

F-theory and 6D (1,0) theories

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Based on joint works with:

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A natural question is whether there exist corresponding QFTs with the desired superconformal symmetry.

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This has the advantage that one can use 6D (1,0) susy multiplets, namely, as $SO(4)_{\text{spin}} \times USp(2)_R$

- $\frac{1}{2}$ hypers: $(1, 1; 2) \oplus (2, 1; 1)$
- vectors: $(2, 2; 1) \oplus (1, 2; 2)$
- tensors: $(3, 1; 1) \oplus (1, 1; 1) \oplus (2, 1; 2)$

Notice that vectors in 6D do not have scalars, therefore there is not a Coulomb branch. However, whenever a 6D model contain full hypers, Higgs branches arises, and whenever it contains tensor multiplets, giving vevs to the real scalars give rise to Coulomb like phase, the tensor branch.

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From these examples it is evident that the study of such systems is deeply interconnected with the dynamics of extended objects in String and M theory, which is one motivation to study them.

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1

Studying 6D (1,0) theories in an F-theory framework, in joint work with Heckman, Tomasiello and Vafa, we have understood fractionalization of M-theory M5 and M9 branes probing \mathbb{C}^2/Γ singularities.

2

6D (1,0) theories are relative field theories: as their (2,0) cousins there are obstructions to define their partition functions on curved spaces; such an obstruction is measured by the defect group Λ^*/Λ where Λ is the charge lattice of BPS strings of the model while Λ^* is their lattice of codimension 4 defects.

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Compactification of 6D (1,0) theories on T^2 explains the appearance of the moduli spaces of flat G connections on T^2 as conformal manifolds of affine \hat{G} quiver 4D $\mathcal{N} = 2$ SCFTs observed by Klemm, Mayr and Vafa (97), and predicts the existence of four infinite novel families of systems which enjoy an exact $SL(2, \mathbb{Z})$ duality and typically have strongly interacting superconformal subsystems.

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A lightning review of 6D F-theory backgrounds

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Examples of such sources are IIB D7-branes, but there are more general types of sources whose (rather unsatisfactory) definition we now turn.

X , being elliptic, has a canonical presentation in Weierstrass form:

$$X: y^2 = z^3 + f \cdot z + g$$

where $f \in H^0(B, -4K)$ and $g \in H^0(B, -6K)$, $K = \det T^*B$.

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The relation in between the singularities of the elliptic fibration and the order of vanishing of (f, g, Δ) is summarized in the following table:

ord (f)	ord (g)	ord (Δ)	singularity	nonabelian symmetry algebra
≥ 0	≥ 0	0	none	none
0	0	$n \geq 2$	A_{n-1}	$\mathfrak{su}(n)$ or $\mathfrak{sp}(\lfloor n/2 \rfloor)$
≥ 1	1	2	none	none
1	≥ 2	3	A_1	$\mathfrak{su}(2)$
≥ 2	2	4	A_2	$\mathfrak{su}(3)$ or $\mathfrak{su}(2)$
≥ 2	≥ 3	6	D_4	$\mathfrak{so}(8)$ or $\mathfrak{so}(7)$ or \mathfrak{g}_2
2	3	$n \geq 7$	D_{n-2}	$\mathfrak{so}(2n-4)$ or $\mathfrak{so}(2n-5)$
≥ 3	4	8	\mathfrak{e}_6	\mathfrak{e}_6 or \mathfrak{f}_4
3	≥ 5	9	\mathfrak{e}_7	\mathfrak{e}_7
≥ 4	5	10	\mathfrak{e}_8	\mathfrak{e}_8

Points with order of vanishing $(4, 6, 12)$ signal the presence of tensionless strings, curves with order of vanishing $(4, 6, 12)$ spoil the CY condition and hence are forbidden.

Useful fact about intersection theory on complex surfaces

Let D be an irreducible divisor of the base B such that $D \cdot D < 0$. Consider another divisor D' of B such that $D' \cdot D < 0$. Then D is an irreducible component of D' , meaning that there is another divisor X of B such that

$$D' = D + X$$

This fact becomes very powerful when combined with the adjunction formula, which states that

$$(K + D) \cdot D = 2g - 2$$

where g is the genus of D . In particular, if $D \cdot D < 0$ and $g > 0$ this entails that along D we have $\text{ord}(f, g, \Delta) \geq (4, 6, 12)$.

