
Simulation of PBH formation using the COSMOS code

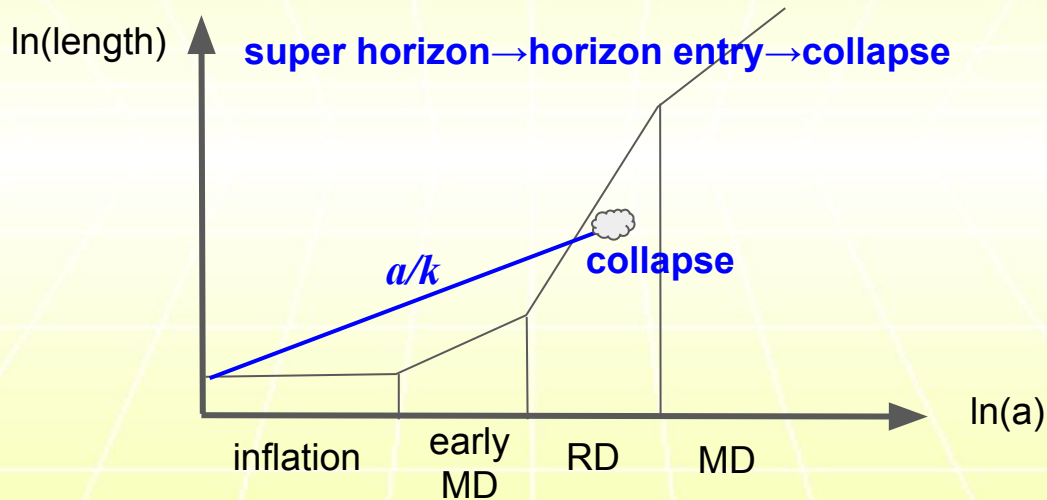
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Numerical Simulation of PBH Formation

Introduction: PBH formation

◎ Focus on PBH formation in **radiation dominated era**

◎ Comoving scale of an inhomogeneity $\sim 1/k$



◎ GR simulation starting from a **super-horizon non-linear initial data**

Key features of PBH formation simulation

◎Initially super-horizon inhomogeneity

⇒ Cosmological expansion is essential

◎Nonlinear evolution in an expanding background

⇒ Not asymptotically flat

⇒ Periodic or asymptotically FLRW boundary cond.

◎Scale hierarchy between the collapsing region and Hubble scale

⇒An efficient refinement procedure in the collapsing region is needed

◎Critical behavior near the threshold (at least in spherical sym.)

$$M \sim (\delta - \delta_{\text{th}})^\gamma$$

⇒Extremely high resolution is needed to check it

Spherically symmetric simulations

◎ Many many previous works in spherical sym.

[Niemeyer, Jedamzik astro-ph/9901292], [Shibata, Sasaki gr-qc/9905064], [Hawke, Stewart CQG19(2002)3687]
 [Musco, Miller, Rezzolla gr-qc/0412063], [Polnarev, Musco gr-qc/0605122], [Musco, Miller, Polnarev 0811.1452]
 [Musco and Miller arXiv:1201.2379], [Polnarev, Nakama, Yokoyama arXiv:1204.6601], [Nakama, Harada, Polnarev, Yokoyama arXiv:1310.3007]
 [Nakama arXiv:1408.0955], [Harada, CY, Nakama, Koga arXiv:1503.03934], [Musco arXiv:1809.02127], [Escriva arXiv:1907.13065]
 [Escriva, Germani, Sheth arXiv:1907.13311], [Escriva, Germani, Sheth arXiv:2007.05564], [Escriva, Bagui, Clesse arXiv:2209.06196],
 [CY, Harada, Hirano, Okawa, Sasaki arXiv:2112.12335], [Escriva, Tada, Yokoyama, CY arXiv:2202.01028],
 [Franciolini, Musco, Pani, Urbano arXiv:2209.05959], [Escriva, CY arXiv:2310.16482], [Escriva, Tada, CY arXiv:2311.17760],
[Uehara, Escriva, Harada, Saito, CY arXiv:2401.06329], [Shimada, Escriva, Saito, Uehara, CY arXiv:2411.07648],
 [Inui, Joana, Motohashi, Pi, Tada, Yokoyama arXiv:2411.07648] probably and more

*Blue: Including critical behavior but with decaying modes, Red: Growing mode but without critical behavior, Purple: Both are addressed

◎ Two main schemes

- Based on Misner-Sharp formulation with comoving gauge
- BSSN like formulation (used in Shibata Sasaki(1999) and COSMOS-S code)

*If you are interested in only spherical cases,
 I recommend the Misner-Sharp based numerical simulation. (Probably it's faster)

Non-spherical simulations

©Several works so far (focusing on PBH formation)

[CY, Ikeda, Okawa arXiv:1811.00762] Gravitational Collapse of a Massless Scalar Field in a Periodic Box

[CY, Harada, Okawa arXiv:2004.01042] Threshold of Primordial Black Hole Formation in Nonspherical Collapse

[de Jong, Aurrekoetxea, Lim arXiv:2109.04896] Primordial black hole formation with full numerical relativity

[de Jong, Aurrekoetxea, Lim, França arXiv: 2306.11810] Spinning primordial black holes formed during a matter-dominated era

[CY, Harada, Okawa arXiv:2004.01042] Threshold of Primordial Black Hole Formation in Nonspherical Collapse

[CY arXiv:2403.11147] Primordial black hole formation from a nonspherical density profile with a misaligned deformation tensor

[Escriva, CY arXiv:2410.03451] Non-spherical effects on the mass function of Primordial Black Holes

[Escriva, CY arXiv:2410.03452] Simulations of Ellipsoidal Primordial Black Hole Formation

[Kitajima JPS meeting@Sapporo]

[Joana private communication]

*Blue: scalar field system, Red: Fluid, long-wavelength growing mode

©Two main groups

- GRChombo (public without fluid)
- COSMOS (not yet public, to be public?)

about COSMOS

About COSMOS



©Originally provided by Hirotada Okawa (for E-eqs and real scalar field w/ periodic BC)

©COSMOS (秋桜) code by C++

[CY, Hirotada Okawa, Ken-ichi Nakao(1306.1389),
Hirotada Okawa, Helvi Witek, Vitor Cardoso(1401.1548)]



©Basically follows the SACRA (桜) code by Fortran

[Tetsuro Yamamoto, Masaru Shibata, Keisuke Taniguchi(arXiv:0806.4007)]

©Independently developed by CY and Okawa-san

©In the CY side, it is mainly dedicated to PBH formation as follows

- Inhomogeneous coordinate system has been implemented

[CY, Taishi Ikeda, Hirotada Okawa(arXiv:1811.00762)]

- Fluid evolution code has been implemented

[CY, Tomohiro Harada, Hirotada Okawa(arXiv:2004.01042)]

- 1+1 code for spherical systems has been developed based on COSMOS (COSMOS-S)

