

# Current Status and Future Prospects of Neutrino Physics

S. T. Petcov

SISSA/INFN, Trieste, Italy, and  
Kavli IPMU, University of Tokyo, Japan

PhyStat-Nu Workshop  
Kavli IPMU  
Tokyo, Japan  
May 30, 2016

**There have been remarkable discoveries in neutrino physics in the last  $\sim 18$  years.**

# Compellings Evidence for $\nu$ –Oscillations

– $\nu_{\text{atm}}$ : **SK** UP-DOWN ASYMMETRY

$\theta_Z$ –,  $L/E$ – dependences of  $\mu$ –like events

**Dominant**  $\nu_{\mu} \rightarrow \nu_{\tau}$  K2K, MINOS, T2K; CNGS (OPERA)

– $\nu_{\odot}$ : Homestake, Kamiokande, **SAGE**, **GALLEX/GNO**

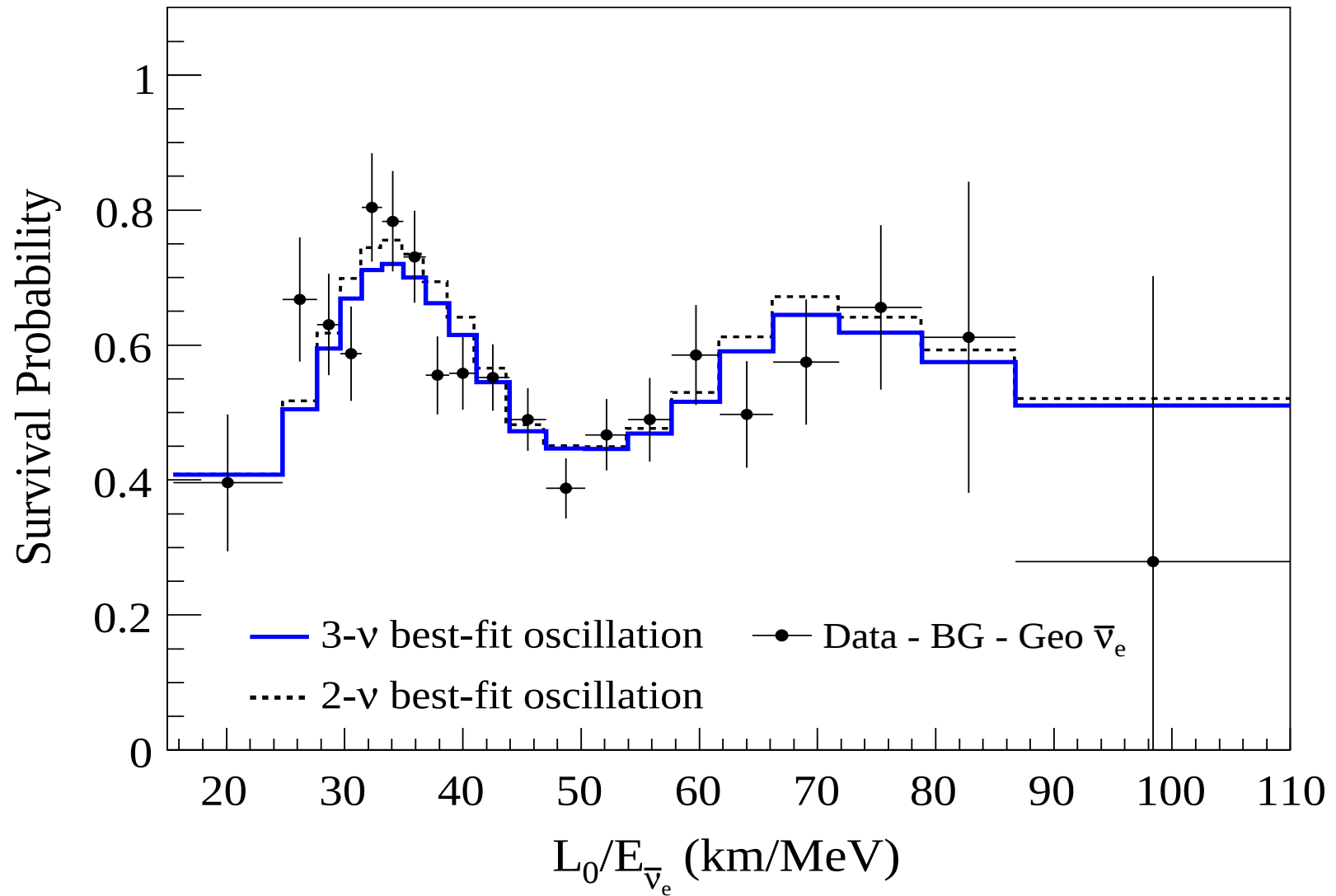
**Super-Kamiokande**, **SNO**, **BOREXINO**; **KamLAND**

**Dominant**  $\nu_e \rightarrow \nu_{\mu,\tau}$  **BOREXINO**

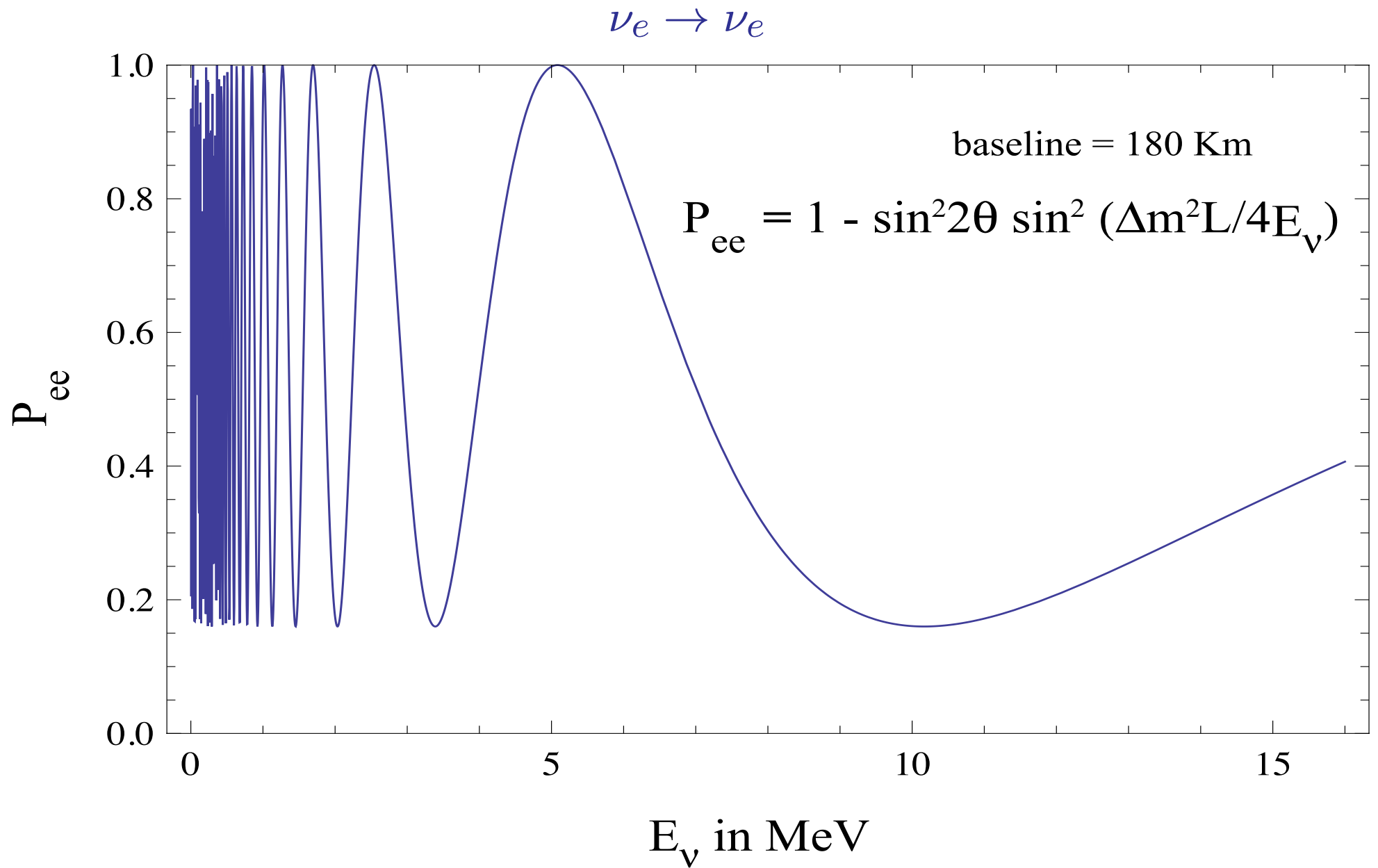
– $\bar{\nu}_e$  (from reactors): **Daya Bay**, **RENO**, **Double Chooz**

**Dominant**  $\bar{\nu}_e \rightarrow \bar{\nu}_{\mu,\tau}$

**T2K**, **MINOS** ( $\nu_{\mu}$  from accelerators):  $\nu_{\mu} \rightarrow \nu_e$



KamLAND:  $L/E$ -Dependence (reactor  $\bar{\nu}_e$ ,  $\bar{L} = 180$  km,  $E = (1.8 - 10)$  MeV)



## Compelling Evidences for $\nu$ –Oscillations: $\nu$ mixing

$$|\nu_l\rangle = \sum_{j=1}^n U_{lj}^* |\nu_j\rangle, \quad \nu_j : m_j \neq 0; \quad l = e, \mu, \tau; \quad n \geq 3;$$

$$\nu_{lL}(x) = \sum_{j=1}^n U_{lj} \nu_{jL}(x), \quad \nu_{jL}(x) : m_j \neq 0; \quad l = e, \mu, \tau.$$

B. Pontecorvo, 1957; 1958; 1967;

Z. Maki, M. Nakagawa, S. Sakata, 1962;

$U$  is the Pontecorvo-Maki-Nakagawa-Sakata (PMNS) neutrino mixing matrix.

$\nu_j, m_j \neq 0$ : Dirac or Majorana particles.

Data: at least 3  $\nu$ s are light:  $\nu_{1,2,3}, m_{1,2,3} \lesssim 1$  eV.

## The Charged Current Weak Interaction Lagrangian:

$$\mathcal{L}^{CC}(x) = -\frac{g}{2\sqrt{2}} \sum_{l=e,\mu,\tau} \bar{l}(x) \gamma_\alpha (1 - \gamma_5) \nu_{lL}(x) W^\alpha(x) + \text{h.c.},$$

$$\nu_{lL}(x) = \sum_{j=1}^n U_{lj} \nu_{jL}(x), \quad \nu_{jL}(x) : m_j \neq 0; \quad l = e, \mu, \tau.$$



Dr. T. Kajita, Prof. A. McDonald, Nobel Prize for Physics winners, 2015



LAUREATES

Breakthrough Prize [Special Breakthrough Prize](#) [New Horizons Prize](#) [Physics Frontiers Prize](#)

2016 [2015](#) [2014](#) [2013](#) [2012](#)



[Kam-Biu Luk and the  
Daya Bay Collaboration](#)



[Yifang Wang and the  
Daya Bay Collaboration](#)



[Koichiro Nishikawa and  
the K2K and T2K  
Collaboration](#)



[Atsuto Suzuki and the  
KamLAND Collaboration](#)



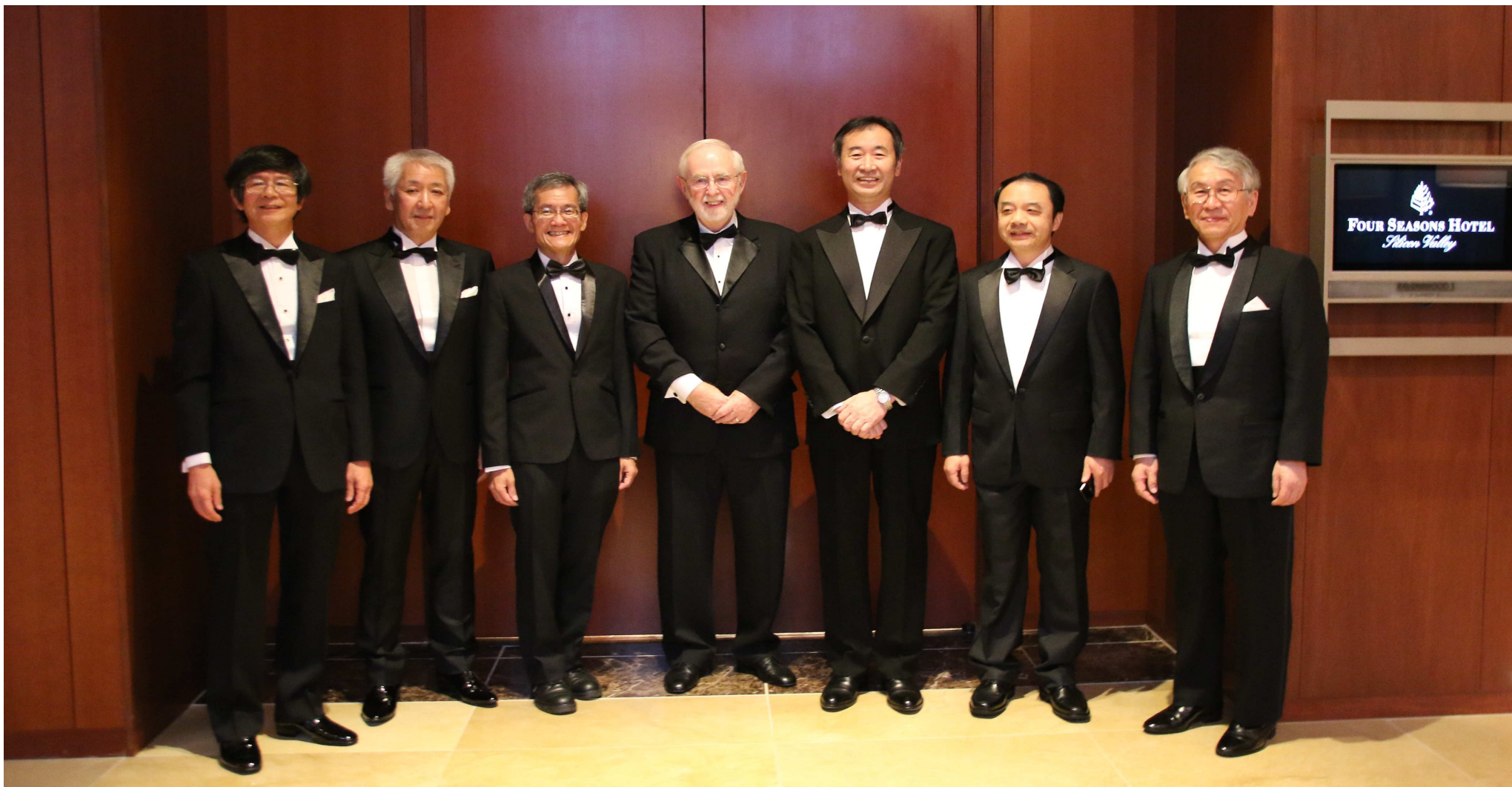
[Arthur B. McDonald and  
the SNO Collaboration](#)



[Takaaki Kajita and the  
Super K Collaboration](#)



[Yoichiro Suzuki and the  
Super K Collaboration](#)



These data imply that

$$m_{\nu_j} \lll m_{e,\mu,\tau}, m_q, \quad q = u, c, t, d, s, b$$

$$\text{For } m_{\nu_j} \lesssim 1 \text{ eV: } m_{\nu_j}/m_{l,q} \lesssim 10^{-6}$$

$$\text{For a given family: } 10^{-2} \lesssim m_{l,q}/m_{q'} \lesssim 10^2$$

**These discoveries suggest the existence of  
New Physics beyond that of the ST.**

## The New Physics can manifest itself (can have a variety of different “flavours”):

- In the existence of more than 3 massive neutrinos:  $n > 3$  ( $n = 4$ , or  $n = 5$ , or  $n = 6, \dots$ ).
- In the observed pattern of neutrino mixing and in the values of the CPV phases in the PMNS matrix.
- In the Majorana nature of massive neutrinos.
- In the existence of LFV processes:  $\mu \rightarrow e + \gamma$ ,  $\mu \rightarrow 3e$ ,  $\mu - e$  conversion, etc., which proceed with rates close to the existing upper limits.
- In the existence of new particles, e.g., at the TeV scale: heavy Majorana Neutrinos  $N_j$ , doubly charged scalars,...
- In the existence of new (FChNC, FCFNSNC) neutrino interactions.
- In the existence of “unknown unknowns” ...

We can have  $n > 3$  ( $n = 4$ , or  $n = 5$ , or  $n = 6, \dots$ ) if, e.g., **sterile**  $\nu_R$ ,  $\tilde{\nu}_L$  exist and they mix with the active flavour neutrinos  $\nu_l$  ( $\tilde{\nu}_l$ ),  $l = e, \mu, \tau$ .

**Two (extreme) possibilities:**

i)  $m_{4,5,\dots} \sim 1$  eV;

in this case  $\nu_{e(\mu)} \rightarrow \nu_S$  oscillations are possible (hints from LSND and MiniBooNE experiments, re-analyses of short baseline (SBL) reactor neutrino oscillation data (“reactor neutrino anomaly”), data of radioactive source calibration of the solar neutrino SAGE and GALLEX experiments (“Gallium anomaly”); tests (STEREO, BOREXINO,...) under way).

ii)  $M_{4,5,\dots} \sim (10^2 - 10^3)$  GeV, TeV scale seesaw models;  
 $M_{4,5,\dots} \sim (10^9 - 10^{13})$  GeV, “classical” seesaw models.

All compelling data compatible with 3- $\nu$  mixing:

$$\nu_{l\text{L}} = \sum_{j=1}^3 U_{lj} \nu_{j\text{L}} \quad l = e, \mu, \tau.$$

The PMNS matrix  $U$  -  $3 \times 3$  unitary to a good approximation (at least:  $|U_{l,n}| \lesssim (<<) 0.1$ ,  $l = e, \mu$ ,  $n = 4, 5, \dots$ ).

$\nu_j$ ,  $m_j \neq 0$ : Dirac or Majorana particles.

3- $\nu$  mixing: 3-flavour neutrino oscillations possible.

$\nu_\mu$ ,  $E$ ; at distance  $L$ :  $P(\nu_\mu \rightarrow \nu_{\tau(e)}) \neq 0$ ,  $P(\nu_\mu \rightarrow \nu_\mu) < 1$

$$P(\nu_l \rightarrow \nu_{l'}) = P(\nu_l \rightarrow \nu_{l'}; E, L; U; m_2^2 - m_1^2, m_3^2 - m_1^2)$$

# Three Neutrino Mixing

$$\nu_{lL} = \sum_{j=1}^3 U_{lj} \nu_{jL} .$$

$U$  is the Pontecorvo-Maki-Nakagawa-Sakata (PMNS) neutrino mixing matrix,

$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix}$$

- $U$  -  $n \times n$  unitary:

|                |                     |   |   |   |
|----------------|---------------------|---|---|---|
|                | $n$                 | 2 | 3 | 4 |
| mixing angles: | $\frac{1}{2}n(n-1)$ | 1 | 3 | 6 |

CP-violating phases:

|                       |                         |   |   |   |
|-----------------------|-------------------------|---|---|---|
| • $\nu_j$ - Dirac:    | $\frac{1}{2}(n-1)(n-2)$ | 0 | 1 | 3 |
| • $\nu_j$ - Majorana: | $\frac{1}{2}n(n-1)$     | 1 | 3 | 6 |

$n = 3$ : 1 Dirac and  
2 additional CP-violating phases, Majorana phases

S.M. Bilenky, J. Hosek, S.T.P., 1980

# Majorana Neutrinos

Can be defined in QFT using fields or states.

Fields:  $\chi_k(x)$  - 4 component (spin 1/2), complex,  $m_k$

Majorana condition:

$$C (\bar{\chi}_k(x))^T = \xi_k \chi_k(x), \quad |\xi_k|^2 = 1, \quad C^{-1} \gamma_\mu C = - \gamma_\mu^T$$

- Invariant under proper Lorentz transformations.
- Reduces by 2 the number of components in  $\chi_k(x)$ .

