.c. + \frac{i\theta_9}{32\pi^2} \int F \tilde{F}$$

$$+ y_t^* e^{i\theta_9} e^{-\frac{2\pi}{\alpha_9}} H Q \bar{d}$$

$$y_d \sim y_t^* e^{i\theta_9} e^{-\frac{2\pi}{\alpha_9(\Lambda_9)}}$$

$$\bar{\theta} = \arg e^{-i\theta_9} \det(y_u y_d) = -\theta_9 + \arg |y_t| e^{i\theta_9} = 0 \quad \checkmark$$

Color-Flavor Unification

2. $SU(9) \rightarrow SU(3)_C \times SU(3)_H / Z_3$

	$SU(9)$	$U(1)_{Q-\bar{u}+\bar{d}}$
Φ	165 (3S)	0
$\Sigma_{1,2}$	80 (adj)	0
ρ	9	-1
χ	1	0

(i) SSB by $\langle \Phi^{ABC} \rangle = \Lambda_9 \epsilon^{abc} \epsilon^{ijk}$

(ii) $9(Q, \bar{u}, \bar{d}, \rho) \rightarrow (3,3)$

(iii) Z_3 Quotient: $Q \rightarrow g_C Q g_H^\dagger$

(iv) $165 \rightarrow (10,10) + (\mathbf{8}, \mathbf{8}) + (1,1)$

$(\Phi_{\{ai,bj,ck\}} \sim \Phi_{\{abc\}} \cdot \tilde{\Phi}_{\{ijk\}} + \Phi_{[ab]c} \cdot \tilde{\Phi}_{[ij]k} + \Phi_{[abc]} \cdot \tilde{\Phi}_{[ijk]})$

(v) $80 \rightarrow (8,8) + (8,1) + (1,8)$

Color-Flavor Unification

2. $SU(9) \rightarrow SU(3)_C \times SU(3)_H / Z_3$

	$SU(9)$	$U(1)_{Q-\bar{u}+\bar{d}}$
Φ	165 (3S)	0
$\Sigma_{1,2}$	80 (adj)	0
ρ	9	-1
χ	1	0

(i) SSB by $\langle \Phi^{ABC} \rangle = \Lambda_9 \epsilon^{abc} \epsilon^{ijk}$

(ii) $9(Q, \bar{u}, \bar{d}, \rho) \rightarrow (3,3)$

(iii) Z_3 Quotient: $Q \rightarrow g_C Q g_H^\dagger$

(iv) $165 \rightarrow (10,10) + (\mathbf{8}, \mathbf{8}) + (1,1)$

$(\Phi_{\{ai,bj,ck\}} \sim \Phi_{\{abc\}} \cdot \tilde{\Phi}_{\{ijk\}} + \Phi_{[ab]c} \cdot \tilde{\Phi}_{[ij]k} + \Phi_{[abc]} \cdot \tilde{\Phi}_{[ijk]})$

(v) $80 \rightarrow (8,8) + (8,1) + (1,8)$

$$\mathcal{L}_0 = y_t \tilde{H} Q \bar{u} + y_t^* e^{i\theta_9} e^{-\frac{2\pi}{\alpha_9}} H Q \bar{d} + \frac{i\theta_9}{32\pi^2} \int F \tilde{F} \quad (\text{Yukawa=single number})$$

$$\rightarrow y_t \tilde{H} Q \bar{u} + y_t^* e^{i\theta_9} e^{-\frac{2\pi}{3\alpha_s(\Lambda_9)}} H Q \bar{d} + \frac{i3\theta_9}{32\pi^2} \int (G \tilde{G} + K \tilde{K}) \quad (\text{Yukawa} \propto \mathbb{I}_3, \text{ Flavor-diag})$$

Color-Flavor Unification

2. $SU(9) \rightarrow SU(3)_C \times SU(3)_H / Z_3$

	$SU(9)$	$U(1)_{Q-\bar{u}+\bar{d}}$
Φ	165 (3S)	0
$\Sigma_{1,2}$	80 (adj)	0
ρ	9	-1
χ	1	0

(i) SSB by $\langle \Phi^{ABC} \rangle = \Lambda_9 \epsilon^{abc} \epsilon^{ijk}$

(ii) $9(Q, \bar{u}, \bar{d}, \rho) \rightarrow (3,3)$

(iii) Z_3 Quotient: $Q \rightarrow g_C Q g_H^\dagger$

(iv) $165 \rightarrow (10,10) + (\mathbf{8}, \mathbf{8}) + (1,1)$

$(\Phi_{\{ai,bj,ck\}} \sim \Phi_{\{abc\}} \cdot \tilde{\Phi}_{\{ijk\}} + \Phi_{[ab]c} \cdot \tilde{\Phi}_{[ij]k} + \Phi_{[abc]} \cdot \tilde{\Phi}_{[ijk]})$

(v) $80 \rightarrow (8,8) + (8,1) + (1,8)$

$$\mathcal{L}_0 = y_t \tilde{H} Q \bar{u} + y_t^* e^{i\theta_9} e^{-\frac{2\pi}{\alpha_9}} H Q \bar{d} + \frac{i\theta_9}{32\pi^2} \int F \tilde{F} \quad (\text{Yukawa=single number})$$

$$\rightarrow y_t \tilde{H} Q \bar{u} + y_t^* e^{i\theta_9} e^{-\frac{2\pi}{3\alpha_s(\Lambda_9)}} H Q \bar{d} + \frac{i3\theta_9}{32\pi^2} \int (G \tilde{G} + K \tilde{K}) \quad (\text{Yukawa} \propto \mathbb{I}_3, \text{ Flavor-diag})$$

$$\bar{\theta} = -3\theta_9 + \arg \det |y_t|^2 e^{i\theta_9} = -3\theta_9 + 3\theta_9 = 0 \quad \checkmark$$

Color-Flavor Unification

2. $SU(9) \rightarrow SU(3)_C \times SU(3)_H / Z_3$

	$SU(9)$	$U(1)_{Q-\bar{u}+\bar{d}}$
Φ	165 (3S)	0
$\Sigma_{1,2}$	80 (adj)	0
ρ	9	-1
χ	1	0

(i) SSB by $\langle \Phi^{ABC} \rangle = \Lambda_9 \epsilon^{abc} \epsilon^{ijk}$

(ii) $9(Q, \bar{u}, \bar{d}, \rho) \rightarrow (3,3)$

(iii) Z_3 Quotient: $Q \rightarrow g_C Q g_H^\dagger$

(iv) $165 \rightarrow (10,10) + (\mathbf{8}, \mathbf{8}) + (1,1)$

$(\Phi_{\{ai,bj,ck\}} \sim \Phi_{\{abc\}} \cdot \tilde{\Phi}_{\{ijk\}} + \Phi_{[ab]c} \cdot \tilde{\Phi}_{[ij]k} + \Phi_{[abc]} \cdot \tilde{\Phi}_{[ijk]})$

