

FY2023 "What is dark matter?"

- Comprehensive study of the huge discovery space in dark matter"

# Primordial black holes and stochastic GW background

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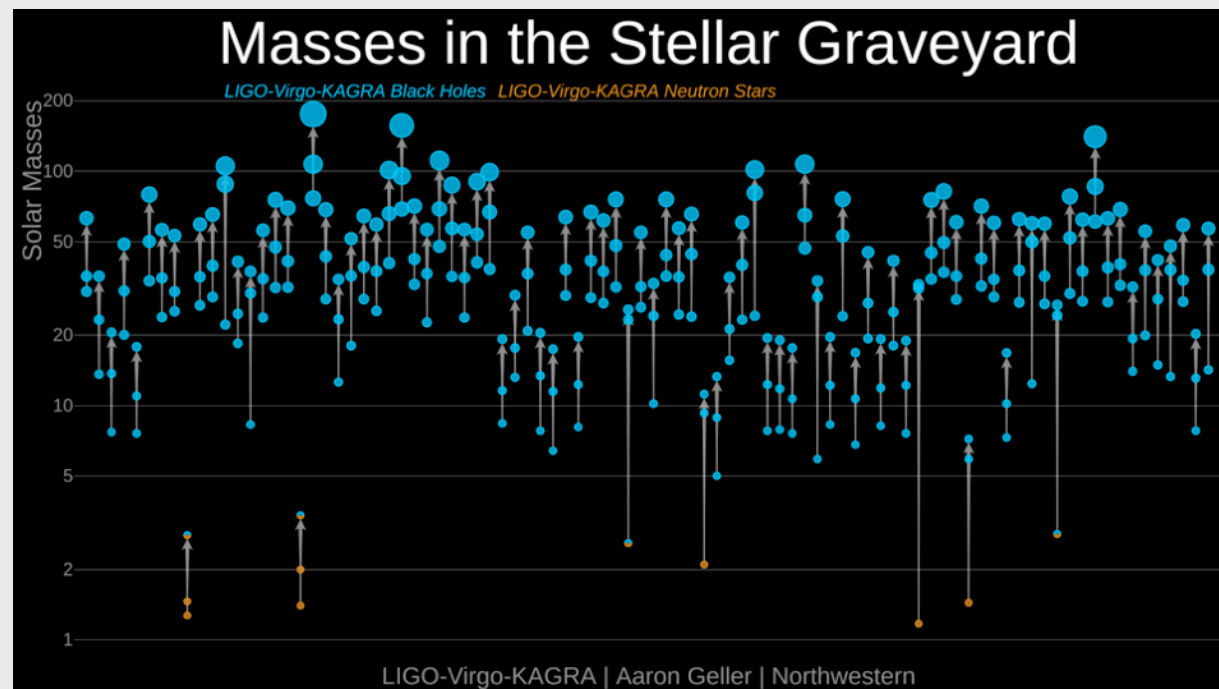


# Primordial Black Holes (PBHs)

= Black holes generated in the early universe

could originate from

- Inflation
- Reheating
- Phase transitions
- Collapse of cosmic strings
- Scalar field instabilities etc.



Origin of the observed BBHs could be primordial.

Bird et al., PRL 116, 201301 (2016)

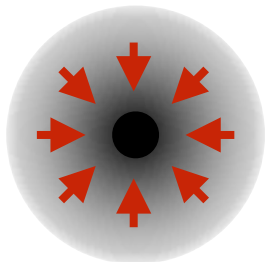
Clesse & Garcia-Bellido, PDU 10, 002 (2016)

Sasaki et al., PRL 117, 061101 (2016)

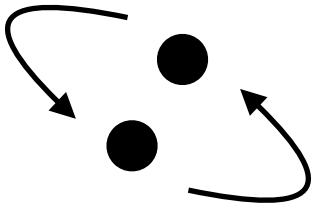
# GW background as a probe of PBHs

large primordial curvature perturbations at small scale can be produced by changing the dynamics of the inflaton

