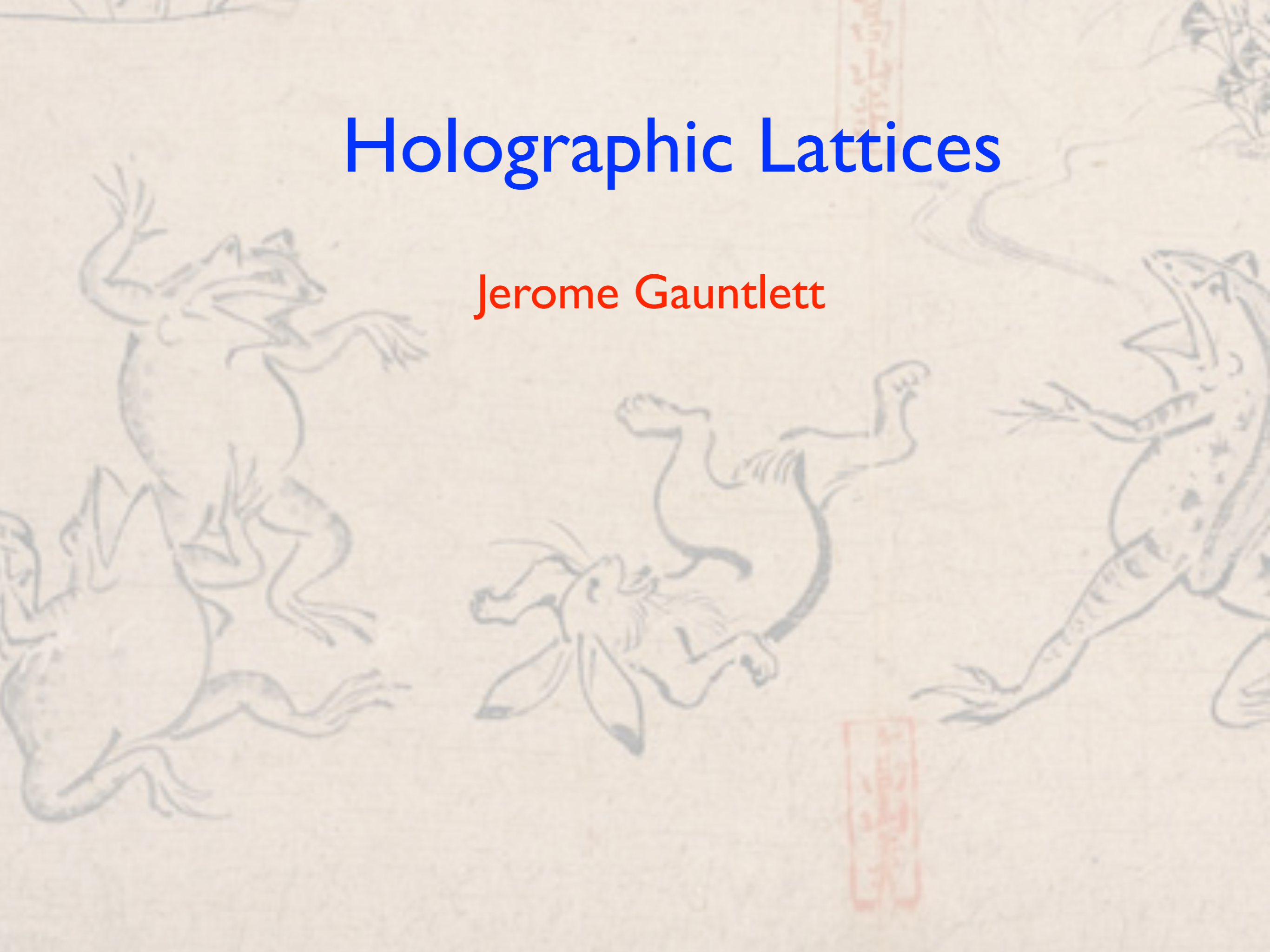


# Holographic Lattices

Jerome Gauntlett



# Holographic Lattices

CFT with a deformation by an operator that breaks translation invariance

## Why?

- Translation invariance  $\Rightarrow$  momentum is conserved  $\Rightarrow$  no dissipation  $\Rightarrow$  DC response are infinite. To model more realistic behaviour we can use a lattice
- The lattice deformation can lead to novel ground states at  $T=0$ .  
Can realise novel metals and insulators
- Can model metal-insulator or metal-metal transitions
- General holographic results: thermo-electric DC conductivities in terms of black hole horizon data

c.f  $\eta = \frac{s}{4\pi}$  [Policastro, Kovtun, Son, Starinets]

# Plan

- Drude physics and coherent metals
- Some examples of holographic lattices and some results
- DC conductivities and Navier-Stokes on the horizon

A new connection between fluids and gravity differing from e.g

[Bhattacharya,Hubeny,Minwalla,Rangamani]

[Bhattacharya,Minwalla,Wadia]

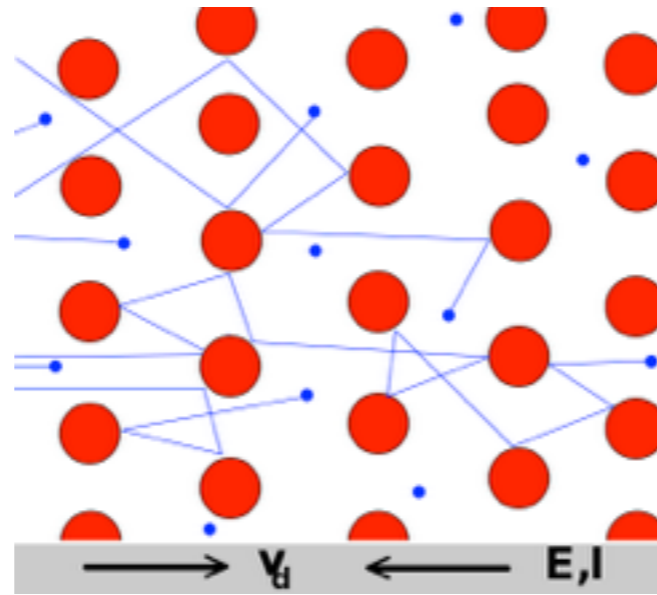
[Fouxon,Oz]