Implications:

$$U(1) : \chi_k(x) \rightarrow e^{i\alpha} \chi_k(x) - \text{impossible}$$

- $\chi_k(x)$  cannot absorb phases.
- $Q_{U(1)} = 0 : Q_{\text{el}} = 0, L_l = 0, L = 0, \dots$
- $\chi_k(x)$ : 2 spin states of a spin 1/2 absolutely neutral particle
- $\chi_k \equiv \bar{\chi}_k$

Propagators:  $\Psi(x)$ –Dirac,  $\chi(x)$ –Majorana

$$\langle 0|T(\Psi_\alpha(x)\bar{\Psi}_\beta(y))|0\rangle = S_{\alpha\beta}^F(x-y) ,$$

$$\langle 0|T(\Psi_\alpha(x)\Psi_\beta(y))|0\rangle = 0 , \quad \langle 0|T(\bar{\Psi}_\alpha(x)\bar{\Psi}_\beta(y))|0\rangle = 0 .$$

$$\langle 0|T(\chi_\alpha(x)\bar{\chi}_\beta(y))|0\rangle = S_{\alpha\beta}^F(x-y) ,$$

$$\langle 0|T(\chi_\alpha(x)\chi_\beta(y))|0\rangle = -\xi^* S_{\alpha\kappa}^F(x-y) C_{\kappa\beta} ,$$

$$\langle 0|T(\bar{\chi}_\alpha(x)\bar{\chi}_\beta(y))|0\rangle = \xi C_{\alpha\kappa}^{-1} S_{\kappa\beta}^F(x-y)$$

$$U_{CP} \chi(x) U_{CP}^{-1} = \eta_{CP} \gamma_0 \chi(x'), \quad \eta_{CP} = \pm i .$$

# PMNS Matrix: Standard Parametrization

$$U = V P, \quad P = \begin{pmatrix} 1 & 0 & 0 \\ 0 & e^{i\frac{\alpha_{21}}{2}} & 0 \\ 0 & 0 & e^{i\frac{\alpha_{31}}{2}} \end{pmatrix},$$

$$V = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix}$$

- $s_{ij} \equiv \sin \theta_{ij}$ ,  $c_{ij} \equiv \cos \theta_{ij}$ ,  $\theta_{ij} = [0, \frac{\pi}{2}]$ ,
- $\delta$  - Dirac CPV phase,  $\delta = [0, 2\pi]$ ; CP inv.:  $\delta = 0, \pi, 2\pi$ ;
- $\alpha_{21}, \alpha_{31}$  - Majorana CPV phases; CP inv.:  $\alpha_{21(31)} = k(k')\pi$ ,  $k(k') = 0, 1, 2...$   
S.M. Bilenky, J. Hosek, S.T.P., 1980
- $\Delta m_{\odot}^2 \equiv \Delta m_{21}^2 \cong 7.37 \times 10^{-5} \text{ eV}^2 > 0$ ,  $\sin^2 \theta_{12} \cong 0.297$ ,  $\cos 2\theta_{12} \gtrsim 0.29$  ( $3\sigma$ ),
- $|\Delta m_{31(32)}^2| \cong 2.53$  (2.43)  $\times 10^{-3} \text{ eV}^2$ ,  $\sin^2 \theta_{23} \cong 0.437$  (0.569), NO (IO),
- $\theta_{13}$  - the CHOOZ angle:  $\sin^2 \theta_{13} = 0.0214$  (0.0218), Capozzi et al. NO (IO).  
F. Capozzi et al. (Bari Group), arXiv:1601.07777v1.

- $\Delta m_{\odot}^2 \equiv \Delta m_{21}^2 \cong 7.54 \times 10^{-5} \text{ eV}^2 > 0$ ,  $\sin^2 \theta_{12} \cong 0.308$ ,  $\cos 2\theta_{12} \gtrsim 0.28$  ( $3\sigma$ ),
  - $|\Delta m_{31(32)}^2| \cong 2.47$  (2.42)  $\times 10^{-3} \text{ eV}^2$ ,  $\sin^2 \theta_{23} \cong 0.437$  (0.455), NO (IO),
  - $\theta_{13}$  - the CHOOZ angle:  $\sin^2 \theta_{13} = 0.0234$  (0.0240), NH (IH).
  - $1\sigma(\Delta m_{21}^2) = 2.6\%$ ,  $1\sigma(\sin^2 \theta_{12}) = 5.4\%$ ;
  - $1\sigma(|\Delta m_{31(23)}^2|) = 2.6\%$ ,  $1\sigma(\sin^2 \theta_{23}) = 9.6\%$ ;
  - $1\sigma(\sin^2 \theta_{13}) = 8.5\%$ ;
  - $3\sigma(\Delta m_{21}^2) : (6.99 - 8.18) \times 10^{-5} \text{ eV}^2$ ;  $3\sigma(\sin^2 \theta_{12}) : (0.259 - 0.359)$ ;  
 $(3\sigma(\Delta m_{21}^2) : (6.93 - 7.97) \times 10^{-5} \text{ eV}^2$ ;  $3\sigma(\sin^2 \theta_{12}) : (0.250 - 0.354)$ );
  - $3\sigma(|\Delta m_{31(23)}^2|) : 2.27(2.23) - 2.65(2.60) \times 10^{-3} \text{ eV}^2$ ;  
 $(2.40(2.30) - 2.66(2.57) \times 10^{-3} \text{ eV}^2$ ;  
 $3\sigma(\sin^2 \theta_{23}) : 0.374(0.380) - 0.628(0.641)$ ;  
 $(3\sigma(\sin^2 \theta_{23}) : 0.379(0.383) - 0.616(0.637))$
  - $3\sigma(\sin^2 \theta_{13}) : 0.0176(0.0178) - 0.0296(0.0298)$   
 $(3\sigma(\sin^2 \theta_{13}) : 0.0185(0.0186) - 0.0246(0.0248).)$
- F. Capozzi et al. (Bari Group), arXiv:1312.2878v2 (May 5, 2014)  
(F. Capozzi et al. (Bari Group), arXiv:1601.07777v1.)

- $\text{sgn}(\Delta m_{\text{atm}}^2) = \text{sgn}(\Delta m_{31(32)}^2)$  not determined

$$\Delta m_{\text{atm}}^2 \equiv \Delta m_{31}^2 > 0, \quad \text{normal mass ordering (NO)}$$

$$\Delta m_{\text{atm}}^2 \equiv \Delta m_{32}^2 < 0, \quad \text{inverted mass ordering (IO)}$$

Convention:  $m_1 < m_2 < m_3$  - NO,  $m_3 < m_1 < m_2$  - IO

$$\Delta m_{31}^2(\text{NO}) = -\Delta m_{32}^2(\text{IO})$$

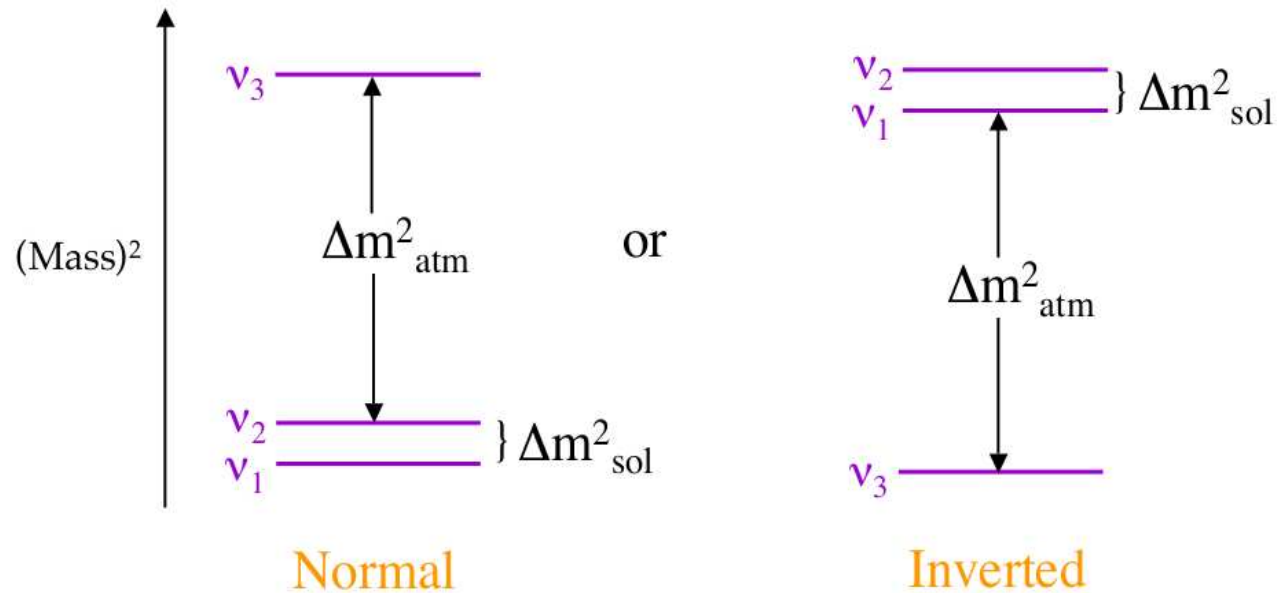
$$m_1 \ll m_2 < m_3, \quad \text{NH,}$$

$$m_3 \ll m_1 < m_2, \quad \text{IH,}$$

$$m_1 \cong m_2 \cong m_3, \quad m_{1,2,3}^2 \gg |\Delta m_{31(32)}^2|, \quad \text{QD; } m_j \gtrsim 0.10 \text{ eV.}$$

- $m_2 = \sqrt{m_1^2 + \Delta m_{21}^2}, \quad m_3 = \sqrt{m_1^2 + \Delta m_{31}^2}$  - NO;
- $m_1 = \sqrt{m_3^2 + \Delta m_{23}^2 - \Delta m_{21}^2}, \quad m_2 = \sqrt{m_3^2 + \Delta m_{23}^2}$  - IO;

# The (Mass)<sup>2</sup> Spectrum

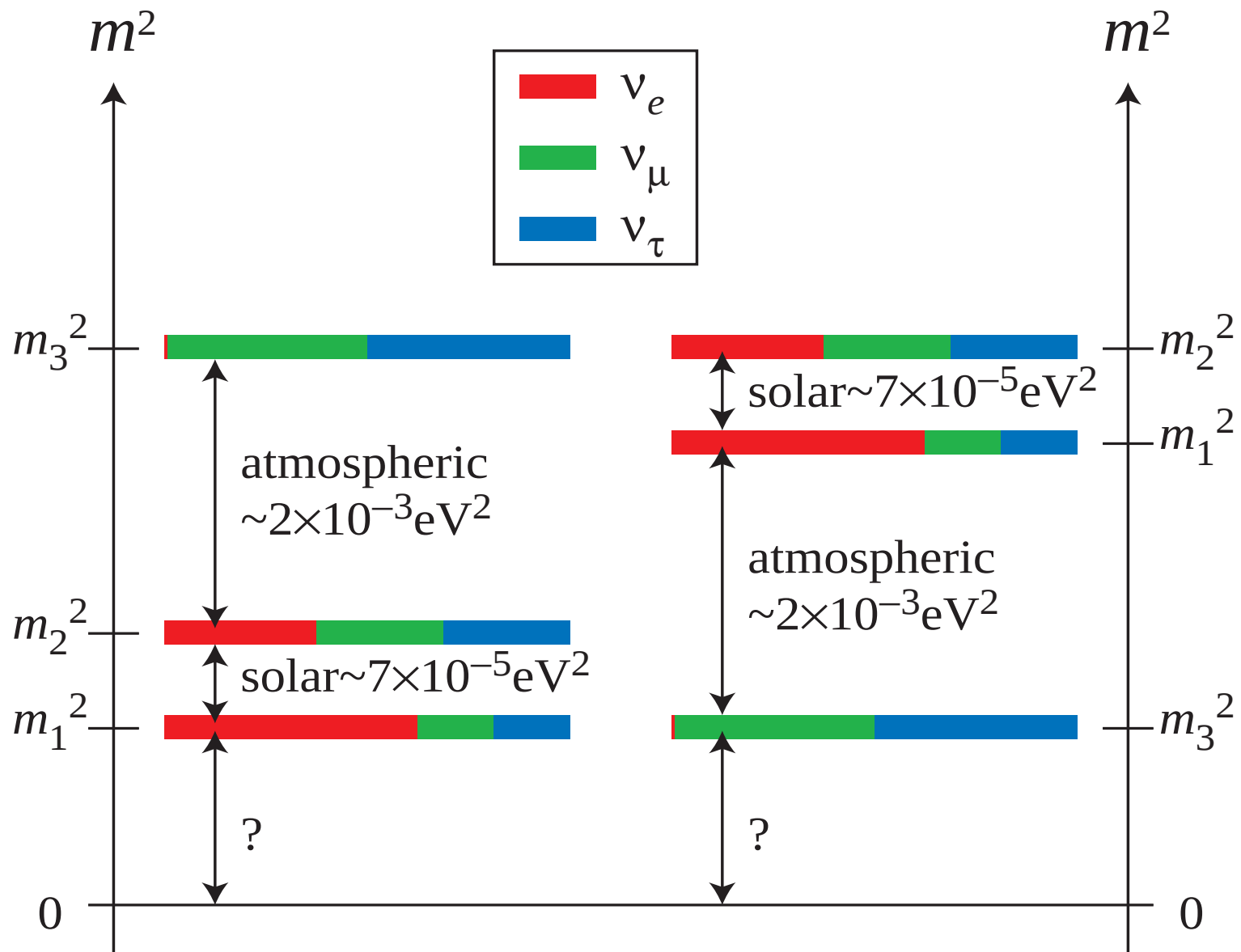


$$\Delta m^2_{\text{sol}} \cong 7.6 \times 10^{-5} \text{ eV}^2, \quad \Delta m^2_{\text{atm}} \cong 2.4 \times 10^{-3} \text{ eV}^2$$

Are there *more* mass eigenstates, as LSND suggests,  
and MiniBooNE recently hints?

3

Due to B. Kayser



S. King, Ch. Luhn, 2013

- Dirac phase  $\delta$ :  $\nu_l \leftrightarrow \nu_{l'}, \bar{\nu}_l \leftrightarrow \bar{\nu}_{l'}, l \neq l'$ ;  $A_{\text{CP}}^{(l,l')} \propto J_{\text{CP}} \propto \sin \theta_{13} \sin \delta$ :

P.I. Krastev, S.T.P., 1988

$$J_{\text{CP}} = \text{Im} \{ U_{e1} U_{\mu 2} U_{e2}^* U_{\mu 1}^* \} = \frac{1}{8} \sin 2\theta_{12} \sin 2\theta_{23} \sin 2\theta_{13} \cos \theta_{13} \sin \delta$$

Current data:  $|J_{\text{CP}}| \lesssim 0.035$  (can be relatively large!); b.f.v. with  $\delta = 3\pi/2$ :  $J_{\text{CP}} \cong -0.035$ .

- Majorana phases  $\alpha_{21}, \alpha_{31}$ :

–  $\nu_l \leftrightarrow \nu_{l'}, \bar{\nu}_l \leftrightarrow \bar{\nu}_{l'}$  not sensitive;

S.M. Bilenky, J. Hosek, S.T.P., 1980;

P. Langacker, S.T.P., G. Steigman, S. Toshev, 1987

–  $|\langle m \rangle|$  in  $(\beta\beta)_{0\nu}$ -decay depends on  $\alpha_{21}, \alpha_{31}$ ;

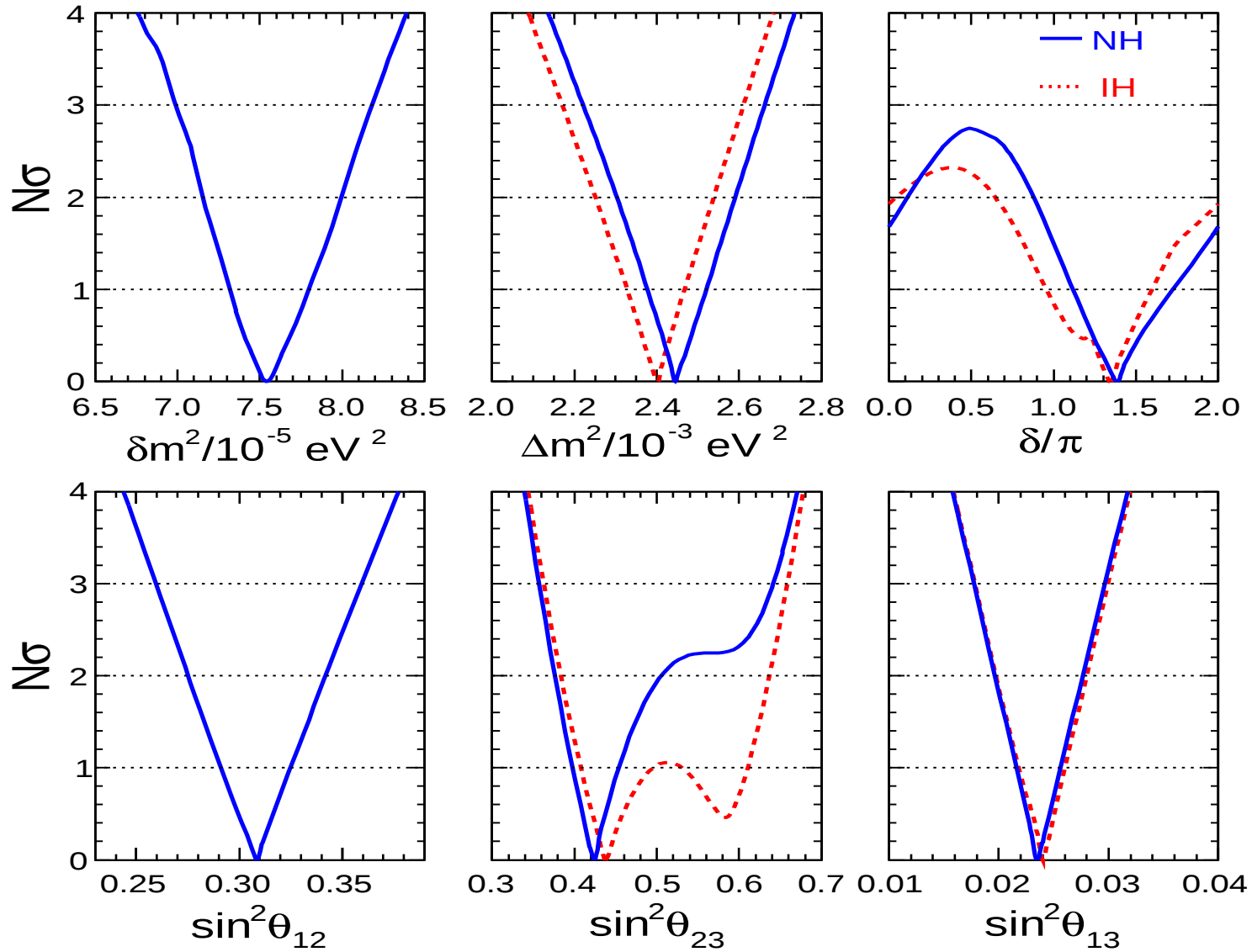
–  $\Gamma(\mu \rightarrow e + \gamma)$  etc. in SUSY theories depend on  $\alpha_{21,31}$ ;

– BAU, leptogenesis scenario:  $\delta, \alpha_{21,31}$  !