(v) $80 \rightarrow (8,8) + (8,1) + (1,8)$

$$\mathcal{L}_0 = y_t \tilde{H} Q \bar{u} + y_t^* e^{i\theta_9} e^{-\frac{2\pi}{\alpha_9}} H Q \bar{d} + \frac{i\theta_9}{32\pi^2} \int F \tilde{F} \quad (\text{Yukawa=single number})$$

$$\rightarrow y_t \tilde{H} Q \bar{u} + y_t^* e^{i\theta_9} e^{-\frac{2\pi}{3\alpha_s(\Lambda_9)}} H Q \bar{d} + \frac{i3\theta_9}{32\pi^2} \int (G \tilde{G} + K \tilde{K}) \quad (\text{Yukawa} \propto \mathbb{I}_3, \text{ Flavor-diag})$$

$$\bar{\theta} = -3\theta_9 + \arg \det |y_t|^2 e^{i\theta_9} = -3\theta_9 + 3\theta_9 = 0 \quad \checkmark$$

From now on, we set $\bar{\theta}_9 = \mathbf{0}$ and take **real yukawas**.

Outline

I. Generalized Global Symmetries

I-1. Higher-form symmetry

I-2. Non-invertible symmetry

II. Strong CP Problem-I: IR to UV

II-1. Non-invertible Peccei-Quinn symmetry

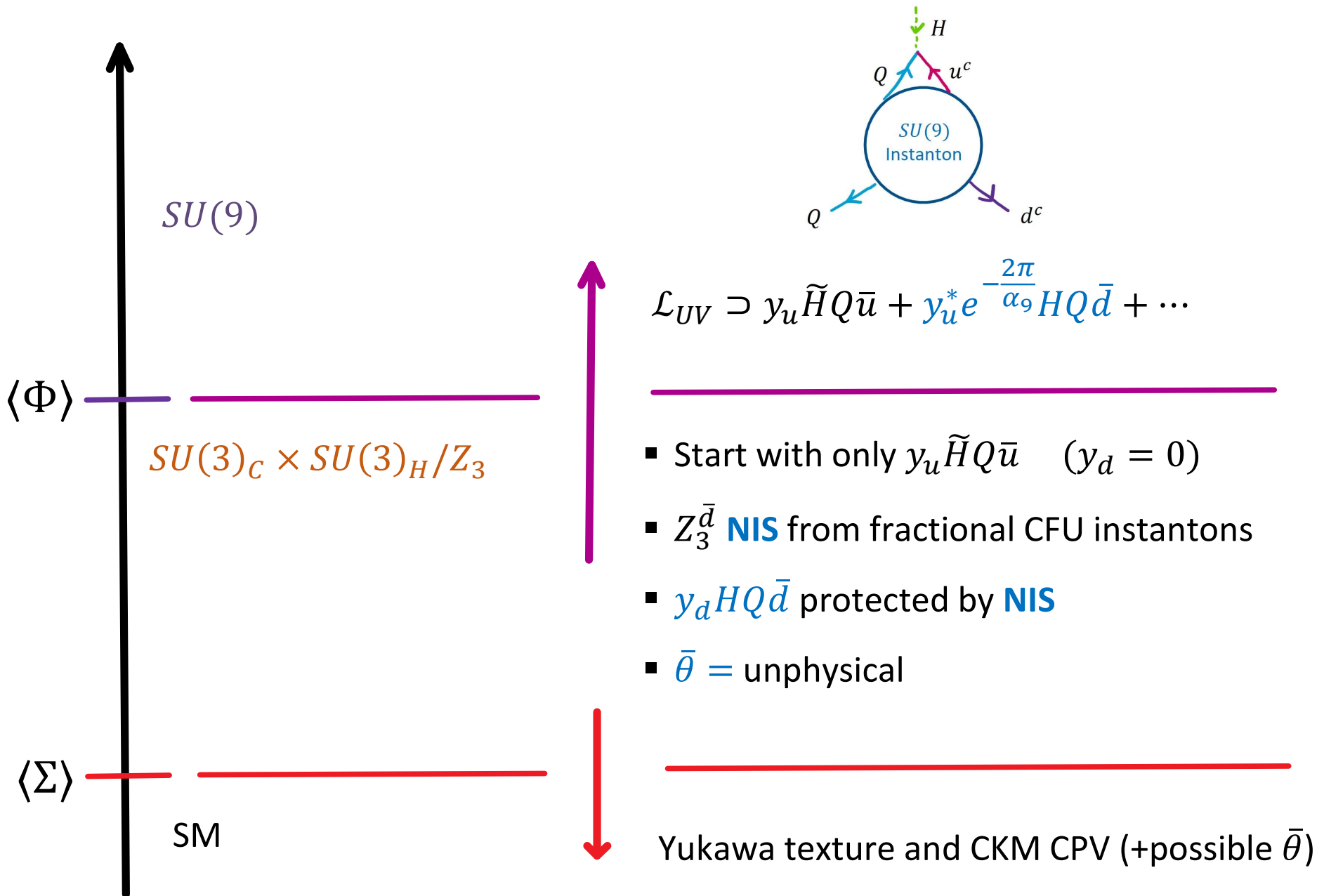
II-2. Massless quark solution

III. Strong CP Problem-II: UV to IR

III-1. $SU(9)$ Color-Flavor unification

III-2. Flavor structure and CKM CPV phase

Solving Strong CP with Non-Invertible Symmetry



Color-Flavor Unification

3. $SU(3)_C \times SU(3)_H/Z_3 \rightarrow SU(3)_C$: Flavor Structure and CKM CPV

	$SU(9)$	$U(1)_{Q-\bar{u}+\bar{d}}$
Φ	165 (3S)	0
$\Sigma_{1,2}$	80 (adj)	0
ρ	9	-1
χ	1	0

- (i) Two $SU(3)_H$ adjoint scalar $\Sigma_{1,2} : SU(3)_H \rightarrow \emptyset$
- (ii) Textures of y_u, y_d generated by structure of $\langle \Sigma_{1,2} \rangle$
- (iii) Required CKM CPV phase from $V(\Sigma)$

Color-Flavor Unification

3. $SU(3)_C \times SU(3)_H/Z_3 \rightarrow SU(3)_C$: Flavor Structure and CKM CPV

	$SU(9)$	$U(1)_{Q-\bar{u}+\bar{d}}$
Φ	165 (3S)	0
$\Sigma_{1,2}$	80 (adj)	0
ρ	9	-1
χ	1	0