[Bredberg,Keller,Lysov,Strominger]

with **Aristomenis Donos**

**Elliot Banks, Christiana Pantelidou**

# Drude Model of transport in a metal



$$J = \sigma_{DC} E \quad \text{with} \quad \sigma_{DC} = \frac{q^2 \tau}{m}$$

$$E = E(\omega)e^{-i\omega t}$$

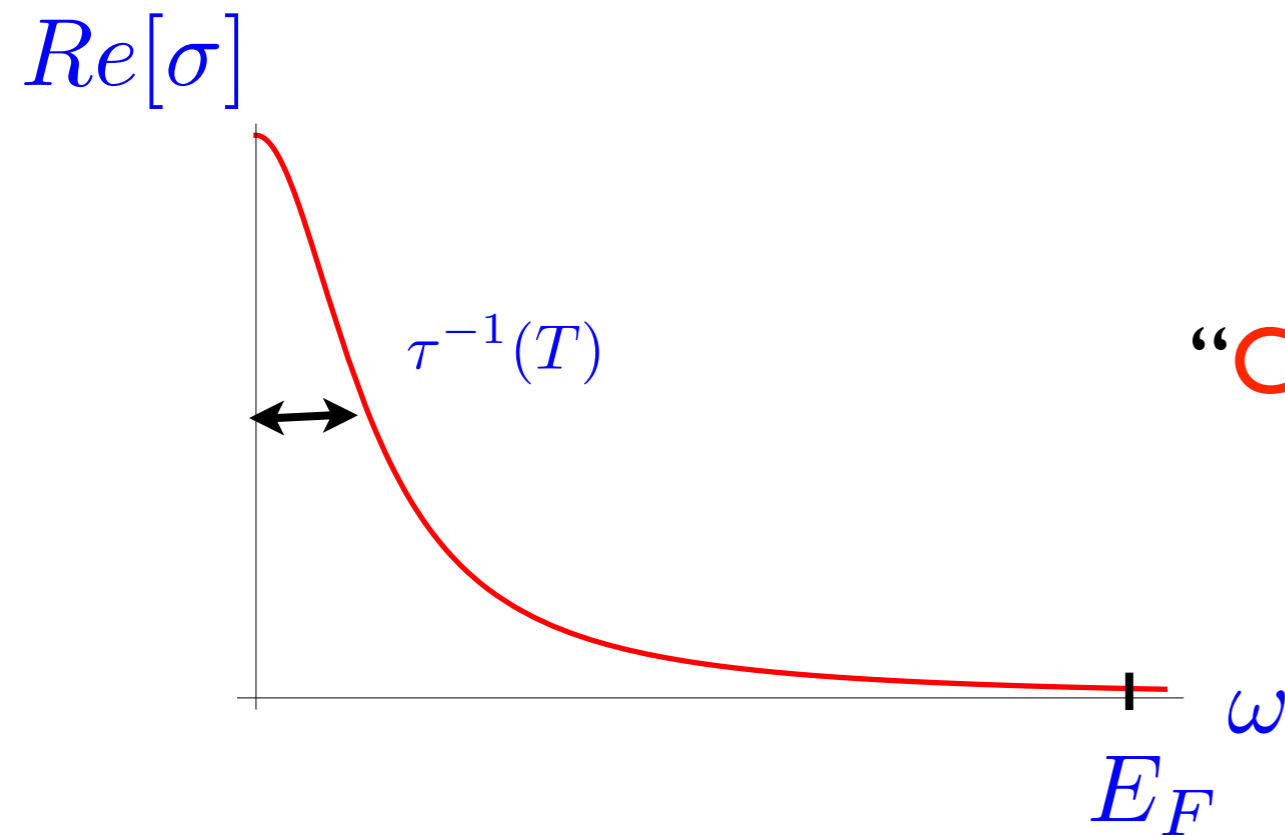
 $\Rightarrow$ 

$$J(\omega) = \sigma(\omega)E(\omega)$$

$$J = J(\omega)e^{-i\omega t}$$

$$\sigma(\omega) = \frac{\sigma_{DC}}{1 - i\omega\tau}$$

$$\sigma_{DC} = \frac{q^2\tau}{m}$$



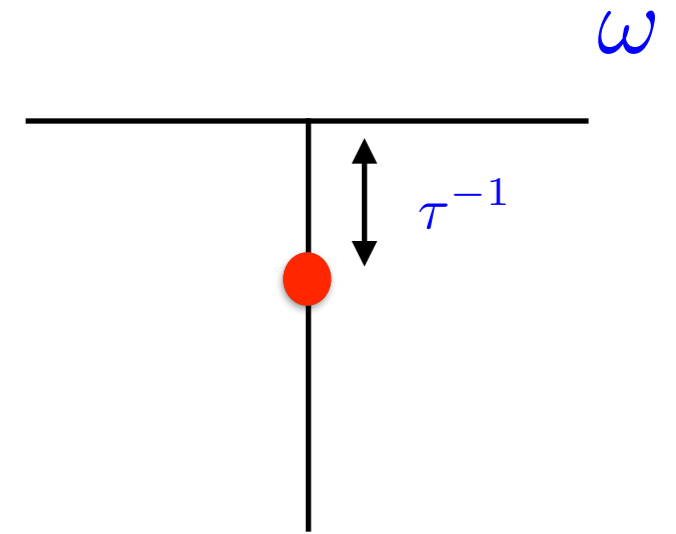
“Coherent” or “good” metal

When  $\tau \rightarrow \infty$   $\sigma(\omega) \sim \delta(\omega) + \frac{i}{\omega}$

- Drude physics doesn't require quasi-particles

Coherent metals arise when momentum is nearly conserved with dominant pole on imaginary axis

[Hartnoll, Hofman]



