2p2h excitations, MEC, nucleon correlations and other sources of QE-like events

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<u>Outline</u>

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- 2. Neutrino-nucleus inclusive QE scattering: Multinucleon mechanisms, RPA correlations and MiniBooNE M_A puzzle
- 3. Neutrino energy reconstruction
- 4. Conclusions

Further details:

- Alvarez-Ruso L., Hayato Y. and Nieves J.: *Progress and open questions in the physics of neutrino cross sections.:* New J.Phys. 16 (2014) 075015
- Morfin J. G., Nieves J. and Sobzcyk J.T.: Recent Developments in Neutrino/Antineutrino - Nucleus Interactions.: Adv.High Energy Phys. 2012 (2012) 934597
- arXiv:1307.8105: PRD 88 (2013) 113007 ($\nu, \bar{\nu}$ CCQE-like up to 10 GeV)
- arXiv:1302.0703: PLB 721 (2013) 90 ($\bar{\nu}$ CCQE-like)
- arXiv:1204.5404: PRD 85 (2012) 113008 (E_{ν} reconstruct.)
- arXiv:1106.5374: PLB 707 (2012) 72 (v CCQE-like)
- arXiv:1102.2777: PRC 83 (2011) 045501 (CCQE, 2p2h, ...)
- nucl-th/0408005: PRC 70 (2004) 055503 (CCQE)
- hep-ph/0604042: PLB 638 (2006) 325 (Errors in CCQE)
- hep-ph/0511204 : PRC 73 (2006) 025504 (NCQE & MC)

1. Motivation: Neutrino oscillations, neutrino detectors and nuclear cross sections



Electric charges are given in units of the proton's charge. In SI units the electric charge of the proton is 1.60×10⁻¹⁹ coulombs

The energy unit of particle physics is the electronvolt (eV), the energy gained by one electron in crossing a potential difference of one volt. Masses are given in GeV/c² (remember E = mc²) where 1 GeV = 10^9 eV =1.60×10⁻¹⁰ joule. The mass of the proton is 0.938 GeV/c² = 1.67×10⁻²⁷ kg. The strengths of the interactions (forces

Neutrinos

collisions, and many other processes. Any produced neutrino can be described as one of three neutrino flavor states $\nu_{0}, \nu_{\mu},$ or ν_{τ} , labelled by the type of charged lepton associated with its production. Each is a defined quantum mixture of the three definite-mass neutrinos ν_{L} , ν_{M} , and ν_{H} for which currently allowed mass ranges are shown in the table. Further exploration of the properties of neutrinos may yield powerful clues to puzzles about matter and antimatter and the evolution of stars and galaxy structures.

Matter and Antimatter

For every particle type there is a corresponding antiparticle type, denoted by a bar over the particle symbol (unless + or - charge is shown). Particle and antiparticle have identical mass and spin but opposite charges. Some electrically neutral bosons (e.g., Z^0 , γ , and $\eta_c = c\bar{c}$ but not $K^0 = d\bar{s}$) are their own antiparticles

Particle Processes

These diagrams are an artist's conception. Orange shaded areas represent the cloud of gluons.





e

Property

Acts on:

Strength at

Particles experiencing

3×10

Particles mediating:

Gravitational Interaction	Weak Interaction _{(Electro}	Electromagnetic _{oweak)} Interaction	Strong Interacti
Mass – Energy	Flavor	Electric Charge	Color Cha
All	Quarks, Leptons	Electrically Charged	Quarks, GI
Graviton (not yet observed)	W+ W- Z ⁰	γ	Gluons
10-41	0.8		25
10-41	10-4		60



Higgs Boson

ions

The Higgs boson is a critical component of the Standard Model. Its discovery helps confirm the mechanism by which fundamental particles get mass.

Color Charge

Only quarks and gluons carry "strong charge" (also called "color charge") and can have strong interactions. Each quark carries three types of color charge. These charges have nothing to do with the colors of visible light. Just as electrically-charged particles interact by exchanging photons in strong interactions, color-charged particles interact by exchanging gluons.