[CY, Harada, Hirano, Okawa, Sasaki(arXiv:2112.12335)]

- Recently, a mesh refinement procedure has been implemented

Baumgarte-Shapiro-Shibata-Nakamura formalism

◎Spatial metric

$$(\partial_t - \beta^i \partial_i) \psi = \frac{1}{6} \psi (\partial_i \beta^i - \alpha K)$$

$$(\partial_t - \beta^k \partial_k) \tilde{\gamma}_{ij} = -2\alpha \tilde{A}_{ij} + \tilde{\gamma}_{ik} \partial_j \beta^k + \tilde{\gamma}_{jk} \partial_i \beta^k - \frac{2}{3} \partial_k \beta^k \tilde{\gamma}_{ij}$$

◎Extrinsic curvature

$$(\partial_t - \beta^k \partial_k) \text{tr} K = \alpha \left(\tilde{A}_{ij} \tilde{A}^{ij} + \frac{2}{3} \text{tr} K^2 \right) - \Delta \alpha$$

$$(\partial_t - \beta^k \partial_k) \tilde{A}_{ij} = \text{functions of } [\psi, \tilde{\gamma}, \text{tr} K, \tilde{A}, \tilde{\Gamma}, \alpha, \beta, \partial \psi, \Delta \psi, \dots]$$

◎Auxiliary variable for numerical stability $\tilde{\Gamma}^i := -\mathcal{D}_j \tilde{\gamma}^{ij}$

$$(\partial_t - \beta^k \partial_k) \tilde{\Gamma}^i = \text{functions of } [\psi, \tilde{\gamma}, \text{tr} K, \tilde{A}, \tilde{\Gamma}, \alpha, \beta, \partial \psi, \Delta \psi, \dots]$$

◎Eqs. for gauge fixing

Shibata, Nakamura(1995)
Baumgarte, Shapiro(1999)

17 evolution eqs.

Dynamical Gauge Conditions

©Time slicing condition(modified version of the “1+log slice”

$$(\partial_t - \beta^i \partial_i) \alpha = -2\alpha(\text{tr}K + 3H_b)$$

specialized for cosmological settings)

©Spatial coordinates(∼“Hyperbolic Gamma driver”)

$$(\partial_t - \beta^k \partial_k) \beta^i = \frac{3}{4} B^i$$

$$(\partial_t - \beta^k \partial_k) B^i = \partial_t \tilde{\Gamma}^i - 3H_b B^i$$

† $(\partial_t - \beta^k \partial_k) B^i = \partial_t \tilde{\Gamma}^i - \beta^k \partial_k \tilde{\Gamma}^i - 3H_b B^i$ might be better...

©17 + 1 + 6 = 24 variables for geometry

Relativistic Hydro-dynamics

◎Energy momentum tensor

$$T_{\mu\nu} = (\rho + P)u_\mu u_\nu + P g_{\mu\nu}$$

◎Lorentz factor for n^μ

$$\Gamma = -u^\mu n_\mu$$

◎Velocity U^μ relative to n^μ

$$u^\mu = \Gamma(n^\mu + U^\mu)$$

◎Rest mass density(ρ_0), specific int. ene.(ε)

$$\rho = \rho_0(1 + \varepsilon)$$

◎Rest mass density measured by n^μ

$$D = \rho_0 \Gamma$$

◎“Dynamical” variables

$$\rho_* := \sqrt{\gamma} D, \quad S_0 := \sqrt{\gamma} E, \quad S_i := \sqrt{\gamma} p_i$$

◎Fluid equations

$$\partial_t \rho_* + \partial_i f_{\rho_*}^i = 0$$

$$\partial_t S_0 + \partial_i f_{S_0}^i = -S^i \partial_i \alpha + \alpha \sqrt{\gamma} S_{ij} K^{ij}$$

$$\partial_t S_i + \partial_j f_{S_j}^i = -S_0 \partial_i \alpha + S_j \partial_i \beta^j - \frac{1}{2} \alpha \sqrt{\gamma} S_{jk} \partial_i \gamma^{jk}$$

with

$$f_{\rho_*}^i = \rho_* V^i = \rho_* (\alpha U^i - \beta^i)$$

$$f_{S_0}^i = S_0 V^i + \sqrt{\gamma} P (V^i + \beta^i)$$

$$f_{S_j}^i = S_j V^i + \alpha \sqrt{\gamma} \delta_j^i P$$

◎“Primitive” variables

$$\rho, \quad V^i := u^i / u^0, \quad \varepsilon$$

Barotropic EoS case

◎Relation between the variables

$$\rho_* = \sqrt{\gamma} \Gamma \frac{\rho}{1+\varepsilon}$$

$$S_0 = \sqrt{\gamma} [\Gamma^2 (\rho + P) - P]$$

$$S_i = \sqrt{\gamma} (E + P) U_i = \frac{1}{\alpha} (S_0 + \sqrt{\gamma} P) \gamma_{ij} (V^j + \beta^j)$$

$$p^\mu p_\mu - E^2 - (P - \rho)E + \rho P = 0$$

↖ dynamical var
↖ dynamical var
↓ EoS $P=(\rho, \varepsilon)$
↘ primitive var

◎Barotropic EoS $P=P(\rho)$

$$\rho = \rho(\text{dynamical variables})$$

$$V^i = \alpha U^i - \beta^i = \alpha \frac{\gamma^{ij} S_j}{S_0 + \sqrt{\gamma} P} - \beta^i$$

Equations are closed without ρ_* (or equivalently ε)
 \Rightarrow we don't need to solve the continuity eq.

◎ $P=w\rho$

$$\rho = \frac{1}{2w} \left[-(1-w)E + \sqrt{E^2(1-w)^2 + 4w(E^2 - p^\mu p_\mu)} \right]$$

Equations for fluid

◎4(+1) equations

$$\partial_t S_0 + \partial_i f_{S_0}^i = -S^i \partial_i \alpha + \alpha \sqrt{\gamma} S_{ij} K^{ij}$$

$$\partial_t S_i + \partial_j f_{S_j}^i = -S_0 \partial_i \alpha + S_j \partial_i \beta^j - \frac{1}{2} \alpha \sqrt{\gamma} S_{jk} \partial_i \gamma^{jk}$$

$$(\partial_t \rho_* + \partial_i f_{\rho_*}^i = 0)$$

◎Scheme for the flux calculation

A central scheme with MUSCL(Mono Upstream-centered Scheme for Conservation Laws)

Kurganov, Tadmor(2000)

Shibata, Font(2005)

◎Totally 24 equations for geometry and 4+1 equations for fluid = 28 +1 equations

Equations for scalar field

◎2 equations

$$(\partial_t - \beta^i \partial_i) \phi = -\alpha \Pi$$

$$(\partial_t - \beta^i \partial_i) \Pi = -\alpha \Delta \phi - \gamma^{\mu\nu} \partial_\mu \alpha \partial_\nu \phi + \alpha K \Pi + \alpha V'(\phi)$$

