

Perturbations in $O(D, D)$ string cosmology from double field theory

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Overview: $O(D, D)$ -complete string cosmology

In **general relativity (GR)** the spacetime metric $g_{\mu\nu}$ is the only gravitational field. However, in **string theory** it is just one of the massless modes of the closed string, appearing alongside two other fields: a skew-symmetric tensor ‘**B-field**’ $B_{\mu\nu}$, and a scalar **dilaton** ϕ . Moreover, different backgrounds of the three fields $\{g_{\mu\nu}, B_{\mu\nu}, \phi\}$ transform into each other under a hidden $O(D, D)$ symmetry. This symmetry can be made manifest in the framework of **double field theory (DFT)**, so called because the **spacetime dimension D is formally doubled**. However, **physical backgrounds** correspond to different D -dimensional slices of the doubled space. A **doubled geometry** can be constructed as well as DFT actions for **additional matter** (scalars, fermions, etc.), leading to a **DFT generalization of Einstein’s equations** with an **enhanced energy-momentum tensor**.

As early-universe data continues to improve and **cosmological tensions** persist, it is pertinent to explore whether a **modified theory of gravity** can alleviate such tensions. Meanwhile, if string theory is really the correct theory of quantum gravity, the ‘**stringy gravity**’ of $\{g_{\mu\nu}, B_{\mu\nu}, \phi\}$ may provide a **natural candidate**. In the DFT framework, the **$O(D, D)$ symmetry uniquely prescribes the allowed interactions** between the extended gravitational sector and other matter, leading to novel features beyond conventional string cosmology.

Double field theory

In **double field theory** [2, 3] we describe D -dimensional physics using $D + D$ coordinates, $x^A = (\tilde{x}_\mu, x^\nu)$, $A = 1, \dots, 2D$. Doubled vector indices are raised and lowered using the $O(D, D)$ -invariant

$$\mathcal{J}_{AB} = \begin{pmatrix} 0 & 1_D \\ 1_D & 0 \end{pmatrix} = \mathcal{J}^{AB}.$$

What does it mean to ‘double’ the number of spacetime dimensions? Consistency requires a **section condition**,

$$\partial_A \partial^A = 2 \partial_\mu \tilde{\partial}^\mu = 0.$$

A natural choice is $\tilde{\partial}^\mu = 0$, i.e. choosing all fields to be independent of the \tilde{x}_μ coordinates. The theory is **not truly ‘doubled’**, rather it is just a convenient **repackaging of D -dimensional physics**.

• **Field content of DFT:** A symmetric $O(D, D)$ **generalized metric** \mathcal{H}_{AB} and a **DFT dilaton** d .

• **Reduction to closed-string massless sector (‘bosonic supergravity’):**

On spacetime backgrounds, under the section choice $\tilde{\partial}^\mu \equiv 0$, the fields $\{\mathcal{H}_{AB}, d\}$ reduce to $\{g_{\mu\nu}, B_{\mu\nu}, \phi\}$ as

$$\mathcal{H}_{AB} = \begin{pmatrix} g^{\mu\nu} & -g^{\mu\sigma} B_{\sigma\nu} \\ B_{\mu\rho} g^{\rho\nu} & g_{\mu\nu} - B_{\mu\rho} g^{\rho\sigma} B_{\sigma\nu} \end{pmatrix}, \quad e^{-2d} = e^{-2\phi} \sqrt{-g}.$$

Doubled diffeomorphism symmetry reduces to **ordinary diffeomorphisms and B-field gauge transformations**.

The DFT Ricci scalar \mathcal{R} gives the ‘stringy’ **gravitational Lagrangian** [4],

$$\mathcal{R} = R + 4\Box\phi - 4\partial_\mu\phi\partial^\mu\phi - \frac{1}{12}H_{\lambda\mu\nu}H^{\lambda\mu\nu},$$

where R is the usual Ricci scalar from $g_{\mu\nu}$, and $H_{\lambda\mu\nu} = 3\partial_{[\lambda}B_{\mu\nu]}$ is the field strength of $B_{\mu\nu}$ (‘ H -flux’).