- Similar comments apply to thermal conductivity  $Q = -\bar{\kappa}\nabla T$

- In nature there are also “incoherent” metals without Drude peaks

- Insulators with  $\sigma_{DC} = \bar{\kappa}_{DC} = 0$  at  $T=0$

Of particular interest to realise these in holography

# Holographic CFTs at finite charge density

Focus on  $d=3$  CFT and consider  $D=4$  Einstein-Maxwell theory:

$$S = \int d^4x \sqrt{-g} \left[ R + 6 - \frac{1}{4} F^2 + \dots \right]$$

Admits  $AdS_4$  vacuum  $\leftrightarrow$   $d=3$  CFT with global  $U(1)$

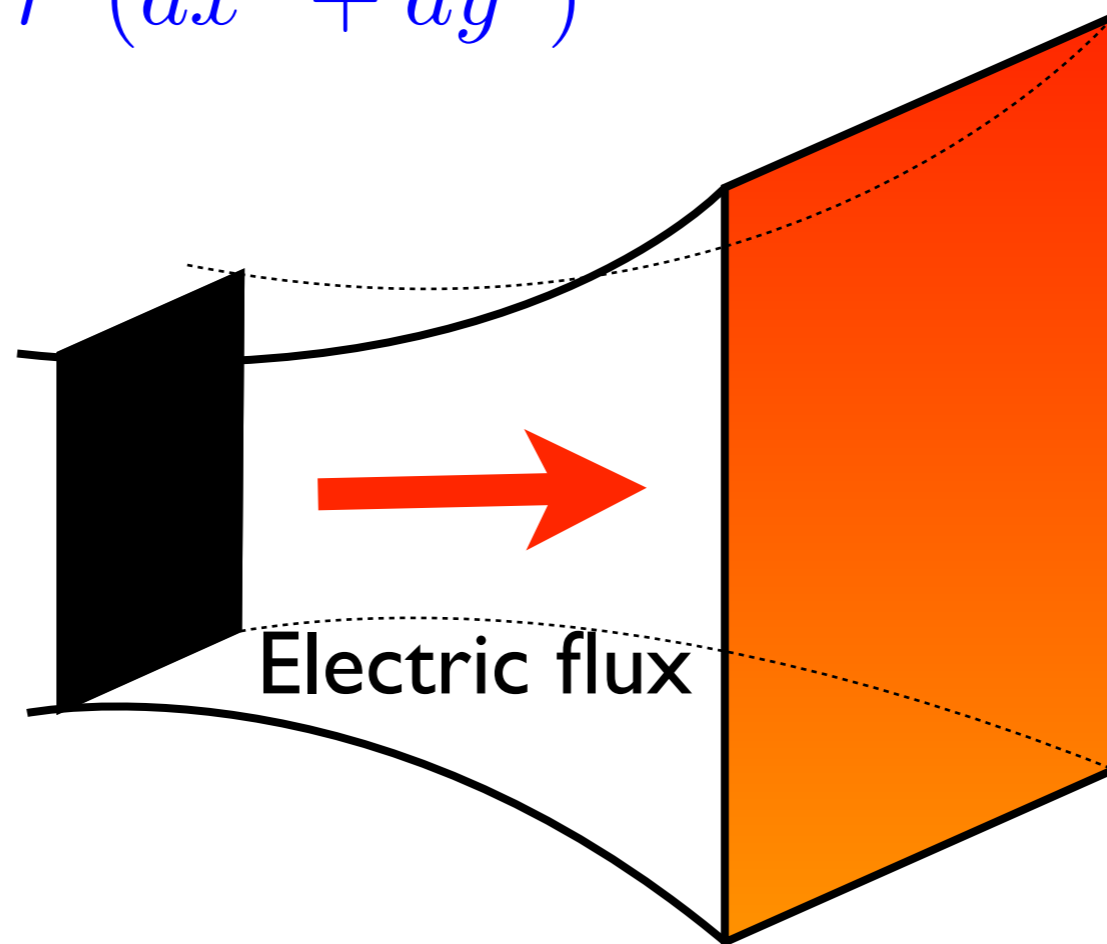


# Electrically charged AdS-RN black hole (brane)

Describes holographic matter at finite charge density that is translationally invariant

$$ds^2 = -U dt^2 + \frac{dr^2}{U} + r^2(dx^2 + dy^2)$$

$$A_t = \mu \left(1 - \frac{r_+}{r}\right)$$



d=3 CFT

$\mu$   $T$

T=0 limit:

$AdS_2 \times \mathbb{R}^2$

IR

$AdS_4$

UV



By perturbing the black hole and using holographic tools we can calculate the electric conductivity and find a delta function at  $\omega = 0$  [Hartnoll]

Construct lattice black holes dual to CFT with  $\mu(x)$

$$A_t(x, r) \sim \mu(x) + \mathcal{O}\left(\frac{1}{r}\right) \quad r \rightarrow \infty$$

$$g_{\mu\nu}(x, r)$$

Need to solve PDEs in two (or more) variables

e.g. Monochromatic lattice:

$$\mu(x) = \mu + A \cos kx$$

[Horowitz, Santos, Tong]