- (i) Two $SU(3)_H$ adjoint scalar $\Sigma_{1,2} : SU(3)_H \rightarrow \emptyset$
- (ii) Textures of y_u, y_d generated by structure of $\langle \Sigma_{1,2} \rangle$
- (iii) Required CKM CPV phase from $V(\Sigma)$

Combine $\Sigma = \Sigma_1 + i\Sigma_2$

Consider a simple case with Z_4 invariant potential $V(\Sigma)$
 (our mechanism works regardless of this simplifying assumption)

$$V(\Sigma) = \eta_1 \text{Tr}(\Sigma^4) + \eta_2 (\text{Tr}(\Sigma^2))^2 + h.c. + \xi \text{Tr}(\Sigma^\dagger \Sigma)^2 + \dots \text{ (terms with real coeffs)}$$

Color-Flavor Unification

3. $SU(3)_C \times SU(3)_H/Z_3 \rightarrow SU(3)_C$: Flavor Structure and CKM CPV

	$SU(9)$	$U(1)_{Q-\bar{u}+\bar{d}}$
Φ	165 (3S)	0
$\Sigma_{1,2}$	80 (adj)	0
ρ	9	-1
χ	1	0

- (i) Two $SU(3)_H$ adjoint scalar $\Sigma_{1,2} : SU(3)_H \rightarrow \emptyset$
- (ii) Textures of y_u, y_d generated by structure of $\langle \Sigma_{1,2} \rangle$
- (iii) Required CKM CPV phase from $V(\Sigma)$

Combine $\Sigma = \Sigma_1 + i\Sigma_2$

Consider a simple case with Z_4 invariant potential $V(\Sigma)$
(our mechanism works regardless of this simplifying assumption)

$$V(\Sigma) = \eta_1 \text{Tr}(\Sigma^4) + \eta_2 (\text{Tr}(\Sigma^2))^2 + h.c. + \xi \text{Tr}(\Sigma^\dagger \Sigma)^2 + \dots \text{ (terms with real coeffs)}$$

Field redefinition invariant CPV : $\eta_1^\dagger \eta_2$

$$\Sigma \rightarrow e^{-i\varphi_1/4} \Sigma : |\eta_1| e^{i\varphi_1} \text{Tr}(\Sigma^4) + |\eta_2| e^{i\varphi_2} (\text{Tr}(\Sigma^2))^2 \rightarrow |\eta_1| \text{Tr}(\Sigma^4) + |\eta_2| e^{i(\varphi_2 - \varphi_1)} (\text{Tr}(\Sigma^2))^2$$

Color-Flavor Unification

3. $SU(3)_C \times SU(3)_H/Z_3 \rightarrow SU(3)_C$: Flavor Structure and CKM CPV

(i) Two $SU(3)_H$ adjoint scalar $\Sigma_{1,2} : SU(3)_H \rightarrow \emptyset$

$$\text{Complete breaking} \Leftrightarrow [\Sigma_1, \Sigma_2] = \frac{[\Sigma_1^\dagger, \Sigma_2]}{2i} \neq 0$$

(ii) Textures of y_u, y_d generated by structure of $\langle \Sigma_{1,2} \rangle$

Color-Flavor Unification

3. $SU(3)_C \times SU(3)_H/Z_3 \rightarrow SU(3)_C$: Flavor Structure and CKM CPV

(i) Two $SU(3)_H$ adjoint scalar $\Sigma_{1,2} : SU(3)_H \rightarrow \emptyset$

$$\text{Complete breaking} \Leftrightarrow [\Sigma_1, \Sigma_2] = \frac{[\Sigma_1^\dagger, \Sigma_2]}{2i} \neq 0$$

(ii) Textures of y_u, y_d generated by structure of $\langle \Sigma_{1,2} \rangle$

Generate complete 3×3 y_u, y_d but in a way that $\bar{\theta} = 0$ is maintained.

\Rightarrow **Our mechanism:** generate **Hermitian Yukawas**

(I) all CPV in scalar sector

(II) CPV transferred to SM fermions via bosonic mediation

Color-Flavor Unification

3. $SU(3)_C \times SU(3)_H/Z_3 \rightarrow SU(3)_C$: Flavor Structure and CKM CPV

(i) Two $SU(3)_H$ adjoint scalar $\Sigma_{1,2} : SU(3)_H \rightarrow \emptyset$

$$\text{Complete breaking} \Leftrightarrow [\Sigma_1, \Sigma_2] = \frac{[\Sigma^\dagger, \Sigma]}{2i} \neq 0$$

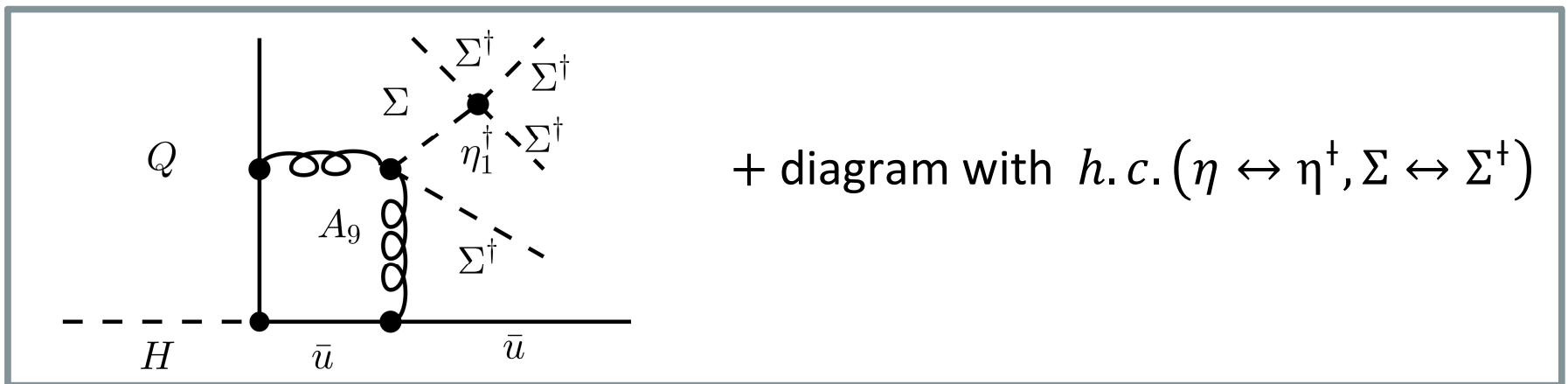
(ii) Textures of y_u, y_d generated by structure of $\langle \Sigma_{1,2} \rangle$

Generate complete 3×3 y_u, y_d but in a way that $\bar{\theta} = \mathbf{0}$ is maintained.

