

# Early Universe Cosmology

## future prospects

### from a personal perspective

Misao Sasaki

December 18, 2024

# my encounters with Hitoshi

- My first encounter with Hitoshi was perhaps around 2010.



Hongkong, May 2011

- Sometime later at a workshop at IPMU, **we drank heavily**. Next day I had a terrible hangover, but Hitoshi looked perfectly fine!

- When he applied for a big JSPS grant “Why does the Universe accelerate?”, which was eventually approved, he kindly invited me to join, and made me PI of the project group on inflation. It was completed with great success.

京都大学 基礎物理学研究所  
Yukawa Institute for Theoretical Physics Kyoto University

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Workshop : Astrophysics (English) Number:YITP-X-15-5  
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**Inflationary Universe**

2016/03/10 --- 2016/03/10  
Seminar Room(K102), Main Building: YITP, Kyoto Univ.

This workshop is a continuation of the previous workshop held in September 2015, YITP-X-15-1, for the purpose of advancing the project A01 on the inflationary universe of the kakenhi for innovative areas “accelerating universe”. At the previous meeting, we reached a consensus on what to be done in the immediate future. In this mini-workshop, some of the members of the projects will report on their progress and discuss remaining issues in depth.

Organizing this small workshop was made possible thanks to the grant, which led to the paper below.

PRL 117, 061101 (2016)

PHYSICAL REVIEW LETTERS

week ending  
5 AUGUST 2016



## Primordial Black Hole Scenario for the Gravitational-Wave Event GW150914

Misao Sasaki,<sup>1</sup> Teruaki Suyama,<sup>2</sup> Takahiro Tanaka,<sup>3,1</sup> and Shuichiro Yokoyama<sup>4</sup>

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<sup>2</sup>Research Center for the Early Universe (RESCEU), Graduate School of Science, The University of Tokyo, Tokyo 113-0033, Japan

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(Received 3 April 2016; published 2 August 2016)

We point out that the gravitational-wave event GW150914 observed by the LIGO detectors can be explained by the coalescence of primordial black holes (PBHs). It is found that the expected PBH merger rate would exceed the rate estimated by the LIGO Scientific Collaboration and the Virgo Collaboration if

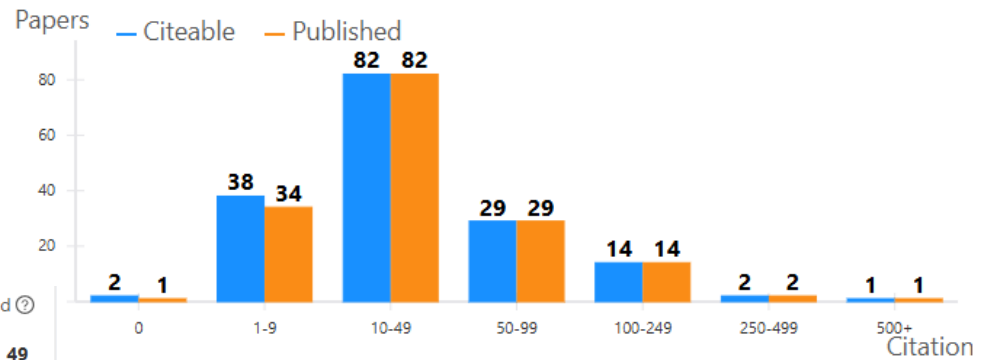
- Project group's total number of publications = 168, with total citation = 8024
- In 2017, just before my retirement from Kyoto University, Hitoshi offered me a project professor position at IPMU.
- To explain my job at IPMU, he stopped at Kyoto Sta. on his way to somewhere south, and invited me for a couple of drinks. We ended up drinking 5-6 mugs of beer (maybe more...)

## Citation Summary

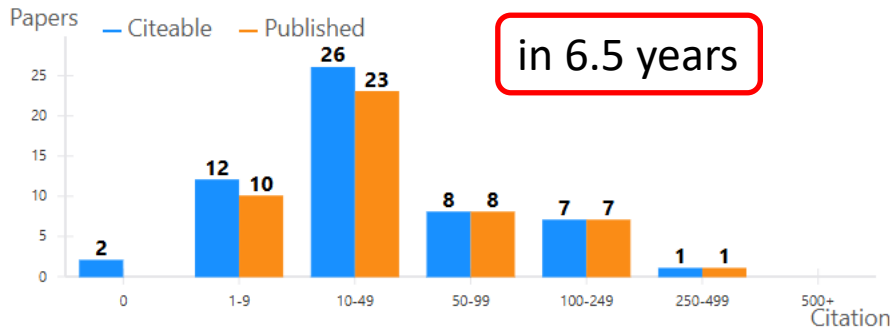
 Exclude self-citations ?

in 5 years

	Citeable ?	Published ?
Papers	168	163
Citations	8,024	8,018
h-index ?	47	47
Citations/paper (avg)	47.8	49.2



	Citeable ?	Published ?
Papers	56	49
Citations	2,582	2,524
h-index ?	24	24
Citations/paper (avg)	46.1	51.5



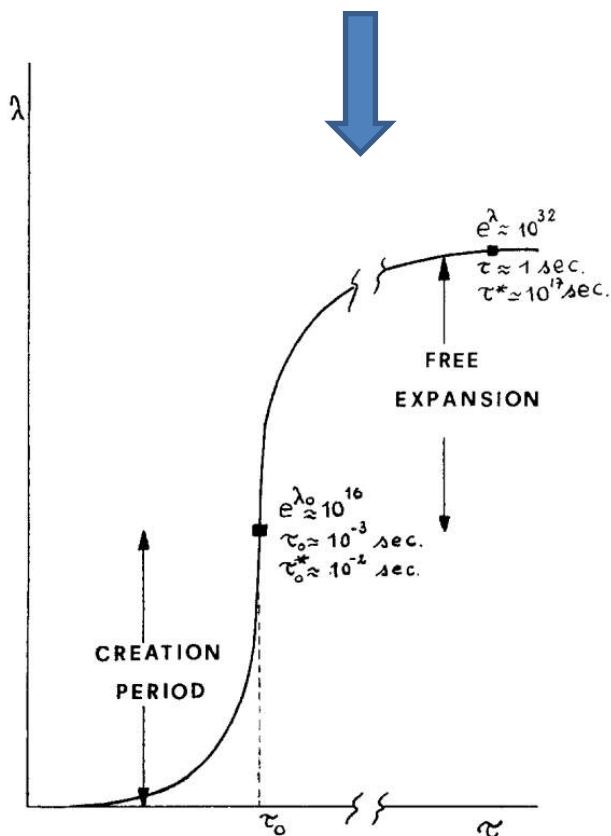
in 6.5 years

- I joined IPMU in April 2018. Thanks to IPMU's fantastic research environment, my research activity was enhanced more than ever!

**Back to Physics**

# What happened in the **very** early Universe?

Brout, Englert & Gunzig '77, Starobinsky '79, Guth '81, Sato '81, Linde '81...



- The Universe experienced a **quasi-exponential expansion (inflation)** at its very early stage; perhaps at  $\rho \gtrsim (10^{15} \text{ GeV})^4$ .
- It lasted at least **50~60 e-folds** ( $> 10^{30}$ ).
- Most probably it was driven by a **slow-rolling** scalar field.

# Why Inflation?

- It was meant to solve **the initial condition (singularity, horizon & flatness, etc.) problems** in Big-Bang Cosmology:
  - if any of them can be said to be solved depends on precise definitions of the problems.
- **Quantum vacuum fluctuations** during inflation turn out to play the most important role. They give the initial condition for **all the structures in the Universe**.
- **Cosmic gravitational wave background** is also generated.

