



A Closed-Time-Path look at neutrino dynamics in the early universe

Yannis Georis (ヤニス・ジョリス)

Based on various works in collaboration with T. Binder, M. Drewes, A. Finke, M. Klasen, Y. Li, G. Pierobon, L. Wiggering and Y.Y.Y. Wong.

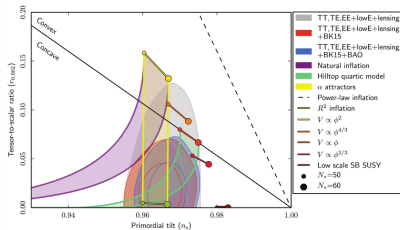
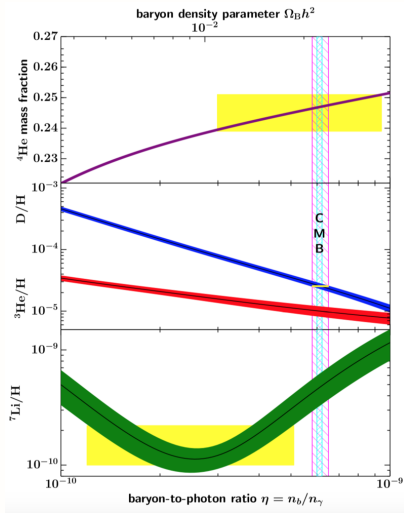
See [arXiv:2402.18481](#), [arXiv:2411.14091](#), [arXiv:26XX.XXXXX](#)

Quantum crossroads: Interdisciplinary, hybrid workshop at the interface of open quantum systems, high-energy physics, and quantum science

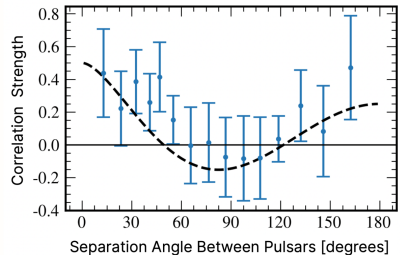
August 4, 2026

Entering the precision cosmology era

[Credit: Particle Data Group]



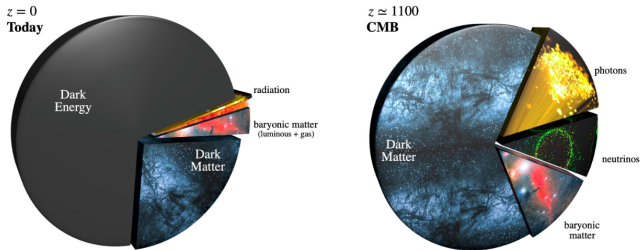
[Credit: Planck]



[Credit: Nanograv]

- Increasing precision and number of observables help building a consistent picture of the early universe history!

The effective number of neutrinos N_{eff}

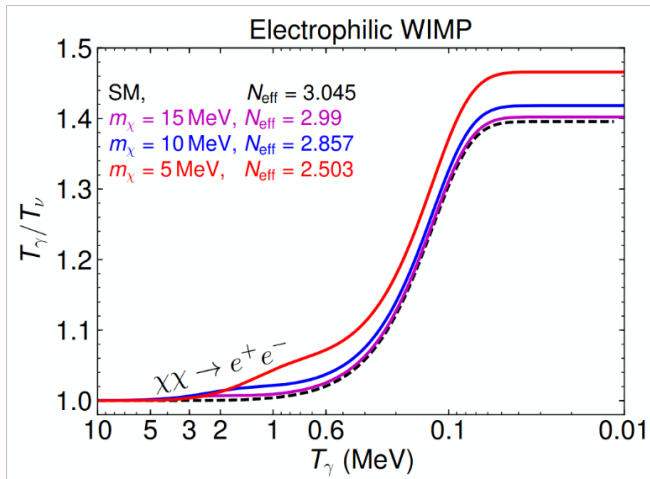


[Credit: Cirelli/Strumia/Zupan; 2406.01705]

- Amount of radiation parametrised by

$$\rho_{\text{rad}} \equiv \rho_{\gamma} + \rho_{\nu} + \text{BSM} = \frac{\pi^2 T^4}{15} \left(1 + \frac{7}{8} \left(\frac{4}{11} \right)^{4/3} N_{\text{eff}} \right)$$

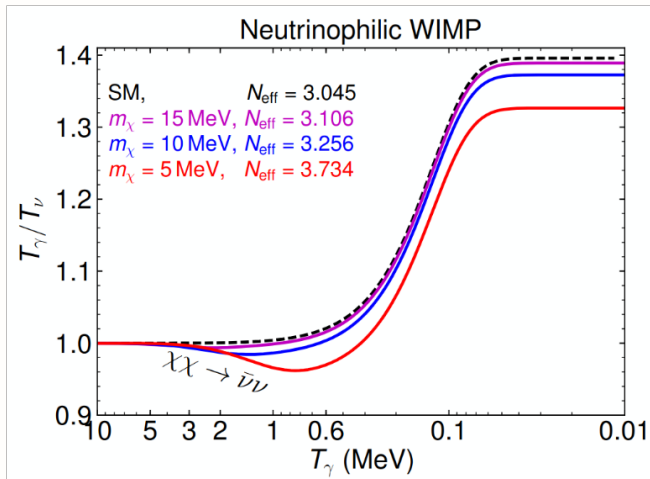
- Effective number of neutrinos: $N_{\text{eff}} \simeq 3$ in the SM due to 3 generations of neutrinos.
→ Sensitive **probe** of **new light physics**!