Einstein Double Field Equations

We can extend $O(D, D)$ covariance to **interactions with matter** $\{\Upsilon_a\}$ via an action of the form

$$S = \int_{\Sigma} e^{-2d} \left[\frac{1}{16\pi G} \mathcal{R} + L_m(\Upsilon_a) \right],$$

where L_m is an $O(D, D)$ -covariant Lagrangian for the additional matter fields $\{\Upsilon_a\}$, and the integral is taken over a D -dimensional section Σ . Crucially, the $O(D, D)$ symmetry **fixes the covariant integration measure to be e^{-2d}** , and **not simply $\sqrt{-g}$ as in GR**. This constrains the allowed couplings between stringy gravity and additional matter. In particular, the possibility of ‘**minimal coupling**’ in **Einstein frame is not guaranteed**.

Varying this action yields a DFT generalization of Einstein’s equations, or ‘**Einstein double field equations**’ [5],

$$G_{AB} = 8\pi GT_{AB},$$

where the **DFT energy-momentum tensor** T_{AB} is conserved on-shell. On spacetime backgrounds, the Einstein double field equations reduce to the usual closed-string massless sector equations of motion **plus source terms**,

$$\begin{aligned} R_{\mu\nu} + 2\nabla_\mu\nabla_\nu\phi - \frac{1}{4}H_{\mu\rho\sigma}H_{\nu}{}^{\rho\sigma} &= 8\pi GK_{(\mu\nu)}, \\ \nabla^\rho(e^{-2\phi}H_{\rho\mu\nu}) &= 16\pi Ge^{-2\phi}K_{[\mu\nu]}, \\ R + 4\Box\phi - 4\nabla_\mu\phi\nabla^\mu\phi - \frac{1}{12}H_{\lambda\mu\nu}H^{\lambda\mu\nu} &= 8\pi GT_{(0)}. \end{aligned}$$

From the DFT perspective, $K_{\mu\nu}$ sources \mathcal{H}_{AB} and $T_{(0)}$ sources d . We can arrange these into source terms for

$$\begin{aligned} \delta g_{\mu\nu} : \quad T_{\mu\nu} &\equiv e^{-2\phi} \left(K_{(\mu\nu)} - \frac{1}{2}g_{\mu\nu}T_{(0)} \right), \\ \delta B_{\mu\nu} : \quad \Theta_{\mu\nu} &\equiv e^{-2\phi} K_{[\mu\nu]}, \\ \delta\phi : \quad \sigma &\equiv e^{-2\phi} T_{(0)}. \end{aligned}$$

Here $T_{\mu\nu}$ is the usual **energy-momentum tensor in GR**, while $\Theta_{\mu\nu}$ and σ represent sources for $B_{\mu\nu}$ and ϕ , respectively. Conservation of the DFT energy-momentum tensor gives rise to **generalized conservation laws**,

$$\nabla^\mu T_{\mu\nu} + \frac{1}{2}H_{\nu\mu\lambda}\Theta^{\mu\lambda} - \nabla_\nu\phi\sigma = 0, \quad \nabla^\mu\Theta_{\mu\nu} = 0.$$

Homogeneous and isotropic backgrounds

Now consider **homogeneous and isotropic backgrounds in $D = 4$** . The most general gravitational ansatz is

$$d\bar{s}^2 = -N(t)^2 dt^2 + a(t)^2 \left[\frac{dr^2}{1 - Kr^2} + r^2 d\Omega^2 \right], \quad \bar{B}_{(2)} = \frac{hr^2}{\sqrt{1 - Kr^2}} \cos\vartheta dr \wedge d\varphi, \quad \bar{\phi} = \bar{\phi}(t),$$

where $\bar{B}_{(2)} \equiv \frac{1}{2}\bar{B}_{\mu\nu} dx^\mu \wedge dx^\nu$. Note that the corresponding H -flux $\bar{H}_{(3)} \equiv d\bar{B}_{(2)} = h dV_{ol_3}$, where h is constant and dV_{ol_3} is the spatial volume form. Similarly the DFT energy-momentum tensor is constrained as

$$\bar{T}^\mu{}_\nu = \begin{pmatrix} -\bar{\rho}(t) & 0 \\ 0 & \bar{p}(t)\delta^i{}_j \end{pmatrix}, \quad \bar{\Theta}_{\mu\nu} = 0, \quad \bar{\sigma} = \bar{\sigma}(t).$$