◎Stress-energy tensor

$$E^{\text{sc}} = n^\mu n^\nu T_{\mu\nu}^{\text{sc}} = \frac{1}{2} \Pi^2 + \frac{1}{2} \psi^{-4} \tilde{\gamma}^{ij} \partial_i \phi \partial_j \phi + V,$$

$$J_i^{\text{sc}} = -\gamma_i^\mu n^\nu T_{\mu\nu}^{\text{sc}} = \Pi \partial_i \phi,$$

$$S_{ij}^{\text{sc}} = \gamma_i^\mu \gamma_j^\nu T_{\mu\nu}^{\text{sc}} = \partial_i \phi \partial_j \phi - \frac{1}{2} \tilde{\gamma}_{ij} \tilde{\gamma}^{kl} \partial_k \phi \partial_l \phi + \frac{1}{2} \psi^4 \tilde{\gamma}_{ij} \Pi^2 - \psi^4 \tilde{\gamma}_{ij} V$$

◎Totally 24 equations for geometry

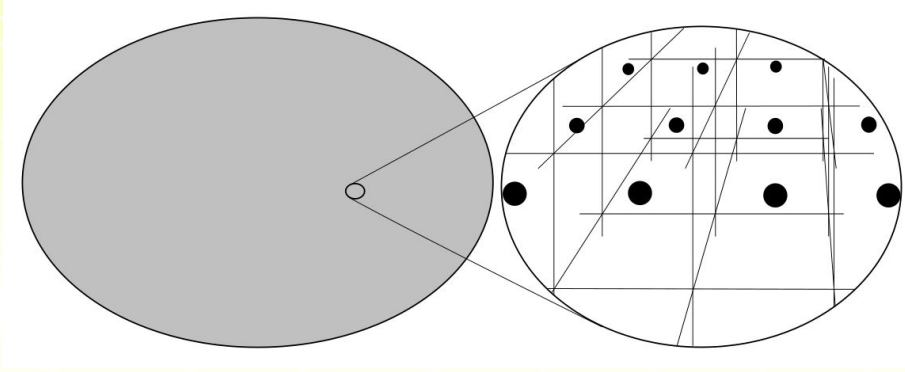
4+1 equations for fluid

2 equations for scalar = 30 +1 equations

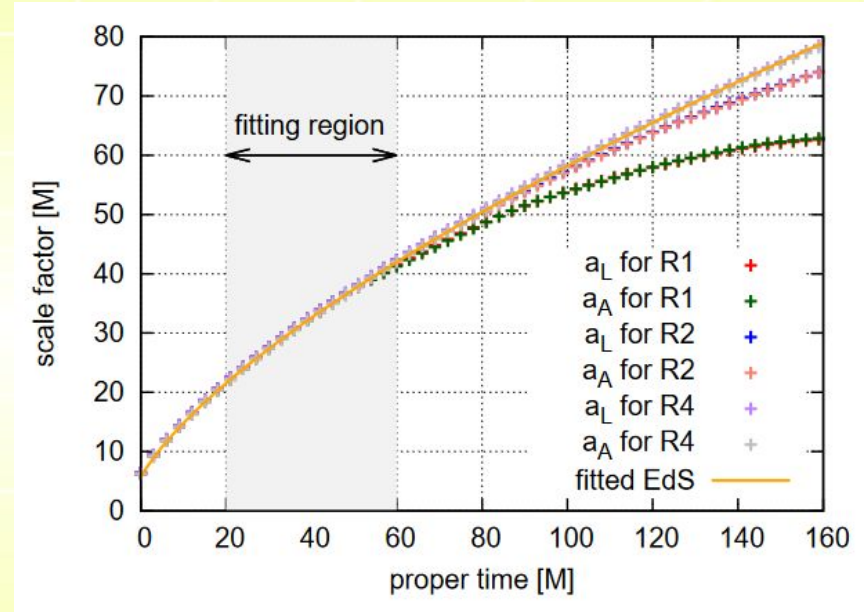
Black Hole Universe

[CY, Okawa, Nakao arXiv:1306.1389]

Black Hole Universe



©The evolution is well fitted with EdS



Massless scalar field in a periodic box

[CY, Ikeda, Okawa arXiv:1811.00762]

Summary of schemes

◎ Geometry + massless scalar field

◎ Reflection boundary condition

◎ for geometry

BSSN + 1+log slice + Gamma driver

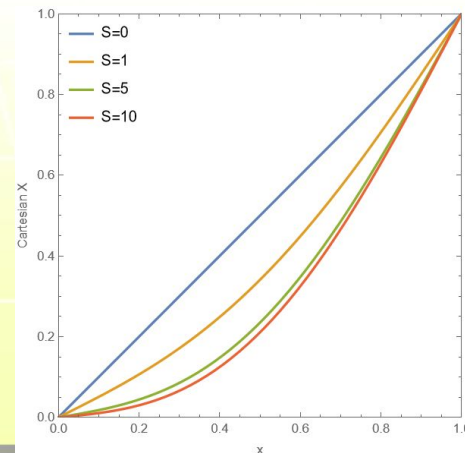
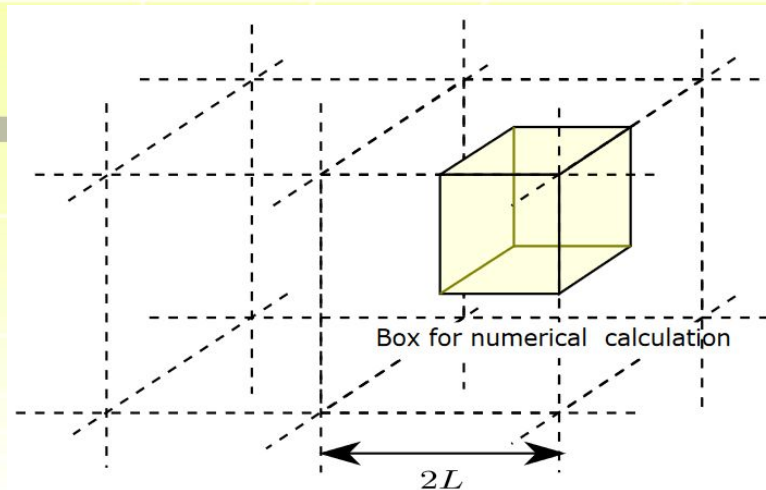
◎ Resolution