[Credit: M. Escudero]

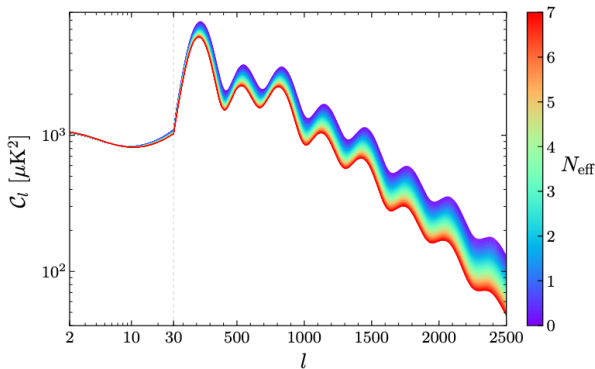
- Light BSM physics can lead to larger deviation from $N_{\text{eff}} = 3$.

N_{eff} as a probe of new physics



[Credit: M. Escudero]

- Light BSM physics can lead to larger deviation from $N_{\text{eff}} = 3$.



[Credit: Baumann; 1807.03098]

- Planck measurement (+BAO) leads to the constraint

$$N_{\text{eff}}^{\text{obs}} = 2.99 \pm 0.17 \text{ (68\% C.L.)}$$

- Future experiments (Simons Observatory, CMB-S4/-HD (?), ...) expected to improve to $\sigma(N_{\text{eff}}) \sim \mathcal{O}(10^{-2})$
→ Timely to improve accuracy of theoretical predictions!

Question: How well do we know N_{eff} theoretically?

1. What physical effects impact N_{eff} ?
2. Impact of NLO corrections to the electron-neutrino interaction rates
[Drewes/YG/Klasen/Wiggering/Wong, 2402.18481], [Drewes/YG/Finke/Klasen/Li/Wong, 26XX.XXXXX]
3. Impact of bound-state production on N_{eff}
[Binder/Drewes/YG/Klasen/Pierobon/Wong, 2411.14091]
4. Take-home message

Physical effects impacting N_{eff}

In the SM:

- Continuity equation

$$\frac{d\rho_{\text{tot}}}{dt} + 3H(\rho_{\text{tot}} + P_{\text{tot}}) = 0 \longleftrightarrow \frac{dz}{dx} = \frac{\tau J_+(\tau) - 1/(2z^3) d\bar{\rho}_\nu/dx + G_1(\tau)}{\tau^2 J_+(\tau) + Y_+(\tau) + 2\pi^2/15 + G_2(\tau)}$$

Tracking N_{eff} in the SM

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$\propto T_\gamma/T_\nu$ (pointing to $\tau J_+(\tau)$)
 $\propto a$ (pointing to dz/dx)

- Necessity to simultaneously track the time evolution of neutrinos

Motivations

Need systematic way to study particle properties and production in the early universe:

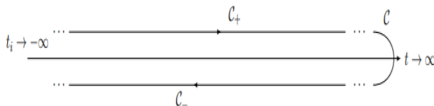
- S-matrix approach does not apply: No asymptotic states!
- Boltzmann ansatz not always consistent (double counting) and misses some aspects (e.g., screening).

The Closed-Time-Path formalism

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- S-matrix approach does not apply: No asymptotic states!
- Boltzmann ansatz not always consistent (double counting) and misses some aspects (e.g., screening).
- Powerful approach: **CTP** formalism.



- Derive evolution equations for correlation functions

$$iS_{\alpha\beta}^{++}(x, y) \equiv \langle T_C [\psi_\alpha(x) \bar{\psi}_\beta(y)] \rangle$$

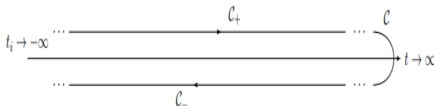
through controlled approximations.

The Closed-Time-Path formalism

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through controlled approximations.

- **Broad range of applications! Dark matter, baryogenesis, inflation/reheating, neutrino decoupling, ...**



Deriving the ν quantum kinetic equations from first principles

- Starting point: Kadanoff-Baym equations

Spectral $(i\partial_{x_1} - M) S^A(x_1, x_2) = \int d^4x' \left[\Sigma^H(x_1, x') S^A(x', x_2) + \Sigma^A(x_1, x') S^H(x', x_2) \right],$

Statistical $(i\partial_{x_1} - M) S^+(x_1, x_2) = \int d^4x' \left[\Sigma^+(x_1, x') S^H(x', x_2) + \Sigma^H(x_1, x') S^+(x', x_2) \right]$
 $+ \frac{1}{2} \int d^4x' \left[\Sigma^>(x_1, x') S^<(x', x_2) - \Sigma^<(x_1, x') S^>(x', x_2) \right].$

ν propagators

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ν propagators

- Inject knowledge of physical system:
 - Homogeneous isotropic universe
 - Equilibrium of all non- ν species (trace out environment)
 - No chemical potential (see e.g. Li & Yu, 2409.08280)
 - Gradient expansion $\partial_t \ll E$ (separation of scales)

