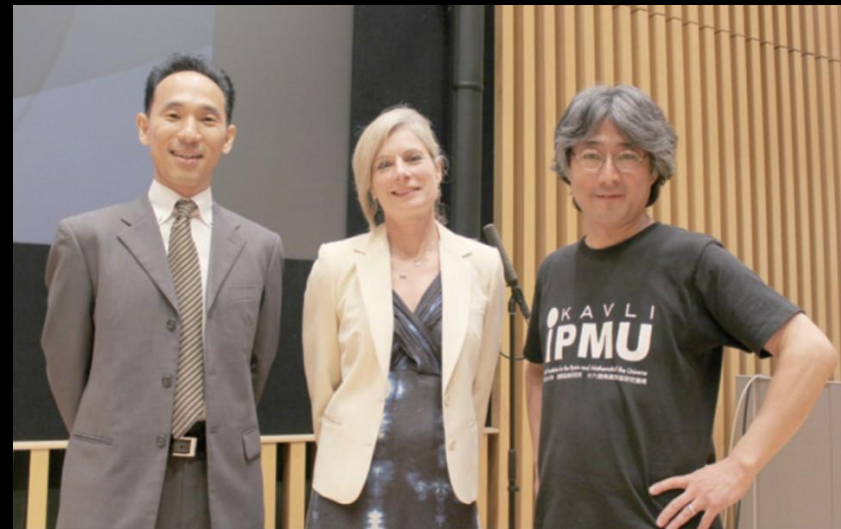


# Gravity beyond General Relativity

**Shinji Mukohyama**  
(YITP, Kyoto U)

# Thank you very much, Hitoshi!

- I was a member of IPMU from April 2008 to September 2014, when Hitoshi was the director.
- Hitoshi made IPMU a wonderful place for all members. I had a very good time.
- Hitoshi at that time was younger than I am now. This makes me think again of his greatness.
- I sincerely appreciate his efforts and kindness.



**Happy 60<sup>th</sup> Birthday!**

# Why gravity beyond GR?

(GR : general relativity)

- Challenging **mysteries in the universe**

Dark energy, dark matter, inflation, big-bang singularity, cosmic magnetic field and tensions

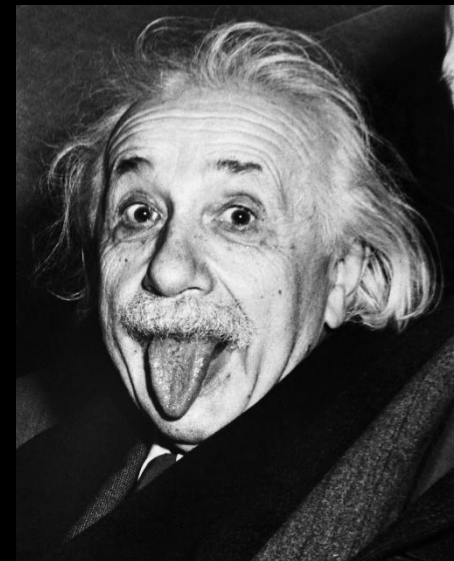
- Necessary for **quantum gravity**

Superstring, Horava-Lifshitz, etc.

- **Testing GR**

One of the best ways to test GR is to make predictions and to compare them with observations/experiments.

- ...



# Some examples (my personal experiences)

- I. Effective field theory (EFT) approach  
IR modification of gravity  
motivation: dark energy/inflation, universality
- II. Massive gravity  
IR modification of gravity  
motivation: “Can graviton have mass?” & dark energy
- III. Minimally modified gravity  
IR modification of gravity  
motivation: tensions in cosmology, various constraints
- IV. Horava-Lifshitz gravity  
UV modification of gravity  
motivation: quantum gravity
- V. Superstring theory  
UV modification of gravity  
motivation: quantum gravity, unified theory

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# Collaborators



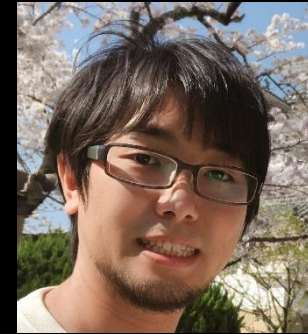
V. Yingcharoenrat



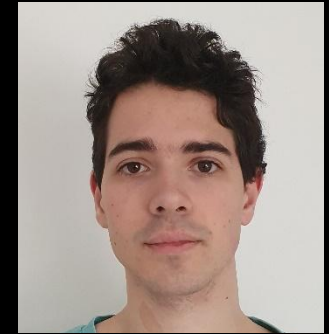
K. Takahashi



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E. Seraille



M. A. Gorji



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Ref.

arXiv: 2204.00228 w/ V. Yingcharoenrat

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arXiv: 2407.xxxxx w/ E. Seraille, K. Takahashi, V. Yingcharoenrat