The generalized **conservation law** gives one non-trivial equation:

$$\bar{\rho}' + 3NH(\bar{\rho} + \bar{p}) + \bar{\phi}'\bar{\sigma} = 0,$$

where $' \equiv d/dt$ and $H \equiv a'/(Na)$. This contains an **extra term proportional to the dilaton source, $\bar{\phi}'\bar{\sigma}$** . The Einstein double field equations lead to an **$O(D, D)$ -completion of the Friedmann equations** [6],

$$\frac{8\pi G}{3}\bar{\rho}e^{2\bar{\phi}} + \frac{h^2}{12a^6} = H^2 - 2\left(\frac{\bar{\phi}'}{N}\right)H + \frac{2}{3}\left(\frac{\bar{\phi}'}{N}\right)^2 + \frac{K}{a^2}, \quad (1)$$

$$\frac{4\pi G}{3}(\bar{\rho} + 3\bar{p})e^{2\bar{\phi}} + \frac{h^2}{6a^6} = -H^2 - \frac{H'}{N} + \left(\frac{\bar{\phi}'}{N}\right)H - \frac{2}{3}\left(\frac{\bar{\phi}'}{N}\right)^2 + \frac{1}{N}\left(\frac{\bar{\phi}'}{N}\right)', \quad (2)$$

$$\frac{4\pi G}{3}(2\bar{p} - \bar{\sigma})e^{2\bar{\phi}} = -H^2 - \frac{H'}{N} + \frac{2}{3N}\left(\frac{\bar{\phi}'}{N}\right)'. \quad (3)$$

If $\bar{\phi}' = \bar{\phi}'' = 0$ and $h = 0$, we make contact with GR cosmology on the **critical line, $\bar{\sigma} = \bar{\rho} - 3\bar{p}$** .

Based on arXiv:2408.13032 [1].



