

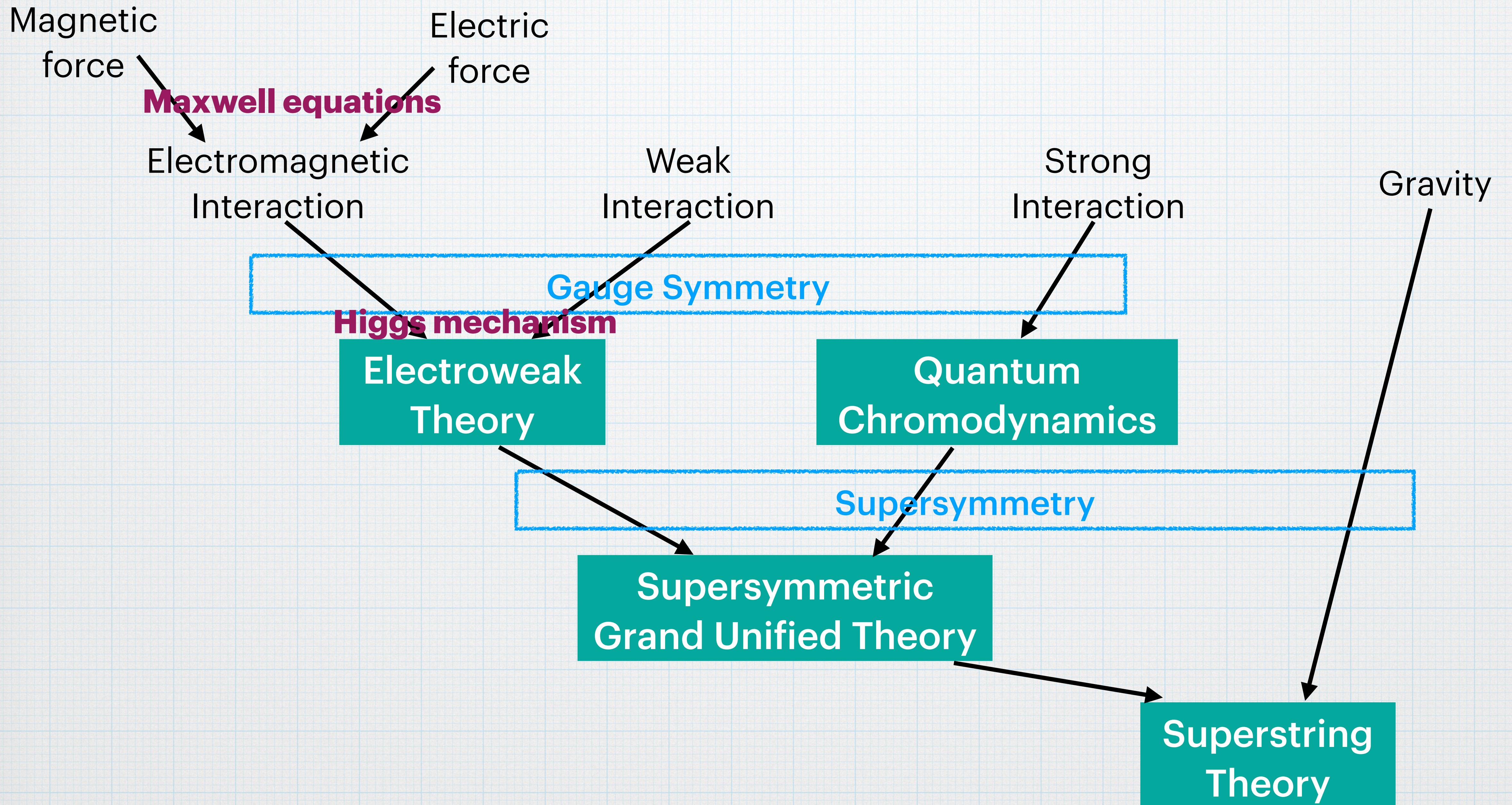
# SUSY GUT and the Doublet-Triplet Splitting Problem

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# Outline

- \* 1. Grand Unified Theories and the Doublet-Triplet Splitting
- \* 2. Missing Partner Mechanism
- \* 3. Example: R-symmetric Flipped SU(5)
- \* 4. Nambu-Goldstone Higgs
- \* 5. Example: NG Higgs SUSY SU(5)
- \* 6. Summary

