





Performance of Muon Neutrino Reconstruction at ICARUS using SPINE Machine Learning

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Overview

- A key requirement for the neutrino oscillation measurements is robust event reconstruction, including accurate and precise particle identification (PID) and kinematic reconstruction across a wide range of topologies.
- To achieve the required performance, we employ the SPINE framework
 - a state-of-the-art machine learning reconstruction framework.
- This talk presents the status of muon neutrino reconstruction using SPINE at ICARUS, including the evaluation of reconstruction performance
 - focusing on the 1μNp0π channel (and 1μ1p0π channel).
- Precise muon neutrino reconstruction is the "cornerstone" of the muon neutrino disappearance analysis, which will enable the full physics program at ICARUS and at the SBN Program.

The SBN Program at Fermilab

https://arxiv.org/pdf/1909.11670

SBN

Program

The Short-Baseline Neutrino (SBN) Program at Fermilab is a neutrino experiment, using multiple Liquid Argon Time Projection Chamber (LArTPC) detectors, namely ICARUS (far detector) and SBND (near detector).

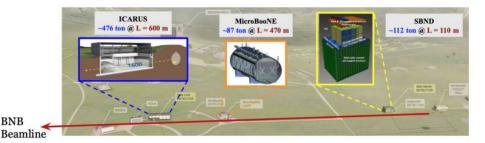
The detectors are exposed to the same beam, Booster Neutrino Beam (BNB), on axis at different distances from the beam target.

 SBND
 ~110m / 270 tons

 MicroBoone
 ~470m / 170 tons

 ICARUS
 ~600m / 760 tons

(MicroBooNE is a 3rd LArTPC detector currently decommissioned, in the same beam line)



The primary objective of the SBN Program is to probe neutrino oscillation physics at short baselines to conclusively search for the existence of one or more additional "sterile" neutrino states driving oscillations at $\Delta m^2 \sim 1 \text{eV}^2$ and a relatively small mixing angle ($\sin^2 2\theta$), which could explain anomalies observed in past experiments.

The key aspect of SBN is the capability of measuring both **muon neutrino disappearance** and **electron neutrino appearance** with multiple detectors, using the same detector technology exposed to the same neutrino beam.

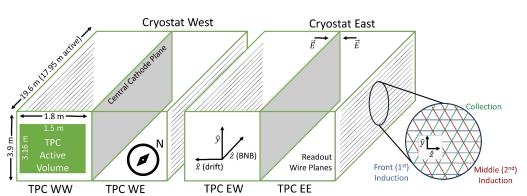
The far detector: ICARUS T600

ICARUS is the **largest LArTPC** of the SBN Program:

- Surface-level detector
- Operational since 2020
- 760 tons of liquid argon / 470 tons fiducial mass
- TPC: two cryostats, four TPCs
- PDS: 360 PMTs (90 per TPC)
- CRT: 4π coverage

ICARUS is exposed to:

- the BNB beam, ~600m, on-axis
- the NuMI beam, ~803m, off-axis, (0.097 rad)





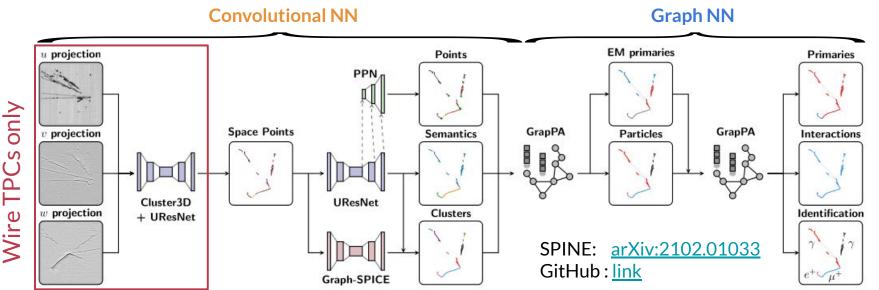
https://arxiv.org/pdf/2407.12969

SPINE

SPINE - Scalable Particle Imaging with Neural Embeddings

- a state-of-the-art machine learning reconstruction framework
- end-to-end reconstruction chain of particles and interactions in the TPC
- significantly improved performance compared to other approaches

More about SPINE in François' talk



UResNet for pixel feature extraction, **GrapPA** for superstructure formation.

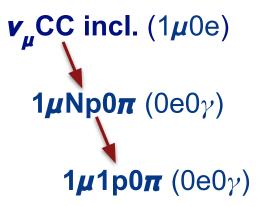
Muon Neutrino Signal Channels

1μ 1p0π and 1μ Np0π channels:

- Mature SPINE selections already exist for ICARUS
 - well-understood tracking and particle identification
 - neutrino energy reconstruction simpler due to lack of pions in selection
 - low cosmic background

v_u**CC** inclusive channel:

- Analysis is ongoing
- Target selection in view of a SBN-wide analysis
 - larger statistics
 - reduced cross-play between selection efficiency and systematics (e.g. proton threshold)
 - cosmic rejection more challenging



The $1\mu1p0\pi$ channel is a subset of $1\mu Np0\pi$ channel $Np \rightarrow (N>0)p$

The $1\mu Np0\pi$ channel is a subset of $v_{\mu}CC$ inclusive 1μ , 0e, any p,π,γ

Event Selection

Topology selection on final state primaries:

- 1μ , 0e \rightarrow required for all cases
- 1p/Np → at the least one proton
- $0\pi \rightarrow 0\pi^{\pm} \text{ and } 0\gamma (\rightarrow 0\pi^{0})$

TPC requirement:

- v-Vertex in Fiducial Volume (FV)
- With and w/o Containment

Scintillation light match (PMTs):

With and w/o Flashmatch

Visibility requirements on final state primaries:

$$L_{\mu} > 50 \text{ cm}, T_{p} > 50 \text{ MeV}, T_{\pi,e,\gamma} > 25 \text{ MeV}$$

The target selection is w/o containment cut In view of SBN-wide analysis.