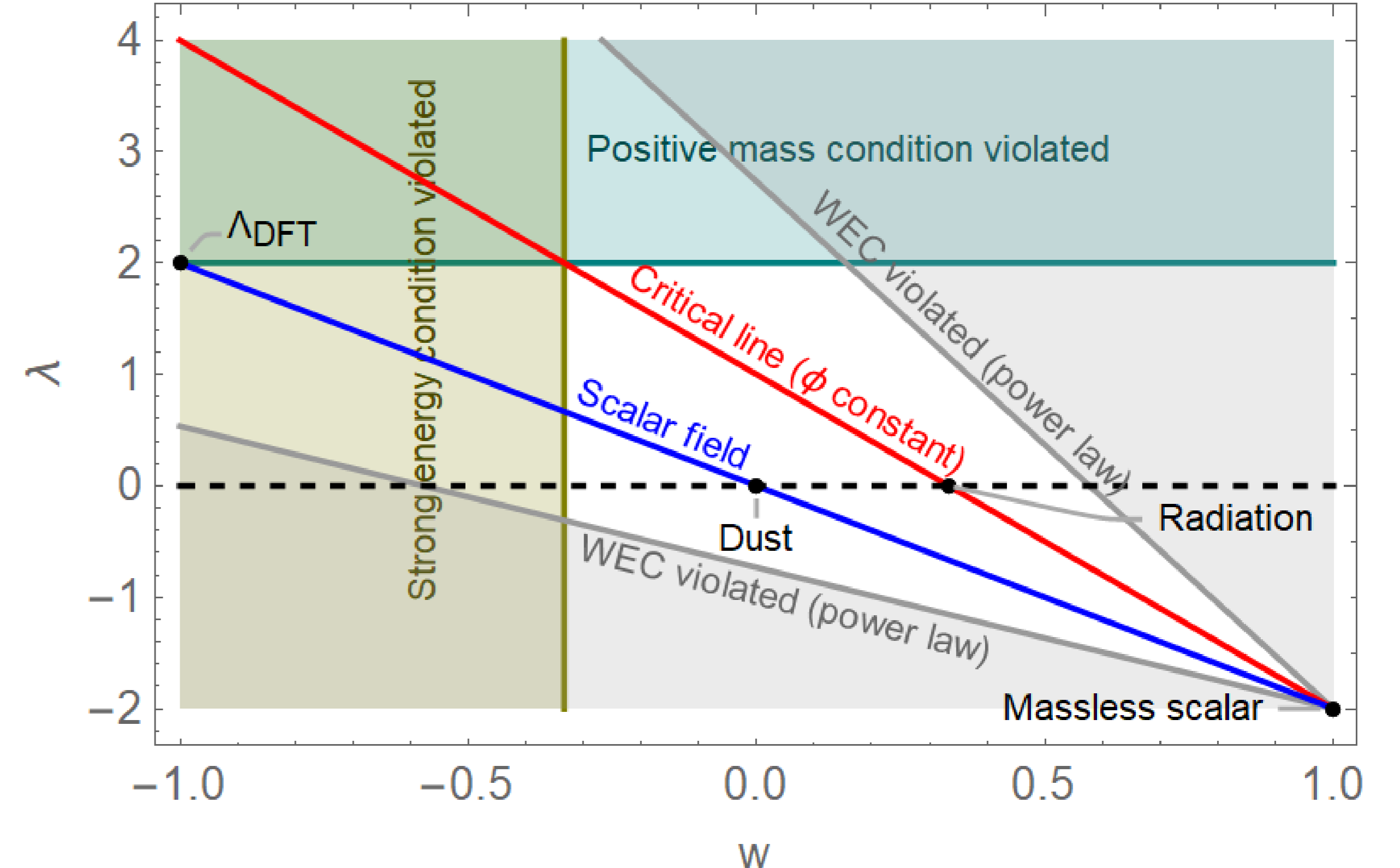
Solutions

We can define **two equation-of-state parameters**:

$$w \equiv \frac{\bar{p}}{\bar{\rho}}, \quad \lambda \equiv \frac{\bar{\sigma}}{\bar{\rho}}.$$

• **Power-law solutions:**

For **constant w and λ (‘generalized perfect fluid’)**, various power-law solutions with $\rho = \rho_0 a^{-3(1+w)} e^{-\lambda\phi}$.



• **Solutions beyond power law:** Many analytic solutions, e.g. **radiation plus a massless scalar field $\bar{\phi}$** :

$$\bar{\rho}_{\bar{\phi}} = \frac{1}{2}\bar{\phi}'^2 a^{-2} e^{-2\bar{\phi}}, \quad \bar{\rho}_r = \bar{\rho}_r a^{-4}, \quad \bar{\rho} = \bar{\rho}_{\bar{\phi}} + \bar{\rho}_r \Rightarrow w \in [1/3, 1], \quad \lambda = 1 - 3w;$$

$$a^2 = \frac{\tau(C_1 + \mathcal{E}_0\tau)}{1 + K\tau^2} e^{2\bar{\phi}}, \quad e^{2\bar{\phi}} = \left(\frac{C_1\tau}{\tau_*(C_1 + \mathcal{E}_0\tau)} \right)^{\frac{h_0}{C_1}} + \frac{h^2}{4h_0^2} \left(\frac{C_1\tau}{\tau_*(C_1 + \mathcal{E}_0\tau)} \right)^{-\frac{h_0}{C_1}}, \quad \tau = \begin{cases} \tan(\eta - \eta_0), & K = 1, \\ \eta - \eta_0, & K = 0, \\ \tanh(\eta - \eta_0), & K = -1. \end{cases}$$

Here η is conformal time, η_0 , C_1 , τ_* and h_0 are constants, and $\mathcal{E}_0 \equiv 8\pi G\bar{\rho}_0/3$. The **dilaton is constant at late times** for $K \in \{0, -1\}$, and the **scale factor has a bounce** due to the background H -flux (c.f. $h = 0$ case [7]). It is pure scalar for $\mathcal{E}_0 = 0$ and pure radiation for $h_0 = \pm\sqrt{3}C_1$ (both conditions together: ‘DFT vacuum’ [7, 8]).

Cosmological perturbations

Many early-universe phenomena depend on the nature of small fluctuations around a homogeneous and isotropic background. From now on, consider a **flat universe, $K = 0$** and work in **conformal gauge** ($N = a$).