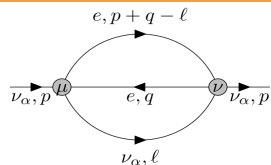
$$\longrightarrow \partial_t \delta S = 2 \frac{\partial_t f_F}{1 - 2f_F} \bar{S}^+ + i [\bar{\mathcal{H}}, \delta S] - \{\bar{\mathcal{G}}, \delta S\}$$

$$i\gamma^0 (S^+ - \bar{S}^+)$$

$$(\not{p} - \tilde{\Sigma}^H - M) \gamma^0$$

$$\frac{i}{2} (\tilde{\Sigma}^> - \tilde{\Sigma}^<) \gamma^0$$

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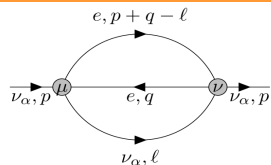
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Relativistic ν s

$i\gamma^0 (S^+ - \bar{S}^+)$

$(\not{p} - \bar{\Sigma}^H - M) \gamma^0$

$\frac{i}{2} (\bar{\Sigma}^> - \bar{\Sigma}^<) \gamma^0$

Deriving the ν quantum kinetic equations from first principles

- ν 's close to equilibrium: Kadanoff-Baym/quasiparticle ansatz for

Propagator $\longleftrightarrow$ Distribution function

$$iS_{\alpha\beta}^+(k) = (1 - 2\varrho_{\alpha\beta})S^{\mathcal{A}} \propto (1 - 2\varrho_{\alpha\beta})\delta(k^2), \quad \delta S_{\alpha\beta} \propto \delta\varrho_{\alpha\beta}\delta(k^2)$$

→ Valid for a broad range of non-equilibrium situation where particles are feebly interacting

- Including the expansion of the universe

$$\partial_t \varrho - pH \partial_p \varrho = -i[H_\nu, \varrho] + \mathcal{I}_\nu[\varrho]$$

- **Effective Hamiltonian:** Vacuum + thermal masses (modified dispersion relation).
- **Collision integral:** Neutrino annihilation and scatterings ($\nu - \nu$, $\nu - e$). Non-linear in ϱ .

Tracking N_{eff} in the SM

In the SM:

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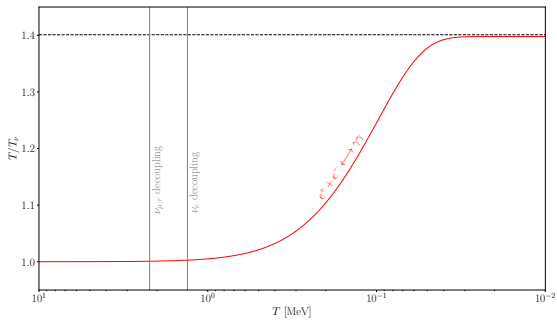
$$\frac{d\rho_{\text{tot}}}{dt} + 3H(\rho_{\text{tot}} + P_{\text{tot}}) = 0 \longleftrightarrow \frac{dz}{dx} = \frac{\overset{\propto T_\gamma/T_\nu}{\tau J_+(\tau)} - \overset{\text{Neutrinos}}{1/(2z^3)d\bar{\rho}_\nu/dx} + \overset{\text{Non-ideal terms}}{G_1(\tau)}}{\underset{\text{Electrons}}{\tau^2 J_+(\tau) + Y_+(\tau)} + \underset{\text{Photons}}{2\pi^2/15} + G_2(\tau)} \underset{\propto a}{\downarrow}$$

- Necessity to simultaneously track the time evolution of neutrinos

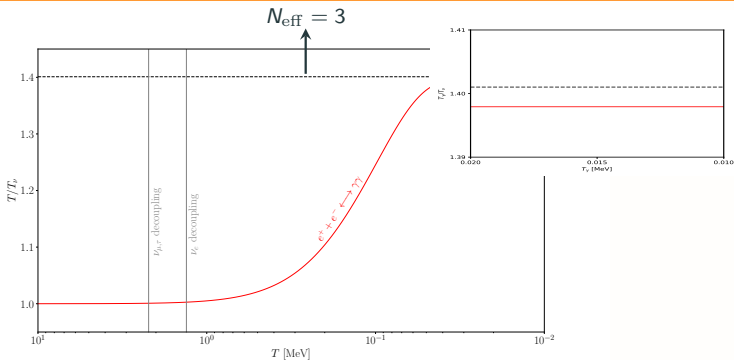
$$\partial_t \varrho - pH \partial_p \varrho = -i[H_\nu, \varrho] + \mathcal{I}_\nu[\varrho]$$

$\swarrow$ ν density matrix Oscillations $\searrow$ $\nu - \nu, \nu - e$
 scatterings/annihilations

Neutrino decoupling



Neutrino decoupling



- Deviations from $N_{\text{eff}} = 3$ from

Standard-model corrections to $N_{\text{eff}}^{\text{SM}}$	Leading-digit contribution
m_e/T_d correction	+0.04
$\mathcal{O}(e^2)$ FTQED correction to the QED EoS	+0.01
Non-instantaneous decoupling+spectral distortion	-0.005
$\mathcal{O}(e^3)$ FTQED correction to the QED EoS	-0.001
Flavour oscillations	+0.0005
Type (a) FTQED corrections to the weak rates	$\lesssim 10^{-4}$

[Bennett et al, '20]

- Current theoretical reference:

$$N_{\text{eff}} = 3.0440 \pm 0.0002$$

[Bennett et al '20, Froustey/Pitrou/Volpe '20, Akita/Yamaguchi '20]

Is it the ultimate result?

- Obtained for tree-level $\nu - e$ interaction rates. What about NLO?
Recent debate in the literature: 3.043 or 3.044?
- What about non-perturbative effects, i.e. bound-state formation?