# more on $\checkmark$ inflation

## the meaning of

1. Homogeneity and isotropy are the (most important) assumption, **NOT a consequence of inflation**.
2. Quasi-exponential expansion in the “Einstein frame”: **conformal invariant definition**.
3. At least 50-60 e-folds before the end of inflation: solving “**horizon problem**”
4. Don't care what happened before inflation: predictions are **almost independent** of initial conditions.

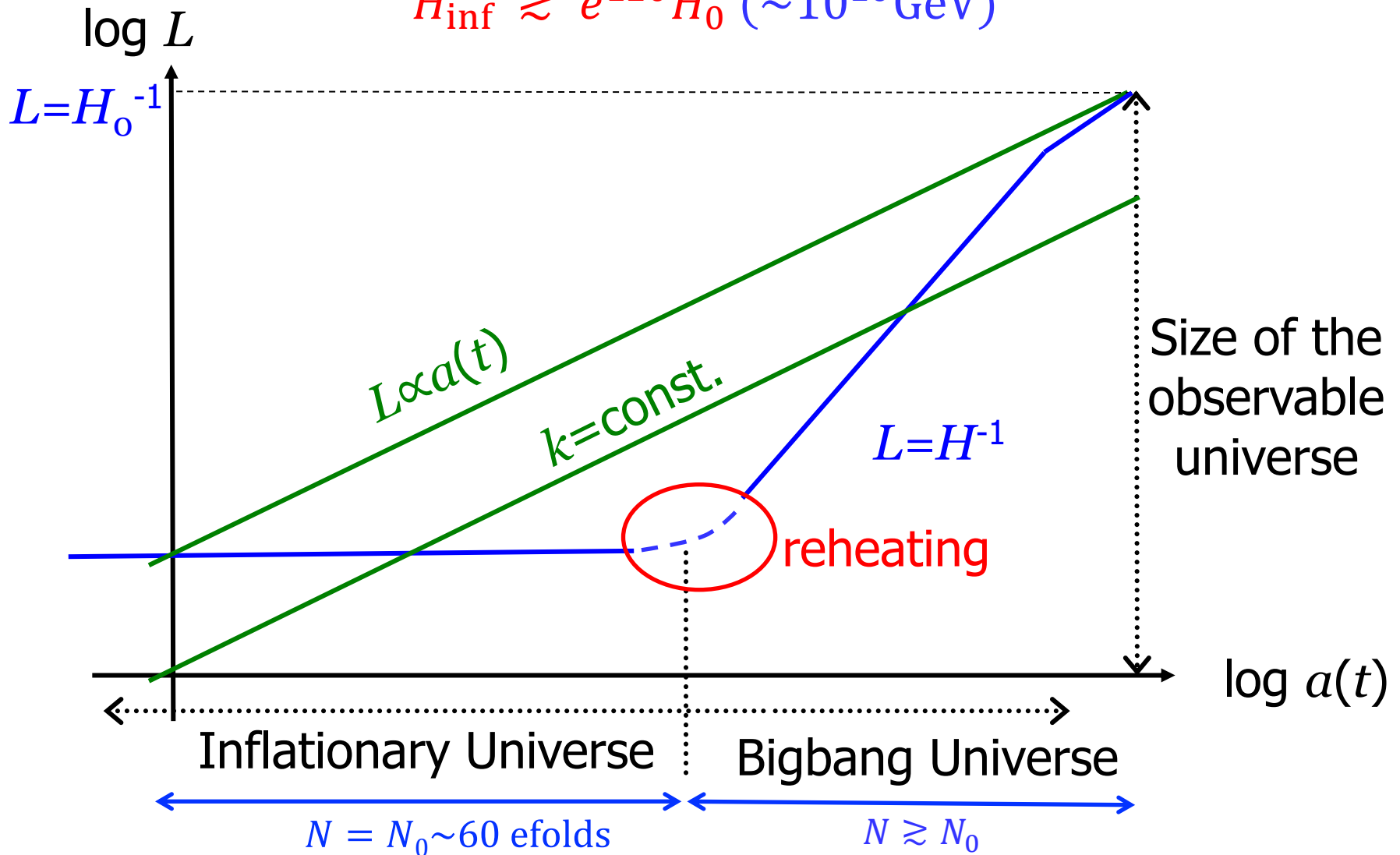
1 & 2: basic assumptions/definition of inflation