# 1. Grand Unified Theories and the Doublet-Triplet Splitting



# Grand Unification

## \* Coupling unification (SUSY GUT)

- \*  $g_1(M_{\text{GUT}}) = g_2(M_{\text{GUT}}) = g_3(M_{\text{GUT}})$

## \* SUSY GUT

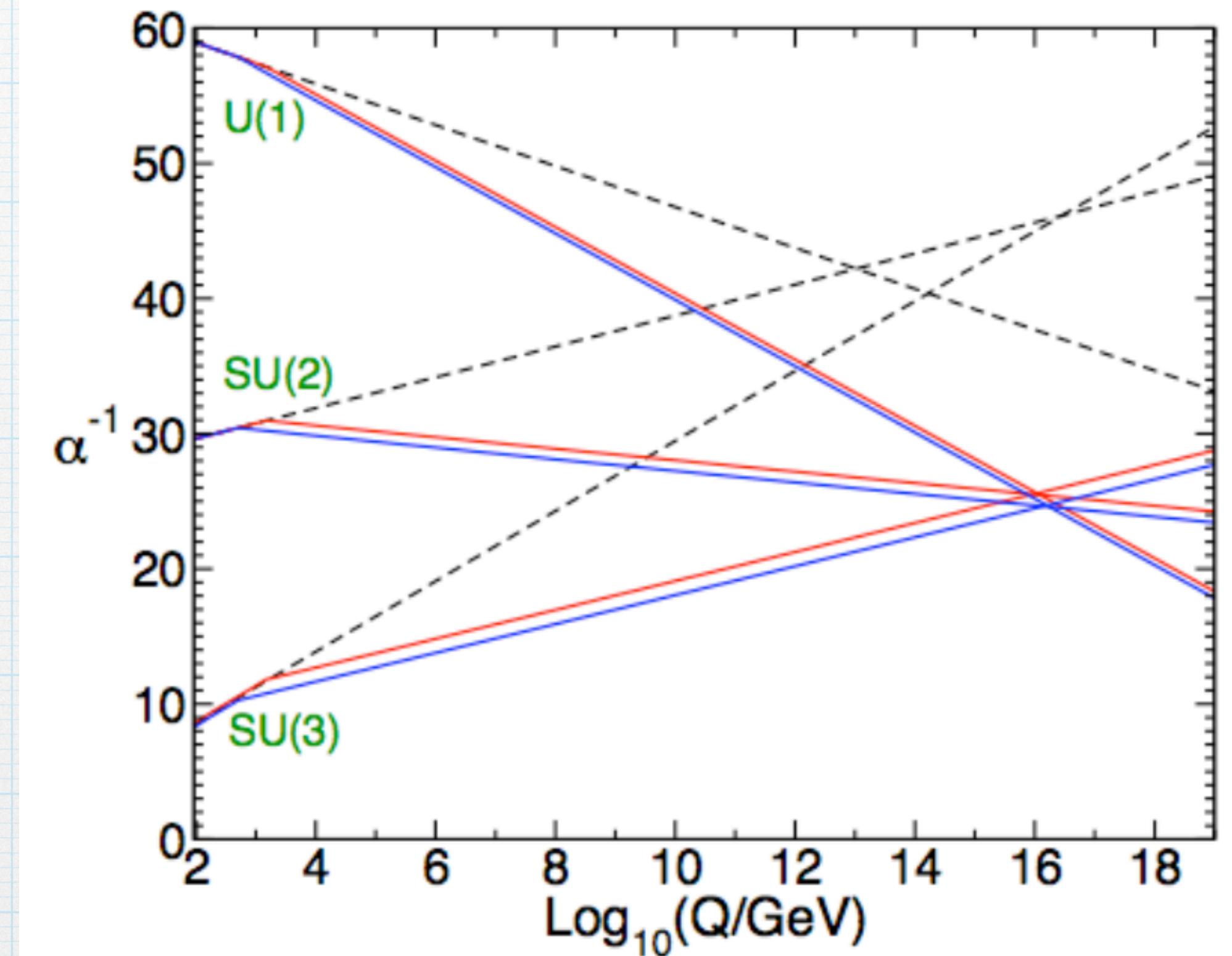
- \* SUSY GUT: EW scale stabilization

- \* Unification of quarks and leptons

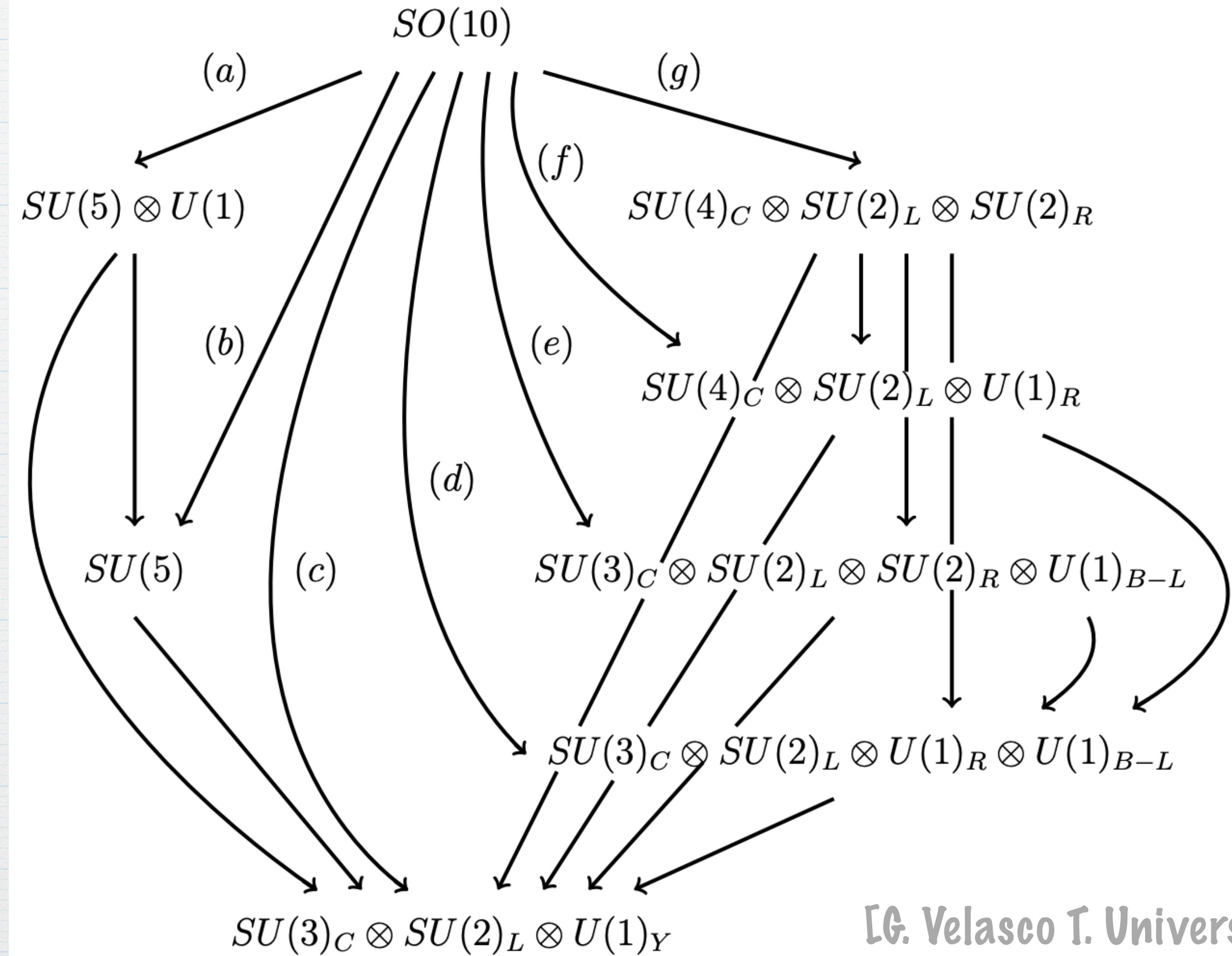
- \* Charge quantization

- \* Proton decay

[P. Langacker, Phys. Rept. 72 (1981) 185]



# Grand Unification



[G. Velasco T. University Coll. London (2015)]

# SU(5) GUT

- \* SU(5) gauge theory

$$* F_i = \mathbf{10} = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 & u_{i3}^c & -u_{i2}^c & u_i^1 & d_i^1 \\ -u_{i3}^c & 0 & u_{i1}^c & u_i^2 & d_i^2 \\ u_{i2}^c & -u_{i1}^c & 0 & u_i^3 & d_i^3 \\ -u_i^1 & -u_i^2 & -u_i^3 & 0 & e_i^c \\ -d_i^1 & -d_i^2 & -d_i^3 & -e_i^c & 0 \end{pmatrix}, \quad \bar{f}_i = \bar{\mathbf{5}} = \begin{pmatrix} d_{i1}^c \\ d_{i2}^c \\ d_{i3}^c \\ e_i \\ -\nu_i \end{pmatrix}, \quad l_i^c = \mathbf{1} = (\nu_i^c)$$