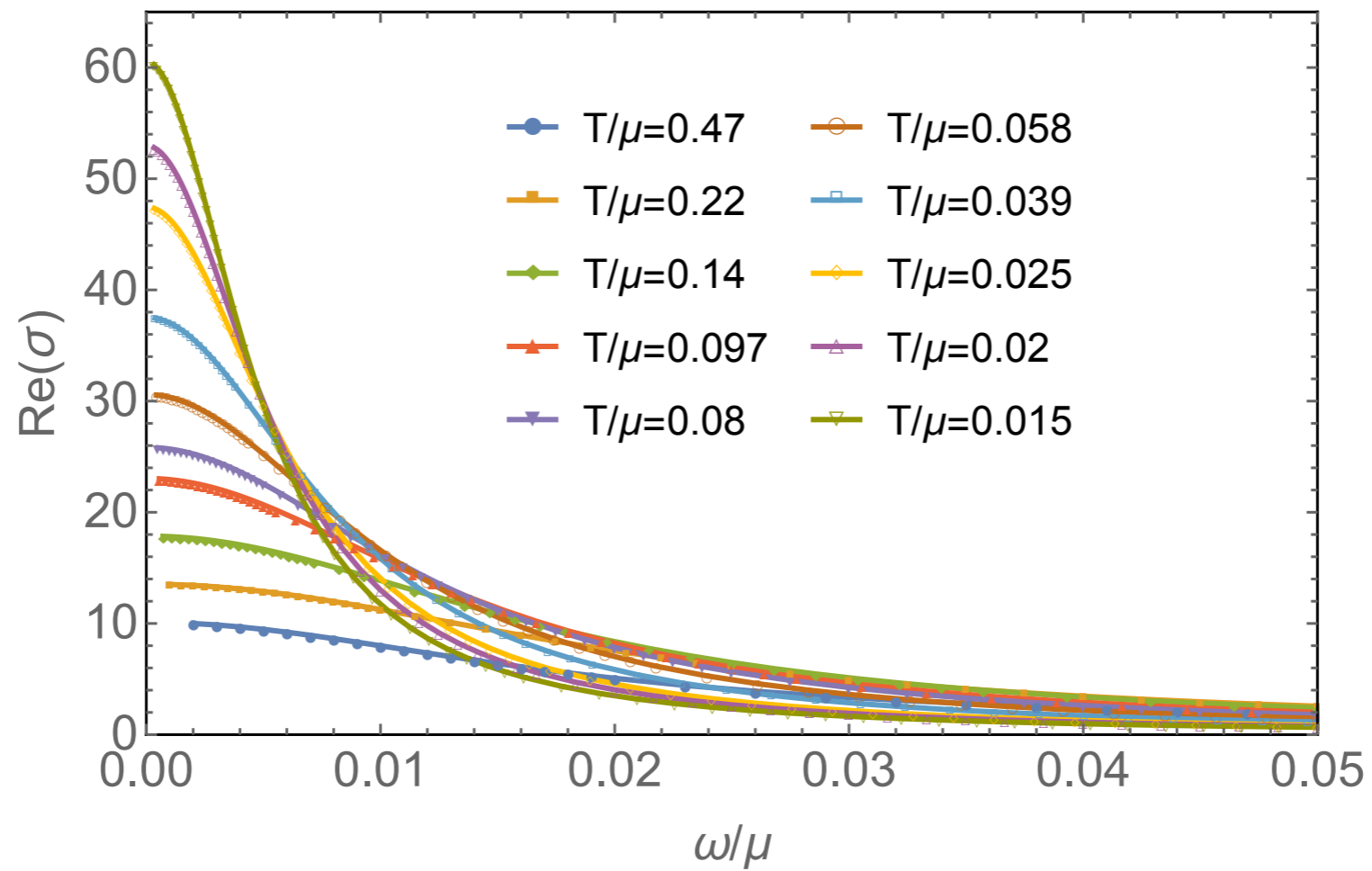
[Ling, Niu, Wu, Xian]

[Chesler, Lucas, Sachdev]

[Donos, JPG]

After constructing black holes, one can perturb, again solving PDEs, to extract thermo-electric conductivities

Find sharp Drude peaks at low T

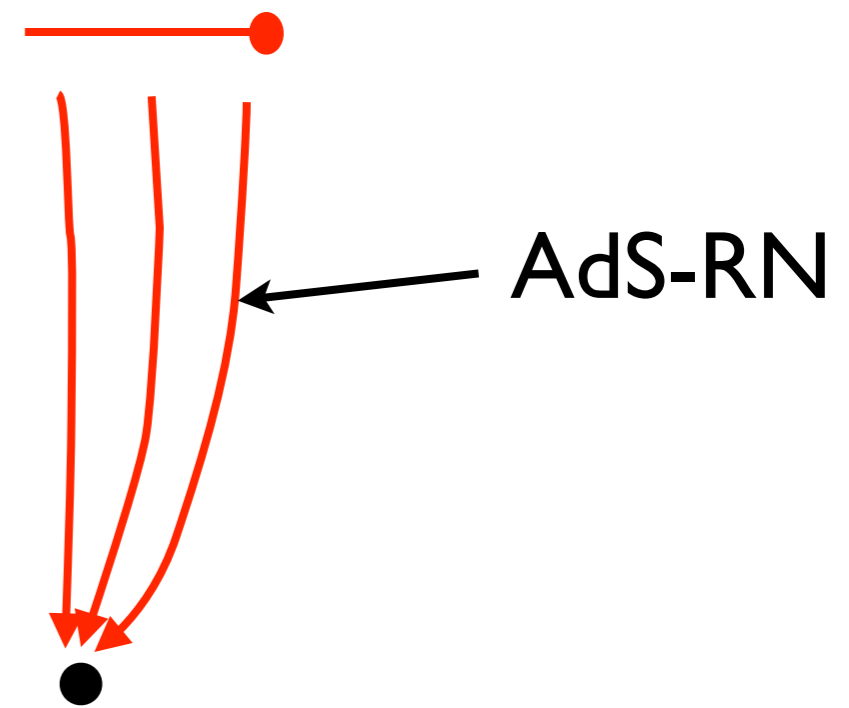


# Coherent metal phases

Can be understood by analysing  $T=0$  solutions:

UV data

$$k/\mu \quad A/\mu$$



IR fixed point

$$AdS_2 \times \mathbb{R}^2$$

At  $T=0$  the black holes approach  $AdS_2 \times \mathbb{R}^2$  in the IR

perturbed by irrelevant operator with  $\Delta(k_{IR}) \geq 1$

[Hartnoll, Hofman]

Don't find exceptions to this behaviour, for these lattices, even for "dirty lattices" e.g.

$$\mu(x) = 1 + A \sum_{n=1}^{10} \cos(n k x + \theta_n),$$

# Holographic Q-lattices

[Donos, JPG]