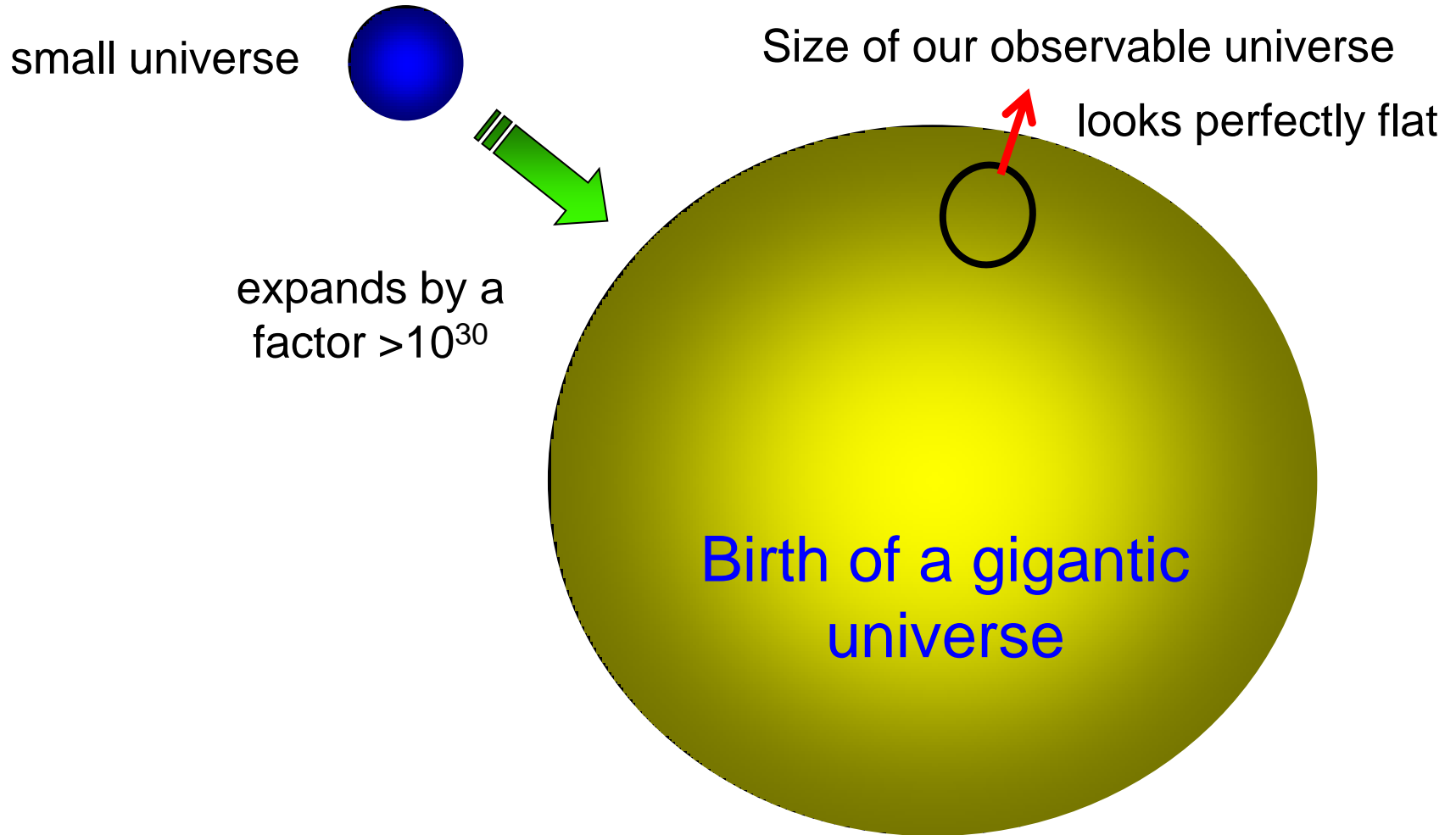
# Kinematics

# length scales of the inflationary universe

$$H_{\text{inf}} \gtrsim e^{120} H_0 (\sim 10^{10} \text{ GeV})$$



# Flatness



Flatness can be explained by Inflation

NB: Inflation may not always imply flatness

# Dynamics

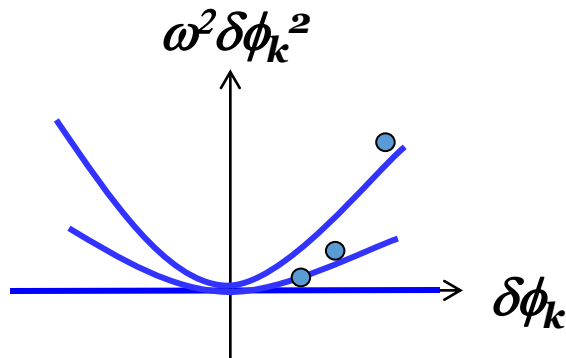
# Seed of cosmological perturbations

Mukhanov & Chibisov '81, ....

Zero-point (vacuum) fluctuations of  $\phi$ :  $\delta\phi = \sum_k \delta\phi_k(t) e^{ik \cdot x}$

$$\delta\ddot{\phi}_k + 3H\delta\dot{\phi}_k + \omega^2(t)\delta\phi_k = 0; \quad \omega^2(t) = \frac{k^2}{a^2(t)} \quad \text{rapidly decreases}$$

harmonic oscillator with friction term and time-dependent  $\omega$



$$\delta\phi_k \rightarrow \text{const.}$$

... frozen when  $\omega < H$   
(on superhorizon scales)

tensor (gravitational wave) modes also satisfy the same eq.

Starobinsky '79

# generic predictions of $\checkmark$ inflation

## single-field slow-roll

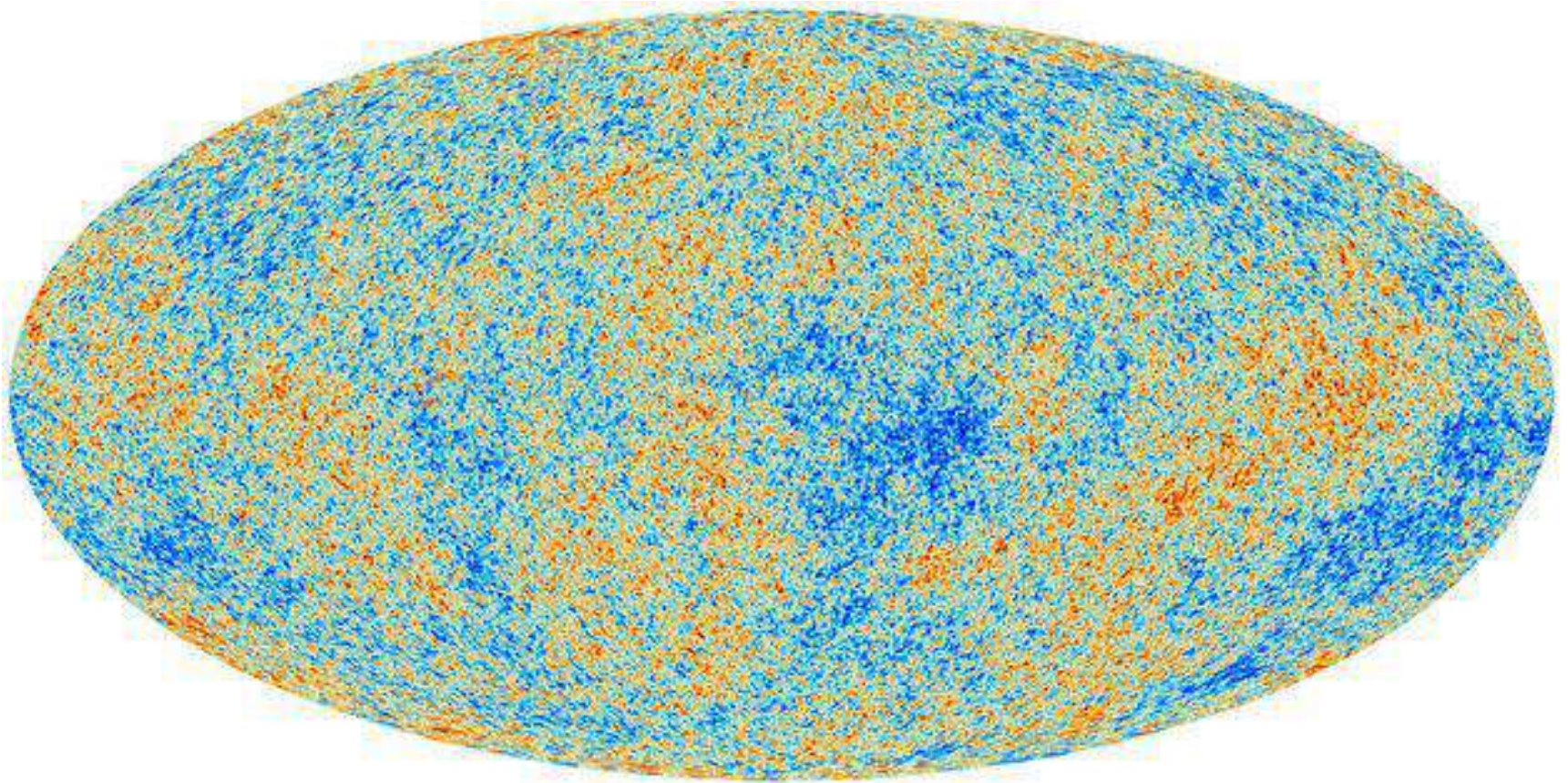
- Spatially **flat** universe
- Almost scale invariant, adiabatic, Gaussian primordial **scalar (curvature)** perturbations
- Almost scale invariant, Gaussian primordial **tensor (gravitational wave)** perturbations



Generates CMB anisotropy  
Origin of galaxies, stars, ...