arXiv: 2111.08119 w/ K. Aoki, M. A. Gorji, K. Takahashi

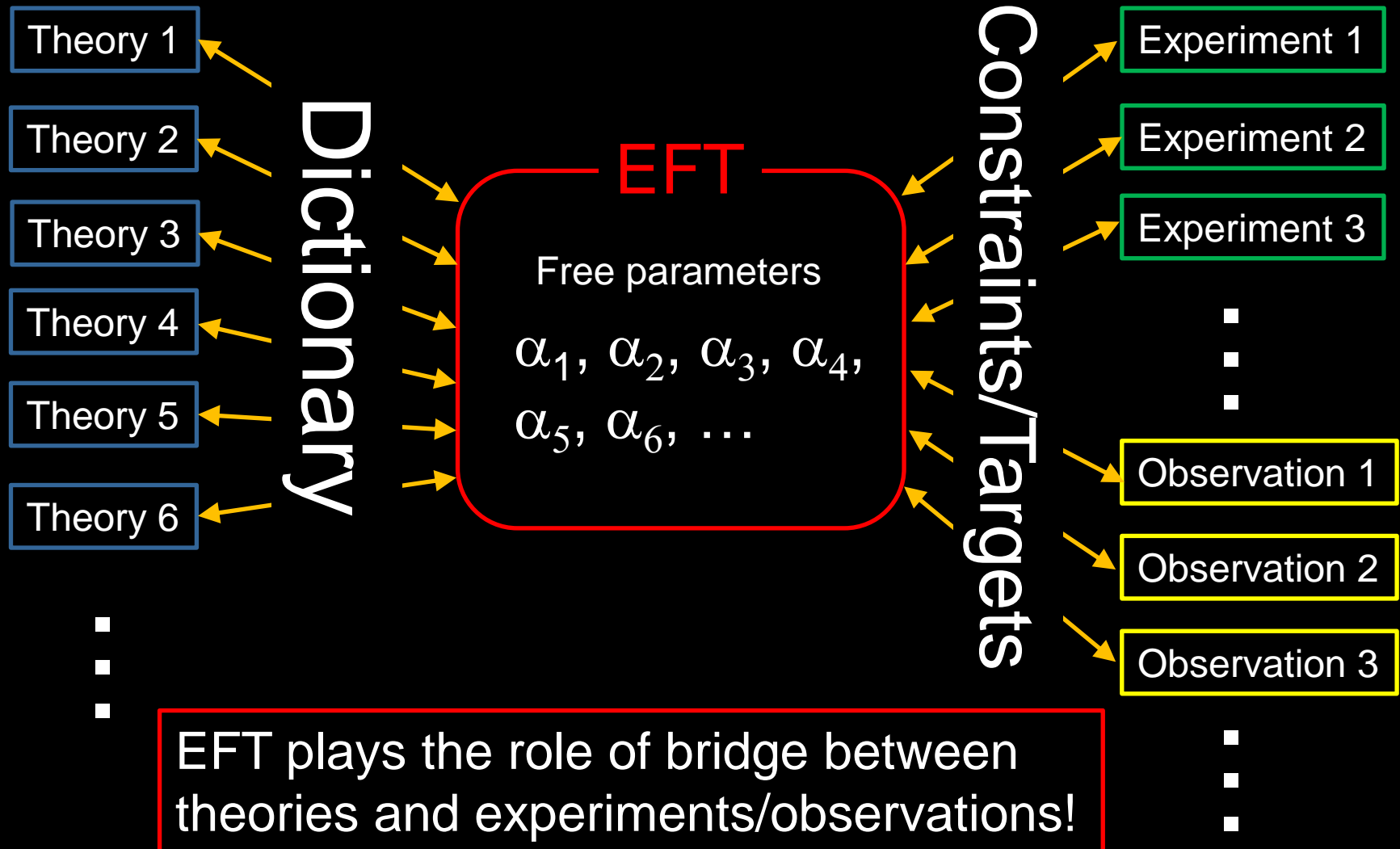
arXiv: 2311.06767 w/ K. Aoki, M. A. Gorji, K. Takahashi, V. Yingcharoenrat

Also

Arkani-Hamed, Cheng, Luty and Mukohyama 2004 (hep-th/0312099)

Mukohyama 2005 (hep-th/0502189)

# Effective field theory (EFT) approach



# History of Our Universe

Dark Energy  
Accelerated Expansion

Cosmic microwave  
background

Development of  
Galaxies, Planets, etc.

**Inflation**

**Dark energy**

WMAP

Quantum  
Fluctuations

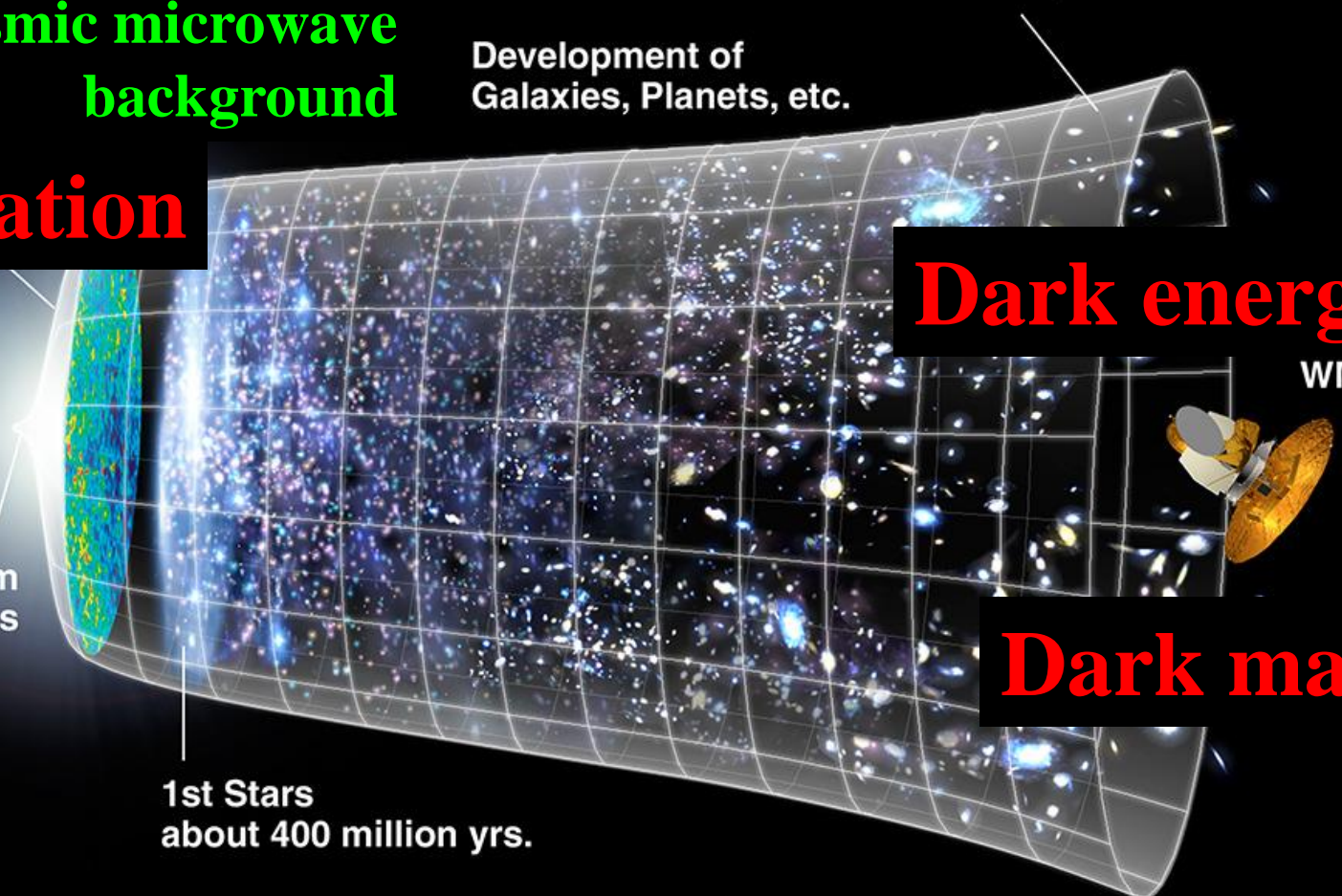
**Dark matter**

1st Stars  
about 400 million yrs.