\Rightarrow **Our mechanism:** generate **Hermitian Yukawas**

(I) all CPV in scalar sector

(II) CPV transferred to SM fermions via bosonic mediation

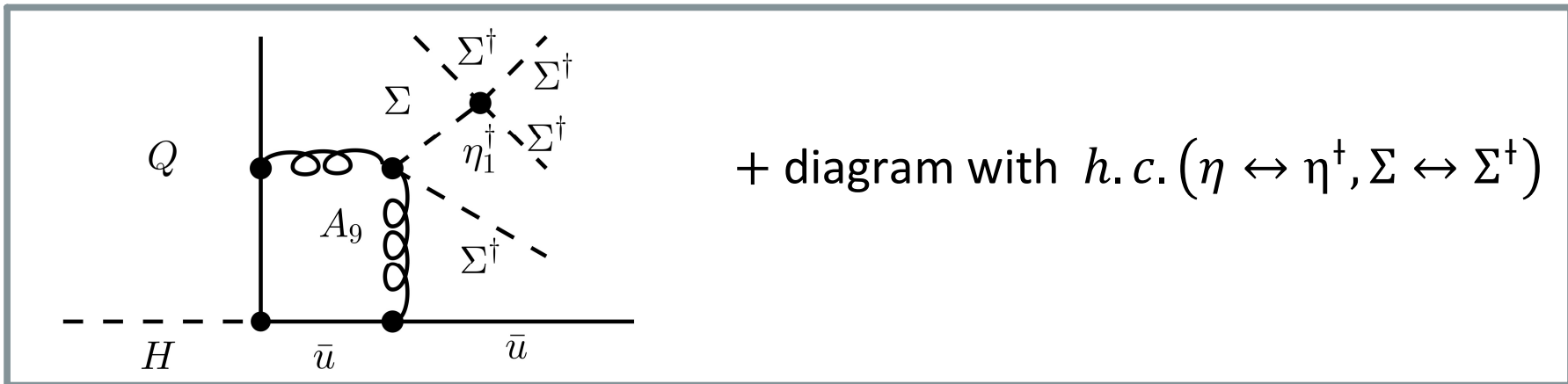


Color-Flavor Unification

3. $SU(3)_C \times SU(3)_H/Z_3 \rightarrow SU(3)_C$: Flavor Structure and CKM CPV

(ii) Textures of y_u, y_d generated by structure of $\langle \Sigma_{1,2} \rangle$

Generate complete 3×3 y_u, y_d but in a way that $\bar{\theta} = 0$ is maintained.

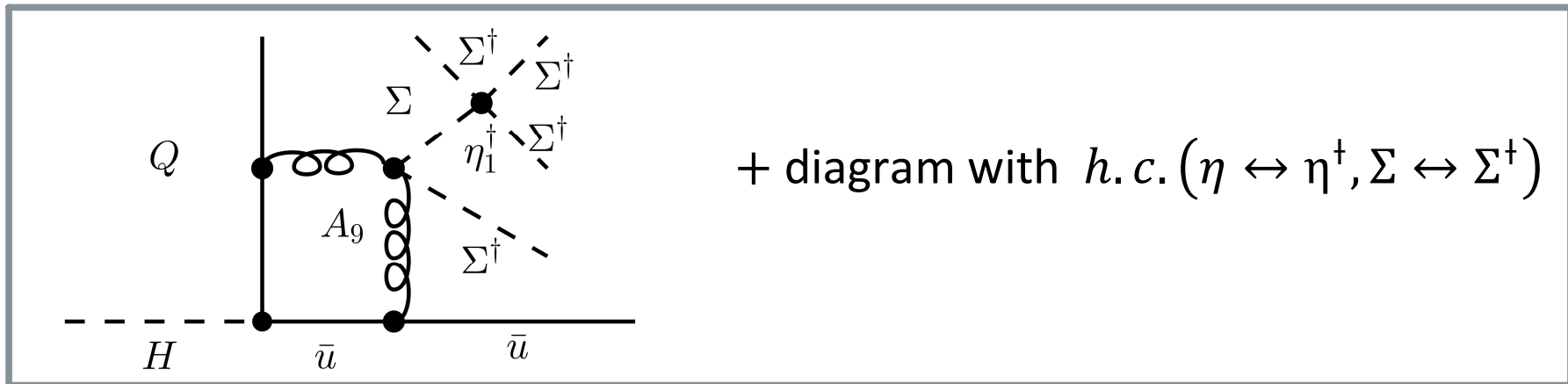


Color-Flavor Unification

3. $SU(3)_C \times SU(3)_H/Z_3 \rightarrow SU(3)_C$: Flavor Structure and CKM CPV

(ii) Textures of y_u, y_d generated by structure of $\langle \Sigma_{1,2} \rangle$

Generate complete 3×3 y_u, y_d but in a way that $\bar{\theta} = 0$ is maintained.



$$(y_u)_j^i \sim y_t \left(1 + \frac{\alpha_9}{4\pi} \frac{\{\Sigma^\dagger, \Sigma\}}{2\Lambda_9^2} + \left(\frac{\alpha_9}{4\pi} \frac{\eta_1^\dagger (\Sigma^\dagger)^4 + \eta_2^\dagger (\Sigma^\dagger)^2 (\Sigma^\dagger)^2}{\Lambda_9^4} + h.c. \right) \right)_j^i$$

Hermitian

Real

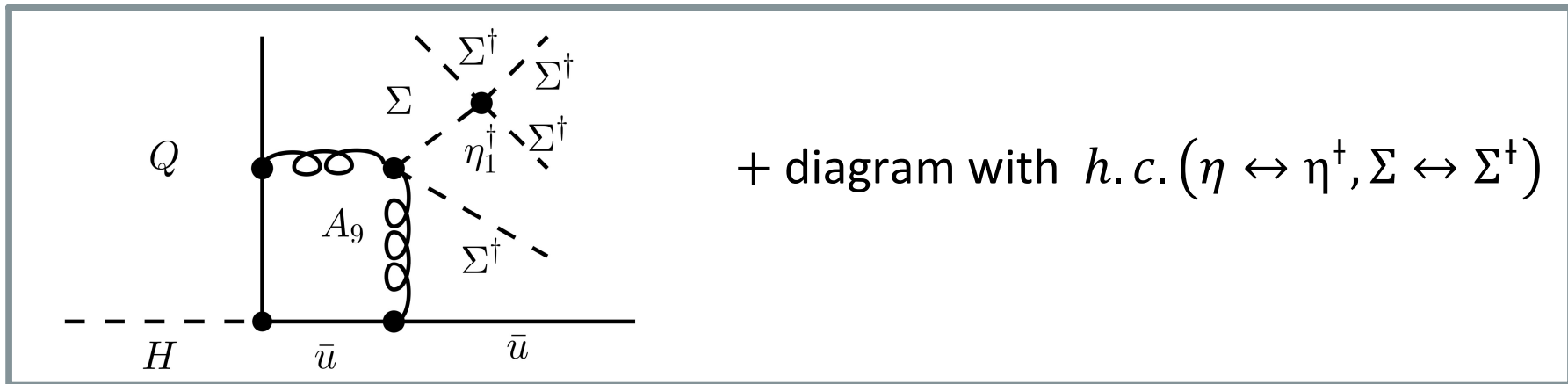
Complex

Color-Flavor Unification

3. $SU(3)_C \times SU(3)_H/Z_3 \rightarrow SU(3)_C$: Flavor Structure and CKM CPV

