# Systematics for Atmospheric Neutrinos in Super-Kamiokande and Hyper-Kamiokande

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#### Introduction

- Some Introductory Material
  - Atmospheric Neutrinos, Super-K and Hyper-K
- Systematic Uncertainties
- ...that hamper mass hierarchy measurements
- Generally speaking most of the content of this talk is relevant to any detector with capability to distinguish atmospheric ve and vµ
  - Most of the relevant systematic errors are from the flux and cross section models and nothing too specific to water Cherenkov
- Some comments about other experiments
- Conclusion



## Neutrino Interactions Relevant for Atmospheric Neutrinos



#### Super-Kamiokande: Introduction



- 40,000 Events
- Statistics limited
- Use a total of ~60 independent error sources (157 errors among all SK periods) including
  - Flux, Cross Section, Detector Response

- 22.5 kton fiducial volume
- Optically separated into
- Inner Detector 11,146 20" PMTs
- Outer Detector 1885 8" PMTs
- No net electric or magnetic fields
- Excellent PID between showering (e-like) and non-showering (m-like)
  - < 1% MIS ID at 1 GeV</p>

	Atm v	Super-K		
	σ <sub>mom</sub> e/μ	5.6% /3.6%		
	$\sigma_{_{dir}}$ e/ $\mu$	3.0° / 1.8°		
а	v CC Purity :			
	FC e-like	94.2 %		
	FC μ-like	95.7 %		
	PC μ-like	98.7 %		

#### Hyper-Kamiokande: Introduction



- Present studies are performed assuming
- 560 kton fiducial volume
- Equivalent detector performance for SK
- No additional improvements relative to Super-K analyses
- Ie, expected improvements in event reconstruction with upcoming reconstruction algoritms are <u>not</u> included
- Similarly no extrapolation of flux and cross section systematics

Atm v	Hyper-K			
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#### Super-K Atmospheric v Analysis Samples



#### Super-K Atmospheric v Analysis Samples



#### Searching for Three-Flavor Effects: Oscillation probabilities ~100 km **Cosine Zenith Angle Cosine Zenith Angle** $P(\nu_{\mu} \rightarrow \nu_{\mu})$ $P(v_{\mu} \rightarrow v_{e})$ 0.9 0.6 0.8 0.5 0.5 0.5 0.7 0.6 0.4 0 0.5 0.3 0.4 0.3 0.2 -0.5 -0.5 0.2 0.1 0.1 10<sup>2</sup> 10<sup>2</sup> 10 ~10,000 km<sup>1</sup> 10 Energy [GeV] Energy [GeV] "Sub-GeV" "Multi-Ge

- Key Points
- No  $v_{\mu} \rightarrow v_{e}$  Appearance above ~20 GeV,
- Resonant oscillations between 2-10 GeV (for v or  $\overline{v}$  depending upon MH)
- No oscillations above 200 GeV
- No oscillations from downward-going neutrinos above ~5 GeV
- Expect effects in most analysis samples, largest in upward-going  $v_{a}$



#### Super-Kamiokande Atmospheric v Analysis Results



#### Hyper-Kamiokande Atmospheric v Sensitivity



- Expect better than ~3σ sensitivity to the mass hierarchy using atmospheric neutrinos alone
- $3\sigma$  Octant determination possible if  $\sin^2 2\theta_{23} < 0.99$
- Oscillation parameter uncertainties are significant

## Neutrino Interactions Relevant for Atmospheric Neutrinos



#### **Detector Systematics** v Int. Systematics Flux Systematics All Systematics No Systematics $\Phi v_{e} / \overline{v_{e}} E > 10 \text{ GeV}$ $\Phi v_{e} / \overline{v_{e}} 1 < E < 10 \text{ GeV}$ $\Phi v_{e} / \overline{v_{e}} E < 1 \text{ GeV}$ **Multi-Ring PID** 1 Ring PID $CC v_{\tau}$ DIS $\sigma$ norm **DIS Model** 0.5 1.5 2.5 2 0

#### Systematic Effect on Hierarchy Sensitivity at Super-K

Reduction in  $\Delta\,\chi^2$  Rejction of Wrong Hierarchy Relative To No Systematics

- Sensitivity to the hierarchy is largely affected by uncertainties interaction of high energy neutrinos
- particularly the CC  $v\tau$  background component
- The situation is compounded at Hyper-K

Δχ2, θ23	0.40	0.60
No Syst.	0.81	4.7
Full Syst.	0.59	2.7

#### Tau Background For Mass Hierarchy Error



- Many events in the MH energy region are DIS
  - Means many rings
- Hadronic tau decays will produce many visible particles
  - decay is prompt and granularity of the detector is not enough to resolve the tau
  - Many overlapping rings tend to look like electron neutrinos
- Currently the cross section is assigned an uncertainty of 25%, based on model comparisons



#### Tau Cross Section Error Mitigation

- Currently this error is constrained only somewhat using sidebands in the SK analysis
   However, in a separate analysis CC ντ interactions have been identified in the SK data at 3.8σ
  - Method is based on a neural network which works to identify hadronic  $\tau$  decays
- This information can be incorporated into the oscillation analysis to further mitigate the effected of these events (work in progress)

#### Particle Identification for Multiple Ring Topologies



Just the same as  $v\tau$  events DIS events with multiple rings often look like electrons

- Both of these events were classified as electrons and fall in the hierarchy signal sample
- The one on the left is DIS  $\nu\mu$  event
- Ring PID uncertainty is estimated to be between 3 and 6% depending upon the sample
- This systematic can be mitigated by making improvements to the reconstruction
- Addition of hit timing to original PID algorithm underway (short term)
- New maximum likelihood-based reconstruction (fiTQun) with both q,t, unhit probability, and many other features nearly ready (mid term, base reconstruction for Hyper-K)

#### **Deep Inelastic Scattering**



- DIS Cross section systematics are taken from comparison of the default NEUT model with the "CKMT" parameterization below 10 GeV
  - Difference between these two model ranges from 10~50%
  - In addition an overall 5% normalization uncertainty is assumed at all energies