Quarks Confined in Mesons and Baryons

Quarks and gluons cannot be isolated – they are confined in color-neutral particles called hadrons. This confinement (binding) results from multiple exchanges of gluons among the color-charged constituents. As color-charged particles (quarks and gluons) move apart, the energy in the

color-force field between them increases. This energy eventually is onverted into additional quark-antiquark pairs. The quarks and antiquarks then combine into hadrons: these are the particles seen to emerge

Two types of hadrons have been observed in nature mesons qq and baryons qqq. Among the many types of baryons observed are the proton (uud), antiproton (uud), and neutron (udd). Quark charges add in such a way as to make the proton have charge 1 and the neutron charge 0. Among the many types of mesons are the pion π^+ ($u\bar{d}$), kaon K⁻ (su), and B⁰ (db).





Driven by new puzzles in our understanding of the physical world, particle physicists are following paths to new wonders and startling discoveries. Experiments may even find extra dimensions of space, microscopic black holes, and/or evidence of string theory.



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Neutral Current (NC

harged Current (CC

Motivation: Details on the axial structure of hadrons in the free space and inside of nuclei, and



Theoretical knowledge of QE and 1π cross sections is important to carry out a precise neutrino oscillation data analysis...

 $^{12}C \rightarrow Liquid scintillators$ $^{16}O \rightarrow Cerenkov detectors$ $^{40}A \rightarrow TPC's$ (time projection

N'

A

 $\frac{d^2 c}{d(z'k')dE'} = \frac{|\vec{k}'|}{|\vec{k}|} \frac{G^2}{4\pi^2} L_{\mu\sigma} W^{\mu\sigma}$ For instance, let's look at $v_1 + A_2 \longrightarrow 1 + X$ $= k'_{\mu}k_{\sigma} + k'_{\sigma}k_{\mu} - g_{\mu\sigma}k \cdot k' + i\epsilon_{\mu\sigma\alpha\beta}k'^{\alpha}k^{\beta}$ \xrightarrow{i} k,r $L_{\mu\sigma}$ k,r k' $W^{\mu\sigma}$ $= W_s^{\mu\sigma} + i W_a^{\mu\sigma}$ $W^{\mu\sigma}_s ~~ \propto ~~ \int {d^3r\over 2\pi} ~{
m Im}~ \left\{ \Pi^{\mu\sigma}_W(q,
ho) + \Pi^{\sigma\mu}_W(q,
ho)
ight\} \Theta(q^0)$ W ρ $W^{\mu\sigma}_{a} \propto \int \frac{d^{3}r}{2\pi} \operatorname{Re} \left\{ \Pi^{\mu\sigma}_{W}(q,\rho) - \Pi^{\sigma\mu}_{W}(q,\rho) \right\} \Theta(q^{0})$ **Basicobject** $|\Pi^{\nu\rho}_{W,Z^0,\gamma}(q,\rho)| \equiv$ Selfenergy of the Gauge Boson (W^{\pm}, Z^0, γ) ivide of the nuclear medium. Perform a Many Body expansion, where the relevant gauge boson absorption modes should be systematically incorporated: absorption by one N, or NN or even 3N, real and virtual (MEC) meson (π , ρ , \cdots) production, Δ excitation, etc...

2. Neutrino-nucleus inclusive QE scattering : MiniBooNE M_A puzzle



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q

 \mathbf{W}^+

MiniBooNE CCQE (PRD 81, 092005)





3:

2 muon events

 $\hookrightarrow e^+ + \nu_e + \bar{\nu}_{\mu}.$





ChPT O(p^3) + single pion electroproduction data: $M_A = 1.014 \pm 0.016$ GeV (V. Bernard, N. Kaiser, and U. G.Meissner, PRL69, 1877 (1992))

• CCQE measurements on deuterium and, to lesser extent, hydrogen targets is M_A = 1.016 ± 0.026 GeV (A. Bodek, S. Avvakumov, R. Bradford, and H. S. Budd, EPJC 53, 349 (2008))



...but key observation (Martini et al., PRC 81, 045502): in most theoretical works QE is used for processes where the gauge boson W^{\pm} or Z^{0} is absorbed by just one nucleon, which together with a lepton is emitted.