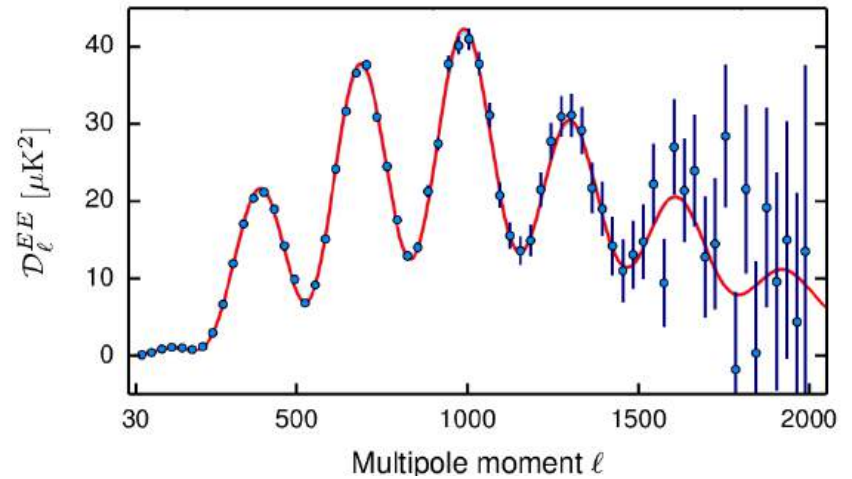
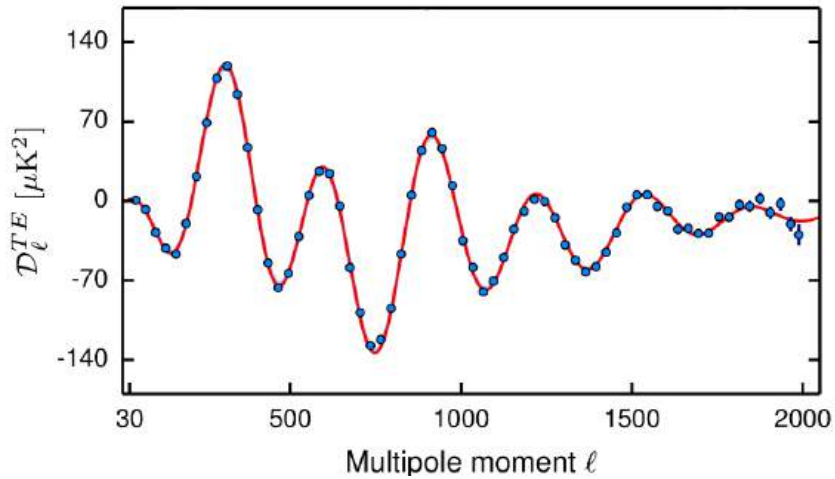
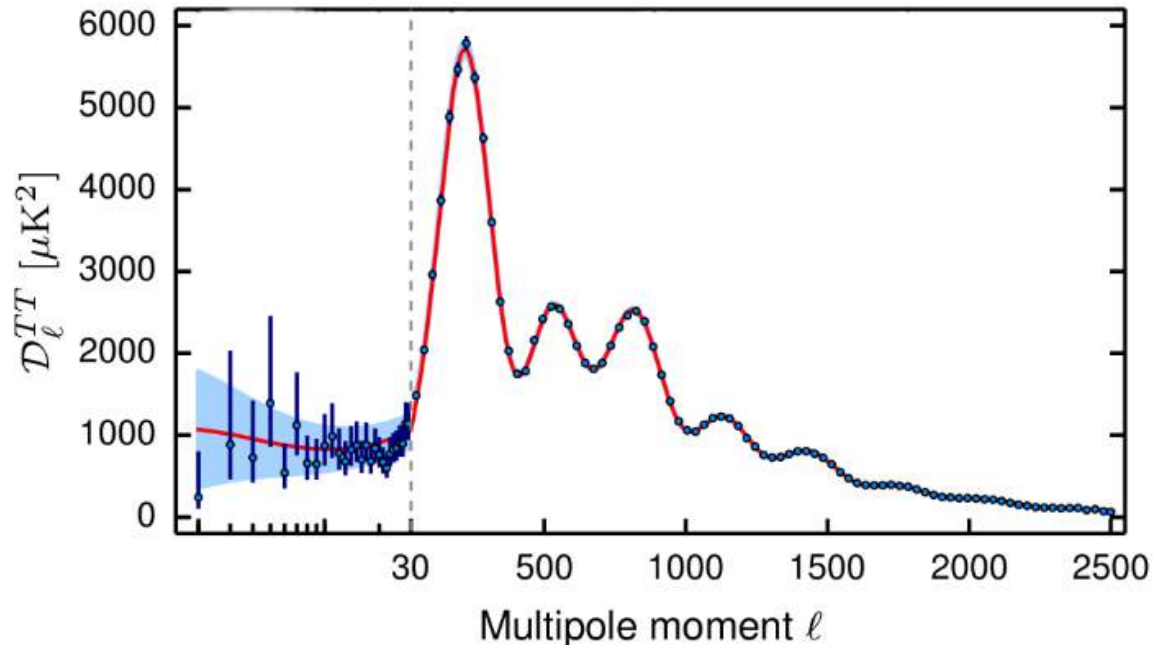
# Observational results

# CMB Full Sky Map by PLANCK



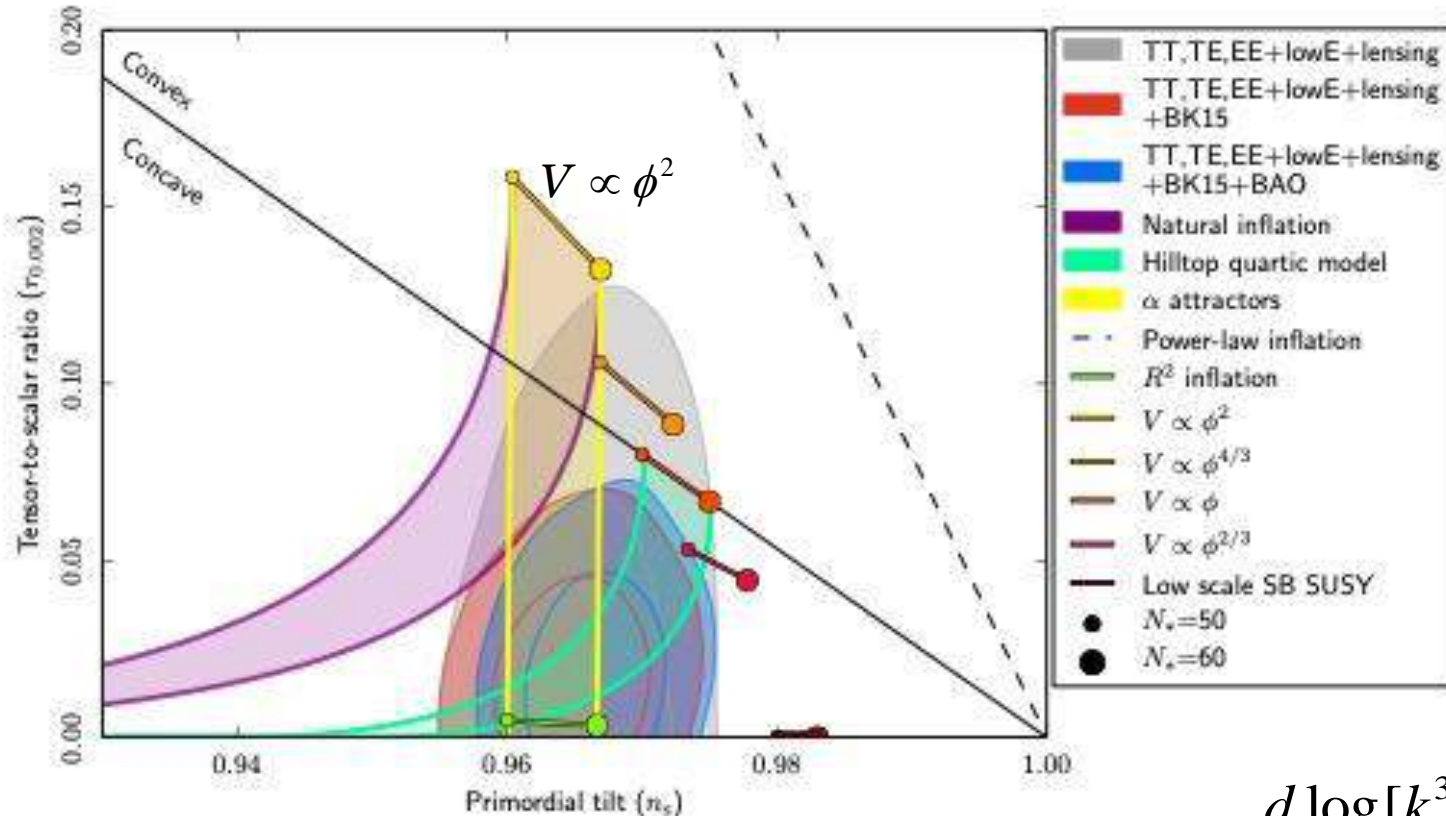


# Planck TT, TE & EE spectrum



# Planck constraints on inflation

Planck 2018 results X



- scalar spectral index:  $n_s \sim 0.965$
- tensor-to-scalar ratio:  $r < 0.03$
- simplest  $V \propto \phi^2$  model is **excluded**

$$n_s - 1 \equiv \frac{d \log[k^3 P_S(k)]}{d \log k}$$

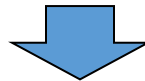
$$r \equiv \frac{P_T(k)}{P_S(k)}$$

The most important message is:

Inflation as the Origin of  
**All Structures**  
in the Universe

# Current status

- scalar spectral index:  $n_s < 1$  at  $\sim 8 \sigma$
- tensor/scalar ratio:  $r < 0.03$  implies  $E_{\text{inflation}} < 10^{16} \text{ GeV}$
- simple, **canonical models** are **almost excluded** ( $m^2\phi^2$  model excluded at  $> 3 \sigma$ )
- **$R^2$  (Starobinsky) model** seems to fit best. **But why?** (large  $R^2$  correction but negligible higher order terms)
- $f_{\text{NL}}^{\text{local}} < O(1)$  suggests (effectively) **single-field slow-roll** (but non-slow-roll models with  $f_{\text{NL}}^{\text{local}} = O(1)$  **not excluded**)



elements of **non-canonicity** seem necessary

Beyond  
(standard model of)  
Inflation

# non-canonical models

- Non-canonical kinetic term? ( $c_s < 1$ ?)

$$P_S \propto \frac{1}{c_s} \quad (c_s: \text{sound speed}), \quad f_{\text{NL}}^{\text{equil}} \propto \frac{1}{c_s^2}$$

Planck:  $c_s > 0.024$  at 95% CL

- non-minimal coupling to gravity?      Higgs inflation?

$$V(\phi) + \xi \phi^2 R \quad \longrightarrow \quad r = \frac{P_T(k)}{P_S(k)} \propto \frac{1}{\xi} \quad \text{Planck: } \xi > \text{O}(10)?$$

- scalar-tensor with derivative couplings?      Horndeski?

$$c_s < 1, \quad c_{s,T} < 1, \quad c_s \neq c_{s,T}$$

 tensor propagation speed

non-existence of Einstein frame?



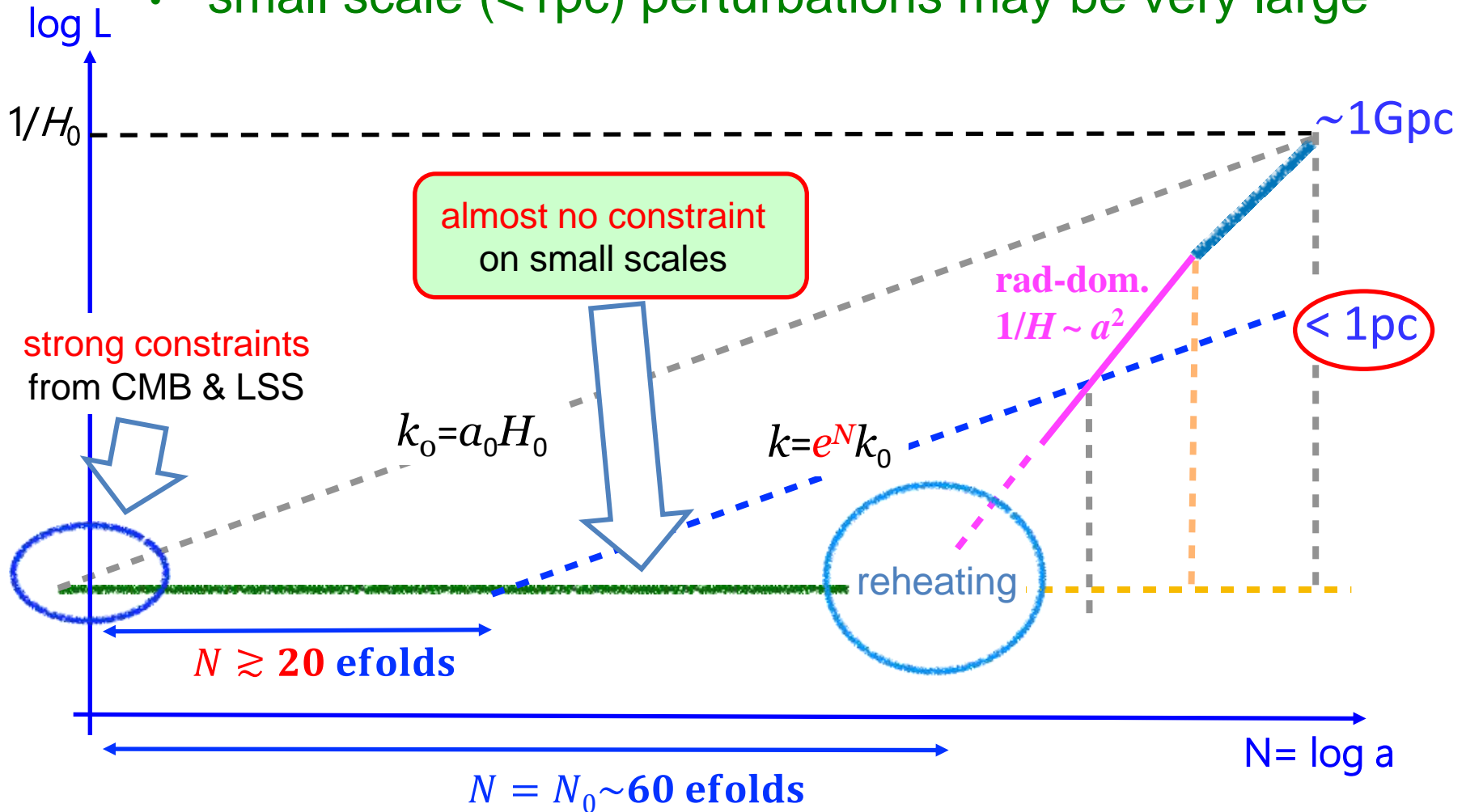
- multi-field models, non-attractor inflation, ...

definition of inflation?

 features in the potential due to other dofs?