**GWs are generated through**

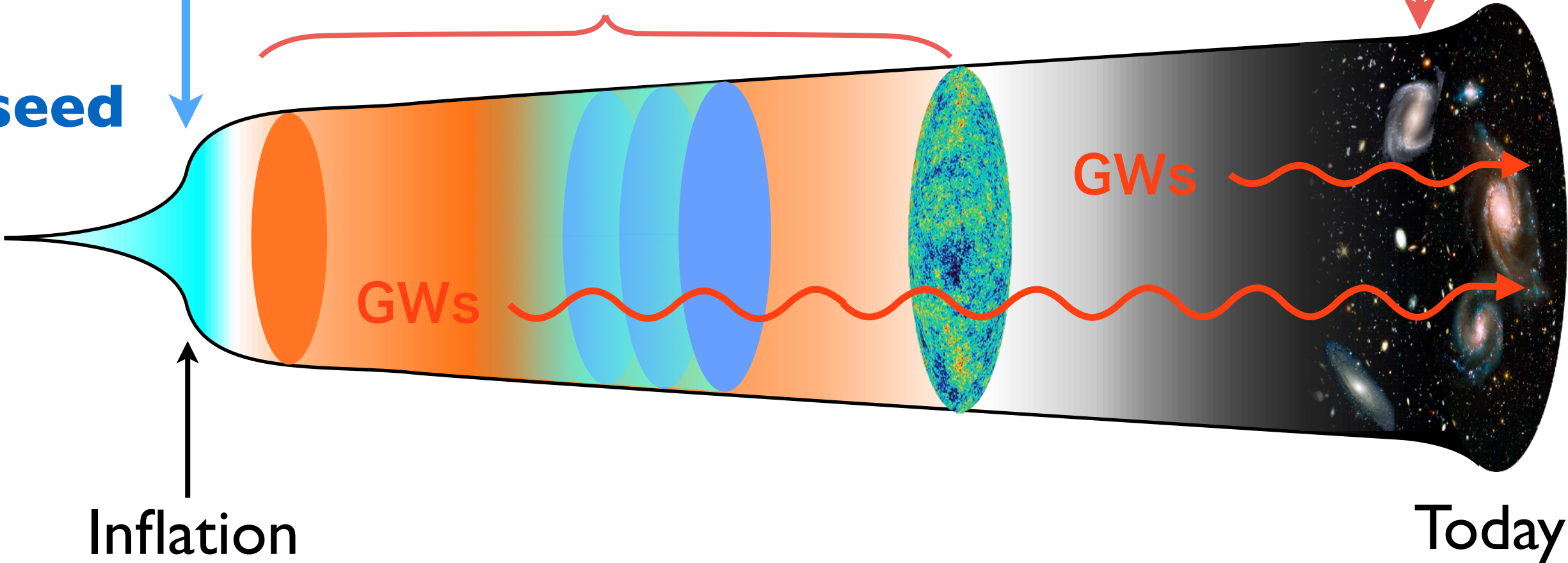


**formations**

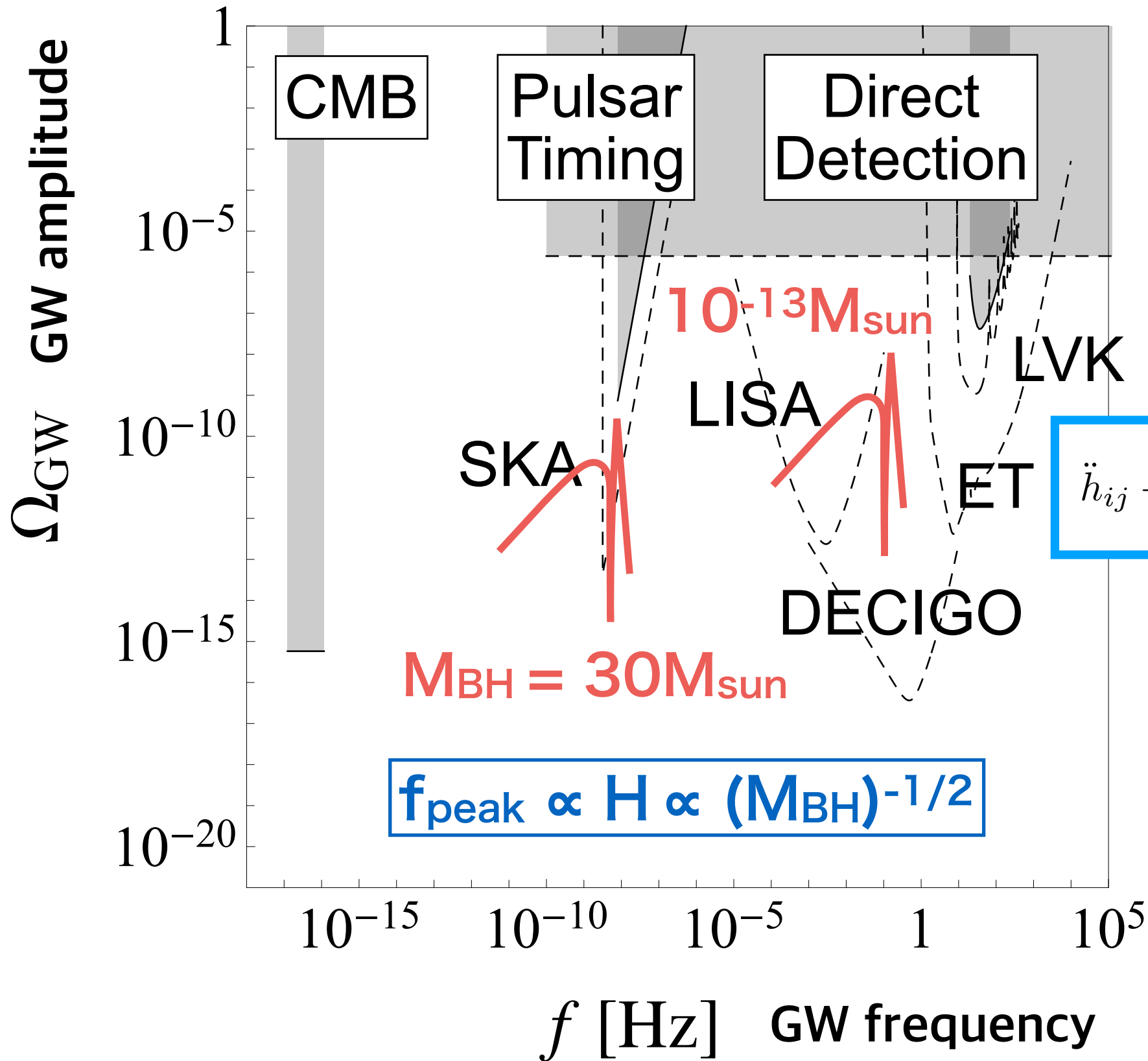


**mergers**

**seed**



# GW associated with PBH formation



GWs generated when **PBHs form** in the RD era

EOM for GWs

$$\ddot{h}_{ij} + 3H\dot{h}_{ij} - \frac{1}{a^2}\nabla^2 h_{ij} = 16\pi G\Pi_{ij}$$

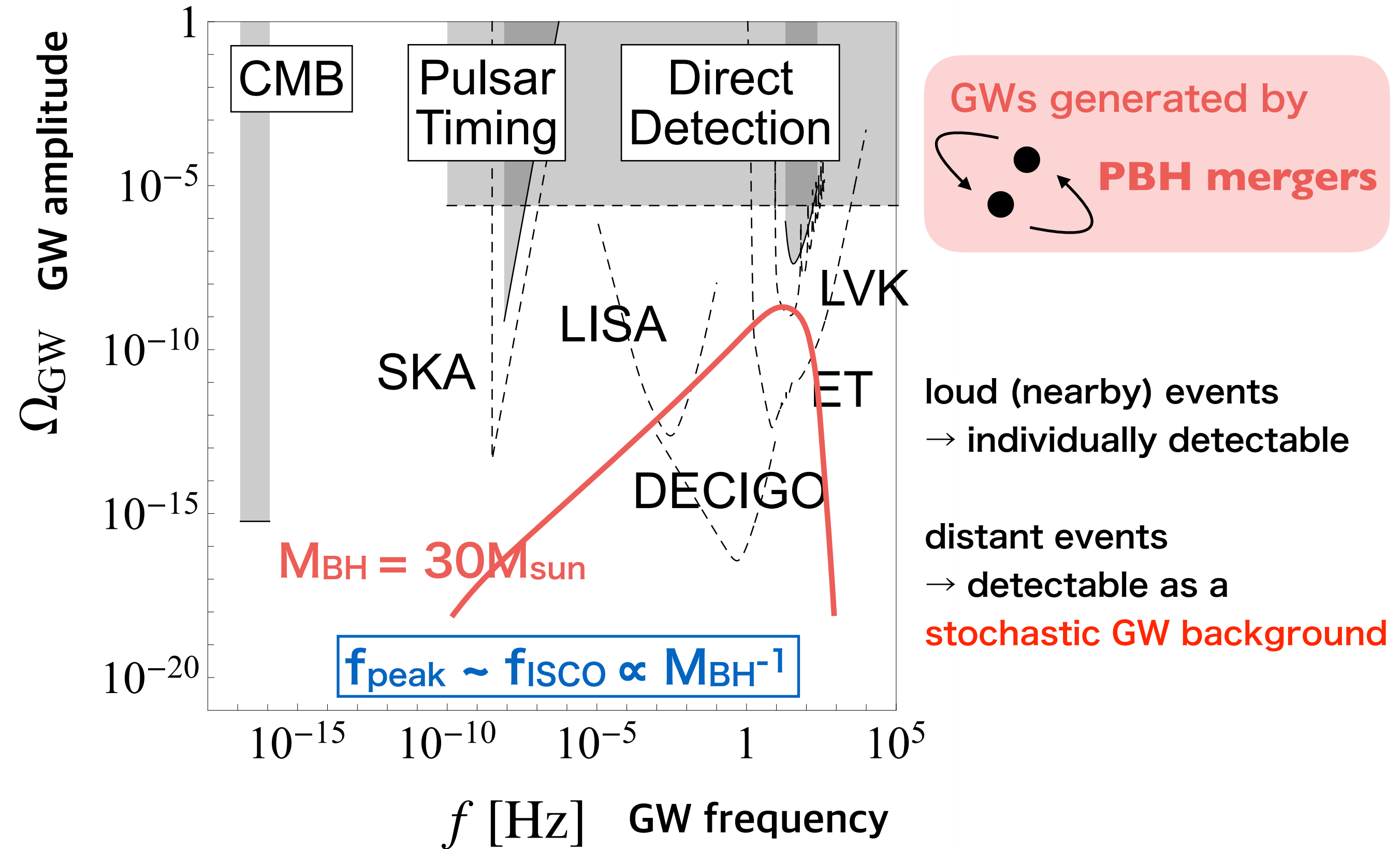
GWs sourced by scalar perturbations at 2nd order

Energy density of GWs

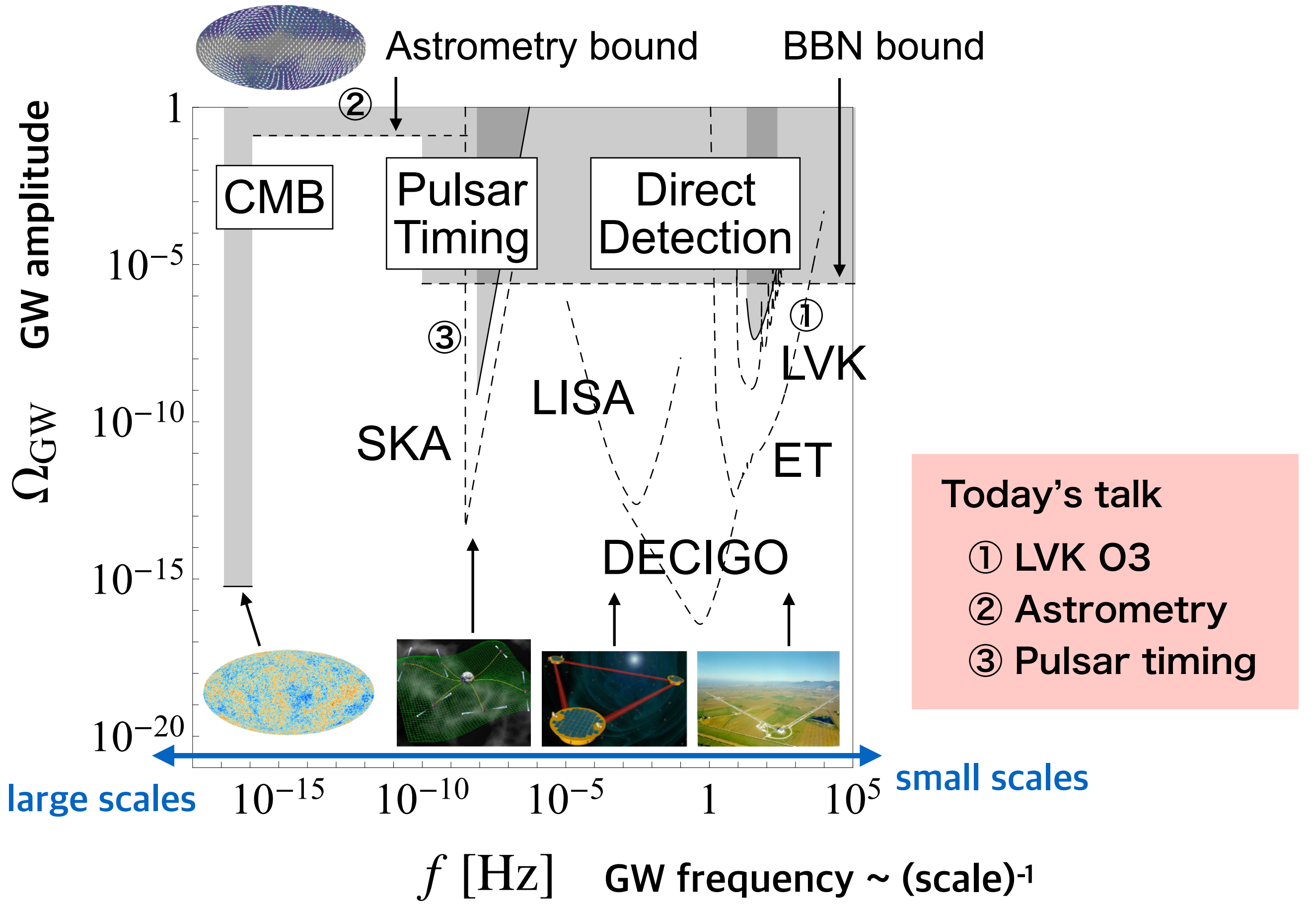
$$\Omega_{\text{GW}} \equiv \frac{1}{\rho_c} \frac{d\rho_{\text{GW}}}{d\ln k}$$