However in the recent MiniBooNE measurements, QE is related to processes in which only a muon is detected (ejected nucleons are not detected !) \equiv CCQE-like It discards pions coming off the nucleus, since they will give rise to additional leptons after their decay.

It includes multinucleon processes and others like π production followed by absorption (MBooNE analysis Monte Carlo corrects for these latter events).

CCQE on 12 C



O. Benhar@NuFacT11: [arXiv : 1110.1835] measured electron-carbon scattering cross sections for a fixed outgoing electron angle $\theta = 37^{\circ}$ and different beam energies \in [730, 1501] GeV, plotted as a function of E_e ,



The energy bin corresponding to the top of the QE peak at $E_e = 730$ MeV receives significant contributions from cross sections corresponding to different beam energies and different mechanisms!



Spectral Function (SRC) do not populate the <u>dip region</u>

- Spectral Function (SF) + Final State Interaction (FSI): dressing up the nucleon propagator of the hole (SF) and particle (FSI) states in the *ph* excitation
 - Change of nucleon dispersion relation:
 - * hole \Rightarrow Interacting Fermi sea (SF)
 - * particle \Rightarrow Interaction of the ejected nucleon with the final nuclear state (FSI)

$$G(p) \to \int_{-\infty}^{\mu} d\omega \frac{S_h(\omega, \vec{p}\,)}{p^0 - \omega - i\epsilon} + \int_{\mu}^{+\infty} d\omega \frac{S_p(\omega, \vec{p}\,)}{p^0 - \omega + i\epsilon}$$

The hole and particle spectral functions are related to nucleon self-energy Σ in the medium,

$$G(p) = \frac{n(\vec{p}\,)}{p^0 - \varepsilon(\vec{p}) - i\epsilon} + \frac{1 - n(\vec{p}\,)}{p^0 - \varepsilon(\vec{p}) + i\epsilon}$$

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p+q

 $S_{p,h}(\omega, \vec{p}) = \mp \frac{1}{\pi} \frac{\operatorname{Im}\Sigma(\omega, \vec{p})}{[\omega^2 - \vec{p}^2 - M^2 - \operatorname{Re}\Sigma(\omega, \vec{p})]^2 + [\operatorname{Im}\Sigma(\omega, \vec{p})]^2}$ with $\omega \ge \mu$ or $\omega \le \mu$ for S_p and S_h , respectively (μ is the chemical potential).

Basic object: nucleon selfenergy in the medium: Σ (from realistic NN interactions in the medium).

This nuclear effect is additional to those due to RPA (long range) correlations !!

The simplest description \Rightarrow relativistic Fermi Gas with non interacting fermions $\Sigma = 0$,

$$S_{p}(\omega, \vec{p}) = \frac{\theta(|\vec{p}| - k_{F})}{2E(\vec{p})}\delta(\omega - E(\vec{p}))$$
$$S_{h}(\omega, \vec{p}) = \frac{\theta(k_{F} - |\vec{p}|)}{2E(\vec{p})}\delta(\omega - E(\vec{p}))$$

and only Pauli blocking is incorporated!!

Local vs Global Fermi Gas ?

$$k_F^{p,n}(r) = [3\pi^2 \rho^{p,n}(r)]^{1/3}$$
 vs $k_F^{p,n}$ = cte ?



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Local vs Global Fermi Gas ?

 $k_F(r) = \left[3\pi^2 \rho(r)/2\right]^{1/3}$ vs k_F = cte ? $S_h(\omega, \vec{p}) = \delta(\omega - E(\vec{p}))\theta(k_F - |\vec{p}|)/2\omega$ $n^{\mathrm{RgFG}}(|\vec{p}\,|) = \frac{4V}{(2\pi)^3} \int d\omega 2\omega S_h(\omega, \vec{p}\,)$ $= \frac{3A}{4\pi k_F^3} \theta(k_F - |\vec{p}|)$ $n^{\text{LDA}}(|\vec{p}\,|) = 4 \int \frac{d^3r}{(2\pi)^3} \int d\omega 2\omega S_h(\omega, \vec{p}\,)$ $= 4 \int \frac{d^3r}{(2\pi)^3} \theta(\mathbf{k_F}(\mathbf{r}) - |\vec{p}|)$

 $\left(\int d^3p\,n(|\vec{p}\,|)=A\right)$

Convolution approach: C. Ciofi degli Atti, S. Liuti, and S. Simula, PRC 53, 1689 (1996), provide realistic distribution due to short-range correlations !