Big Bang Expansion

13.7 billion years

<http://map.gsfc.nasa.gov/>





# History of Our Universe

Dark Energy  
Accelerated Expansion

Cosmic microwave  
background

Development of  
Galaxies, Planets, etc.

**Inflation**

**Dark energy**

**3 major mysteries  
in modern  
cosmology**

WMAP

Quantum  
Fluctuations

**Dark matter**

We (almost) know they are/were there...  
But, we don't know what they are.

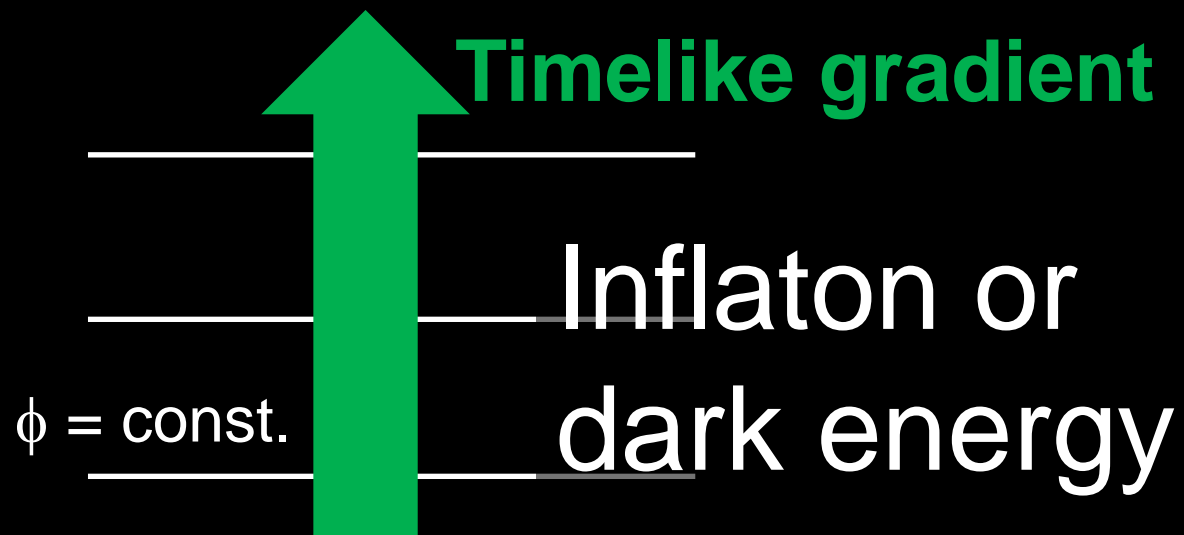
<http://map.gsfc.nasa.gov/>

# Two phases of the accelerated expansion of the universe

- **Inflation** in the **early universe**
- Accelerated expansion of the **late-time universe** driven by **dark energy**

# EFT of scalar-tensor gravity with timelike scalar profile

- **Scalar-tensor gravity contains majority of inflation & dark energy models**
- **Inflaton/dark energy has timelike derivative**
- **Time diffeo is broken by the scalar profile but spatial diffeo is preserved.**



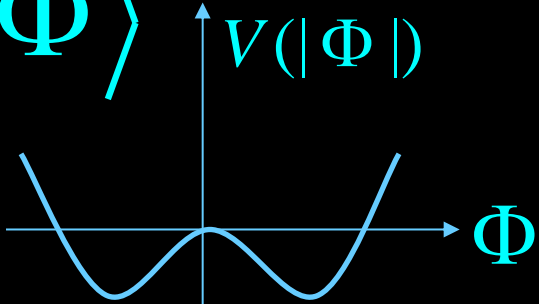
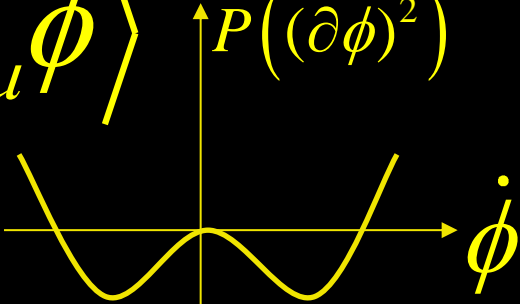
# EFT of scalar-tensor gravity with timelike scalar profile

- **Time diffeo is broken by the scalar profile but spatial diffeo is preserved.**
- All terms that respect spatial diffeo must be included in the EFT action.
- Derivative & perturbative expansions
- Diffeo can be restored by introducing NG boson

EFT on Minkowski  
background

= ghost condensation

Arkani-Hamed, Cheng, Luty and Mukohyama, JHEP 0405:074,2004

	<b>Higgs mechanism</b>	<b>Ghost condensate</b> Arkani-Hamed, Cheng, Luty and Mukohyama 2004
<b>Order parameter</b>	$\langle \Phi \rangle$ 	$\langle \partial_\mu \phi \rangle$ 
<b>Instability</b>	Tachyon $-\mu^2 \Phi^2$	Ghost $-\dot{\phi}^2$
<b>Condensate</b>	$V'=0, V''>0$	$P'=0, P''>0$
<b>Broken symmetry</b>	Gauge symmetry	Time diffeomorphism
<b>Force to be modified</b>	Gauge force	Gravity
<b>New force law</b>	Yukawa type	Newton+Oscillation

# EFT of ghost condensation = EFT of scalar-tensor gravity with timelike scalar profile on Minkowski background

Arkani-Hamed, Cheng, Luty and Mukohyama 2004

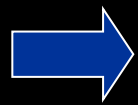
Backgrounds characterized by

✧  $\langle \partial_\mu \phi \rangle = \text{const} \neq 0$  and timelike

✧ Minkowski metric

$t \rightarrow t + \text{const}$  &  $t \rightarrow -t$  unbroken

up to  $\phi \rightarrow \phi + \text{const}$  &  $\phi \rightarrow -\phi$



$$L_{\text{eff}} = L_{EH} + M^4 \left\{ \left( h_{00} - 2\dot{\pi} \right)^2 - \frac{\alpha_1}{M^2} \left( K + \vec{\nabla}^2 \pi \right)^2 - \frac{\alpha_2}{M^2} \left( K^{ij} + \vec{\nabla}^i \vec{\nabla}^j \pi \right) \left( K_{ij} + \vec{\nabla}_i \vec{\nabla}_j \pi \right) + \dots \right\}$$

Gauge choice:  $\phi(t, \vec{x}) = t$ .  $\pi \equiv \delta\phi = 0$   
(Unitary gauge)

Residual symmetry:  $\vec{x} \rightarrow \vec{x}'(t, \vec{x})$

→ Write down most general action invariant under this residual symmetry.