Critical density of the Universe

# GW background from PBH mergers

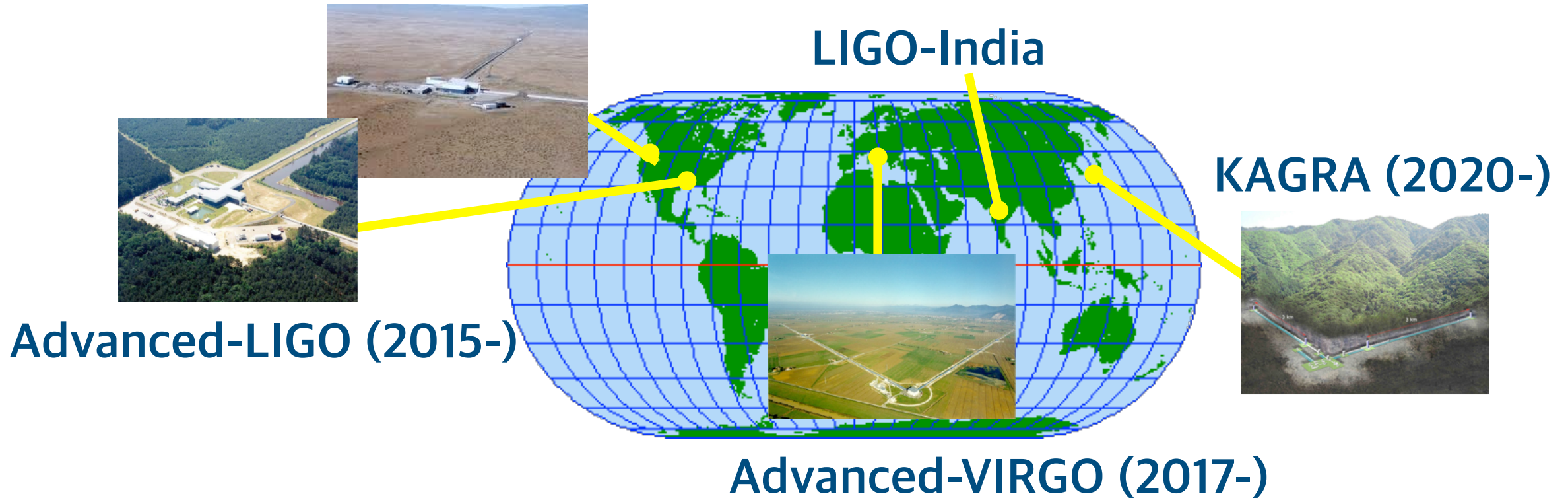


# GW observatories cover a wide range of scales!





# ① LIGO-Virgo-KAGRA (LVK) O3 run

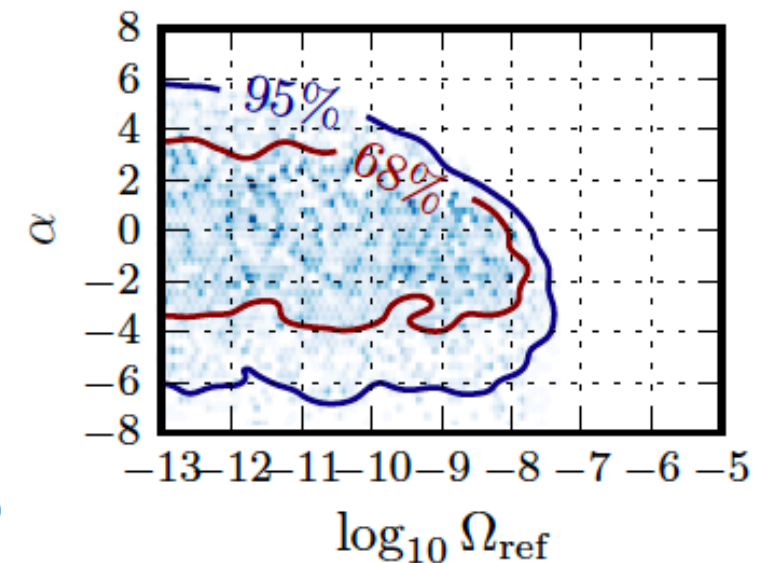
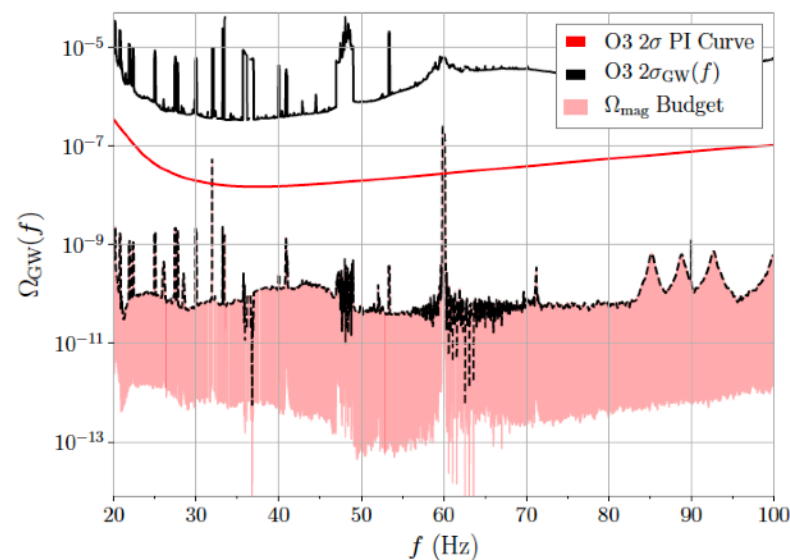
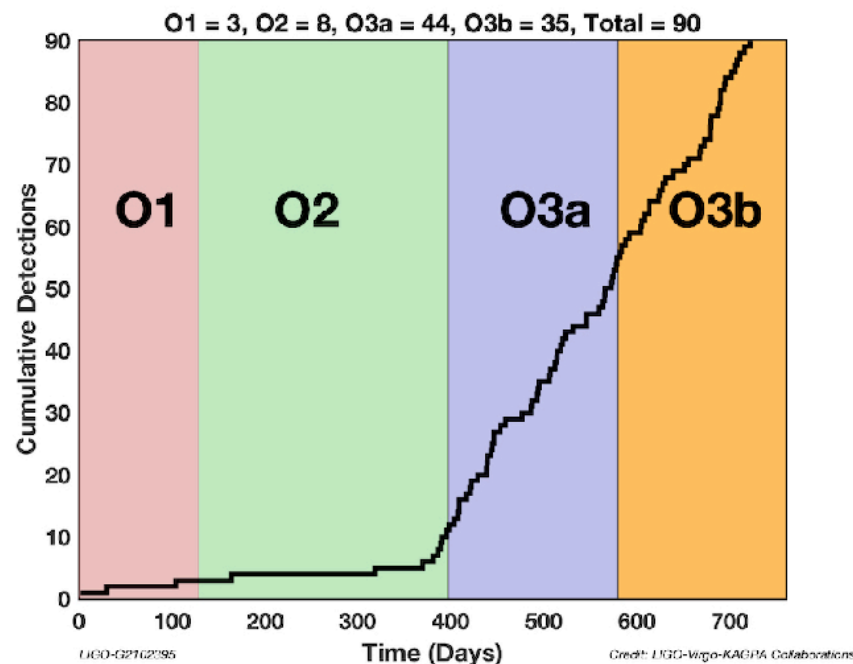


## Most recent public data: O3 (April 2019 - March 2020)

- 90 BBH events

- Upper bound on a stochastic GW background

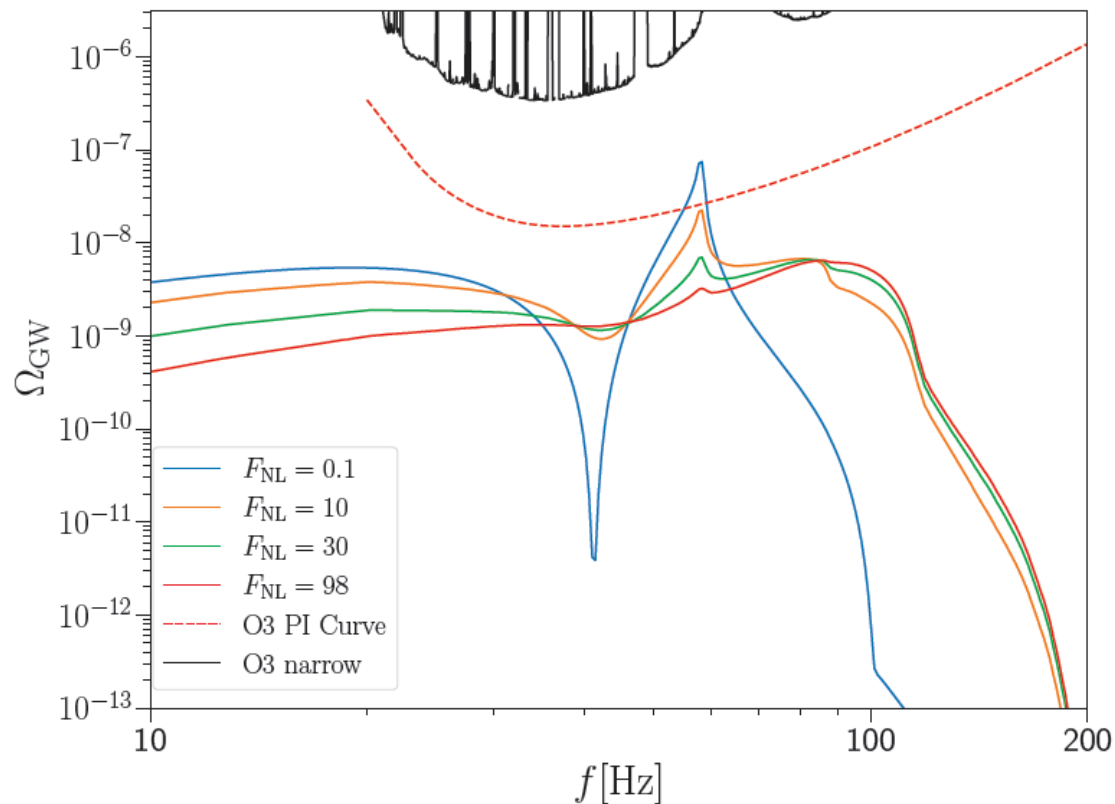
$$\Omega_{\text{GW}} < 5.8 \times 10^{-9} \text{ for a flat spectrum (95\%CL)}$$