Superscaling function does not take into account <u>dip region</u> events

Superscaling approach: Inclusive electron scattering data exhibit interesting systematics that can be used to predict (anti)neutrino-nucleus cross sections (T. Donnelly and I. Sick, PRL 82, 3212 (1999)),

$$f = k_F \frac{\frac{d\sigma}{d\Omega' dE'}}{Z\sigma_{ep} + N\sigma_{en}}$$

•
$$f = f(\psi')$$
, with $\psi' = \psi'(q^0, |\vec{q}|)$

• *f* is largely independent of the specific nucleus

Scaling violations reside mainly in R_T : excitation of resonances, meson production, 2p2h mechanisms and even the tail of DIS. An experimental scaling function $f(\psi')$ could be reliably extracted by fitting the data for R_L .

 ν QE cross sections can be calculated with the simple RgFG model followed by the replacement $f_{RgFG} \rightarrow f_{exp}$.





Solution:

Multinucleon mechanisms and Long range RPA correlations (renormalization of the interactions inside of a nuclear medium...)

Polarization (RPA) effects. Substitute the ph excitation by an RPA response: series of ph and Δh excitations.



1. Effective Landau-Migdal interaction

$$V(\vec{r}_{1}, \vec{r}_{2}) = c_{0}\delta(\vec{r}_{1} - \vec{r}_{2}) \left\{ f_{0}(\rho) + f_{0}'(\rho)\vec{\tau}_{1}\vec{\tau}_{2} + g_{0}(\rho)\vec{\sigma}_{1}\vec{\sigma}_{2}\vec{\tau}_{1}\vec{\tau}_{2} + g_{0}'(\rho)\vec{\sigma}_{1}\vec{\sigma}_{2}\vec{\tau}_{1}\vec{\tau}_{2} \right\}$$

Isoscalar terms do not contribute to CC 2. S = T = 1 channel of the *ph-ph* interaction \rightarrow s longitudinal (π) and transverse (ρ) + SRC

 $g_0'\vec{\sigma}_1\vec{\sigma}_2\vec{\tau}_1\vec{\tau}_2 \to [V_l(q)\hat{q}_i\hat{q}_j + V_t(q)(\delta_{ij} - \hat{q}_i\hat{q}_j)]\,\sigma_1^i\sigma_2^j\vec{\tau}_1\vec{\tau}_2$

$$V_{l,t}(q) = \frac{f_{\pi NN,\rho NN}}{m_{\pi,\rho}^2} \left(F_{\pi,\rho}(q^2) \frac{\vec{q}^2}{q^2 - m_{\pi,\rho}^2} + g'_{l,t}(q) \right)$$

3. Contribution of Δh excitations important





RPA corrections strongly decrease as the neutrino energy increases. However, their effects might account for a low Q^2 deficit of CCQE events and affect the σ_{μ}/σ_e ratio (~ 5 %)





Inclusive Muon (Capture: Γ	$\left[(A_Z - \mu^-)^{1s}_{\text{bound}} \right]$
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			— (1.1)	(-Exp -Tb) -Exp
	Pauli $[10^4 \ s^{-1}]$	RPA $[10^4 s^{-1}]$	Exp $[10^4 s^{-1}]$	$\left(\Gamma^{\text{Exp}} - \Gamma^{\text{TH}}\right) / \Gamma^{\text{Exp}}$
^{12}C	5.42	3.21	3.78 ± 0.03	0.15
^{16}O	17.56	10.41	10.24 ± 0.06	-0.02
^{18}O	11.94	7.77	8.80 ± 0.15	0.12
23 Na	58.38	35.03	37.73 ± 0.14	0.07
40 Ca	465.5	257.9	252.5 ± 0.6	-0.02
44 Ca	318	189	179 ± 4	-0.06
75 As	1148	679	609 ± 4	-0.11
^{112}Cd	1825	1078	1061 ± 9	-0.02
$^{208}\mathrm{Pb}$	1939	1310	1311 ± 8	0.00



RPA vs SF effects: Differential cross sections for the CCQE reaction on ¹²C averaged over the MiniBooNE flux

(Alvarez-Ruso L et al., 2009 AIP Conf. Proc. 1189 151)



It depends on the specific kinematics and observable !