• **Gravitational perturbations:**

Expanding to linear order, the perturbed metric, B -field and dilaton may be written as

$$g_{\mu\nu} \equiv a^2 \begin{pmatrix} -(1 + 2A) & B_j \\ B_i & \delta_{ij} + h_{ij} \end{pmatrix}, \quad B_{(2)} \equiv \bar{B}_{(2)} + f_i dx^i \wedge d\eta + \frac{1}{2}m_{ij} dx^i \wedge dx^j, \quad \phi = \bar{\phi} + \delta\phi.$$

Under a **scalar-vector-tensor (SVT) decomposition**, the perturbations can be separated as

$$B_i \equiv \hat{B}_i + \partial_i B, \quad h_{ij} \equiv 2C\delta_{ij} + 2(\partial_i\partial_j - \frac{1}{3}\delta_{ij}\nabla^2)E + 2\partial_{(i}\hat{E}_{j)} + \hat{E}_{ij},$$

$$f_i = \partial_i f + \hat{f}_i, \quad m_{ij} = \partial_i\hat{m}_j - \partial_j\hat{m}_i + \epsilon_{ijk}\partial^k m,$$

where $\partial^i\hat{B}_i = \partial^i\hat{E}_i = \partial^i\hat{E}_{ij} = 0$, $\partial^i\hat{f}_i = \partial_i\hat{m}^i = 0$ and $\hat{E}^i{}_i = 0$. It turns out that f and one combination of \hat{f}_i and \hat{m}_i are **pure gauge** and drop out of the equations of motion.

• **Matter perturbations:**

The components of $T_{\mu\nu}$ have the standard perturbative expansions,

$$\rho = \bar{\rho} + \delta\rho, \quad p = \bar{p} + \delta p, \quad \delta T^i{}_0 \equiv (\bar{\rho} + \bar{p})v^i, \quad p\pi^i{}_j \equiv T^i{}_j - \frac{1}{3}\delta^i{}_j T^k{}_k,$$

while the perturbed source terms for the B -field and dilaton take the form

$$\Theta_{(2)} \equiv \mathcal{J}_i dx^i \wedge dt + \frac{1}{2}\epsilon_{ijk}\mathcal{I}^k dx^i \wedge dx^j, \quad \sigma = \bar{\sigma} + \delta\sigma.$$

Under an **SVT decomposition**, with $\partial_i\hat{v}^i = \partial^i\hat{\pi}_i = 0$, $\partial^i\hat{\mathcal{J}}_i = \partial_i\hat{\mathcal{I}}^i = 0$, $\partial^i\pi_{ij} = 0$ and $\hat{\pi}^i{}_i = 0$, expand

$$v^i = \hat{v}^i + \partial^i v, \quad \pi_{ij} = (\partial_i\partial_j - \frac{1}{3}\delta_{ij}\nabla^2)\pi_T + \partial_{(i}\hat{\pi}_{j)} + \hat{\pi}_{ij}, \quad \mathcal{J}_i = \partial_i\mathcal{J} + \hat{\mathcal{J}}_i, \quad \mathcal{I}^i = \partial^i\mathcal{I} + \hat{\mathcal{I}}^i.$$

• **Perturbed equations of motion:**

We obtained the **equations of motion for linear perturbations** in DFT cosmology. For the scalar perturbations, we find six non-trivial equations that separate to damped oscillator equations for $h = 0$ [9], but **non-vanishing H -flux induces couplings between the different components**. In the **superhorizon limit**, the equations can be solved for various known analytic solutions, and the **evolution of fluctuations is adiabatic** in this limit.

• **Perturbed conservation equations:**

Expanding in Fourier modes, $\partial_i \rightarrow ik_i$, the two non-trivial **perturbed conservation equations** are

$$\begin{aligned} 0 &= \delta\rho' + 3aH(\delta\rho + \delta p) + \bar{\phi}'\delta\sigma + \delta\phi'\bar{\sigma} - k^2(\bar{\rho} + \bar{p})v, \\ 0 &= [(\bar{\rho} + \bar{p})(v + B)]' + 4aH[(\bar{\rho} + \bar{p})(v + B)] + \delta p + (\bar{\rho} + \bar{p})A - \frac{2}{3}k^2\bar{p}\pi_T + \frac{h}{a^4}\mathcal{I} - \bar{\sigma}\delta\phi. \end{aligned}$$

When $\bar{\sigma} = 0 = \delta\sigma$ and for $k \rightarrow 0$, the former implies that **adiabatic superhorizon perturbations are conserved**. However, when $\bar{\sigma}$ or $\delta\sigma$ are nonzero, this is **no longer true in general**. Nevertheless, under certain conditions (e.g. constant w and λ), a conserved variable may be identified by integrating the first equation.

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