

Monopole-Fermion Scattering and Generalised Symmetries

Philip Boyle Smith

EFT \subseteq EFT, π day 2024

What's this about?

- What happens when a **fermion** bounces off a **monopole**?
- Spoiler: It scatters to the **twisted sector** of an **ABJ defect**.

What's this about?

- What happens when a **fermion** bounces off a **monopole**?
- Spoiler: It scatters to the **twisted sector** of an **ABJ defect**.

Based on

- 2306.07318, *“Monopoles, Scattering, and Generalized Symmetries”*

with

- Marieke van Beest
- Diego Delmastro
- Zohar Komargodskii
- David Tong

and morally also with

- Gabriel Cuomo, Masataka Watanabe, Kantaro Ohmori

The Plan of the Talk

- 1 The Monopole-Fermion Problem
- 2 A Toy 2d Model
- 3 The Actual 2d Model
- 4 Lifting to 4d

Table of Contents

- 1 The Monopole-Fermion Problem
- 2 A Toy 2d Model
- 3 The Actual 2d Model
- 4 Lifting to 4d

Our Setup

- 1 QED with N_f flavours:

$$U(1) \text{ gauge field} \quad + \quad N_f \text{ Dirac fermions}_{+1}$$

- 2 Place a charge- n monopole at the origin:

$$A = \frac{n}{2}(1 - \cos(\theta))d\phi$$

- 3 Attempt to scatter fermions off it.

Note

Unusually, we will write the fermions in terms of the variables

$$\psi_{i=1\dots N_f} \quad \tilde{\psi}_{i=1\dots N_f}$$

where ψ_i and $\tilde{\psi}_i$ are both **left-handed Weyl fermions**, with **opposite charges** $+1$ and -1 .

Partial waves: A scalar field...

A scalar field has a **partial wave expansion**

$$\phi(\mathbf{x}, t) = \sum_{\ell=0}^{\infty} \sum_{m=-\ell}^{\ell} \phi_{\ell m}(r, t) Y_{\ell m}(\theta, \phi)$$

We will denote this expansion by a table

$$\begin{array}{c} \hline SU(2)_{\text{rot}} \\ \vdots \\ \mathbf{5} \\ \mathbf{3} \\ \mathbf{1} \end{array}$$

where $\mathbf{2\ell + 1}$ represents the multiplet of fields $\phi_{\ell m}$.

Partial waves: A scalar field + magnetic flux...

In the presence of **magnetic flux** n , this is shifted to

$$\frac{SU(2)_{\text{rot}}}{\vdots}$$

$$|n| + \mathbf{5}$$

$$|n| + \mathbf{3}$$

$$|n| + \mathbf{1}$$

Partial waves: A Weyl fermion + magnetic flux...

Tensoring with the spin- $\frac{1}{2}$ representation,

		$SU(2)_{\text{rot}}$	
		\vdots	\vdots
$ \mathbf{n} + 5$	↘	$ \mathbf{n} + 4$	$\times 2$
$ \mathbf{n} + 3$	↘	$ \mathbf{n} + 2$	$\times 2$
$ \mathbf{n} + 1$	↘	$ \mathbf{n} $	$\times 1$

we get the result for a [Weyl fermion](#).

Partial waves: A Weyl fermion + magnetic flux...

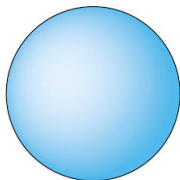
The equation of motion says each 2d field is **chiral**. Specifically, it moves either *in* or *out*:

direction	$SU(2)_{\text{rot}}$
\vdots	\vdots
in + out	$ \mathbf{n} + 4$
in + out	$ \mathbf{n} + 2$
in if $n > 0$, out if $n < 0$	$ \mathbf{n} $

We observe only that the **lowest partial wave** is chiral.