- reported next page
- Sensitivity to  $\delta cp$  is mostly through ve  $\leftrightarrow v\mu$  oscillations below 1 GeV
- Though mostly QE interactions, lack of pointing and systematic errors hamper measurements
- Improved separation between neutrino and antineutrino components desired

### Hyper-K's Sensitivity to $\delta_{_{CD}}$ with Atmospheric neutrinos



- Generally sensitivity is affected by systematics directly connected to the low energy neutrino flux
  - To a lesser\_extent the low energy interaction model:
    - CCQE v/v : 5~15% below 500 MeV, CCQE  $v\mu$  /ve : 2~10% below 500 MeV
- Note that the detector performance also becomes important
  - Single ring mis-PID uncertainty is 1~2% below 1330 MeV

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- To a lesser extent the low energy interaction model:
  - CCQE v/v : 5~15% below 500 MeV, CCQE  $v\mu$  /ve : 2~10% below 500 MeV
  - Improved measurements will help
- Note that the detector performance also becomes important
  - Single ring mis-PID uncertainty is 1~2% below 1330 MeV

#### Atmospheric Neutrino Flux Uncertainties (Honda 2011)



- Systematic Errors on the Neutrino flux at Super-K (Hyper-K) are based on both direct estimates from the authors of the Honda 2011 Flux and by comparisons with other models
  - Flavor Ratio uncertainty is 2% below 1 GeV
  - Electron ratio uncertainty is 5% below 10 GeV
- Changes in solar activity affect the low energy neutrino flux, but the exact magnitude depends on the real experimental run
- Here 10% uncertainty is assumed on a run with 35% (65%) 'high' ('low') activity

#### **Other Systematic Error Estimates**



- Systematic errors for the Bartol flux have been estimated using variations in the hadron production model
- Electron ratio uncertainty between 5% and 7% below 5 GeV
- Differing approaches with basically consistent results
- Atmospheric neutrino flux models at low can be improved by
  - Better hadron production measurements at low momenta
    - Most 500 MeV v are produced by cosmic ray protons with between 3 and 30 GeV
  - Better muon measurements at momenta around 500 MeV



#### Some Brief Comments

- Many of the above statements are not specific to water Cherenkov detectors, but with appropriate switch from electron to muon neutrino-specific errors.
  - Notable exceptions include  $v\tau$  cross section
- MINOS(+), collected(s) atmospheric neutrino data, but due to its smaller size (5.4kton) and lower efficiency for tagging electron neutrinos, its limited heavily by statistics
  - The systematics with the largest impact on their oscillation analyses were absolute flux normalization errors (the least well known part of the flux)
- The ICAL experiment intends to make mass hierarchy measurements using atmospheric muon neutrinos, predominantly
- So far using a simplified error model they find the biggest impact on sensitivity from the zenith spectrum shape (5%) of the flux and its energy dependence (5%)
- full analysis is under development



#### Conclusion

- For the most part, atmospheric neutrino measurements are primarily limited by statistics
- However, the future (Hyper-K, DUNE, PINGU) is coming
  - while atmospheric neutrino measurements may not be competitive with long-baseline experiments in measuring  $\theta$ 23 and  $\Delta$ m223
  - They offer strong, complementary sensitivity to sub-leading oscillation effects
- Making the most of atmospheric neutrinos requires better handle over systematic errors, particularly those from the flux and interaction model

### Supplements

#### Primary Systematics for Measurements with Atmospheric $\boldsymbol{\nu}$

- Measurements of  $\delta cp$
- Flux Systematics
  - Nue bar / nue ratio
  - Nubar /Nu ratio generall
- Cross-Section and Reconstruction Errors have about the same impact
  - Single-Ring PID
- Neutrino Mass Hierarchy
  - Flux Uncertainties
    - Flux above 1 GeV
  - Cross Section Uncertainties
    - CC nu tau
    - DIS Cross section
  - Detector Uncertainties
    - PID for Multi Event topologies
- Measurement of  $\Delta m^2$



#### Super-K Atmospheric v Analysis Samples

Fully Contained (FC)



Partially Contained (PC)



Upward-going Muons (Up-





- In total 19 analysis samples: multi-GeV e-like samples are divided into v-like and v-like subsamples
- Dominated by  $v_{\mu} v_{\tau}$  oscillations
- Interested in subdominant contributions to this picture
- le three-flavor effects, Sterile Neutrinos, LIV, etc.

#### Comparison to Current Super-K Exposure

	Hyper-K	SK-IV	
Fiducial Vol.	560 kton	22.5 kton	
Eff. Area	<b>22,000 m</b> <sup>2</sup>	1500 m <sup>2</sup>	
Protons	$1.8 \times 10^{35}$	$7.5  imes 10^{33}$	
Neutrons	$1.4 \times 10^{35}$	$6.0  imes 10^{33}$	
Fully Contained $\mu$ -/e-like	740,200	41,000	
Partially Contained $\mu$ -like	64,400	3,100	
Upward-Going $\boldsymbol{\mu}$	83,400	7,400	

- Event rates are a comparison between 10 years of Hyper-K and 12.8 years of SK
  - Compare: HK beam events 42,000  $v_{\mu}$  and 7,000  $v_{e}$
- Analyses exposures have been adjusted to account for difference in fiducial volume and effective area between Hyper-K and Super-K



#### **CP Violation Sensitivity**



- Limited sensitivity to CP-violation with atmospheric v alone
- Hyper-K can constrain only about 50% of  $\delta_{cp}$  space at 3 $\sigma$ , so one of the CP-conserving points is allowed at that C.L.
- Sensitivity from SubGeV e-like samples becomes limited due to flux and cross section systematics
  - Reconstruction, systematic, and analysis improvements possible and expected to help considerably

#### Oscillation-induced $v_{-}$ measurements



- Super-K has demonstrated the ability to identify  $v_{r}$  events in the atmospheric neutrino data ( $3.8\sigma$ )
- After 10 years Hyper-K will have O(2,000)  $v\tau$  events that can be used to study
  - CC v cross section, leptonic universality, etc.