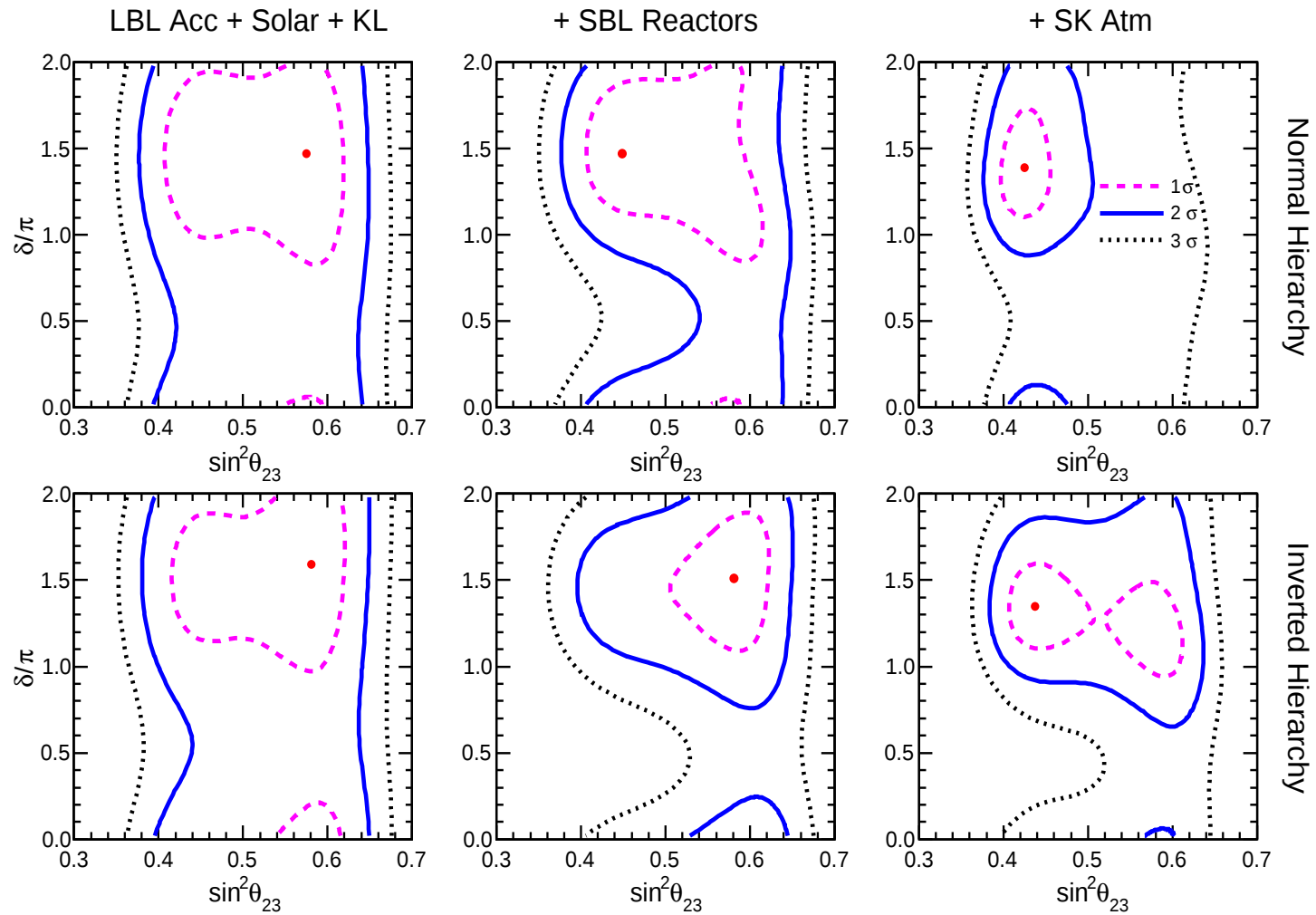
$$\delta \cong 3\pi/2?$$

$$\begin{aligned} J_{CP} &= \text{Im} \{ U_{e1} U_{\mu 2} U_{e2}^* U_{\mu 1}^* \} \\ &= \frac{1}{8} \sin 2\theta_{12} \sin 2\theta_{23} \sin 2\theta_{13} \cos \theta_{13} \sin \delta \end{aligned}$$

# LBL Acc + Solar + KL + SBL Reactors + SK Atm

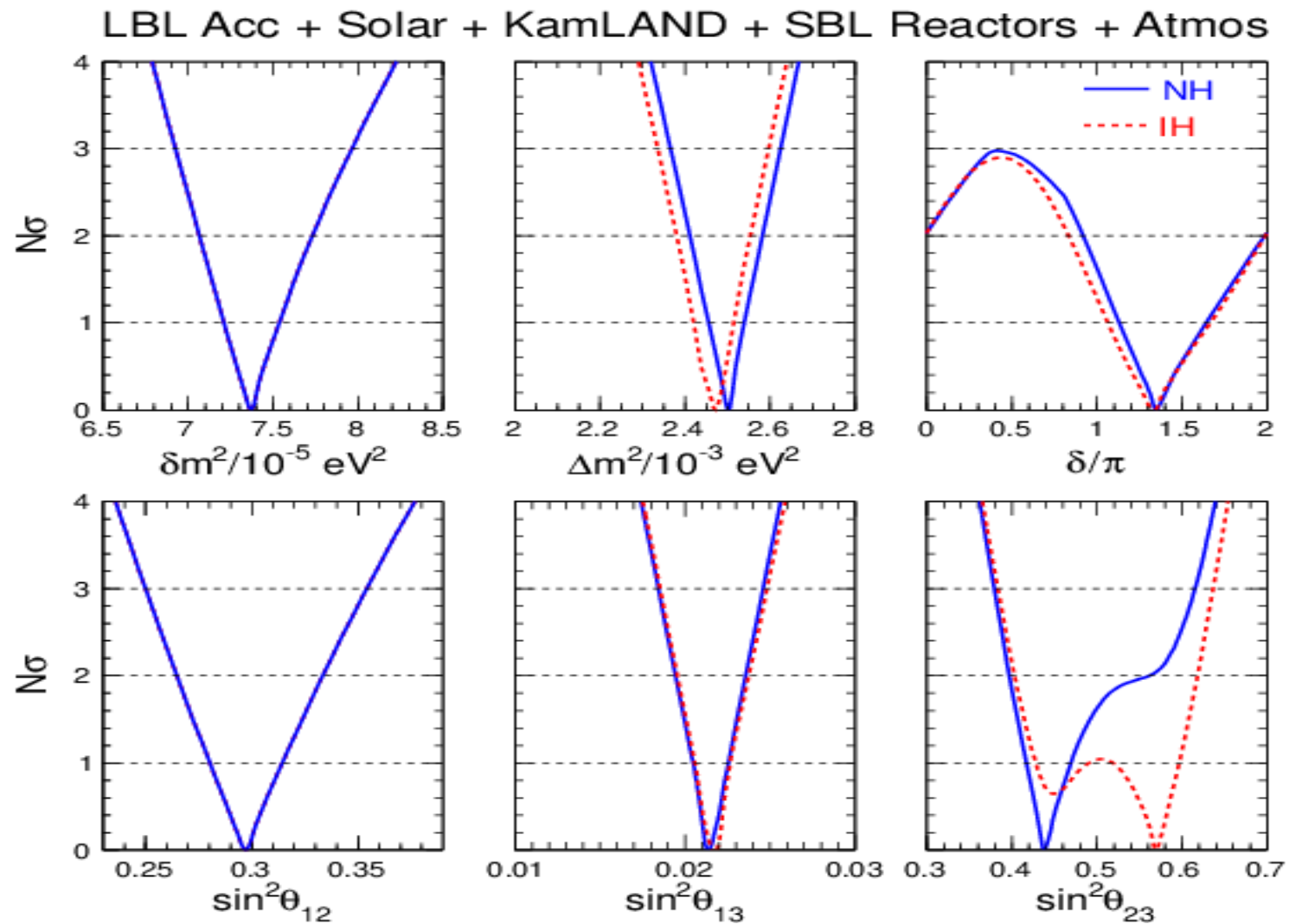


F. Capozzi, E. Lisi *et al.*, arXiv:1312.2878



F. Capozzi, E. Lisi *et al.*, arXiv:1312.2878

The first results on  $\nu_\mu \rightarrow \nu_e$  oscillations from NO $\nu$ A (August 6, 2015; 6 (12) events) are compatible with, and somewhat strengthened, the hint that  $\delta \cong 3\pi/2$  (A. Marone, talk at TAUP 2015; F. Capozzi *et al.*, arXiv:1601.01777v1).

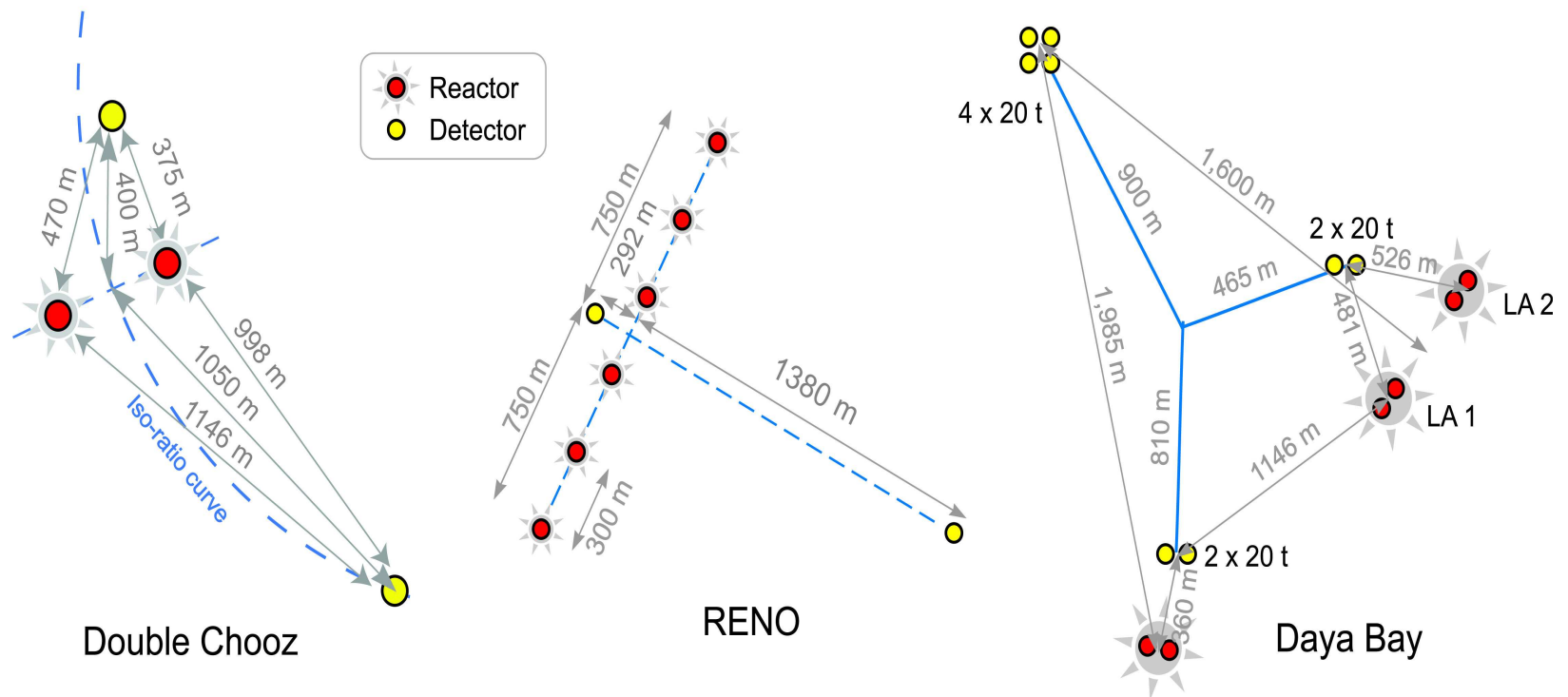


F. Capozzi, E. Lisi *et al.*, arXiv:1601.01777v1

- November 11, 2011, Double Chooz:  $2\sigma$  evidence for  $\theta_{13} \neq 0$ .
- March 8, 2012, Daya Bay:  $5.2\sigma$  evidence for  $\theta_{13} \neq 0$ ,  $\sin^2 2\theta_{13} = 0.092 \pm 0.016 \pm 0.005$ .
- April 4, 2012, RENO:  $4.9\sigma$  evidence for  $\theta_{13} \neq 0$ ,  $\sin^2 2\theta_{13} = 0.113 \pm 0.013 \pm 0.019$ .
- Daya Bay, May 2015:  
 $\sin^2 2\theta_{13} = 0.084 \pm 0.005$ .
- RENO, April 2015 (WIN 2015):  
 $\sin^2 2\theta_{13} = 0.087 \pm 0.008 \text{ (stat.)} \pm 0.008$ .
- Double Chooz, 2014:  
 $\sin^2 2\theta_{13} = 0.090^{+0.032}_{-0.029} \text{ (} 0.092^{+0.033}_{-0.029} \text{)}.$

$$P^{3\nu}(\bar{\nu}_e \rightarrow \bar{\nu}_e) = P^{3\nu}(\theta_{13}, \Delta m_{31(32)}^2; \theta_{12}, \Delta m_{21}^2) \cong$$

$$1 - \sin^2 2\theta_{13} \sin^2\left(\frac{\Delta m_{31(32)}^2}{4E}L\right), \text{ no dependence on } \theta_{23}, \delta.$$



M. Mezzetto, T. Schwetz, arXiv:1003.5800[hep-ph]



T2K: Search for  $\nu_\mu \rightarrow \nu_e$  oscillations

T2K: first results March 2011 (2 events);  
June 14, 2011 (6 events): evidence for  $\theta_{13} \neq 0$  at  $2.5\sigma$ ;  
July, 2013 (28 events).

For  $|\Delta m_{23}^2| = 2.4 \times 10^{-3} \text{ eV}^2$ ,  $\sin^2 2\theta_{23} = 1$ ,  $\delta = 0$ , NO  
(IO) spectrum:

$\sin^2 2\theta_{13} = 0.14$  (1.7), best fit.

This value is by a factor of  $\sim 1.6$  (1.9) bigger than the  
value obtained in the Daya Bay and RENO experiments.

$$P_m^{3\nu}(\nu_\mu \rightarrow \nu_e) = P_m^{3\nu}(\theta_{13}, \Delta m_{31(32)}^2, \theta_{12}, \Delta m_{21}^2, \theta_{23}, \delta).$$

Up to 2nd order in the two small parameters  $|\alpha| \equiv |\Delta m_{21}^2|/|\Delta m_{31}^2| \ll 1$  and  $\sin^2 \theta_{13} \ll 1$ :

$$P_m^{3\nu\ man}(\nu_\mu \rightarrow \nu_e) \cong P_0 + P_{\sin \delta} + P_{\cos \delta} + P_3 ,$$

$$P_0 = \sin^2 \theta_{23} \frac{\sin^2 2\theta_{13}}{(A-1)^2} \sin^2[(A-1)\Delta],$$

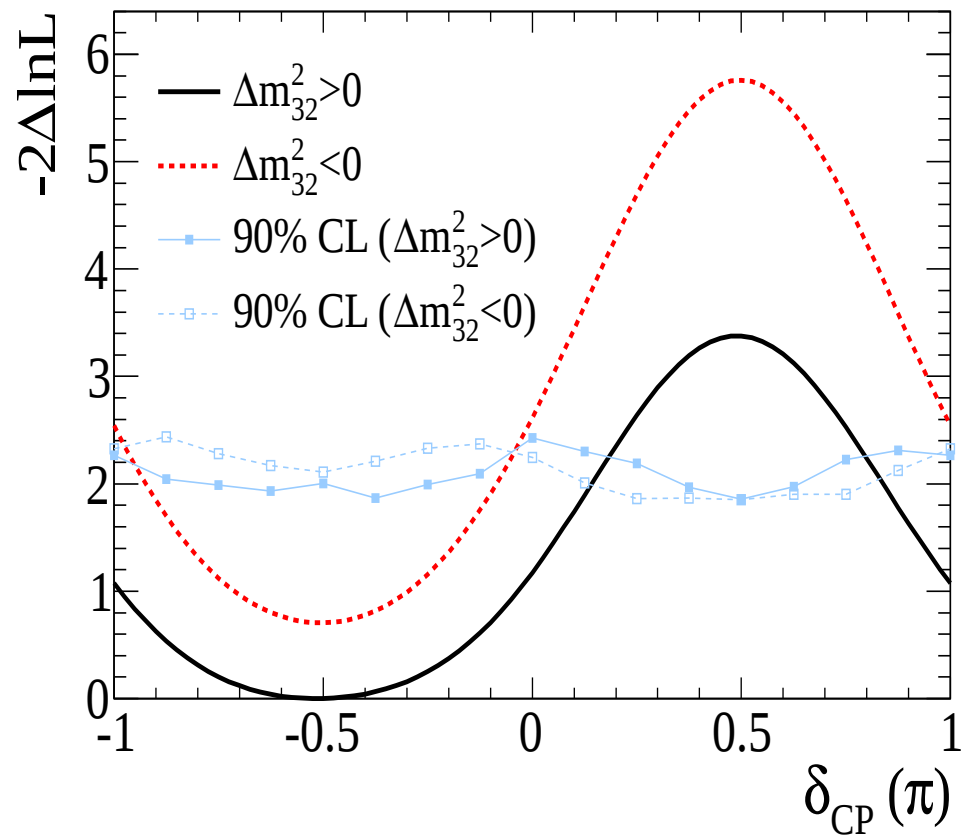
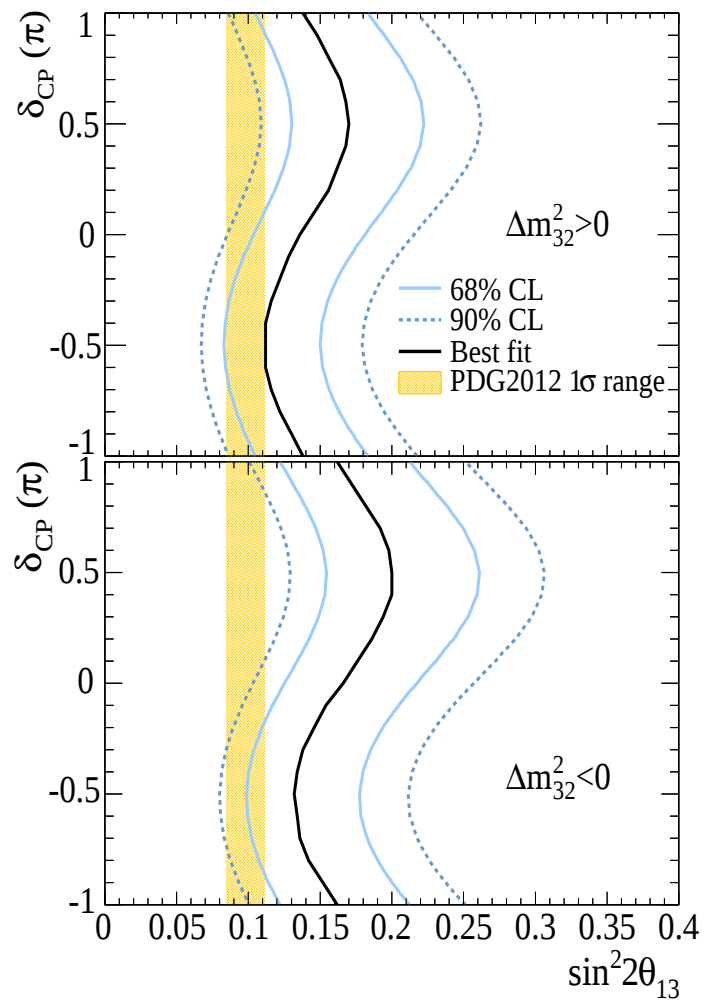
$$P_3 = \alpha^2 \cos^2 \theta_{23} \frac{\sin^2 2\theta_{12}}{A^2} \sin^2(A\Delta),$$

$$P_{\sin \delta} = -\alpha \frac{8 J_{CP}}{A(1-A)} (\sin \Delta)(\sin A\Delta) (\sin[(1-A)\Delta]),$$

$$P_{\cos \delta} = \alpha \frac{8 J_{CP} \cot \delta}{A(1-A)} (\cos \Delta)(\sin A\Delta) (\sin[(1-A)\Delta]),$$

$$\Delta = \frac{\Delta m_{31}^2 L}{4E}, \quad A = \sqrt{2} G_F N_e^{man} \frac{2E}{\Delta m_{31}^2}.$$

$$\bar{\nu}_\mu \rightarrow \bar{\nu}_e: \delta, \quad A \rightarrow (-\delta), \quad (-A)$$



K. Abe *et al.*, T2K Collaboration, arXiv:1311.4750

Large  $\sin \theta_{13} \cong 0.15 + \delta = 3\pi/2$  - far-reaching implications:

- For the searches for CP violation in  $\nu$ -oscillations; for the b.f.v. one has  $J_{CP} \cong -0.035$ ;
- Important implications also for the “flavoured” leptogenesis scenario of generation of the baryon asymmetry of the Universe (BAU).

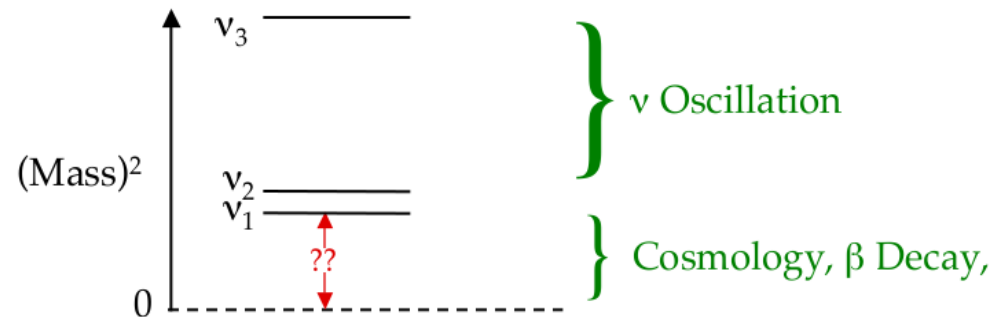
If all CPV, necessary for the generation of BAU is due to  $\delta$ , a necessary condition for reproducing the observed BAU is

$$|\sin \theta_{13} \sin \delta| \gtrsim 0.09$$

S. Pascoli, S.T.P., A. Riotto, 2006.

# Absolute Neutrino Mass Scale

## The Absolute Scale of Neutrino Mass



How far above zero  
is the whole pattern?

$$\text{Oscillation Data} \Rightarrow \sqrt{\Delta m_{\text{atm}}^2} < \text{Mass}[\text{Heaviest } \nu_i]$$

4

Due to B. Kayser

## Absolute Neutrino Mass Measurements

Troitsk, Mainz experiments on  ${}^3\text{H} \rightarrow {}^3\text{He} + e^- + \bar{\nu}_e$ :  
 $m_{\nu_e} < 2.2 \text{ eV}$  (95% C.L.)

We have  $m_{\nu_e} \cong m_{1,2,3}$  in the case of QD spectrum. The upcoming **KATRIN** experiment is planned to reach sensitivity

**KATRIN:**  $m_{\nu_e} \sim 0.2 \text{ eV}$

i.e., it will probe the region of the QD spectrum.