( → Action for  $\pi$ : undo unitary gauge!)

Start with flat background  $g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu}$

$$\delta h_{\mu\nu} = \partial_{\mu}\xi_{\nu} + \partial_{\nu}\xi_{\mu}$$

Under residual  $\xi^i$

$$\delta h_{00} = 0, \delta h_{0i} = \partial_0 \xi_i, \delta h_{ij} = \partial_i \xi_j + \partial_j \xi_i$$

# Action invariant under $\xi^i$

Beginning at quadratic order, since we are assuming flat space is good background.

$$\left\{ \begin{array}{l} (h_{00})^2 \quad \text{OK} \\ \cancel{(h_{0i})^2} \\ K^2, K^{ij} K_{ij} \quad \text{OK} \end{array} \right.$$

$$K_{ij} = \frac{1}{2} (\partial_0 h_{ij} - \partial_j h_{0i} - \partial_i h_{0j})$$

$$\Rightarrow L_{eff} = L_{EH} + M^4 \left\{ (h_{00})^2 - \frac{\alpha_1}{M^2} K^2 - \frac{\alpha_2}{M^2} K^{ij} K_{ij} + \dots \right\}$$



# Action invariant under $\xi^i$

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# Action for $\pi$

$$\xi^0 = \pi \quad \left\{ \begin{array}{l} h_{00} \rightarrow h_{00} - 2\partial_0 \pi \\ K_{ij} \rightarrow K_{ij} + \partial_i \partial_j \pi \end{array} \right.$$

$$\Rightarrow L_{eff} = L_{EH} + M^4 \left\{ (h_{00} - 2\dot{\pi})^2 - \frac{\alpha_1}{M^2} (K + \vec{\nabla}^2 \pi)^2 - \frac{\alpha_2}{M^2} (K^{ij} + \vec{\nabla}^i \vec{\nabla}^j \pi) (K_{ij} + \vec{\nabla}_i \vec{\nabla}_j \pi) + \dots \right\}$$

$$E \rightarrow rE$$

$$dt \rightarrow r^{-1} dt$$

$$dx \rightarrow r^{-1/2} dx$$

$$\pi \rightarrow r^{1/4} \pi$$

Make  
invariant

$$\rightarrow \int dt d^3x \left[ \frac{1}{2} \dot{\pi}^2 - \frac{\alpha (\vec{\nabla}^2 \pi)^2}{M^2} + \dots \right]$$

Leading nonlinear operator in infrared  $\int dt d^3x \frac{\dot{\pi} (\nabla \pi)^2}{\tilde{M}^2}$

has scaling dimension 1/4. **(Barely) irrelevant**

⇒ **Good low-E effective theory**  
**Robust prediction**

e.g. Ghost inflation [Arkani-hamed, Creminelli, Mukohyama, Zaldarriaga 2004]

# EFT of scalar-tensor gravity with timelike scalar profile

- **Time diffeo is broken by the scalar profile but spatial diffeo is preserved.**
- All terms that respect spatial diffeo must be included in the EFT action.
- Derivative & perturbative expansions
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EFT on Minkowski  
background

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EFT on cosmological  
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= EFT of inflation/dark energy

Creminelli, Luty, Nicolis and Senatore 2006; Cheung, Creminelli, Fitzpatrick, Kaplan and Senatore 2007; Gubitosi, Piazza, Vernizzi 2012; Gleyzes, Langlois, Piazza, Vernizzi 2013

# Application: non-Gaussianity of inflationary perturbation $\zeta = -H\pi$

$$I_\pi = M_{Pl}^2 \int dt d^3\vec{x} a^3 \left\{ -\frac{\dot{H}}{c_s^2} \left( \dot{\pi}^2 - c_s^2 \frac{(\partial_i \pi)^2}{a^2} \right) - \dot{H} \left( \frac{1}{c_s^2} - 1 \right) \left( \frac{c_3}{c_s^2} \dot{\pi}^3 - \dot{\pi} \frac{(\partial_i \pi)^2}{a^2} \right) + O(\pi^4, \tilde{\epsilon}^2) + L_{\tilde{\delta}K, \tilde{\delta}R}^{(2)} \right\}$$

power spectrum  $P_\zeta(\vec{k}) = \frac{\Delta}{k^3}, \quad \Delta = \frac{H^4}{-4M_{Pl}^2 \dot{H} c_s} \Big|_{c_s k \simeq aH}$

non-Gaussianity  $\langle \zeta_{\vec{k}_1}(t) \zeta_{\vec{k}_2}(t) \zeta_{\vec{k}_3}(t) \rangle = (2\pi)^3 \delta^3(\vec{k}_1 + \vec{k}_2 + \vec{k}_3) B_\zeta$

2 types of 3-point interactions

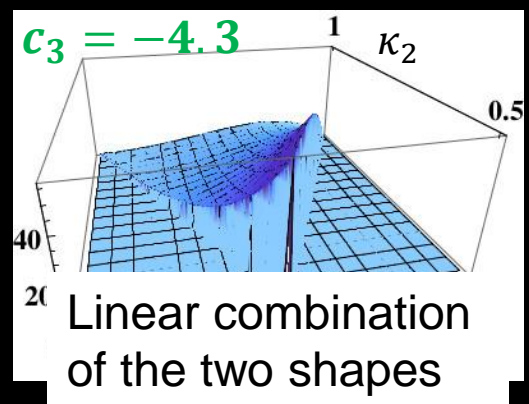
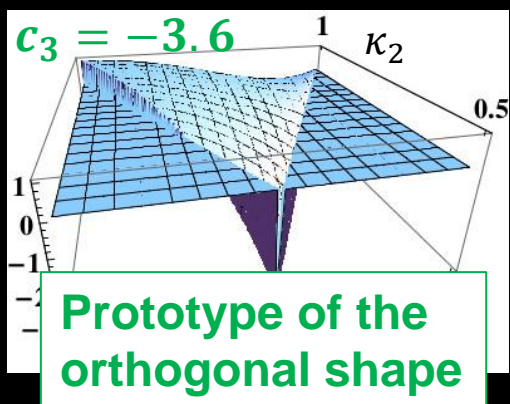
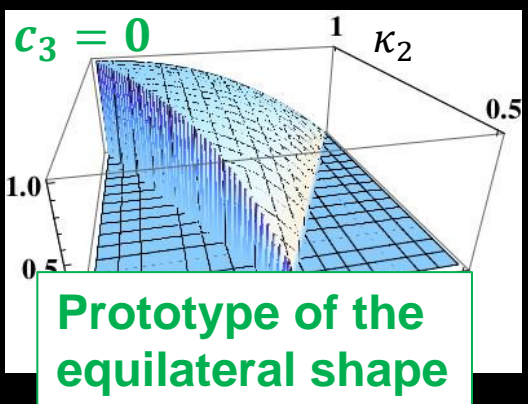
$c_s^2 \rightarrow$  size of non-Gaussianity