LBNE

28.5

44.8

~8

#### **Geophysics:** Chemical Composition of Earth's Outer Core



Density profile of the Earth is well known from seismic measurements

- Outer core is thought to be liquid iron+Ni and another light element (Unmeasured!)
- Z/A ratio is important to understanding formation of Earth and its magnetic field
   With 10 years of data Hyper-K can open the field of Earth Spectroscopy
- First Z/A measurement, can exclude lead-based and water-based outer core
- Longer exposures more useful (want to discriminate iron from pyrolite)

#### Hyper-K's sensitivity to Sterile Neutrino Mixing

- Searches for sterile neutrinos with the atmospheric neutrinos are independent of the sterile  $\Delta m^2$  and the number sterile neutrinos
  - For  $\Delta m_s^2 \sim 1 \text{ eV}^2$  oscillations appear fast
- $| U_{\mu 4} |^2$  Induces a decrease in event rate of  $\mu$ -like data of all energies and zenith angles
- **U**<sub> $\tau_4$ </sub> |<sup>2</sup> Shape distortion of angular distribution of higher energy  $\mu$ -like data
- Sensitivity gains are limited by
  - flux and cross section errors
  - Better knowledge during actual hyper-K running can improve these constraints

	Hyper-K	SK-IV
$ U_{\mu4} ^2$	0.029	0.038
U <sub>14</sub>   <sup>2</sup>	0.066	0.164



#### Lorentz-invariance violating oscillations

$$H = UMU^{\dagger} + V_e + H_{LV}$$

$$H_{LV} = \begin{pmatrix} 0 & a_{e\mu}^T & a_{e\tau}^T \\ (a_{e\mu}^T)^* & 0 & a_{\mu\tau}^T \\ (a_{e\tau}^T)^* & (a_{\mu\tau}^T)^* & 0 \end{pmatrix} - \frac{4E}{3} \begin{pmatrix} 0 & c_{e\mu}^{TT} & c_{e\tau}^{TT} \\ (c_{e\mu}^{TT})^* & 0 & c_{\mu\tau}^T \\ (c_{e\tau}^T)^* & (c_{\mu\tau}^T)^* & 0 \end{pmatrix}$$

- Lorentz invariance violating effects can be probed using atmopsheric neutrinos
- Analysis using the Standard Model Extension (SME)
- Effects of LIV controlled by two sets of complex parameters
  - $a_{\alpha\beta}^{T}$  dim = 3 induces oscillation effects ~ L
  - $\mathbf{c}_{\alpha\beta}^{\mathsf{TT}}$  dim = 4 induces oscillation effects ~  $\mathbf{L} \times \mathbf{E}$
- Hyper-K Sensitivity will be ~ 3× better than Super-K



	еµ	ετ	μτ		еµ	ετ	μτ
$\mathfrak{R}(a^T)$	4×10 <sup>-20</sup> MiniBooNE	8×10 <sup>-20</sup> Double Chooz	-	$\mathfrak{R}(c^{TT})$	1×10 <sup>-19</sup> MiniBooNE	1×10 <sup>-17</sup> Double Chooz	-
SK:	2×10 <sup>-23</sup>	4×10 <sup>-23</sup>	6×10 <sup>-24</sup>		2×10 <sup>-26</sup>	1×10 <sup>-24</sup>	5×10 <sup>-27</sup>
HK:	7×10 <sup>-24</sup>	2×10 <sup>-23</sup>	2×10 <sup>-24</sup>		6×10 <sup>-27</sup>	7×10 <sup>-25</sup>	2×10 <sup>-27</sup>
# Combination of Beam and Atmospheric Neutrinos

Beam and atmospheric neutrino data provide largely complimentary sensitivity with several common systematic error sources (cross section, detector)

#### Hyper-K Hierarchy Sensitivity With Beam Inputs



- Plots for true inverted hierarchy are similar
- Large benefit of precise determination of  $\theta_{23}$  and  $\Delta m_{23}^2$  from the beam
  - Example of benefit of combination with beam (neutrino mode only)
  - 1 Year of running:  $1.5 \times 10^{21}$  POT, with 560 kton FV



- Though atmospheric neutrinos have limited sensitivity to CP-violation relative to the beam measurement, the sensitivity is largely complementary
- Multiple baselines and matter effects give weaker degeneracies
- Addition of atmospheric neutrino data to the beam measurement can improve the  $\delta_{cp}$  measurement, particularly in regions of limited sensitivity for the beam

#### **Comment on Leptonic Unitarity**

If the PMNS matrix is unitary we expect these relations (for I = e,  $\mu$ ,  $\tau$ )

Normalization 
$$N_l \equiv \sum_{i=1,2,3} |U_{li}|^2 = 1$$

Triangle

$$T_{lm} \equiv \sum_{i=1,2,3} U_{li} U_{mi}^* = 0$$

flavor states

- The pieces of the matrix that can be probed depend on L and E of neutrino source
- Hyper-K will have both "fixed" L/E (beam) and "varying" L/E (atmospheric v)
- Computations assume that the U<sub>pmns</sub> is unitary, but this can be tested

Eigenstates

Models of new physics (SeeSaw, SUSY) predict U<sub>pmns</sub> is piece of a larger matrix

For LBL vµ disappearance: 
$$|U_{\mu3}|^2 (1 - |U_{\mu3}|) \rightarrow \frac{|U_{\mu3}|^2 (|U_{\mu1}|^2 + |U_{\mu2}|^2|)}{\sum_i |U_{\mu i}|^2|}$$

Hyper-Kamiokande can probe many elements of this matrix by itself with combined beam and atmospheric neutrino measurements