# ① LVK O3 constraint on 2nd order GWs

R. Inui, S. Jaraba, SK, S. Yokoyama, arXiv: 2311.05423

## GW spectrum



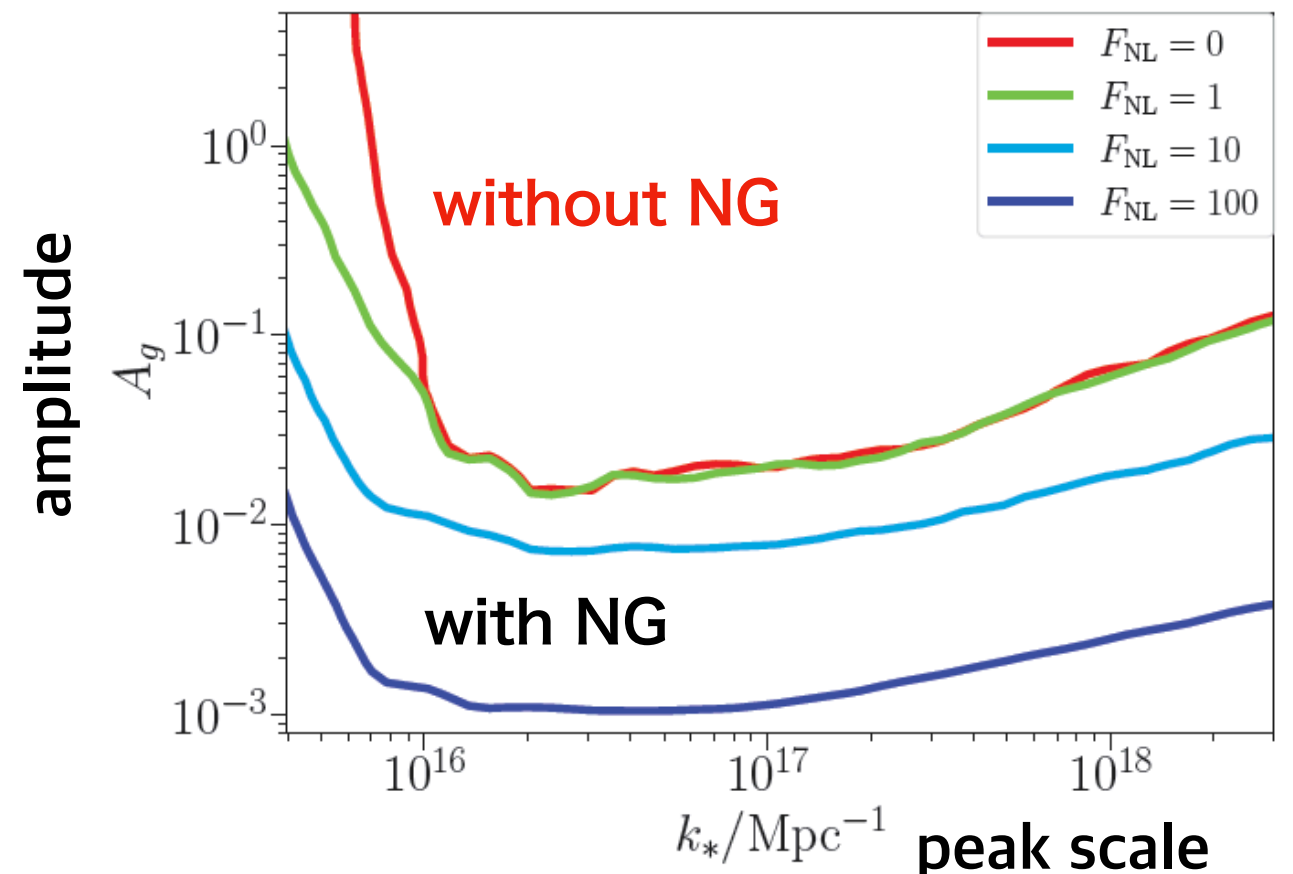
**Assumption:**  
local type non-Gaussianity

$$\zeta(\mathbf{x}) = \zeta_g(\mathbf{x}) + F_{\text{NL}}\zeta_g^2(\mathbf{x})$$

**Note: Parametrization with  $F_{\text{NL}}$  covers limited cases**

**Non-Gaussianity** appears in many inflationary models predicting large curvature perturbations

- ultra slow roll inflation
- multi field inflation
- couplings leading to particle production, etc.

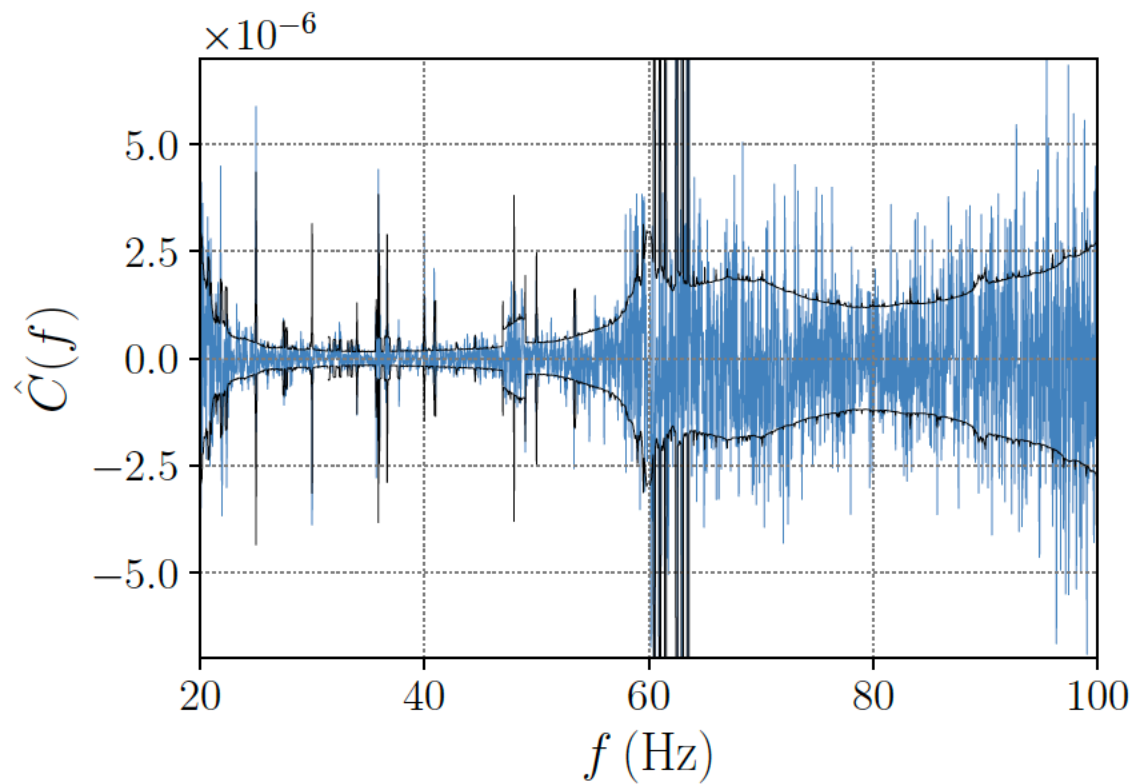


→ constraining  $M_{\text{BH}} = 10^{-10} \sim 10^{-14} M_{\text{sun}}$

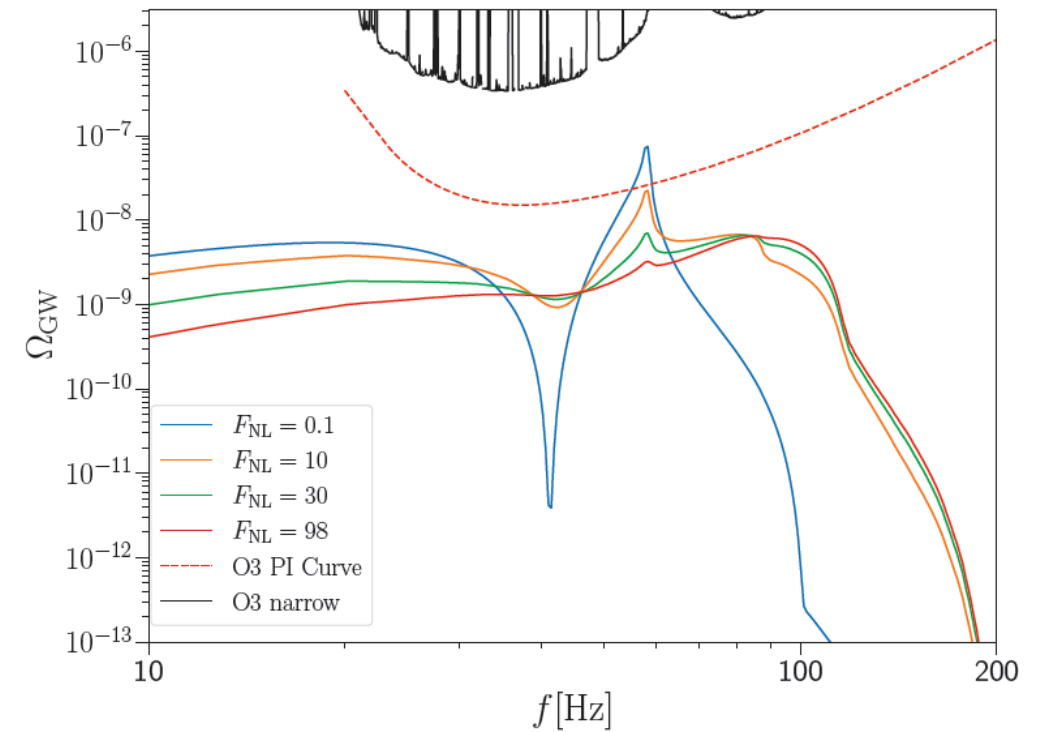


# How do we obtain constraints?

data (cross-correlated spectrum)