# Mass and Hierarchy from Cosmology

Cosmological and astrophysical data on  $\sum_j m_j$ : the Planck + WMAP (low  $l \leq 25$ ) + ACT (large  $l \geq 2500$ ) CMB data +  $\Lambda$ CDM (6 parameter) model + assuming 3 light massive neutrinos, implies

$$\sum_j m_j \equiv \Sigma < 0.66 \text{ eV} \quad (95\% \text{ C.L.})$$

Adding data on the baryon acoustic oscillations (BAO) leads to:

$$\sum_j m_j \equiv \Sigma < 0.23 \text{ eV} \quad (95\% \text{ C.L.})$$

Data on weak lensing of galaxies by large scale structure, combined with data from the WMAP and Planck experiments might allow to determine

$$\sum_j m_j : \quad \delta \cong (0.01 - 0.04) \text{ eV}.$$

$$\text{NH: } \sum_j m_j \leq 0.05 \text{ eV} \quad (3\sigma);$$

$$\text{IH: } \sum_j m_j \geq 0.10 \text{ eV} \quad (3\sigma).$$

# Future Progress

- Determination of the nature - Dirac or Majorana, of  $\nu_j$ .
- Determination of  $\text{sgn}(\Delta m_{\text{atm}}^2)$ , type of  $\nu$ - mass spectrum

$$m_1 \ll m_2 \ll m_3, \quad \text{NH},$$

$$m_3 \ll m_1 < m_2, \quad \text{IH},$$

$$m_1 \cong m_2 \cong m_3, \quad m_{1,2,3}^2 \gg \Delta m_{\text{atm}}^2, \quad \text{QD}; \quad m_j \gtrsim 0.10 \text{ eV}.$$

- Determining, or obtaining significant constraints on, the absolute scale of  $\nu_j$ -masses, or  $\min(m_j)$ .
- Status of the CP-symmetry in the lepton sector: violated due to  $\delta$  (Dirac), and/or due to  $\alpha_{21}, \alpha_{31}$  (Majorana)?
- High precision determination of  $\Delta m_{\odot}^2, \theta_{12}, \Delta m_{\text{atm}}^2, \theta_{23}, \theta_{13}$
- Searching for possible manifestations, other than  $\nu_l$ -oscillations, of the non-conservation of  $L_l, l = e, \mu, \tau$ , such as  $\mu \rightarrow e + \gamma, \tau \rightarrow \mu + \gamma$ , etc. decays.

- Understanding at fundamental level the mechanism giving rise to the  $\nu$ – masses and mixing and to the  $L_l$ –non-conservation. Includes understanding
  - the origin of the observed patterns of  $\nu$ -mixing and  $\nu$ -masses ;
  - the physical origin of  $CPV$  phases in  $U_{PMNS}$  ;
  - Are the observed patterns of  $\nu$ -mixing and of  $\Delta m_{21,31}^2$  related to the existence of a new symmetry?
  - Is there any relations between  $q$ –mixing and  $\nu$ – mixing? Is  $\theta_{12} + \theta_c = \pi/4$  ?
  - Is  $\theta_{23} = \pi/4$ , or  $\theta_{23} > \pi/4$  or else  $\theta_{23} < \pi/4$ ?
  - Is there any correlation between the values of  $CPV$  phases and of mixing angles in  $U_{PMNS}$ ?
- Progress in the theory of  $\nu$ -mixing might lead to a better understanding of the origin of the BAU.
  - Can the Majorana and/or Dirac  $CPVP$  in  $U_{PMNS}$  be the leptogenesis  $CPV$  parameters at the origin of BAU?

The next most important steps are:

- determination of the nature - Dirac or Majorana, of massive neutrinos ( $((\beta\beta)_{0\nu})$ -decay exps: GERDA, CUORE, EXO, KamLAND-Zen, SNO+; SuperNEMO, MAJORANA, AMORE,...).
- determination of the neutrino mass ordering;
- determination of the status of the CP symmetry in the lepton sector (T2K, NO $\nu$ A; T2HK, DUNE)
- determination of the absolute neutrino mass scale, or  $\min(m_j)$  (KATRIN, new ideas; cosmology);

The program of research extends beyond 2030 (with a total cost exceeding  $2.5 \times 10^9$  Euro).

# Determining the $\nu$ –Mass Ordering ( $\text{sgn}(\Delta m_{\text{atm}}^2)$ )

- **Reactor  $\bar{\nu}_e$  Oscillations in vacuum (JUNO, RENO50).**

S.T.P., M. Piai, hep-ph/0112074 (PL B533 (2002) 94)

- **Atmospheric  $\nu$  experiments: subdominant  $\nu_{\mu(e)} \rightarrow \nu_{e(\mu)}$  and  $\bar{\nu}_{\mu(e)} \rightarrow \bar{\nu}_{e(\mu)}$  oscillations (matter effects) (ORCA, PINGU (IceCube), HK, INO).**
- **LBL  $\nu$ –oscillation experiments T2K + NO $\nu$ A; DUNE (future); + T2HK (future), designed to search also for CP violation.**
- **$^3\text{H}$   $\beta$ -decay Experiments (sensitivity to  $5 \times 10^{-2}$  eV) (NH vs IH).**
- **$(\beta\beta)_{0\nu}$ –Decay Experiments;  $\nu_j$ – Majorana particles (NH vs IH).**
- **Cosmology:  $\sum_j m_j$  (NH vs IH).**
- **Atomic Physics Experiments: RENP.**

## Reactor $\bar{\nu}_e$ Oscillations in vacuum

$$P_{\text{NO}}(\bar{\nu}_e \rightarrow \bar{\nu}_e) = 1 - \frac{1}{2} \sin^2 2\theta_{13} \left(1 - \cos \frac{\Delta m_{\text{A}}^2 L}{2 E_\nu}\right) - \frac{1}{2} \cos^4 \theta_{13} \sin^2 2\theta_\odot \left(1 - \cos \frac{\Delta m_\odot^2 L}{2 E_\nu}\right) \\ + \sin^2 2\theta_{13} \sin^2 \theta_\odot \sin \frac{\Delta m_\odot^2 L}{4 E_\nu} \sin \left( \frac{\Delta m_{\text{A}}^2 L}{2 E_\nu} - \frac{\Delta m_\odot^2 L}{4 E_\nu} \right),$$

$$P_{\text{IO}}(\bar{\nu}_e \rightarrow \bar{\nu}_e) = 1 - \frac{1}{2} \sin^2 2\theta_{13} \left(1 - \cos \frac{\Delta m_{\text{A}}^2 L}{2 E_\nu}\right) - \frac{1}{2} \cos^4 \theta_{13} \sin^2 2\theta_\odot \left(1 - \cos \frac{\Delta m_\odot^2 L}{2 E_\nu}\right) \\ + \sin^2 2\theta_{13} \cos^2 \theta_\odot \sin \frac{\Delta m_\odot^2 L}{4 E_\nu} \sin \left( \frac{\Delta m_{\text{A}}^2 L}{2 E_\nu} - \frac{\Delta m_\odot^2 L}{4 E_\nu} \right),$$

$$\theta_\odot = \theta_{12}, \Delta m_\odot^2 = \Delta m_{21}^2 > 0; \quad \sin^2 \theta_{12} \leq 0.36 \text{ at } 3\sigma;$$

$$\Delta m_{\text{A}}^2 = \Delta m_{31}^2 > 0, \text{ NO spectrum,}$$

$$\Delta m_{\text{A}}^2 = \Delta m_{23}^2 > 0, \text{ IO spectrum}$$

M. Piai, S.T.P., hep-ph/0112074;

The reactor  $\bar{\nu}_e$  detected via

$$\bar{\nu}_e + p \rightarrow e^+ + n.$$

The visible energy of the detected  $e^+$ :

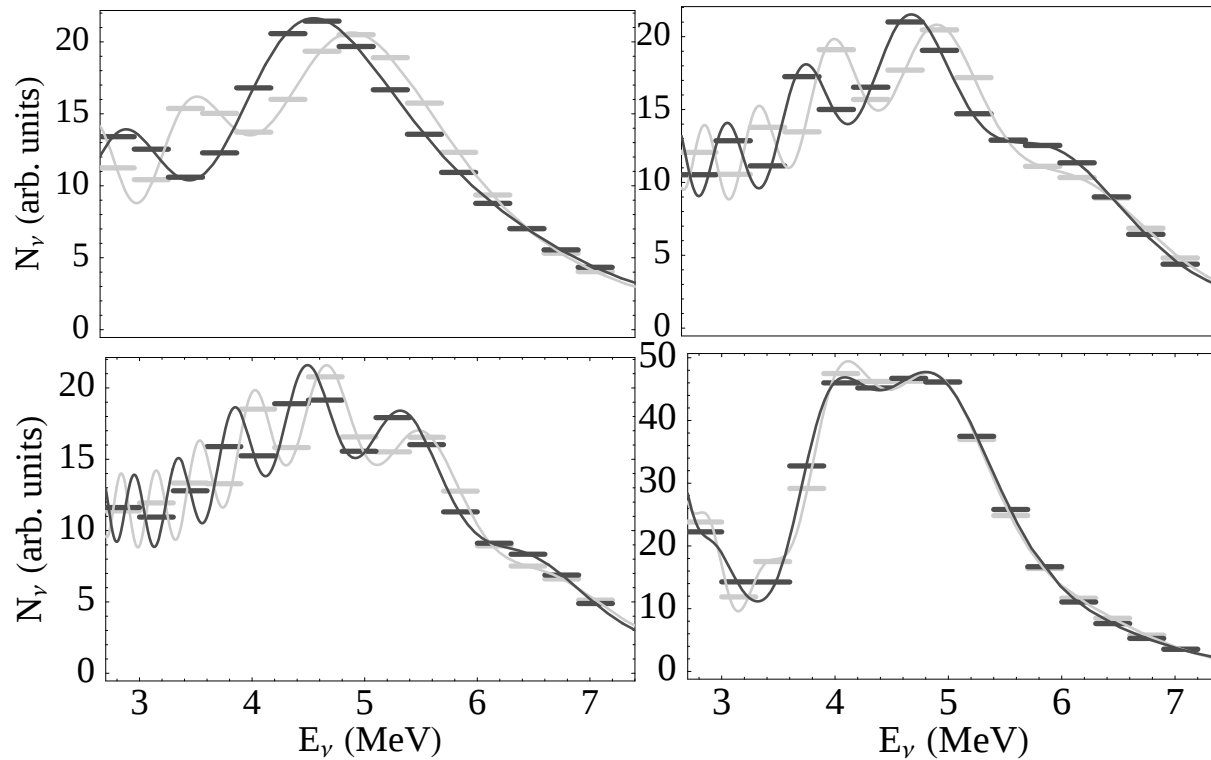
$$E_{vis} = E + m_e - (m_n - m_p) \simeq E - 0.8 \text{ MeV}.$$

The measured event rate spectrum vs.  $L/E_m$ :

$$N(L/E_m) = \int R(E, E_m) \Phi(E) \sigma(\bar{\nu}_e p \rightarrow e^+ n; E) P_{\bar{e}e}^{NO(IO)} dE.$$

$$|P_{NO}(\bar{\nu}_e \rightarrow \bar{\nu}_e) - P_{IO}(\bar{\nu}_e \rightarrow \bar{\nu}_e)| \propto \sin^2 2\theta_{13} \cos 2\theta_{12}$$

$$\cos 2\theta_{12} \cong 0.40; \quad 3\sigma : \quad \cos 2\theta_{12} \geq 0.29; \quad \sin^2 2\theta_{13} \cong 0.084.$$



M. Piai, S.T.P., 2001

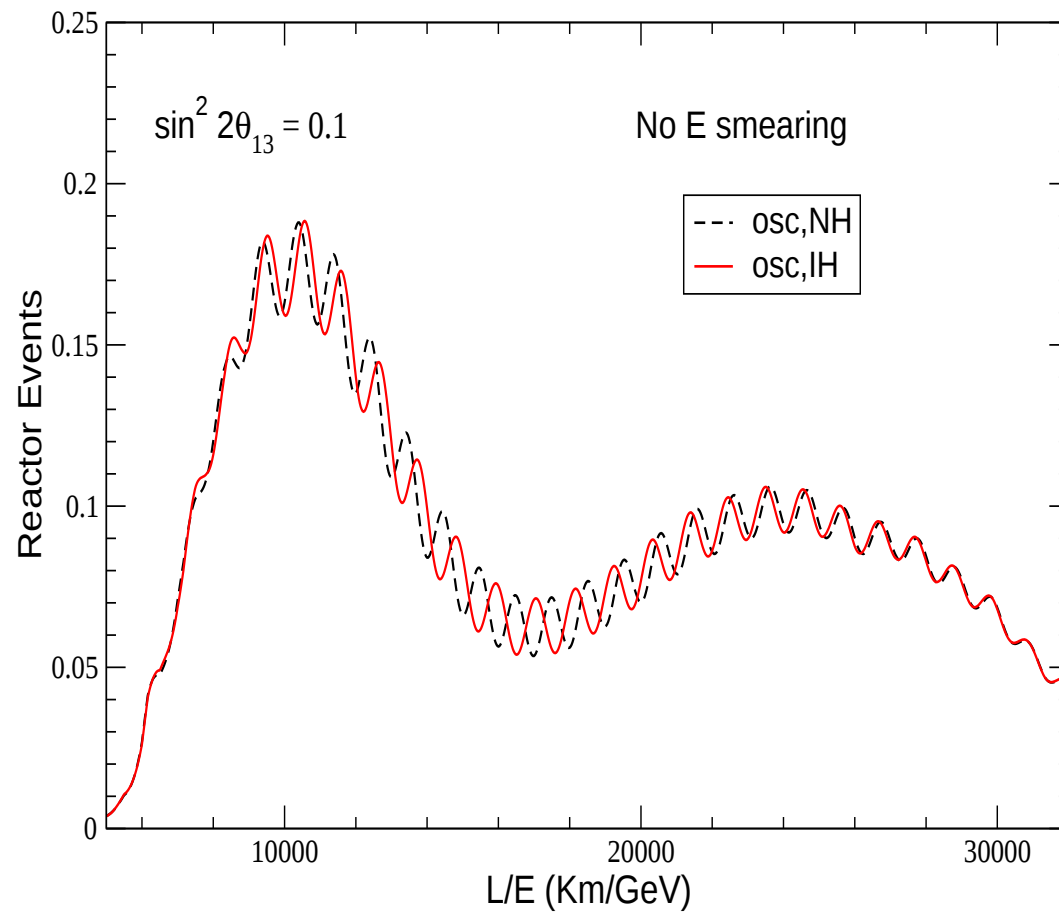
$$\sin^2 \theta_{13} = 0.05, \quad \Delta m_{21}^2 = 2 \times 10^{-4} \text{ eV}^2; \quad \Delta m_A^2 = 1.3; 2.5; 3.5 \times 10^{-3} \text{ eV}^2$$

$$L = 20 \text{ km}, \Delta E_\nu = 0.3 \text{ MeV}.$$

$$\Delta m_{21}^2 = 2 \times 10^{-4} \text{ eV}^2: L = 20 \text{ km};$$

$$\Delta m_{21}^2 = 7.6 \times 10^{-5} \text{ eV}^2: L \cong 53 \text{ km}.$$

NO – light grey; IO – dark grey



P. Ghoshal, S.T.P., arXiv:1011.1646

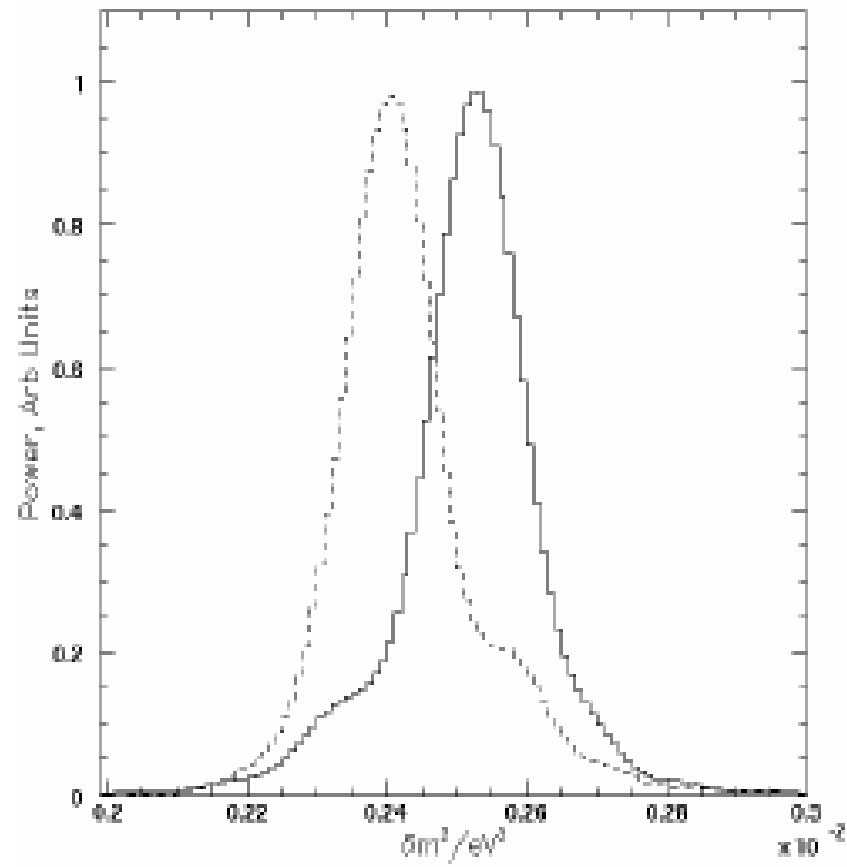
## Fourier Analysis:

$$\text{NO : } \cos^2 \theta_{12} \sin^2 \Delta + \sin^2 \theta_{12} \sin^2(\Delta - \Delta_{21}),$$

$$\text{IO : } \sin^2 \theta_{12} \sin^2 \Delta + \cos^2 \theta_{12} \sin^2(\Delta - \Delta_{21}),$$

$$\Delta \equiv \Delta_{31}(NO) = |\Delta_{32}(IO)|;$$

$$\sin^2 \theta_{12} \cong 0.30, \quad \cos^2 \theta_{12} \cong 0.70.$$



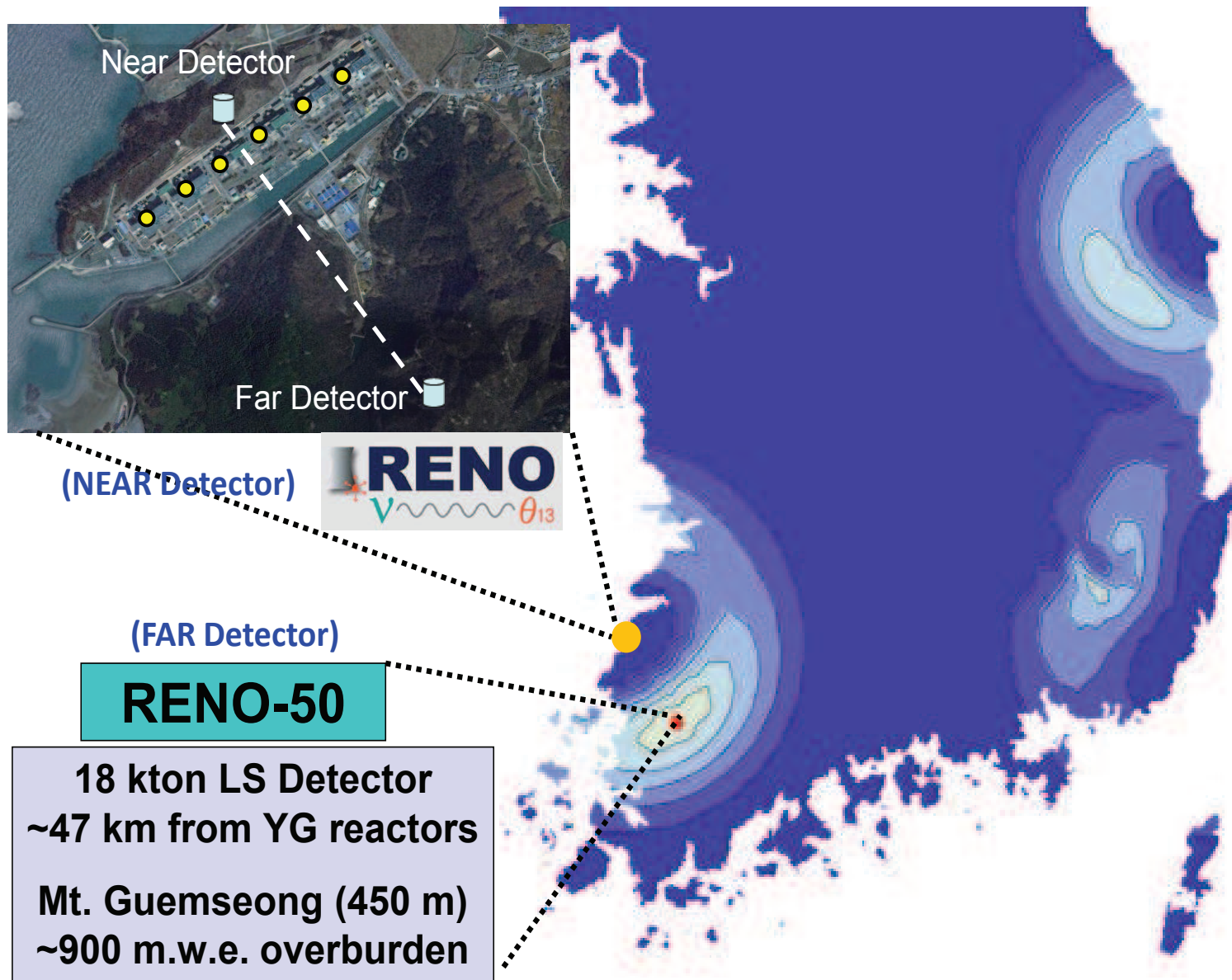
J.Learned et al., 2007

Very challenging; requires:

- energy resolution  $\sigma/E_{\text{vis}} \lesssim 3\%/\sqrt{E_{\text{vis}}}$ ;
- relatively small energy scale uncertainty;
- relatively large statistics ( $\sim (300 - 1000)$  kT GW yr);
- relatively small systematic errors;
- subtle optimisations (distance, number of bins, effects of “interfering distant” reactors).