#### **Comment on Leptonic Unitarity**

If Unitarity is NOT assumed, then to first order

$$\begin{cases} \text{LBL } \nu_{\mu} \rightarrow \nu_{\mu} \\ \text{LBL } \nu_{\mu} \rightarrow \nu_{e} \\ \text{ATM } \nu_{\mu} \rightarrow \nu_{\tau} \\ \text{ATM Reson } \nu_{\mu} \rightarrow \nu_{e} \\ \text{ATM Sub-GeV } \nu_{\mu} \rightarrow \nu_{\mu} \end{cases}$$

$$\frac{|U_{\mu3}|^2 (|U_{\mu1}|^2 + |U_{\mu2}|^2|)}{\mathbb{R} \{ U_{\mu3} U_{e3}^* (U_{\mu1} U_{e2}^* + U_{\mu3} U_{e2}^*) \}} \\ \mathbb{R} \{ U_{\mu3} U_{\tau3}^* (U_{\mu1} U_{\tau2}^* + U_{\mu3} U_{\tau2}^*) \} \\ (r |U_{\mu3}|^2 - 1) \\ |U_{\mu1}|^2 |U_{\mu2}|^2$$

- Typically single oscillation channels are sensitive to multiple parts of the mixing matrix
  - true for any experiment
- However atmospheric neutrino measurements have sufficient breadth in L/E to have some sensitivity to both "1-2" and "2-3" columns of the mixing matrix (in principle)
  - separating  $U_{\mu 1}$  and  $U_{\mu 2}$  with (1.0~3.0 GeV data)
- To really make progress improvements in detector performance and systematic errors (flux, cross-section) will be essential

$$\begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix}$$

$$\begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix}$$

#### **Global Study of Leptonic Unitarity**



- Hyper-K Beam + Atmospheric measurements:
- Contribute to normalizations
- $\alpha = \mu$  (red line)
- $\alpha = \tau$  (orange line)
- i = 3 (brown line)

- Contribute to closure of triangles
- $\alpha,\beta$  = e, $\mu$  (cyan line)
- $\alpha,\beta = \mu,\tau$  (orange line)
- i,j = 2,3 (brown line)
- Hyper-K can provide high statistics measurements with full systematic correlations to improve (overconstrain) our understanding of these relations

## **Atmospheric Neutrinos As Background**

## Indirect Dark Matter Searches

#### Annihilation in the Galactic Center



#### Search for WIMP Annihilations in the Galactic Center



- Data and MC are binned in momentum and direction to the galactic center
- Signal for a given WIMP mass appears in only some of analysis samples, but is peaked towards the galactic center
  - Remaining analysis samples help control background and its uncertainty
- Hyper-K's sensitivity should exceed Super-K's limits by a factor of 4~5

#### Search for WIMP Annihilations in the Sun





Black lines are results from SK Red lines are Hyper-K 5.6 Mton year sensitivity

- Similarly the data can be binned in the direction to the sun
- Hyper-K limits are expected to be a factor of 3~5 stronger than Super-K in the absence of a signal
  - Strongest limits on SD interactions at low WIMP masses
  - Possible to exclude hints for SI interactions with hardest channel ( $\tau^+\tau^-$ )

## Other Physics at Hyper-K

- Atmospheric neutrino flux measurements
- Tau neutrino studies (oscillation-induced, cross section)
- Non-standard Neutrino Interactions in atmospheric neutrinos
- Search for WIMP annihilation at the center of the Earth
- Various nucleon decay modes
  - $p \rightarrow \nu \pi^{\scriptscriptstyle +}$  ,  $n \rightarrow \nu \pi^{\scriptscriptstyle 0}$
  - $p \rightarrow l^+M^0$  (other antilepton + meson modes)
  - $n \rightarrow l^{-} M^{+}$  (Recent theoretical interest)
  - B+L modes
  - dinucleon decay modes
- $\blacksquare$  n  $\leftrightarrow$  n oscillations
- Astrophysical neutrino source search
- The statistical uncertainty at Super-K on many of the analyses above is large so generically we can expect improvements at Hyper-K

### Summary

- Atmospheric neutrino physics at Hyper-K is expected to be expansive and precise
- 3σ+ mass hierarchy and octant determination
- Improved sensitivity to exotic oscillation scenarios
- New studies of  $v_{\tau}$  physics and lepton unitarity
- First measurements of Earth core's chemical composition
- In combination with the beam neutrino data further precision is expected
- Nucleon decay physics potential is equally promising
  - Sensitivity to  $p \rightarrow e^+\pi^0$  at  $\tau/B > 10^{35}$  years (only with Hyper-K!)
  - Sensitivity to  $p \rightarrow \nu K^+$  at  $\tau/B > 10^{34}$  years and beyond
  - Order of magnitude increase in sensitivity in many other modes
- The future of non-accelerator measurements at Hyper-K is bright

 $\theta_{13}$  Fixed Analysis (NH+IH) SK Only

#### Preliminary

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Fit (517 dof)	$\chi^2$	$\theta_{13}$	$\delta_{_{\rm cp}}$	$\theta_{_{23}}$	$\Delta m_{_{23}}(x10^{-3})$
SK (NH)	582.4	0.0238	4.19	0.575	2.6
SK (IH)	585.4	0.0238	3.84	0.575	2.3

- Offset in these curves shows the difference in the hierarchies
  Normal hierarchy favored at:  $\chi^2_{NH} \chi^2_{IH} = -3.0$ , not significant
  - Preference for matter over vacuum oscillations at ~1  $\sigma$  (82% C.L.)