Your model



Likelihood

$$p(\hat{C}_k^{IJ} | \Theta) \propto \exp \left[ -\frac{1}{2} \sum_{IJ} \sum_k \left( \frac{\hat{C}_k^{IJ} - \Omega_M(f_k | \Theta)}{\sigma_{IJ}^2(f_k)} \right)^2 \right]$$

Posterior distribution

$$p(\Theta | C_k^{IJ}) \propto p(C_k^{IJ} | \Theta) p(\Theta)$$

Prior

variance

(calculated from the detector noise)

# Likelihood analysis

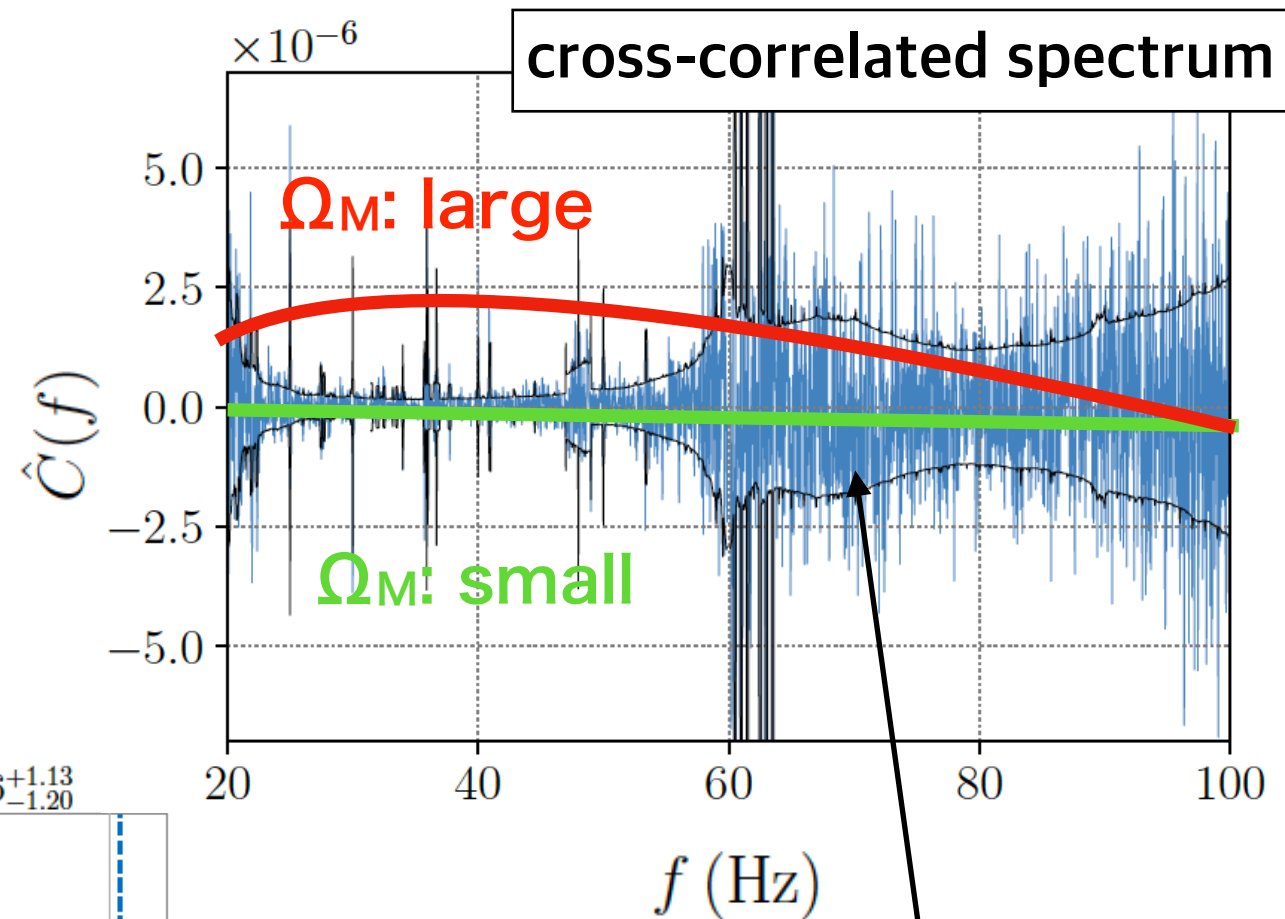
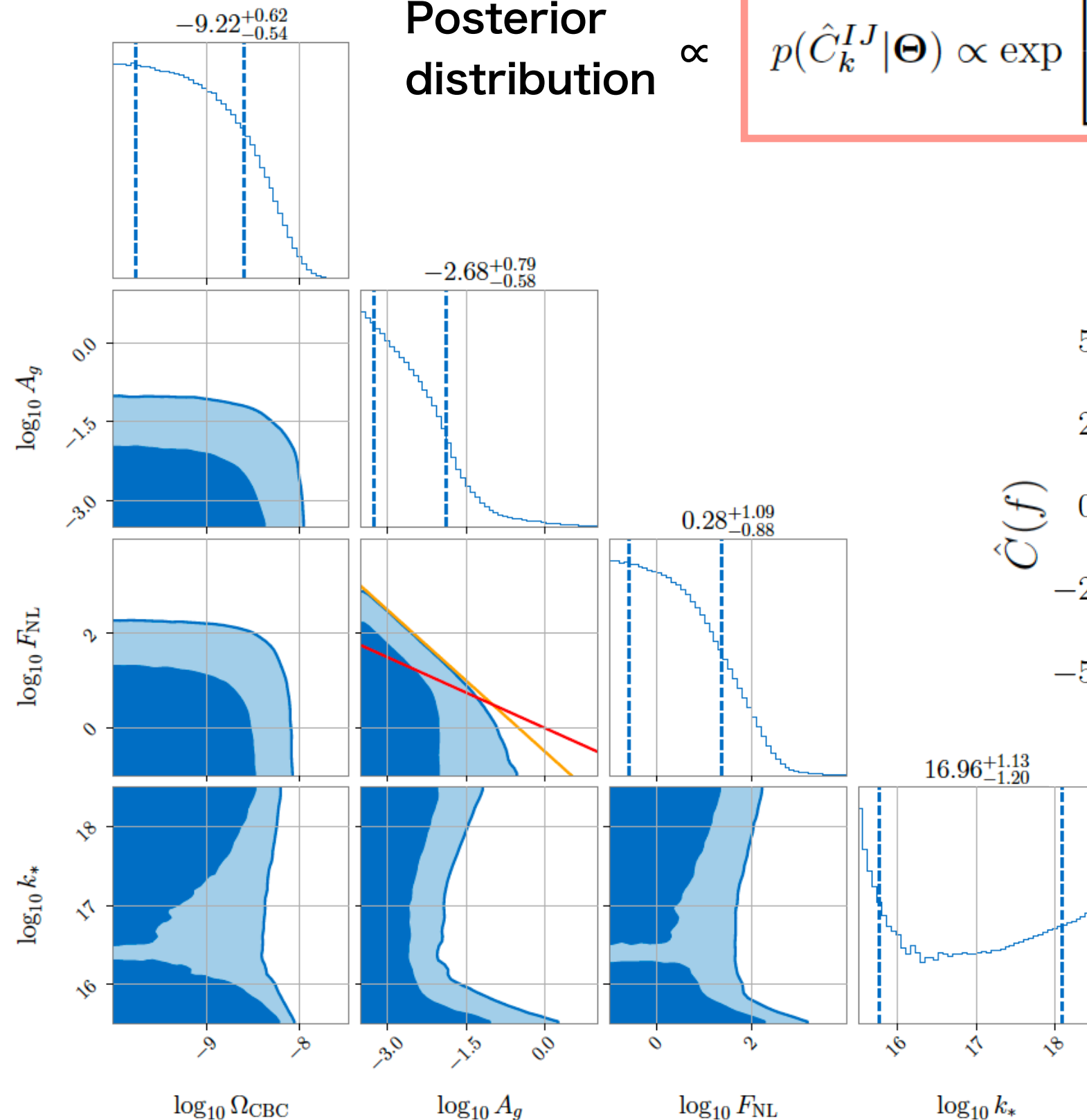
IJ: detector combinations  
k: frequencies