Useful/Desirable to keep theoretical error well below future experimental sensitivities in order to avoid to propagate errors.

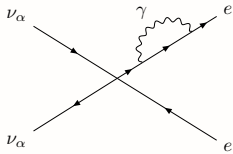
NLO corrections to the $\nu - e$ interaction rates

Recent spike of interest in N_{eff} but contradictory results:

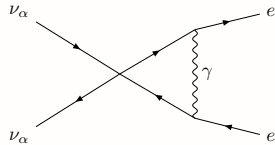
- [Cielo et al '23] claims $3.044 \rightarrow 3.043$ but
 1. Do not account for Pauli blocking
 2. Neglect NLO corrections to $\nu e \leftrightarrow \nu e$.
 3. Missing one diagram
- [Laine/Jackson '23, '24] observe a $\mathcal{O}(.1\%)$ correction to the $\nu - e$ rates but set $m_e = 0$
→ Inconsistent with [Cielo et al '23] + no estimate of N_{eff} (fixed in '24).

Need to clarify the situation!

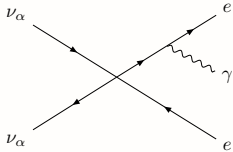
NLO QED correction to $\nu - e$ interaction rates



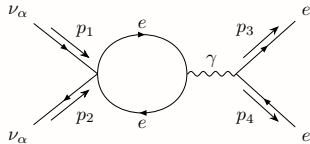
(a)



(b)



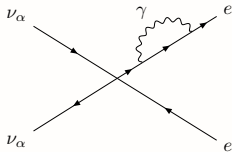
(c)



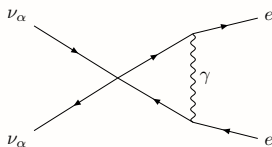
(d)

- Diagrams contributing at NLO to the $\nu - e$ interaction rates.

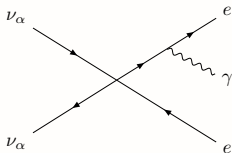
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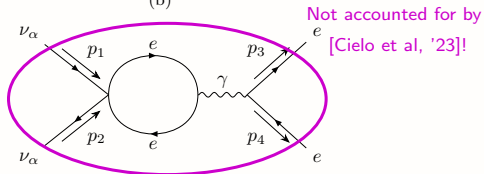
(a)



(b)



(c)



(d)

- Diagrams contributing at NLO to the $\nu - e$ interaction rates.
- t-channel enhancement: expect to be the dominant NLO contribution
→ Confirmed for $m_e = 0$ by [Laine/Jackson, '23]

Thermal equilibrium

- Close to equilibrium, relevant quantities can be computed through use of modified Feynman rules.
- Replace vacuum propagators by

$$iS_{\Psi}(p) = \begin{pmatrix} \frac{i(\not{p} + m_{\psi})}{p^2 - m_{\psi}^2 + i\epsilon} & 0 \\ 0 & -\frac{i(\not{p} + m_{\psi})}{p^2 - m_{\psi}^2 - i\epsilon} \end{pmatrix}$$
$$- 2\pi(\not{p} + m_{\psi})\delta(p^2 - m_{\psi}^2) \begin{pmatrix} f_F(|p^0|) & f_F(|p^0|) - \theta(-p^0) \\ f_F(|p^0|) - \theta(p^0) & f_F(|p^0|) \end{pmatrix}$$

Thermal equilibrium

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Usual Feynman contribution

$$iS_\Psi(p) = \begin{pmatrix} \frac{i(\not{p} + m_\psi)}{p^2 - m_\psi^2 + i\epsilon} & 0 \\ 0 & -\frac{i(\not{p} + m_\psi)}{p^2 - m_\psi^2 - i\epsilon} \end{pmatrix}$$

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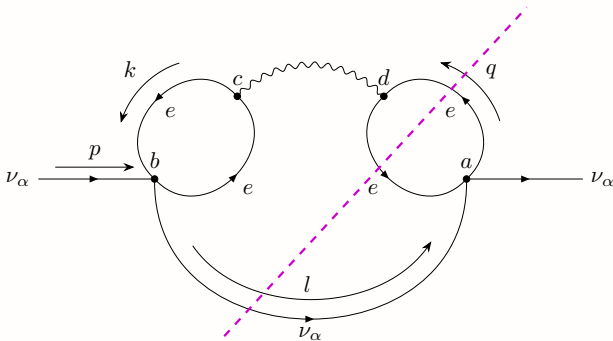
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On-shell plasma contribution

-+

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Computing the $\nu - e$ interaction rates

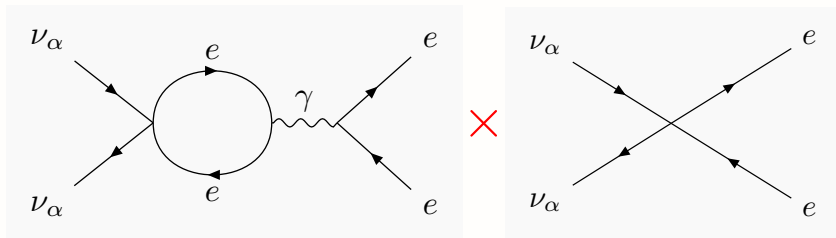


- Optical theorem allows to extract relevant interaction rate from the above self-energy

$$\frac{df_{\nu}^{\alpha}}{dt} = -\Gamma_{\alpha}(f_{\nu}^{\alpha} - f_{\nu}^{\text{eq}})$$