 $\chi^{2}_{\rm NH} - \chi^{2}_{\rm IH} = -3.2 \ (-3.0 \ {\rm SK \ only} \ )$ 

**CP** Conservation (sin $\delta_{cp}$  = 0 ) allowed at (at least) 90% C.L. for both hierarchies



Offset in these curves shows the difference in the hierarchies

Normal hierarchy favored at: 
$$\chi^2_{NH} - \chi^2_{IH} = -3.0$$
, not significant



 $\chi^2_{\rm NH} - \chi^2_{\rm IH} = -3.2$  (-3.0 SK only )

**CP** Conservation (sin $\delta_{cp}$  = 0 ) allowed at (at least) 90% C.L. for both hierarchies



Preliminary



Offset in these curves shows the difference in the hierarchies



Offset in these curves shows the difference in the hierarchies

#### Super-K Atmospheric v Event Topologies

Fully Contained (FC)



Partially Contained (PC)



Upward-going Muons (Up-µ)





FC: ~1 GeV , PC: ~10 GeV, UpMu:~ 100 GeV

#### Evidence for $v_{\tau}$ Appearance at Super-K



- Search for events consistent with hadronic decays of au leptons
- Multi-ring e-like events, mostly DIS interactions
- Negligible primary  $v_{\tau}$  flux so  $v_{\tau}$  must be oscillation-induced : **upward-going**

Event selection performed by neural networkTotal efficiency of 60%

$$\beta = 0 : \text{no } v_{\tau}$$

Data = 
$$\alpha(\gamma) \times bkg + \beta(\gamma) \times signal$$

Result	Background	DIS (γ)	Signal
SK-I+II+III	$0.94 \pm 0.02$	$1.10 \pm 0.05$	$1.42 \pm 0.35$

This corresponds to  $180.1 \pm 44.3$  (stat)  $\pm 17.8 \pm 15.2$ 

**180.1**  $\pm$ 44.3 (stat) +17.8-15.2 (sys) events, a **3.8**  $\sigma$  excess (Expected 2.7  $\sigma$  significance)

#### **Changes and Updates to Oscillation Analyses**



- Addition of a new analysis sample
- Multi-Ring e-like Inclusive (Fully Contained)
  - Events that fail the multi-ring e-like selection
- Improved systematic error treatments
  - Updates to cross-section, FSI, detector systematics, 2p-2h (MEC) uncertainties
- 1775 days of SK-IV data: 4581.4 days total
  - (282.2 kton yrs)

#### Multi-Ring e-like Sample Purities

Purity	$CC\nu_{_{\!\!\!\!e}}$	$CCv_{\mu}$	$CCV_{\tau}$	NC
v-like	72.2%	8.3%	3.2%	16.1%
v-like	75.0%	6.5%	2.8%	15.6%
other	30.9%	33.4%	5.1%	30.5%

#### Sterile Neutrino Oscillations in Atmospheric Neutrinos

- Sterile Neutrino searches at SK are independent of the sterile ∆m<sup>2</sup> and the number sterile neutrinos
  - 3+1 and 3+N models have the same signatures in atmospheric neutrinos
  - For  $\Delta m_s^2 \sim 1 \text{ eV}^2$  oscillations appear fast:  $< \sin^2 \Delta m^2 L/E > \sim 0.5$

# ■ | U<sub>µ4</sub> |<sup>2</sup>

- Induces a decrease in event rate of µlike data of all energies and zenith angles
- U<sub>τ4</sub>
- Shape distortion of angular distribution of higher energy µ-like data





#### Search for WIMP Annihilations in the Galactic Center and Sun

- Search for a signal of WIMP annihilation from the Galactic Halo or solar interior assuming several branching modes
  - vv, bb, tt, W<sup>+</sup>W<sup>-</sup>
- Signal would appear atop the ATM v background, peaked towards either the galactic center or towards the sun
- Simulate signal and detector response for all v flavors
- Same analysis samples as oscillation analyses, but binned in angle to the galactic center
  - Use all samples
  - Previous analyses used only Up μ sample
  - Allows probe of both low O(GeV) and high O(TeV) WIMP masses



# Search for WIMP Annihilations : Signal Demonstration O(100) MeV



$$\chi \chi \rightarrow b\overline{b}$$
  
M( $\chi$ ) = 5 GeV / c<sup>2</sup>

- Analysis uses all available data
  - Previous analyses used only the upward-going muons
- 100% branching fraction assumed for each tested annihilation channel
- Equal fluxes at detection •  $\phi(v_e) = \phi(v_\mu) = \phi(v_\tau)$

Detector

Galactic Center

## Search for WIMP Annihilations : Signal Demonstration



$$\chi \chi \rightarrow b\overline{b}$$
  
M( $\chi$ ) = 100 GeV / c<sup>2</sup>

- Analysis uses all available data
  - Previous analyses used only the upward-going muons
- 100% branching fraction assumed for each tested annihilation channel
- Equal fluxes at detection

• 
$$\phi(v_e) = \phi(v_\mu) = \phi(v_\tau)$$

Detector

Galactic Center

#### Search for WIMP Annihilations in the Galactic Center: Results



No evidence for event excess on top of the atmospheric neutrino background

- N.B. ~300 events allowed at 5 GeV test point are distributed over several analysis bins
- Stringent limits placed on the velocity-averaged annihilation cross section down to WIMP masses of 1 GeV ( $\chi\chi \rightarrow vv$ )



- Upgraded detector electronics in SK-IV store all PMT hits in a 500 µsec window after a physics trigger
- Search for the 2.2 MeV gamma from p(n,γ)d
- Search is performed using a neural network built from 16 variables
  - Data and MC show good agreement on atmospheric neutrino sample
- Future: Implement neutron tagging to help distinguish v/v interactions and to reduce proton decay backgrounds

2.2 MeV $\gamma$ Selection	
Efficiency	20.5%
Background / Event	0.018

#### Sterile Neutrino Oscillations in Atmospheric Neutrinos

- Sterile Neutrino searches at SK are independent of the sterile  $\Delta m^2$  and the number sterile neutrinos
  - 3+1 and 3+N models have the same signatures in atmospheric neutrinos
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- Induces a decrease in event rate of µlike data of all energies and zenith angles
- | U<sub>τ4</sub> |<sup>2</sup>
- Shape distortion of angular distribution of higher energy µ-like data

