



# A variety of partially solvable models: From closed spin chains to open spin chains

[Phys. Rev. B 109, 104307 (2024), arXiv:2409.03208, Work in progress with KPP]

Focus Week on Non-equilibrium Quantum Dynamics

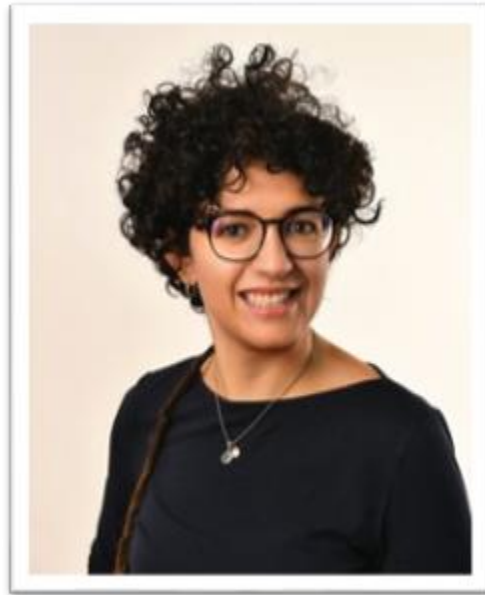
@IPMU, Sep. 30 – Oct. 04

Chihiro Matsui (The University of Tokyo)

# Collaborators



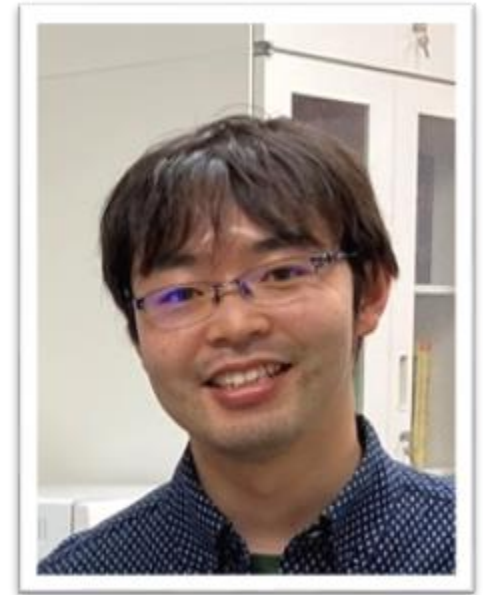
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# Outline



- What is “partial solvability”?
  - Definition of partial solvability
  - Thermalization & quantum many-body scars (QMBS)
- Closed partially solvable models
  - Restricted spectrum generating algebra (rSGA)
  - Hilbert space fragmentation (HSF)
- Open partially solvable models
  - Restricted spectrum generating algebra (rSGA)
  - Hilbert space fragmentation (HSF)
- Concluding remarks

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# Integrability



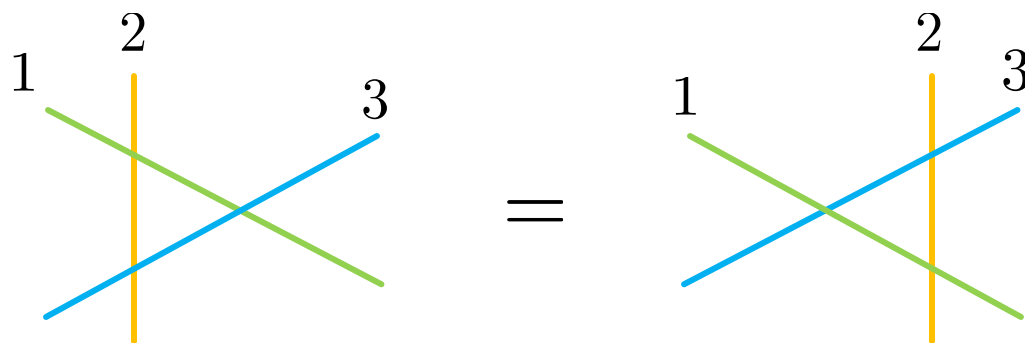
- (Quantum) Integrable systems

- No clear definition.

- Often said to be “integrable” if the Yang-Baxter structure exists.

$$R_{12}(\lambda_1, \lambda_2)R_{23}(\lambda_2, \lambda_3)R_{13}(\lambda_1, \lambda_3) = R_{13}(\lambda_1, \lambda_3)R_{23}(\lambda_2, \lambda_3)R_{12}(\lambda_1, \lambda_2)$$

$$R_{12}, R_{23}, R_{13} \in \text{End}(V_1 \otimes V_2 \otimes V_3)$$



Decomposing a many-body scattering into a sequence of two-body scatterings does not depend on the way of decomposition.

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$$R_{12}, R_{23}, R_{13} \in \text{End}(V_1 \otimes V_2 \otimes V_3)$$

- Never thermalize.

⇒ Violation of (strong) eigenstate thermalization hypothesis (ETH)  $\hat{=}$  **Typicality**

$$\lim_{N \rightarrow \infty} \langle E_a | X_{\text{macro}} | E_a \rangle = \langle X_{\text{macro}} \rangle_{\text{MC}}, \quad \forall E_a \in (E - \delta E, E]$$

[Deutsch (1991), Srednicki (1994),...]

[Biroli et al. (2010), Iyoda et al. (2017)]

# Partial Solvability



- Partially solvable systems
  - Hamiltonians with some solvable energy eigenstates (not all).
  - Hamiltonians with the block diagonal structure.

$$\mathcal{H} \simeq W \oplus W^\perp$$

↑  
Solvable (invariant) subspace

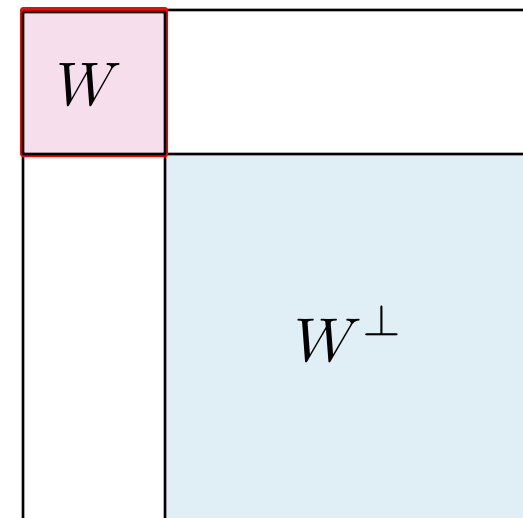
⇒ Solvability does not necessarily come from integrability.

e.g. Projector embeddings [Shiraishi et al. (2017)]

Restricted spectrum generating algebra (rSGA) [Moudgalya et al. (2018)]

[Vefek et al. (2017), Moudgalya et al. (2020)]

Hilbert space fragmentation (HSF) [Pai et al. (2019), Sala et al. (2020), Khemani et al. (2020)]



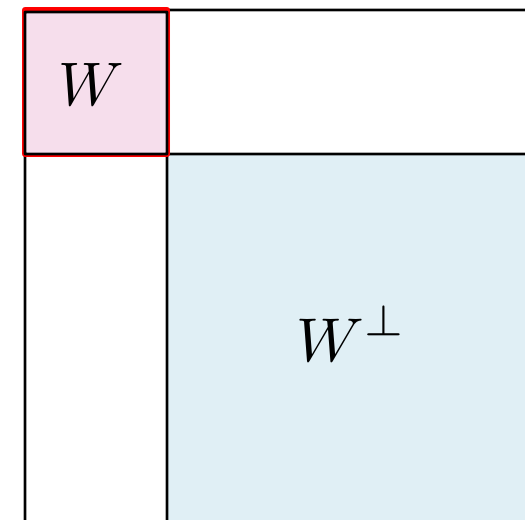
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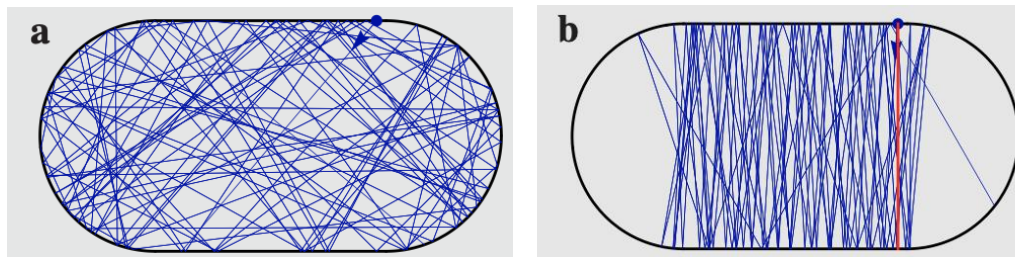
↑  
Solvable (invariant) subspace



[Shiraishi et al. (2017),  
Turner et al. (2017),  
Bernien et al. (2017)]

- Partially non-thermalize. **“Quantum many-body scars (QMBS)”**  
⇒ Weakly violate ergodicity in Hilbert space. **≡ Principle of equal probability**

e.g. Scar in stadium billiard  
[Serbyn et al. (2021)]