- \*  $SU(5) \rightarrow SU(3) \times SU(2) \times U(1) \Rightarrow$  Hypercharge assignments

- \* Broken by  $\Phi = 24 \rightarrow (8,1)_0 + (1,3)_0 + (1,1)_0 + (3,2)_{+5/6} + (\bar{3},2)_{-5/6}$

- \*  $10 \rightarrow (\bar{3},1)_{-2/3} + (3,2)_{+1/6} + (1,1)_{+1}$

- \*  $\bar{5} \rightarrow (\bar{3},1)_{+1/3} + (1,2)_{-1/2}$

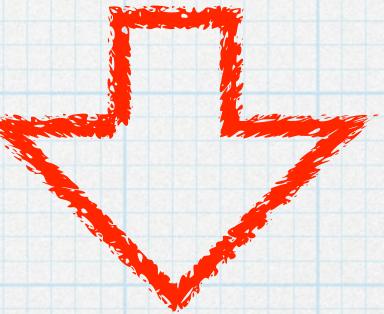
$Q_i$	$u_i^c$	$d_i^c$	$L_i$	$e_i^c$
$(\begin{array}{c} u \\ d \end{array})_L$ $(3,2)_{+1/6}$	$(\begin{array}{c} u \\ d \end{array})_R$ $(\bar{3},1)_{-2/3}$	$(\begin{array}{c} d \\ u \end{array})_R$ $(\bar{3},1)_{+1/3}$	$(\begin{array}{c} e \\ \nu_e \end{array})_L$ $(1,2)_{-1/2}$	$(\begin{array}{c} e \\ \nu_e \end{array})_R$ $(1,1)_{+1}$

# Doublet-Triplet Splitting Problem

- \* MSSM Higgs: embedded in  $5$  and  $\bar{5}$  of  $SU(5)$

- \* 
$$H = \begin{pmatrix} \zeta_u \\ H_u \end{pmatrix}, \quad \bar{H} = \begin{pmatrix} \bar{\zeta}_d \\ H_d \end{pmatrix}$$

$\zeta_u, \bar{\zeta}_d$ : colored Higgs  $\rightarrow$  need to be heavy  
 $H_u, H_d$ : MSSM Higgs  $\rightarrow$  need to be light



- \* Superpotential  $W \supset \lambda(H\Phi\bar{H} + MHH)$

- \*  $\langle \Phi \rangle = \text{diag}(b, b, b, -\frac{3}{2}b, -\frac{3}{2}b)$

Doublet-triplet splitting problem

- \*  $W_{\text{eff}} = \lambda(b + M)\zeta_u\bar{\zeta}_d + \lambda(-\frac{3}{2}b + M)H_uH_d$

- \*  $\frac{3}{2}b = M \rightarrow$  fine-tuning problem

# Doublet-Triplet Splitting Problem

- \* Solutions:
  - \* Sliding singlet mechanism
  - \* Missing partner mechanism
  - \* Dimopoulos-Wilczek mechanism
  - \* Nambu-Goldstone bosons

## 2. Missing Partner Mechanism

# Missing Partner Mechanism in SU(5)

- \* Mixing with additional triplets

- \*  $c_1 50 \cdot 75 \cdot 5 + c_2 \bar{50} \cdot \bar{75} \cdot \bar{5} + c_3 50 \cdot \bar{50}$

- \* Symmetry breaking:  $SU(5) \rightarrow SU(3) \times SU(2) \times U(1)$

- \*  $75 \rightarrow (\bar{3}, 1)_{-5/3} + (\bar{6}, 2)_{-5/6} + (3, 2)_{-5/6} + (8, 3)_0 + (1, 1)_0$   
 $+ (8, 1)_0 + (\bar{3}, 2)_{+5/6} + (6, 2)_{+5/6} + (3, 1)_{+5/3}$

- \*  $50 \rightarrow (\bar{6}, 1)_{-4/3} + (8, 2)_{-1/2} + (6, 3)_{+1/3} + (\bar{3}, 1)_{+1/3} + (3, 2)_{+7/6} + (1, 1)_{+2}$

- \*  $5 \rightarrow (3, 1)_{-1/3} + (1, 2)_{+1/2}$

# Missing Partner Mechanism in SU(5)

- \* Large representation
  - \*  $24 \rightarrow 75 + 50 + \bar{50}$
- \* Color Higgs becomes heavy without fine-tuning.
- \*  $\mu 5\bar{5}$  term is dropped by hand in original missing partner model.
- \* Missing partner model with the minimal matter content does not work well.

# Flipped SU(5) GUT

- \* Flipped SU(5) GUT:  $SU(5) \times U(1)$  gauge theory

\*

$$F_i = \mathbf{10} = -\frac{1}{\sqrt{2}} \begin{pmatrix} 0 & d_{i3}^c & -d_{i2}^c & u_i^1 & d_i^1 \\ -d_{i3}^c & 0 & d_{i1}^c & u_i^2 & d_i^2 \\ d_{i2}^c & -d_{i1}^c & 0 & u_i^3 & d_i^3 \\ -u_i^1 & -u_i^2 & -u_i^3 & 0 & \nu_i^c \\ -d_i^1 & -d_i^2 & -d_i^3 & -\nu_i^c & 0 \end{pmatrix}, \quad \bar{f}_i = \overline{\mathbf{5}} = \begin{pmatrix} u_{i1}^c \\ u_{i2}^c \\ u_{i3}^c \\ e_i \\ -\nu_i \end{pmatrix}, \quad l_i^c = \mathbf{1} = (e_i^c)$$

\*

$$Y = \frac{1}{\sqrt{15}} T_{24} + \sqrt{\frac{8}{5}} Q_X$$

- \* The doublet-triplet splitting problem

- \* Missing partner mechanism

# Missing Partner Mechanism in Flipped SU(5)

- \* Doublet-triplet splitting problem is solved by the missing partner mechanism.