	Μ	NS		Sterile	2
(	$U_{e1}$	$U_{e2}$	$U_{e3}$	$U_{e4}$	)
	$U_{\mu 1}$	$U_{\mu 2}$	$U_{\mu 3}$	$U_{\mu4}$	
	$U_{\tau 1}$	$U_{\tau 2}$	$U_{\tau 3}$	$U_{\tau 4}$	
	$U_{s1}$	$U_{s2}$	$U_{s3}$	$U_{s4}$	
	÷	÷	÷	÷	·)



#### Sterile Oscillations Results PRD.91.052019 (2015)



- Turning off sterile matter effects while preserving standard three-flavor oscillations provides a pure measurement of | U<sub>14</sub> |<sup>2</sup>
- Using sterile matter effects, but decoupling  $v_{e}$  oscillations provides a joint measurement of  $| U_{\mu 4} |^2$  and  $| U_{\tau 4} |^2$ , with a slightly biased estimate of the former
- Using SK-I+II+III+IV data ( 4438 days)  $| \bigcup_{\mu 4} |^2 < 0.041$  at 90% C.L.  $| \bigcup_{\tau 4} |^2 < 0.18$  at 90% C.L.

## Tests of Lorentz Invariance

 $H = UMU^{\dagger} + V_e + H_{LV}$ 

$$\pm \begin{pmatrix} 0 & a_{e\mu}^{T} & a_{e\tau}^{T} \\ (a_{e\mu}^{T})^{*} & 0 & a_{\mu\tau}^{T} \\ (a_{e\tau}^{T})^{*} & (a_{\mu\tau}^{T})^{*} & 0 \end{pmatrix} - E \begin{pmatrix} 0 & c_{e\mu}^{TT} & c_{e\tau}^{TT} \\ (c_{e\mu}^{TT})^{*} & 0 & c_{\mu\tau}^{TT} \\ (c_{e\tau}^{TT})^{*} & (c_{\mu\tau}^{TT})^{*} & 0 \end{pmatrix}$$

- Lorentz invariance violating effects can be probed using atmopsheric neutrinos
  - Focus here on isotropic effects
  - (sensitive to sidereal effects as well...)
- Analysis using the Standard Model Extension (SME)
  - Not a perturbative calculation
  - Effects computed using full solutions of the Hamiltonian
- Effects of LIV controlled by two sets of complex parameters
  - $a_{\alpha\beta}^{T}$  dim = 3 induces oscillation effects ~ L
  - $\mathbf{c}_{\alpha\beta}^{\mathsf{TT}}$  dim = 4 induces oscillation effects ~  $\mathbf{L} \times \mathbf{E}$



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#### Constraints on Lorentz Invariance Violating Oscillations: 90% C.L.



SK-I+II+III+IV : 4438 days of data

PRD.91.052019 (2015)

- Perform separate fits on both hierarchy assumptions for each coefficient and each sector : eµ , e\tau,  $\mu\tau$
- No indication of Lorentz invariance violation
  - Limits placed on the real and imaginary parts of **6 parameters**  $\leq O(10^{-23})$
  - New limits on  $\mu\tau$  sector, improvements by **3 to 7** orders of magnitude over existing limits



Systematic error			Fit value (%)	σ (%)
Flux normalization	$E_{\nu} < 1 \mathrm{GeV}^{\mathrm{a}}$		21	25
	$E_{\nu} > 1 \text{ GeV}^{b}$		1.7	15
$(\nu_{\mu}+\bar{\nu}_{\mu})/(\nu_{e}+\bar{\nu}_{e})$	$E_{\nu} < 1 \mathrm{GeV}$		-0.25	2
	$1 < E_{\nu} < 10 \text{ GeV}$		-0.26	3
	$E_{\nu} > 10 \text{ GeV}^{c}$		6.7	5
$\bar{\nu}_e/\nu_e$	$E_{\nu} < 1 \mathrm{GeV}$		2.5	5
	$1 < E_{\nu} < 10 \text{ GeV}$		2.6	5
	$E_{\nu} > 10 \text{ GeV}^{d}$		2.6	8
$\bar{\nu}_{\mu}/\nu_{\mu}$	$E_{\nu} < 1 \text{ GeV}$		0.021	2
	$1 < E_{\nu} < 10 \text{ GeV}$		1.9	6
	$E_{\nu} > 10 \text{ GeV}^{e}$		4.2	15
Up/down ratio	< 400 MeV	e-like	-0.0037	0.1
		µ-like	-0.011	0.3
		0-decay $\mu$ -like	-0.041	1.1
	> 400 MeV	e-like	-0.029	0.8
		µ-like	-0.018	0.5
		0-decay $\mu$ -like	-0.063	1.7
	Multi-GeV	e-like	-0.026	0.7
		µ-like	-0.0074	0.2
	Multi-ring sub-GeV	e-like	-0.015	0.4
		µ-like	-0.0074	0.2
	Multi-ring multi-GeV	e-like	-0.011	0.3
	ar e tra construited crem 🐨 La construited de la Calenda	µ-like	-0.0074	0.2
	PC		-0.0074	0.2

Horizontal/vertical ratio	< 400 MeV	<i>e</i> -like	0.011	0.1
		µ-like	0.011	0.1
		0-decay $\mu$ -like	0.033	0.3
	> 400 MeV	e-like	0.15	1.4
		µ-like	0.21	1.9
		0-decay $\mu$ -like	0.15	1.4
	Multi-GeV	e-like	0.35	3.2
		µ-like	0.25	2.3
	Multi-ring sub-GeV	e-like	0.15	1.4
		µ-like	0.14	1.3
	Multi-ring multi-GeV	e-like	0.31	2.8
	-	µ-like	0.17	1.5
	PC		0.19	1.7
$K/\pi$ ratio in flux calculation <sup>f</sup>			1.3	10
Neutrino path length			0.094	10
Sample-by-sample	FC multi-GeV		-5.8	5
	$PC + stopping UP-\mu$		0.79	5
Matter effects			1.8	6.8

<sup>a</sup>Uncertainty decreases linearly with log  $E_{\nu}$  from 25% (0.1 GeV) to 7% (1 GeV).