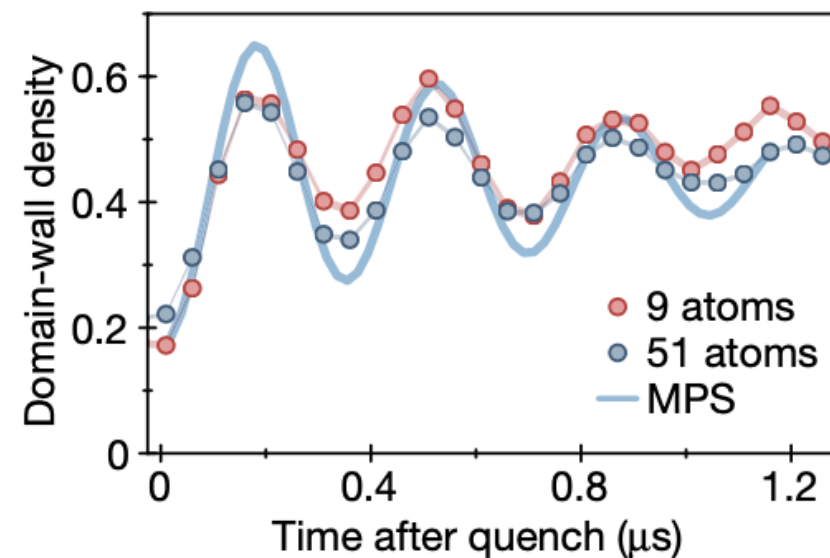
- a. starting away from unstable periodic trajectory
- b. starting from near unstable periodic trajectory



# Thermalization & QMBS



- QMBS exhibit
  - Persistent oscillation in local observables.
  - Relatively small entanglement entropy  $\sim o(V)$  compared to those of thermal states  $\sim O(V)$ .
- Matrix product states
  - Have entanglement entropy estimated by their bond dimensions  $\chi$  from above.
$$S_{EE} := -\text{tr}(\rho' \log \rho') \leq \log \chi$$
  - **With a finite bond dimension is a good benchmark for finding QMBS.**



Domain-wall density after the quench from  $|\mathbb{Z}_2\rangle = |\bullet \circ \bullet \circ \dots\rangle$  on the Rydberg atom chain.  
(●: Excited state; ○: Ground state)

[Bernien et al. (2017); Nature 551, 579 (Fig. 6b)]

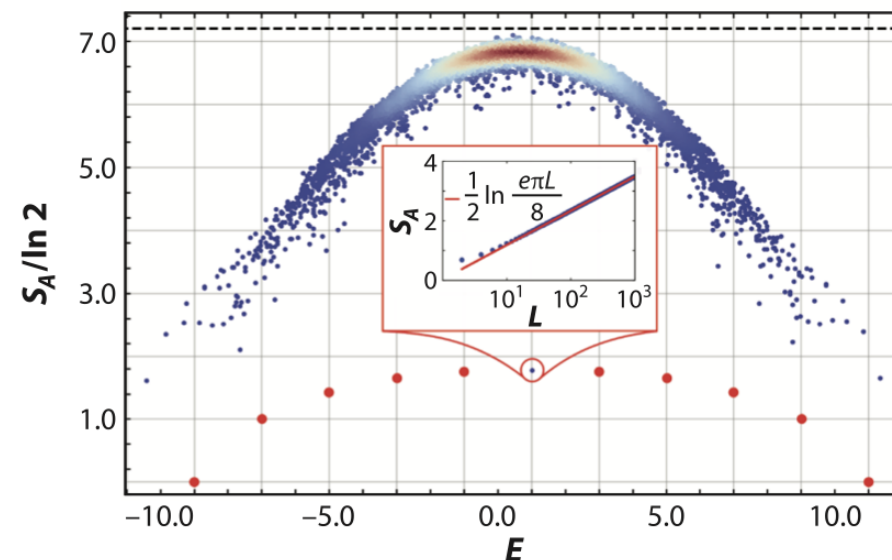
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Half-chain entanglement entropy of the spin-1 XY model in zero-magnetization sector.

[Chandran et al. (2003); Ann. Rev. 14, 443 (Fig. 1c)]

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# Restricted Spectrum Generating Algebra



- Restricted spectrum-generating algebra (rSGA) [Arno et al. (1988), Yang (1989)]  
[Moudgalya et al. (2018)]
  - Partial dynamical symmetry

$$\exists Q, \quad \text{s.t. } [H, Q] - \mathcal{E}Q|_W = 0, \quad W \subset \mathcal{H}, \quad Q : \text{Local operator}$$

- The solvable subspace is systematically constructed if  $|\psi_0\rangle$  is an energy eigenstate:

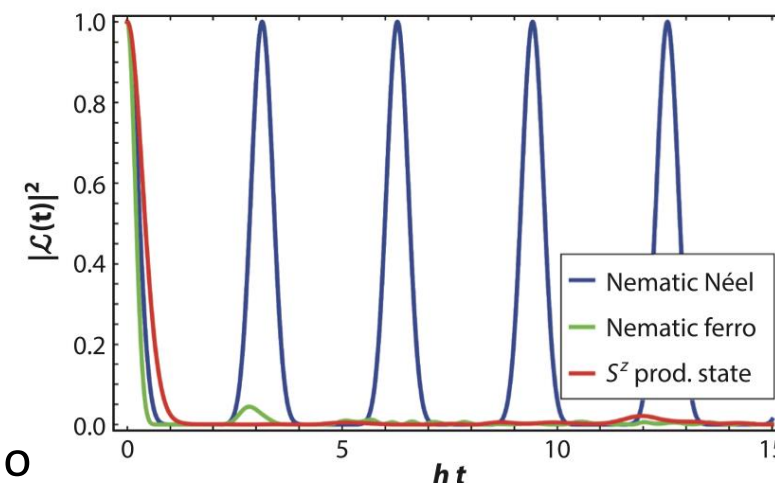
$$H|\psi_0\rangle = \mathcal{E}_0|\psi_0\rangle \Rightarrow HQ^n|\psi_0\rangle = (\mathcal{E}_0 + n\mathcal{E})|\psi_0\rangle$$

$$|\psi(0)\rangle = \sum_n c_n Q^n |\psi_0\rangle, \quad c_n \in \mathbb{R}$$

$$\langle \psi(t) | \mathcal{O}_{\text{local}} | \psi(t) \rangle$$

$$= \sum_{m,n} c_m c_n e^{i\mathcal{E}(m-n)t} \langle \psi(0) | \mathcal{O}_{\text{local}} | \psi(0) \rangle$$

Strong revivals observed in dynamics of Loschmidt echo for the spin-1 XY from each initial state. [Chandran et al. (2023); Ann. Rev. 14, 443 (Fig. 1d)]



# Restricted Spectrum Generating Algebra



- Simple example: free fermion model

$$H = \sum_k \Lambda_k \eta_k^\dagger \eta_k$$

$$\{\eta_k, \eta_\ell^\dagger\} = \delta_{k,\ell}, \quad \{\eta_k, \eta_\ell\} = \{\eta_k^\dagger, \eta_\ell^\dagger\} = 0$$

- “Spectrum generating algebra” (SGA)

$$[H, \eta_k^\dagger] = \Lambda_k \eta_k^\dagger \Rightarrow \text{Provides the spectrum for a tower of states}$$
$$\{|\text{vac}\rangle, \eta_{k_1}^\dagger |\text{vac}\rangle, \eta_{k_2}^\dagger \eta_{k_1}^\dagger |\text{vac}\rangle, \dots\}$$

- Energy eigenstates

$$H \eta_{k_1}^\dagger \cdots \eta_{k_n}^\dagger |\text{vac}\rangle = (\Lambda_{k_1} + \cdots + \Lambda_{k_n}) \eta_{k_1}^\dagger \cdots \eta_{k_n}^\dagger |\text{vac}\rangle$$

# Restricted Spectrum Generating Algebra



- Example of rSGA: perturbed spin-1 XY model [[Schecter et al. \(2019\)](#)]

$$H = \sum_{x=1}^N \mathbf{1} \otimes \cdots \otimes_{x,x+1} h \otimes \cdots \otimes \mathbf{1} \in \text{End}((\mathbb{C}^3)^N), \quad \mathbb{C}^3 = \text{span}\{|0\rangle, |1\rangle, |2\rangle\}$$

$$h = \frac{J}{2}(S^x \otimes S^x + S^y \otimes S^y) + \frac{m}{2}(S^z \otimes \mathbf{1} + \mathbf{1} \otimes S^z)$$

- Spin-1 operators

$$S^x = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}, \quad S^y = \frac{1}{\sqrt{2}i} \begin{pmatrix} 0 & 1 & 0 \\ -1 & 0 & 1 \\ 0 & -1 & 0 \end{pmatrix}, \quad S^z = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & -1 \end{pmatrix}$$

- Non-integrable spin-1 chain. (Not all energy eigenstates are solvable. )

# Restricted Spectrum Generating Algebra



- Example of rSGA: perturbed spin-1 XY model

[Schecter et al. (2019)]

- Trivial energy eigenstate

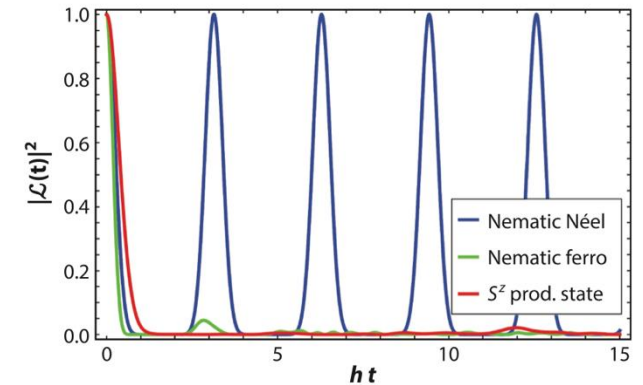
$$H|\Omega\rangle = -hN|\Omega\rangle, \quad |\Omega\rangle = |22\dots 2\rangle$$

- Subspace of quasiparticle (bimagnon) excitations

$$W = \text{span}\{(Q^\dagger)^n|\Omega\rangle\}_n, \quad Q^\dagger = \sum_{x=1}^N (-1)^x (S_x^+)^2$$

is the solvable subspace due to the spectrum generating algebra

$$[H, Q^\dagger] - 2mQ^\dagger \Big|_W = 0.$$



[Chandran et al. (2023);  
Ann. Rev. 14, 443 (Fig. 1d)]