- \* 
$$W_{DT} = \frac{1}{4}\lambda_4\epsilon_{\alpha\beta\gamma\delta\epsilon}H^{\alpha\beta}H^{\gamma\delta}h^\epsilon + \frac{1}{4}\lambda_5\epsilon^{\alpha\beta\gamma\delta\epsilon}\bar{H}_{\alpha\beta}\bar{H}_{\gamma\delta}\bar{h}_\epsilon ,$$

- \*  $10_{+1} \rightarrow (\bar{3}, 1)_{+1/3} + (3, 2)_{+1/6} + (1, 1)_0$

- \*  $5_{-2} \rightarrow (3, 1)_{-1/3} + (1, 2)_{-1/2}$

- \* Triplets obtain masses while doublets remain massless

# 3. Example: R-Symmetric Flipped SU(5)

# R-Symmetric Flipped SU(5)

- \* Standard flipped SU(5) GUT

- \* MSSM Higgs reside in  $h$  and  $\bar{h}$

- \*  $H$  and  $\bar{H}$  break the  $SU(5) \times U(1)$  down to the SM gauge group

- \* Newly introduced in our model

- \* Global  $U(1)_R$  symmetry

- \* Additional singlet field  $S$

Fields	Components	SU(5)	$U(1)$	$U(1)_R$
$F_i$	$d_i^c, Q_i, \nu_i^c$	10	+1	17/36
$\bar{f}_i$	$u_i^c, L_i$	$\bar{5}$	-3	17/36
$\ell_i^c$	$e_i^c$	1	+5	17/36
$H$	$d_H^c, Q_H, \nu_H^c$	10	+1	1/36
$\bar{H}$	$d_{\bar{H}}^c, Q_{\bar{H}}, \nu_{\bar{H}}^c$	$\bar{10}$	-1	17/36
$h$	$D, H_d$	5	-2	19/18
$\bar{h}$	$\bar{D}, H_u$	$\bar{5}$	+2	19/18
$S$	$S$	1	0	1/9

# Superpotential

## \* Superpotential

$$* W_{\text{Yukawa}} = -\frac{1}{4}\lambda_1^{ij}\epsilon_{\alpha\beta\gamma\delta\epsilon}F_i^{\alpha\beta}F_j^{\gamma\delta}h^\epsilon + \sqrt{2}\lambda_2^{ij}F_i^{\alpha\beta}\bar{f}_{j\alpha}\bar{h}_\beta + \lambda_3^{ij}\bar{f}_{i\alpha}\ell_j^ch^\alpha ,$$

$$* W_{\text{DT}} = \frac{\lambda_4}{4\Lambda_{\text{DT}}^8}\epsilon_{\alpha\beta\gamma\delta\epsilon}S^8H^{\alpha\beta}H^{\gamma\delta}h^\epsilon + \frac{1}{4}\lambda_5\epsilon^{\alpha\beta\gamma\delta\epsilon}\bar{H}_{\alpha\beta}\bar{H}_{\gamma\delta}\bar{h}_\epsilon ,$$

$$* W_{\text{neutrino}} = \frac{c_{ij}}{2\Lambda_N^2}S(F_i^{\alpha\beta}\bar{H}_{\alpha\beta})(F_j^{\gamma\delta}\bar{H}_{\gamma\delta}) ,$$

$$* W_{\text{HS}} = \frac{\lambda_H}{4\Lambda_{\text{HS}}^5}(H^{\alpha\beta}\bar{H}_{\alpha\beta})^4 + \frac{\lambda_{\text{HS}}}{18\Lambda_{\text{HS}}^{10}}(H^{\alpha\beta}\bar{H}_{\alpha\beta})^2S^9 + \frac{\lambda_S}{18\Lambda_{\text{HS}}^{15}}S^{18} ,$$

\* Unwanted bilinear terms,  $H\bar{H}$  and  $h\bar{h}$ , are both forbidden by the U(1)R symmetry.

\* U(1)R symmetry highly restricts the possible forms of the operators in  $W_{\text{HS}}$

# Doublet-Triplet Splitting

- \* Doublet-triplet splitting problem is solved by the missing partner mechanism.

- \*  $W_{DT} = \frac{\lambda_4}{4\Lambda_{DT}^8} \epsilon_{\alpha\beta\gamma\delta\epsilon} S^8 H^{\alpha\beta} H^{\gamma\delta} h^\epsilon + \frac{1}{4} \lambda_5 \epsilon^{\alpha\beta\gamma\delta\epsilon} \bar{H}_{\alpha\beta} \bar{H}_{\gamma\delta} \bar{h}_\epsilon ,$

- \* Triplets obtain masses while doublets remain massless

- \*  $M_{H_C} = \lambda_4 |\langle \Phi \rangle| \left( \frac{\langle S \rangle}{\Lambda_{DT}} \right)^8$   Light color-triplet Higgs  
 $\simeq \lambda_4 \times 7 \times 10^{11} \times \left( \frac{|\langle \Phi \rangle|}{10^{16} \text{ GeV}} \right) \left( \frac{\langle S \rangle}{3 \times 10^{17} \text{ GeV}} \right)^8 \left( \frac{\Lambda_{DT}}{10^{18} \text{ GeV}} \right)^{-8} \text{ GeV} ,$

- \*  $M_{\bar{H}_C} = \lambda_5 |\langle \Phi \rangle| .$

# Proton Decay

## \* Dimension-6 proton decay

$$* \quad \Gamma(p \rightarrow \pi^0 e^+) = \frac{m_p}{32\pi} \left(1 - \frac{m_\pi^2}{m_p^2}\right)^2 \left|V_{ud}\right|^2 \left|\left(U_\ell\right)_{11}\right|^2 \left(\langle \pi^0 | (ud)_R u_L | p \rangle_e\right)^2 \times \left[ \frac{(A_1^H)^2 (A_1^R)^2}{|\langle \Phi \rangle|^4} + \frac{(A_2^R)^2}{M_{H_C}^4} \left\{ y_d(M_{H_C}) y_e(M_{H_C}) \right\}^2 \right],$$

$$* \quad \Gamma(p \rightarrow \pi^0 \mu^+) = \frac{m_p}{32\pi} \left(1 - \frac{m_\pi^2}{m_p^2}\right)^2 \left|V_{ud}\right|^2 \left|\left(U_\ell\right)_{12}\right|^2 \left(\langle \pi^0 | (ud)_R u_L | p \rangle_\mu\right)^2 \times \left[ \frac{(A_1^H)^2 (A_1^R)^2}{|\langle \Phi \rangle|^4} + \frac{(A_2^R)^2}{M_{H_C}^4} \left\{ y_d(M_{H_C}) y_\mu(M_{H_C}) \right\}^2 \right],$$

$$* \quad \Gamma(p \rightarrow K^0 e^+) = \frac{m_p}{32\pi} \left(1 - \frac{m_K^2}{m_p^2}\right)^2 \left|V_{us}\right|^2 \left|\left(U_\ell\right)_{11}\right|^2 \left(\langle K^0 | (us)_R u_L | p \rangle_e\right)^2 \times \left[ \frac{(A_1^H)^2 (A_1^R)^2}{|\langle \Phi \rangle|^4} + \frac{(A_2^R)^2}{M_{H_C}^4} \left\{ y_s(M_{H_C}) y_e(M_{H_C}) \right\}^2 \right],$$

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\* The color-triplet Higgs exchange process: induced by the Yukawa interactions → the decay modes that contain the second generations