- Illustrative D=4 model

$$\mathcal{L} = R - \frac{1}{2} |\partial\varphi|^2 + V(|\varphi|) - \frac{Z(|\varphi|)}{4} F^2$$

- Choose  $V, Z$  so that AdS-RN is a solution at  $\varphi = 0$
- Now  $\varphi \leftrightarrow \mathcal{O}$  in CFT. Want to build a holographic lattice by deforming with the operator  $\mathcal{O}$
- The model has a gauge  $U(1)$  and a global  $U(1)$  symmetry  
Exploit the **global bulk** symmetry to break translations so that we only have to solve ODEs

## Ansatz for fields

$$ds^2 = -U dt^2 + U^{-1} dr^2 + e^{2V_1} dx^2 + e^{2V_2} dy^2$$

$$A_t = a(r)$$

$$\varphi(r, x) = \phi(r) e^{ikx}$$

UV expansion: approaches AdS with deformation

$$U = r^2 + \dots, \quad e^{2V_1} = r^2 + \dots, \quad e^{2V_2} = r^2 + \dots$$

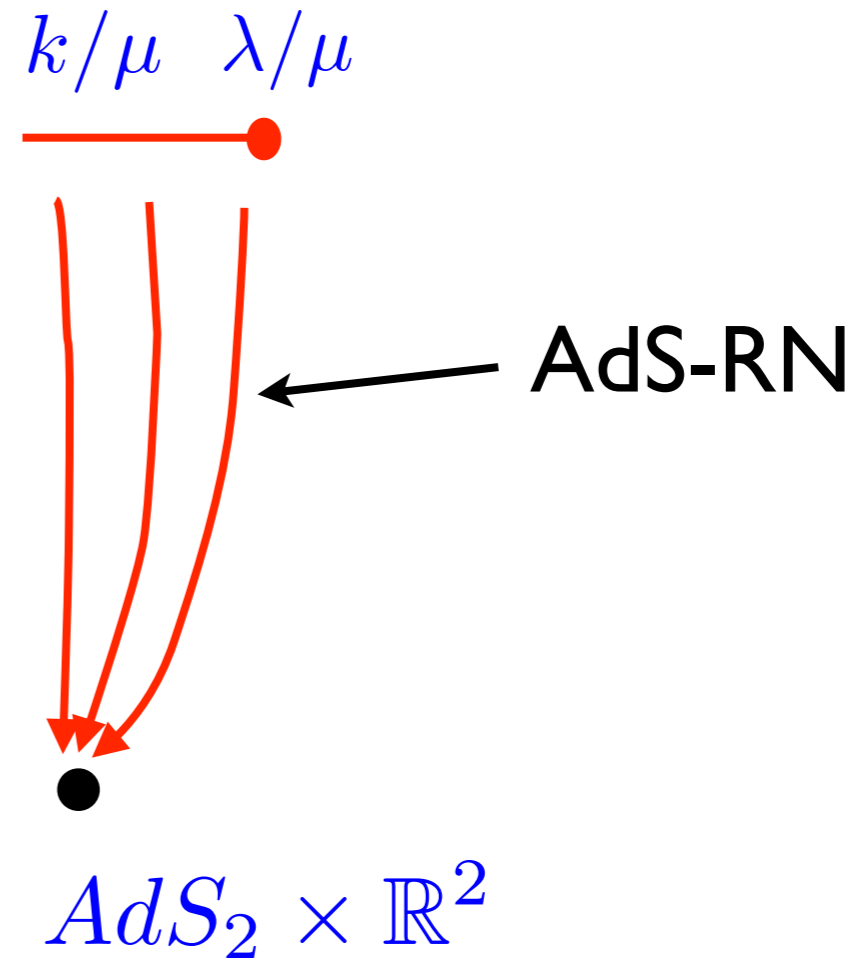
$$a = \mu + \frac{q}{r} \dots, \quad \phi = \frac{\lambda}{r^{3-\Delta}} + \dots$$