Via the Index Theorem

This structure arises because the partial waves are governed by the spectrum of \not{D} on S^2 with n units of magnetic flux:



$$\text{index}(\not{D}) = \int_{S^2} \frac{F}{2\pi} = n$$

Thus,

$n > 0 \quad \implies \quad n$ positive-chirality zero-modes

$n < 0 \quad \implies \quad n$ negative-chirality zero-modes

Partial-wave Decompositions

- Decomposing ψ_i into partial waves,

in-mover or out-mover?	$SU(2)_{\text{rot}}$
\vdots	\vdots
in + out	$ \mathbf{n} + 4$
in + out	$ \mathbf{n} + 2$
in	$ \mathbf{n} $

- Decomposing $\tilde{\psi}_i$ into partial waves,

in-mover or out-mover?	$SU(2)_{\text{rot}}$
\vdots	\vdots
in + out	$ \mathbf{n} + 4$
in + out	$ \mathbf{n} + 2$
out	$ \mathbf{n} $

- We assume $n > 0$. Otherwise **in** and **out** are reversed.

Partial-wave Decompositions

- The **higher partial waves** experience a centrifugal barrier ($V(r) \propto 1/r$), and can be ignored.
- Only the **lowest partial wave** penetrates into the core of the monopole and experiences the UV physics.
- Therefore, the scattering reduces to the **lowest partial wave**:

fermion	in-mover or out-mover?	$SU(2)_{\text{rot}}$	$U(1)_Q$
ψ_i	in	$ \mathbf{n} $	+1
$\tilde{\psi}_i$	out	$ \mathbf{n} $	-1

- How do these scatter?
- Answer: $\psi_i \rightarrow \tilde{\psi}_i^*$. This preserves all the symmetries. Right?

What about flavour symmetry?

The theory has an additional $SU(N_f)$ flavour symmetry

fermion	in-mover or out-mover?	$SU(2)_{\text{rot}}$	$U(1)_Q$	$SU(N_f)$
ψ	in	$ \mathbf{n} $	+1	\mathbf{N}_f
$\tilde{\psi}$	out	$ \mathbf{n} $	-1	\mathbf{N}_f

that we can *ask* be preserved.

Note that for $n = 1$ and N_f even, the theory UV completes to

$$\begin{aligned} & SU(2) \text{ gauge field} \\ + & N_f \text{ Weyl doublets } \begin{pmatrix} \psi_i \\ \tilde{\psi}_i \end{pmatrix} \\ + & \text{'t Hooft-Polyakov Monopole} \end{aligned}$$

so it *should* be possible to preserve $SU(N_f)$.

Scattering

- Now how do these scatter?
 - If you said $\psi_i \rightarrow \tilde{\psi}_i^*$, this breaks $SU(N_f)$ since $\mathbf{N}_f \neq \bar{\mathbf{N}}_f$.
 - If you said $\psi_i \rightarrow \tilde{\psi}_i$, this breaks $U(1)_Q$ since $1 \neq -1$.
- In fact there is **no possible final state** consistent with both $SU(N_f)$ and $U(1)_Q$.

Reminder of charges

fermion	$U(1)_Q$	$SU(N_f)$
ψ	+1	\mathbf{N}_f
$\tilde{\psi}$	-1	\mathbf{N}_f

Interpretations

- The scattering

$$\psi_{i,m} \rightarrow \tilde{\psi}_{i,m} - \frac{2}{nN_f} \sum_{i',m'} \tilde{\psi}_{i',m'}$$

works. The question is how to interpret that fraction.

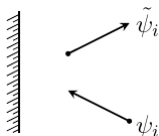
- Using fermionisation/bosonisation, we can view the fermions as **kinks** in 2d free scalar fields $\phi_{i,m}$ and $\tilde{\phi}_{i,m}$. Callan showed that an incoming kink scatters into **fractional kinks** with the above quantum numbers.
- Working in the full $SU(2)$ gauge theory and performing **collective coordinate quantisation** on the monopole confirms these quantum numbers.
- So, what's going on?

Table of Contents

- 1 The Monopole-Fermion Problem
- 2 A Toy 2d Model
- 3 The Actual 2d Model
- 4 Lifting to 4d

The 3450 Model

Consider a 2d system of two free left-moving complex fermions ψ_i , two free right-moving complex fermions $\tilde{\psi}_i$, and a boundary:



We give the fermions charges

	ψ_1	ψ_2	$\tilde{\psi}_1$	$\tilde{\psi}_2$
$U(1)$	3	4	5	0

chosen so that $3^2 + 4^2 = 5^2$, so the $U(1)$ is **non-anomalous**.