Due to the very different sizes of SBND and ICARUS, containment sculpts the signal kinematics differently in the two detectors.

Containment cut would help to remove background, critical for the v_{..}CC inclusive selection

Optimization of scintillation light match and CRT veto ongoing to improve the cosmic rejection in view of $v_{\mu}CC$ inclusive selection

Event Selection

Multiple combinations of cuts are analyzed for both $1\mu Np0\pi$ and $1\mu 1p0\pi$ channels. This talk focuses on the most inclusive selection $1\mu Np0\pi$.

- $1\mu Np0\pi$
- In Fiducial Volume (F.V.)
- With Flashmatch (F.M.)
- with and w/o Containment Cut (C.C.)

Data are from ICARUS Run 2, on-beam* and off-beam, with BNB

*(10% Run 2 BNB unblind data)

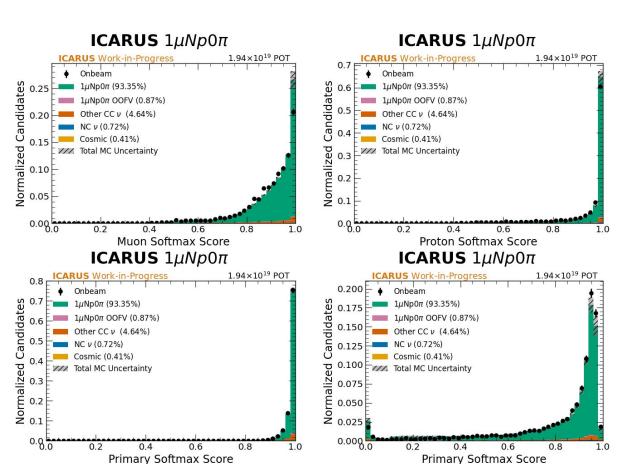
1μ Np0π, w/o C.C.

Softmax Scores Index:

PID probability produced by the neural network in identifying particle type and primary vs. secondary

Top left:

- leading muon as muon
 Top right:
- leading proton as proton
 Bottom left:
- leading muon as primary Bottom right:
 - leading proton as primary

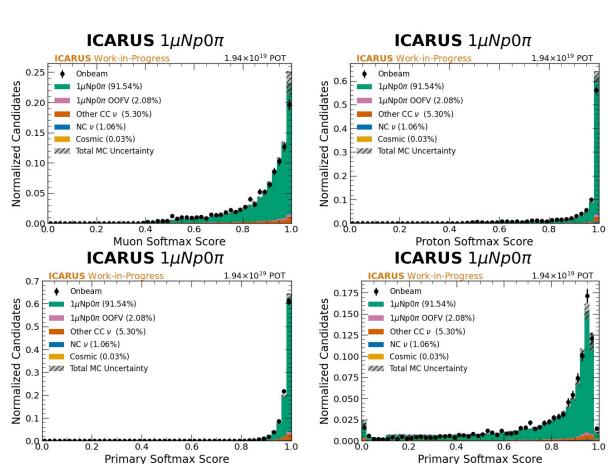


1μ Np0π, with C.C.

Softmax Scores Index: all distributions are peaked at one, indication of robust PID capability

Top left:

- leading muon as muon
 Top right:
- leading proton as proton
 Bottom left:
- leading muon as primary Bottom right:
 - leading proton as primary



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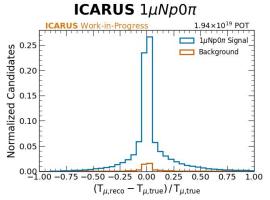
 1μ Np 0π , w/o C.C.

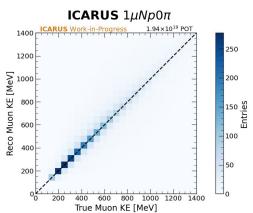
Kinetic Energy Resolution:

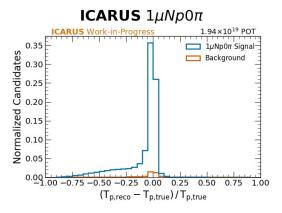
performance is also characterized comparing reco vs. true values using MC events

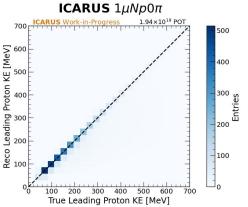
Top left:

- leading muon (Treco -Ttrue) /Ttrue Top right:
- leading proton (Treco -Ttrue) /Ttrue
 Bottom left:
- leading muon (Treco vs. Ttrue)
 Bottom right:
 - leading proton (Treco vs. Ttrue)









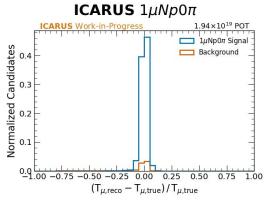
 1μ Np0π, with C.C.

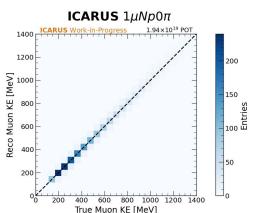
Kinetic Energy Resolution: sharp peak at zero, excellent reco-true correlation highlight

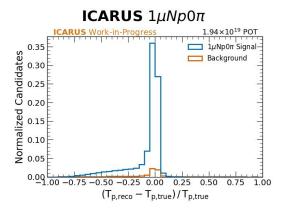
the reconstruction accuracy

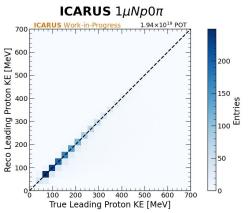
Top left:

- leading muon (Treco -Ttrue) /Ttrue Top right:
- leading proton (Treco -Ttrue) /Ttrue Bottom left:
- leading muon (Treco vs. Ttrue)
 Bottom right:
 - leading proton (Treco vs. Ttrue)









Efficiency and Purity

SPINE shows excellent performance, significantly improved compared to other approaches:

1μ Np0π, w/o C.C.