Homogeneous and anisotropic and periodic holographic lattices

$$\text{UV data: } T/\mu \quad \lambda/\mu^{3-\Delta} \quad k/\mu$$

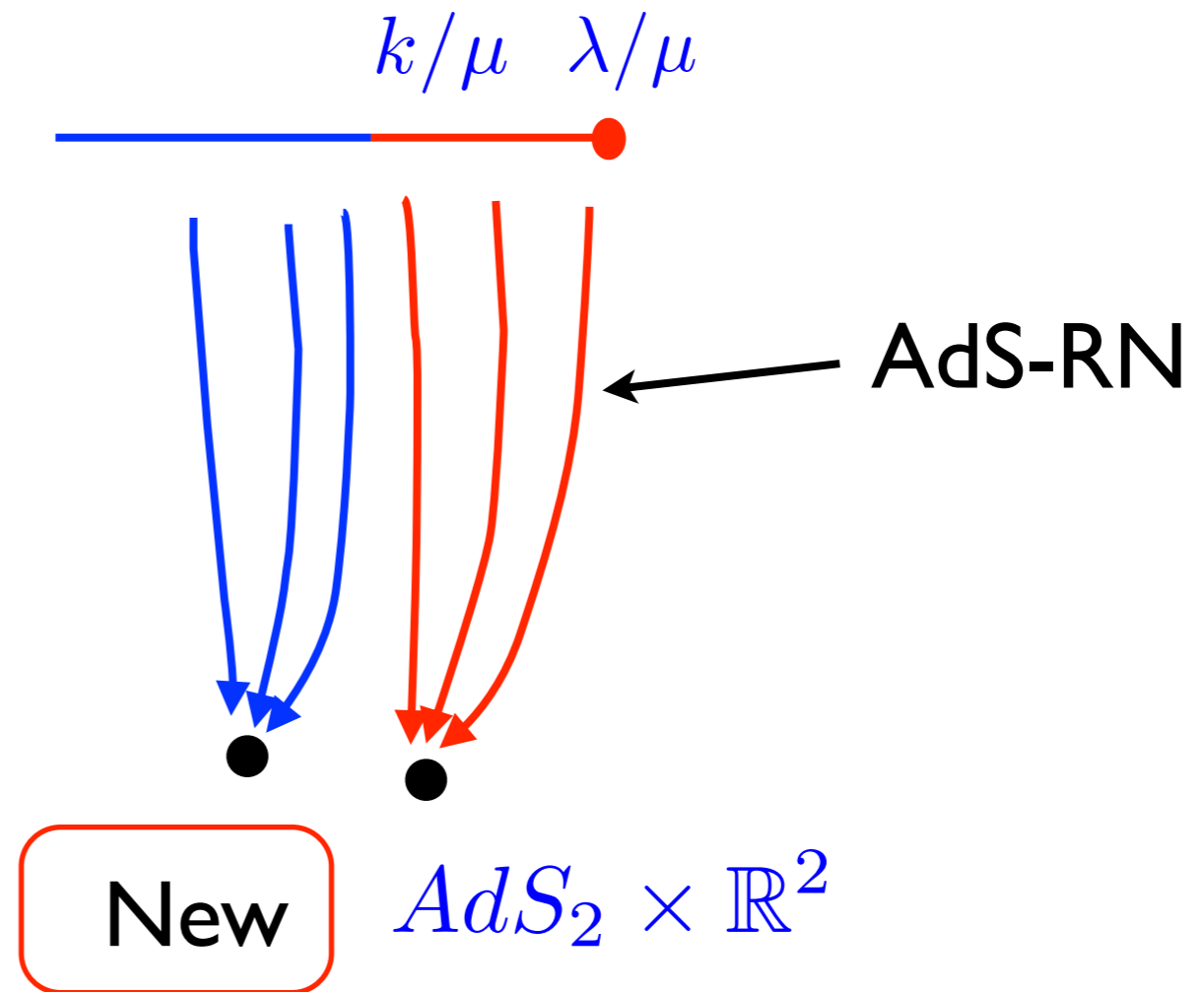
For small deformations from AdS-RN we find Drude peaks for small  $T$  corresponding to coherent metals.

This can be understood by examining  $T=0$  behaviour of solutions:



For small deformations from AdS-RN we find Drude peaks for small  $T$  corresponding to coherent metals.

This can be understood by examining  $T=0$  behaviour of solutions:



For larger deformations, for specific models, we find a transition to new behaviour. The new ground states - which break translations - can be both **insulators** and also **incoherent metals!**

See also: [\[Gouteraux\]](#)[\[Andrade,Withers\]](#)



## DC conductivity of Q-lattices

Generalised Ohm/Fourier Law:

$$\begin{pmatrix} J \\ Q \end{pmatrix} = \begin{pmatrix} \sigma & \alpha T \\ \bar{\alpha} T & \bar{\kappa} T \end{pmatrix} \begin{pmatrix} E \\ -(\nabla T)/T \end{pmatrix}$$

$J^a$  Electric current

$Q^a = T^{ta} - \mu J^a$  Heat current

For Q-lattice black holes the DC matrices  $\sigma, \alpha, \bar{\alpha}, \bar{\kappa}$  diagonal

Can obtain from the behaviour of the Q-lattice solutions at the black hole horizon [\[Donos, JPG\]](#) (more later!)

$$\alpha = \bar{\alpha} = - \left[ \frac{4\pi\rho}{k^2\Phi(\phi)} \right]_{r=r_+} \quad \bar{\kappa} = \left[ \frac{4\pi sT}{k^2\Phi(\phi)} \right]_{r=r_+}$$

$$\sigma = - \left[ e^{-V_1+V_2} Z(\phi) + \frac{4\pi\rho^2 s^{-1}}{k^2\Phi(\phi)} \right]_{r=r_+}$$

First term in  $\sigma$  is actually  $(J/E)_{Q=0} \equiv \sigma - \alpha^2 \bar{\kappa}^{-1} T$

“Pair evolution” term

Second term “Dissipation” term

Different ground states can be dominated by first or second term

Notice that the first term is finite when  $k \rightarrow 0$

- For dissipation dominated  $T=0$  ground states  $\kappa$  and  $\bar{\kappa}$  can have different low temperature scaling (n.b.  $\kappa = \bar{\kappa}$  for FL)

- Wiedemann-Franz law violated:  $\kappa/\sigma T$  not constant

- Metals  $\sigma \rightarrow \infty$  both at small and large  $T$

Hence there is a minimum conductivity  $\Leftrightarrow$  a maximum resistivity at some finite  $T$  c.f Mott-Ioffe-Regel bound

- Figure of merit:  $ZT \equiv \frac{\alpha^2 T}{\kappa \sigma}$

Measure of the efficiency of thermoelectric engines.

Maximum known value is about 3

Holography:  $ZT$  diverges at high temperature and also diverges at low temperature for coherent metal ground states

## Other lattices

- Scalar lattice - one-dimensional. Solve PDEs

[Horowitz, Santos, Tong]

Similar to Q-lattice results

[Rangamani, Rozali, Smyth]

- Helical

[Donos, Hartnoll] [Donos, JPG, Pantelidou] [Donos, Gouteraux, Kiritsis]

[Erdmenger, Herweth, Klug, Meyer, Shalm]

c.f. [Nakamura, Ooguri, Park]

Replace spatial  $\mathbb{R}^3$  with Bianchi  $VII_0$

There is a universal helical deformation of all d=4 CFTs.