$$k^6 B_\zeta|_{k_1=k_2=k_3=k} = \frac{18}{5} \Delta^2 (f_{NL}^{\dot{\pi}(\partial_i \pi)^2} + f_{NL}^{\dot{\pi}^3})$$

$$f_{NL}^{\dot{\pi}(\partial_i \pi)^2} = \frac{85}{324} \left( 1 - \frac{1}{c_s^2} \right) \quad f_{NL}^{\dot{\pi}^3} = \frac{5c_3}{81} \left( 1 - \frac{1}{c_s^2} \right) \propto \frac{1}{c_s^2} \text{ for small } c_s^2$$

$c_3 \rightarrow$  shape of non-Gaussianity

plots of  $B_\zeta(k, \kappa_2 k, \kappa_3 k) / B_\zeta(k, k, k)$



# Parametrization tailored to DE

## → EFT of DE

Gubitosi, Piazza, Vernizzi 2012

Gleyzes, Langlois, Piazza, Vernizzi 2013

- Matter (in addition to DE) needs to be added → Jordan frame description is convenient
- In Jordan frame the coefficient of the 4d Ricci scalar is not constant. Otherwise, the basic construction is the same as EFT of inflation.
- Implemented in Boltzmann codes, e.g. EFTCAMB [Hu, Raveri, Frusciante, Silvestri 2014].
- Constraint on  $c_{\text{GW}}$  by GW170817 imposed [Creminelli and Vernizzi 2017, Ezquiaga and Zumalacárregui 2017] .
- Will be used to interpret data from future observations.

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- Majorities of inflation/DE models are described by scalar-tensor gravity with timelike scalar profile.
- Ghost condensation universally describes all scalar-tensor gravity with timelike scalar profile on Minkowski background respecting time translation / reflection symmetry (up to shift / reflection of the scalar).
- Extension of ghost condensation to FLRW backgrounds results in the EFT of inflation/DE. **They have been used to interpret observational data. Adopted by e.g. ESA's Planck team.**

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# Extension of EFT of inflation/DE to arbitrary background

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EFT on arbitrary  
background

= EFT of black hole perturbation with  
timelike scalar profile

Mukohyama and Yingcharoenrat, JCAP 09 (2022) 010

- EFT of scalar-tensor gravity with timelike scalar profile on arbitrary background was developed.
- Can describe all scalar-tensor gravity with timelike scalar profile.
- Applied to black holes in the presence of dark energy.



# It was not straightforward...

- General action in the unitary gauge ( $\phi = \tau$ )

$$S = \int d^4x \sqrt{-g} F(R_{\mu\nu\alpha\beta}, g^{\tau\tau}, K_{\mu\nu}, \nabla_\nu, \tau)$$

- Taylor expansion around the background

$$S = \int d^4x \sqrt{-g} \left[ \bar{F} + \bar{F}_{g^{\tau\tau}} \delta g^{\tau\tau} + \bar{F}_K \delta K + \dots \right]$$

- The whole action is invariant under 3d diffeo but **each term is not...**
- Each coefficient is a function of  $(\tau, x^i)$  but cannot be promoted to an arbitrary function.

# Solution: consistency relations

- The chain rule

$$\left[ \begin{array}{l} \frac{d}{dx^i} \bar{F} = \bar{F}_{g^{\tau\tau}} \frac{\partial \bar{g}^{\tau\tau}}{\partial x^i} + \bar{F}_K \frac{\partial \bar{K}}{\partial x^i} + \dots \\ \frac{d}{dx^i} \bar{F}_{g^{\tau\tau}} = \bar{F}_{g^{\tau\tau} g^{\tau\tau}} \frac{\partial \bar{g}^{\tau\tau}}{\partial x^i} + \bar{F}_{g^{\tau\tau} K} \frac{\partial \bar{K}}{\partial x^i} + \dots \\ \frac{d}{dx^i} \bar{F}_K = \bar{F}_{g^{\tau\tau} K} \frac{\partial \bar{g}^{\tau\tau}}{\partial x^i} + \bar{F}_{KK} \frac{\partial \bar{K}}{\partial x^i} + \dots \end{array} \right.$$

relates  $x^i$ -derivatives of an EFT coefficient to other EFT coefficients, and **leads to consistency relations.**

- **The consistency relations ensure the spatial diffeo invariance.**
- Taylor coefficients should satisfy the consistency relations but are otherwise arbitrary.
- (No consistency relation for  $\tau$ -derivatives.)

# Applications to BHs with timelike scalar profile

- Background analysis for spherical BH  
[arXiv: 2204.00228 w/ V.Yingcharoenrat]
- Odd-parity perturbation around spherical BH
  - Generalized Regge-Wheeler equation  
[arXiv: 2208.02943 w/ K.Takahashi & V.Yingcharoenrat]  
[see also arXiv: 2208.02823 by Khoury, Noumi, Trodden, Wong]
  - Quasi-normal modes deviate from GR  
[arXiv: 2304.14304 w/ K.Takahashi & K.Tomikawa & V.Yingcharoenrat]
  - Static Tidal Love numbers are non-vanishing  
[arXiv: 2405.10813 w/C.G.A.Barura, H.Kobayashi, N.Oshita, K.Takahashi, V.Yingcharoenrat]
  - (In)stability of greybody factors  
[arXiv: 2406.04525 w/N.Oshita and K.Takahashi]
- Even-parity perturbation around spherical BH  
[work in progress w/ K.Takahashi & K.Tomikawa & V.Yingcharoenrat]
- Rotating BH  
[work in progress w/ N.Oshita & K.Takahashi & Z.Wang & V.Yingcharoenrat]