- Scale-up reference coordinates x^i related to the Cartesian coord. X^i by

$$X^i = x^i - \frac{S}{1+S} \frac{L}{\pi} \sin\left(\frac{\pi}{L} x^i\right)$$

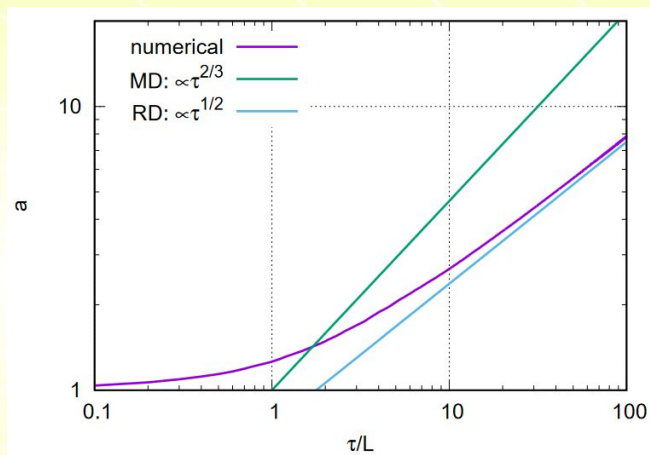
- Resolution at the center

$$\Delta X|_{\text{center}} = \frac{1}{1+S} \Delta x$$



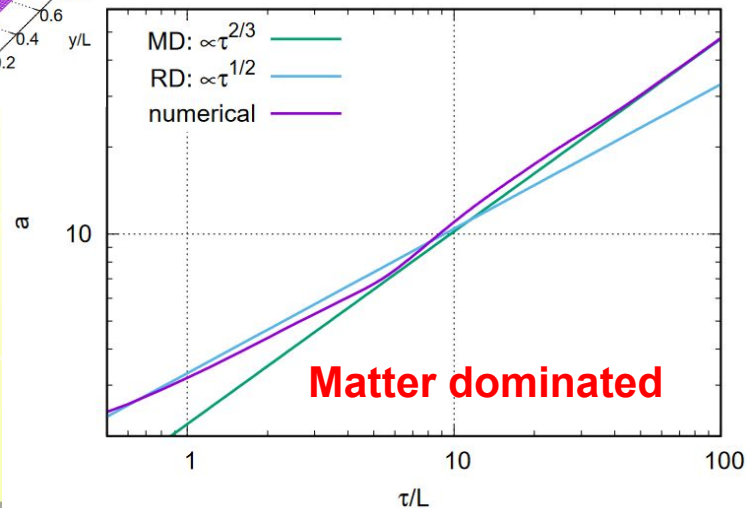
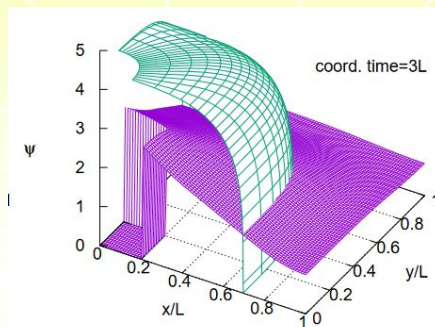
Horizon formation and background evolution

©Without BH formation



Radiation dominated

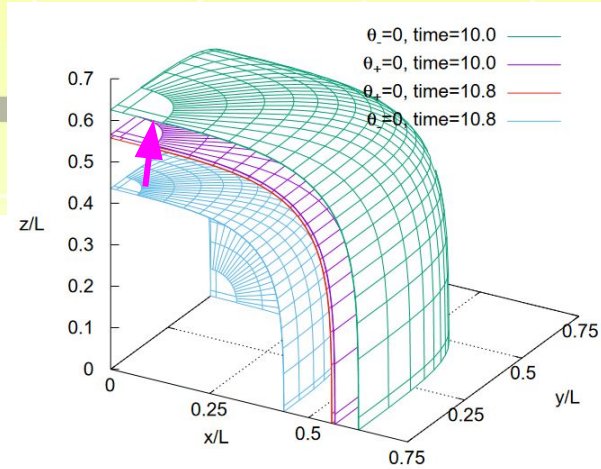
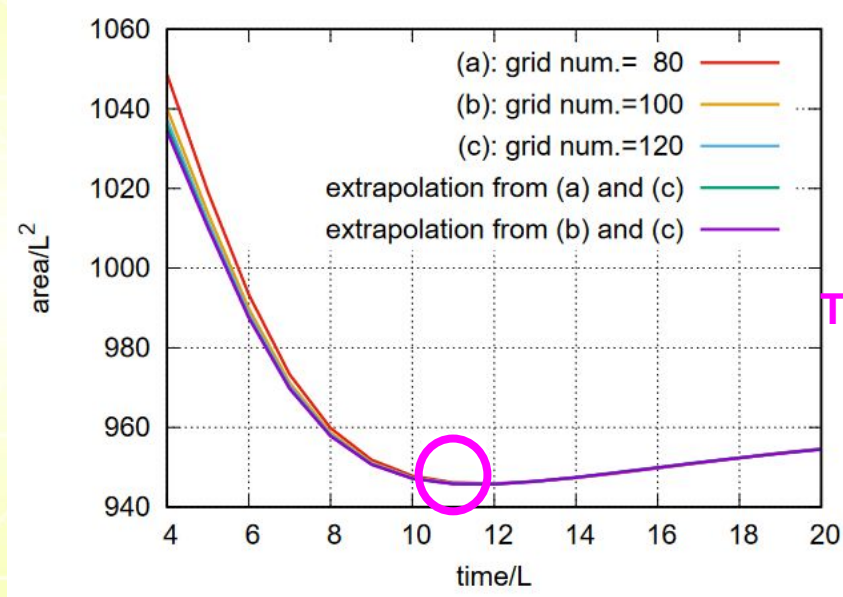
©With BH formation