- Purity = 93.3%
- **Efficiency = 81.1%**

1μ Np0π, with C.C.

- Purity = 91.5%
- Efficiency = 77.4%

Systematics

Meaningful comparisons between data and Monte Carlo simulations require a proper characterization of uncertainties:

Monte Carlo includes:

Statistical Uncertainty: [0.16 - 0.25]%

Detector Systematics: [3.0-3.3]%

Flux Systematics: [4.6 - 5.2]%

Interaction Systematics: [14.9 - 15.9]%

Total MC Uncertainty (%) [16.6 -17.3]%

 1μ Np0π normalization uncertainties spanning selections with and w/o C.C.

Reconstructed v Interaction Vertex and µ Endpoint

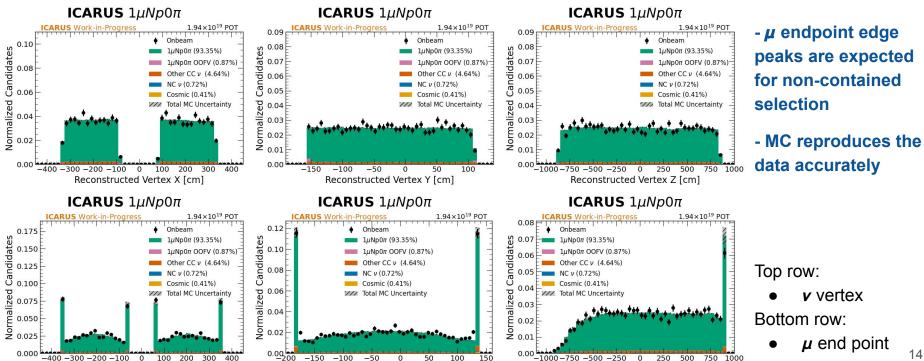
 1μ Np0π, w/o C.C.

Reconstructed Muon End Point X [cm]

Selection w/o containment reduces biases in the neutrino phase space

 \rightarrow uniform ν vertex distribution across all spatial coordinates (x, y, z).

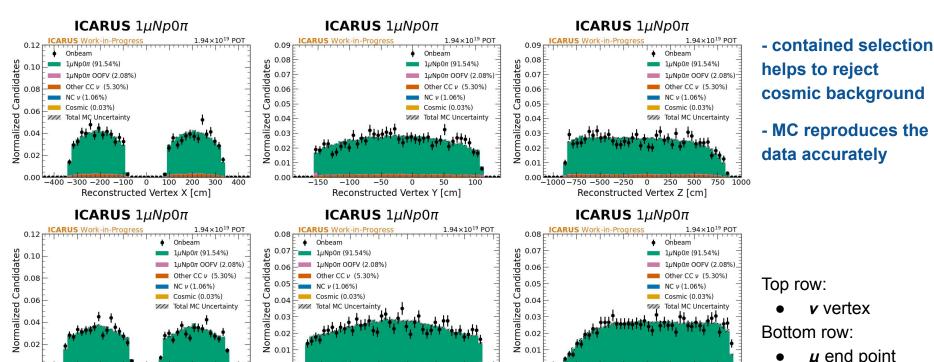
Reconstructed Muon End Point Z [cm]



Reconstructed Muon End Point Y [cm]

Reconstructed v Interaction Vertex and µ Endpoint

Selection with containment cut introduce some biases in the neutrino $1\mu Np0\pi$, with C.C. phase space \rightarrow edge effects on v vertex distribution



-1000-750 -500 -250 0 250 500 750 1000

Reconstructed Muon End Point Z [cm]

-150 -100 -50

Reconstructed Muon End Point Y [cm]

-400 -300 -200 -100 0 100 200 300

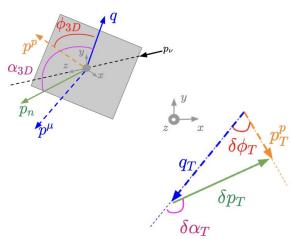
Reconstructed Muon End Point X [cm]

Reconstructed Kinematic Variables

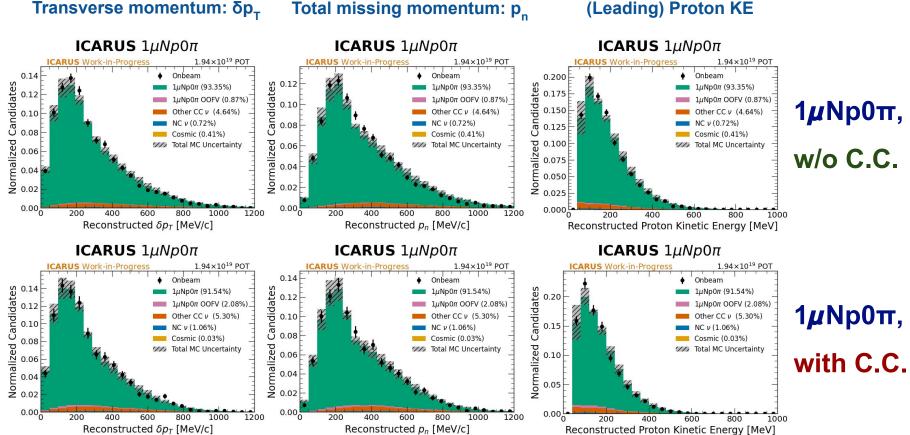
Along with the **reconstructed neutrino energy** $\mathbf{E}_{\mathbf{v}}$ (not shown in this talk), several **kinematic variables** are being studied:

- TKI Transverse momentum: δp₊
 - sensitive to nuclear effects and final-state interactions.
- GKI Total missing momentum: p_n
 - provides information on initial-state nucleon motion and multi-nucleon processes.
- Leading proton kinetic energy
 - correlated with the energy transfer in the interaction and useful to study for systematics evaluation.