Computing the $\nu - e$ interaction rates

- Equivalently:



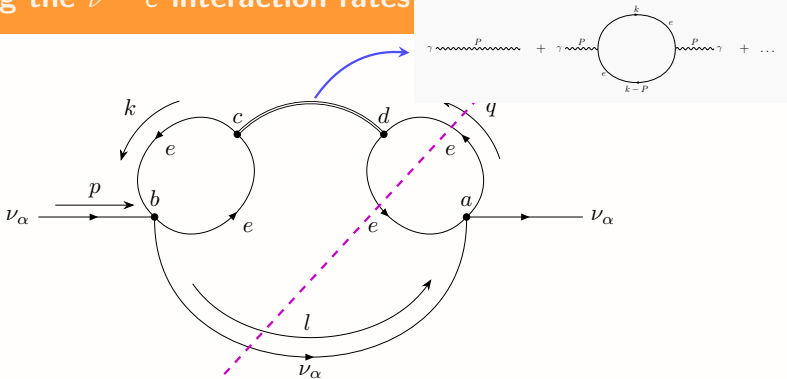
- In the CTP formalism

$$\Gamma_\alpha \propto \frac{1}{2E_p} \sum_{c,d=\pm} \text{sign}(cd)(ie)^2 \left(\frac{i4G_F}{\sqrt{2}} \right)^2 \times$$

$$\times \int_{l,q,k} \text{Tr} [iS_e^{+d}(q)\gamma_\rho(P_L g_L^\alpha + P_R g_R) iS_e^{d+}(q+p-l)\gamma^\mu] iD_{\mu\nu}^{cd}(p-l)$$

$$\times \text{Tr} [\not{p}\gamma^\rho P_L iS_{\nu_\alpha}^{-+}(l)\gamma^\sigma P_L] \text{Tr} [iS_e^{c-}(k)\gamma^\nu iS_e^{-c}(k+p-l)\gamma_\sigma(P_L g_L^\alpha + P_R g_R)].$$

Computing the $\nu - e$ interaction rates

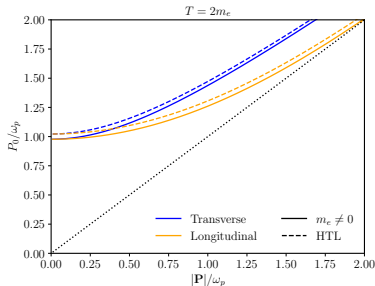


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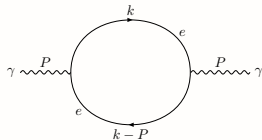
$$\frac{df_{\nu}^{\alpha}}{dt} = -\Gamma_{\alpha}(f_{\nu}^{\alpha} - f_{\nu}^{\text{eq}})$$

- To avoid t-channel divergence, need to 1PI-resum photon propagator

Resummed photon propagator



[Drewes/YG/Klasen/Wigginger/Wong, 2402.18481]



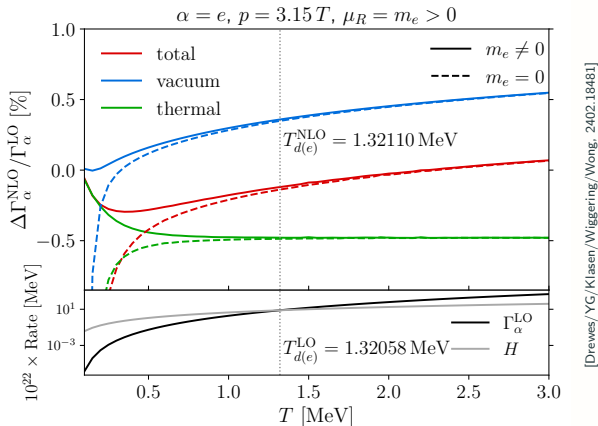
$$\Pi_{ab}^{\mu\nu}(P) = \text{sign}(ab)ie^2 \int \frac{d^4k}{(2\pi)^4} \text{Tr} \left[iS_e^{ab}(k) \gamma^\mu iS_e^{ba}(k-P) \gamma^\nu \right],$$

$$m_D = \sqrt{-\text{Re} \Pi_{++}^L(q_0 = 0, |\mathbf{q}| \rightarrow 0)} \longrightarrow \frac{eT}{\sqrt{3}} \quad (T \gg m_e)$$

At finite temperature, QED interaction leads to

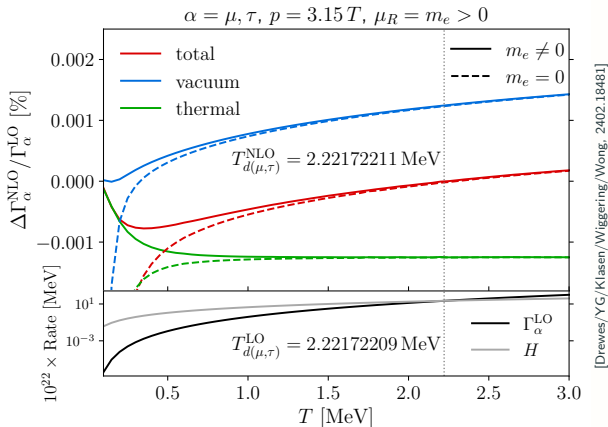
- Photon thermal mass
- Debye screening
- Plasmon process ($\gamma \rightarrow \nu \bar{\nu}$): well known in astrophysical media
- ...