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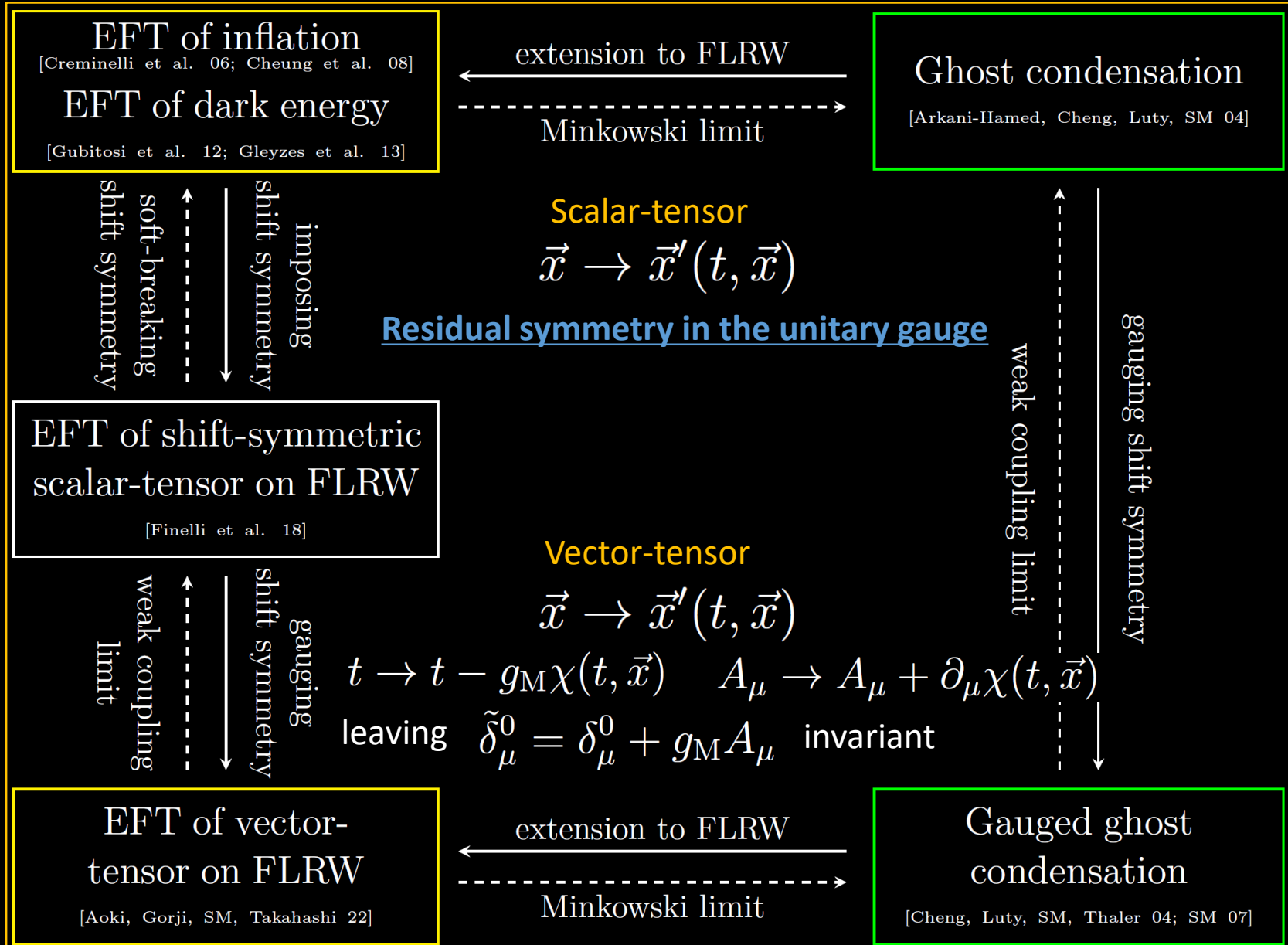
EFT on arbitrary  
background

= EFT of black hole perturbation with  
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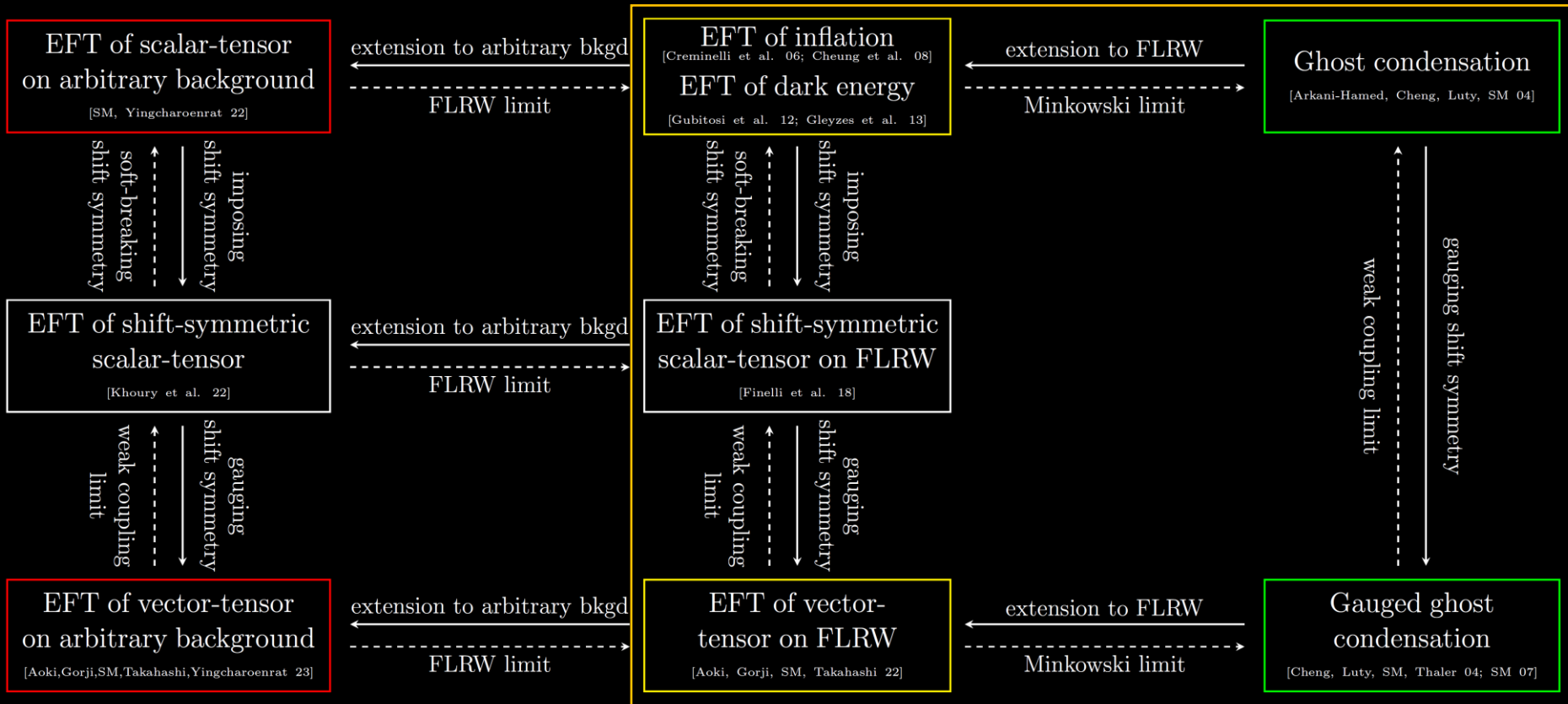
Mukohyama and Yingcharoenrat, JCAP 09 (2022) 010