# New physics on small scales?

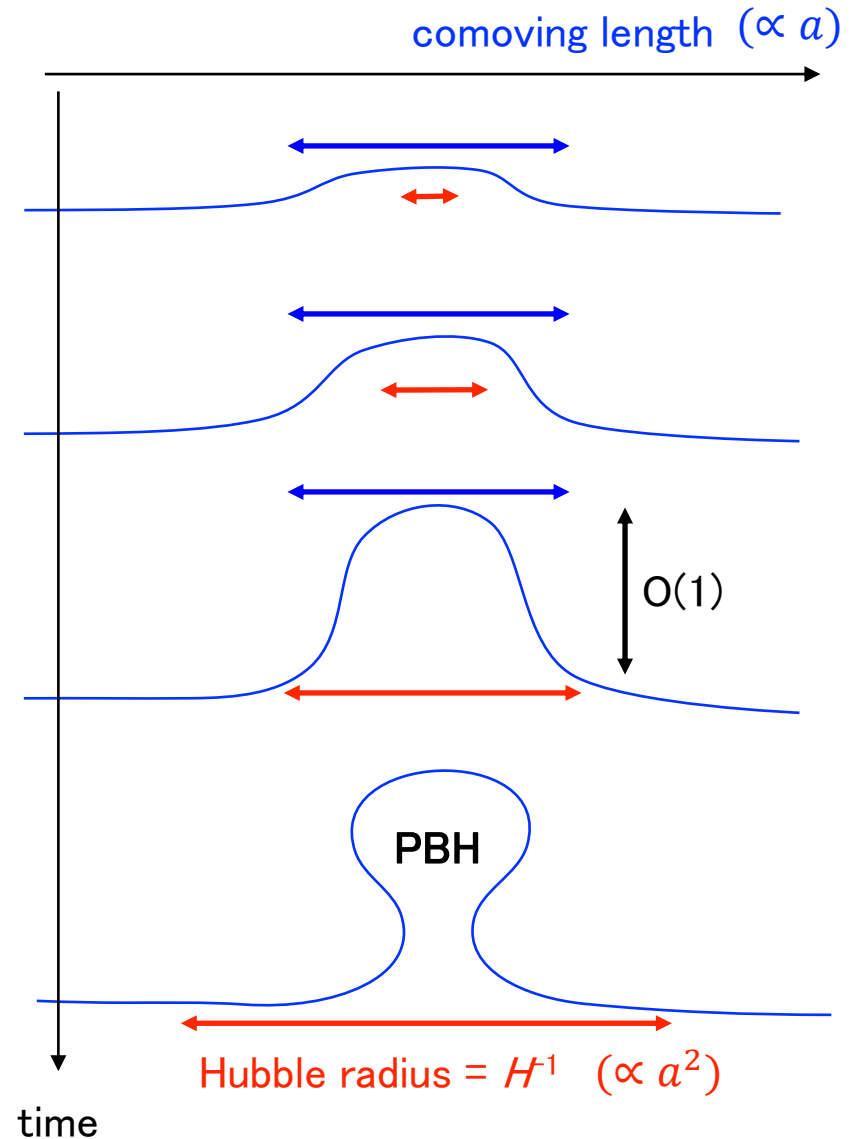
- we have no clues about the late stage of inflation
- small scale (<1pc) perturbations may be very large



# Primordial Black Holes!

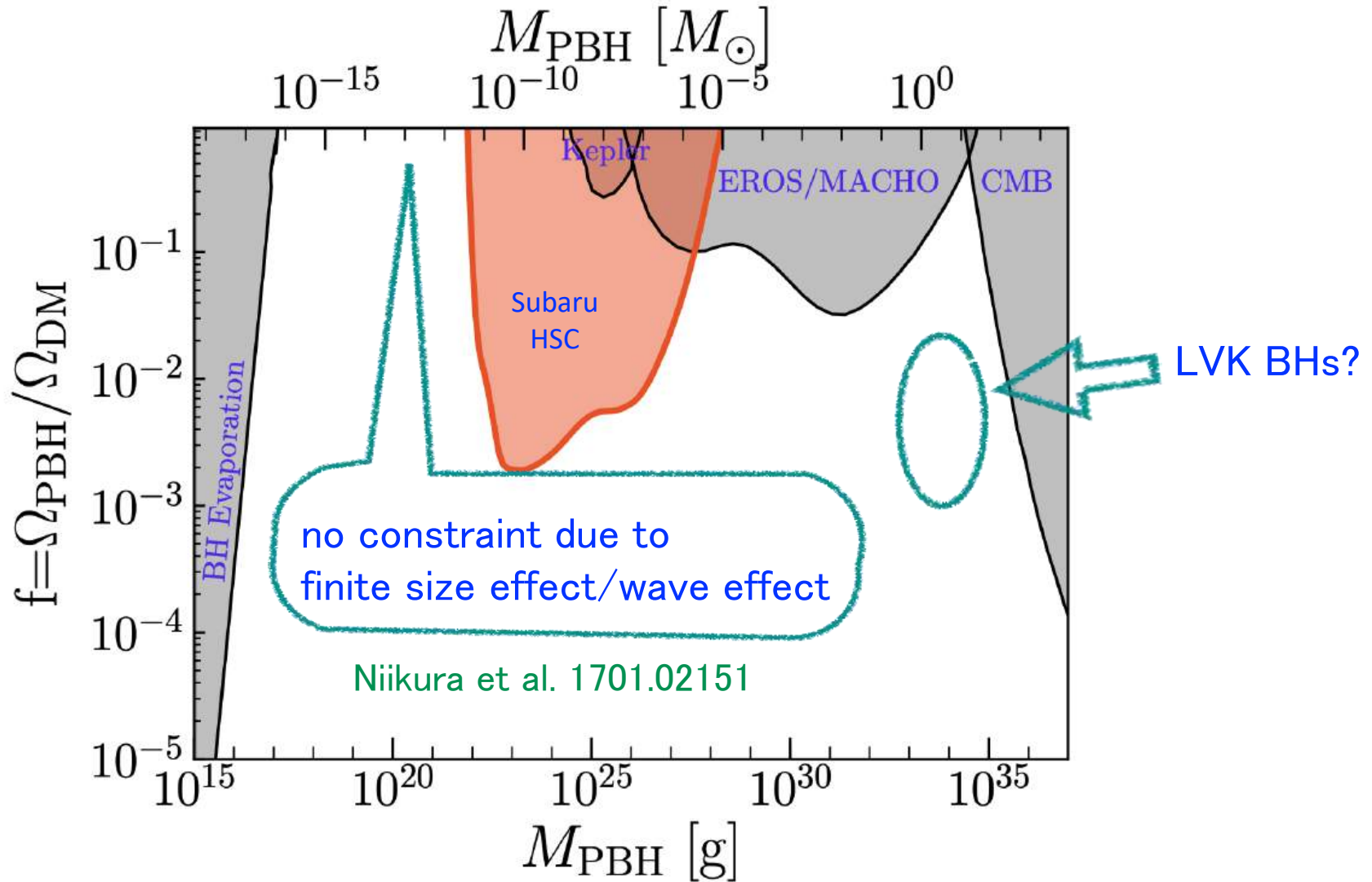
- Primordial Black Holes (**PBHs**) are those formed in the very early universe, conventionally when the universe was **radiation-dominated**.
- Presumably they originate from a large **positive curvature** perturbation **produced during inflation** (which hence should be a rare event).
- For a BH to form during radiation dominance, the perturbation must be  **$O(1)$  on the Hubble horizon scale**.

$$M_{\text{PBH}} \sim M_{\text{horizon}} \\ \sim \left( \frac{100 \text{ MeV}}{T} \right)^2 M_{\odot} \sim \left( \frac{\ell}{1 \text{ pc}} \right)^2 M_{\odot}$$