Energy

$$\begin{aligned} & \vdots \\ & \underline{H(Q^\dagger)^3|\Omega\rangle = (6m - hN)(Q^\dagger)^3|\Omega\rangle} \\ & \underline{H(Q^\dagger)^2|\Omega\rangle = (4m - hN)(Q^\dagger)^2|\Omega\rangle} \\ & \underline{HQ^\dagger|\Omega\rangle = (2m - hN)Q^\dagger|\Omega\rangle} \\ & \underline{H|\Omega\rangle = -hN|\Omega\rangle} \end{aligned}$$

# Restricted Spectrum Generating Algebra



- Example of rSGA: AKLT-type model [Moudgalya et al. (2018), CM (2024)]

$$H = \sum_{x=1}^N \mathbf{1} \otimes \cdots \otimes_{x,x+1} h \otimes \cdots \otimes \mathbf{1} \in \text{End}((\mathbb{C}^3)^N), \quad \mathbb{C}^3 = \text{span}\{|0\rangle, |1\rangle, |2\rangle\}$$

$$h = \alpha(|00\rangle\langle 00| + |22\rangle\langle 22|) + \beta|11\rangle\langle 11|$$

$$+ \frac{\alpha}{2} \sum_{a \in \{0,2\}} (\gamma|a1\rangle\langle a1| + |a1\rangle\langle 1a| + |1a\rangle\langle a1| + \gamma|1a\rangle\langle 1a|)$$

$$+ \omega^2 \beta (|02\rangle\langle 02| + |02\rangle\langle 20| + |20\rangle\langle 02| + |20\rangle\langle 20|)$$

$$- \omega \beta (|02\rangle\langle 11| + |11\rangle\langle 02| + |11\rangle\langle 20| + |20\rangle\langle 11|)$$

: ALKT at  $\frac{\beta}{\alpha} = \frac{2}{3}, \gamma = 1, \omega = -\frac{1}{2}$ .

- Non-integrable spin-1 chain. (Not all energy eigenstates are solvable. )
- The ground state and some excitation states were known to be solvable for AKLT. [Affleck et al. (1987), Arovas (1989)]



# Restricted Spectrum Generating Algebra



- Example of rSGA: AKLT-type model [Moudgalya et al. (2018), CM (2024)]

- The exact zero-energy state is written by the matrix product state:

$$\begin{aligned} |\psi_A\rangle &= \sum_{\{m_1, \dots, m_N\} \in \{0, 1, 2\}^N} \text{tr}_a(K_a A_{m_1} A_{m_2} \cdots A_{m_N}) |m_1, m_2, \dots, m_N\rangle \in (\mathbb{C}^3)^N \\ &= \text{tr}_a(K_a \vec{A} \otimes_p \vec{A} \otimes_p \cdots \otimes_p \vec{A}) \end{aligned}$$

$$\vec{A} = \begin{pmatrix} a_0 \sigma^+ \\ a_1 \sigma^z \\ a_2 \sigma^- \end{pmatrix}, \quad a_1^2 / a_0 a_2 = \omega, \quad a_0, a_1, a_2 \in \mathbb{C}, \quad \sigma^+, \sigma^z, \sigma^- : \text{Pauli matrices}$$

- $K_a \in \text{End}(\mathbb{C}^2)$  is determined by the boundary condition.  
(  $K_a = \mathbf{1}_a$  for the periodic boundary;  $\text{rank } K_a = 1$  for an open boundary)

# Restricted Spectrum Generating Algebra



- Example of rSGA: AKLT-type model [Moudgalya et al. (2018), CM (2024)]

- The exact zero-energy state is written by the matrix product state:

$$|\psi_A\rangle = \text{tr}_a(\vec{A} \otimes_p \vec{A} \otimes_p \cdots \otimes_p \vec{A})$$

$$\Leftarrow h(\vec{A} \otimes_p \vec{A}) = \vec{A}' \otimes_p \vec{A} - \vec{A} \otimes_p \vec{A}' : \text{Local divergence condition/} \\ \text{Frustration-free condition for } \vec{A}' = 0$$

- Quasiparticle-picture for the excitation states:

$$|\psi_{A,B^n}\rangle = (Q^\dagger)^n |\psi_A\rangle, \quad Q^\dagger := \sum_{x=1}^N e^{i\pi x} (S_x^+)^2 : \text{Creates a quasiparticle with momentum } \pi. \\ = \sum_{x_1, \dots, x_n} e^{i\pi \sum_{j=1}^n x_j} \text{tr}_a(\vec{A} \otimes_p \cdots \otimes_p \vec{B}_{x_1} \otimes_p \cdots \otimes_p \vec{B}_{x_n} \otimes_p \cdots \otimes_p \vec{A}), \quad \vec{B} := (S^+)^2 \vec{A}$$

$$\Leftarrow h(\vec{B} \otimes_p \vec{A} + e^{i\pi} \vec{A} \otimes_p \vec{B}) = \frac{\mathcal{E}}{2} (\vec{B} \otimes_p \vec{A} + e^{i\pi} \vec{A} \otimes_p \vec{B}) - (\vec{B} \otimes \vec{A}' - e^{i\pi} \vec{A}' \otimes \vec{B})$$

$$\vec{B} \otimes_p \vec{B} = 0, \quad (S^+)^2 \vec{B} = 0 : \text{No double/adjacent occupations are allowed.}$$

# Restricted Spectrum Generating Algebra



- Example of rSGA: AKLT-type model [Moudgalya et al. (2018), CM (2024)]

- Restricted spectrum-generating algebra:

$$[H, Q^\dagger] - \mathcal{E}Q^\dagger|_W = 0$$

$$W = \text{span} \{|\psi_A\rangle, Q^\dagger|\psi_A\rangle, (Q^\dagger)^2|\psi_A\rangle, \dots, (Q^\dagger)^{\lfloor \frac{N}{2} \rfloor}|\psi_A\rangle\}$$

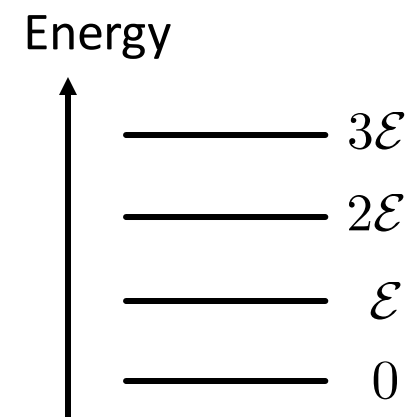
- Embedded equally-spaced energy spectrum

$$H|\psi_A\rangle = 0$$

$$HQ^\dagger|\psi_A\rangle = \mathcal{E}Q^\dagger|\psi_A\rangle \quad \text{: Embedded equally-spaced spectrum}$$

⋮

$$H(Q^\dagger)^n|\psi_A\rangle = n\mathcal{E}(Q^\dagger)^n|\psi_A\rangle$$



# Beyond rSGA



- Generalization of the AKLT-type model [CM (2024)]
  - Quasiparticle-excitation states

$$(Q^\dagger)^n |\psi_A\rangle, \quad Q^\dagger := \sum_{x=1}^N e^{i\pi x} (S_x^+)^2 \quad : \text{Carrying momentum } \pi$$



$$Q^\dagger(k) := \sum_{x=1}^N e^{ikx} (S_x^+)^2 \quad : \text{Carrying momentum } k$$

- Repulsive property is lost.

$$\vec{B} \otimes_p \vec{B} = 0, \quad (S^+)^2 \vec{B} \neq 0$$

# Beyond rSGA



- Perturbed XXC model [CM (2024)]

$$H = \sum_{x=1}^N \mathbf{1} \otimes \cdots \otimes h_{x,x+1} \otimes \cdots \otimes \mathbf{1}$$

$$h = \sum_{a \in \{0,2\}} (|a1\rangle\langle a1| + |1a\rangle\langle 1a| + |a1\rangle\langle 1a| + |1a\rangle\langle a1|) + \alpha(|00\rangle\langle 00| + |22\rangle\langle 22|)$$

XXC model (integrable)

- $W = \text{span} \{ |\psi_{A,B^n}\rangle \}_n$  is solvable subspace of  $H$ .

$$|\psi_{A,B^n}\rangle = \sum_{1 \leq x_1 < \cdots < x_n \leq N} \sum_{P \in \mathfrak{S}_n} A_n(P) e^{i \sum_{j=1}^n k_{P(j)} x_j} \text{tr}_a(\vec{A} \otimes_p \cdots \otimes_p \vec{B}_{x_1} \otimes_p \cdots \otimes_p \vec{B}_{x_n} \otimes_p \cdots \otimes_p \vec{A})$$

: Bethe-like state

$$\neq \prod_{j=1}^n Q^\dagger(k_j) |\psi_A\rangle \Leftrightarrow \vec{B} = \begin{pmatrix} b_0 & 0 & 0 \\ 0 & b_1 & 0 \\ 0 & 0 & b_0 \end{pmatrix} \vec{A}, \quad \begin{pmatrix} b_0 & 0 & 0 \\ 0 & b_1 & 0 \\ 0 & 0 & b_0 \end{pmatrix} \vec{B} \neq 0$$

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: Bethe-like state

$$e^{ik_j N} = (-1)^{n-1} \prod_{l=1, l \neq j}^n \frac{e^{i(k_j+k_l)} + 1 - 2e^{ik_j}}{e^{i(k_j+k_l)} + 1 - 2e^{ik_l}}, \quad \forall j = 1, \dots, n$$