A **2D** fit, combining one of these observables (e.g., δp_T or p_n) with E_v , enables a more precise separation of **signal and background components** and provides improved constraints on **nuclear effects** and **interaction modeling** (https://arxiv.org/pdf/2310.06082).



Reconstructed Kinematic Variables



Summary



- SPINE Framework demonstrates a robust event reconstruction and an excellent PID performance.
- 1μΝρ0π channel (and 1μ1ρ0π channel) is a well-understood selection at ICARUS with reliable reconstruction and strong cosmic rejection.
- SPINE 1μNp0π selection at ICARUS:



93% Purity, 81% Efficiency.



Next Steps and Future Goals





Next Steps:

- Advancing v_uCC inclusive selection at ICARUS (and SBND) using SPINE.
- Extending the analysis to v_e selections at ICARUS (and SBND) using SPINE.

The $\mathbf{v}_{\mu}\mathbf{CC}$ selection is the first step to laying the groundwork toward a SBN-wide oscillation analysis, aiming for two-detector \mathbf{v}_{μ} disappearance and \mathbf{v}_{μ} appearance measurements using SPINE.

Part of a large effort in Prof. Mike Mooney's group at CSU for using SPINE for the SBN physics program, with plans to further develop SPINE for the DUNE far detector.



Acknowledgement

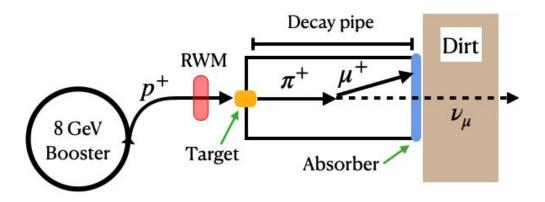


This work is supported by the Department of Energy under grant numbers DE-SC0017740.

Backup Slides

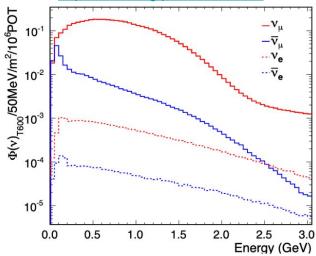
Booster Neutrino Beamline (BNB)

- 8 GeV protons from Booster
 - Up to 5.3 Hz repetition rate
 - \circ 5 x 10¹² protons per pulse, 1.6 μ s spil
 - 〈E, 〉~ 700 MeV



BNB v flux at ICARUS

https://arxiv.org/pdf/1503.01520



- Interaction rates:
 - \sim * 0.03 Hz ν
 - * 0.07 Hz cosmic

^{*}https://indico.global/event/13925/contributions/12563 5/attachments/59096/113781/sbnprogram_neatworks hop_may27_2025.pdf

ICARUS role in the SBN Program

With a baseline of L_v ~600 m and the BNB v energy E_v~700 MeV

$$\frac{\langle L_{\nu} \rangle}{\langle E_{\nu} \rangle} \sim \frac{600 \,\mathrm{m}}{700 \,\mathrm{MeV}} \sim \mathcal{O} \left(1 \frac{\mathrm{km}}{\mathrm{GeV}} \right)$$



Boost in the sensitivity for oscillations at $\Delta m^2 \sim 1 \text{ eV}^2$ for BNB \boldsymbol{v} .

The same Δm^2 region highlighted by experimental anomalies for possible sterile neutrino states.

The key aspect of SBN that is ONLY enabled with multiple detectors is that v_{e} appearance cannot occur in without v_{u} disappearance

SBND (Near Detector, ~110 m)

- Primary role: flux + cross-section precise measurement, systematics control.
 - Measures un-oscillated BNB flux $(v_{\parallel}, v_{\parallel})$.

ICARUS (Far Detector, ~600 m)

- Primary role: oscillation sensitivity
 - Observes oscillated spectrum ($v_{_{\rm II}}$ disappearance, $v_{_{\rm e}}$ appearance).

Physic Goals of the SBN Program

In a 3 + 1 sterile neutrino model with: $\Delta m_{41}^2 >> |\Delta m_{32}^2|$, Δm_{21}^2 and $m_4 >> m_{1,2,3}$ oscillations at short-baseline experiments can be well described by a two-flavor vacuum oscillation formula,

$$P_{\nu_{\alpha} \to \nu_{\beta}} = \delta_{\alpha\beta} - 4 \left| U_{\alpha\beta} \right|^2 \left(\delta_{\alpha\beta} - \left| U_{\alpha\beta} \right|^2 \right) \sin^2 \left(\frac{\Delta m_{41}^2 L}{4E} \right)$$

Each oscillation channels $\,
u_{lpha}
ightarrow \,
u_{eta}$ is driven by a effective mixing angle: $heta_{lphaeta}$

$$\nu_{\mu} \rightarrow \nu_{e} \longrightarrow \sin^{2}(2\theta_{\mu e}) = 4 \left| U_{\mu 4} \right|^{2} \left| U_{e 4} \right|^{2} \longrightarrow \text{(LSND, MiniBooNE)}$$

$$\nu_{e} \rightarrow \nu_{e} \longrightarrow \sin^{2}(2\theta_{e e}) = 4 \left| U_{e 4} \right|^{2} \left(1 - \left| U_{e 4} \right|^{2} \right) \longrightarrow \text{(reactor, gallium)}$$

$$\nu_{\mu} \rightarrow \nu_{\mu} \longrightarrow \sin^{2}(2\theta_{\mu \mu}) = 4 \left| U_{\mu 4} \right|^{2} \left(1 - \left| U_{\mu 4} \right|^{2} \right) \longrightarrow \text{(no anomalies observed)}$$