The 3450 Model

- Because the $U(1)$ is non-anomalous, there exists a **symmetry-preserving boundary condition**.
- But how do the ψ_i scatter off this boundary?

$$\psi_1 \rightarrow ? \tilde{\psi}_1 + ? \tilde{\psi}_2$$

- Again, there is **no possible final state** consistent with the $U(1)$ symmetry.
- The 3450 model is therefore a close analogue of the fermion-monopole system.

Solving a Problem by Making it Harder

Let us introduce a second $U(1)'$ global symmetry that we *also* demand be preserved by the boundary:

	ψ_1	ψ_2	$\tilde{\psi}_1$	$\tilde{\psi}_2$
$U(1)$	3	4	5	0
$U(1)'$	4	-3	0	5

It is chosen so that $U(1)'$ is **non-anomalous** and has **no mixed anomaly** with $U(1)$.

Such a boundary condition exists, and can be explicitly constructed.

The Scattering

Because we now have as many symmetries as there are fermions, we can now understand the scattering problem more explicitly:

$$\begin{aligned}\psi_1 &\rightarrow \frac{3}{5}\tilde{\psi}_1 + \frac{4}{5}\tilde{\psi}_2 \\ \psi_2 &\rightarrow \frac{4}{5}\tilde{\psi}_1 - \frac{3}{5}\tilde{\psi}_2\end{aligned}$$

where this is derived using the table

	ψ_1	ψ_2	$\tilde{\psi}_1$	$\tilde{\psi}_2$
$U(1)$	3	4	5	0
$U(1)'$	4	-3	0	5

But how to interpret those fractions?

From Boundaries to Interfaces

- The **conformal boundary** can be unfolded into a **conformal interface**:



- Since the unfolded theory is purely right-moving, the interface is **topological**:



Conformal vs Topological

This is because a **conformal interface** obeys

$$(L_n - \bar{L}_{-n})\mathcal{I} = \mathcal{I}(L_n - \bar{L}_{-n})$$

where L_n are the Virasoro generators. A **topological interface** obeys the stronger condition

$$L_n\mathcal{I} = \mathcal{I}L_n$$

$$\bar{L}_n\mathcal{I} = \mathcal{I}\bar{L}_n$$

But in a **purely right-moving** theory, $\bar{L}_n = 0$, so these conditions are equivalent.

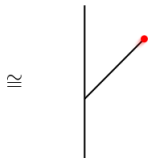
Scattering through Topological Interfaces

- As a particle scatters through the interface, we are free to deform the interface around the particle like a lasso:



It's as if the scattering never happened!

- The two lines in the rope of the lasso can be fused together:



This leaves the final state as a **twist operator** at the end of another topological line.

Computing the Fusion

Let us denote the topological interface by \mathcal{I} . Then we can compute the fusion

$$\mathcal{I} \times \mathcal{I}^\vee = \sum_{i=1}^5 T^i$$

where T is the generator of a \mathbb{Z}_5 symmetry that acts as

$$T : \quad \tilde{\psi}_1 \rightarrow e^{2\pi i \frac{3}{5}} \tilde{\psi}_1 \quad \tilde{\psi}_2 \rightarrow e^{2\pi i \frac{4}{5}} \tilde{\psi}_2$$

Thus the line attached to the twist operator must be some T^i .

Scattering to Twisted States

- It turns out that there is always a unique **twist vacuum** for each T^i . (A twist operator of lowest dimension.)
- Let us *also* denote it by T^i (notation abuse).
- Then the scattering is

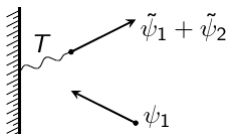
$$\psi_1 \rightarrow T + \tilde{\psi}_1 + \tilde{\psi}_2$$

$$\psi_2 \rightarrow T^3 + \tilde{\psi}_1 + \tilde{\psi}_2^*$$

- The **fractional particle number** is entirely due to T . Indeed, T adds $\tilde{\psi}_1$ -number $\frac{3}{5}$ and $\tilde{\psi}_2$ -number $\frac{4}{5}$, mod 1.