: Bethe-ansatz equations for s=1/2 XXX

# Beyond rSGA



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$$H|\psi_{A,B^n}\rangle = \mathcal{E}_n(\{k_j\})|\psi_{A,B^n}\rangle,$$

$$\mathcal{E}_n(\{k_j\}) = \left(2 \sum_{j=1}^n \cos k_j - \frac{n}{2}\right)$$

$$\Leftrightarrow h\vec{A} \otimes_p \vec{A} = h\vec{B} \otimes_p \vec{B} = 0$$

$$h\vec{A} \otimes_p \vec{B} = -\vec{A} \otimes_p \vec{B} + \vec{B} \otimes_p \vec{A}$$

$$h\vec{B} \otimes_p \vec{A} = \vec{A} \otimes_p \vec{B} - \vec{B} \otimes_p \vec{A}$$

Embedded  $s=1/2$  XXX spectrum (not equally-spaced)  
 $\Rightarrow$  Interacting quasiparticles

# Beyond rSGA



- Perturbed XXC model [CM (2024)]

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$$h = \sum_{a \in \{0,2\}} \underbrace{(|a1\rangle\langle a1| + |1a\rangle\langle 1a| + |a1\rangle\langle 1a| + |1a\rangle\langle a1|)}_{\text{XXC model (integrable)}} + \alpha(|00\rangle\langle 00| + |22\rangle\langle 22|)$$

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Embedded  $s=1/2$  XXX spectrum (not equally-spaced)  
 $\Rightarrow$  Interacting quasiparticles

Why?  $\Rightarrow$  Hilbert-space fragmentation



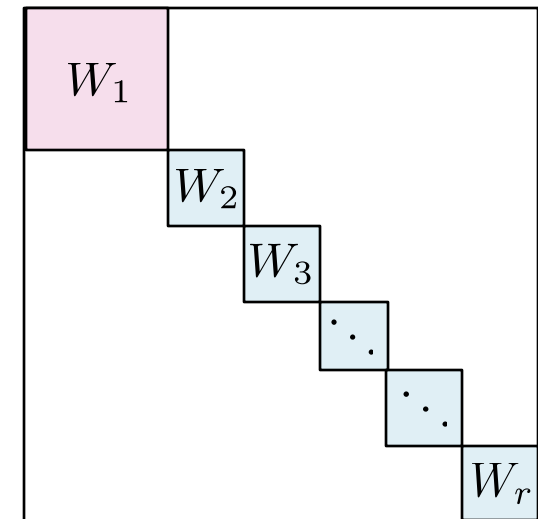
# Hilbert space fragmentation

- **Hilbert-space fragmentation** (HSF; Krylov restricted thermalization)

[Retort et al. (2003), Pai et al. (2019)]

$$\mathcal{H} = \bigoplus_{\alpha=1}^r W_{\alpha}, \quad W_{\alpha} = \text{span} \{H^{n_{\alpha}} |\psi_{\alpha}\rangle\}_{n_{\alpha}}$$

- Exponentially-many block diagonal structure.
- **Fragmented subspaces are not distinguished by obvious local symmetries of  $H$ .**
- Solvable subspaces are sometimes embedded (not always).



# Hilbert space fragmentation

- Simple example: Sato's model [Sato (1995)]

$$H = \sum_{x=1}^N \mathbf{1} \otimes \cdots \otimes h_{x,x+1} \otimes \cdots \otimes \mathbf{1}$$

$$h = \sum_{\substack{a,b=0 \\ a \neq b}}^{2s} f(a,b) |ab\rangle \langle ba| + \sum_{r=0}^{2s} c(r) |rr\rangle \langle rr| + \sum_{\substack{a,b=0 \\ a \neq b}}^{2s} g(a,b) |ab\rangle \langle ab|$$

- Non-integrable arbitrary spin- $s$  chain.
- The interactions **only exchange** the neighboring configurations.

$$\begin{aligned} H : |a_1, a_2, a_3, a_4\rangle &\mapsto f(a_1, a_2) |a_2, a_1, a_3, a_4\rangle + f(a_2, a_3) |a_1, a_3, a_2, a_4\rangle \\ &+ f(a_3, a_4) |a_1, a_2, a_4, a_3\rangle + f(a_4, a_1) |a_4, a_2, a_3, a_1\rangle \\ &+ (g(a_1, a_2) + g(a_2, a_3) + g(a_3, a_4) + g(a_4, a_1)) |a_1, a_2, a_3, a_4\rangle \end{aligned}$$

# Hilbert space fragmentation



- Simple example: Sato's model [Sato (1995)]

$$H = \sum_{x=1}^N \mathbf{1} \otimes \cdots \otimes h_{x,x+1} \otimes \cdots \otimes \mathbf{1}$$

$$h = \sum_{\substack{a,b=0 \\ a \neq b}}^{2s} f(a,b) |ab\rangle \langle ba| + \sum_{r=0}^{2s} c(r) |rr\rangle \langle rr| + \sum_{\substack{a,b=0 \\ a \neq b}}^{2s} g(a,b) |ab\rangle \langle ab|$$

- Non-integrable arbitrary spin- $s$  chain.
- The entries in each configuration never change by the interactions  
 $\Rightarrow$  Hilbert-space fragmentation (according to multisets of configuration entries).

$$|m_1, m_2, \dots, m_N\rangle$$

$$|m_2, m_1, \dots, m_N\rangle$$

$$\vdots$$

$$|\sigma(m_1), \sigma(m_2), \dots, \sigma(m_N)\rangle, \quad \sigma \in \mathfrak{S}_N$$

: All in the same invariant subspace.

# Hilbert space fragmentation



- Simple example: Sato's model [Sato (1995)]

$$H = \sum_{x=1}^N \mathbf{1} \otimes \cdots \otimes h_{x,x+1} \otimes \cdots \otimes \mathbf{1}$$

$$h = \sum_{\substack{a,b=0 \\ a \neq b}}^{2s} f(a,b) |ab\rangle \langle ba| + \sum_{r=0}^{2s} c(r) |rr\rangle \langle rr| + \sum_{\substack{a,b=0 \\ a \neq b}}^{2s} g(a,b) |ab\rangle \langle ab|$$

- Non-integrable arbitrary spin- $s$  chain.
- The model is integrable in the subspaces given by

$$W^\sigma = (\text{span} \{|0\rangle, |\sigma\rangle\})^{\otimes N}, \quad \sigma = 1, \dots, 2s.$$

$|0\rangle \leftrightarrow |\uparrow\rangle$  : Vacuum

$|\sigma\rangle \leftrightarrow |\downarrow\rangle$  : Particles

$$\Rightarrow H|_W \sim H_{\text{XXZ}} \text{ with } \Delta_{\sigma,0} = (g(0,\sigma) + g(\sigma,0) - c(\sigma))/f(\sigma,0)$$

Anisotropy depending on  $\sigma$ .

# Embedded Integrable Models in HSF



- Example: XXC model [Maassarani (1997, 1999), de Leeuw et al. (2023)]

$$H_{XXC} = \sum_{j=1}^N \mathbf{1} \otimes \dots \otimes h_{j,j+1}^{XXC} \otimes \dots \otimes \mathbf{1}$$

$$h^{XXC} = \cosh \eta \left( \sum_{s,s' \in \{0,2\}} |ss'\rangle\langle ss'| + |11\rangle\langle 11| \right) + \sum_{s \in \{0,2\}} (|s1\rangle\langle 1s| + |1s\rangle\langle s1|)$$

- Integrable spin-1 chain.

anisotropy parameter

$$R(\lambda) = \sum_{a,a'=0,2} \left\{ (|aa'\rangle\langle a'a| + |11\rangle\langle 11|) \sinh(\lambda + \eta) + (|a1\rangle\langle 1a| + |1a\rangle\langle a1|) \sinh \eta + (x_a |a1\rangle\langle a1| + x_a^{-1} |1a\rangle\langle 1a|) \sinh \lambda \right\}$$

$$H_{XXC} \xrightarrow{\mathcal{H} \setminus \{|0\rangle, |2\rangle\}^N} H_{XXZ} \quad \text{becomes XXZ model by identifying } |0\rangle \text{ \& } |2\rangle. \quad (\lambda, x_a \in \mathbb{C})$$

# Embedded Integrable Models in HSF

- Example: XXC model [Maassarani (1997, 1999), de Leeuw et al. (2023)]

$$H_{XXC} = \sum_{j=1}^N \mathbf{1} \otimes \dots \otimes h_{j,j+1}^{XXC} \otimes \dots \otimes \mathbf{1}$$

$$h^{XXC} = \cosh \eta \left( \sum_{s,s' \in \{0,2\}} |ss'\rangle \langle ss'| + |11\rangle \langle 11| \right) + \sum_{s \in \{0,2\}} \underbrace{(|s1\rangle \langle 1s| + |1s\rangle \langle s1|)}_{\text{exchange terms}}$$

- The configuration of 0 & 2 never changes by the interactions.