Two experiments planned with  $L \cong 50$  km: Juno (20 kT, approved), RENO50 (18 kT). Can measure also  $\sin^2 \theta_{12}$ ,  $\Delta m_{21}^2$  and  $|\Delta m_{31}^2|$  with remarkably high precision. Can be used for detection of Geo, solar, SN neutrinos as well.





# Atmospheric Neutrino Experiments on $\text{sgn}(\Delta m_{31}^2)$

## Atmospheric $\nu$ experiments

Subdominant  $\nu_{\mu(e)} \rightarrow \nu_{e(\mu)}$  and  $\bar{\nu}_{\mu(e)} \rightarrow \bar{\nu}_{e(\mu)}$  oscillations in the Earth.

$$P_{3\nu}(\nu_e \rightarrow \nu_\mu) \cong P_{3\nu}(\nu_\mu \rightarrow \nu_e) \cong s_{23}^2 P_{2\nu}, P_{3\nu}(\nu_e \rightarrow \nu_\tau) \cong c_{23}^2 P_{2\nu}, \\ P_{3\nu}(\nu_\mu \rightarrow \nu_\mu) \cong 1 - s_{23}^4 P_{2\nu} - 2c_{23}^2 s_{23}^2 [1 - \text{Re}(e^{-i\kappa} A_{2\nu}(\nu_\tau \rightarrow \nu_\tau))] ,$$

$P_{2\nu} \equiv P_{2\nu}(\Delta m_{31}^2, \theta_{13}; E, \theta_n; N_e)$ : 2- $\nu$   $\nu_e \rightarrow \nu'_\tau$  oscillations in the Earth,  
 $\nu'_\tau = s_{23} \nu_\mu + c_{23} \nu_\tau$ ;  $\Delta m_{21}^2 \ll |\Delta m_{31(32)}^2|$ ,  $E_\nu \gtrsim 2$  GeV;

$\kappa$  and  $A_{2\nu}(\nu_\tau \rightarrow \nu_\tau) \equiv A_{2\nu}$  are known phase and 2- $\nu$  amplitude.

NO:  $\nu_{\mu(e)} \rightarrow \nu_{e(\mu)}$  **matter enhanced**,  $\bar{\nu}_{\mu(e)} \rightarrow \bar{\nu}_{e(\mu)}$  - suppressed

IO:  $\bar{\nu}_{\mu(e)} \rightarrow \bar{\nu}_{e(\mu)}$  **matter enhanced**,  $\nu_{\mu(e)} \rightarrow \nu_{e(\mu)}$  - suppressed

No charge identification (SK, HK, IceCube-PINGU, ANTARES-ORCA); event rate (DIS regime):  $[2\sigma(\nu_l + N \rightarrow l^- + X) + \sigma(\bar{\nu}_l + N \rightarrow l^+ + X)]/3$

## Neutrino Oscillations in Matter

When neutrinos propagate in matter, they interact with the background of electrons, protons and neutrons, which generates an effective potential in the neutrino Hamiltonian:  $H = H_{vac} + V_{eff}$ .

This modifies the neutrino mixing since the eigenstates and the eigenvalues of  $H_{vac}$  and of  $H = H_{vac} + V_{eff}$  are different, leading to a different oscillation probability w.r.t to that in vacuum.

Typically the matter background is not CP and CPT symmetric, e.g., the Earth and the Sun contain only electrons, protons and neutrons, and the resulting oscillations violate CP and CPT symmetries.

$$P_{3\nu}(\nu_\mu \rightarrow \nu_e) \cong \sin^2 \theta_{23} \sin^2 2\theta_{13}^m \sin^2 \frac{\Delta M_{31}^2 L}{4E}$$

$\sin^2 2\theta_{13}^m$ ,  $\Delta M_{31}^2$  depend on the matter potential  
 $V_{eff} = \sqrt{2} G_F N_e$ ,

For antineutrinos  $V_{eff}$  has the opposite sign:

$$V_{eff} = -\sqrt{2} G_F N_e.$$

$\Delta m_{31}^2 > 0$  (NO):  $\nu_{\mu(e)} \rightarrow \nu_{e(\mu)}$  matter enhanced,  
 $\bar{\nu}_{\mu(e)} \rightarrow \bar{\nu}_{e(\mu)}$  - suppressed

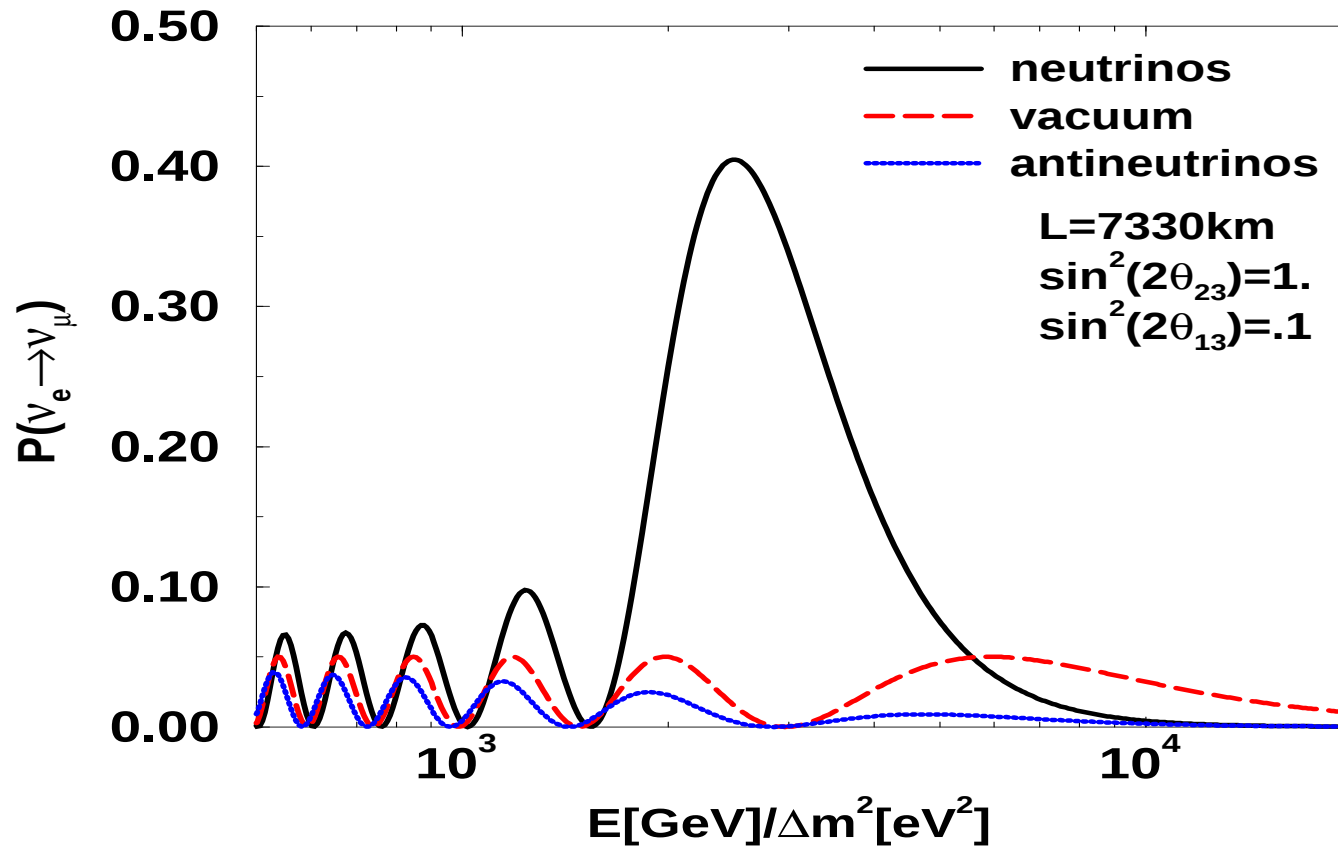
$\Delta m_{31}^2 < 0$  (IO):  $\bar{\nu}_{\mu(e)} \rightarrow \bar{\nu}_{e(\mu)}$  matter enhanced,  
 $\nu_{\mu(e)} \rightarrow \nu_{e(\mu)}$  - suppressed

$$\sin^2 2\theta_{13}^m = \frac{\tan^2 2\theta_{13}}{(1 - \frac{N_e}{N_e^{res}})^2 + \tan^2 2\theta_{13}},$$

$$\cos 2\theta_{13}^m = \frac{1 - N_e/N_e^{res}}{\sqrt{(1 - \frac{N_e}{N_e^{res}})^2 + \tan^2 2\theta_{13}}},$$

For  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ :  $N_e \rightarrow (-N_e)$ .

## Earth matter effect in $\nu_\mu \rightarrow \nu_e$ , $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ (MSW)



$$\Delta m^2 = 2.5 \times 10^{-3} \text{ eV}^2, E^{res} = 6.25 \text{ GeV}; P^{3\nu} = \sin^2 \theta_{23} P_m^{2\nu} = 0.5 P_m^{2\nu};$$

$$N_e^{res} \cong 2.3 \text{ cm}^{-3} N_A; L_m^{res} = L^v / \sin 2\theta_{13} \cong 6250 / 0.32 \text{ km}; 2\pi L / L_m \cong 0.75\pi (\neq \pi).$$

HyperKamiokande (10SK), IceCube-PINGU, KM3Net-ORCA;

Iron Magnetised detector: INO

INO: 50 or 100 kt (in India);  $\nu_\mu$  and  $\bar{\nu}_\mu$  induced events detected ( $\mu^+$  and  $\mu^-$ );  
not designed to detect  $\nu_e$  and  $\bar{\nu}_e$  induced events.

IceCube at the South Pole: PINGU

PINGU: 50SK;  $\nu_\mu$  and  $\bar{\nu}_\mu$  induced events detected ( $\mu^+$  and  $\mu^-$ , no  $\mu$  charge identification); Challenge:  $E_\nu \gtrsim 2$  GeV (?)

KM3Net in Mediteranian sea: ORCA

Water-Cerenkov detector: Hyper-Kamiokande (0.99 Mton; fiducial: 0.56 Mton)

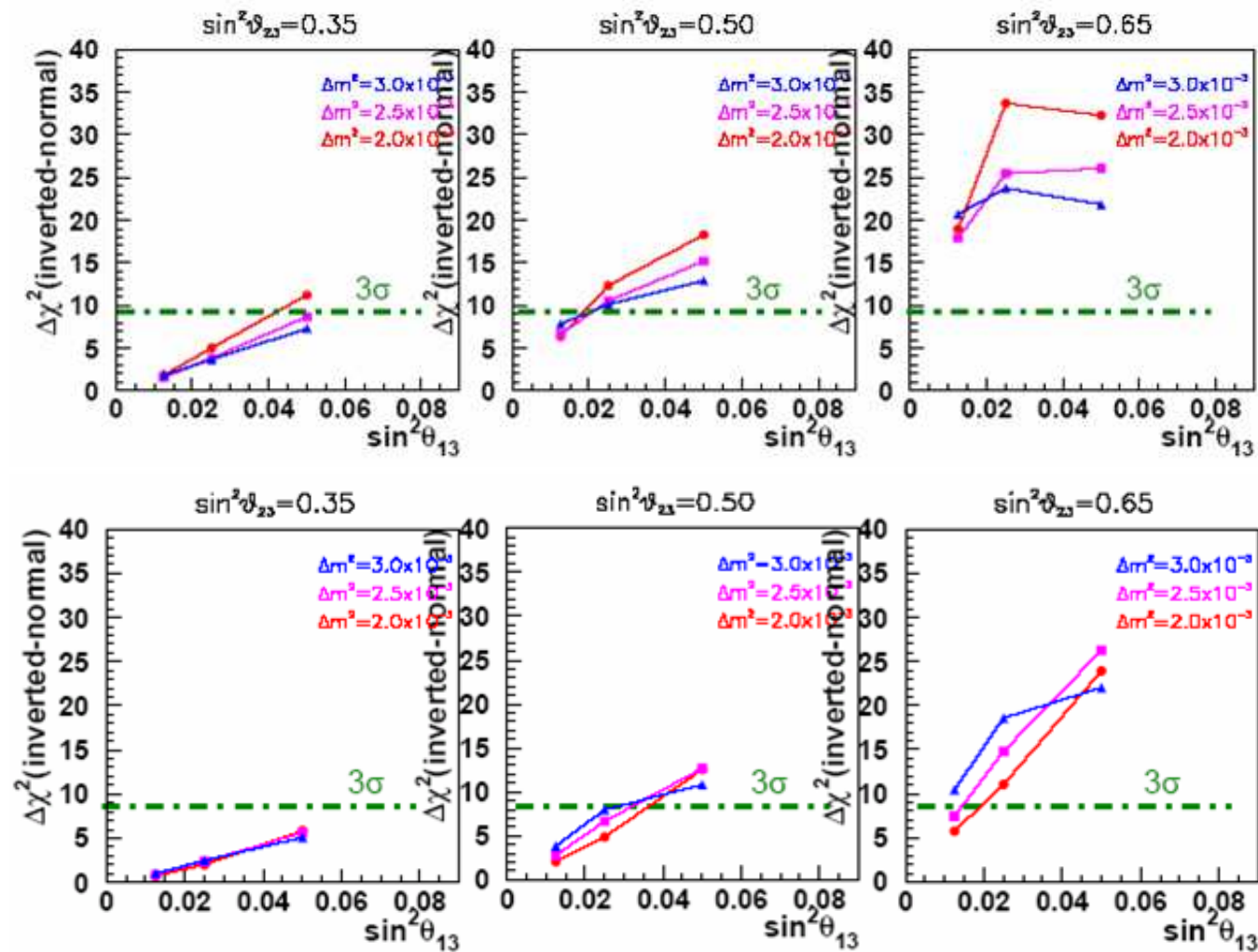
Sensitivity depends critically on  $\theta_{23}$ , the “true” ordering.

J. Bernabeu, S. Palomares-Ruiz, S.T.P., 2003

$$P(\nu_\mu \rightarrow \nu_e) \cong \sin^2 \theta_{23} \sin^2 2\theta_{13}^m \sin^2 \frac{\Delta M_{31}^2 L}{4E}$$

No charge identification (SK, HK, PINGU, ORCA); event rate (DIS regime):

$$[2\sigma(\nu_l + N \rightarrow l^- + X) + \sigma(\bar{\nu}_l + N \rightarrow l^+ + X)]/3$$

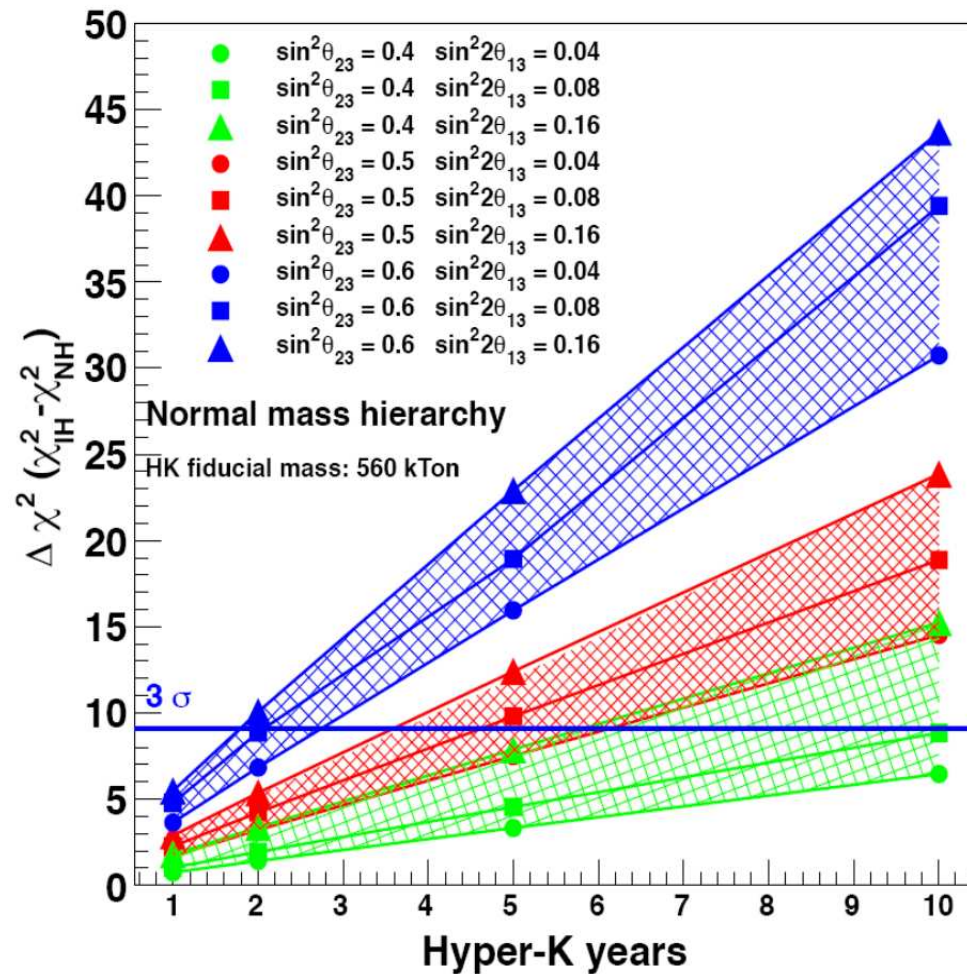


Water-Cerenkov detector, 1.8 MTy (HK)

Critical dependence on  $\theta_{23}$ , “true hierarchy”.

T. Kajita et al., 2004

J. Bernabeu, S. Palomares-Ruiz, S.T.P., 2003



Sensitivity to the neutrino mass hierarchy from HK atmospheric neutrino data.  $\theta_{23}$  and  $\theta_{13}$  are assumed to be known as indicated in the figure.

K. Abe et al. [Letter of intent: Hyper-Kamiokande Experiment], arXiv:1109.3262.

**Critical dependence on  $\theta_{23}$ , “true hierarchy”.**

J. Bernabeu, S. Palomares-Ruiz, S.T.P., 2003

Some physics targets and expected sensitivities of the HK experiment (K. Abe et al., arXiv:1109.3262) and T2HK experiment (K. Abe et al., arXiv:1502.05199) Improvements are expected; only selected values are listed (e.g., other channels will be accessible for nucleon decays).