# 4. Nambu-Goldstone Higgs

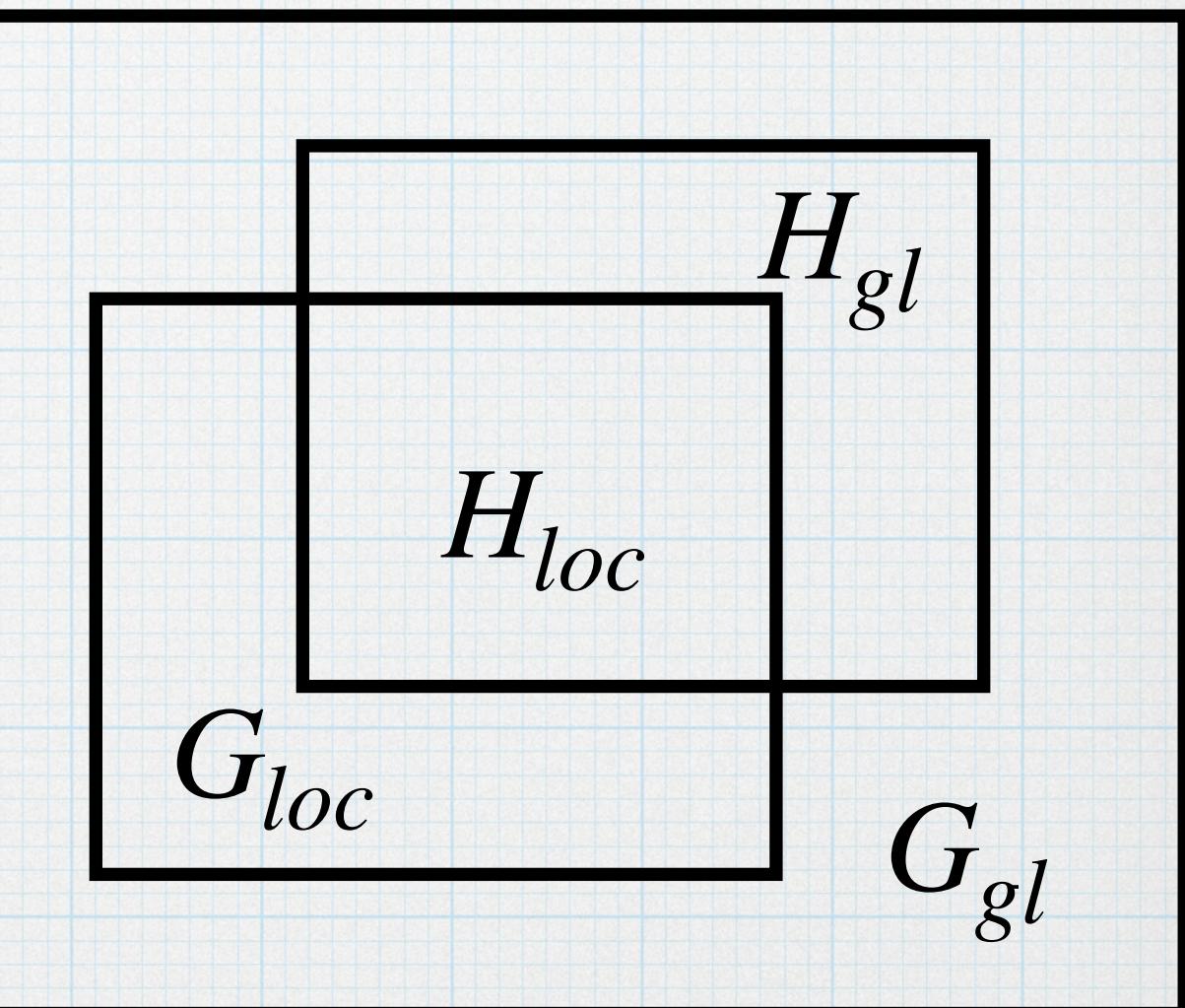
# Global and Local Symmetry Breaking

- \* Quasi-goldstone bosons from the SSB of an approximate global sym.

- \*  $W = W_{\text{Higgs}}(\hat{\Sigma}) + W(\hat{\Sigma}, \hat{f})$

- \*  $W_{\text{Higgs}}(\hat{\Sigma})$ : invariant under a global sym. group  $G_{gl}$

- \*  $H_{loc} = H_{gl} \cap G_{loc}$



- \* Goldstone  $\hat{P} \sim [\text{adj}(G_{gl}) - \text{adj}(H_{gl})] - [\text{adj}(G_{loc}) - \text{adj}(H_{loc})]$

- \*  $H_{loc} = SU(3) \times SU(2) \times U(1)$

# Global and Local Symmetry Breaking

TABLE 1  
Content of the  $\hat{P}$  supermultiplet under  $SU(3) \otimes SU(2) \otimes U(1)$

	$G_{loc}$	$G_{gl}$	$H_{gl}$	$\hat{P}$
A	$SU(5)$	$SU(6)$	$SU(4) \otimes SU(2) \otimes U(1)$	$D$
B	$SU(5)$	$SU(6)$	$SU(3) \otimes SU(2) \otimes U(1)$	$\hat{D} \oplus \hat{T} \oplus \hat{S}$
C	$SO(10)$	$SO(11)$	$SU(3) \otimes SU(2) \otimes U(1)$	$\hat{D} \oplus \hat{T}$
D	$SO(10)$	$SO(12)$	$SU(4) \otimes SU(2) \otimes U(1)$	$2\hat{D} \oplus T$
E	$SO(10)$	$SO(12)$	$SU(3) \otimes SU(2) \otimes U(1)$	$2\hat{D} \oplus 2\hat{T} \oplus \hat{S}$
F	$SO(10)$	$E_6$	$SU(3) \otimes SU(2) \otimes U(1)$	$2\hat{D} \oplus 2\hat{T} \oplus \hat{S} \oplus \hat{Q}$

$$\hat{D} = (1, 2)_{1/2} \oplus (1, 2)_{-1/2}, \hat{T} = (3, 1)_{-1/3} \oplus (\bar{3}, 1)_{1/3}, \hat{S} = (1, 1)_0, \hat{Q} = (3, 2)_{1/6} \oplus (\bar{3}, 2)_{-1/6}.$$