“Irreducible string (IS)” [Dhar et al. (1993), Barma et al (1994), Menon et al. (1997), Dhar (1997)]

- Hilbert-space fragmentation occurs according to the IS.

$$\begin{aligned} \text{e.g. } H : | \underline{10211012} \rangle &\mapsto (h_{10}^{10} + h_{21}^{21} + h_{12}^{12} + h_{21}^{21} + h_{10}^{10}) | \underline{10211012} \rangle \\ &+ h_{01}^{10} | \underline{01211012} \rangle + h_{12}^{21} | \underline{10121012} \rangle + h_{21}^{12} | \underline{10210112} \rangle \quad (\text{IS} = 0202) \\ &+ h_{12}^{21} | \underline{10211102} \rangle + h_{01}^{10} | \underline{10211021} \rangle \end{aligned}$$

# Embedded Integrable Models in HSF

- Example: perturbed XXC model [CM (2024), in preparation with KPP]

$$H_{XXC}^{\text{pol}} = \sum_{j=1}^N \left( h_{j,j+1}^{XXC} + h_{j,j+1}^{\text{pol}} \right)$$

$$h^{\text{pol}} = \alpha_{d1}|02\rangle\langle 02| + \alpha_{d2}|20\rangle\langle 20| + \alpha_{o1}|02\rangle\langle 20| + \alpha_{o2}|20\rangle\langle 02| \xrightarrow{P_{\text{pol}}^{(0)}, P_{\text{pol}}^{(2)}} 0 \quad \text{: vanishing terms}$$

$$h_{j,j+1}^{XXC} + h_{j,j+1}^{\text{pol}} \xrightarrow{P_{\text{pol}} \mathcal{H} \setminus \{|0\rangle, |2\rangle\}^N} \sigma_j^+ \sigma_{j+1}^- + \sigma_j^- \sigma_{j+1}^+ + \frac{1}{2} (\cosh \eta) (\sigma_j^z \sigma_{j+1}^z) + \frac{1}{2} \cosh \eta$$

**s=1/2 XXZ model!**

- Projector onto the fully-polarized IS ...0000... (resp....2222...)

$$P_{\text{pol}}^{(0)} = \bigotimes_{j=1}^N (|0\rangle\langle 0| + |1\rangle\langle 1|)_j \quad (\text{resp. } P_{\text{pol}}^{(2)} = \bigotimes_{j=1}^N (|2\rangle\langle 2| + |1\rangle\langle 1|)_j)$$

# Embedded Integrable Models in HSF

- Example: perturbed XXC model [CM-Tsuji (2024), in preparation with KPP]

$$H_{XXC}^{\text{alt}} = \sum_{j=1}^N \left( h_{j,j+1}^{\text{XXC}} + h_{j,j+1}^{\text{alt}} \right)$$

$$h^{\text{alt}} = \beta_{d1} |00\rangle\langle 00| + \beta_{d2} |22\rangle\langle 22| + \beta_{o1} |00\rangle\langle 22| + \beta_{o2} |22\rangle\langle 00| \xrightarrow{P_{\text{alt}}} 0 \quad \text{: vanishing terms}$$

$$h_{j,j+1}^{\text{XXC}} + h_{j,j+1}^{\text{alt}} \xrightarrow{P_{\text{alt}} \mathcal{H} \setminus \{|0\rangle, |2\rangle\}^N} \sigma_j^+ \sigma_{j+1}^- + \sigma_j^- \sigma_{j+1}^+ + \frac{1}{2} \cosh \eta (\sigma_j^z \sigma_{j+1}^z) + \frac{1}{2} \cosh \eta$$

s=1/2 XXZ model!

- Projector onto the alternating IS ... 0202 ...

$$P_{\text{alt}} = \text{tr}_a \otimes_{j=1}^N \text{diag.} (\sigma_a^+, \mathbf{1}_a, \sigma_a^-)_j - \otimes_{j=1}^N (|1\rangle\langle 1|)_j$$

Partial solvability in open quantum systems?



# Outline



- What is “partial solvability”?
  - Definition of partial solvability
  - Thermalization & quantum many-body scars (QMBS)
- Closed partially solvable models
  - Restricted spectrum generating algebra (rSGA)
  - Hilbert space fragmentation (HSF)
- Open partially solvable models
  - Restricted spectrum generating algebra (rSGA)
  - Hilbert space fragmentation (HSF)
- Concluding remarks

# Beyond Isolated Quantum Systems



- Lindblad master equation

$$\frac{d}{dt}\rho(t) = \mathcal{L}(\rho(t)), \quad \mathcal{L}(\rho) = -i[H, \rho] + \sum_{\mu} \varepsilon_{\mu} \mathcal{D}_{\mu}(\rho)$$

Steady state

as the fixed point of  $\mathcal{L}$

$$\mathcal{D}_{\mu}(\rho) = \underline{2A_{\mu}\rho A_{\mu}^{\dagger}} - \{A_{\mu}^{\dagger}A_{\mu}, \rho\} : \text{Dissipation terms}$$

Quantum jump operator

- Is the most general CPTP map under the assumptions:  
Markovian time evolution & Direct product initial state.

# Beyond Isolated Quantum Systems



- Lindblad master equation

$$\frac{d}{dt}\rho(t) = \mathcal{L}(\rho(t)), \quad \mathcal{L}(\rho) = -i[H, \rho] + \sum_{\mu} \varepsilon_{\mu} \mathcal{D}_{\mu}(\rho)$$

Steady state  
as the fixed point of  $\mathcal{L}$

$\mathcal{D}_{\mu}(\rho) = 2A_{\mu}\rho A_{\mu}^{\dagger} - \{A_{\mu}^{\dagger}A_{\mu}, \rho\}$  : Dissipation terms  
Quantum jump operator

- Sometimes has the exactly solvable steady state.

	bulk dissipators	boundary dissipators
completely solvable	✓ [Prosen (2008) et al.]	✓ [Paletta et al. (2024)]
steady state solvable	✓	✓ [Prosen (2013)]
partially solvable	✓ [Tindall et al. (2020)]	our model [CM-Tsuji (2024)]

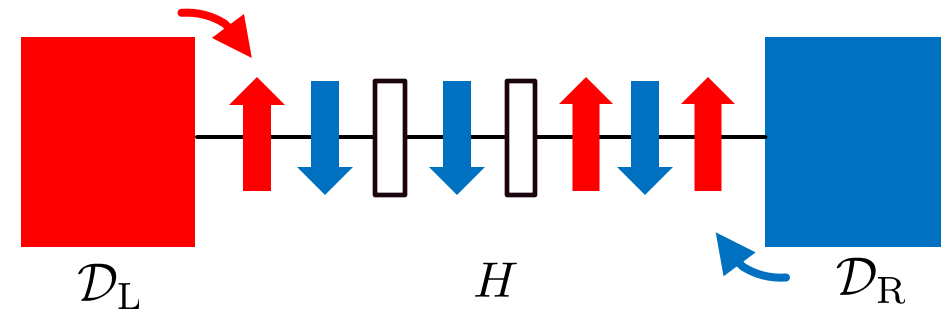
- Can partial solvability be robust against the boundary dissipators?

# HSF-induced solvable eigenmodes

- System coupled to boundary dissipators

$$\mathcal{L}(\rho) = -i[H, \rho] + \sum_{\mu} \gamma_{\mu} \mathcal{D}_{\mu}(\rho)$$

$$\mathcal{D}_{\mu}(\rho) = 2A_{\mu}\rho A_{\mu}^{\dagger} - \{A_{\mu}^{\dagger}A_{\mu}, \rho\}$$



- Thermofield double vector expression

$$\rho = \sum_{m,n} \rho_{m,n} |m\rangle \langle n| \mapsto \sum_{m,n} \rho_{m,n} |m\rangle \otimes |n\rangle = |\rho\rangle\rangle$$

- Evolution of the density matrix

$$\frac{d}{dt} |\rho(t)\rangle\rangle = -i\tilde{H} |\rho(t)\rangle\rangle \in \mathcal{H} \otimes \mathcal{H}^*$$

$$\tilde{H} = H \otimes \mathbf{1} - \mathbf{1} \otimes {}^t H + i \sum_{\alpha} \gamma_{\alpha} \left( (A_{\alpha} \otimes A_{\alpha}^*) - \frac{1}{2} (A_{\alpha}^{\dagger} A_{\alpha} \otimes \mathbf{1} + \mathbf{1} \otimes {}^t A_{\alpha} A_{\alpha}^*) \right)$$

Can  $\tilde{H}$  be an integrable Hamiltonian in a certain subspace?

: Non-Hermitian effective Hamiltonian

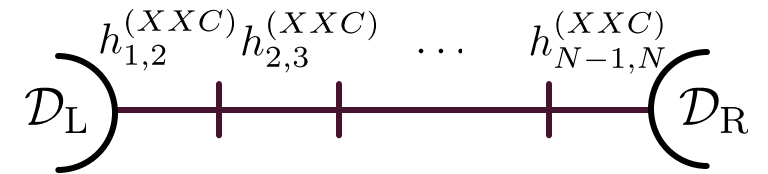
# HSF-induced partially solvable Liouvillian

- Example: XXC Hamiltonian coupled to boundary dissipators [CM-Tsuji (2024)]

- Spin-1 XXC Hamiltonian  $\Rightarrow$  HSF by config. of 0 & 2

- Boundary dissipators  $\Rightarrow$  violate integrability

$$A_{L,+} = (S_1^+)^2, \quad A_{L,-} = (S_1^-)^2, \quad A_{R,+} = (S_N^+)^2, \quad A_{R,-} = (S_N^-)^2$$

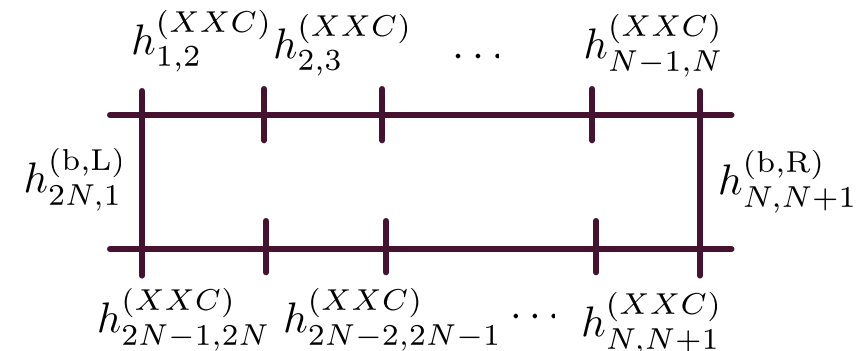


$\Downarrow$  thermofield double

- Effective non-Hermitian Hamiltonian

$$\tilde{H}_{XXC} = \sum_{n=1}^{N-1} h_{n,n+1}^{(XXC)} + h_{N,N+1}^{(b,R)} - \sum_{n=N+1}^{2N} h_{n,n+1}^{(XXC)} + h_{2N,1}^{(b,L)}$$

$\Rightarrow$  Two XXC chains coupled at the boundaries.