Physic Goals of the SBN Program

The key aspect of SBN that is ONLY enabled with multiple detectors is that $\mathbf{v}_{\rm e}$ appearance cannot occur in without \mathbf{v}_{μ} disappearance

$$P_{\nu_{\mu} \to \nu_{\mu}}^{3+1} = 1 - \sin^2(2\theta_{\mu\mu}) \sin^2\left(\frac{\Delta m_{41}^2 L}{4E}\right)$$

$$P_{\nu_{\mu} \to \nu_{e}}^{3+1} = \sin^{2}(2\theta_{\mu e})\sin^{2}\left(\frac{\Delta m_{41}^{2}L}{4E}\right)$$

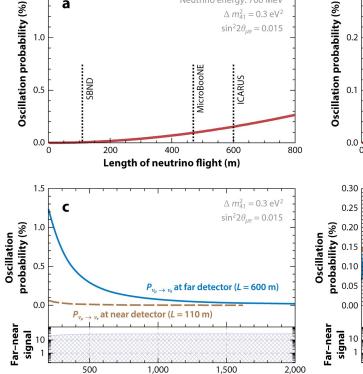
$$P_{\nu_e \to \nu_e}^{3+1} = 1 - \sin^2(2\theta_{ee}) \sin^2\left(\frac{\Delta m_{41}^2 L}{4E}\right)$$

The parameters dependence can be used to overconstrain the parameter space by observing $\mathbf{v}_{\rm e}$ appearance together with \mathbf{v}_{μ} disappearance (and $\mathbf{v}_{\rm e}$ disappearance).

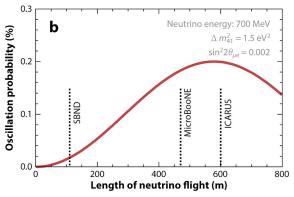
NOTE: BNB is not a pure \mathbf{v}_{μ} , a disappearance of the BNB \mathbf{v}_{e} component need to be take into account.

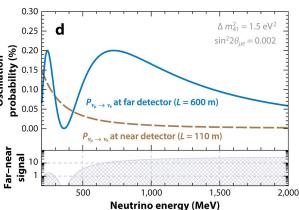
SBN Near and Far Detectors

Neutrino energy: 700 MeV



Neutrino energy (MeV)





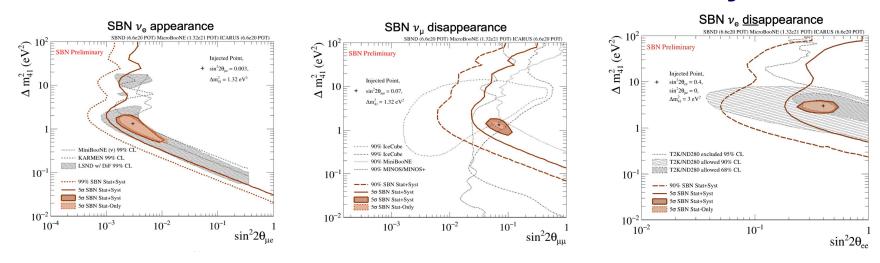
The locations of the near and far detectors are optimized for maximal sensitivity in the most relevant ranges of oscillation parameters.

(a,b) $\mathbf{v}_{\mu} \rightarrow \mathbf{v}_{e}$ oscillation probability for a 700 MeV neutrino as a function of the baseline for two different benchmark points in a 3+1 sterile neutrino scenario.

(c,d) $\mathbf{v}_{\mu} \rightarrow \mathbf{v}_{\rm e}$ oscillation probabilities, at 110 m and 600 m, as a function of the neutrino energy for the same benchmark points.

(Bottom) The far-near ratio of appearance probabilities.

SBND + ICARUS Sterile Oscillation Sensitivity



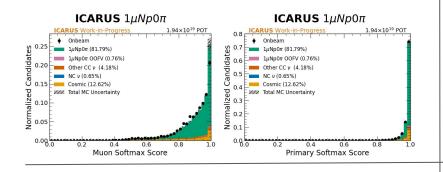
- Address conclusively the LSND and MiniBooNE anomalies.
- SBND will measure the $v_{\rm e}$ and $v_{\rm u}$ components of the BNB with large statistics.
- ICARUS will search for an excess of v_e and a deficit of v_u using SBND's measurement as reference.

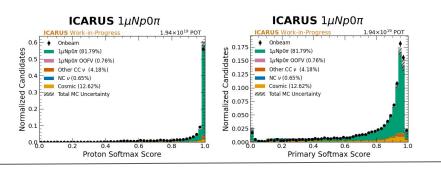
SBND+ICARUS will be able to explore the LSND-favored region with 5σ and will covers almost the full allowed LSND-MiniBooNE region with 5σ .

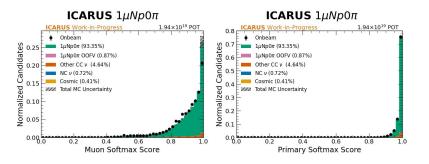
1μNp0π FV, w/o Containment Cut, Softmax Scores