(ii) Textures of y_u, y_d generated by structure of $\langle \Sigma_{1,2} \rangle$

Generate complete 3×3 y_u, y_d but in a way that $\bar{\theta} = 0$ is maintained.



$$(y_u)_j^i \sim y_t \left(1 + \frac{\alpha_9}{4\pi} \frac{\{\Sigma^\dagger, \Sigma\}}{2\Lambda_9^2} + \left(\frac{\alpha_9}{4\pi} \frac{\eta_1^\dagger (\Sigma^\dagger)^4 + \eta_2^\dagger (\Sigma^\dagger)^2 (\Sigma^\dagger)^2}{\Lambda_9^4} + h.c. \right) \right)_j^i$$

Hermitian

Real

Complex

$$y_u, y_d \Rightarrow \text{real eigenvalues} \Rightarrow \bar{\theta} = \arg e^{-i\theta} \det(y_u y_d) = \arg \det(y_u y_d) = 0 \quad \checkmark$$

Color-Flavor Unification

3. $SU(3)_C \times SU(3)_H/Z_3 \rightarrow SU(3)_C$: Flavor Structure and CKM CPV

(iii) Generate $O(1)$ CKM CPV phase δ_{CKM} (without destabilizing $\bar{\theta} = 0$)

Field-redefinition invariant definition of CKM CPV phase

$$\tilde{J} = \text{Im det}[y_u^\dagger y_u, y_d^\dagger y_d] \propto \sin \delta_{CKM} \quad \text{"Jarlskog invariant"}$$

Color-Flavor Unification

3. $SU(3)_C \times SU(3)_H/Z_3 \rightarrow SU(3)_C$: Flavor Structure and CKM CPV

(iii) Generate $O(1)$ CKM CPV phase δ_{CKM} (without destabilizing $\bar{\theta} = 0$)

Field-redefinition invariant definition of CKM CPV phase

$$\tilde{J} = \text{Im det} \underbrace{[y_u^\dagger y_u, y_d^\dagger y_d]} \propto \sin \delta_{CKM} \quad \text{"Jarlskog invariant"}$$

"misalignment" of y_u and y_d

Color-Flavor Unification

3. $SU(3)_C \times SU(3)_H/Z_3 \rightarrow SU(3)_C$: Flavor Structure and CKM CPV

(iii) Generate $O(1)$ CKM CPV phase δ_{CKM} (without destabilizing $\bar{\theta} = 0$)

Field-redefinition invariant definition of CKM CPV phase

$$\tilde{J} = \text{Im det} \underbrace{[y_u^\dagger y_u, y_d^\dagger y_d]} \propto \sin \delta_{CKM} \quad \text{"Jarlskog invariant"}$$

"misalignment" of y_u and y_d

So far, we have

$$y_u \sim y_t (1 + \{\Sigma^\dagger, \Sigma\} + (\eta \Sigma^4 + h.c.) + \dots)$$

$$y_d \sim y_t e^{-\frac{2\pi}{\alpha_9}} (1 + \{\Sigma^\dagger, \Sigma\} + (\eta \Sigma^4 + h.c.) + \dots)$$

Color-Flavor Unification

3. $SU(3)_C \times SU(3)_H/Z_3 \rightarrow SU(3)_C$: Flavor Structure and CKM CPV

(iii) Generate $O(1)$ CKM CPV phase δ_{CKM} (without destabilizing $\bar{\theta} = 0$)

Field-redefinition invariant definition of CKM CPV phase

$$\tilde{J} = \text{Im det} \underbrace{[y_u^\dagger y_u, y_d^\dagger y_d]} \propto \sin \delta_{CKM} \quad \text{"Jarlskog invariant"}$$

"misalignment" of y_u and y_d

So far, we have

$$y_u \sim y_t (1 + \{\Sigma^\dagger, \Sigma\} + (\eta \Sigma^4 + h.c.) + \dots)$$

$$y_d \propto y_u \text{ as a matrix}$$

$$y_d \sim y_t e^{-\frac{2\pi}{\alpha_9}} (1 + \{\Sigma^\dagger, \Sigma\} + (\eta \Sigma^4 + h.c.) + \dots)$$

$$\Rightarrow \tilde{J} = 0$$

Color-Flavor Unification

3. $SU(3)_C \times SU(3)_H/Z_3 \rightarrow SU(3)_C$: Flavor Structure and CKM CPV

(iii) Generate $O(1)$ CKM CPV phase δ_{CKM} (without destabilizing $\bar{\theta} = 0$)

Field-redefinition invariant definition of CKM CPV phase

$$\tilde{J} = \text{Im det} \underbrace{[y_u^\dagger y_u, y_d^\dagger y_d]} \propto \sin \delta_{CKM} \quad \text{"Jarlskog invariant"}$$

"misalignment" of y_u and y_d

So far, we have

$$y_u \sim y_t (1 + \{\Sigma^\dagger, \Sigma\} + (\eta \Sigma^4 + h.c.) + \dots) \quad y_d \propto y_u \text{ as a matrix}$$

$$y_d \sim y_t e^{-\frac{2\pi}{\alpha_9}} (1 + \{\Sigma^\dagger, \Sigma\} + (\eta \Sigma^4 + h.c.) + \dots) \quad \Rightarrow \tilde{J} = 0$$

We need extra ingredients to **misalign** y_d vs y_u : **'down-philic'** interactions

Color-Flavor Unification

3. $SU(3)_C \times SU(3)_H/Z_3 \rightarrow SU(3)_C$: Flavor Structure and CKM CPV

(iii) Generate $O(1)$ CKM CPV phase δ_{CKM} (without destabilizing $\bar{\theta} = 0$)