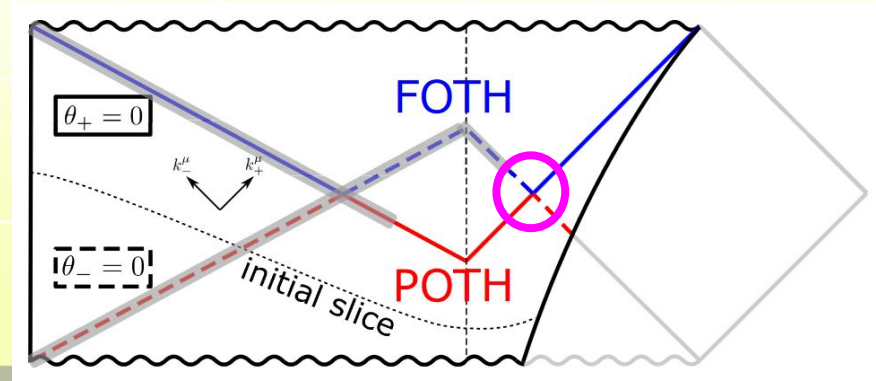
Matter dominated

Horizon evolution

◎Area



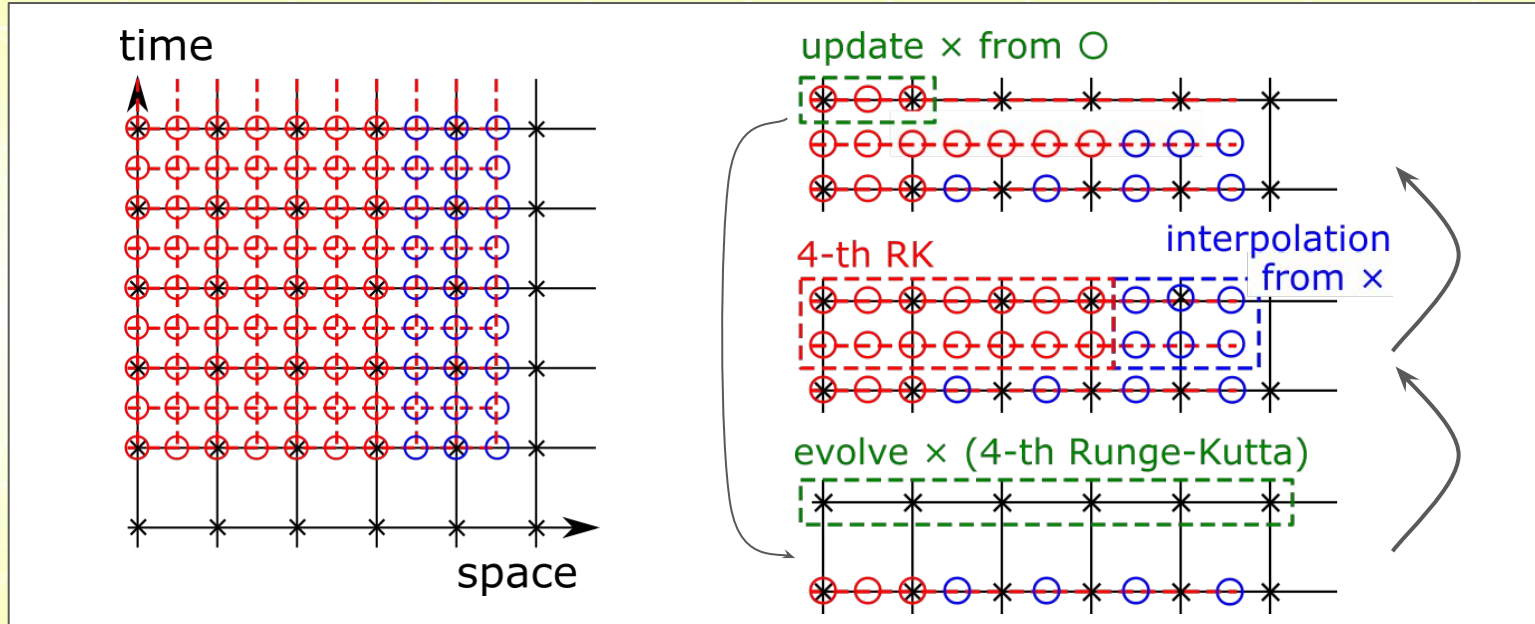
Tow horizons ($\theta_{\pm}=0$) cross each other ~ “Type B”



PBH spin with $p=w\rho$ ($w=1/5$ and $1/3$)

[CY arXiv:2403.11147]

Rough sketch of mesh refinement



©Twice finer resolution in a local spacetime patch

Summary of resolution difference

◎ Resolution in previous simulation [CY, Harada, Okawa arXiv:2004.01042]

- Scale-up reference coordinates x^i related to the Cartesian coord. X^i by

$$X^i = x^i - \frac{S}{1+S} \frac{L}{\pi} \sin\left(\frac{\pi}{L} x^i\right) \text{ with } S = 15$$

- Resolution at the center ($\Delta x = L/100$)

$$\Delta X|_{\text{center}} = \frac{1}{1+S} \Delta x = \frac{1}{16} \frac{L}{100} = \frac{L}{1600}$$

◎ New simulation with mesh refinement [CY arXiv:2403.11147]

- $S = 10, \Delta x = L/60$
- Two additional layers for the mesh refinement

$$\Delta X|_{\text{center}} = \frac{1}{1+S} \times \frac{1}{2^2} \times \Delta x = \frac{1}{44} \times \frac{L}{60} = \frac{L}{2640}$$

Initial condition for full numerical simulation

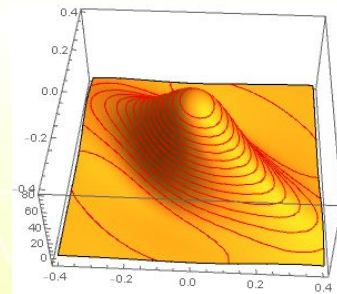
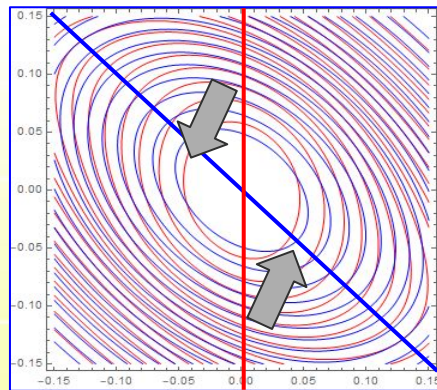
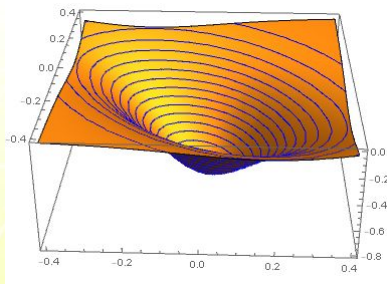
© Initial curvature perturbation

$$\frac{\zeta}{\mu} := -\frac{2}{\mu} \ln \Psi \simeq -1 + \frac{1}{2} (k_1^2 (x+y)^2 / 2 + k_2^2 (x-y)^2 / 2 + k_3^2 z^2) + \mathcal{O}(r^4)$$

$$\frac{\Delta \zeta}{\mu k^2} \simeq 1 - \frac{1}{2} (\kappa_1^2 x^2 + \kappa_2^2 y^2 + \kappa_3^2 z^2) + \mathcal{O}(r^4)$$

$\zeta \sim$ gravitational potential on (x,y) plane

$\Delta \zeta \sim$ energy density on (x,y) plane



tidal torque \Rightarrow angular momentum transfer \Rightarrow spinning **PBH**

Parameter setting

◎ Initial curvature perturbation

$$\frac{\zeta}{\mu} \simeq -1 + \frac{1}{2}(k_1^2(x+y)^2/2 + k_2^2(x-y)^2/2 + k_3^2 z^2) + \mathcal{O}(r^4)$$

$$k_1^2 = \frac{1}{3}(\xi_1 + 3\xi_2 + \xi_3) \quad k_2^2 = \frac{1}{3}(\xi_1 - 3\xi_2 + \xi_3) \quad k_3^2 = \frac{1}{3}(\xi_1 - 2\xi_3)$$

$$\frac{\Delta\zeta}{\mu k^2} \simeq 1 - \frac{1}{2}(\kappa_1^2 x^2 + \kappa_2^2 y^2 + \kappa_3^2 z^2) + \mathcal{O}(r^4)$$

$$\kappa_1^2 = \frac{1}{3}(\tilde{\xi}_1 + 3\tilde{\xi}_2 + \tilde{\xi}_3) \quad \kappa_2^2 = \frac{1}{3}(\tilde{\xi}_1 - 3\tilde{\xi}_2 + \tilde{\xi}_3) \quad \kappa_3^2 = \frac{1}{3}(\tilde{\xi}_1 - 2\tilde{\xi}_3)$$