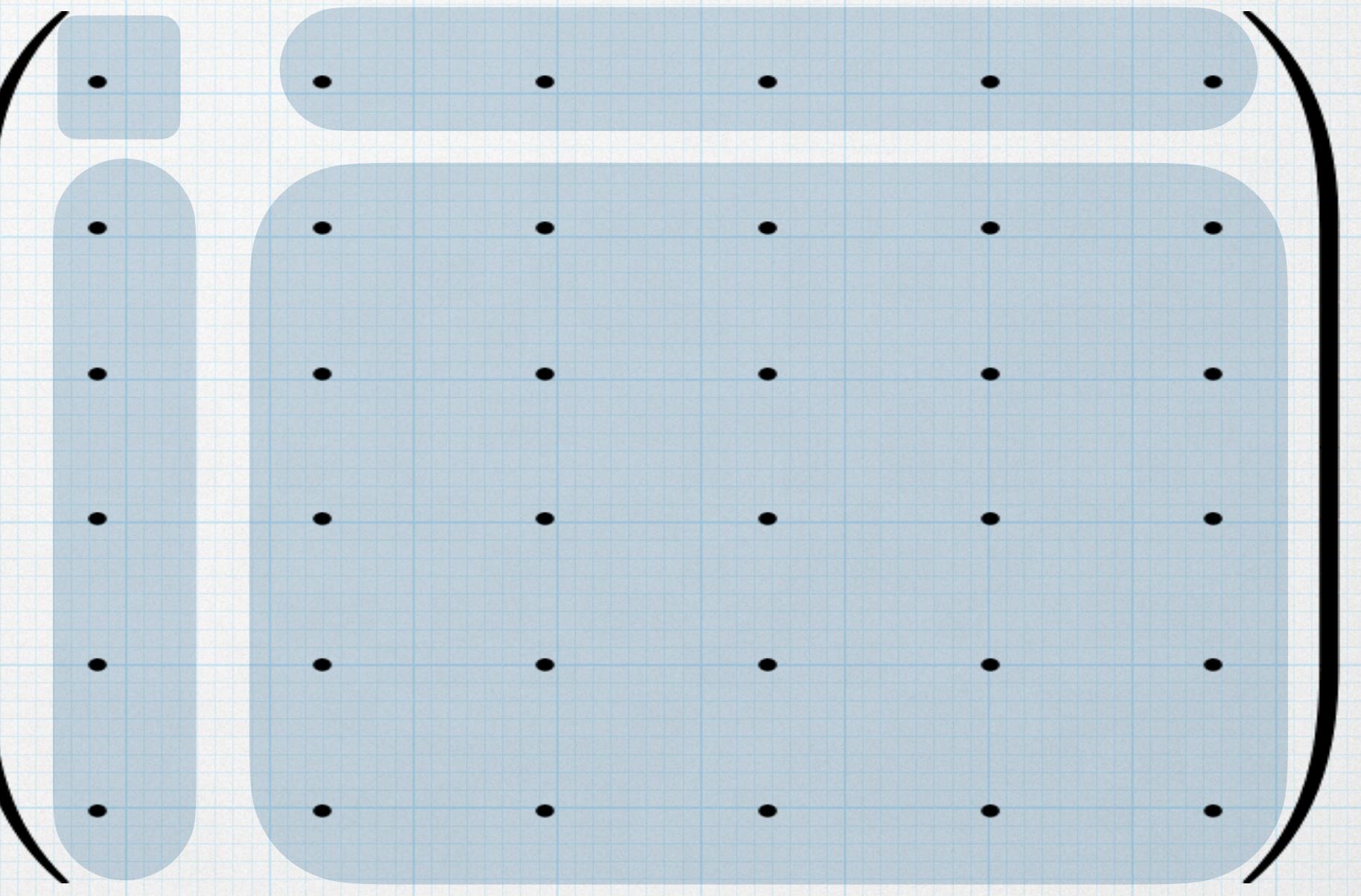
[R. Barbieri, G. Dvali, A. Strumia, Nuclear Physics B391 (1993) 487-500.]

# 5. Example: NG Higgs SUSY SU(5)

# NG Higgs SUSY SU(5) Model

[First proposed by K. Inoue, A. Kakuto and H. Tkano, Prog. Theor. Phys. 75 (1986) 664.]

- \* SUSY SU(5) grand unified theory
- \* Higgs sector: a global SU(6) symmetry
- \* MSSM Higgs: in the adjoint rep. of SU(6)
- \* 
$$W_{\text{Higgs}}(\hat{\Sigma}) = \frac{1}{3}\lambda \text{Tr}\hat{\Sigma}^3 + \frac{1}{2}M \text{Tr}\hat{\Sigma}^2$$
- \* Relations among the couplings (compared to the usual SU(5) GUT)



A large blue shaded rectangular area containing a grid of black dots representing a 3x3 matrix.

# NG Higgs SUSY SU(5) Model

- \* Symmetry breaking
  - \* Global  $SU(6) \rightarrow SU(4) \times SU(2) \times U(1)$
  - \* Gauged  $SU(5) \rightarrow SU(3) \times SU(2) \times U(1)$
  - \*  $\langle \hat{\Sigma} \rangle = \hat{V} \cdot \text{diag}(1, 1, 1, 1, -2, -2)$ ,  $\hat{V} = M/\lambda$
  - \* Goldstone modes:  $(3,2) + (\bar{3},2) + (1,2) + (1,2)$
  - \* MSSM Higgs
  - \*  $M_H = 0$  massless to all order (non-renormalization theorem)
  - \* Radiative corrections after soft SUSY breaking

$$\left( \begin{array}{c|ccccc} \cdot & & & & & \\ \cdot & \cdot & \cdot & \cdot & \cdot & \\ \cdot & \cdot & \cdot & \cdot & \cdot & \\ \cdot & \cdot & \cdot & \cdot & \cdot & \\ \hline \cdot & X & X & X & X & \\ \cdot & X & X & X & X & \\ \cdot & X & X & X & X & \\ \end{array} \right)$$

# Coupling Constants

- \* SU(6) global sym. —> Relations among the couplings (compared to the usual SU(5) GUT)

- \* The dependence on the low-energy SUSY spectrum

- \* Approximate relation (one-loop):

$$\frac{1}{\alpha_2(Q_G)} - \frac{1}{\alpha_3(Q_G)} = -\frac{5}{7} \frac{1}{\alpha_1(m_Z)} + \frac{12}{7} \frac{1}{\alpha_2(m_Z)} - \frac{1}{\alpha_3(m_Z)} + \frac{1}{28\pi} \ln \left( \frac{m_{\widetilde{W}}^{32} \cdot m_{\widetilde{H}}^{12} \cdot m_A^3}{m_Z^{19} \cdot m_{\widetilde{g}}^{28}} \right) + \frac{1}{28\pi} \sum_i \ln \left[ \left( \frac{m_{\widetilde{Q}_i}^7}{m_{\widetilde{u}_i}^5 \cdot m_{\widetilde{e}_i}^2} \right) \left( \frac{m_{\widetilde{L}_i}^3}{m_{\widetilde{d}_i}^3} \right) \right].$$