	$SU(9)$	$U(1)_{Q-\bar{u}+\bar{d}}$
Φ	165 (3S)	0
$\Sigma_{1,2}$	80 (adj)	0
ρ	9	-1
χ	1	0

$$\mathcal{L}_{\rho\chi} \sim \lambda_d \bar{d} \rho \chi + \rho (c_1 \Sigma^\dagger \Sigma + c_2 \Sigma \Sigma^\dagger) \rho^\dagger + a_1 \rho \Sigma \rho^\dagger + a_2 \rho \Sigma \Sigma \rho^\dagger + h.c.$$

Color-Flavor Unification

3. $SU(3)_C \times SU(3)_H/Z_3 \rightarrow SU(3)_C$: Flavor Structure and CKM CPV

(iii) Generate $O(1)$ CKM CPV phase δ_{CKM} (without destabilizing $\bar{\theta} = 0$)

	$SU(9)$	$U(1)_{Q-\bar{u}+\bar{d}}$
Φ	165 (3S)	0
$\Sigma_{1,2}$	80 (adj)	0
ρ	9	-1
χ	1	0

$$\mathcal{L}_{\rho\chi} \sim \lambda_d \bar{d} \rho \chi + \rho (c_1 \Sigma^\dagger \Sigma + c_2 \Sigma \Sigma^\dagger) \rho^\dagger + a_1 \rho \Sigma \rho^\dagger + a_2 \rho \Sigma \Sigma \rho^\dagger + h.c.$$

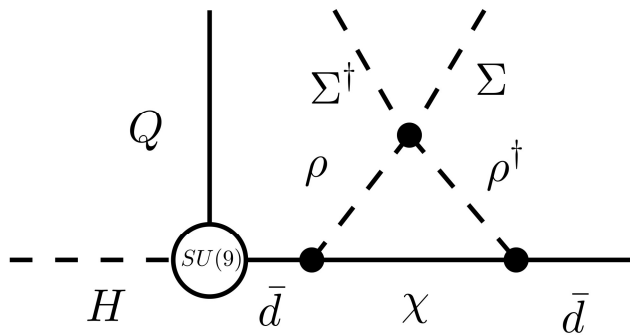
- Use χ rotation to set $\lambda_d \in \mathbb{R}$
- $c_{1,2} \in \mathbb{R}$
- $a_{1,2} \in \mathbb{C} \rightarrow a_1^2 a_2^\dagger, \eta_1^\dagger a_2^2$: new CPV source
- $a_{1,2} = 0$ if Z_4^Σ is imposed
(again, our mechanism works regardless)

Color-Flavor Unification

3. $SU(3)_C \times SU(3)_H/Z_3 \rightarrow SU(3)_C$: Flavor Structure and CKM CPV

(iii) Generate $O(1)$ CKM CPV phase δ_{CKM} (without destabilizing $\bar{\theta} = 0$)

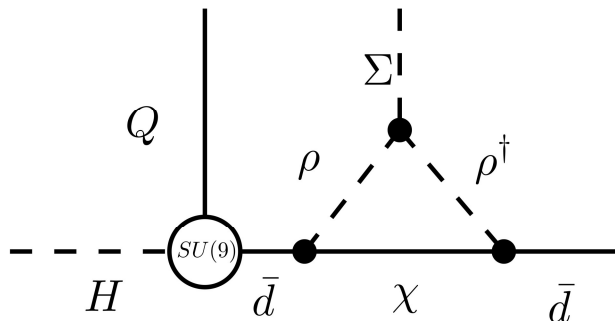
$$\mathcal{L}_{\rho\chi} \sim \lambda_d \bar{d} \rho \chi + \rho (c_1 \Sigma^+ \Sigma + c_2 \Sigma \Sigma^+) \rho^\dagger + a_1 \rho \Sigma \rho^\dagger + a_2 \rho \Sigma \Sigma \rho^\dagger + h.c.$$



Without "down-philic" interactions

$$y_u \sim y_t (1 + \{\Sigma^+, \Sigma\} + (\eta \Sigma^4 + h.c.) + \dots)$$

$$y_d \sim y_t e^{-\frac{2\pi}{\alpha_9}} (1 + \{\Sigma^+, \Sigma\} + (\eta \Sigma^4 + h.c.) + \dots)$$



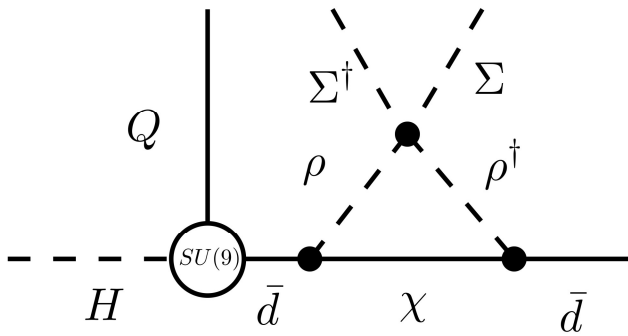
$$\tilde{J} = 0$$

Color-Flavor Unification

3. $SU(3)_C \times SU(3)_H/Z_3 \rightarrow SU(3)_C$: Flavor Structure and CKM CPV

(iii) Generate $O(1)$ CKM CPV phase δ_{CKM} (without destabilizing $\bar{\theta} = 0$)

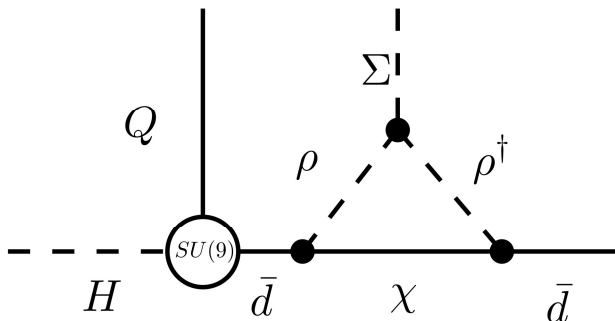
$$\mathcal{L}_{\rho\chi} \sim \lambda_d \bar{d} \rho \chi + \rho (c_1 \Sigma^\dagger \Sigma + c_2 \Sigma \Sigma^\dagger) \rho^\dagger + a_1 \rho \Sigma \rho^\dagger + a_2 \rho \Sigma \Sigma \rho^\dagger + h.c.$$