Posterior distribution

$\propto$

$$p(\hat{C}_k^{IJ} | \Theta) \propto \exp \left[ -\frac{1}{2} \sum_{IJ} \sum_k \left( \frac{\hat{C}_k^{IJ} - \Omega_M(f_k | \Theta)}{\sigma_{IJ}^2(f_k)} \right)^2 \right]$$

(for a flat & infinite-range prior)



fluctuating because of the detector noise with the variance  $\sigma_{\text{GW},f}^2$



# More details will be in...

## Springer textbook on PBH

Editorial board: Chris Byrnes, Gabriele Franciolini, Tomohiro Harada, Paolo Pani, Misao Sasaki

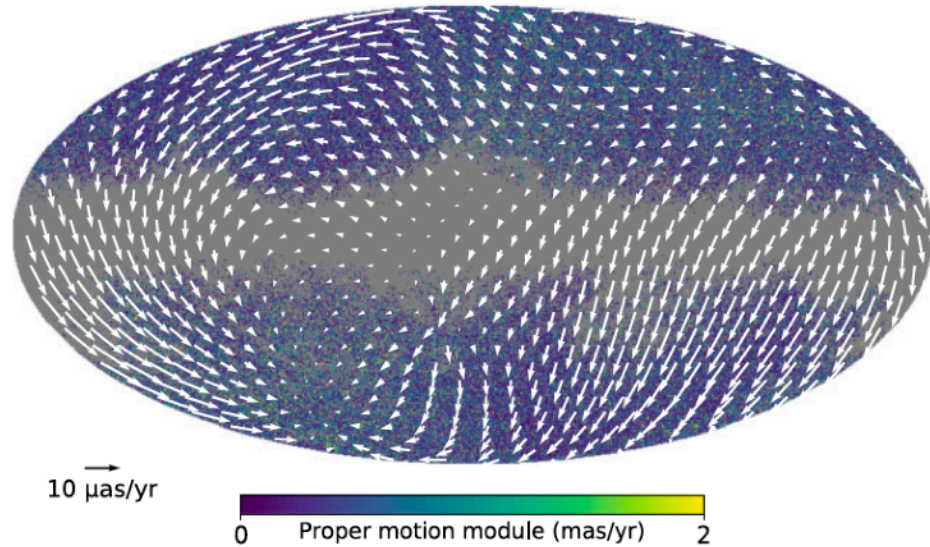
### **LVK constraints on PBHs from stochastic gravitational wave background searches**

Alba Romero-Rodríguez and Sachiko Kuroyanagi

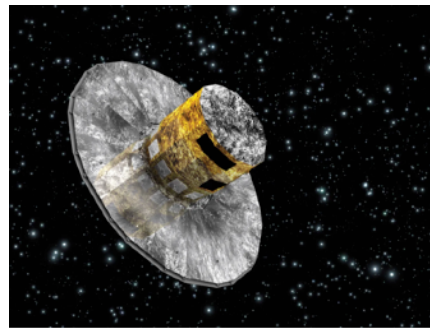
**Abstract** Primordial black holes (PBHs) may have left an imprint in the form of a stochastic gravitational wave background (SGWB) throughout their evolution in the history of the Universe. This chapter highlights two types of SGWB: those generated by scalar curvature perturbations associated with PBH formation in the early Universe and those composed of ensembles of GWs emitted during PBH mergers. After describing detection methods and a brief introduction on Bayesian inference, we discuss current constraints imposed by LIGO-Virgo-KAGRA (LVK) observations through the non-detection of the SGWBs and discuss their physical implications.

# ② Astrometry upper bound

S. Jaraba et al. (+SK) MNRAS 524, 3609-3622 (2023)



GWs induce fluctuations in location and proper motion of stars



Gaia satellite (2013-) provides precise measurements of star motions

Upper bound obtained by fitting  $l=2$  multipole mode

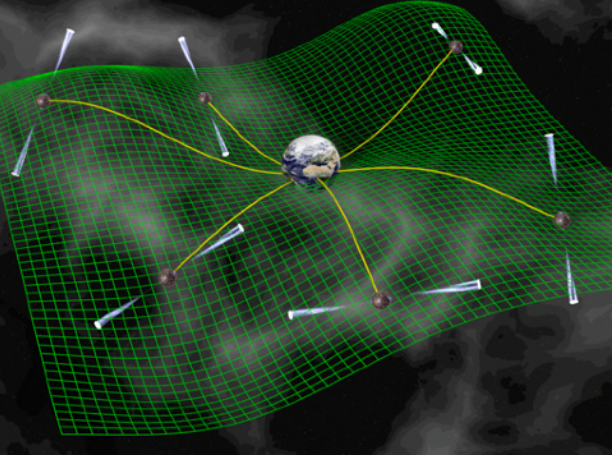
$$\Omega_{\text{GW}} = \frac{6}{5} \frac{1}{4\pi} \frac{P_2}{H_0^2} = 0.000438 \frac{P_2}{(1 \mu\text{as/yr})^2} h_{70}^{-2}$$

Valid frequency range

$$4.2 \times 10^{-18} \text{ Hz} \lesssim f \lesssim 1.1 \times 10^{-8} \text{ Hz}$$

Data set	$\sqrt{P_2}$ ( $\mu\text{as/yr}$ )	$Z_2$	$\ln \mathcal{B}_1^{12}$	$h_{70}^2 \Omega_{\text{GW}}$	$h_{70}^2 \Omega_{\text{GW}}^{\text{up}}$ (95 percent)	
Masked	12.51(1.81)	4.19	-17.2	0.069(0.021)	0.114	
Pure	23.15(2.01)	10.21	34.4	0.235(0.040)	0.295	
Astrometric	10.13(1.73)	3.10	-23.2	0.045(0.017)	0.089	Obs. time
Intersection	9.53(1.73)	2.68	-23.5	0.040(0.017)	<u>0.087</u>	2.84yr
VLBA	2.73(1.23)	-1.93	-42.3	0.0033(0.0056)	<u>0.024</u>	22.2yr
VLBA+Gaia DR1	5.30(1.36)	0.57	-14.7	0.0123(0.0077)	0.034	
SDSS+Gaia EDR3	52.48(10.88)	4.70	69.6	1.21(0.54)	2.43	





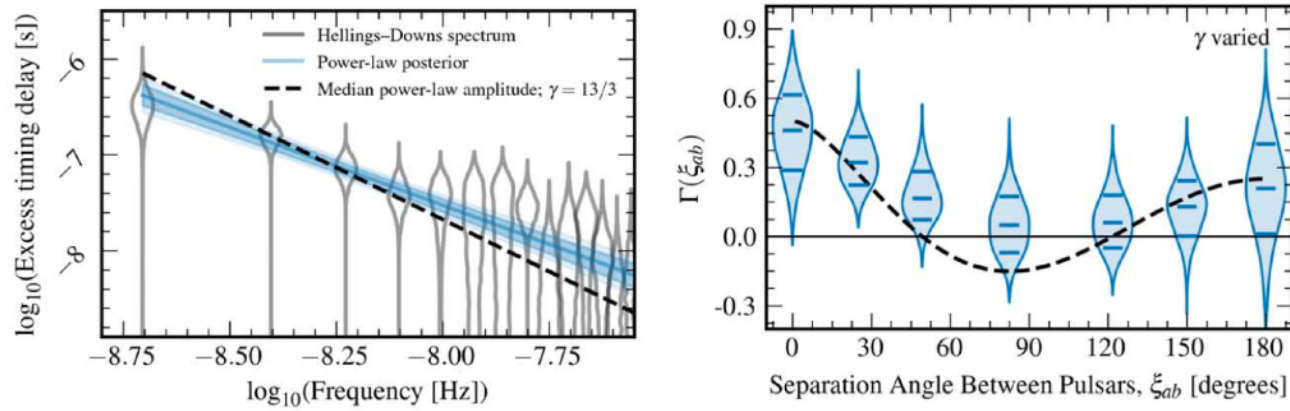
# ③ Pulsar timing

“Evidence” of GWs at nano-Hz frequencies

**NANOGrav**

G. Agazie et al. (2023)

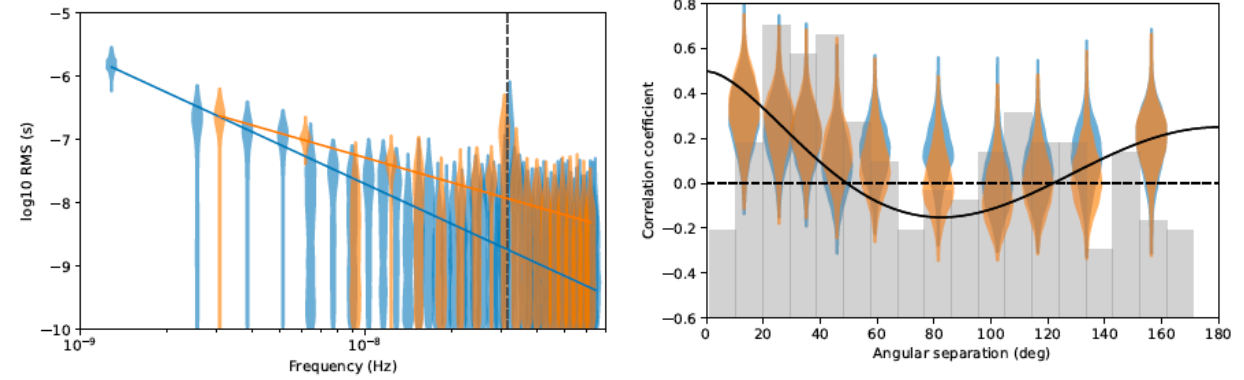
finding  $p = 10^{-3}$  ( $\approx 3\sigma$ ) for the observed Bayes factors