Correction to the LO interaction rates



- $\mathcal{O}(0.1\%)$ corrections in the electron flavour: Consistent with [Laine/Jackson, '23]
- Partial cancellation between vacuum and thermal NLO corrections at $T = T_d$

Correction to the LO interaction rates



- $\mathcal{O}(.001\%)$ corrections in the μ/τ flavours: **strong flavour dependence** of the results due to the different chiral structure!
- Strong cancellation between vacuum and thermal NLO corrections at $T = T_d$

Method	$\delta N_{\text{eff}}/N_{\text{eff}}^{\text{LO}}$	$\delta N_{\text{eff}}^{m_e=0}/N_{\text{eff}}^{\text{LO}}$
Entropy conservation (common decoupling)	-1.1×10^{-5}	-1.2×10^{-5}
Entropy conservation (flavour-dependent decoupling)	-5.4×10^{-6}	-6.1×10^{-6}
Boltzmann damping approximation (mean momentum)	-7.8×10^{-6}	-1.6×10^{-5}
Boltzmann damping approximation (full momentum)	-7.9×10^{-6}	-2.6×10^{-5}

1. Entropy conservation $\longleftrightarrow$ instantaneous decoupling
2. Damping approximation $\longleftrightarrow$ neglecting off-diagonal terms in Boltzmann equations

Estimating N_{eff}

Method	$\delta N_{\text{eff}}/N_{\text{eff}}^{\text{LO}}$	$\delta N_{\text{eff}}^{m_e=0}/N_{\text{eff}}^{\text{LO}}$
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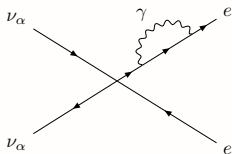
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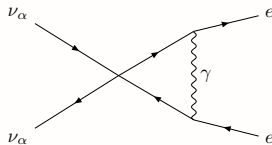
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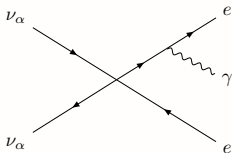
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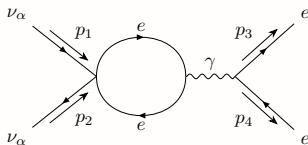
(a)



(b)



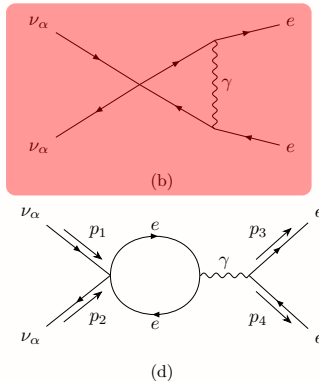
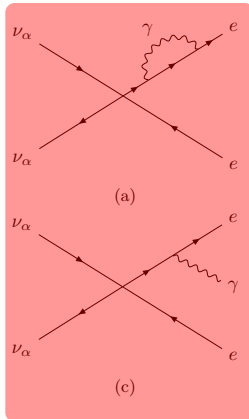
(c)



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- Technical challenge: Cancellation of infrared divergences between vertex and real photon emission

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Impact of bound-state formation

Positronium and N_{eff}

- QED bath is made of e^+ , e^- and γ at $T \sim \text{MeV}$
→ Can $e^+ - e^-$ bound states form?!

Property	Expression at $T = 0$	Numerical value
Binding energy of energy level n	$\Delta E_n = m_e \alpha_{\text{EM}}^2 / (4n^2)$	$6.8/n^2 \text{ eV}$
Mass	$m_{\text{Ps}} = 2m_e - \Delta E_1$	1.022 MeV
Bohr radius	$a_0 = 2/(m_e \alpha_{\text{EM}})$	536 MeV^{-1}
Lifetime (for $n = 1$):		
• Para-positronium ($s = 0$)	$\tau_0 = 2/(m_e \alpha_{\text{EM}}^5)$	0.1244 ns
• Ortho-positronium ($s = 1$)	$\tau_1 = 9\pi/[2(\pi^2 - 9)m_e \alpha_{\text{EM}}^6]$	138.7 ns

- QED equation of state sensitive to the **total energy and pressure densities**

$$\frac{dz}{dx} = \frac{(\bar{\rho}_{\text{tot}} - 3\bar{P}_{\text{tot}})/x - \partial\bar{\rho}_{\text{tot}}/\partial x}{\partial\bar{\rho}_{\text{tot}}/\partial z}$$

Can Positronium formation change the prediction for N_{eff} ?

How can we model positronium formation?

- **Complete first-principles study of positronium is complicated:**
 - Requires tracking out-of-equilibrium evolution of 2- and 4-point correlation function in non-relativistic QED
- Therefore, we rely on **two different phenomenological modellings/ansatz** for positronium formation:
 1. Out-of-equilibrium (instantaneous vs non-instantaneous formation)
 - Positronium formation is associated with entropy production
 2. Complete thermodynamic equilibrium
 - All thermodynamic quantities remain smooth

When does positronium form?