With "down-philic" interactions ($a_{1,2} = 0$)

$$y_u \sim y_t (1 + \{\Sigma^\dagger, \Sigma\} + (\eta \Sigma^4 + h.c.) + \dots)$$

$$y_d \sim y_t e^{-\frac{2\pi}{\alpha_9}} (1 + \{\Sigma^\dagger, \Sigma\} + (\eta \Sigma^4 + h.c.) + \dots + c \Sigma^\dagger \Sigma)$$



$$\tilde{J} \sim \text{Im det}(4r^2 [\eta \Sigma^4 + \eta^\dagger \Sigma^{\dagger 4}, c \Sigma^\dagger \Sigma]), \quad r \sim e^{-\frac{2\pi}{\alpha_9}}$$

$$\propto \text{Im det} \left(\eta \left([\Sigma, \Sigma^\dagger] \Sigma^4 + \Sigma [\Sigma, \Sigma^\dagger] \Sigma^3 + \Sigma^2 [\Sigma, \Sigma^\dagger] \Sigma^2 + \Sigma^3 [\Sigma, \Sigma^\dagger] \Sigma \right) - h.c. \right)$$

Outline

I. Generalized Global Symmetries

I-1. Higher-form symmetry

I-2. Non-invertible symmetry

II. Strong CP Problem-I: IR to UV

II-1. Non-invertible Peccei-Quinn symmetry

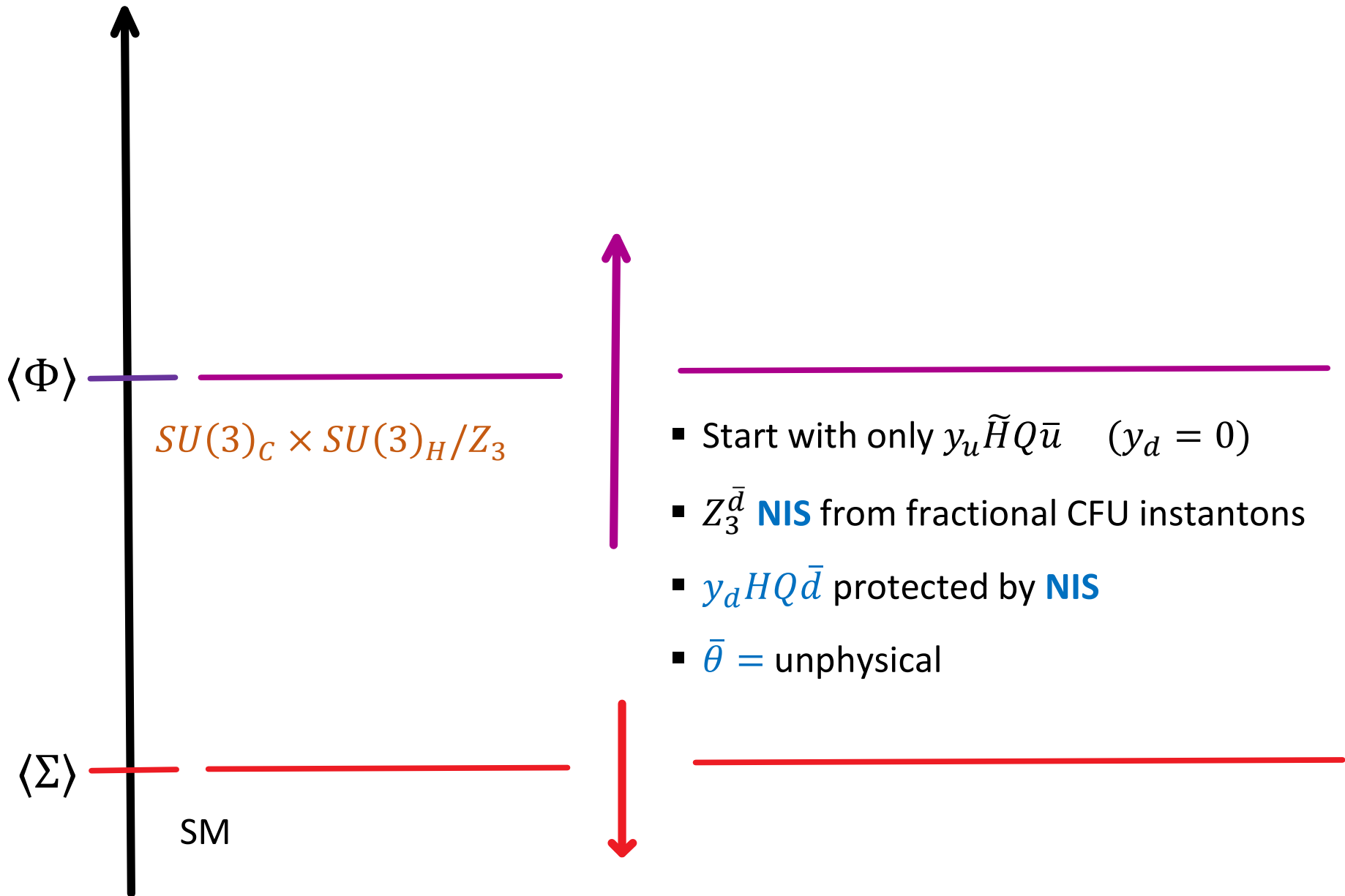
II-2. Massless quark solution

III. Strong CP Problem-II: UV to IR

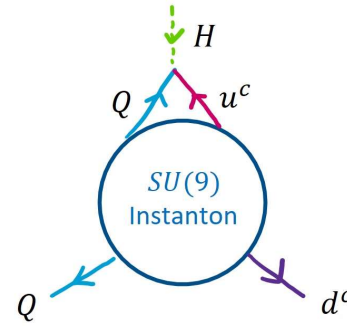
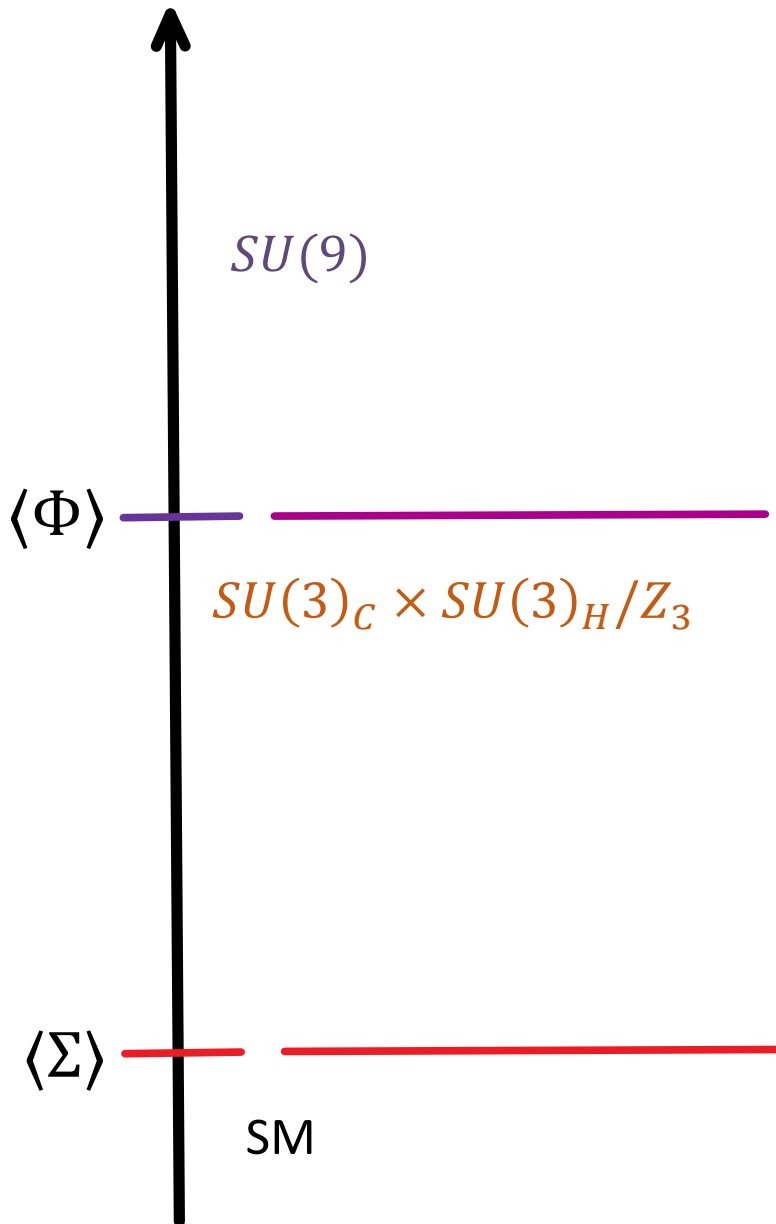