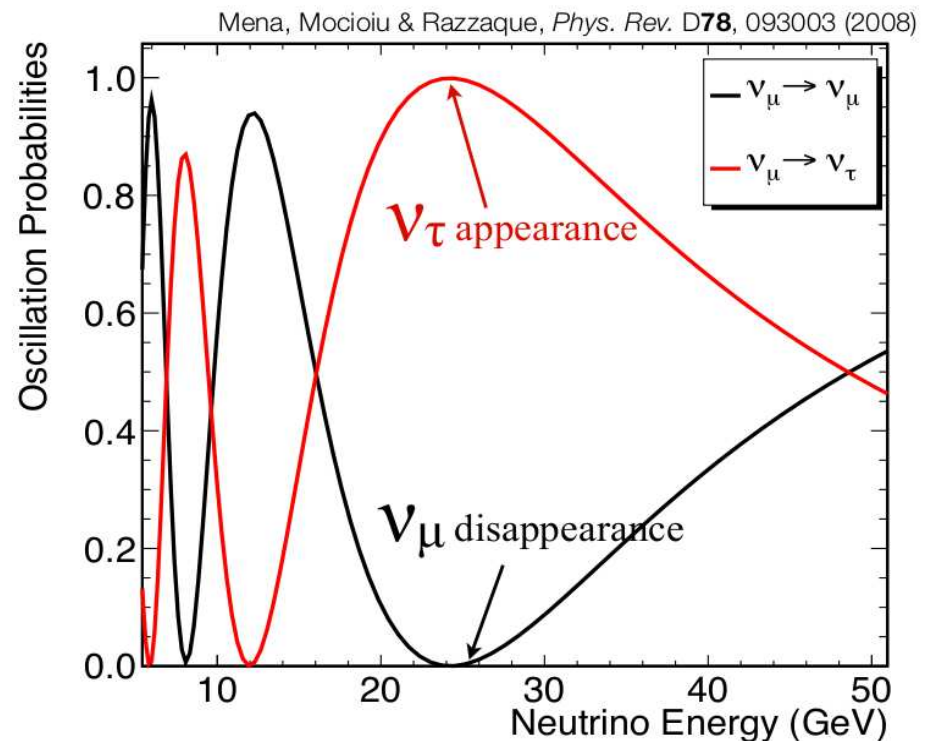
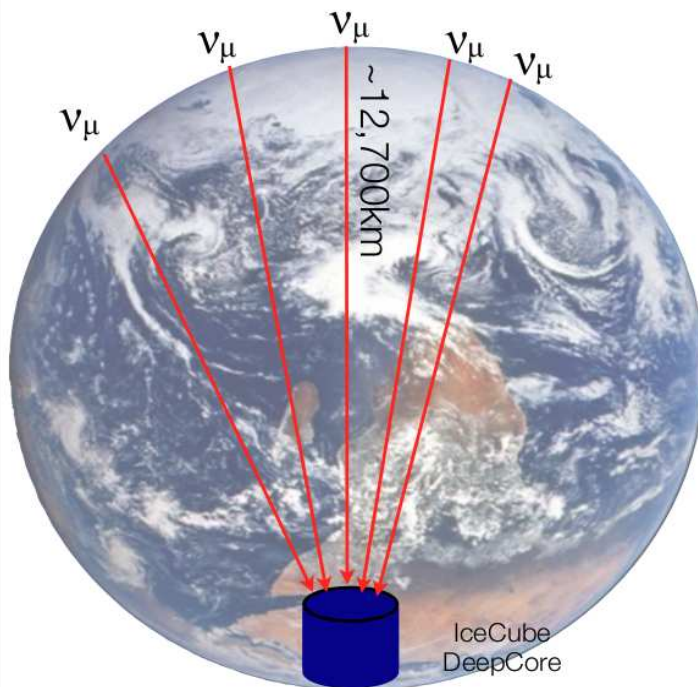
| Physics Target                                              | Sensitivity                                                                                                   | Conditions                                                                                                                                                        |
|-------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Neutrino study w/ J-PARC $\nu$                              |                                                                                                               | $7.5 \text{ MW} \times 10^7 \text{ sec}$                                                                                                                          |
| – $CP$ phase precision                                      | $< 19^\circ$                                                                                                  | @ $\sin^2 2\theta_{13} = 0.1$ , MO known                                                                                                                          |
| – $CPV$ discovery coverage                                  | 76% ( $3\sigma$ ), 58% ( $5\sigma$ )                                                                          | @ $\sin^2 2\theta_{13} = 0.1$ , MO known                                                                                                                          |
| – $\sin^2 \theta_{23}$                                      | $\pm 0.015$                                                                                                   | $1\sigma$ @ $\sin^2 \theta_{23} = 0.5$                                                                                                                            |
| Atmospheric neutrino study                                  |                                                                                                               | 10 years observation                                                                                                                                              |
| – MO determination                                          | $> 3\sigma \text{ CL}$                                                                                        | @ $\sin^2 \theta_{23} > 0.4$                                                                                                                                      |
| – $\theta_{23}$ octant determination                        | $> 3\sigma \text{ CL}$                                                                                        | @ $\sin^2 \theta_{23} < 0.46$ or $\sin^2 \theta_{23} > 0.56$                                                                                                      |
| Nucleon Decay Searches                                      |                                                                                                               | 10 years data                                                                                                                                                     |
| – $p \rightarrow e^+ + \pi^0$                               | $1.3 \times 10^{35} \text{ yrs (90\% CL UL)}$<br>$5.7 \times 10^{34} \text{ yrs (3}\sigma \text{ discovery)}$ |                                                                                                                                                                   |
| – $p \rightarrow \bar{\nu} + K^+$                           | $3.2 \times 10^{34} \text{ yrs (90\% CL UL)}$<br>$1.2 \times 10^{34} \text{ yrs (3}\sigma \text{ discovery)}$ |                                                                                                                                                                   |
| Astrophysical neutrino sources                              |                                                                                                               |                                                                                                                                                                   |
| – $^8\text{B } \nu$ from Sun                                | 200 $\nu$ 's / day                                                                                            | 7.0 MeV threshold (total energy)                                                                                                                                  |
| – Supernova burst $\nu$                                     | 170,000~260,000 $\nu$ 's<br>30~50 $\nu$ 's                                                                    | @ Galactic center (10 kpc)<br>@ M31 (Andromeda galaxy)                                                                                                            |
| – Supernova relic $\nu$                                     | 830 $\nu$ 's / 10 years                                                                                       |                                                                                                                                                                   |
| – WIMP annihilation at Sun                                  |                                                                                                               | 5 years observation                                                                                                                                               |
| ( $\sigma_{SD}$ : WIMP-proton spin dependent cross section) | $\sigma_{SD} = 10^{-39} \text{ cm}^2$<br>$\sigma_{SD} = 10^{-40} \text{ cm}^2$                                | @ $M_{\text{WIMP}} = 10 \text{ GeV}$ , $\chi\chi \rightarrow b\bar{b}$ dominant<br>@ $M_{\text{WIMP}} = 100 \text{ GeV}$ , $\chi\chi \rightarrow W^+W^-$ dominant |

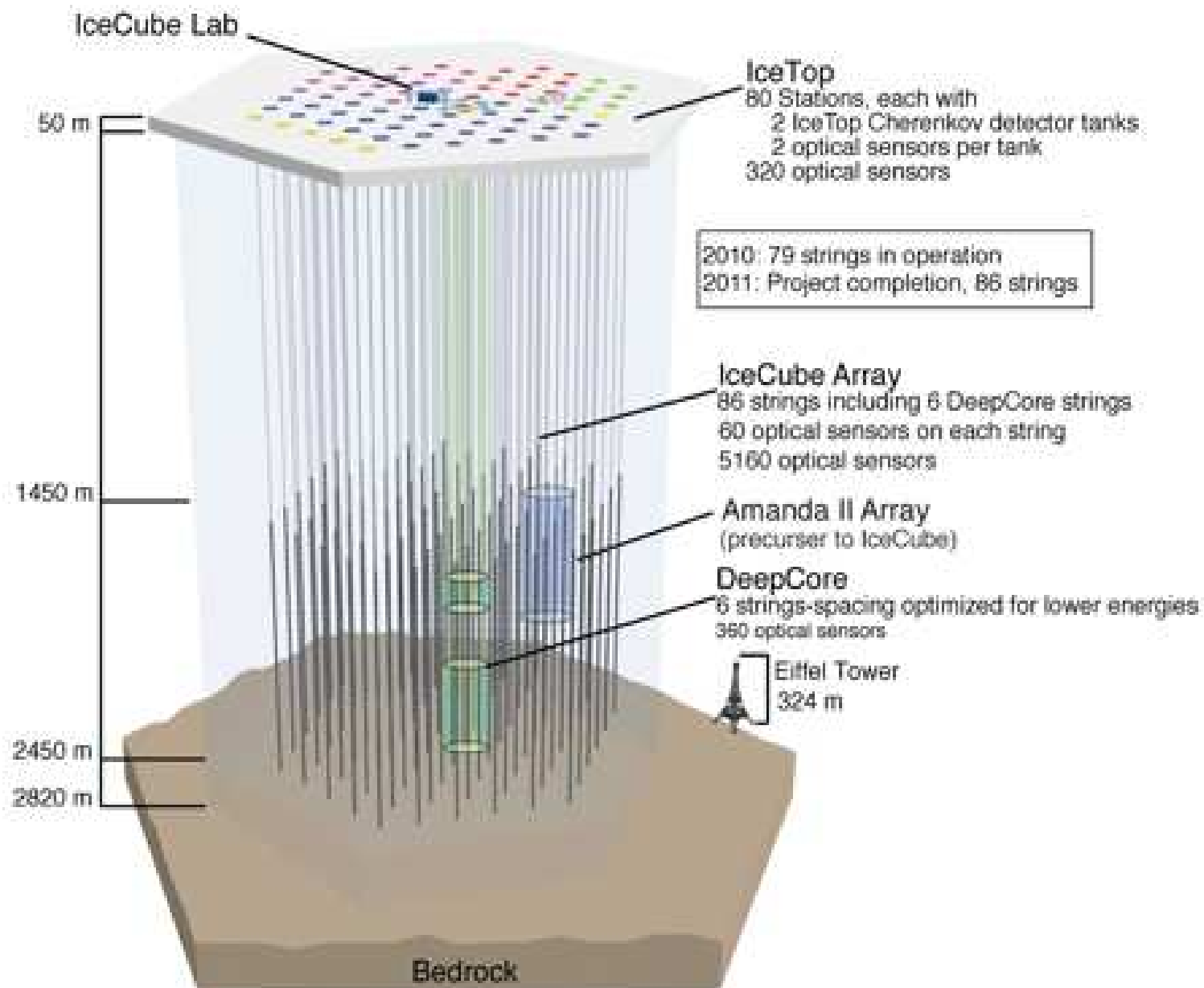


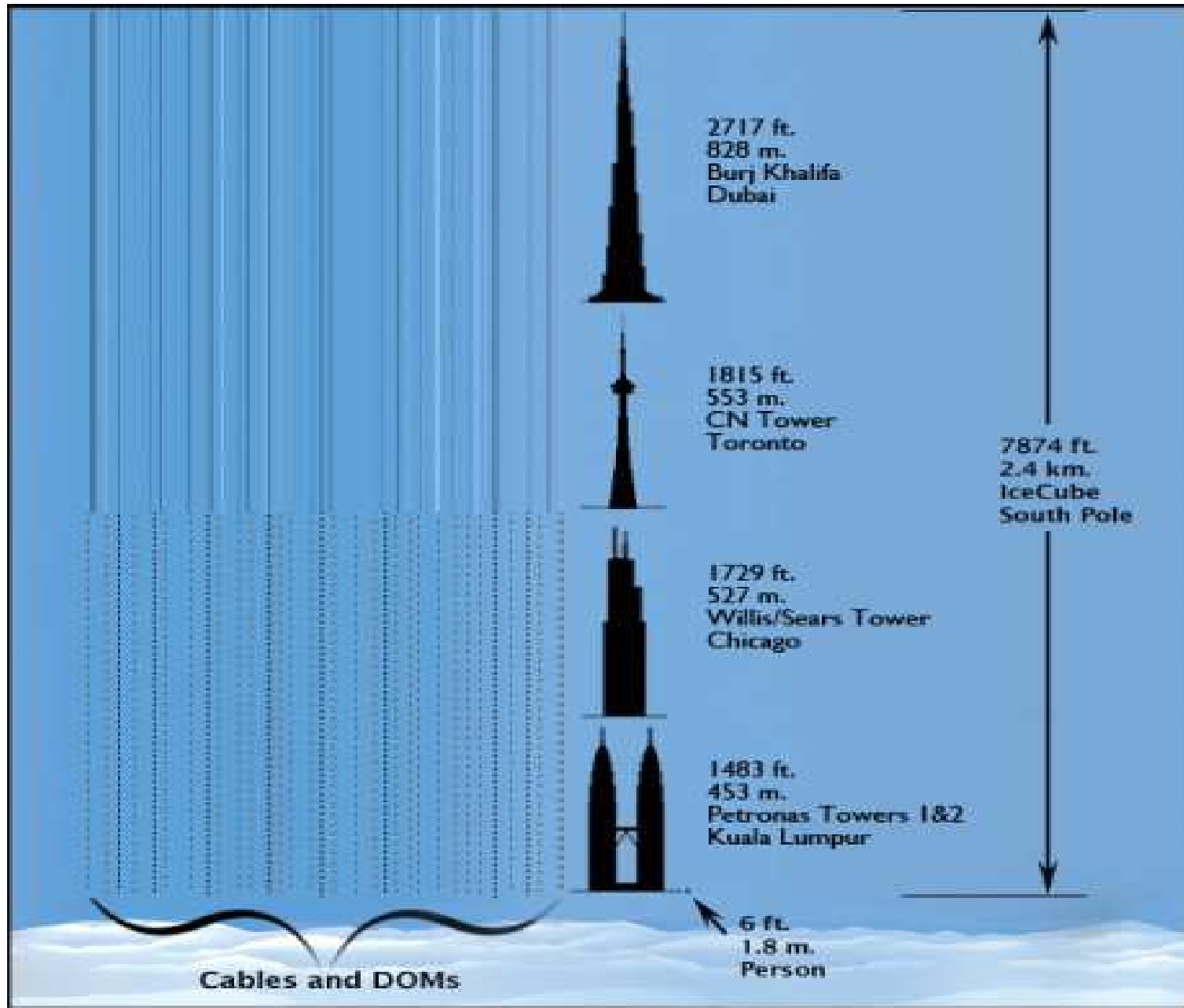
# Neutrino Oscillation Source

- Oscillation
- IceCube-DeepCore Physics
- PINGU
- Beyond

- Northern Hemisphere  $\nu_\mu$  oscillating over one earth radii produces  $\nu_\mu$  ( $\nu_\tau$ ) oscillation minimum(maximum) at  $\sim 25$  GeV
  - Covers all possible terrestrial baselines
  - “Beam” is free and never turns off



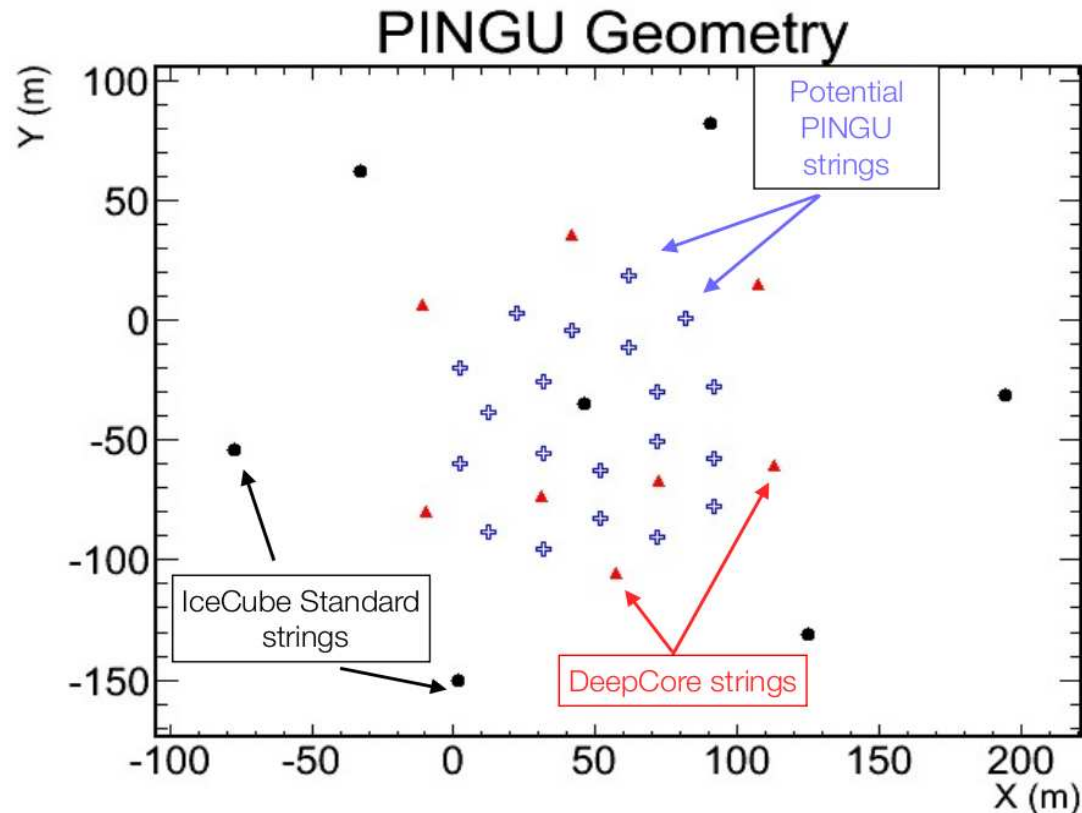




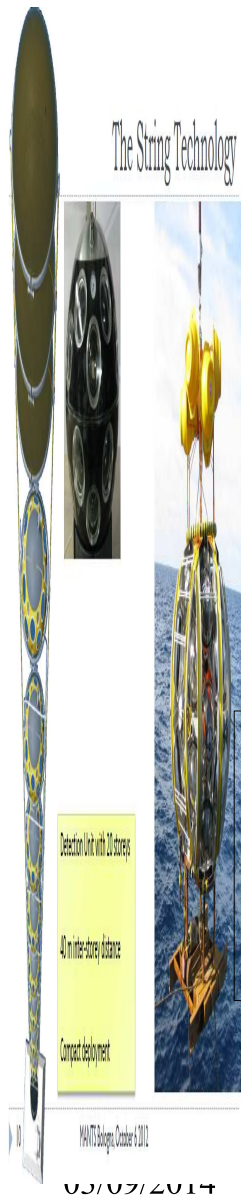
# PINGU: Possible Geometry

- Oscillation
- IceCube-DeepCore Physics
- PINGU
- Beyond

- ~20 strings within DeepCore volume w/ short string-string spacing
  - IC-IC: 125m
  - DC-DC: ~80m
  - PINGU-PINGU:  $\leq 26\text{m}$
- Shorter DOM-DOM spacing
  - IC-IC: 17m
  - DC-DC: 7m
  - PINGU-PINGU:  $\leq 5\text{m}$
- R & D for future water/ice cerenkov

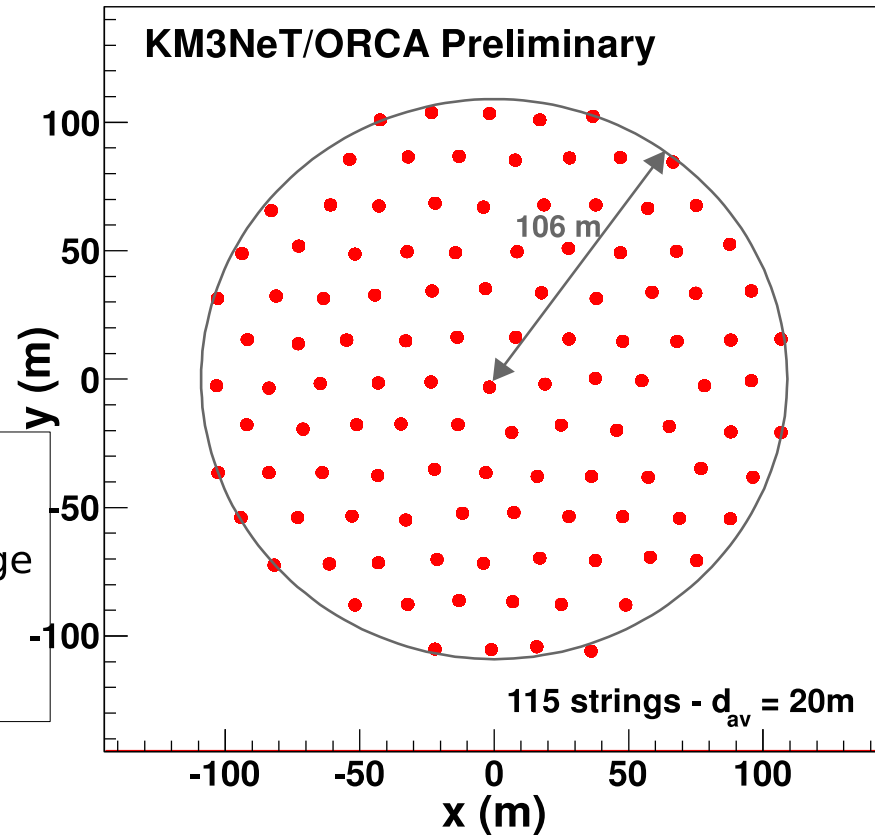


# The ORCA proposed detector

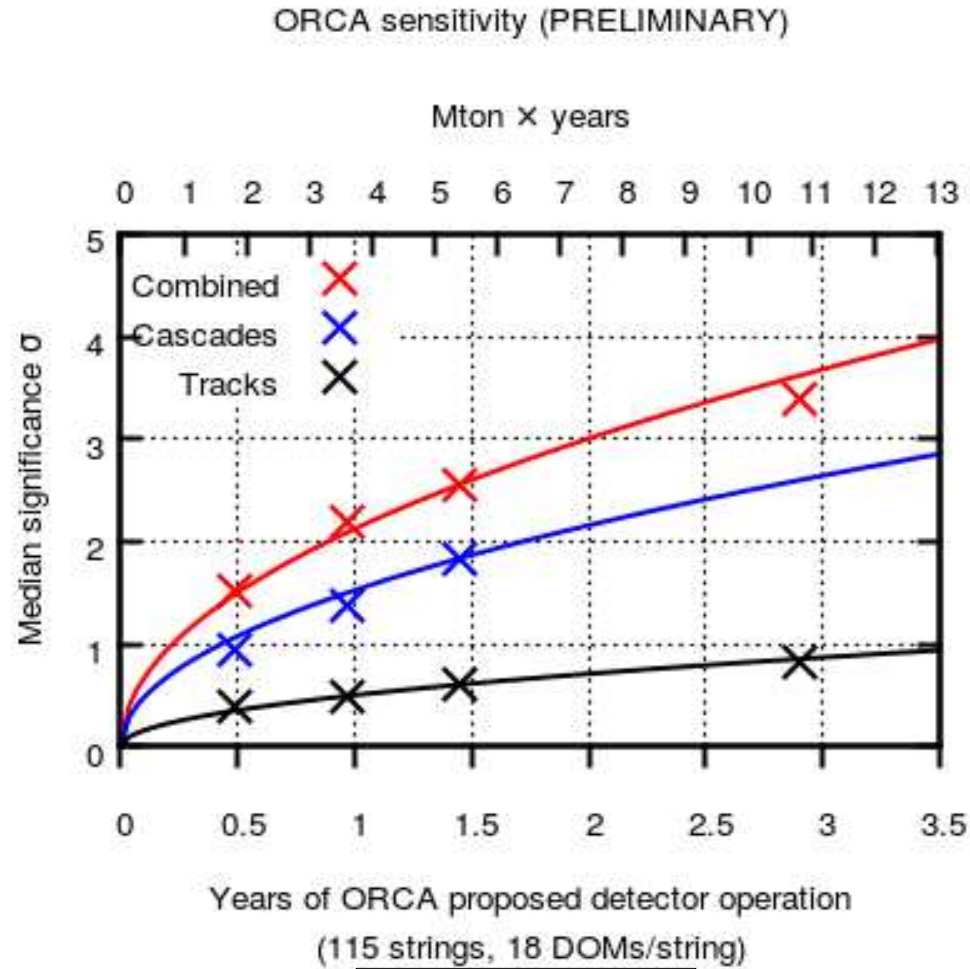


Multi-PMT DOM  
31 small PMTs  
Almost uniform coverage  
Photon counting  
Direction of photon  
All electronics inside

115 strings (building block) of 18 DOMs each  
Estimated cost 40M€



# Sensitivity to the NMH



03/09/2014

40 M€ investment

# Future LBL Neutrino Oscillation Experiments on $\text{sgn}(\Delta m_{31}^2)$ (the Hierarchy) and CP Violation

## LBL Oscillation Experiments $\text{NO}\nu\text{A}$ , DUNE, T2HK (HK=Hyper-Kamiokande)

$\text{NO}\nu\text{A}$ : Fermilab - site in Minnesota; off-axis  $\nu$  beam,  $E = 2$  GeV,  $L \cong 810$  km, 14 kt liquid scintillator; 2014.