- \* The perturbativity condition

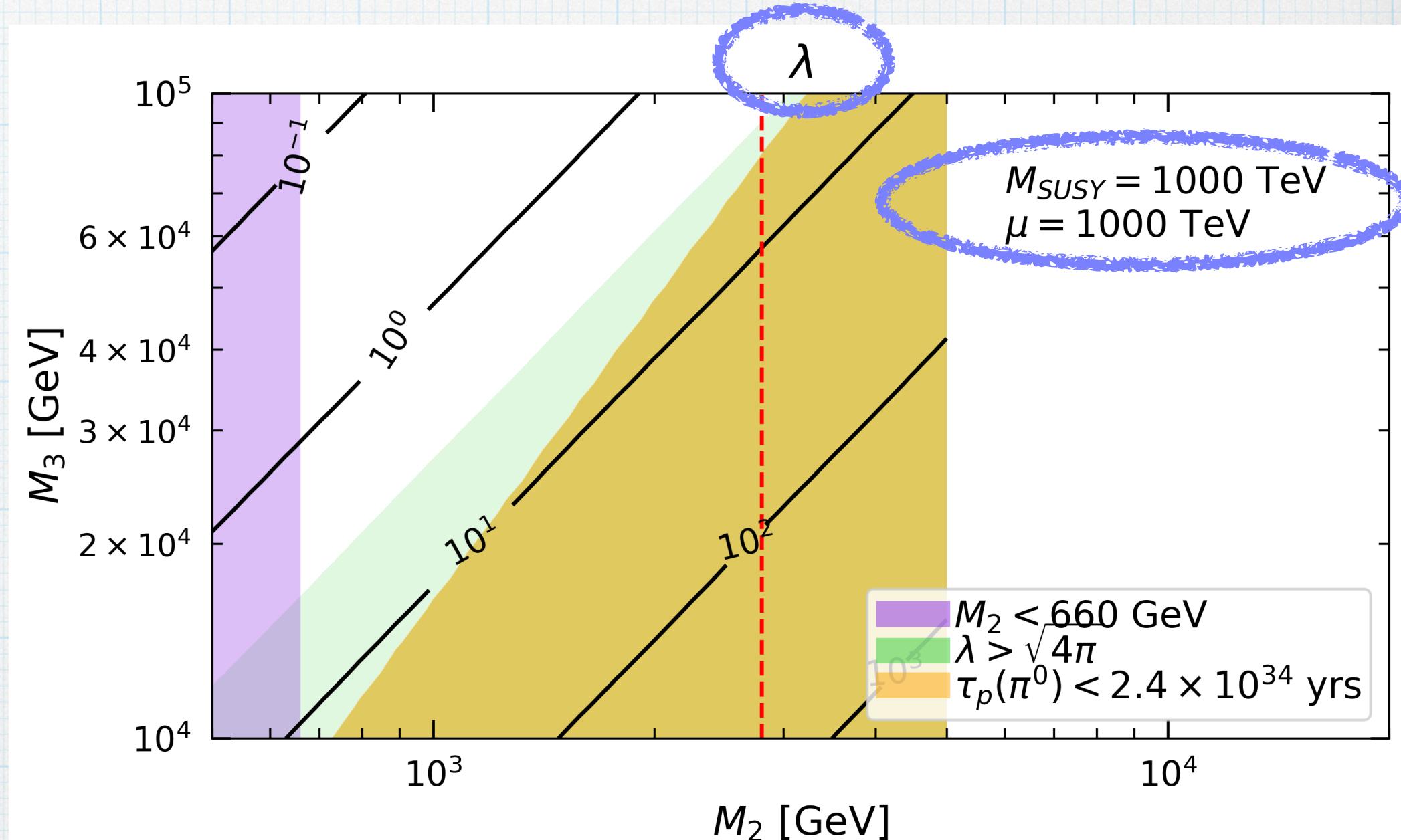
- \* Upper limit on  $m_{\widetilde{W}}$ ,  $m_{\widetilde{H}}$  and  $m_A$

- \* Lower limit on  $m_{\widetilde{g}}$

- \* We use two-loop RGEs and one-loop threshold corrections in numerical computation