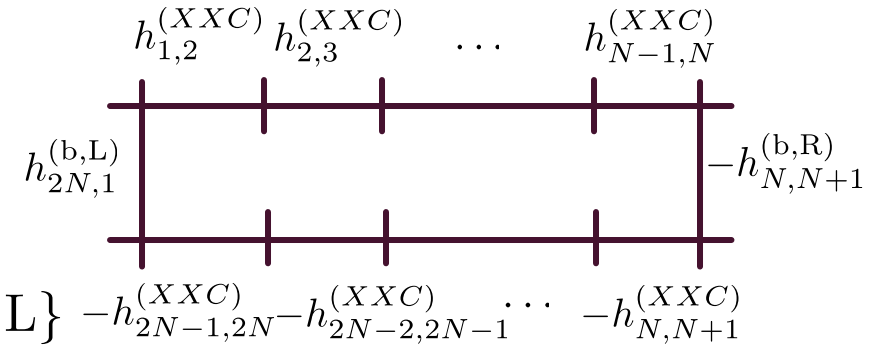


# HSF-induced partially solvable Liouvillian

■ Example: XXC Hamiltonian coupled to boundary dissipators [CM-Tsuji (2024)]

■ Effective non-Hermitian Hamiltonian

$$h^{(b,\alpha)} = i\gamma_{\alpha,+} \left( |00\rangle\langle 22| - \frac{1}{2} (|2\rangle\langle 2| \otimes \mathbf{1} + \mathbf{1} \otimes |2\rangle\langle 2|) \right) + i\gamma_{\alpha,-} \left( |22\rangle\langle 00| - \frac{1}{2} (|0\rangle\langle 0| \otimes \mathbf{1} + \mathbf{1} \otimes |0\rangle\langle 0|) \right), \quad \alpha \in \{R, L\}$$

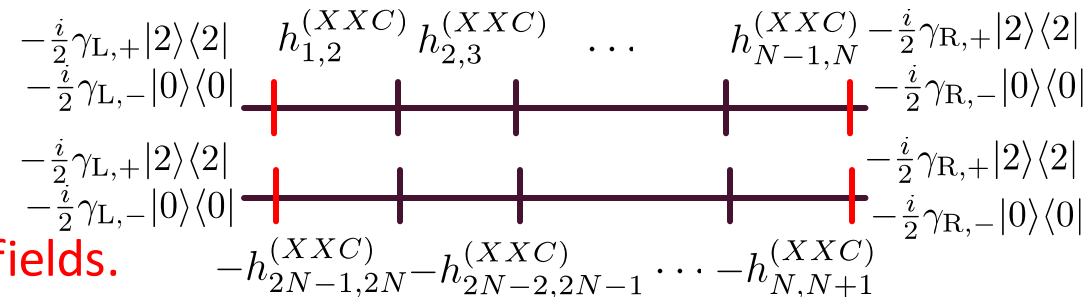


Two terms irrelevant in the subspace of alternating irreducible strings.

↓  $P_{\text{alt}}$  (projector onto the alternating subspace)

⇒ Two decoupled XXC chains

$$\tilde{H}_{XXC}|_{W_{\text{alt}}} = H_{XXC}^{(+)} \otimes \mathbf{1} - \mathbf{1} \otimes H_{XXC}^{(-)}$$



The other terms work as the (imaginary) boundary magnetic fields.

# HSF-induced partially solvable Liouvillian

- Example: XXC Hamiltonian coupled to boundary dissipators [CM-Tsuji (2024)]

- Projected effective non-Hermitian Hamiltonian

$$\tilde{H}_{XXC}|_{W_{\text{alt}}} = H_{XXC}^{(+)} \otimes \mathbf{1} - \mathbf{1} \otimes H_{XXC}^{(-)}$$

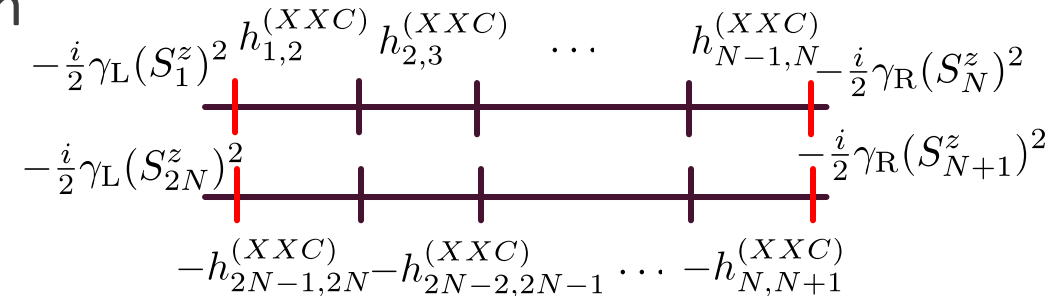
becomes integrable when

$$\gamma_{L,+} = \gamma_{L,-}, \quad \gamma_{R,+} = \gamma_{R,-}$$

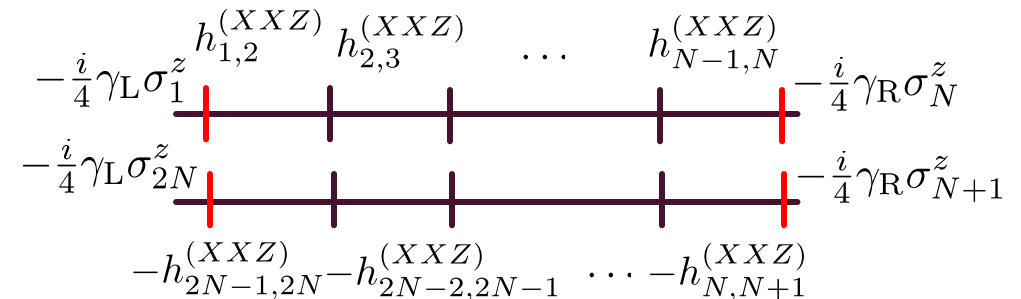
- Mapped to  $s=1/2$  XXZ spin chain with diagonal boundaries

$$H_{XXC}^{(\pm)}(\gamma_L, \gamma_R) \xrightarrow{\mathbb{C}^{3N} \setminus \{|0\rangle, |2\rangle\}^N} H_{XXZ}^{(\pm)}(\gamma_L, \gamma_R)$$

Spectrum derived by Bethe ansatz for spin-1/2 XXZ!!



↓ identifying the states  $|0\rangle$  &  $|2\rangle$



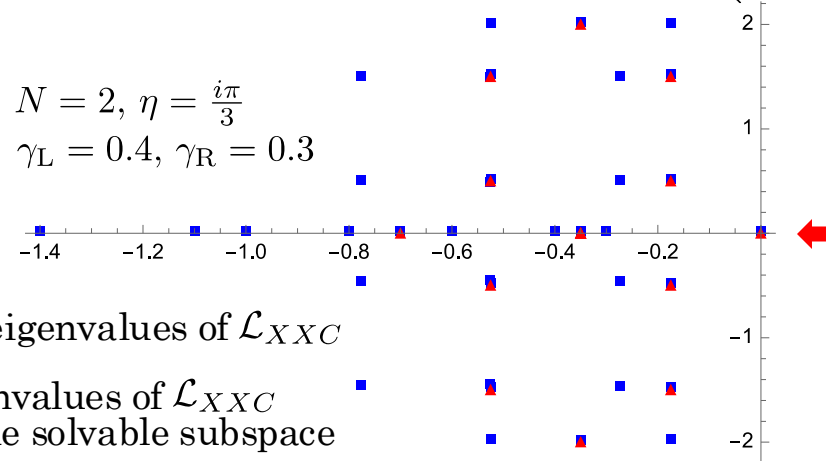
# HSF-induced partially solvable Liouvillian

- Example: XXC Hamiltonian coupled to boundary dissipators [CM-Tsuji (2024)]
- Eigenstates of effective Hamiltonian

$$\tilde{H} \xrightarrow{P_{\text{alt}} \mathcal{H} \setminus \{|0\rangle, |2\rangle\}^N} H_{XXZ}^{(+)}(\gamma_L, \gamma_R) \otimes \mathbf{1} - \mathbf{1} \otimes H_{XXZ}^{(-)}(\gamma_R, \gamma_L)$$

$$H_{XXZ}^{(+)}(\gamma_L, \gamma_R) = -\frac{i}{4} \gamma_L \sigma_1^z + \sum_{j=1}^{N-1} \left( \sigma_j^+ \sigma_{j+1}^- + \sigma_j^- \sigma_{j+1}^+ + \frac{1}{2} \cosh \eta \sigma_j^z \sigma_{j+1}^z \right) - \frac{i}{4} \gamma_R \sigma_N^z$$

$$H_{XXZ}^{(-)}(\gamma_R, \gamma_L) = -\frac{i}{4} \gamma_R \sigma_{N+1}^z - \sum_{j=N+1}^{2N-1} \left( \sigma_j^+ \sigma_{j+1}^- + \sigma_j^- \sigma_{j+1}^+ + \frac{1}{2} \cosh \eta \sigma_j^z \sigma_{j+1}^z \right) - \frac{i}{4} \gamma_L \sigma_{2N}^z$$



Full Liouvillian has degenerate steady states including the fully polarized state.