(e)

(ſ)

2

(d)

 π

Meson Exchange Contribution





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(Watson's theorem)



MiniBooNE <u>CCQE-like</u> double differential cross section $\frac{d^2\sigma}{dT_{\mu}d\cos\theta_{\mu}}$

We define a merit function and consider our QE+2p2h results

$$\chi^{2} = \sum_{i=1}^{137} \left[\frac{\lambda \left(\frac{d^{2} \sigma^{exp}}{dT_{\mu} d \cos \theta} \right)_{i} - \left(\frac{d^{2} \sigma^{th}}{dT_{\mu} d \cos \theta} \right)_{i}}{\lambda \Delta \left(\frac{d^{2} \sigma}{dT_{\mu} d \cos \theta} \right)_{i}} \right]^{2} + \left(\frac{\lambda - \mathbf{1}}{\Delta \lambda} \right)^{2},$$

that takes into account the global normalization uncertainty ($\Delta \lambda = 0.107$) claimed by the MiniBooNE collaboration.

We fit λ to data with a fixed value of M_A (=1.049 GeV). We obtain $\chi^2/\#$ bins =52/137 with $\lambda = 0.89 \pm 0.01$.

The microscopical model, with no free parameters, agrees remarkably well with data! The shape is very good and χ^2 strongly depends on λ , which is strongly correlated with M_A .





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the MB 2D dataset, which is however described by the combination of both nuclear mechanisms!





V. Lyubushkin et al. (NOMAD Collaboration), Eur. Phys. J. C 63, 355 (2009). In the two-track sample, which is primarily Q^2 above 0.3 GeV², a large fraction of the **2p2h component**, as well as QE and pion production where the hadrons rescattered as they exited the nucleus, are **rejected**.

It is observed a relative **deficit at** $Q^2 = 0.3$ and excess at 1.5 GeV² compared to QE without RPA. If the first two or three points are eliminated, the distribution will be consistent with $M_A \sim 1.2$ GeV.

Dependence of the 2p2h contribution on $\cos \theta_{\mu}$







- Differences with the work of Martini et al. (PRC80,065501)
 1. Similar for the 2p2h contributions driven by Δh excitation (both groups use the same model for the Δ-selfenergy in the medium).
 - 2. Martini et al. do not consider 2p2h contributions driven by contact, pion pole and pion in flight terms.
 - 3. Martini et al. give approximate estimates (no microscopical calculation) for the rest of 2p2h contributions [relate them to the absorptive part of the *p*-wave pion-nucleus optical potential at threshold or to a microscopic calculation by Alberico et al. (Annals Phys. 154, 356) specifically aimed at the evaluation of the 2p-2h contribution to the isospin spin-transverse response, measured in inclusive (*e*, *e'*) scattering].

This 2p2h parametrization includes MEC effects driven by the vector current !

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Martini et al. model does not account for the <u>axial</u> and <u>axial-vector</u> <u>interference</u> contributions !

(2)

(5)

(4)

(3')

(3)

(6)



Martini et al., predictions look consistent with MiniBooNE data ..., but their estimate rely on some computation of the 2p2h mechanisms for (e, e')(Alberico et al.,) \Rightarrow no info on axial part of the interaction!