◎ With reference to the peak theory

Strong correlation between $\vec{k}^2 = \xi_1$ and $\vec{\kappa}^2 = \tilde{\xi}_1$

⇒ We set $\vec{k}^2 = \vec{\kappa}^2 = \xi_1 = \tilde{\xi}_1 = 100/L^2$

Probability for $\hat{\xi}_2 := \xi_2/\sigma_2$ and $\hat{\xi}_3 = \xi_3/\sigma_2$ $\xi_3 = \tilde{\xi}_3 = 0$ The most probable values

$$P(\hat{\xi}_2, \hat{\xi}_3) = \frac{5^{5/2} 3^2}{\sqrt{2\pi}} \hat{\xi}_2 (\hat{\xi}_2^2 - \hat{\xi}_3^2) \exp\left[-\frac{5}{2}(3\hat{\xi}_2^2 + \hat{\xi}_3^2)\right]$$

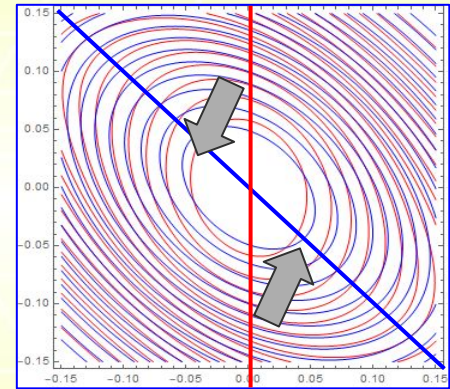
$$\Rightarrow \hat{\xi}_3 \approx 0, \xi_2 = \hat{\xi}_2 \sigma_2 \sim \hat{\xi}_2 \sigma k^2 \sim 5/L^2$$

$$\xi_2 = 10/L^2$$

$$\tilde{\xi}_2 = 15/L^2$$

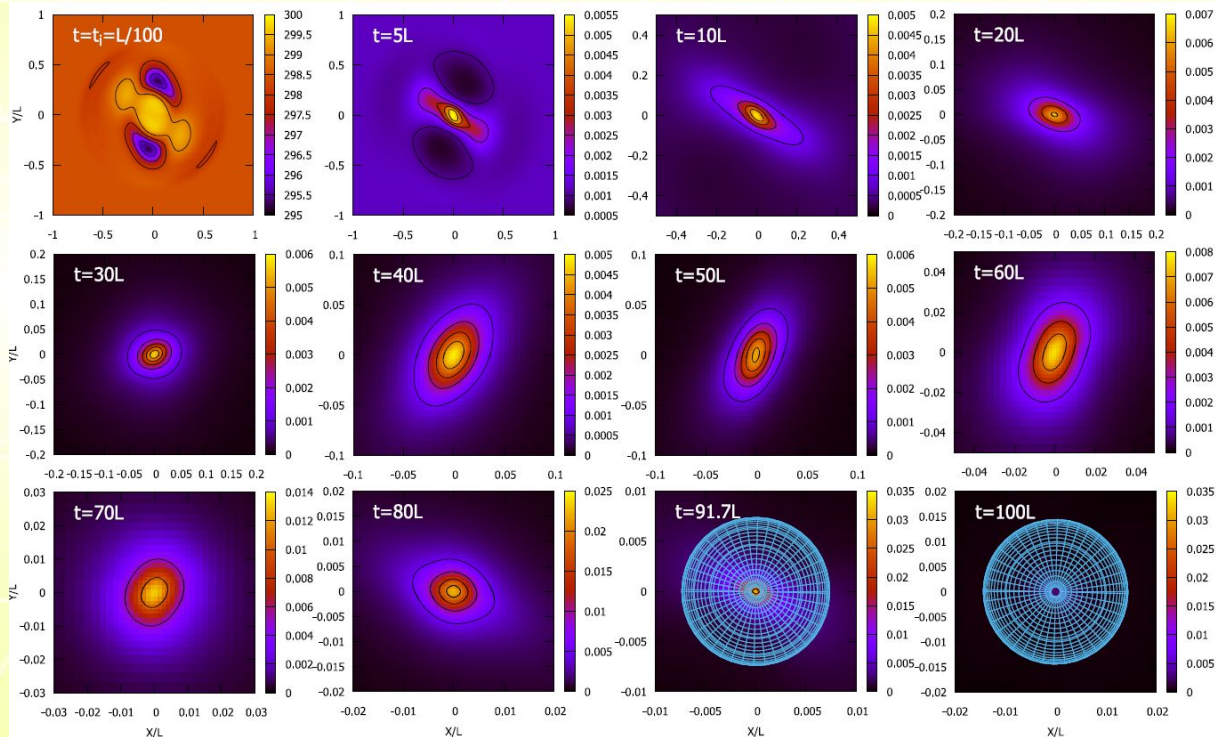
Too large to be statistically expected

⇒ Too much idealized for spin generation



Snapshots

© $w=p/\rho=1/3$, amplitude $\mu=0.92$ case



Effective spin parameter

©Kerr black hole case

$$\text{area : } A_{\text{Kerr}} = 8\pi(M^2 + \sqrt{M^4 - a^2 M^2})$$

$$\text{equatorial circumference : } d_{\text{Kerr}} = 4\pi M$$

$$\Rightarrow s_{\text{Kerr}}^2 := \frac{a^2}{M^2} = \frac{4\pi A_{\text{Kerr}}(d_{\text{Kerr}}^2 - \pi A_{\text{Kerr}})}{d_{\text{Kerr}}^4}$$