Back to Boundaries

- Finally, we can pass back to the boundary picture by folding back up again:



- T is now the generator of the \mathbb{Z}_5 symmetry

$$\psi_1 \rightarrow \psi_1 \quad \psi_2 \rightarrow \psi_2 \quad \tilde{\psi}_1 \rightarrow e^{2\pi i \frac{3}{5}} \tilde{\psi}_1 \quad \tilde{\psi}_2 \rightarrow e^{2\pi i \frac{4}{5}} \tilde{\psi}_2$$

that acts *only* on the right-movers.

Table of Contents

- 1 The Monopole-Fermion Problem
- 2 A Toy 2d Model
- 3 The Actual 2d Model**
- 4 Lifting to 4d

Fermion-Monopole Scattering in 2d

- Recall that the problem of fermions scattering off a monopole is an **effectively 2d problem** of fermions scattering off a boundary.
- The fermions have the following quantum numbers that must be preserved:

	$U(1)_Q$	$SU(N_f)$	$SU(2)_{\text{rot}}$
ψ	+1	\mathbf{N}_f	$ \mathbf{n} $
$\tilde{\psi}$	-1	\mathbf{N}_f	$ \mathbf{n} $

- We can run very similar arguments as for the 3450 model to understand the scattering in terms of twist operators.

From Fusion

Just like for the 3450 model, we can

- Explicitly determine the **conformal boundary condition**, which was constructed by Affleck and is known as the *dyon state*.
- Unfold it to a **topological interface** \mathcal{I} .
- Compute the **self-fusion** $\mathcal{I} \times \mathcal{I}^\vee$.

The result is

$$\mathcal{I} \times \mathcal{I}^\vee = \sum_{i=1}^{nN_f / \gcd(nN_f, 2)} T^i$$

where T acts by

$$T : \quad \psi_{i,m} \rightarrow \psi_{i,m} \quad \tilde{\psi}_{i,m} \rightarrow e^{2\pi i \frac{-2}{nN_f}} \tilde{\psi}_{i,m}$$

and generates a $\mathbb{Z}_{nN_f / \gcd(nN_f, 2)}$ symmetry.

From Fusion

- Then the scattering is

$$\psi_{i,m} \rightarrow T + \tilde{\psi}_{i,m}$$

- The line T does not touch the non-abelian transformation properties, but adds +2 units of charge.
- This is consistent with our earlier puzzling formula

$$\psi_{i,m} \rightarrow \tilde{\psi}_{i,m} - \frac{2}{nN_f} \sum_{i',m'} \tilde{\psi}_{i',m'}$$

The fractional sum is interpreted as the **twist operator** T .

From an Ansatz

On the other hand, suppose we do *not* know the explicit boundary condition. Can we make progress?

- Assume the line acts on all right-movers by a phase:

$$T : \quad \psi_{i,m} \rightarrow \psi_{i,m} \quad \tilde{\psi}_{i,m} \rightarrow e^{i\theta} \tilde{\psi}_{i,m}$$

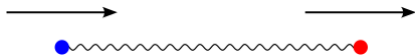
- T commutes with $SU(N_f)$ and $SU(2)_{\text{rot}}$, so cannot change the non-abelian transformation properties.
- T has a mixed anomaly with $U(1)$, so adds charge

$$\underbrace{nN_f}_{\text{number of right-movers}} \times \underbrace{-1}_{\text{charge of each right-mover}} \times \frac{\theta}{2\pi}$$

- Choose $\theta = 2\pi \frac{-2}{nN_f}$ so that T adds +2 units of charge.

From The Perspective of an Experimenter

Twist operators can always be created even in the absence of a boundary. They are not surprising.



But their endpoints always come in pairs of opposite charge.

The novelty of the monopole boundary condition is that the twist line can end on it *without* the junction carrying charge.