← The steady state in the integrable subspace is given by the product state  $\rho_{\text{ss}} = (|1\rangle\langle 1|)^{\otimes N}$ .

No persistent oscillation unlike the rSGA-induced solvable steady states.



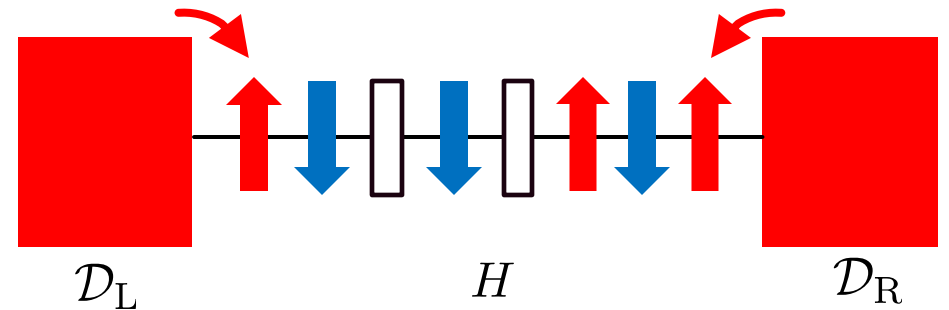
# rSGA-induced solvable eigenmodes



- System coupled to boundary dissipators

$$\mathcal{L}(\rho) = -i[H, \rho] + \sum_{\mu} \gamma_{\mu} \mathcal{D}_{\mu}(\rho)$$

$$\mathcal{D}_{\mu}(\rho) = 2A_{\mu}\rho A_{\mu}^{\dagger} - \{A_{\mu}^{\dagger}A_{\mu}, \rho\}$$



- System with rSGA

$$[H, Q^{\dagger}] - \mathcal{E}Q^{\dagger}|_W = 0, \quad W = \text{span}\{(Q^{\dagger})^n|\psi_A\rangle\}_n$$

$$Q^{\dagger} = \sum_x e^{i\pi x} q_x^{\dagger} \quad \Rightarrow \text{Quasiparticle excitations carrying momentum } \pi$$

- Quasiparticle baths at the edges

$$A_L = q_1^{\dagger}, \quad A_R = q_N^{\dagger} \quad \Rightarrow \text{Doping quasiparticles at the boundaries}$$

Fully occupied steady state?

# rSGA-induced solvable eigenmodes

- Example:  $s=1$  spin chains with rSGA + spin-2 magnon baths

- $s=1$  spin chain with rSGA (e.g. AKLT model)

$$[H, Q^\dagger] - \mathcal{E}Q^\dagger \Big|_{W(v_R, v_L)} = 0, \quad Q^\dagger := \sum_{x=1}^N e^{i\pi x} (S_x^+)^2$$

$$W(v_L, v_R) = \text{span}\{(Q^\dagger)^n |\psi_A^{(v_L, v_R)}\rangle\}_n$$

$$|\psi_A^{(v_L, v_R)}\rangle = \langle \underline{v_L} | \vec{A} \otimes_p \cdots \otimes_p \vec{A} | \underline{v_R} \rangle, \quad \vec{A} = \begin{pmatrix} a_0 \sigma^+ \\ a_1 \sigma^z \\ a_2 \sigma^- \end{pmatrix}, \quad a_0, a_1, a_2 \in \mathbb{C}$$

Boundary vectors  
 $\in V_a = \text{span}\{|0\rangle, |1\rangle\}$

⇒ Four degenerate zero-energy states.

- Spin-2 magnon baths at the edges

$$A_L = (S_1^+)^2, \quad A_R = (S_N^+)^2 \quad \Rightarrow \text{Doping spin-2 magnons at the boundaries}$$

# rSGA-induced solvable eigenmodes



- Example:  $s=1$  spin chains with rSGA + spin-2 magnon baths [CM-Tsuji (2024)]

- The subspace  $W^{(0,1)}$  consists of the dark states.

$$[H, (Q^\dagger)^n |\psi_A^{(0,1)}\rangle \langle \psi_A^{(0,1)}| Q^n] = 0 \quad \Rightarrow \text{Eigenstates of the Hamiltonian}$$

$$\mathcal{D}_{(S_1^+)^2} ((Q^\dagger)^n |\psi_A^{(0,1)}\rangle \langle \psi_A^{(0,1)}| Q^n) = 0 \quad \Rightarrow \text{Dissipators are irrelevant.}$$

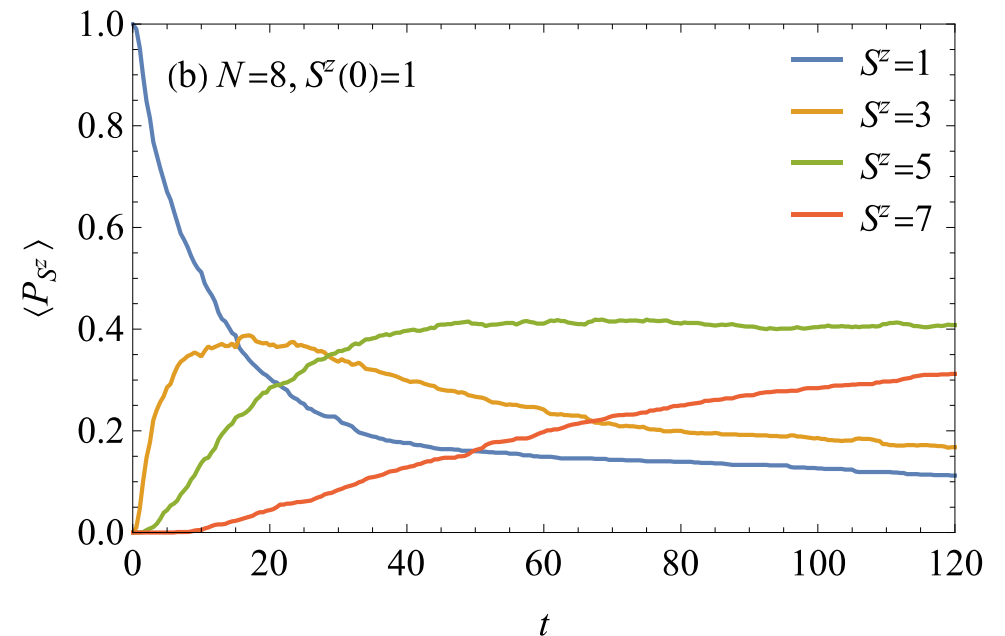
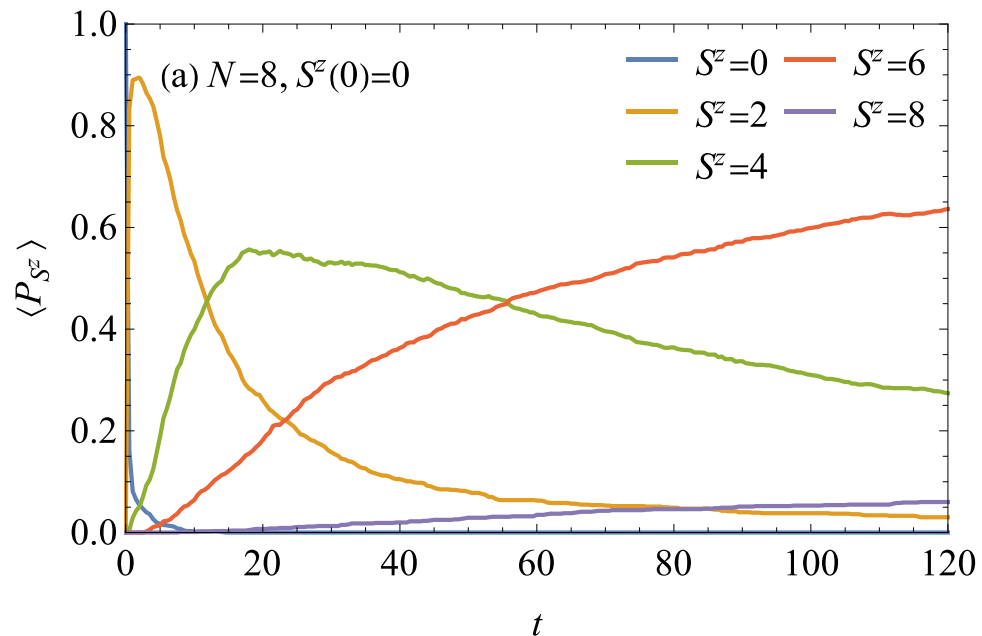
$$\mathcal{D}_{(S_N^+)^2} ((Q^\dagger)^n |\psi_A^{(0,1)}\rangle \langle \psi_A^{(0,1)}| Q^n) = 0 \quad (\text{Robust eigenstates against boundary dissipators})$$

- Any density matrix diagonal in  $W^{(0,1)}$  becomes the steady states.

$$\mathcal{L} \left( \sum_n p_{nn} (Q^\dagger)^n |\psi_A^{(0,1)}\rangle \langle \psi_A^{(0,1)}| Q^n \right) = 0, \quad \sum_n p_{nn} = 1, \quad p_{nn} > 0, \quad \forall n$$

# rSGA-induced solvable eigenmodes

- The ratio of the number of trajectories for each  $S^z$ .



(Left) The initial state does not overlap with solvable states  $\Rightarrow$  Dominated by states with large  $S^z$ .