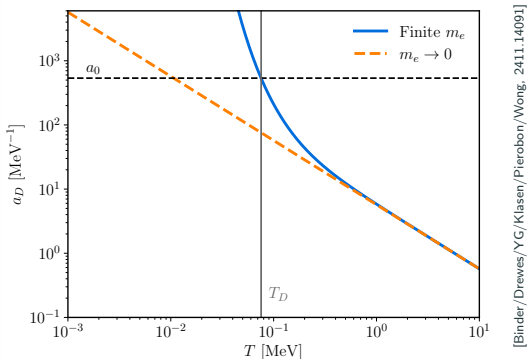
Two physical effects can prevent bound-state formation (in QED):

1. Debye screening rendering QED too short range to host a bound state

$$V(r) \sim -\frac{\alpha_{\text{EM}}}{r} e^{-m_D r}$$

2. Dissociation from real scatterings giving a large thermal width to the bound state

$$\Gamma = \alpha_{\text{EM}} T \int d^3\mathbf{r} \phi(r/a_D) |\Psi_{1s}^{\text{Ps}}(\mathbf{r})|^2$$



- Simple criterion: Debye screening length should be larger than Positronium's Bohr radius

$$a_D \equiv \frac{1}{m_D} > a_0 = \frac{8\pi}{m_e e^2} \simeq 536 \text{ MeV}^{-1}$$

$$\longrightarrow T < T_D \simeq 75.6 \text{ keV}$$

How to model positronium formation

Two physical effects can prevent bound-state formation (in QED):

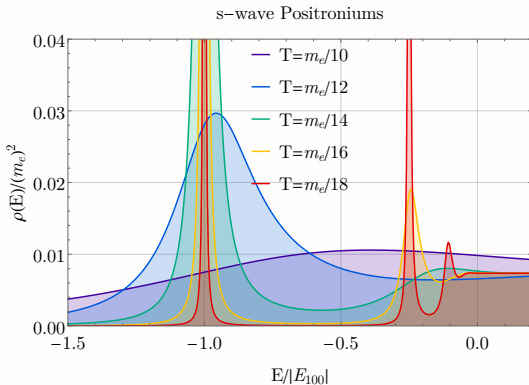
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Dissociation from scatterings



For illustrative purposes only

[Credit: T. Binder]

- Simple criterion: Thermal width should be smaller than the separation from the continuum/binding energy

$$\Gamma = \alpha_{\text{EM}} T \int d^3\mathbf{r} \phi(r/a_D) |\Psi_{1s}^{\text{Ps}}(\mathbf{r})|^2 < \Delta E_n = \frac{m_e \alpha_{\text{EM}}^2}{4n^2}$$

$$\longrightarrow T < T_{\text{dis}} \simeq 45 \text{ keV}$$

Positronium equilibration rate

Once allowed to form, how fast does positronium equilibrate?

- Deep in the NR regime, can be described by standard Boltzmann equation:

$$\frac{d}{dx}(n_{\text{Ps}}x^3) = -\frac{1}{Hx} [\Gamma^{\text{dec}} + \Gamma^{\text{ion}}(T)] (n_{\text{Ps}}x^3 - n_{\text{Ps}}^{\text{eq}}x^3)$$

- Rates are well known

$$\Gamma^{\text{dec}} = \begin{cases} m_r \alpha_{\text{EM}}^5, & \text{for } s = 0, \\ \frac{4(\pi^2 - 9)}{9\pi} m_r \alpha_{\text{EM}}^6, & \text{for } s = 1, \end{cases}$$

$\text{Ps} \leftrightarrow 2\gamma$
 $\text{Ps} \leftrightarrow 3\gamma$

$$\Gamma^{\text{ion}}(T) = \frac{m_r \alpha_{\text{EM}}^5}{8\pi} \int_0^{+\infty} \frac{d\xi \xi^{-4}}{\exp \left[\left(\frac{1}{n^2} + \frac{1}{\xi^2} \right) \frac{m_e \alpha_{\text{EM}}^2}{4T} \right] - 1} S^{\text{BSF}}(\xi),$$

$\text{Ps} + \gamma \leftrightarrow e^+ e^-$

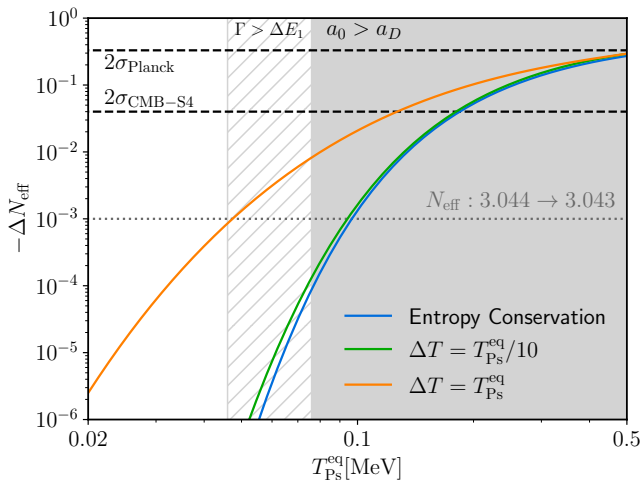
- At formation temperature:

$$\left. \frac{\Gamma^{\text{dec}} + \Gamma^{\text{ion}}}{H} \right|_{T \leq T_D} \gtrsim 10^{16} \gg 1$$

- Consistent with dynamical Saha equilibrium.