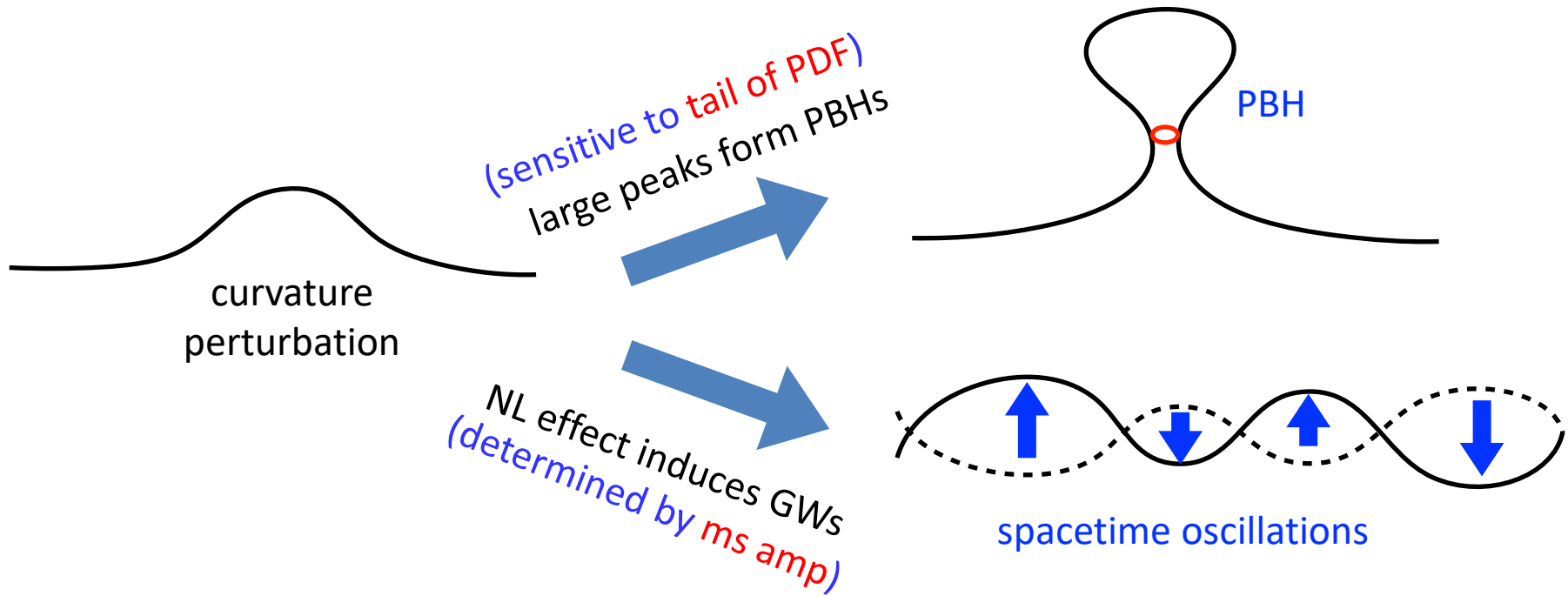


# observational constraints



big window at  $M_{\text{PBH}} \approx 10^{17} - 10^{22} \text{g}$   $\leftrightarrow$   $T_{\text{re-entry}} \sim 10^4 - 10^8 \text{GeV}$

# GWs can capture PBHs!



PBHs = CDM with  $M_{\text{PBH}} \sim 10^{21} \text{g}$   
generates GWs with  $f \sim 10^{-3} \text{Hz}$



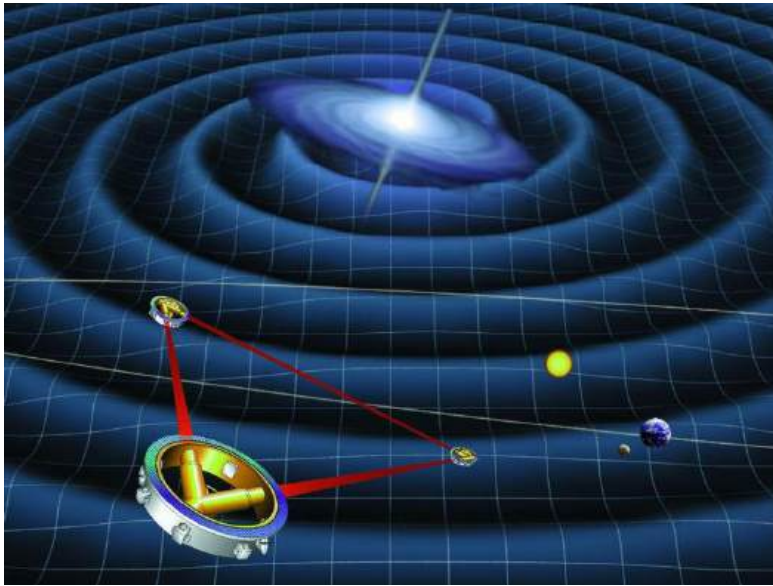
Background GWs  
at LISA band

PBHs = LV BHs with  $M_{\text{PBH}} \sim 10 M_{\odot}$   
generates GWs with  $f \sim 10^{-8} \text{Hz}$



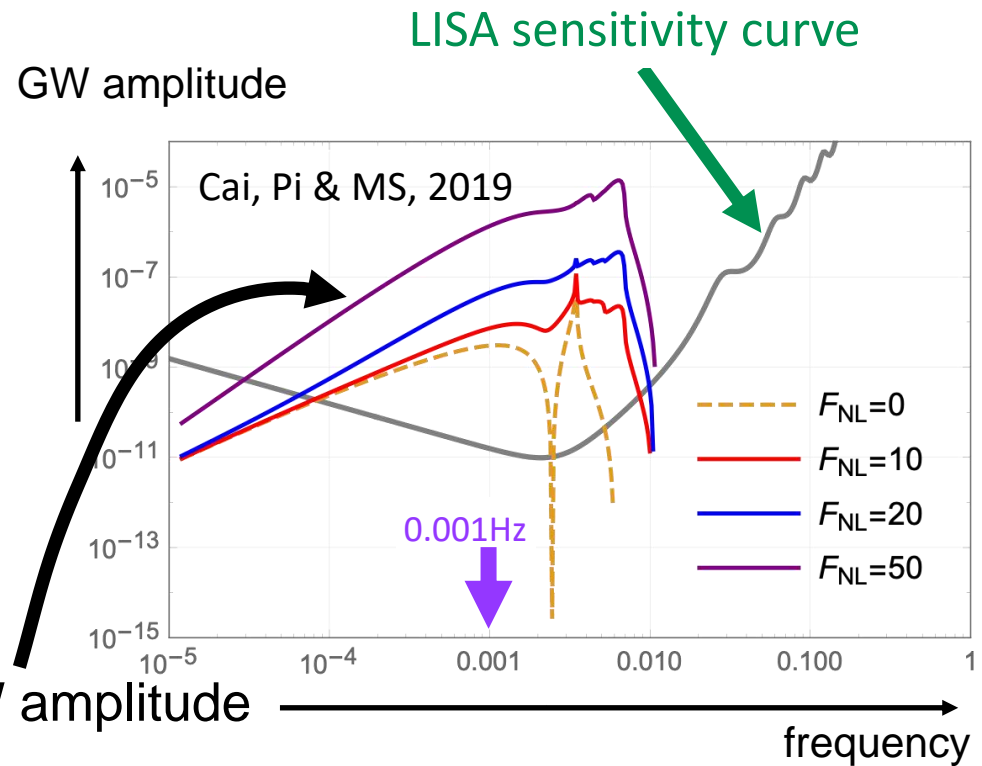
Background GWs  
at PTA band

# GW observatories in space



Taiji 203X? (China)  
arm length: 3,000,000 km

LISA 2035? (ESA+NASA)  
arm length: 5,000,000 km

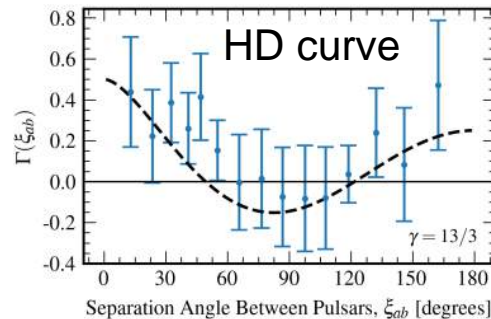
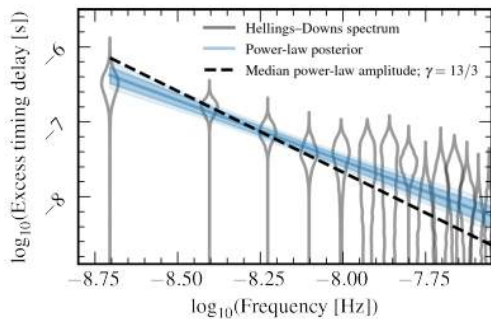