(Right) The initial state does overlap with solvable states  $\Rightarrow$  **States with small  $S^z$  survive!**

# rSGA-induced solvable eigenmodes



- Example:  $s=1$  spin chains with rSGA + spin-2 magnon baths [CM-Tsuji (2024)]

- Other solvable eigenmodes in  $W^{(0,1)} \otimes (W^{(0,1)})^*$

$$[H, (Q^\dagger)^m |\psi_A^{(0,1)}\rangle \langle \psi_A^{(0,1)}| Q^n] = \sum_{n,m} (m-n) \mathcal{E} (Q^\dagger)^m |\psi_A^{(0,1)}\rangle \langle \psi_A^{(0,1)}| Q^n \Rightarrow \text{Eigenstates of the Hamiltonian}$$

$$\mathcal{D}_{(S_1^+)^2} ((Q^\dagger)^m |\psi_A^{(0,1)}\rangle \langle \psi_A^{(0,1)}| Q^n) = 0 \Rightarrow \text{Dissipators are irrelevant.}$$

$$\mathcal{D}_{(S_N^+)^2} ((Q^\dagger)^m |\psi_A^{(0,1)}\rangle \langle \psi_A^{(0,1)}| Q^n) = 0 \quad (\text{Robust eigenstates against boundary dissipators})$$

- Persistent oscillation emerges.

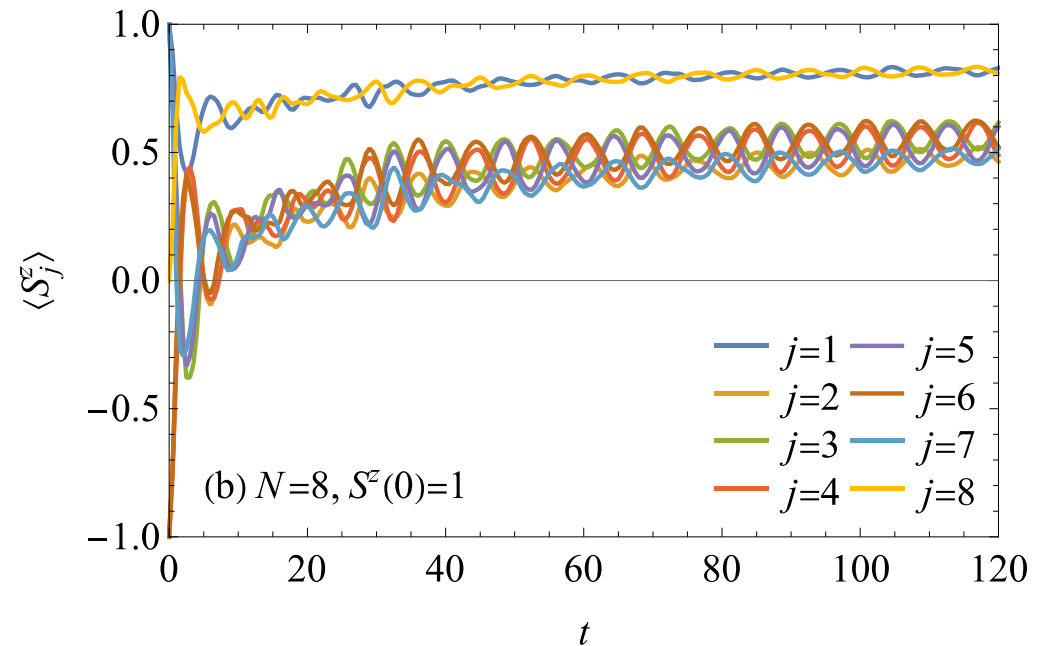
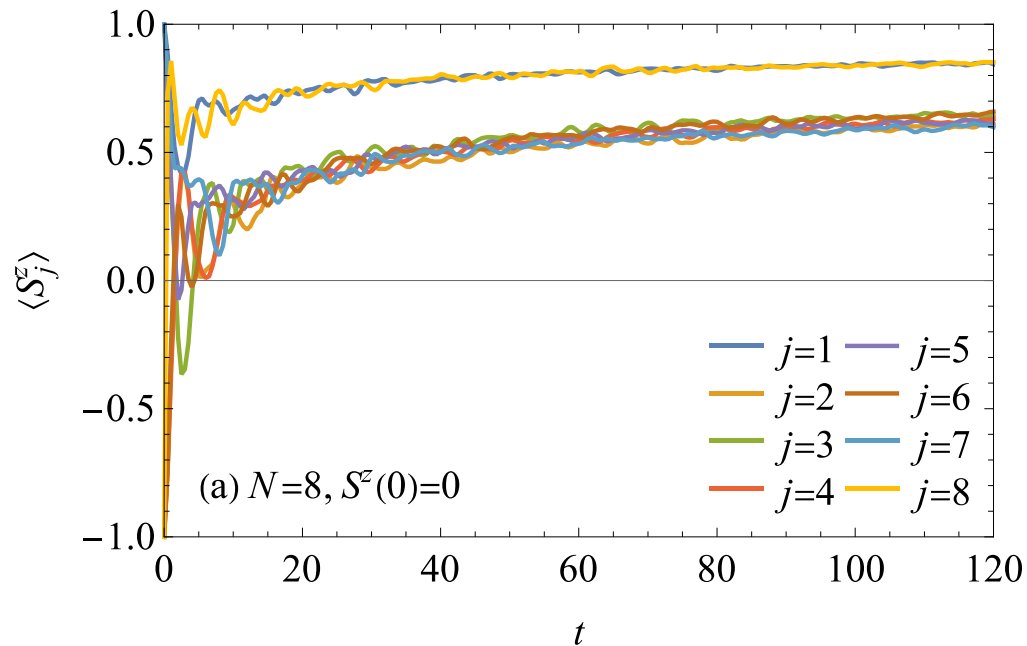
$$|\Psi(t=0)\rangle = \sum a_n (Q^\dagger)^n |\psi_A^{(0,1)}\rangle \in W^{(0,1)}$$

$$\Rightarrow \lim_{t \rightarrow \infty} \rho(t) = e^{\mathcal{L}t} \rho(0) = \sum_{n,m} e^{-i(m-n)\mathcal{E}t} (Q^\dagger)^m |\psi_A^{(0,1)}\rangle \langle \psi_A^{(0,1)}| Q^n$$

$$\lim_{t \rightarrow \infty} \langle O(t) \rangle = \sum_{n \leq m} \underline{2 \cos((m-n)\mathcal{E}t) a_m a_n \text{Re } O_{nm}}$$

# rSGA-induced solvable eigenmodes

- Time evolution of the local magnetization starting from a nearly Neel state at  $\gamma_L = \gamma_R = 1$ .



(Left) The initial state does not overlap with solvable states  $\Rightarrow$  No oscillations

(Right) The initial state does overlap with solvable states  $\Rightarrow$  **Long-lived oscillations emerge!**

# Outline



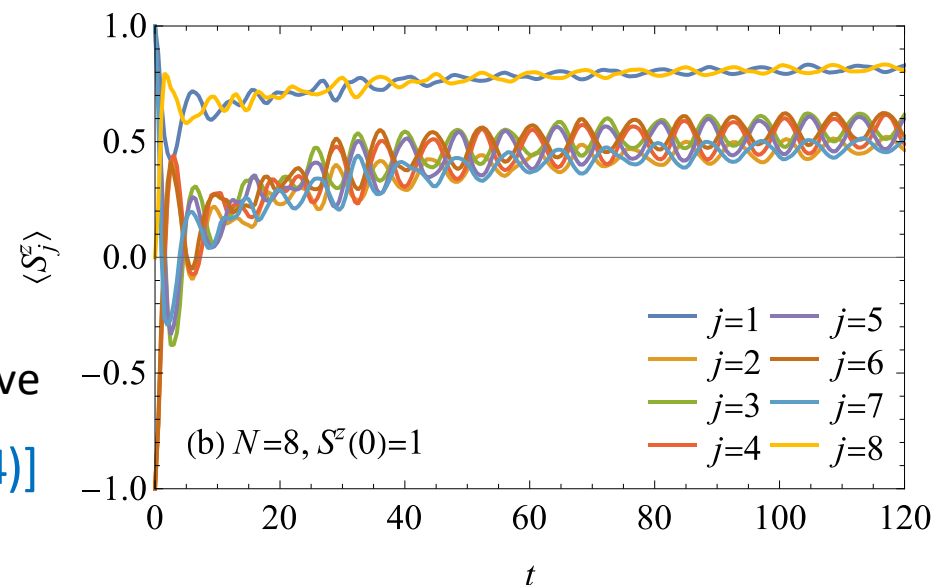
- What is “partial solvability”?
  - Definition of partial solvability
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- Closed partially solvable models
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- Open partially solvable models
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- Concluding remarks

# Concluding remarks



- Boundary dissipative spin chains
  - can have solvable eigenmodes inherited from the partially solvable system Hamiltonians.
  - reach a non-trivial steady state or never reach a steady state by exhibiting long-lived oscillations.

Time evolution of  $\langle S_j^z \rangle$  for the boundary dissipative AKLT model at  $\gamma_R = \gamma_L = 1$ . [CM-Tsuji (2024)]





# Future works



- From the phenomenological viewpoints,
  - Can we observe the KPZ universality class in the integrable subspace?  
⇒ Robustness of the KPZ universality class against boundary conditions.
  - Overlap between the initial state & solvable eigenmodes?  
⇒ Needs determinant formula for boundary cases.
- From the mathphys aspects,
  - What is the algebraic structure behind the XXC & related models? [de Leeuw et al. (2023)]
  - What are the conserved quantities for partially solvable models?
  - Is it possible to extend the notion of partial integrability to QFT models?

Thank you for listening!