III-1. $SU(9)$ Color-Flavor unification

III-2. Flavor structure and CKM CPV phase

Solving Strong CP with Non-Invertible Symmetry



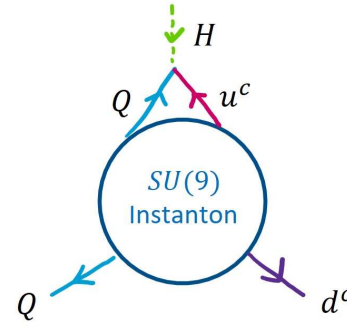
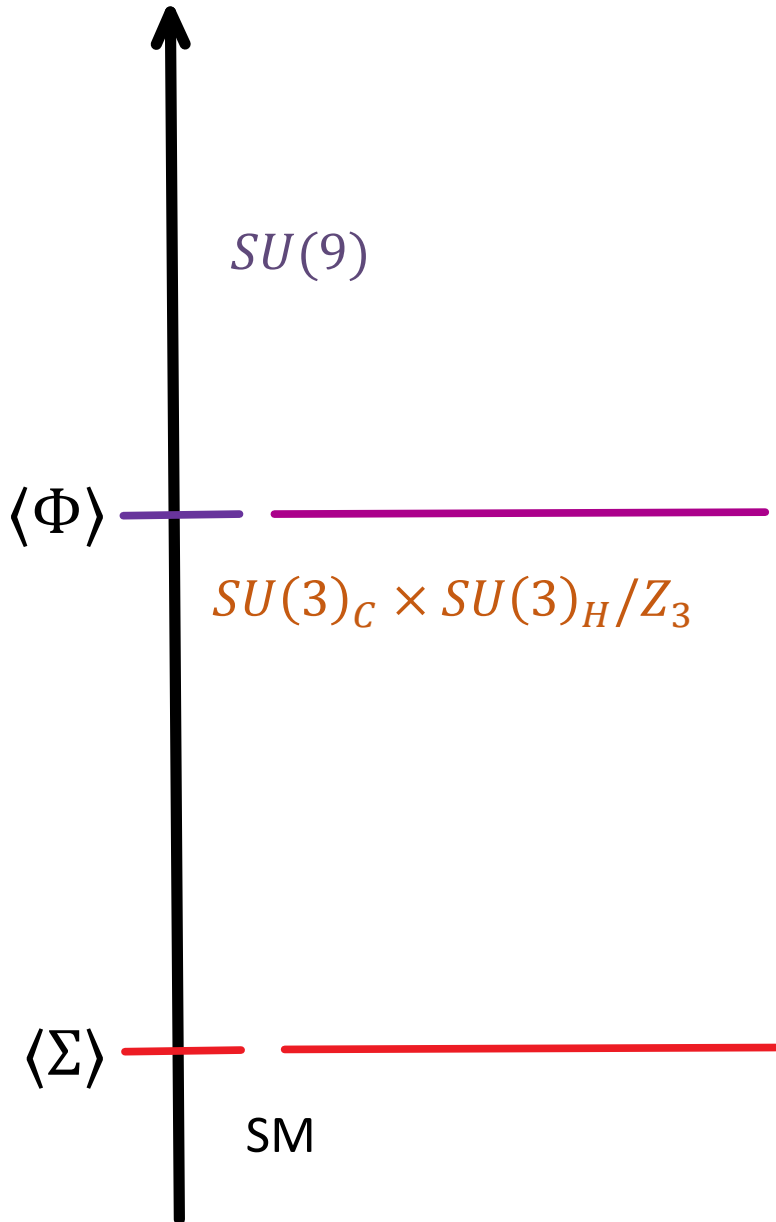
Solving Strong CP with Non-Invertible Symmetry



$$\mathcal{L}_{UV} \supset y_u \tilde{H} Q \bar{u} + y_u^* e^{-\frac{2\pi}{\alpha_9} H Q \bar{d}} + \dots$$

- Start with only $y_u \tilde{H} Q \bar{u}$ ($y_d = 0$)
- $Z_3^{\bar{d}}$ **NIS** from fractional CFU instantons
- $y_d H Q \bar{d}$ protected by **NIS**
- $\bar{\theta} = \text{unphysical}$

Solving Strong CP with Non-Invertible Symmetry



$$\mathcal{L}_{UV} \supset y_u \tilde{H} Q \bar{u} + y_u^* e^{-\frac{2\pi}{\alpha_9} H Q \bar{d}} + \dots$$

- Start with only $y_u \tilde{H} Q \bar{u}$ ($y_d = 0$)
- $Z_3^{\bar{d}}$ **NIS** from fractional CFU instantons
- $y_d H Q \bar{d}$ protected by **NIS**
- $\bar{\theta} = \text{unphysical}$

Yukawa texture and CKM CPV (+possible $\bar{\theta}$)
(**Hermitian** Yukawa + **down-philic** interactions)

THANK YOU
FOR
YOUR ATTENTION!