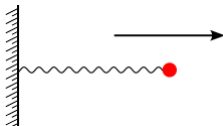
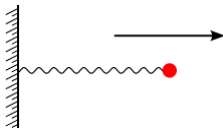


Table of Contents

- 1 The Monopole-Fermion Problem
- 2 A Toy 2d Model
- 3 The Actual 2d Model
- 4 Lifting to 4d**

Summary So Far

We have seen that in the **2d reduction** of monopole scattering, an incoming ψ scatters to $\tilde{\psi}$ plus a twist line T :



But what does this mean in 4d?

Lifting the Twist Line

The twist line T is characterised by its effect on the **chiral lowest partial-wave**:

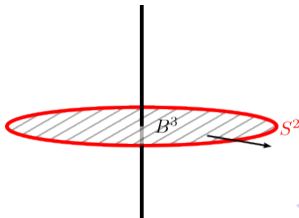
$$\tilde{\psi}_{i,m}(t, r) \rightarrow e^{2\pi i \frac{-2}{nN_f}} \tilde{\psi}_{i,m}(t, r) \quad \text{for } r \leq r_0$$

But this does *not* determine its effect on the **higher partial waves**, and therefore on the 4d fermion.

A natural guess for the effect on the 4d fermion would be

$$\tilde{\psi}_i(t, \mathbf{x}) \rightarrow e^{2\pi i \frac{-2}{nN_f}} \tilde{\psi}_i(t, \mathbf{x}) \quad \text{for } |\mathbf{x}| \leq r_0$$

The analogue of T in 4d is a **3-dimensional surface operator**.



Axial Transformations

But does such a surface operator exist?

Recall that **gauge transformations** act as

$$\psi_i \rightarrow e^{i\theta} \psi_i \quad \tilde{\psi}_i \rightarrow e^{-i\theta} \tilde{\psi}_i$$

while **axial transformations** act as

$$\psi_i \rightarrow e^{i\alpha/2} \psi_i \quad \tilde{\psi}_i \rightarrow e^{i\alpha/2} \tilde{\psi}_i$$

Up to a **gauge transformation**, that is the same as $\tilde{\psi}_i \rightarrow e^{i\alpha} \tilde{\psi}_i$.
The $U(1)_A$ axial symmetry has an **ABJ anomaly**, which breaks it to discrete angles

$$\alpha \in \frac{2\pi}{N_f} \mathbb{Z}$$

Axial Transformations

Allowed:

$$\alpha \in \frac{2\pi}{N_f} \mathbb{Z}$$

Wanted:

$$\alpha = -\frac{2\pi}{N_f} \frac{2}{n}$$

Except for $n = 1, 2$, the symmetry we want is not a symmetry!

Non-invertible ABJ Defects

- In 2205.05086 and 2205.06243, a construction of $U(1)_A$ defects for **any rational angle** was given.
- The catch is that the new defects are **non-invertible**.

$$U_A \left(\alpha = \frac{2\pi p}{N_f q} \right) = \exp \left(i\alpha \int J_A \right) \mathcal{A}^{q,p}[dA/q]$$

where

- the rational number $\frac{p}{q}$ is in lowest terms
- $\mathcal{A}^{q,p}[B]$ is the minimal TQFT with $\mathbb{Z}_q^{[1]}$ symmetry with anomaly $p \bmod q$.

Summary of the Scattering

So, we have argued that the scattering is

$$\psi_i \rightarrow \tilde{\psi}_i + \text{[shaded ellipse]} \quad B^3 \quad S^2$$

The diagram illustrates a scattering process. A vertical black line represents a defect. A horizontal ellipse, shaded with diagonal lines and outlined in red, is centered on the vertical line. The ellipse is labeled B^3 and has a red S^2 label next to it. An arrow points from the right side of the ellipse towards the right.

where the operator supported on the B^3 is an ABJ defect

$$U_A \left(\alpha = -\frac{2\pi}{N_f} \frac{2}{n} \right)$$

The surface carries charge 2, so charge is conserved: $1 = -1 + 2$.