In holography this leads to a conformally invariant ground

state at T=0

[Donos, JPG, Pantelidou]

- Others: [Balasubramanian, Herzog] [Jain, Kundu, Sen, Sinha, Trivedi] [Vegh]

# Axion lattices and N=4 SYM

[Banks,JPG]

- Consistent KK truncation of IIB on  $S^5$

$$S = \int d^5x \sqrt{-g} \left( R - \frac{1}{2} (\partial\phi)^2 - \frac{1}{2} e^{2\phi} (\partial\chi)^2 + 12 \right)$$

- Axionic lattice black holes

[Azeyanagi,Li,Takayanagi]

[Mateos,Trancanelli]

$$ds^2 = -U dt^2 + \frac{dr^2}{U} + e^{2V_1} (dx^2 + dy^2) + e^{2V_3} dz^2$$

$$\phi = \phi(r)$$

$$\chi = az$$

Describe anisotropic phase of N=4 SYM

- Zero temperature limit approach in the IR

$$ds^2 = L^2 \left( \frac{dr^2}{r^2} + r^2 (-d\bar{t}^2 + d\bar{x}^2 + d\bar{y}^2) + r^{4/3} d\bar{z}^2 \right)$$

Thermal insulator with  $\kappa \sim T^{7/3}$

These black holes are unstable

Kaluza-Klein reduction on  $S^5$  gives rise to 20 scalars with masses that saturate the  $AdS_5$  BF bound but violate an analogous bound for the IR Lifshitz solution

Study via a consistent KK truncation that keeps one of the 20 scalars

[Banks, JPG]

$$S = \int d^5x \sqrt{-g} \left( R - \frac{1}{2}(\partial\phi)^2 - \frac{1}{2}e^{2\phi}(\partial\chi)^2 - 3X^{-2}(\partial X)^2 + 4(X^2 + 2X^{-1}) \right)$$

Find that [Mateos, Trancanelli] black holes undergo a phase transition, with a condensation of the X-field

Curiously the transition has critical exponents NOT mean field type

Ground state is a kind of hyper-scaling type solution  $\kappa \sim T^{10/3}$

# DC Conductivity and Navier Stokes

[Donos, JPG]

Consider D=4 Einstein-Maxwell Theory

- Background lattice black holes

$$ds^2 = -UG dt^2 + \frac{F}{U} dr^2 + g_{ij} dx^i dx^j$$

$$A = a_t dt$$

with  $U = U(r)$  and everything else depends on  $(r, x^i)$

- Behaviour at AdS boundary

$$U \rightarrow r^2 \quad F \rightarrow 1$$

$$G \rightarrow \bar{G}(x) \quad g_{ij}(r, x) \rightarrow r^2 \bar{g}_{ij}(x) \quad a_t(r, x) \rightarrow \mu(x)$$

Very general class of UV lattice data

- Demand that they have regular black hole horizon at  $r = 0$



- Perturb the black hole

$$\delta(ds^2) = \delta g_{\mu\nu} dx^\mu dx^\nu - 2tGU \zeta_i dt dx^i$$

$$\delta A = \delta a_\mu dx^\mu - tE_i dx^i + ta_t \zeta_i dx^i$$

- Behaviour at AdS boundary

The only sources are provided by the **closed** one-forms  $E(x), \zeta(x)$  - they source the electric and heat currents

- Regular behaviour at the horizon

Kruskal coordinate  $v = t + \frac{\ln r}{4\pi T} + \dots$

e.g.  $\delta a_i = \frac{\ln r}{4\pi T} (-E_i + a_t \zeta_i) + \dots$

- Electric current  $J^i = \sqrt{-g}F^{ir}$

At the AdS boundary,  $J^i|_{\infty}$ , this **is** the electric current

$$\partial_i J^i = 0, \quad \partial_r J^i = \partial_j (\sqrt{-g}F^{ji})$$

- Heat current

Want a suitable two-form. Let  $k = \partial_t$  and define

$$G_{\mu\nu} = \nabla_{[\mu}k_{\nu]} + \frac{1}{2}k_{[\mu}F_{\nu]\sigma}A^\sigma + \theta F^{\mu\nu}$$

(think of First Law or Kaluza-Klein reduction)

$$Q^i = -2\sqrt{-g}G^{ir}$$

$$\partial_i Q^i = 0, \quad \partial_r Q^i = -\partial_j (2\sqrt{-g}G^{ji})$$

- Evaluate currents on the horizon and examine the linear equations of motion satisfied by the perturbation

Define  $v_i \equiv \delta g_{it}^{(0)}$        $w \equiv \delta a_t^{(0)}$

$$p \equiv 4\pi T \frac{\delta g_{rt}^{(0)}}{G^{(0)}} + \delta g_{it}^{(0)} g_{(0)}^{ij} \nabla_j \ln G^{(0)}$$

Find

$$\nabla_i v^i = 0$$

$$\nabla^2 w - v^i \nabla_i (a_t^{(0)}) = -\nabla_i E^i$$

$$\nabla^2 v_j + R_{ji} v^i - a_t^{(0)} \nabla_j w - \nabla_j p = 4\pi T \zeta_j + a_t^{(0)} E_j$$

Linear, time-independent, forced **Navier-Stokes equations** for a charged, incompressible fluid on the curved black hole horizon!

Note: no hydrodynamic limit has been taken.

- Solutions are uniquely fixed by the sources  $E(x), \zeta(x)$  (unless there is Killing vectors on the horizon)
- Given  $J^i, Q^i$  on the horizon, what do we know about  $J^i|_\infty, Q^i|_\infty$  (the holographic electric currents)?

Need to integrate:

$$\partial_r J^i = -\partial_j (2\sqrt{-g}F^{ji}) \qquad \partial_r Q^i = -\partial_j (2\sqrt{-g}G^{ji})$$

Can always define constant averaged currents e.g. if the lattice deformation is periodic in  $x^i$  with period  $2\pi L_i$

$$\bar{J}^1 \equiv \frac{1}{2\pi L_2} \int J^1 dx^2 \qquad \bar{J}^2 \equiv \frac{1}{2\pi L_1} \int J^2 dx^1$$

and also for Q, and we can obtain the averaged DC conductivity

## Examples

- Can recover earlier results for Q-lattices and one-dim lattices
- Perturbative, periodic lattice about AdS-RN black brane