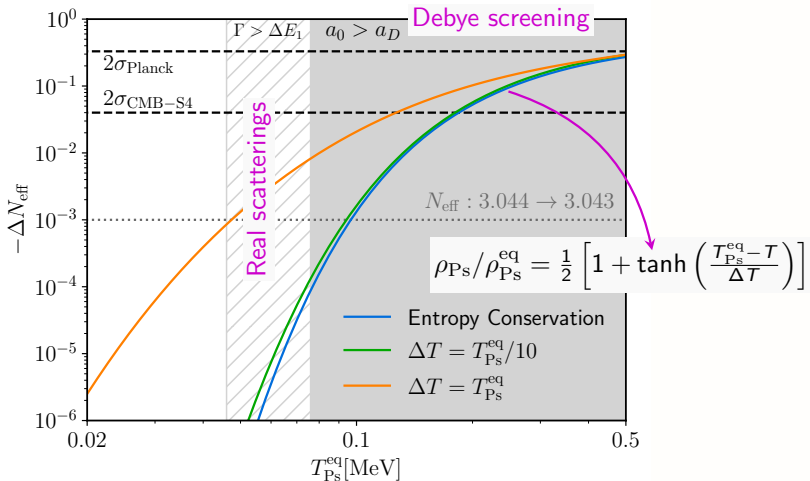
Limiting factor is not equilibration!

Out-of-equilibrium positronium formation



- Impact of positronium is most likely negligible.

Out-of-equilibrium positronium formation



$$V(r) = -\alpha m_D - \frac{\alpha}{r} e^{-m_D r} - i\alpha T \phi(m_D r)$$

- Impact of positronium is most likely negligible.

Equilibrium production

- Direct relation between the change in N_{eff} and entropy production in Approach 1:

$$\Delta N_{\text{eff}} = N_{\text{eff}} - 3 = 3 \left[\left(1 + \frac{\delta s}{s_{2-}} \right)^{-4/3} - 1 \right] \simeq -4 \frac{\delta s}{s_{2-}}$$

What if Positronium formation happens in complete thermodynamic equilibrium?

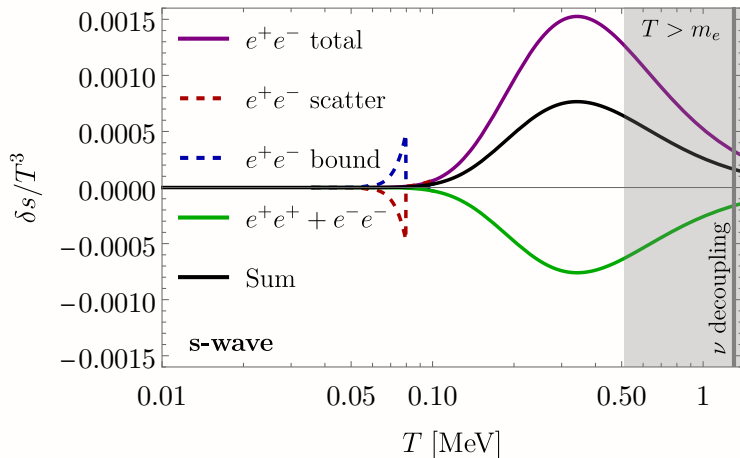
- All thermodynamic quantities remain smooth: Cancellation between **bound state** and **scattering state** contribution.
- Pressure can be derived from Beth-Uhlenbeck formula

$$\beta(P - P^{(0)}) = 4 \left(\frac{2m_e T}{2\pi} \right)^{3/2} e^{-\beta 2m_e} \sum_{\ell=0}^{\infty} (2\ell + 1) b_{\ell}$$

where

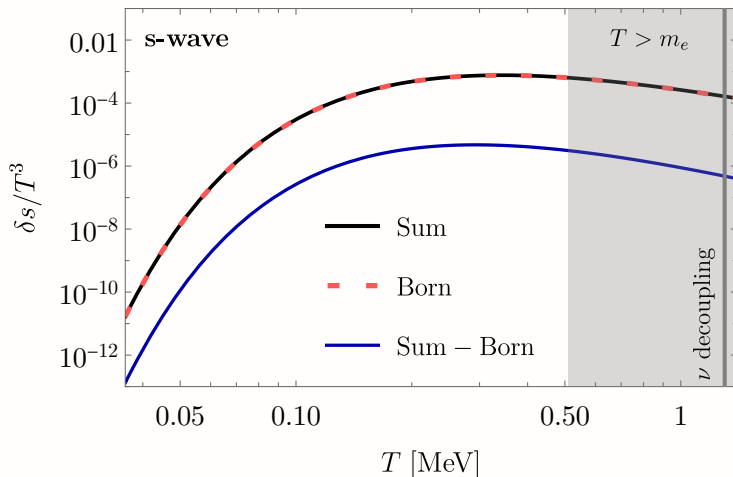
$$b_{\ell} = \sum_{n \geq \ell+1} e^{-\beta E_{n\ell}} + \frac{1}{\pi} \int_0^{\infty} dE e^{-\beta E} \frac{d}{dE} \left\{ \delta_{\ell}^{e^+e^-} + \left(\frac{1}{2} - \frac{(-1)^{\ell}}{4} \right) \left(\delta_{\ell}^{e^+e^+} + \delta_{\ell}^{e^-e^-} \right) \right\}$$

Equilibrium positronium formation



- Cancellation between bound and scattering states.

Equilibrium positronium formation



- At $\mathcal{O}(e^2)$, coincide with perturbative result.
- Impact of positronium is most likely negligible. But further investigation needed.

Conclusion

N_{eff} provides a key cosmological test of the SM

- Below $\mathcal{O}(0.1\%)$ correction at NLO
→ benchmark result remains 3.044
- Impact of m_e on NLO corrections non-negligible
- A full evaluation of all the NLO diagrams remains to be done for a definitive answer
- Bound states unlikely to matter in the SM but further investigations into the modelling of their formation would be useful.

CTP formalism key ingredient for accurate description of neutrino dynamics at higher order.

Thanks for your attention!