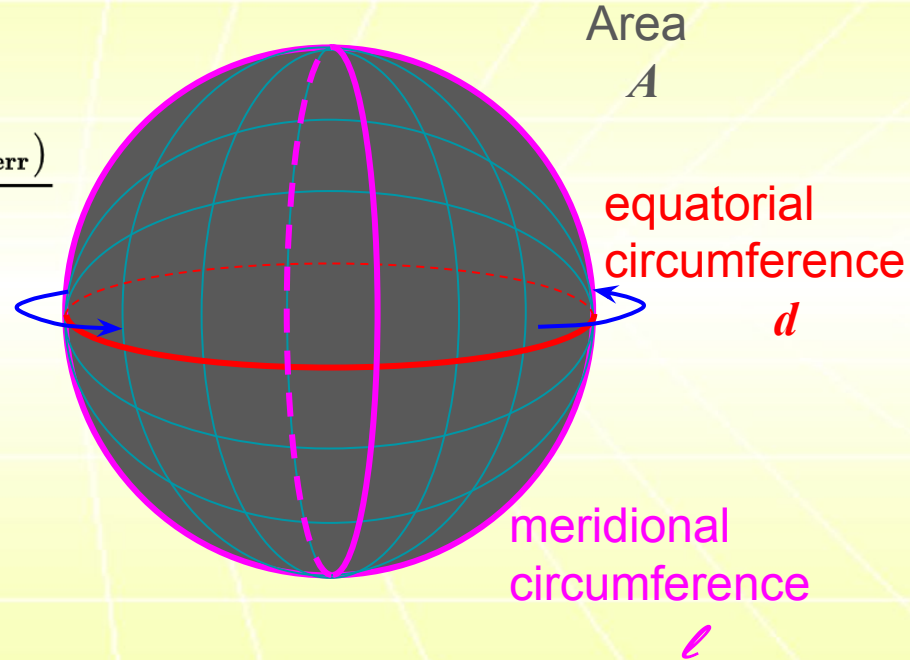
©Effective dimensionless spin

$$s^2 := \frac{4\pi A(d^2 - \pi A)}{d^4}$$

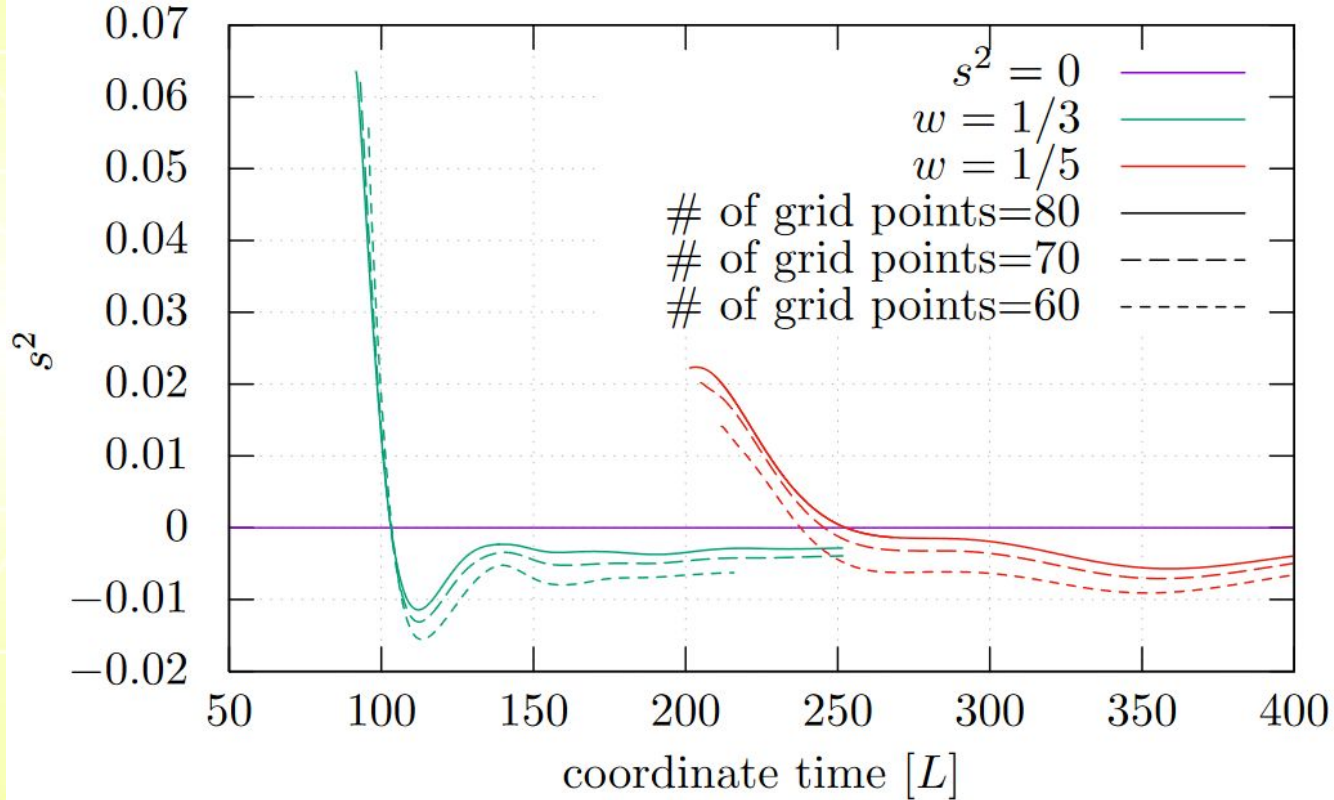
©Asphericity parameters

$$\text{meridional circumference : } \ell_{x=0, l_y=0}$$

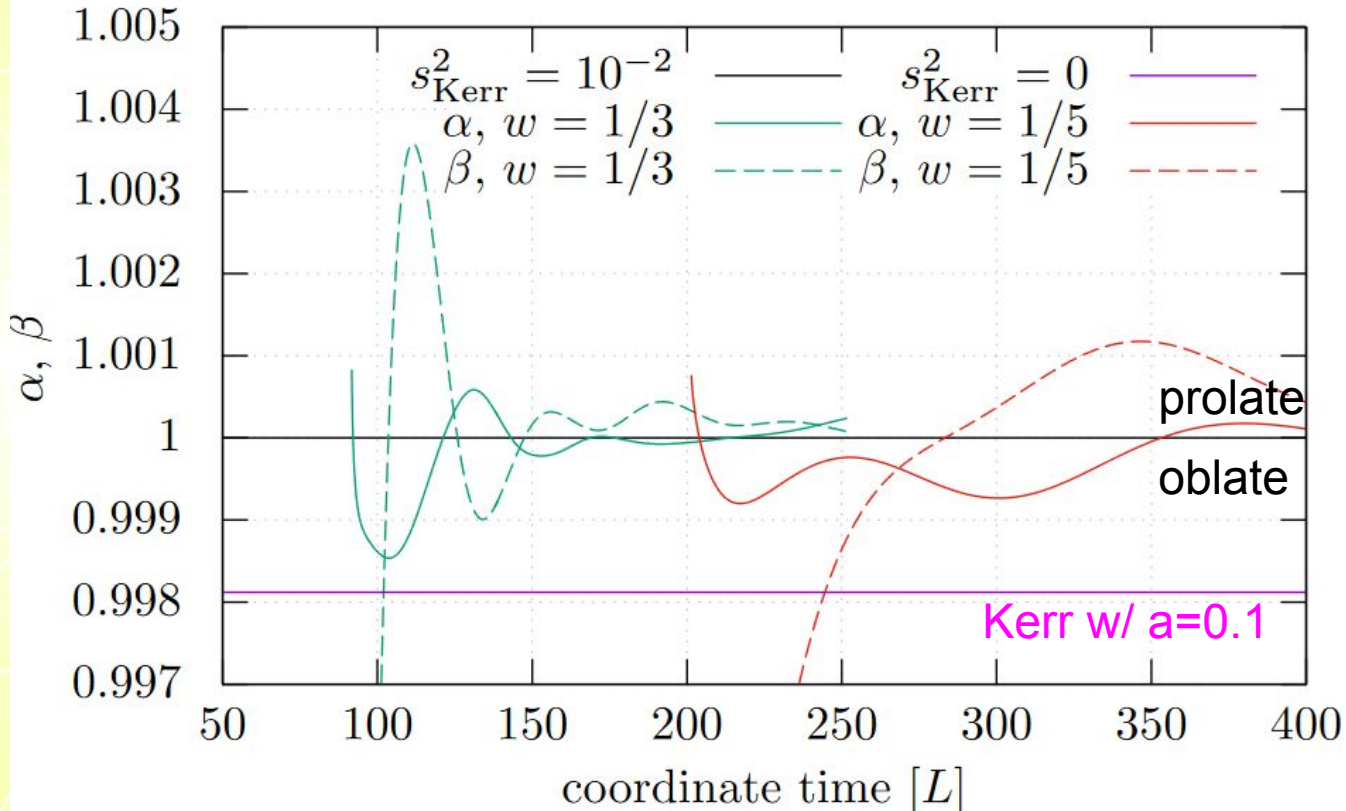
$$\alpha := \frac{\ell_{x=0}}{d} \quad \beta := \frac{\ell_{y=0}}{d}$$