<sup>b</sup>Uncertainty is 7% up to 10 GeV, linearly increases with  $\log E_{\nu}$  from 7% (10 GeV) to 12% (100 GeV) and then to 20% (1 TeV). <sup>c</sup>Uncertainty linearly increases with  $\log E_{\nu}$  from 5% (30 GeV) to 30% (1 TeV).

<sup>d</sup>Uncertainty linearly increases with  $\log E_{\nu}$  from 8% (100 GeV) to 20% (1 TeV). <sup>c</sup>Uncertainty linearly increases with  $\log E_{\nu}$  from 6% (50 GeV) to 40% (1 TeV).

<sup>f</sup>Uncertainty increases linearly from 5% to 20% between 100 GeV and 1 TeV.

Systematic error		Fit value (%)	σ (%)
$M_A$ in QE and single $\pi$		-6.4	10
CCQE cross section <sup>a</sup>		1.8	10
CCQE $\bar{\nu}/\nu$ ratio <sup>a</sup>		18	10
CCQE $\mu/e$ ratio <sup>a</sup>		0.12	10
Single meson production cross section		14	20
DIS cross section		2.2	5
DIS model comparisons <sup>b</sup>		-1.5	10
DIS $Q^2$ distribution (high W) <sup>c</sup>		0.003	10
DIS $Q^2$ distribution (low W) <sup>c</sup>		-3.1	10
Coherent $\pi$ production		1.8	100
NC/CC		9.8	20
$\nu_{\tau}$ cross section		-4.6	25
Single $\pi$ production, $\pi^0/\pi^{\pm}$		-35	40
Single $\pi$ production, $\bar{\nu}_i / \nu_i \ (i = e, \mu)^d$		-11	10
NC fraction from hadron simulation		-3	10
$\pi^+$ decay uncertainty sub-GeV 1-ring	e-like 0-decay	-0.48	0.6
	$\mu$ -like 0-decay	-0.64	0.8
	e-like 1-decay	3.3	4.1
	$\mu$ -like 1-decay	0.71	0.9
	$\mu$ -like 2-decay	4.5	5.7
$\Delta m_{32}^2$ [15]		2	3.98
$\sin^2(\theta_{23})$ [15]		2.8	10.9
$\Delta m_{21}^2$ [3]		0.079	2.55
$\sin^2(\theta_{12})$ [3]		0.42	6.89
$\sin^2(2\theta_{13})$ [45]		-0.55	10.5

<sup>a</sup>Difference from the Nieves [67] model is set to 1.0. <sup>b</sup>Difference from CKMT [71] parametrization is set to 1.0. <sup>c</sup>Difference from GRV98 [72] is set to 1.0. <sup>d</sup>Difference from the Hernandez [73] model is set to 1.0.

	r*								
		SK-I		SK-II		SK-III		SK-l	V
Systematic error		Fit value	σ	Fit value	σ	Fit value	ε σ	Fit value	σ
FC reduction		0.006	0.2	0.007	0.2	0.038	0.8	0.030	0.3
PC reduction		-0.99	2.4	-3.47	4.8	-0.041	0.5	-0.24	1
FC/PC separation		-0.027	0.6	0.081	0.5	0.003	0.9	0.0001	0.02
PC stopping/through-going so	eparation (bottom)	-22.4	23	0.2	13	-0.2	12	-1.06	6.8
PC stopping/through-going separation (barrel)		1.88	7	-5.54	9.4	-9.0	29	-0.65	8.5
PC stopping/through-going so	eparation (top)	8.3	46	-3.3	19	16.0	87	-3.3	40
Non-v background	Sub-GeV µ-like	0.009	0.1	0.009	0.1	-0.009	0.1	-0.026	0.1
Ū.	Multi-GeV $\mu$ -like	0.036	0.4	0.009	0.1	-0.009	0.1	-0.026	0.1
	Sub-GeV 1-ring 0-decay $\mu$ -like	0.009	0.1	0.009	0.1	-0.018	0.2	-0.211	0.8
	PC	0.018	0.2	0.062	0.7	-0.16	1.8	-0.129	0.49
	Sub-GeV e-like	0.016	0.5	0.003	0.2	-0.003	0.1	-0.000	0.1
	Multi-GeV e-like	0.003	0.1	0.002	0.1	-0.013	0.4	-0.000	0.1
	Multi-GeV 1-ring e-like	3.3	13	-15.0	38	5.1	27	1.1	18
	Multi-GeV multiring e-like	1.1	12	2.5	11	-6.1	11	3.1	12
Fiducial volume	0	-0.04	2	0.08	2	-0.42	2	0.40	2