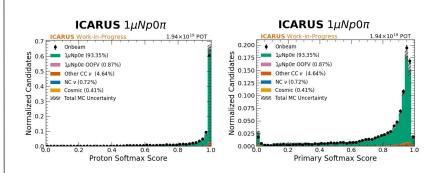
Muon

Leading Proton



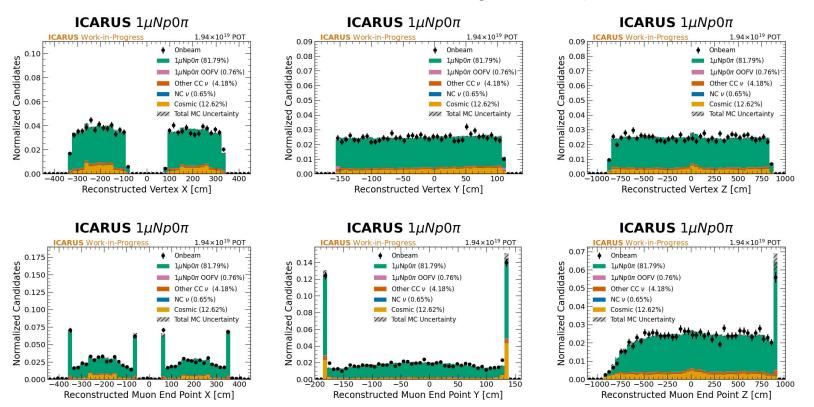






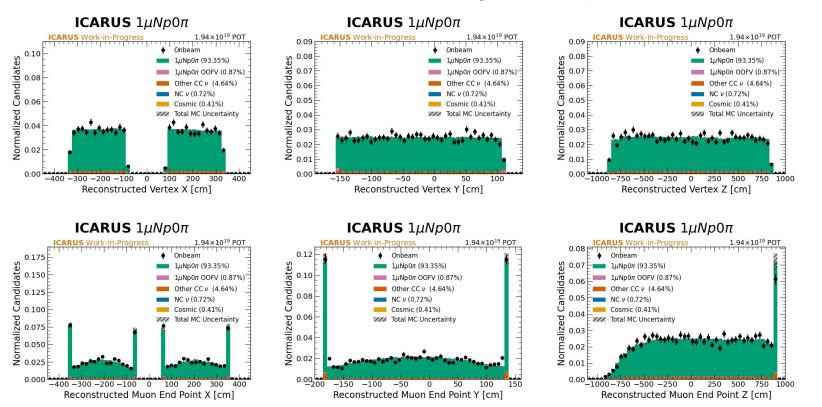
1μNp0π, in FV, w/o Containment Cut and w/o Flash Cut

Neutrino interaction vertex coordinates and leading muon endpoint coordinates



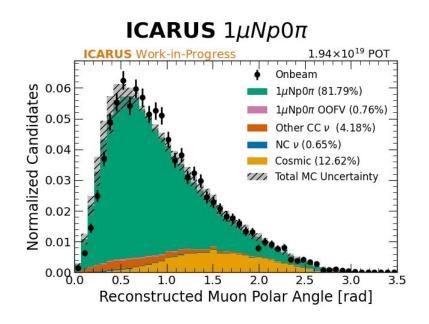
1μNp0π, in FV, w/o Containment Cut and with Flash Cut

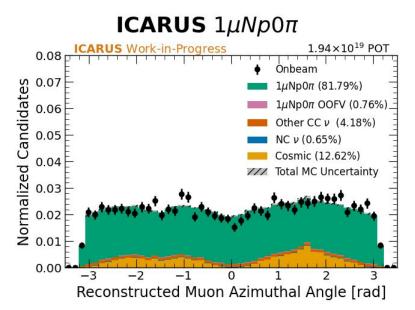
Neutrino interaction vertex coordinates and leading muon endpoint coordinates



1μNp0π, in FV, w/o Containment Cut and w/o Flash Cut

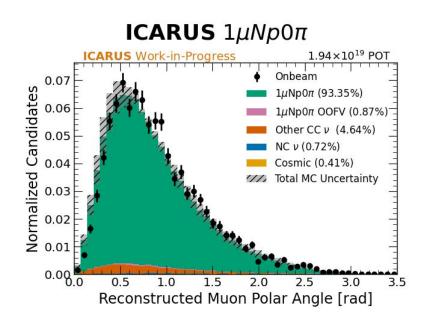
Leading muon polar angle and leading muon azimuthal angle

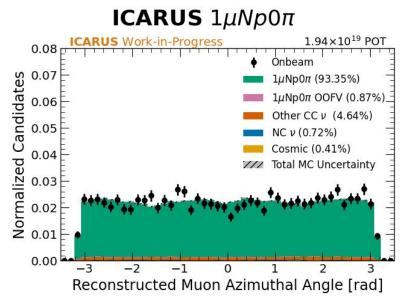




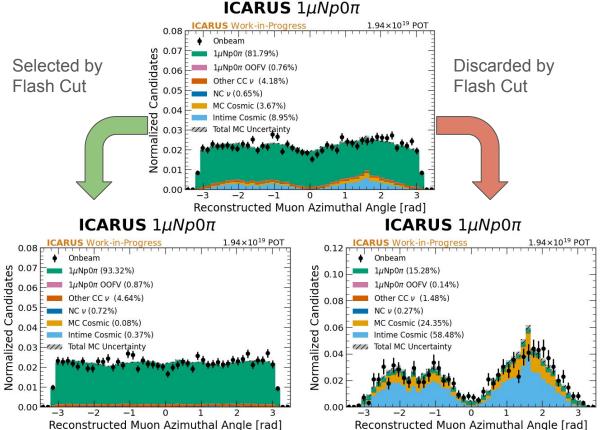
1μNp0π, in FV, w/o Containment Cut and with Flash Cut

Leading muon polar angle and leading muon azimuthal angle



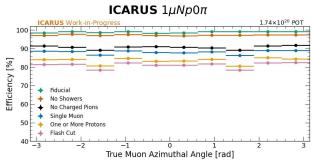


1μNp0π, in FV, w/o Containment Cut: Muon Azimuthal Angle



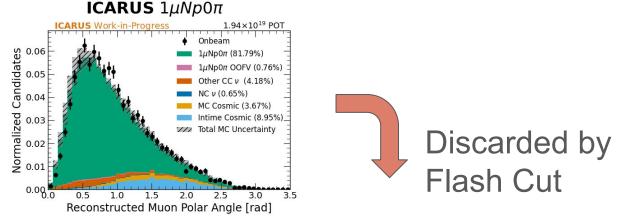
Muon Azimuthal angle is one of the most relevant variable to highlight the performance of cosmic rejection cuts.