**EPTA+IPTA**

J. Antoniadis et al. (2023)

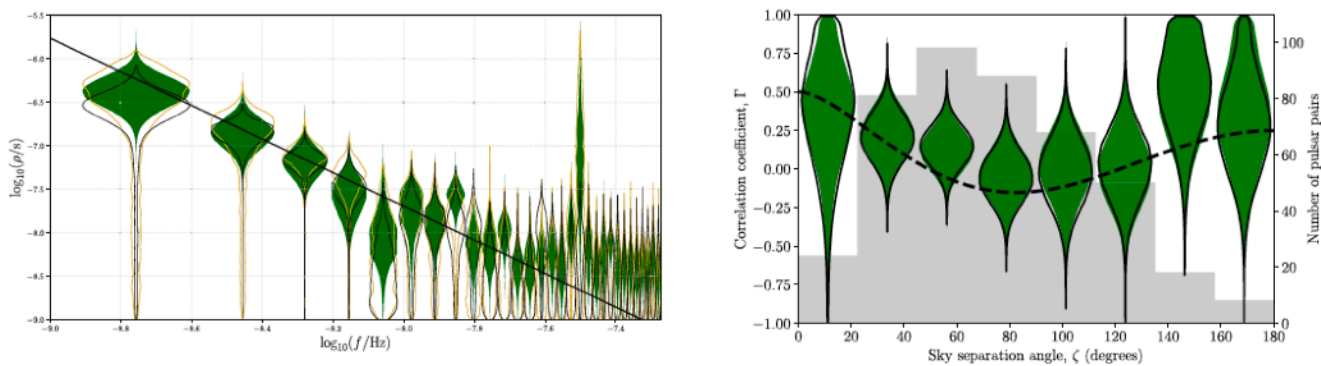
a false alarm probability of about 0.1% ( $\approx 3\sigma$  significance)



**PPTA**

D. Reardon et al. (2023)

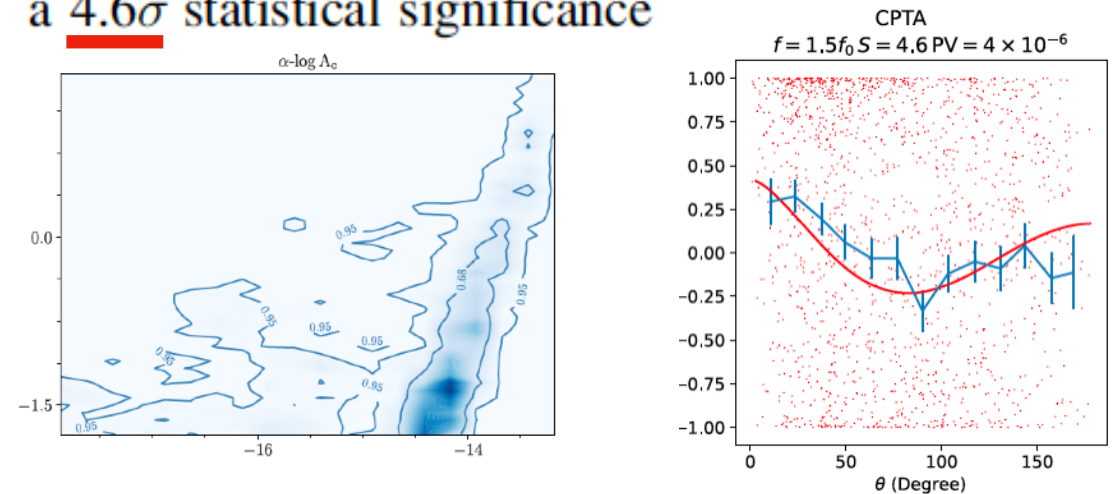
false-alarm probability of  $p \lesssim 0.02$  (approx.  $2\sigma$ )