(Table continued)
			SK-	·I	SK-	П	SK-	Ш	SK-I	v
Systematic error			Fit value	σ						
Ring separation	< 400 MeV	e-like	1.07	2.3	-1.09	1.3	0.79	2.3	0.05	1.6
0 1		µ-like	0.324	0.7	-1.93	2.3	1.03	3	0.09	3
	> 400 MeV	e-like	0.185	0.4	-1.43	1.7	0.44	1.3	-0.03	1
		µ-like	0.324	0.7	-0.588	0.7	0.205	0.6	-0.018	0.6
	Multi-GeV	e-like	1.71	3.7	-2.18	2.6	0.44	1.3	-0.03	1
		µ-like	0.79	1.7	-1.43	1.7	0.34	1	0.04	1.2
	Multiring Sub-GeV	e-like	-1.62	3.5	3.19	3.8	0.44	1.3	0.06	1.9
		µ-like	-2.08	4.5	6.88	8.2	-0.89	2.6	0.07	2.3
	Multiring multi-GeV	e-like	-1.44	3.1	1.59	1.9	-0.38	1.1	0.027	0.9
		µ-like	-1.90	4.1	0.671	0.8	-0.72	2.1	-0.07	2.4
Particle identification (1 ring)	Sub-GeV	e-like	0.016	0.23	0.099	0.66	0.023	0.26	-0.025	0.28
		µ-like	-0.013	0.18	-0.075	0.5	-0.016	0.19	0.020	0.22
	Multi-GeV	e-like	0.013	0.19	0.036	0.24	0.027	0.31	-0.031	0.35
<b>N</b>		$\mu$ -like	-0.013	0.19	-0.039	0.26	-0.026	0.3	0.031	0.35
Particle identification (multiring) Sub-GeV		e-like	-0.31	3.1	-3.39	6	5.09	9.5	2.15	4.2
	Matheony	$\mu$ -like	0.066	0.66	1.45	2.5	-2.79	5.2	-0.80	1.6
	Multi-Gev	e-like	0.64	0.5	5.54	9.7	-2.63	4.9	1./1	3.3
Energy solibration		μ-шке	-0.29	2.9	-2.24	3.9	1.43	2.7	-0.80	1.0
Energy calibration			0.00	0.6	-0.20	1.7	0.05	1.2	-0.50	2.5
UP <sub>-u</sub> reduction	Stopping		-0.185	0.0	-0.070	0.0	0.50	0.7	0.109	0.5
01-μ leddedoli	Through-going		-0.132	0.5	-0.004	0.7	0.080	0.7	0.075	0.3
IIP_u stopping/through_going se	rinough-going		0.007	0.5	0.016	0.5	0.034	0.5	_0.075	0.5
Energy cut for stopping UP- $\mu$	paration		0.085	0.4	0.010	13	0.87	2	0.01	17
Path length cut for through going $UP_{\mu}$			0.86	1.5	1.50	23	-0.12	2.8	-1.87	1.5
Through-going UP- $\mu$ showering	separation		3.59	3.4	-2.84	4.4	2.35	2.4	-4.88	3
Background subtraction for UP- $\mu$ Stopping <sup>a</sup>			10.2	16	-4.0	21	-2.2	20	-6.7	17
	Nonshowering <sup>a</sup>		-4.0	18	0.8	14	0.6	24	1.8	17
	Showering <sup>a</sup>		-7.5	18	-12.9	14	2.6	24	9.6	24
$\nu_e/\bar{\nu}_e$ separation	e e		-2.67	7.2	0.08	7.9	-9.19	7.7	-4.07	6.8
Sub-GeV 1-ring $\pi^0$ selection	$100 < P_e < 250 \text{ MeV/c}$		3.47	9	2.9	10	2.23	6.3	1.92	4.6
	$250 < P_e < 400 \text{ MeV/c}$		3.55	9.2	4.1	14	1.73	4.9	1.25	3
	$400 < P_e < 630 \text{ MeV/c}$		6.1	16	3.3	11	8.4	24	5.6	13
	$630 < P_e < 1000 \text{ MeV/c}$		5.2	14	4.8	16	2.90	8.2	7.0	17
	$1000 < P_e < 1330 \text{ MeV/c}$		4.5	12	2.87	9.8	3.9	11	9.9	24
Sub-GeV 2-ring $\pi^0$			0.31	5.6	-2.42	4.4	-1.17	5.9	1.78	5.6
Decay-e tagging			-5.5	10	-2.7	10	1.5	10	1.1	10
Solar activity			0.1	20	17.2	50	2.0	20	0.3	10

<sup>a</sup>The uncertainties in BG subtraction for upward-going muons are only for the most horizontal bin,  $-0.1 < \cos \theta < 0$ .

## **Other Systematics**





## **MINOS Atm Nu**

Parameter	Uncertainty	Best Fit (2 Osc. Param.)	Best Fit (4 Osc. Param.)
$ \Delta m^2 /eV^2$		$1.9 \times 10^{-3}$	$2.2 \times 10^{-3}$
$ \Delta \overline{m}^2 /eV^2$		$1.9 \times 10^{-3}$	$1.6 \times 10^{-3}$
$\sin^2 2\theta$		0.99	0.99
$\sin^2 2\overline{\theta}$		0.99	1.00
normalization (contained-vertex $\nu$ )	$\sigma = 15 \%$	$+0.6 \sigma$	$+0.7 \sigma$
normalization ( $\nu$ -induced rock- $\mu$ )	$\sigma = 25 \%$	$+0.1 \sigma$	$+0.1 \sigma$
$up/down$ ratio (contained-vertex $\nu$ )	$\sigma = 3 \%$	$-0.1 \sigma$	$-0.1\sigma$
$\nu_e/\nu_\mu$ ratio (contained-vertex $\nu$ )	$\sigma = 5 \%$	$-0.5 \sigma$	$-0.5\sigma$
$\overline{\nu}_{\mu}/\nu_{\mu}$ ratio (contained-vertex $\nu$ )	$\sigma = 10 \%$	$-0.5 \sigma$	$-0.6 \sigma$
$\overline{\nu}_{\mu}/\nu_{\mu}$ ratio ( $\nu$ -induced rock- $\mu$ )	$\sigma = 12.5 \%$	$+1.1 \sigma$	$+0.9 \sigma$
$NC/CC$ ratio (contained-vertex $\nu$ )	$\sigma = 20 \%$	$+0.6 \sigma$	$+0.6 \sigma$
$\nu$ spectrum parameter	$\sigma = 6 \%$	$-0.4 \sigma$	$-0.4 \sigma$
$\overline{\nu}$ spectrum parameter	$\sigma = 6 \%$	$+0.3 \sigma$	$+0.3 \sigma$
$\mu$ momentum (range)	$\sigma = 3 \%$	$-0.3 \sigma$	$-0.3\sigma$
$\mu$ momentum (curvature)	$\sigma = 5 \%$	$+0.3 \sigma$	$+0.3\sigma$
shower energy	$\sigma = 15\%$	$+0.4\sigma$	$+0.4\sigma$

TABLE III. Summary of systematic uncertainties included in the oscillation fit, along with the best fit oscillation and systematic parameters returned by each fit. For the two-parameter fit, equal oscillation parameters are used for neutrinos and antineutrinos; for the four-parameter fit, separate oscillation parameters are used. The best fit systematic parameters are given in units of standard deviations.