$\text{T2HK}$ :  $L = 295$  km,  $2.5^\circ$  off-axis (narrow band)  $\nu_\mu$  beam (from 750 kW proton) beam, maximum at  $E \cong 0.6$  GeV (the first osc. maximum).

$\text{DUNE}$ : Fermilab-DUSEL,  $L = 1290$  km, 1.2 MW (2.3 MW) proton beam, wide band  $\nu$  beam (first and second osc. maxima at  $E = 2.4$  GeV and 0.8 GeV); 34 kt fiducial volume LAr detectors; plans to run 5 years with  $\nu_\mu$  and 5 years with  $\bar{\nu}_\mu$ ; 2025 (?)



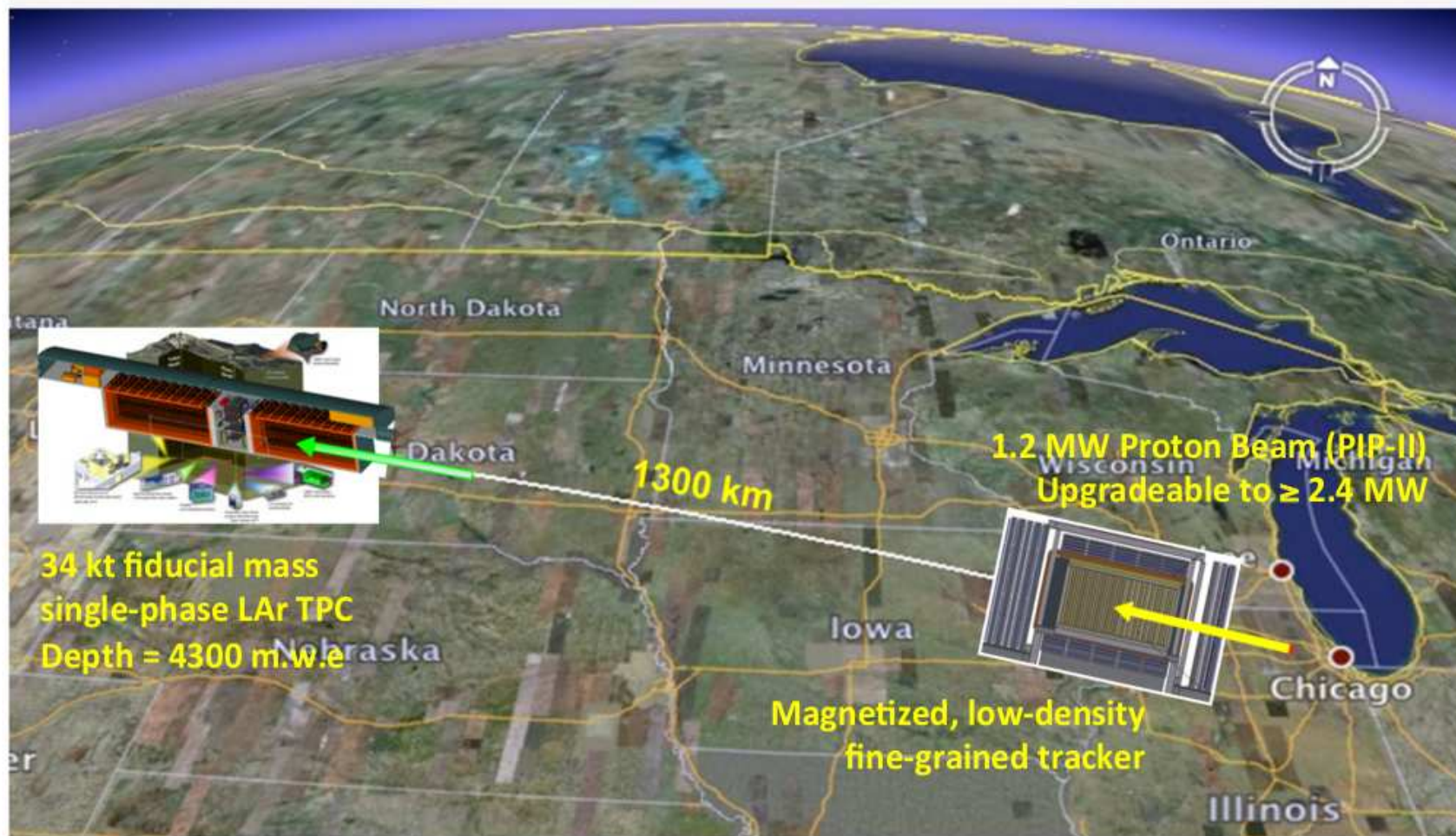
T2SK, T2HK



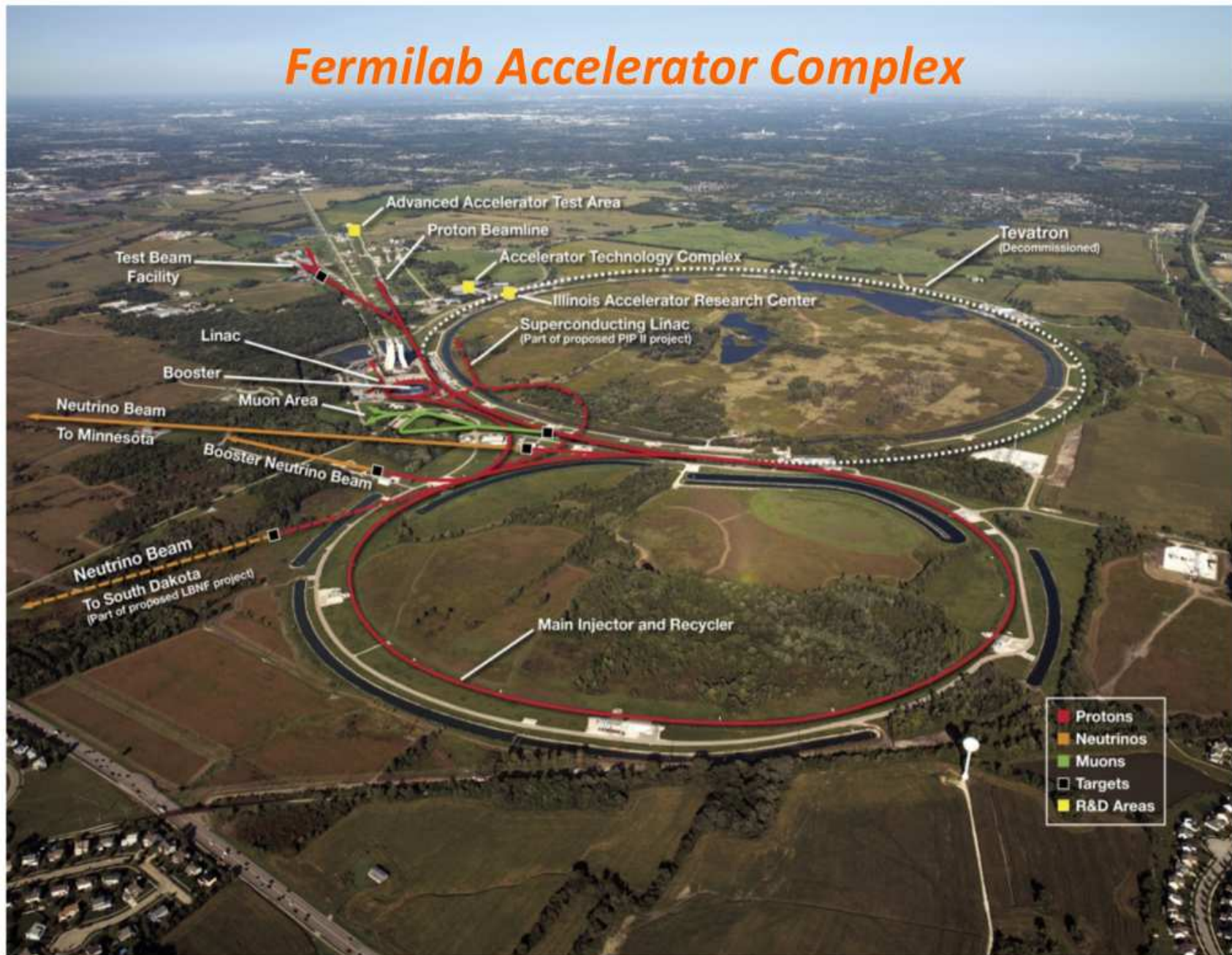
The slides on the LBNE (ELBNF  $\rightarrow$  DUNE) project are from the talk by B.C. Choudhary, given at the NuPhys (Prospects in Neutrino Physics) Workshop, December 15 - 17, 2014, London, UK.



# LBNE Design



# Fermilab Accelerator Complex





## LBNE Parameters



- Wide band neutrino beam from FNAL
  - protons: 60-120 GeV, 1.2 MW; upgradable to 2.3 MW
  - 10  $\mu$ S pulses every 1.0 to 1.33 sec depending on P energy&power.
  - Neutrinos: sign selected, horn focused, 0.5 - 5 GeV
  - 1300 km thru the Earth to Sanford Underground Research Facility.
- Liquid argon TPC parameters
  - 34 kt fiducial (50kt tot) at 4850 ft level. cosmics  $\sim 0.1$ Hz, beam  $\sim 9$ k CC/yr
  - drift  $\sim 3.5$  m, field: 500 V/cm, 2 mods = (14m(H)X 22m(W)X45m(L))
  - readout: x,u,v, pitch: 5 mm, wrapped wires, 2X108 APAs, 2X(275k ch)
  - Max Yield:  $\sim 9000$  e/mm/MIP, 10000 ph/mm/MIP
- near detector parameters
  - distance  $\sim 450$  m,  $\sim 3$ M events/ton/MW/yr
  - Magnetized Fine Grained Tracker (8 ton) with ECAL, and muon id.
  - Supplemented by a small LARTPC (few tons) or gas TPC.

**Scale of project is dictated by physics. Beam and ND and FD detectors require high technology. Project can be done in phases with international partners.**

Up to 2nd order in the two small parameters  $|\alpha| \equiv |\Delta m_{21}^2|/|\Delta m_{31}^2| \ll 1$  and  $\sin^2 \theta_{13} \ll 1$ :

$$P_m^{3\nu\ man}(\nu_\mu \rightarrow \nu_e) \cong P_0 + P_{\sin \delta} + P_{\cos \delta} + P_3 ,$$

$$P_0 = \sin^2 \theta_{23} \frac{\sin^2 2\theta_{13}}{(A-1)^2} \sin^2[(A-1)\Delta],$$

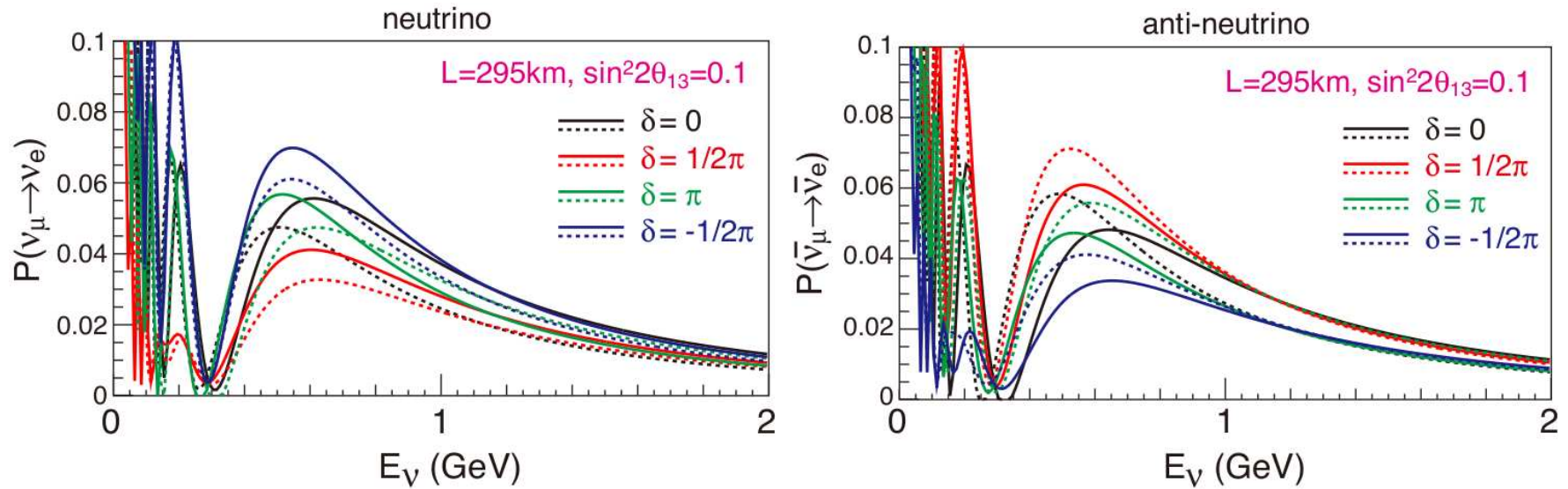
$$P_3 = \alpha^2 \cos^2 \theta_{23} \frac{\sin^2 2\theta_{12}}{A^2} \sin^2(A\Delta),$$

$$P_{\sin \delta} = -\alpha \frac{8 J_{CP}}{A(1-A)} (\sin \Delta)(\sin A\Delta) (\sin[(1-A)\Delta]),$$

$$P_{\cos \delta} = \alpha \frac{8 J_{CP} \cot \delta}{A(1-A)} (\cos \Delta)(\sin A\Delta) (\sin[(1-A)\Delta]),$$

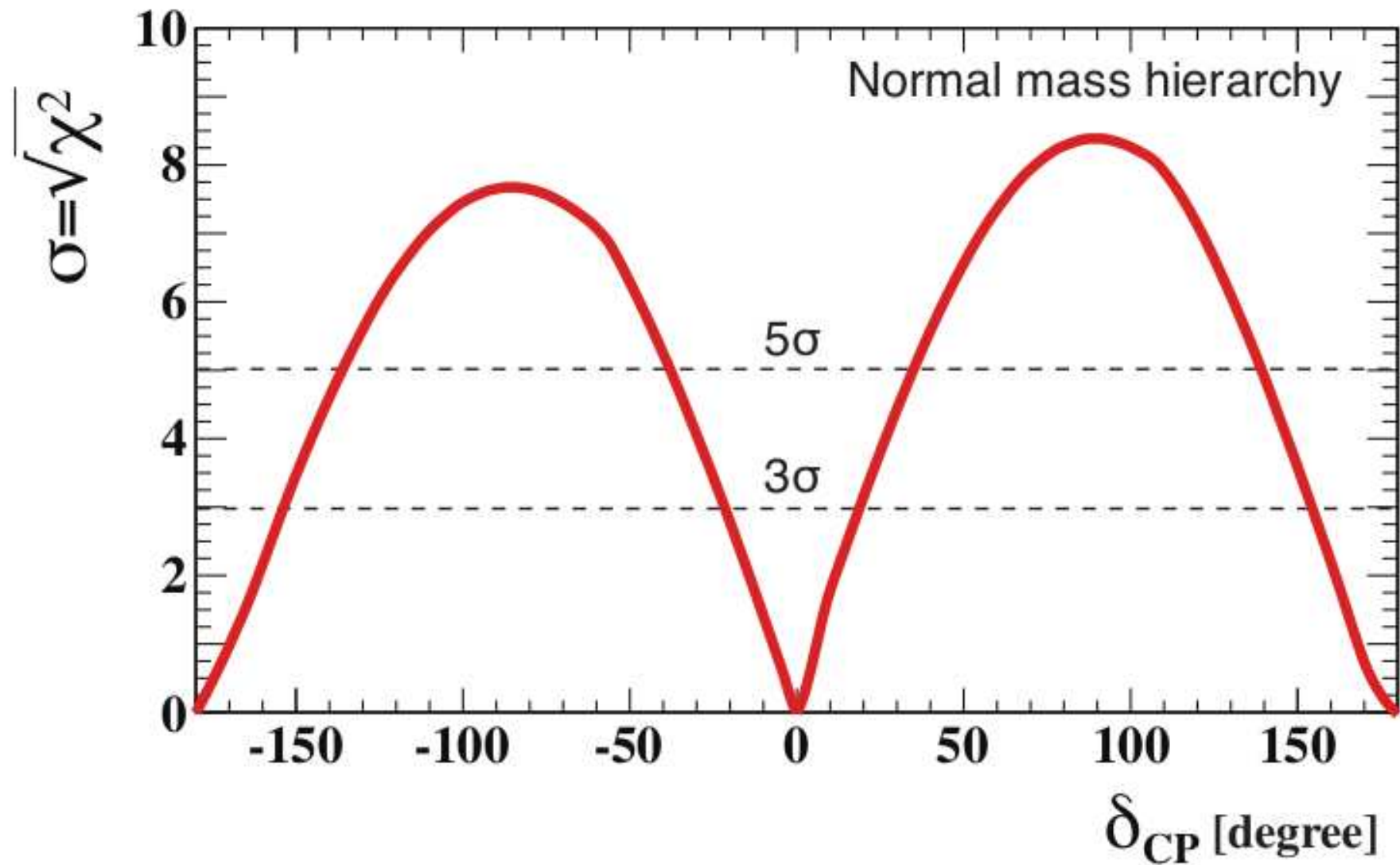
$$\Delta = \frac{\Delta m_{31}^2 L}{4E}, \quad A = \sqrt{2} G_F N_e^{man} \frac{2E}{\Delta m_{31}^2}.$$

$$\bar{\nu}_\mu \rightarrow \bar{\nu}_e: \delta, \quad A \rightarrow (-\delta), \quad (-A)$$

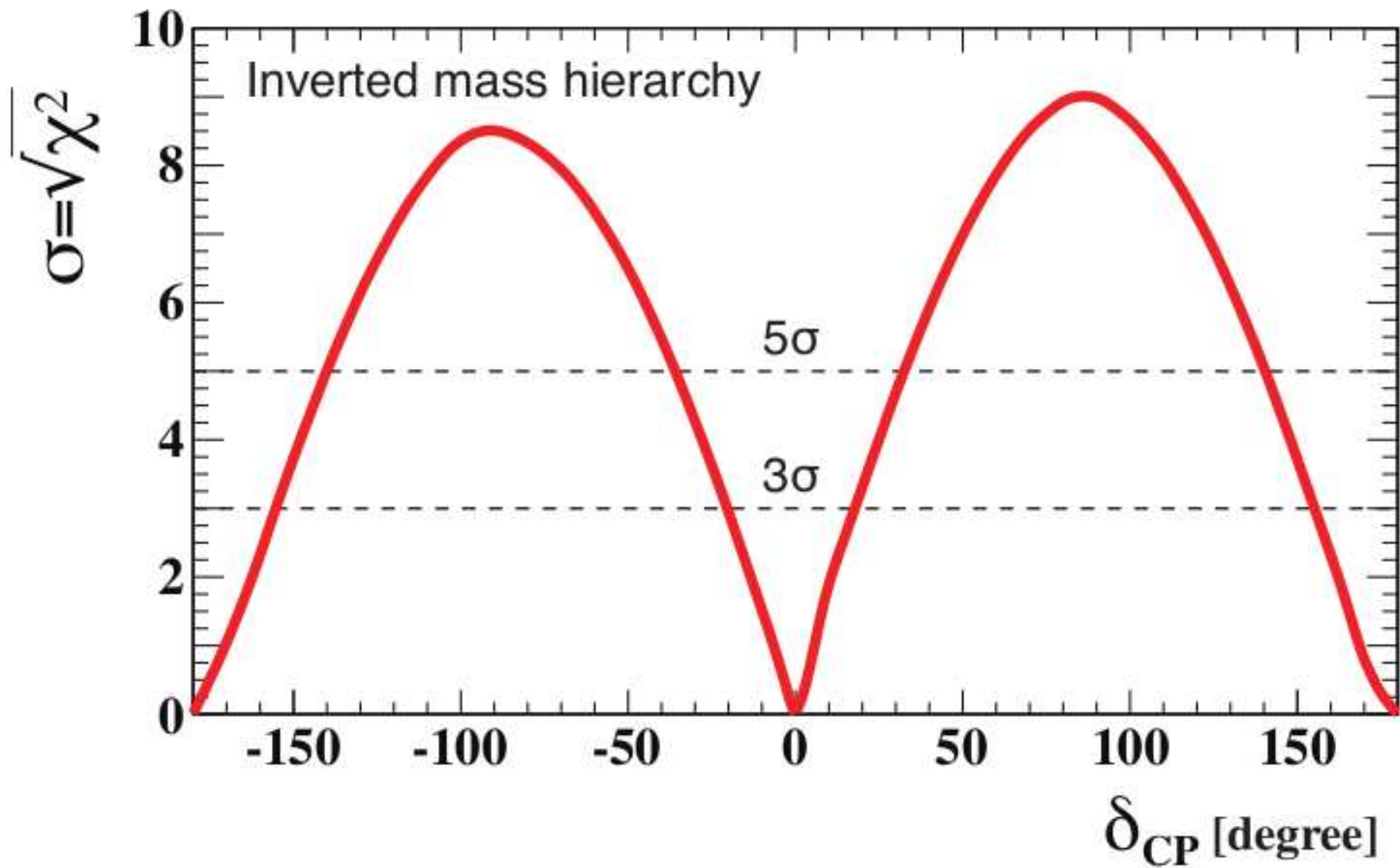


The  $\nu_\mu \rightarrow \nu_e$  (left) and  $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$  (right) oscillation probabilities as a function of the neutrino energy for  $L=295$  km (T2SK, T2HK) and  $\sin^2 2\theta_{13} = 0.1$ . Black, red, green, and blue lines correspond to  $\delta = 0, \frac{1}{2}\pi, \pi$ , and  $-\frac{1}{2}\pi$ , respectively. Solid (dashed) line represents the case for a NO (IO) mass spectrum.