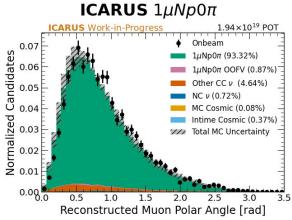
Cosmics from MC and in-time (offbeam) samples have been split to emphasize their contribution.

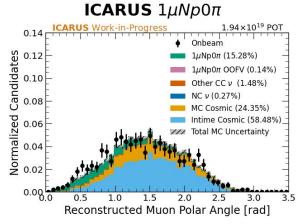


1μNp0π in FV, w/o Containment Cut: Muon Polar Angle









1μNp0π Efficiency

ICARUS Work-in-Progress

Focusing on the most inclusive channel: 1μ Np, the efficiency is studied for each subsequent cut/selection, comparing the effects of the Containment Cut and the Flash Cut

ICARUS $1\mu Np0\pi$

1.74×10²⁰ POT

ICARUS 1μNp0π

ICARUS Work-in-Progress

1.74×10²⁰ POT

Cut	Differential Efficiency [%]	Cumulative Efficiency [%]	
Fiducial	99.34 + 0.06	99.34 + 0.06	
Containment	$99.41 \substack{+0.06 \\ -0.05}$	98.79 ^{+ 0.08} _{- 0.07}	
No Showers	98.09 + 0.10	96.98 + 0.12	
No Charged Pions	$90.81 \substack{+0.20 \\ -0.19}$	88.47 + 0.22	
Single Muon	90.59 + 0.20 - 0.19	84.27 + 0.25	
One or More Protons	91.36 + 0.19	79.19 ^{+ 0.28} _{- 0.27}	
Flash Cut	96.99 + 0.12	77.38 ^{+ 0.28} _{- 0.28}	

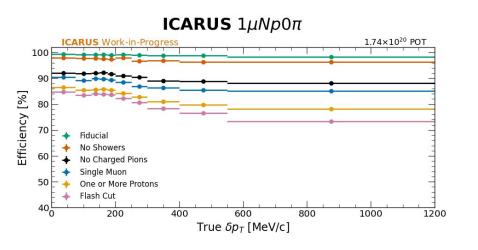
Cut	Differential Efficiency [%]	Cumulative Efficiency [%]	
Fiducial	98.94 + 0.05	98.94 + 0.05	
No Showers	98.30 + 0.06	97.28 + 0.07	
No Charged Pions	92.97 + 0.12	90.69 + 0.13	
Single Muon	94.64 + 0.10	88.07 + 0.15	
One or More Protons	$91.77 \begin{array}{l} + 0.12 \\ - 0.12 \end{array}$	83.48 + 0.17	
Flash Cut	96.56 ^{+0.08} _{-0.08}	81.10 + 0.18	

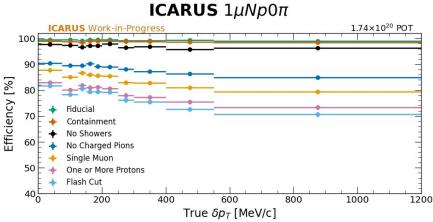
1μNp0π Efficiency

Efficiency can be evaluated as a function of different true variables.

Scanning across these variables helps identify potential non-uniformities indicative of reconstruction inefficiencies.

In the plots below, the efficiency shows a smooth trend as a function of transverse momentum (δp_T) . The same study is performed using additional variables shown in the next slides.



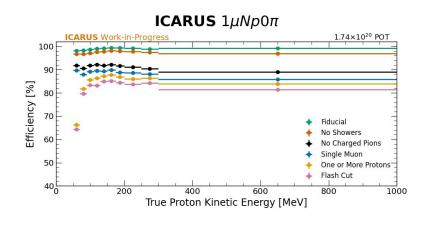


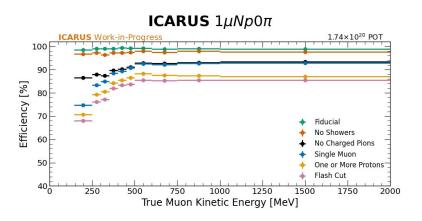
1μNp0π Efficiency

Focusing on the most inclusive channel (1µNp), without containment cut.

Scanning the efficiency across the true muon kinetic energy and the true leading proton kinetic energy, a small degradation in the selection efficiency became visible for lower values.

This is expected getting closer to the particle kinetic energy threshold.





Efficiency and Purity Summary Table

SPINE shows excellent performance in both $1\mu1p$ and $1\muNp$ selections.

Focusing on the most inclusive channel $1\mu Np$,

 1μ Np w/o containment cut and with Flash cut:

- Purity = 93.3%
- Efficiency = 81.1%
- Cosmic contamination = 0.4%

The "backup" selection:

 1μ Np with containment cut and w/o Flash cut:

- Purity = 90.1%
- Efficiency = 79.2%
- Cosmic contamination = 1.4%

1μ1ρ0π			1μΝρ0π			
Purity	W/o C.C.	With C.C.	Purity	W/o C.C.	With C.C.	
W/o F.M.	77.9%	86.6%	W/o F.M.	81.8%	90.1%	
With F.M.	90.9%	88.5%	With F.M.	93.3%	91.5%	
Efficiency	W/o C.C.	With C.C.	Efficiency	W/o C.C.	With C.C.	
W/o F.M.	81.1%	77.5%	W/o F.M.	83.5%	79.2%	
With F.M.	78.4%	75.5%	With F.M.	81.1%	77.4%	
Cosmics	W/o C.C.	With C.C.	Cosmics	W/o C.C.	With C.C.	
W/o F.M.	14.5%	1.7%	W/o F.M.	12.6%	1.4%	
With F.M.	0.4%	<0.1%	With F.M.	0.4%	<0.1%	