Proof: Adjunction $\Rightarrow K \cdot D \geq -D \cdot D \Rightarrow -nK = dD + X$ for some $d > 0 \Rightarrow X \cdot D = -nK \cdot D - dD \cdot D < 0$ unless $d \geq n$. Plug in $n = (4, 6, 12)$.

This last remark entails that $g(\Delta_i) = 0$ for all i . All irreducible components of the discriminant are topologically \mathbb{P}^1 's. D3 branes wrapping the 1-cycles Δ_i gives rise to strings in $\mathbb{R}^{1,5}$ with tension $\sim \text{vol}(\Delta_i)$ which is the only (real) scalar mode arising quantizing the \mathbb{P}^1 . Schematically:

	0	1	2	3	4	5	6	7	8	9
$\mathbb{R}^{1,5}$	X	X	X	X	X	X				
B							X	X	X	X
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Notice that the price for τ -monodromies is a superselection rule on the Hilbert space of IIB projecting onto monodromy-invariant states. In particular, this has the effect of projecting out all configurations with F1s, D1s, D5s, and NS5s.

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6D SCFTs in F-theory

To engineer a 6D SCFTs one consider X local which entails that gravity is decoupled. The hallmark of 6D SCFTs are tensionless strings, therefore one requires that it is possible to shrink Δ to zero size at finite distance in moduli space. By Grauert criterion, a necessary condition is that the intersection matrix

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$$d \geq (2, 2, 4)$$

Lookin at the table we find that $d \geq (2, 2, 4)$ are precisely the order of vanishing corresponding to the 5th line below

ord (f)	ord (g)	ord (Δ)	singularity	nonabelian symmetry algebra
≥ 0	≥ 0	0	none	none
0	0	$n \geq 2$	A_{n-1}	$\mathfrak{su}(n)$ or $\mathfrak{sp}(\lfloor n/2 \rfloor)$
≥ 1	1	2	none	none
1	≥ 2	3	A_1	$\mathfrak{su}(2)$
≥ 2	2	4	A_2	$\mathfrak{su}(3)$ or $\mathfrak{su}(2)$
≥ 2	≥ 3	6	D_4	$\mathfrak{so}(8)$ or $\mathfrak{so}(7)$ or \mathfrak{g}_2
2	3	$n \geq 7$	D_{n-2}	$\mathfrak{so}(2n-4)$ or $\mathfrak{so}(2n-5)$
≥ 3	4	8	e_6	e_6 or f_4
3	≥ 5	9	e_7	e_7
≥ 4	5	10	e_8	e_8

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Hence there is a nonabelian gauge symmetry which is forced on us. This is an example of non-Higgsable cluster: for generic values of the complex structure, the gauge group is $SU(3)$.

The same reasoning for $\Delta_i^2 = -k$ gives $K \cdot \Delta_i = k - 2$ hence we obtain $d \geq n(k - 2)/k$.

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-3	$\mathfrak{su}(3)$	0
-4	$\mathfrak{so}(8)$	0
-5	\mathfrak{f}_4	0
-6	\mathfrak{e}_6	0
-7	\mathfrak{e}_7	$\frac{1}{2}\mathbf{56}$
-8	\mathfrak{e}_7	0
-12	\mathfrak{e}_8	0
-3, -2	$\mathfrak{g}_2 \oplus \mathfrak{su}(2)$	$(\mathbf{7} + \mathbf{1}, \frac{1}{2}\mathbf{2})$
-3, -2, -2	$\mathfrak{g}_2 \oplus \mathfrak{su}(2)$	$(\mathbf{7} + \mathbf{1}, \frac{1}{2}\mathbf{2})$
-2, -3, -2	$\mathfrak{su}(2) \oplus \mathfrak{so}(7) \oplus \mathfrak{su}(2)$	$(\mathbf{1}, \mathbf{8}, \frac{1}{2}\mathbf{2})$ $+(\frac{1}{2}\mathbf{2}, \mathbf{8}, \mathbf{1})$

More general non-Higgsable basis are obtained from the non-Higgsable clusters using the following glueing rule:

$$\dots, \mathfrak{g}_1, 1, \mathfrak{g}_2, \dots$$



$$\mathfrak{g}_1 \oplus \mathfrak{g}_2 \subset \mathfrak{e}_8 \quad \text{maximal subalgebra}$$

Blowing down such configurations one obtains $\Gamma \subset U(2)$ singularities which are at finite distance.