- EFT of scalar-tensor gravity with timelike scalar profile on arbitrary background was developed.
- Can describe all scalar-tensor gravity with timelike scalar profile.
- Applied to black holes in the presence of dark energy.
- Any other applications? Further extensions?

# Further extension of the web of EFTs



# Further extension of the web of EFTs



## Residual symmetry in the unitary gauge

Scalar-tensor

$$\vec{x} \rightarrow \vec{x}'(t, \vec{x})$$

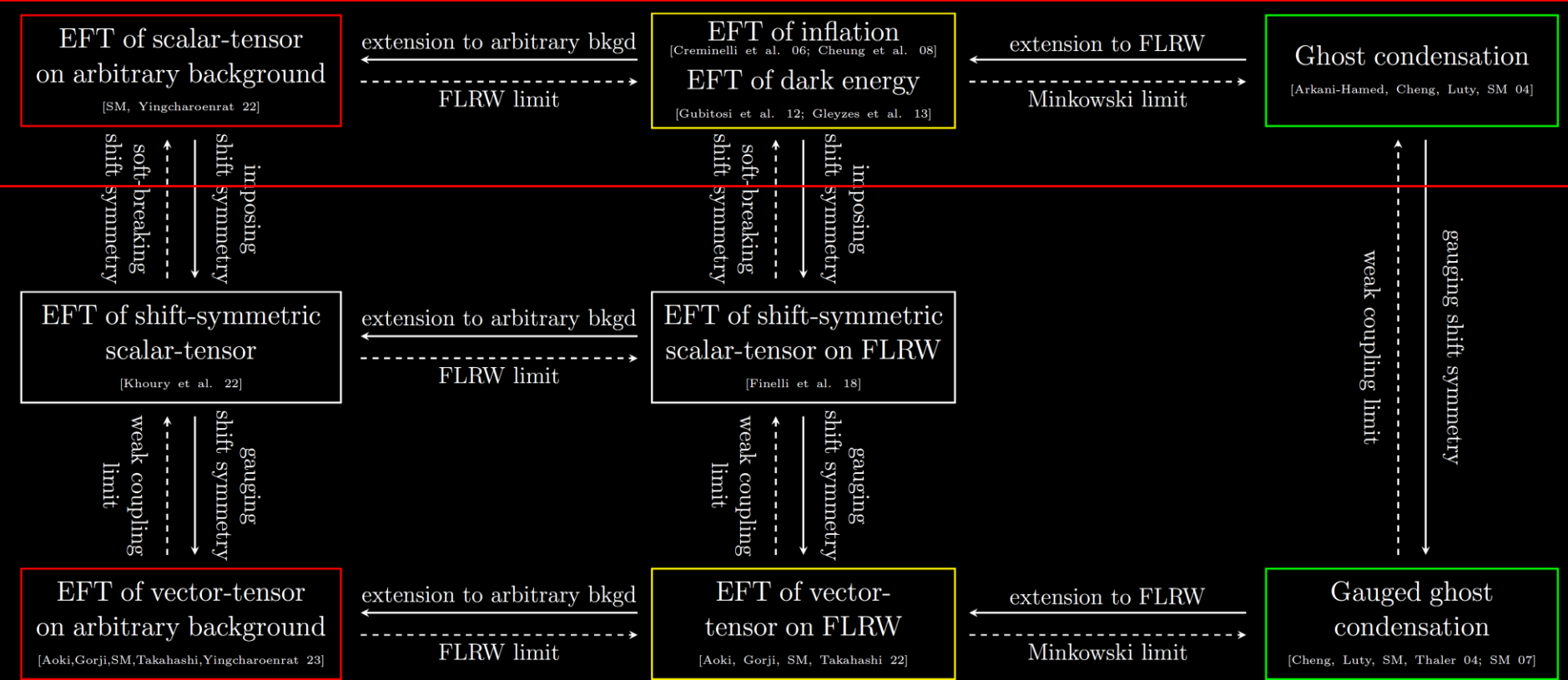
Vector-tensor

$$\vec{x} \rightarrow \vec{x}'(t, \vec{x})$$

$$t \rightarrow t - g_M \chi(t, \vec{x}) \quad A_\mu \rightarrow A_\mu + \partial_\mu \chi(t, \vec{x})$$

$$\text{leaving } \tilde{\delta}_\mu^0 = \delta_\mu^0 + g_M A_\mu \text{ invariant}$$