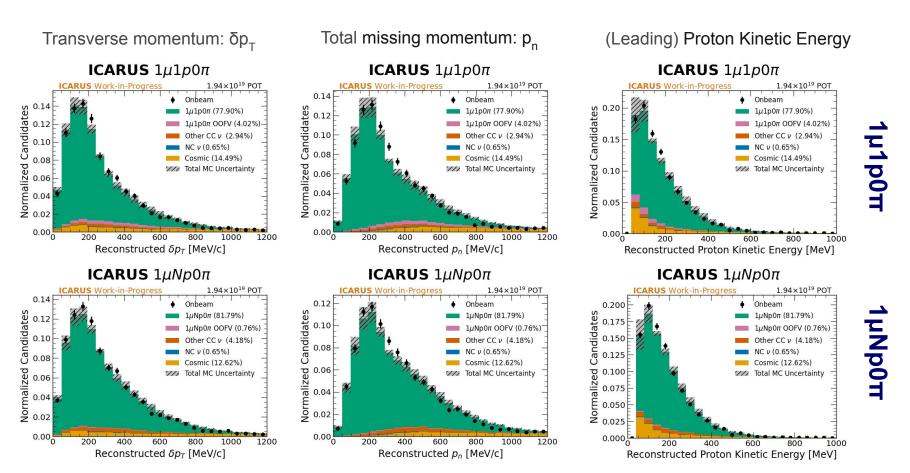
1μ1p0π Monte Carlo Simulation Uncertainty

Containment cut: Flash Match cut:	w/o w/o	w/o with	with w/o	with with
Statistical Uncertainty (%)	0.19	0.19	0.29	0.29
Detector Systematics (%)	3.22	3.33	3.33	3.29
Flux Systematics (%)	4.98	4.97	4.63	4.63
Interaction Systematics (%)	13.01	12.93	13.28	13.54
Total MC Uncertainty (%)	14.88	14.83	15.28	15.27

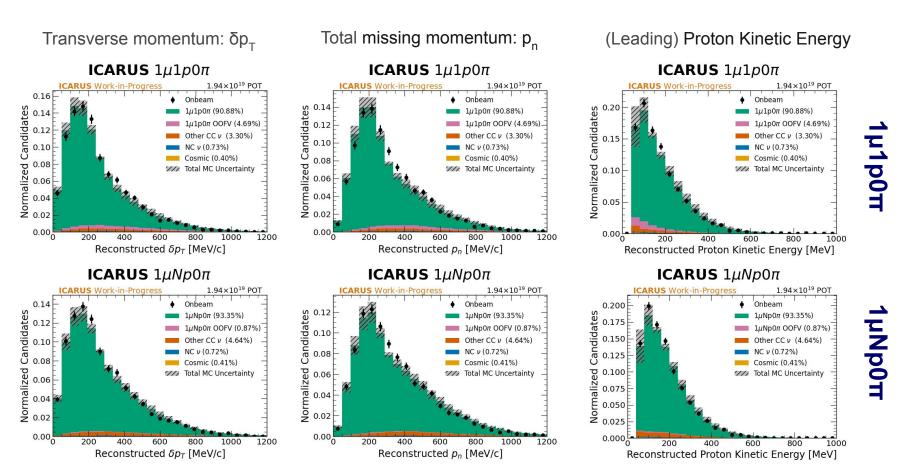
1μNp0π Monte Carlo Simulation Uncertainty

Containment cut: Flash Match cut:	w/o w/o	w/o with	with w/o	with with
Statistical Uncertainty (%)	0.16	0.16	0.24	0.25
Detector Systematics (%)	3.24	3.24	3.24	3.06
Flux Systematics (%)	5.16	5.16	4.65	4.65
Interaction Systematics (%)	14.92	14.92	15.81	15.82
Total MC Uncertainty (%)	16.65	16.65	17.30	17.27

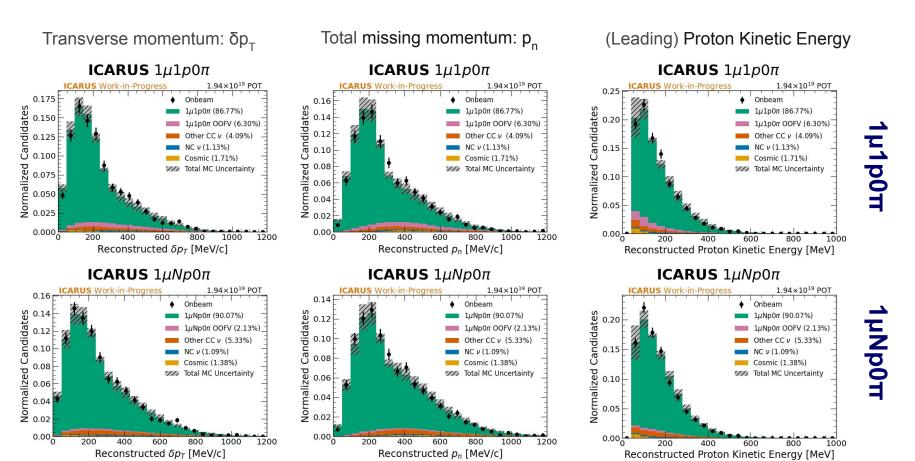
$1\mu1$ p0π/1 μ Np0π, in FV, w/o Containment Cut and w/o Flash Cut



$1\mu1$ p0π/1 μ Np0π, in FV, w/o Containment Cut and with Flash Cut



$1\mu1$ p0π/1 μ Np0π, in FV, with Containment Cut and w/o Flash Cut



1μ 1p0π/1 μ Np0π, in FV, with Containment Cut and with Flash Cut