**CPTA**

H. Xu et al. (2023)

a  $4.6\sigma$  statistical significance

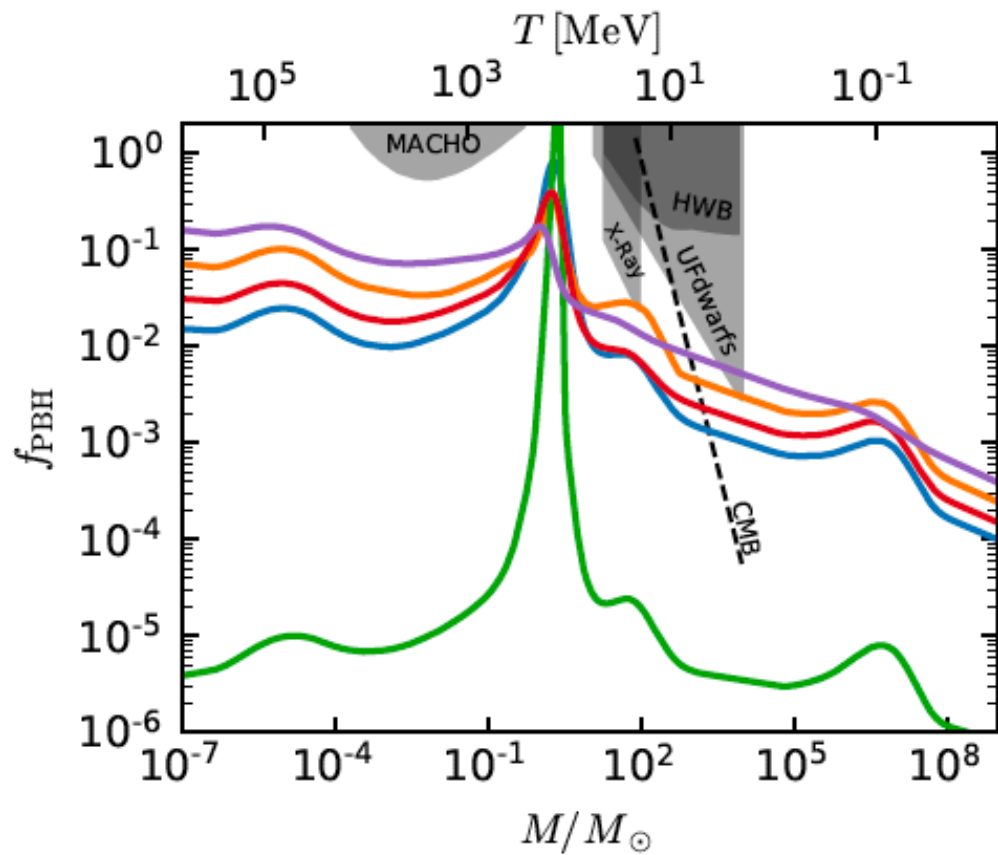




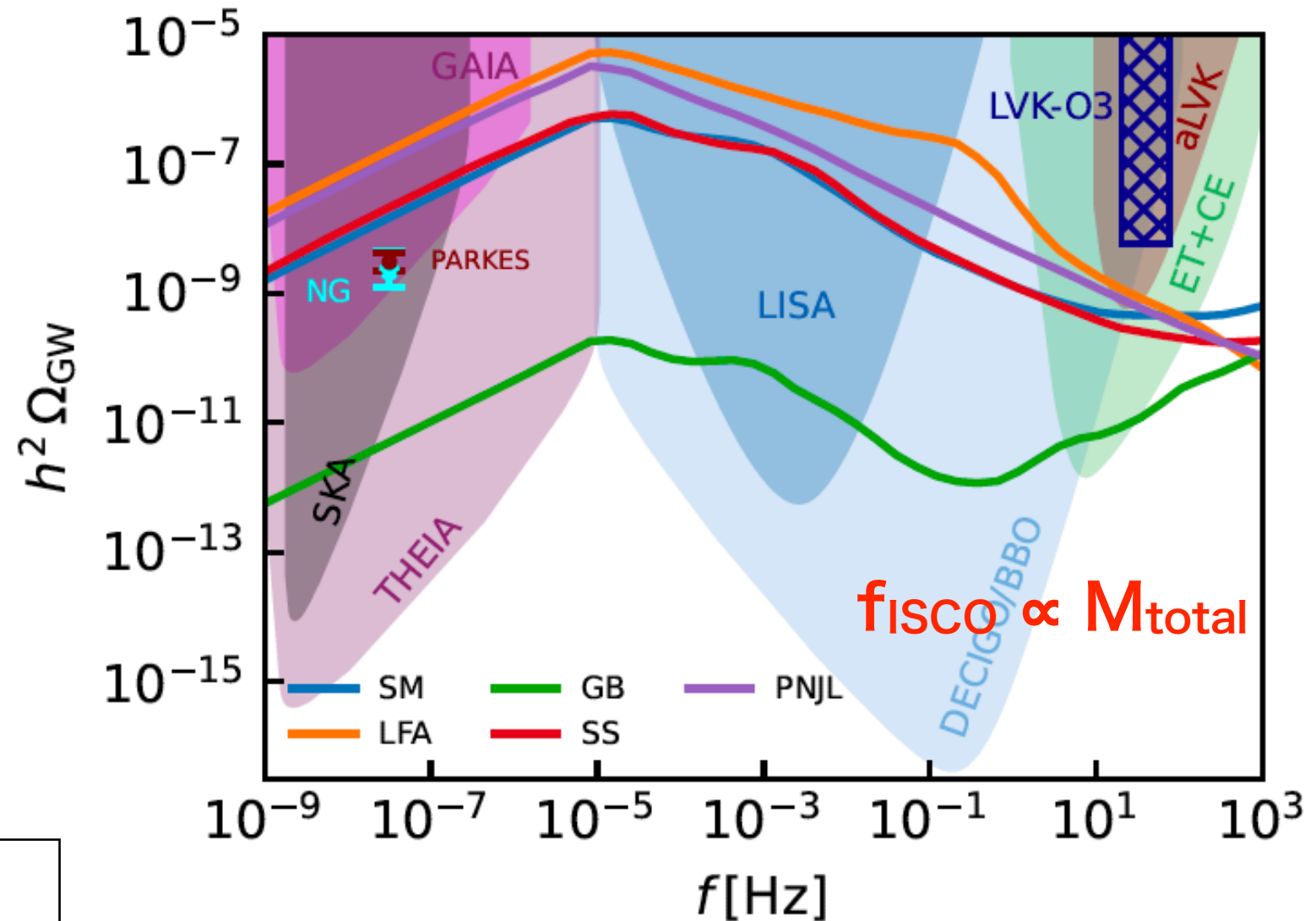
# GW spectrum from PBH mergers

M. Braglia, J. Garcia-Bellido, SK, JCAP12, 012 (2021)

Thermal mass PBH mass function



GW spectrum



Assumption:  
binary formation by dynamical capture

cross section

$$\sigma = \pi b^2 = \pi \left( \frac{GM}{v_0^2} \right)^2 (e^2 - 1)$$

event rate

$$\tau_{\text{ind}} = \sigma v_{\text{PBH}} n(m)$$

Wide mass range  
→ Wide frequency

Primordial spectrum with  $n_s=0.97$

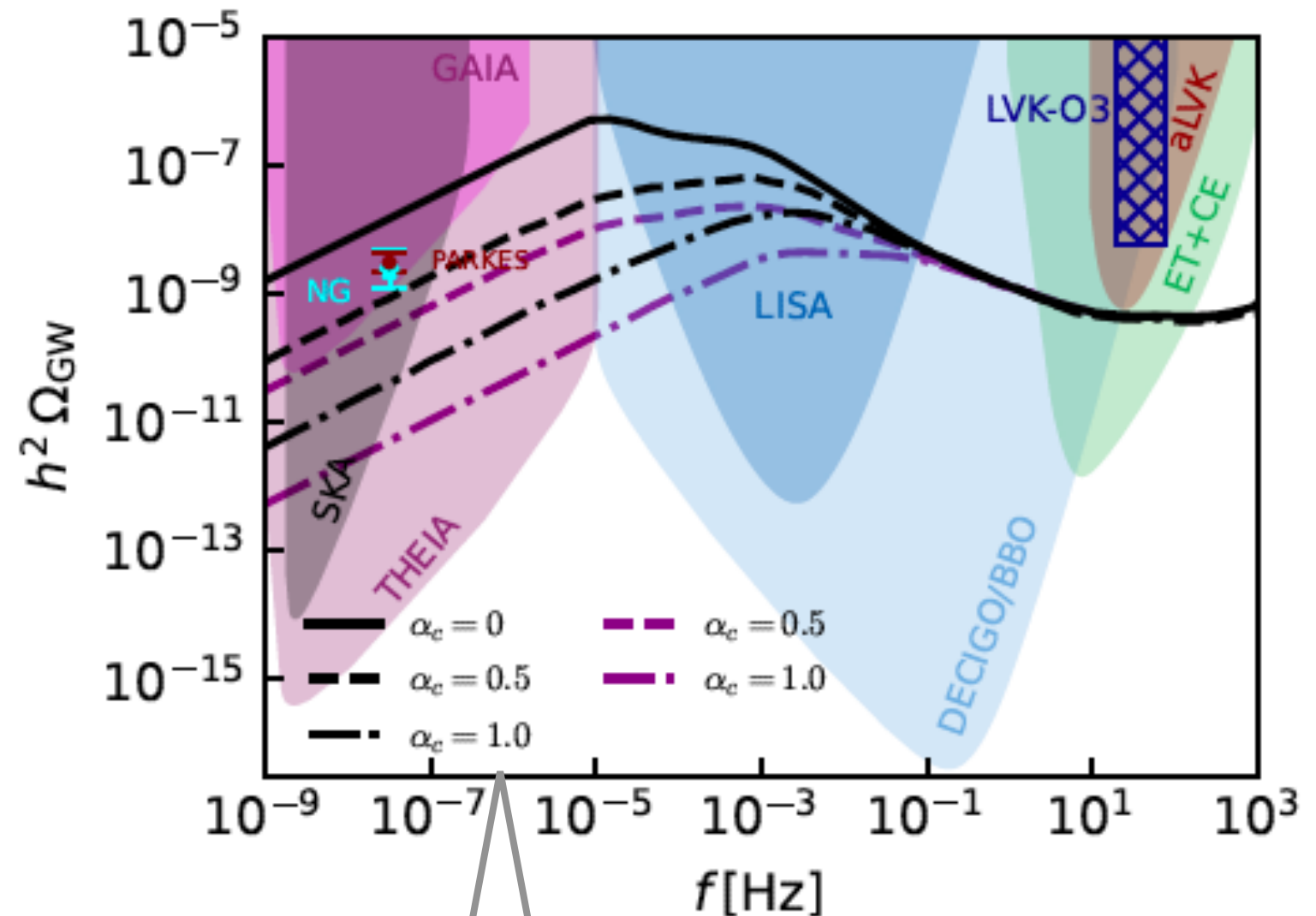
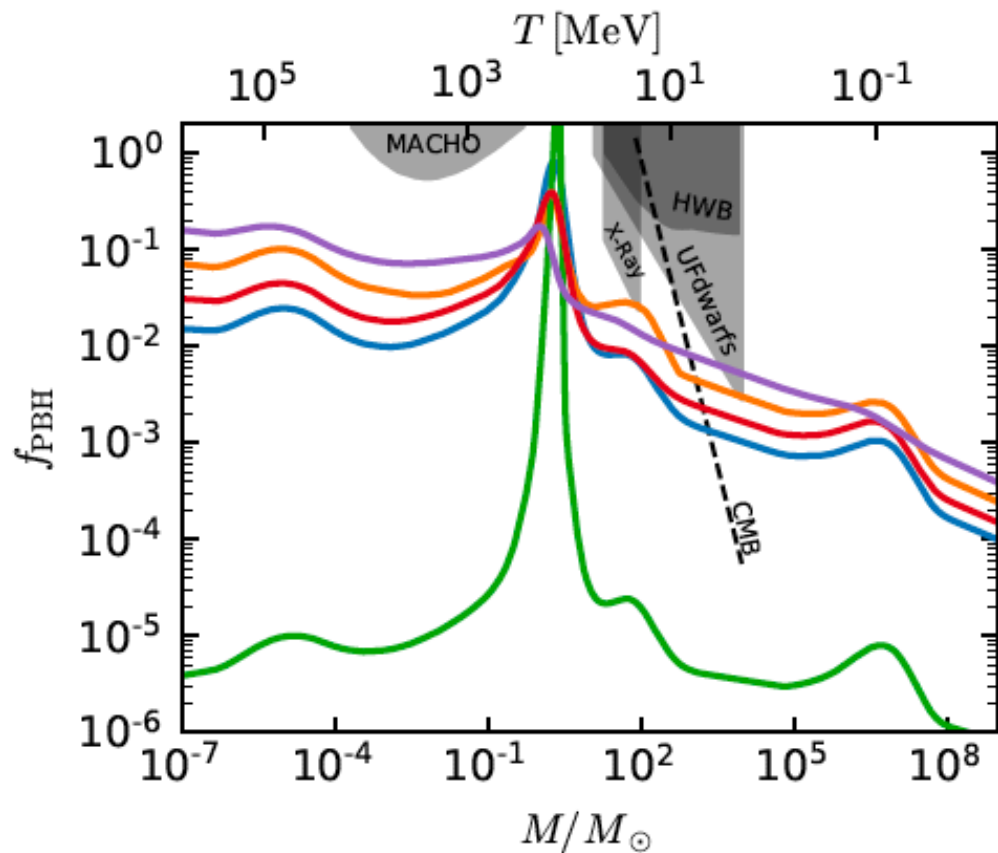
Normalization of the merger rate is taken to explain all the individual events in GWTC-2 (38 /Gpc<sup>3</sup>/yr)

# Prediction for pulsar timing?

M. Braglia, J. Garcia-Bellido, SK, JCAP12, 012 (2021)

GW spectrum

Thermal mass PBH mass function



Suppression of the merger rate of large mass BHs  
(more difficult to form binaries)

→ introduced by introducing a cutoff in mass function  $1/[1 + (M_{\text{tot}}/M_*)]^{\alpha_c}$

**Better way to provide prediction for pulsar timing?**

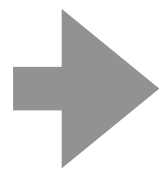
# Summary

**Stochastic GW background is a useful tool to probe signature of PBHs.**

Possible PBH signals and its peak frequency

$$\text{2nd order GW} \quad f \sim 5.6 \times 10^{-9} \left( \frac{M_{\text{PBH}}}{M_{\odot}} \right)^{-1/2} \text{ Hz}$$

$$\text{PBH mergers} \quad f \sim 8.3 \times 10^3 \left( \frac{M_{\odot}}{M_{\text{PBH}}} \right)^{-1} \text{ Hz}$$



**Multi-frequency GW observations**

**(Astrometry, Pulsar timing,**

**Space-borne/Ground-based interferometers)**

**help to explore a wide range of PBH mass scales!**