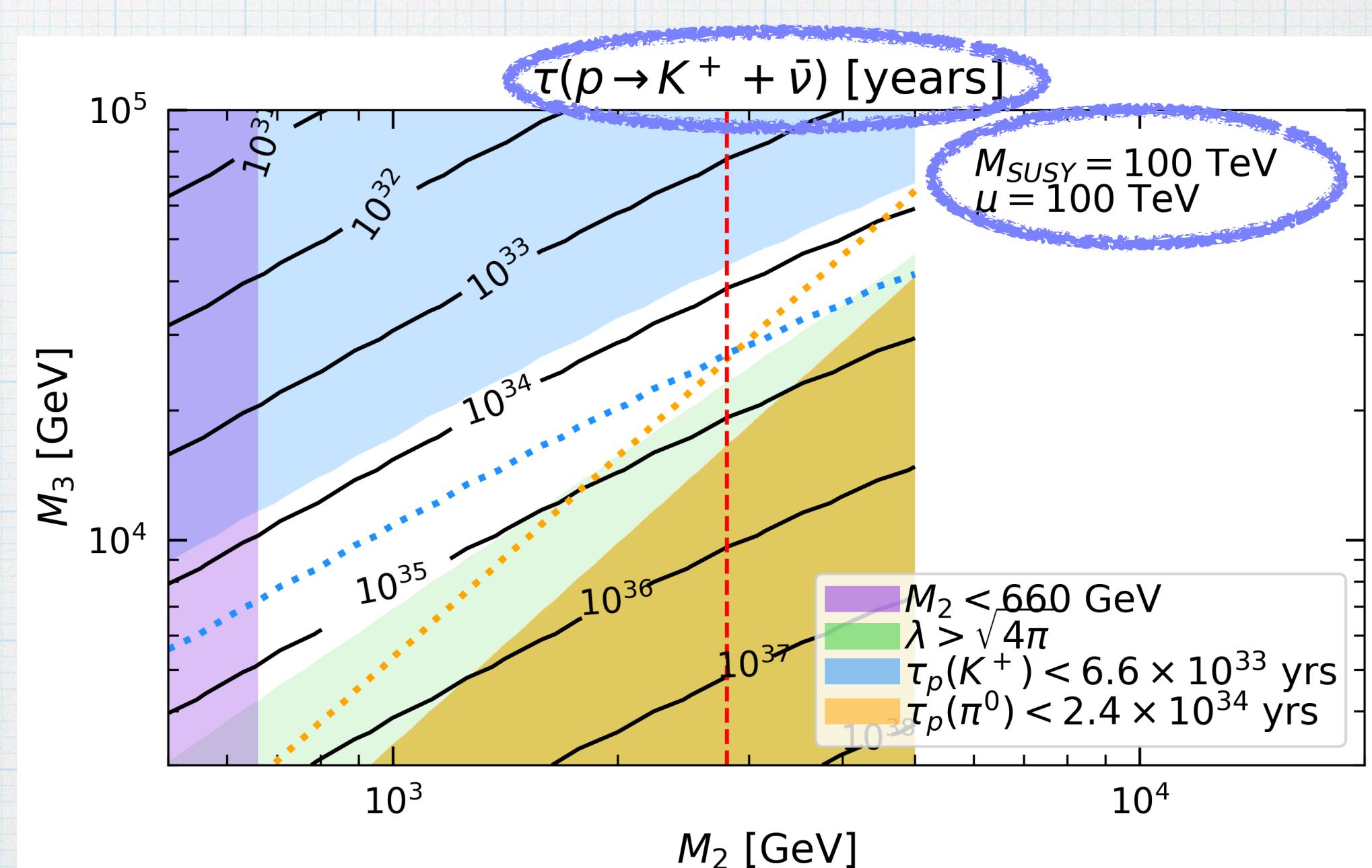
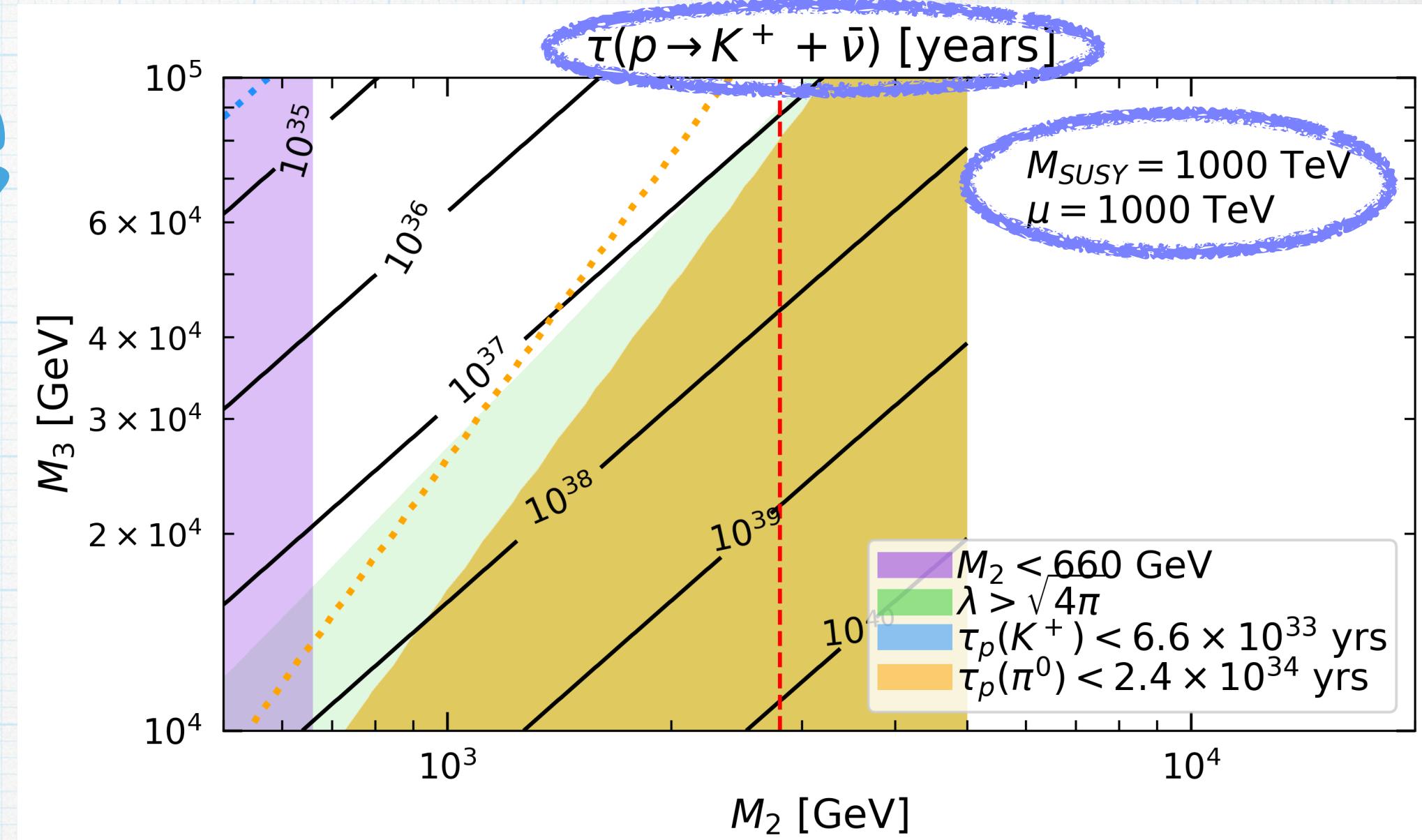
# Results: High-scale SUSY

- \* Minimal SUSY SU(5) with low-scale SUSY-breaking : rapid proton-decay problem
- \* **High-scale SUSY**: a SUSY-breaking scale of  $\mathcal{O}(1)$  PeV
- \* Results:
- \* Purple region: LHC limit
- \* Red line:  $\Omega_{\widetilde{W}} h^2 = \Omega_{DM} h^2$
- \*  $M_{H_C} \lesssim M_X$
- \*  $M_{H_C} \lesssim 10^{16}$  GeV
- \* A small wino mass  $M_2$  and a large gluino mass  $M_3$
- \*  $M_{SUSY} \sim 1000$  TeV



# Results: High-sc

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# 6. Summary

# Summary

- \* SUSY GUT: the doublet-triplet splitting problem (light Higgs doublets)
- \* Missing partner mechanism → R-symmetric flipped SU(5)
  - \* A flipped SU(5) SUSY GUT model with an additional global U(1)<sub>R</sub> symmetry
  - \* The  $\mu$ -terms of the Higgs field are forbidden by the U(1)<sub>R</sub> sym.
  - \* A light color-triplet Higgs  $M_{H_C} \sim \mathcal{O}(10^{12})$  GeV
  - \* Proton decay  $p \rightarrow \pi^0 \mu^+$  and  $p \rightarrow K^0 \mu^+$
- \* NG Higgs → NG Higgs SUSY SU(5)
  - \* The global symmetry → relations among the GUT parameters, and restricts the SUSY particle masses through the RGEs
  - \*  $M_{H_C} \lesssim M_X$
  - \* A small wino mass ( $< \mathcal{O}(1)$  TeV) and a relatively large gluino mass ( $> \mathcal{O}(1) - \mathcal{O}(100)$  TeV)
  - \* High-scale SUSY scenario with  $M_{\text{SUSY}} \approx \mathcal{O}(1)$  PeV is favored.