Relation to $U(1)_m^{[1]}$

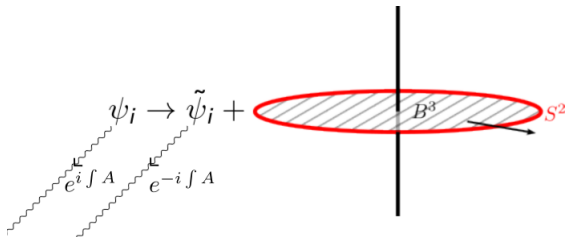
- Suppose our UV theory has **dynamical monopoles of charge n** , one of which is the monopole we are scattering off.
- Then although $U(1)_m^{[1]}$ is broken, a subgroup $\mathbb{Z}_n^{[1]} \subseteq U(1)_m^{[1]}$ survives.
- This implies the presence of symmetry operators $U_A \left(\alpha = \frac{2\pi}{N_f} \frac{k}{n} \right)$ with topological junctions with the monopole.
- Thus the surface $U_A \left(\alpha = -\frac{2\pi}{N_f} \frac{2}{n} \right)$ which implements monopole-scattering is indeed present in the EFT.

The Charge on the S^2

- How can a *surface* carry gauge charge?
It has the wrong dimension!
The gauge symmetry is a 0-form symmetry, so the charged objects are points.
- How, then, can we understand the fact the surface adds gauge charge $+2$?

Dressing with Wilson lines

Recall that when we discuss scattering in gauge theories, all **charged particles** must be dressed with **Wilson lines** to make them gauge invariant:



So, the question is: where does a charge $+2$ Wilson line attach to the ABJ defect?

The TQFT on the ABJ defect

In more detail, the TQFT on the ABJ defect is an **abelian Chern-Simons theory**,

$$S = \int_{B^3} \frac{1}{4\pi} a^T K da + \frac{1}{2\pi} a^T v dA$$

with a certain K matrix and v vector, satisfying $v^T K^{-1} v = -2/n$.

Example 1: When n is even, we have

$$K = -(n/2) \quad v = (1)$$

Example 2: When n is odd, we have

$$K = - \begin{pmatrix} \frac{n+1}{2} & 1 \\ 1 & 2 \end{pmatrix} \quad v = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$$

Monopole Operators

The Chern-Simons action

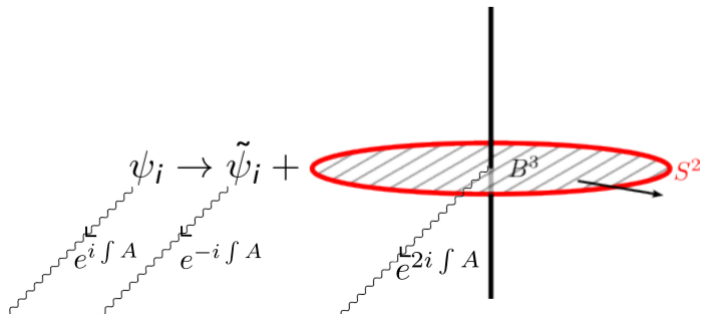
$$S = \int_{B^3} \frac{1}{4\pi} a^T K da + \frac{1}{2\pi} a^T v dA$$

causes monopoles to acquire electric charge.

- We have a background monopole in A of magnetic charge n .
- Its junction with B^3 acquires electric charge nv under a .
- We must add a **monopole operator for** a of magnetic charge $-K^{-1}nv$.
- This cancels the electric charge under a , but adds electric charge $-v^T K^{-1}nv = 2$ under A .

Dressing with Wilson lines (fixed)

Thus, the junction carries electric charge $+2$:



Despite this, we should *still* think of the charge density as being localised at the surface S^2 .

Conclusion and Open Questions

Summary: A fermion scatters off a monopole into the twisted sector of an ABJ defect with angle $-\frac{2\pi}{N_f} \frac{2}{n}$.

- Yes, but *what does that mean?*
What does an experimenter see in their particle detector?
Is it a local excitation, or a surface?
- What about monopoles in **more general chiral gauge theories?**
E.g. $U(1) +$ Weyl fermions of charges $1, 5, -7, -8, 9$.
Does a suitable 2d boundary even exist?
If it does, what symmetry could it possibly lift to in 4d?
- In particular, it seems there are sensible UV completions (the $SU(5)$ GUT) where certain monopoles still admit no obvious 2d boundary, and there is apparently **no possible 4d symmetry defect**. For these cases, monopole-fermion scattering is still mysterious.

The End