Effective spin parameter



Non-sphericity



Spin of **PBH** is very small

for the equation of states $p=w\rho$ with $w>1/5$

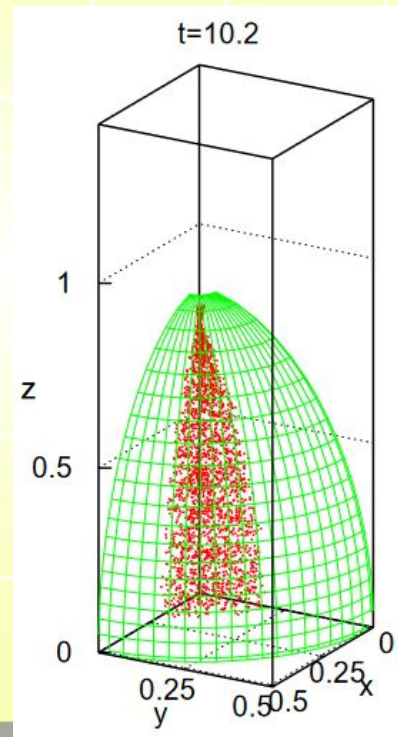
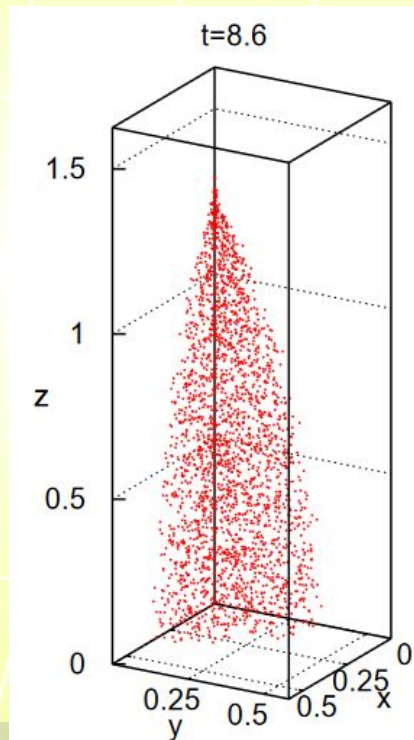
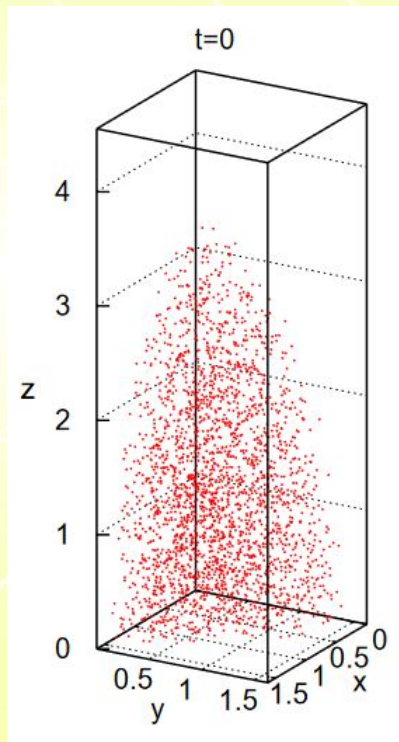
©Caveats

- Results only for a specific initial profile
- The case in which the amplitude is very close to the critical value
- etc.

PBH formation in MD(?)

GR N body simulation

©Spindle collapse (asymptotically flat) [CY, Harada, Okawa arXiv:2004.01042]



Code structure

Codes

Should we make it public...? Do you want?

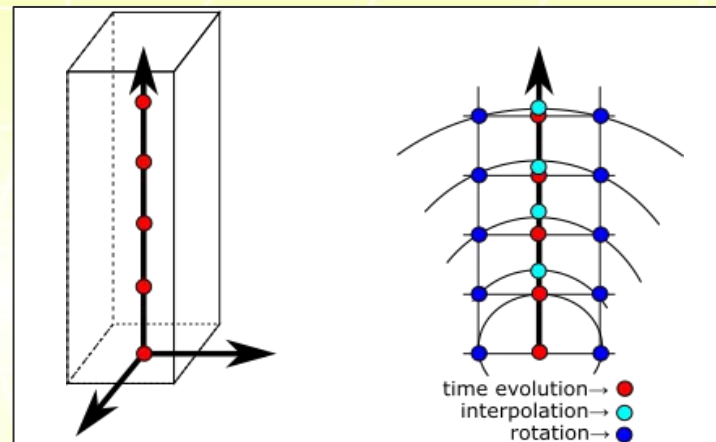
©COSMOS

- 3+1 dim, 4th order Rungekutta
- Geometry+fluid($P=wp$)+Real Scalar
- Non-Cartesian coord.
- Mesh-refinement
- Apparent horizon finder
- Excision(cubic domain)
- Curvature invariants calculation
- Constraints solver(SOR method, not so reliable)
- Elliptic eq. solver for Maximal slice and minimal distortion(SOR, not so reliable)

©COSMOS_S

- Spherical sym. with CARTOON method with spline interpolation
- Asymptotically FLRW boundary cond.
- Non-Cartesian + mesh refinement

CARTOON method



Thank you for your attention

Thank you for your attention

Should we make it public...? Do you want?