LISA/Taiji will prove/disprove PBH=CDM scenario

# Recent News from NANOGrav + CPTA + EPTA

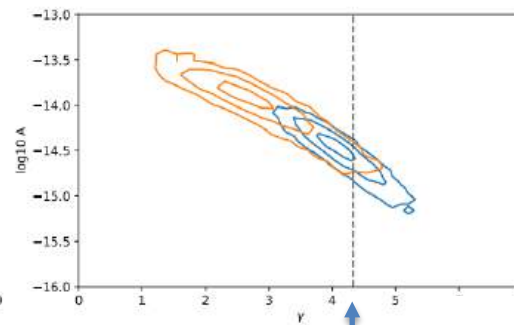
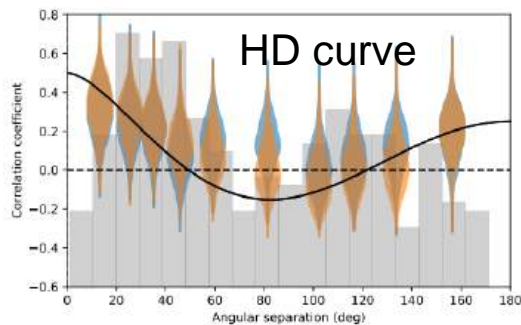
## Evidence for Stochastic GW Background!?

NANOGrav: 2306.16213, EPTA: 2306.16214, CPTA: 2306.16216



NANOGrav 15 yr data

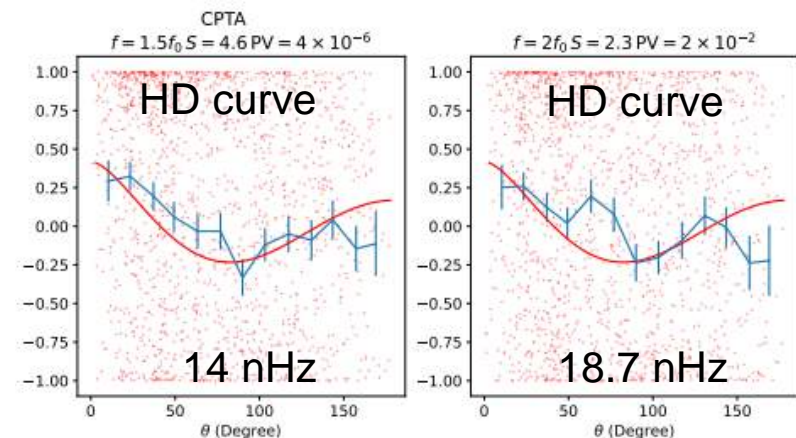
$\gamma = 13/3$ : expected index if SMBH mergers



EPTA 25 yr/10 yr new data

CPTA 3 yr data

frequency dependence

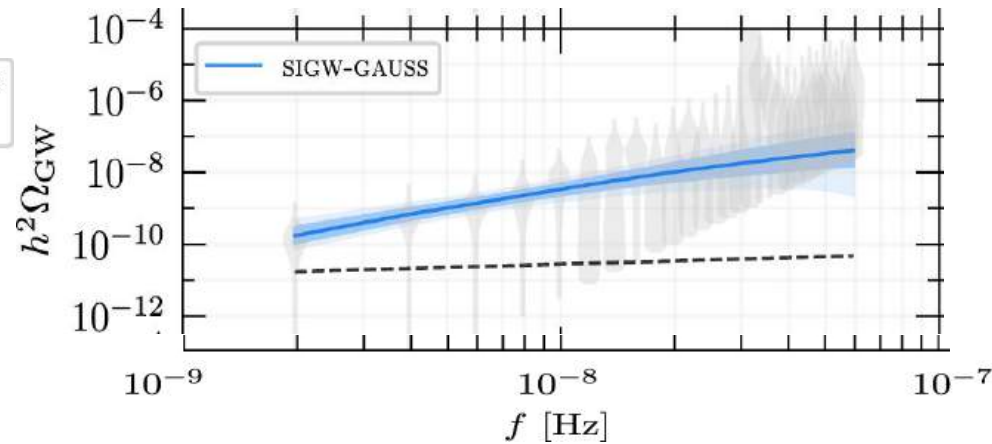
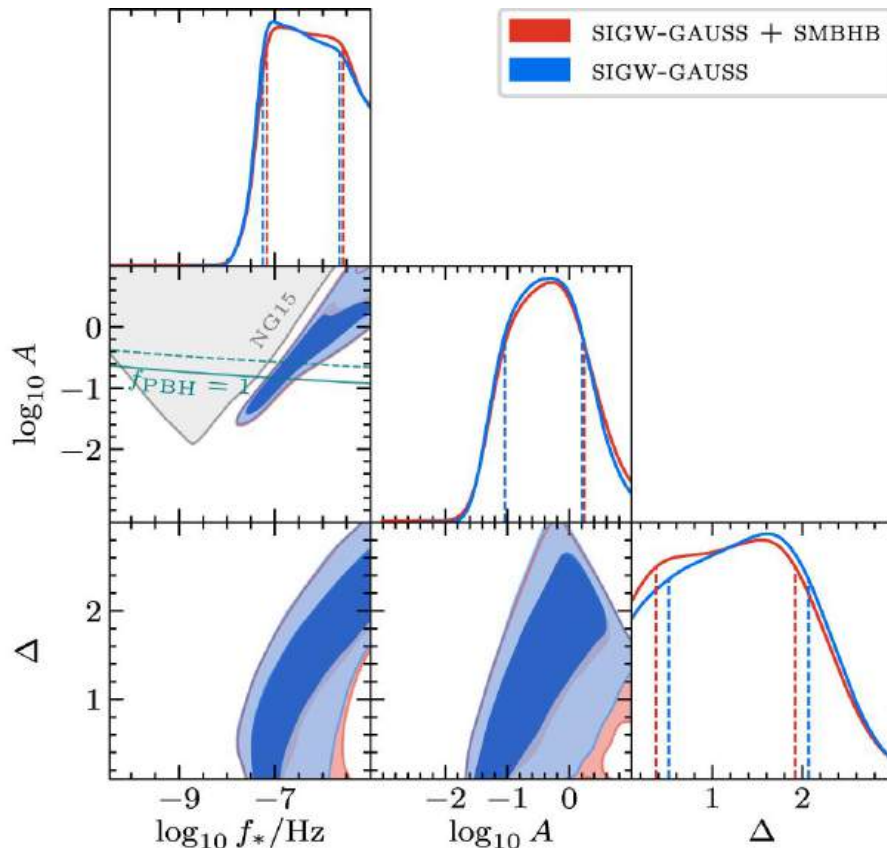


# induced GWs?

2306.16219

If NANOGrav Data = iGWs, with

$$\mathcal{P}_R(k) = \frac{A}{\sqrt{2\pi} \Delta} \exp \left[ -\frac{1}{2} \left( \frac{\ln k - \ln k_*}{\Delta} \right)^2 \right]$$



$$A \sim 10^{-0.4 \pm 0.6}$$

$$\Delta \sim 1.6^{+0.4}_{-1.0}$$

$$f_* \sim 10^{-7} \text{ Hz}$$

if

$$\frac{A}{\sqrt{2\pi} \Delta} \sim 0.1$$

too large!?

$$\Leftrightarrow M_{\text{PBH}} \sim 0.1 M_{\odot}$$

Future issues

- initial condition for inflation, **multiverse?**
- successful **reheating?**
- non-linear effects, **non-Gaussianities?**
- **PBHs, induced GWs?**
- Other **GW signatures?**
- **modified gravity?**
- .....

**Identification of Inflaton!**

# Inflation as the base for exploring Physics of the Early Universe

Entering an era of

Observational/Experimental  
Inflationary Cosmology!



# Happy 60th Birthday, Hitoshi!