Let  $\lambda$  be the expansion parameter

The black hole horizon is a small expansion about flat space

$$g_{(0)ij} = g \delta_{ij} + \lambda h_{ij}^{(1)} + \dots$$

$$G^{(0)} = f_{(0)} + \lambda f_{(1)} + \dots$$

$$a_t^{(0)} = a + \lambda a_{(1)} + \dots$$

Solve Navier-Stokes perturbatively in  $\lambda$

- At leading order in  $\lambda$  we find

$$\alpha_{ij} = \bar{\alpha}_{ij} = \frac{L_{ij}^{-1}}{\lambda^2} 4\pi\rho + \dots \quad \bar{\kappa}_{ij} = \frac{L_{ij}^{-1}}{\lambda^2} 4\pi sT + \dots$$

$$\sigma_{ij} = \frac{L_{ij}^{-1}}{\lambda^2} \frac{4\pi\rho^2}{s} + \dots$$

where 
$$L_{ij} = \int_H l_{ij}(h_{kl}^{(1)}, a^{(1)})$$

Consistent with memory matrix formalism

[Barkeshli, Hartnol, Mahajan]

# Summary/Final Comments

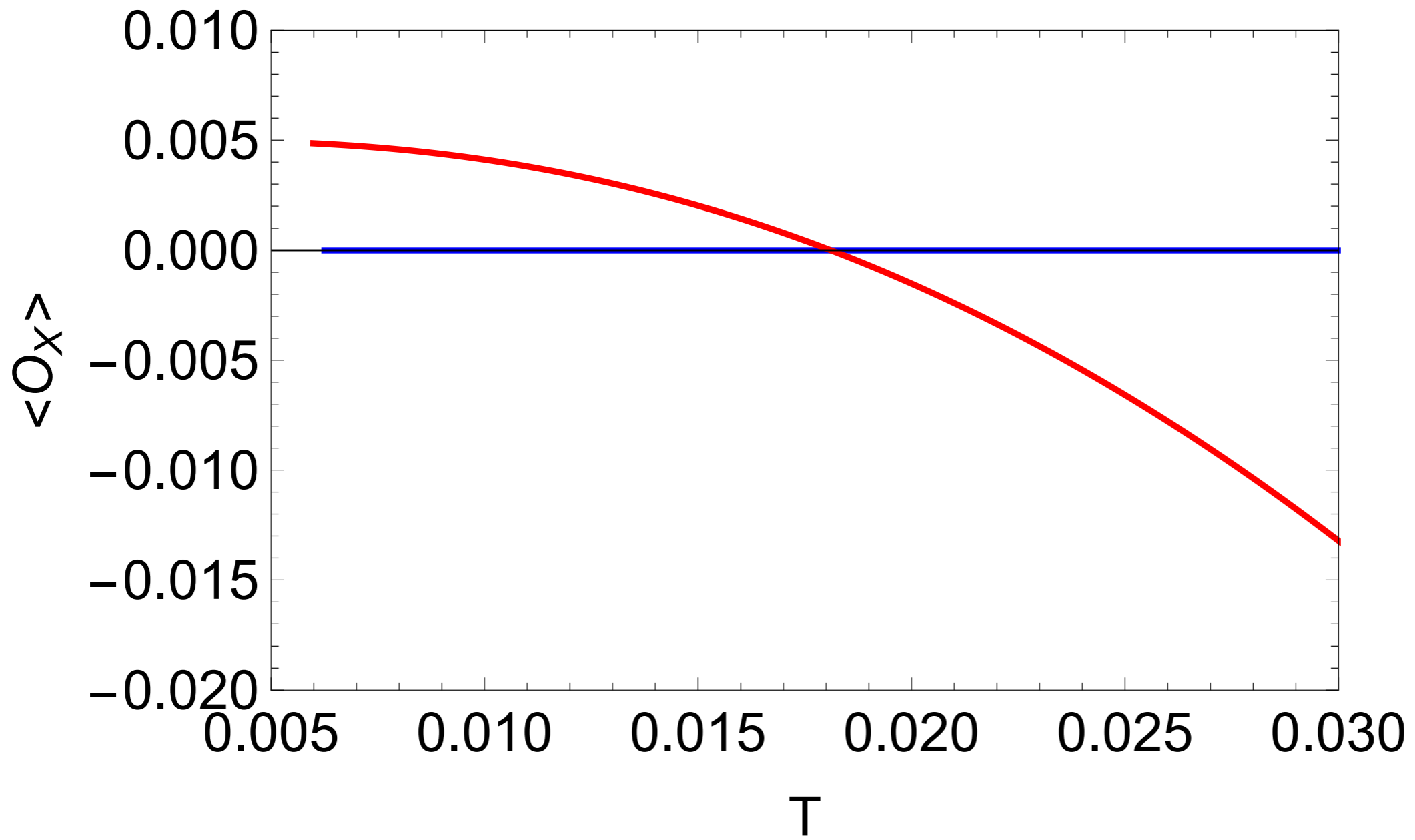
- Holographic lattices are interesting
- Coherent metals/Drude physics can be understood by the appearance of translationally invariant ground states in the far IR e.g.  $AdS_2 \times \mathbb{R}^2$  perturbed by irrelevant operators
- For larger deformations the Q-lattices (and others) can realise incoherent metallic and insulating phases and transitions between them

The new  $T=0$  ground states break translation invariance and have novel thermoelectric transport properties.

What is the landscape? How can they be realised?

- New appearance of Navier-Stokes on black hole horizons  
Can be used to obtain DC thermoelectric conductivities





Phase transition is not mean field!

$$\langle \mathcal{O} \rangle \sim (T_c - T)^\beta \quad \beta = 1$$

$$C \sim (T_c - T)^{-\alpha} \quad \alpha = 1$$

Mean field:  $\beta = 1/2 \quad \alpha = 0$

T=0 ground states in the far IR:

$$ds^2 \sim \rho^{\frac{-2(3-\theta)}{3}} \left( d\rho^2 - dt^2 + d\bar{x}^2 + d\bar{y}^2 + \rho^{-2(z-1)} d\bar{z}^2 \right)$$

$$e^\phi \sim \rho^{-2/3}, \quad X \sim \rho^{-2/3}, \quad \chi = a\bar{z}$$

$$\theta = -1, \quad z = 2/3$$