# Further extension of the web of EFTs



## Residual symmetry in the unitary gauge

Scalar-tensor

$$\vec{x} \rightarrow \vec{x}'(t, \vec{x})$$

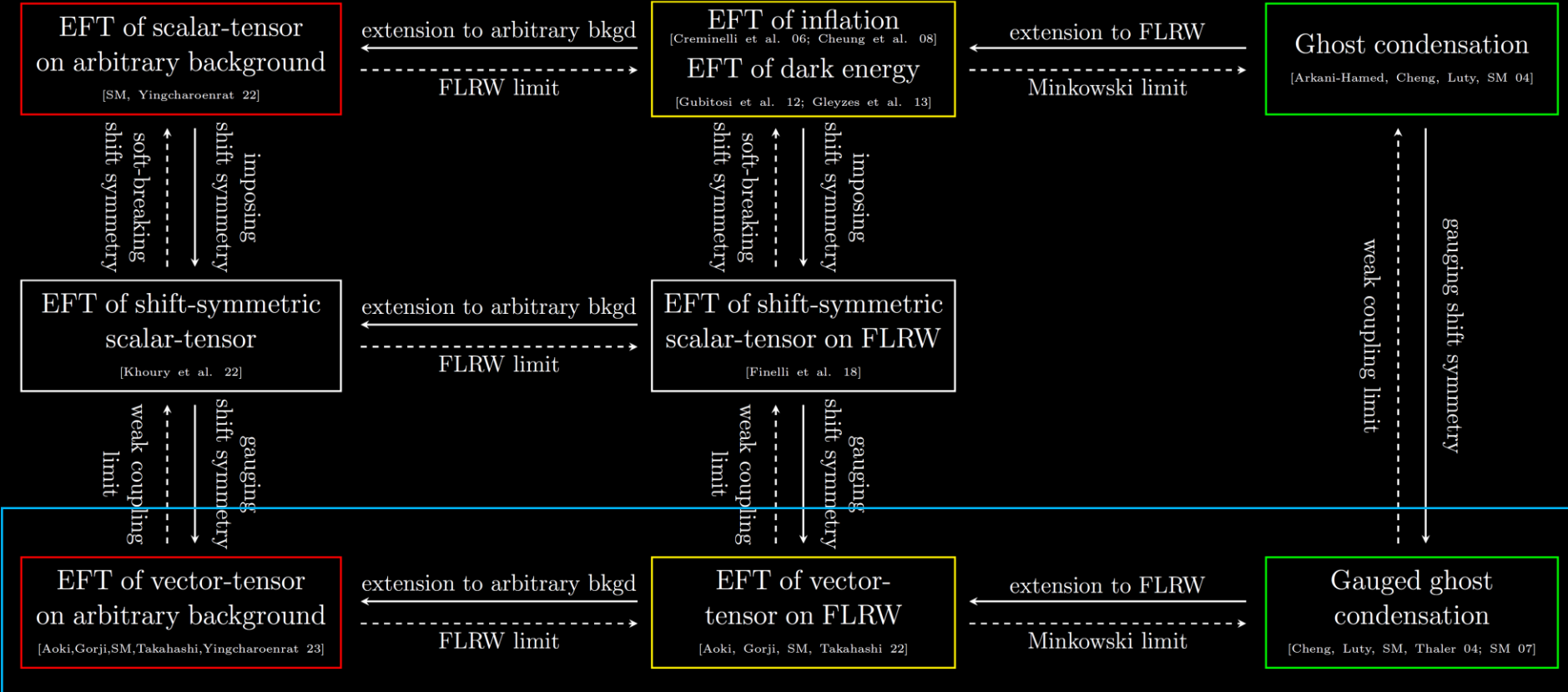
Vector-tensor

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# Further extension of the web of EFTs



## Residual symmetry in the unitary gauge

**Scalar-tensor**

$$\vec{x} \rightarrow \vec{x}'(t, \vec{x})$$

See also "CMB spectrum in unified EFT of dark energy: scalar-tensor and vector-tensor theories", arXiv: 2405.04265

**Vector-tensor**

$$\vec{x} \rightarrow \vec{x}'(t, \vec{x})$$

$$t \rightarrow t - g_M \chi(t, \vec{x}) \quad A_\mu \rightarrow A_\mu + \partial_\mu \chi(t, \vec{x})$$

$$\text{leaving } \tilde{\delta}_\mu^0 = \delta_\mu^0 + g_M A_\mu \text{ invariant}$$



# Thank you!



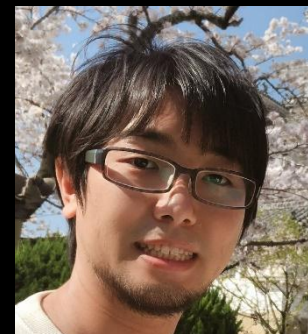
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- arXiv: 2204.00228 w/ V. Yingcharoenrat
  - arXiv: 2208.02943 w/ K. Takahashi, V. Yingcharoenrat
  - arXiv: 2304.14304 w/ K. Takahashi, K. Tomikawa, V. Yingcharoenrat
  - arXiv: 2405.10813 w/ C. G. A. Barura, H. Kobayashi, N. Oshita, K. Takahashi, V. Yingcharoenrat
  - arXiv: 2406.04525 w/ N. Oshita and K. Takahashi
  - arXiv: 2407.xxxxx w/ E. Serraille, K. Takahashi, V. Yingcharoenrat
  - arXiv: 2111.08119 w/ K. Aoki, M. A. Gorji, K. Takahashi
  - arXiv: 2311.06767 w/ K. Aoki, M. A. Gorji, K. Takahashi, V. Yingcharoenrat

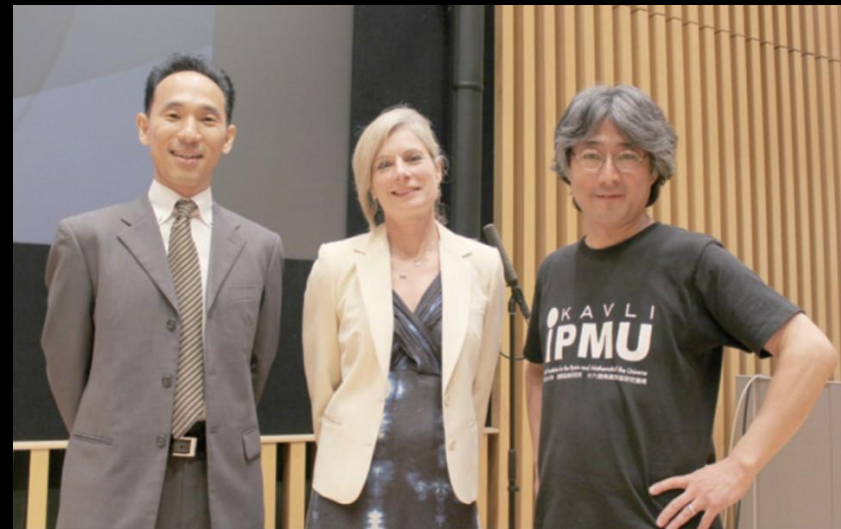
scalar-  
tensor

vector-  
tensor

Also Arkani-Hamed, Cheng, Luty and Mukohyama 2004 (hep-th/0312099)  
Mukohyama 2005 (hep-th/0502189)

# Thank you very much, Hitoshi!

- I was a member of IPMU from April 2008 to September 2014, when Hitoshi was the director.
- Hitoshi made IPMU a wonderful place for all members. I had a very good time.
- Hitoshi at that time was younger than I am now. This makes me think again of his greatness.
- I sincerely appreciate his efforts and kindness.



**Happy 60<sup>th</sup> Birthday!**