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$$\dots, \mathfrak{h}_1^{\mathfrak{g}_1}, 1, \mathfrak{h}_2^{\mathfrak{g}_2}, \dots$$



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Blowing down such configurations one obtains $\Gamma \subset U(2)$ singularities which are at finite distance. Let me discuss one example of blow down.

12, 1, 2, 2, 3, 1, 5

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11, 1, 2, 3, 1, 5

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11, 1, 2, 3, 1, 5

10, 1, 3, 1, 5

12, 1, 2, 2, 3, 1, 5

11, 1, 2, 3, 1, 5

10, 1, 3, 1, 5

9, 2, 1, 5

12, 1, 2, 2, 3, 1, 5

11, 1, 2, 3, 1, 5

10, 1, 3, 1, 5

9, 2, 1, 5

9, 1, 4

12, 1, 2, 2, 3, 1, 5

11, 1, 2, 3, 1, 5

10, 1, 3, 1, 5

9, 2, 1, 5

9, 1, 4

8, 3

12, 1, 2, 2, 3, 1, 5

11, 1, 2, 3, 1, 5

10, 1, 3, 1, 5

9, 2, 1, 5

9, 1, 4

8, 3

This is an example of Hirzebruch-Jung singularity of type $A_{p,q}$ where $p/q = 8 - 1/3 = 23/3$ meaning that it corresponds to an orbifold action

$$(z_1, z_2) \rightarrow (\omega z_1, \omega^q z_2) \quad \omega \in \mathbb{Z}_p$$

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$$SU : \dots, 2, 2, 2, 2, 2, \dots$$

$$SO : \dots, 4, 1, 4, 1, 4, 1, \dots$$

$$E_6 : \dots, 6, 1, 3, 1, 6, 1, 3, 1, 6, 1, 3, 1, \dots$$

$$E_7 : \dots, 8, 1, 2, 3, 2, 1, 8, 1, 2, 3, 2, 1, \dots$$

$$E_8 : \dots, 12, 1, 2, 2, 3, 1, 5, 1, 3, 2, 2, 1, 12, 1, 2, 2, 3, 1, 5, 1, 3, 2, 2, 1, \dots$$

which can be truncated on the left and on the right at arbitrary positions.

It is remarkable that the same patterns arise when colliding non-compact singular fibers — see Bershadsky and Johansen (96) and Aspinwall and Morrison (97), for example:

$$SO(8) \times SO(8) \rightarrow [SO(8)], 1, [SO(8)]$$

$$E_6 \times E_6 \rightarrow [E_6], 1, 3, 1, [E_6]$$

$$E_7 \times E_7 \rightarrow [E_7], 1, 2, 3, 2, 1, [E_7]$$

$$E_8 \times E_8 \rightarrow [E_8], 1, 2, 2, 3, 1, 5, 1, 3, 2, 2, 1, [E_8]$$

The interpretation of this fact was found in a joint collaboration with Heckman, Tomasiello and Vafa (14).

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M5

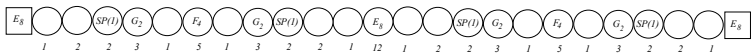
E_8

2

E_8

E_8 E_8 E_8

E_8 E_8 E_8



The defect group

From the F-theory engineering of these systems it follows that the lattice of BPS string charges is identified with the mid-dimensional homology group of the base B

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Toroidal Compactifications to 4D

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The LG mirrors of these systems are very elegant and universal:
they have the form

$W_{T^2/\mathbb{Z}_k}(x_1, x_2, x_3) + W_G(y_1, y_2) + 2D$ exactly marginal deformations

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In particular, for the case of superconformal matter we obtain $(E_{4,6,7,8}^{(1,1)}, SU(kN))$ for $k = 2, 3, 4, 6$, which are just the lagrangian SCFTs corresponding to affine quivers of $\hat{D}_4(N), \hat{E}_{6,7,8}(N)$ type respectively.

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The story continues...

For the nearest future several applications of all this machinery: $\mathcal{N} = 1$ theories by compactification on Σ (Gaiotto, Razamat (15), Aharony, Franco (15)), applications to study non-perturbative effects in String theory, applications to the classification of 5D SCFTs, ... and more! Stay tuned!

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Thanks!