...however our predictions for the 2p2h contribution would favor a global normalization scale of about 0.9. This would be consistent with the Mini-BooNE estimate of a total normalization error of 10.7%.





term



MEC-ISC interference term

number of hole lines (density) = 3



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 π

Important ? Benhar, Lovato, Rocco [PRC 92 (2015) 024602]

- IFIC 2p2h calculation does not incorporate these terms.
- Martini et al. predictions are based on a 2p2h calculation for (e, e'X) [Alberico et al.,] that accounts for such contributions (only vector current)

3. Neutrino energy reconstruction

Neutrino beams ARE NOT monochromatic. For QE-like events, only the charged lepton is observed and the only measurable quantities are then its direction (scattering angle θ_{μ} with respect to the neutrino beam direction) and its energy E_{μ} . The energy of the neutrino that has originated the event is unknown. Assuming QE dynamics is defined a "reconstructed" energy

 $E_{\rm rec} = \frac{ME_{\mu} - m_{\mu}^2/2}{M - E_{\mu} + |\vec{p}_{\mu}|\cos\theta_{\mu}}$

(genuine quasielastic event on a nucleon at rest, ie. $E_{\rm rec}$ is determined by the QE-peak condition $q^0 = -q^2/2M$). Note that each event contributing to the flux averaged double differential cross section $d\sigma/dE_{\mu}d\cos\theta_{\mu}$ defines <u>unambiguously</u> a value of $E_{\rm rec}$. The actual ("true") energy, E, of the neutrino that has produced the event will not be exactly $E_{\rm rec}$.

Flux-folded $d\sigma/dT_{\mu}d\cos\theta_{\mu} \stackrel{!}{\hookrightarrow}$ CCQE-like unfolded $\sigma(E)$

Unfolding procedure needs theoretical input!

$$P_{\text{true}}(E) = \int dE_{\text{rec}} \underbrace{P_{\text{rec}}(E_{\text{rec}})}_{\text{EXP}} \underbrace{P(E|E_{\text{rec}})}_{theory!}$$

 $P_{\rm rec}(E_{\rm rec})$ is the *pd* of measuring an event with reconstructed energy $E_{\rm rec}$. $P(E|E_{\rm rec})$ is, given an event of reconstructed energy $E_{\rm rec}$, the conditional *pd* of being produced by a neutrino of energy *E*. ...using Bayes's theorem $P(E|E_{\rm rec})$ could be related to

 $P(E_{\rm rec}|E)$ is determined by

$$\frac{d\sigma}{dE_{\rm rec}}(E;E_{\rm rec})$$





$$\begin{split} \left[\langle \sigma \rangle P_{\rm rec}(E_{\rm rec}) \right]_{\rm Exp} &\sim \\ & \int \left(\frac{d\sigma}{dE_{\rm rec}} (E'; E_{\rm rec}) \right|_{\rm QE+RPA,}^{M_A = 1.049 \text{ GeV}} \\ & + \frac{d\sigma^{2\rm p2h}}{dE_{\rm rec}} (E'; E_{\rm rec}) \right) \Phi(E') dE' \end{split}$$
and

$$\underbrace{\left[\frac{d\sigma/dE_{\rm rec}(E;E_{\rm rec})}{\int dE''\Phi(E'')d\sigma/dE_{\rm rec}(E'';E_{\rm rec})}\right]}$$

ONLY QE $,M_A=1.32 \text{ GeV}$ and noRPA

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Martini, Ericson, Chanfray [Phys.Rev. D87 (2013), 013009]

Conclusions

- We have analyzed the MiniBooNE CCQE $\frac{d^2\sigma}{dT_{\mu}d\cos\theta_{\mu}}$ data using a theoretical model that has proved to be quite successful in the analysis of nuclear reactions with electron, photon and pion probes and <u>contains no additional free</u> parameters.
- RPA and multinucleon knockout have been found to be essential for the description of the data.
- MiniBooNE ν and $\bar{\nu}$ CCQE-like data are fully compatible with former determinations of M_A in contrast with several previous analyses. We find, $M_A = 1.08 \pm 0.03$.

- Because of the the multinucleon mechanism effects, the algorithm used to reconstruct the neutrino energy is not adequate when dealing with quasielastic-like events.
- The inclusion of nucleon-nucleon correlation effects in the RPA series yields a much larger shape distortion toward relatively more high- q^2 interactions, with the 2p2h component filling in the suppression at very low q^2 .