# Expected T2HK sensitivity to CP violation



K. Abe et al., arXiv:1502.05199.

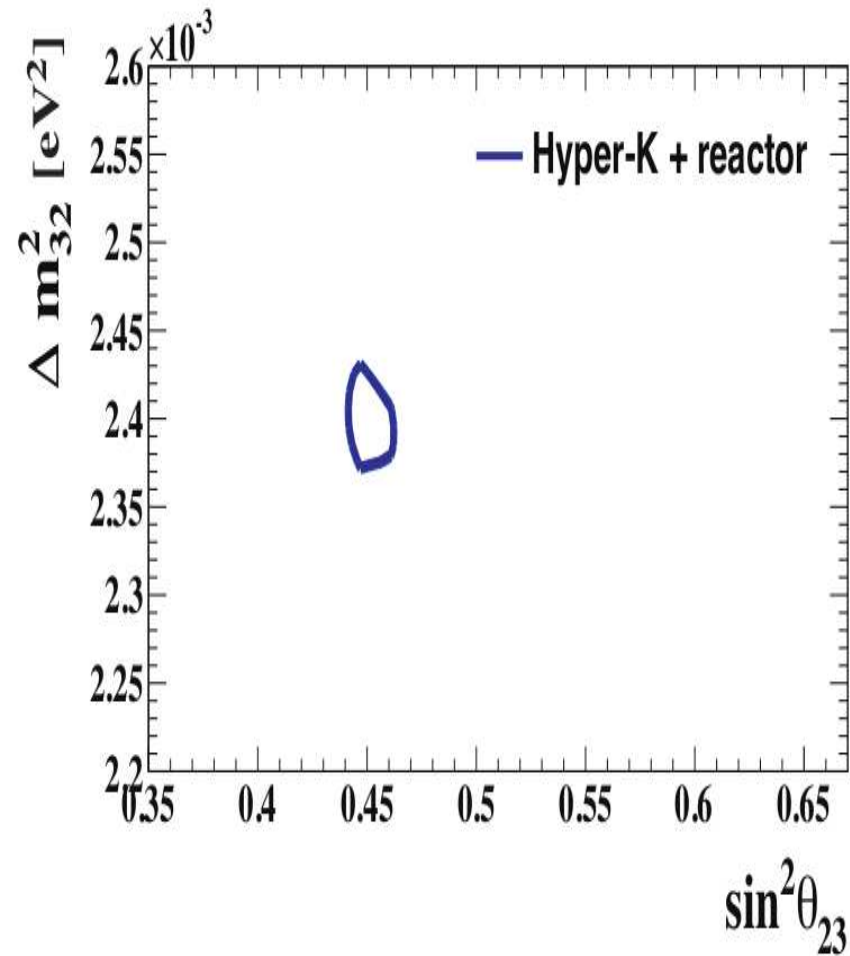
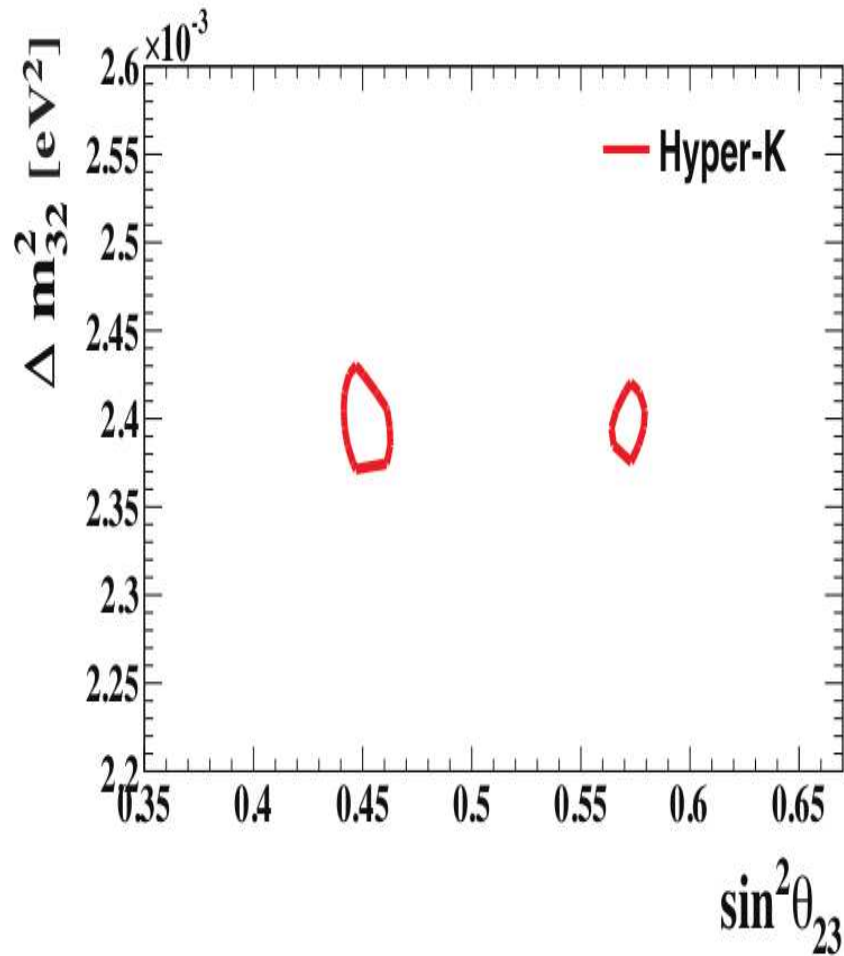


K. Abe et al., arXiv:1502.05199.

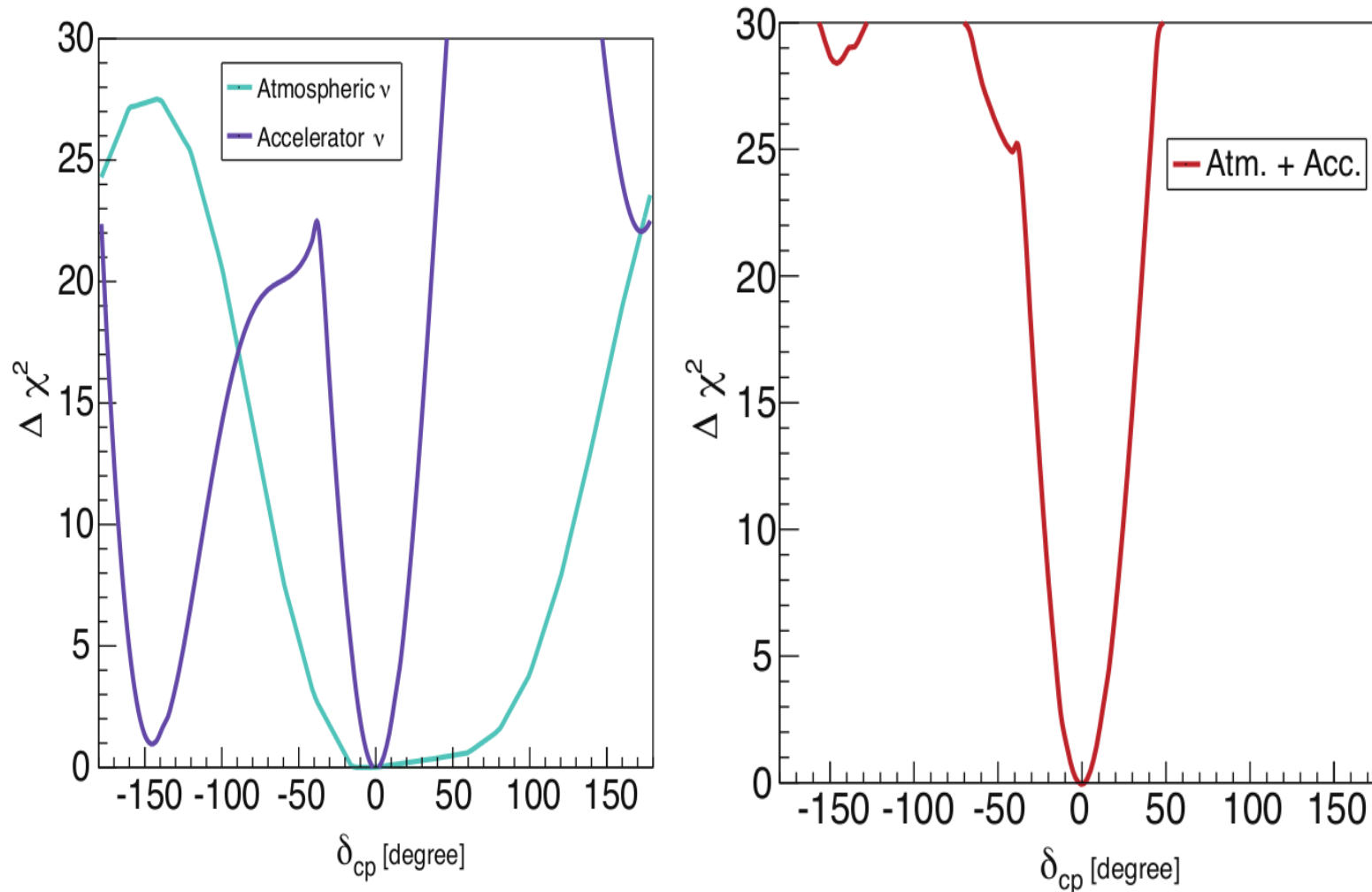
Expected  $1\sigma$  uncertainty of  $\Delta m_{32}^2$  and  $\sin^2 \theta_{23}$  for true  $\sin^2 \theta_{23} = 0.45, 0.50, 0.55$ .  
 Reactor constraint on  $\sin^2 2\theta_{13} = 0.1 \pm 0.005$  is imposed.

| True $\sin^2 \theta_{23}$ | 0.45                                 |                      | 0.50                                 |                      | 0.55                                 |                      |
|---------------------------|--------------------------------------|----------------------|--------------------------------------|----------------------|--------------------------------------|----------------------|
| Parameter                 | $\Delta m_{32}^2$ (eV <sup>2</sup> ) | $\sin^2 \theta_{23}$ | $\Delta m_{32}^2$ (eV <sup>2</sup> ) | $\sin^2 \theta_{23}$ | $\Delta m_{32}^2$ (eV <sup>2</sup> ) | $\sin^2 \theta_{23}$ |
| NO                        | $1.4 \times 10^{-5}$                 | 0.006                | $1.4 \times 10^{-5}$                 | 0.015                | $1.5 \times 10^{-5}$                 | 0.009                |
| IO                        | $1.5 \times 10^{-5}$                 | 0.006                | $1.4 \times 10^{-5}$                 | 0.015                | $1.5 \times 10^{-5}$                 | 0.009                |

K. Abe et al., arXiv:1502.05199.



90% CL allowed regions in the  $\sin^2 \theta_{23}$ – $\Delta m_{32}^2$  plane. The true values are  $\sin^2 \theta_{23} = 0.45$  and  $\Delta m_{32}^2 = 2.4 \times 10^{-3}$  eV<sup>2</sup>. Effect of systematic uncertainties is included. Left: T2HK only; right: with a reactor constraint.



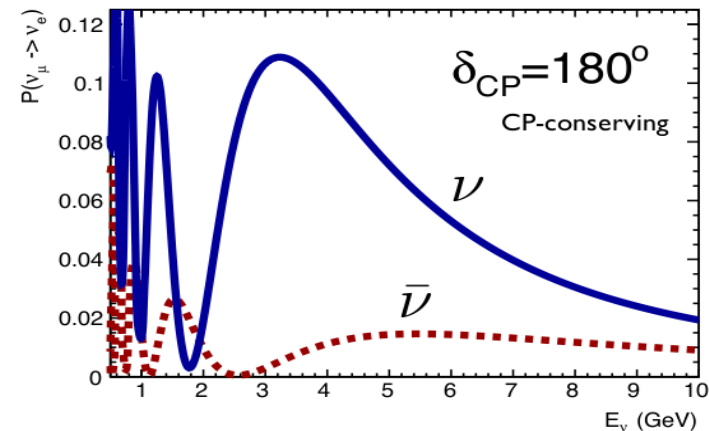
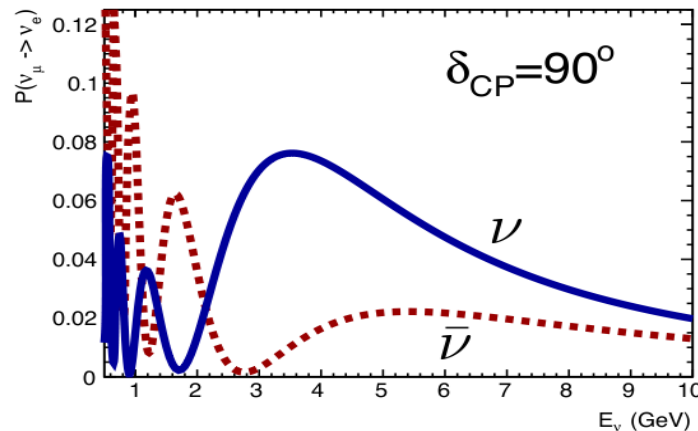
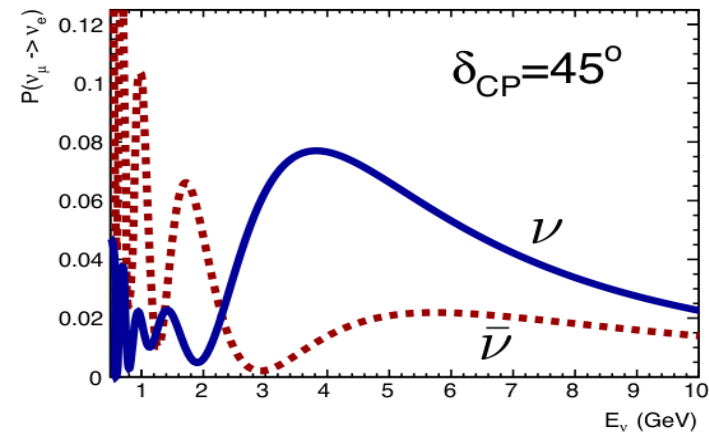
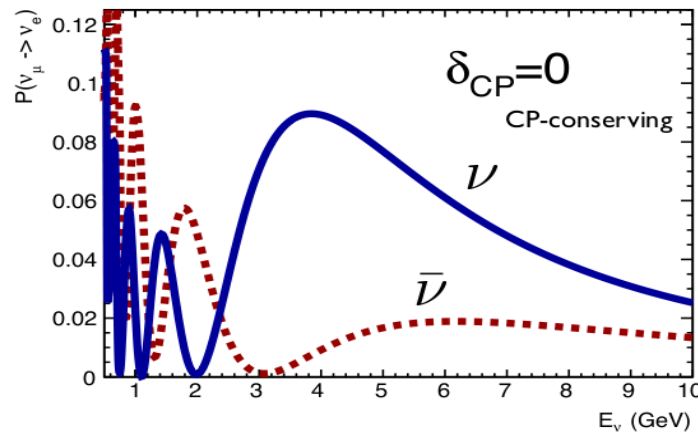
Combination of the T2HK and HK  $\nu_{atm}$  data. Expected  $\Delta\chi^2$  values for a) accelerator and atmospheric neutrino measurements (left), and b) for combining the two measurements (right), assuming that the mass ordering is unknown. The true mass ordering and value of  $\delta$  are assumed to be NO and  $\delta = 0$ . In this example study, the  $\Delta\chi^2$  is simply added.

# CERN-Pythäsalmi: CP-effect $\nu_\mu \rightarrow \nu_e$

★ Normal mass hierarchy

$L=2300$  km

$$\sin^2(2\theta_{13}) = 0.09$$



NPB2012

A. Rubbia – LAGUNA-LBNO

ETH

18

Wednesday, September 26, 12

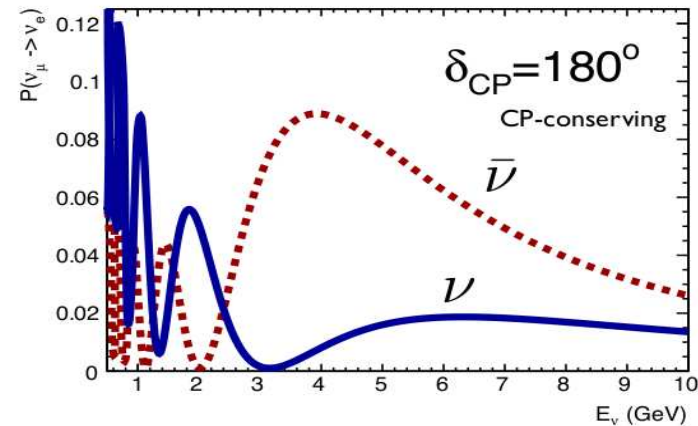
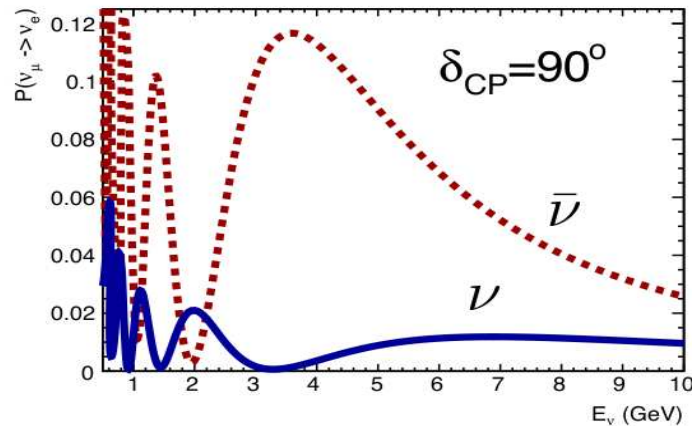
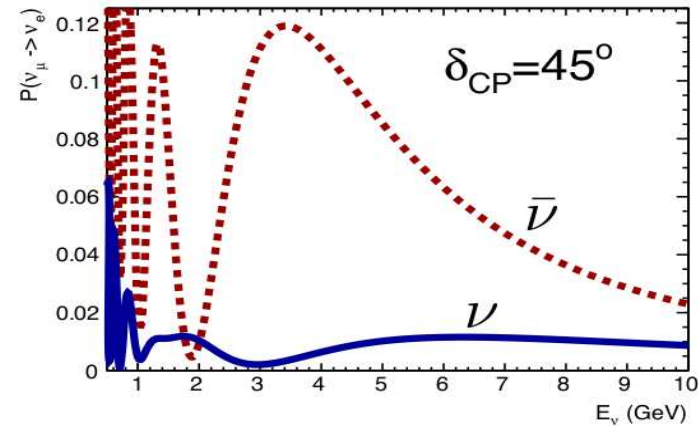
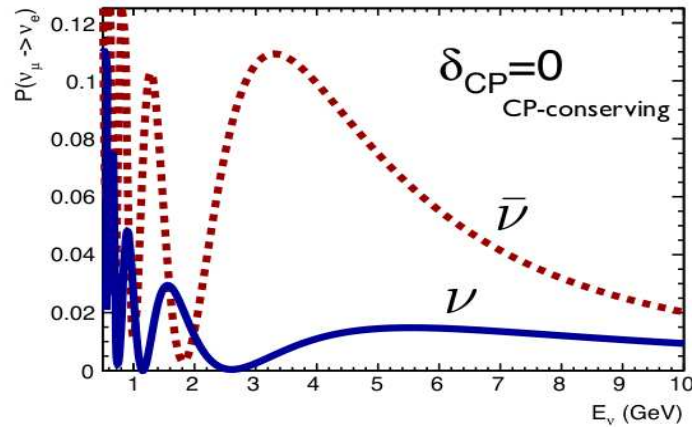
18

# CERN-Pythäsalmi: CP-effect $\nu_\mu \rightarrow \nu_e$

★ Inverted mass hierarchy

$L=2300$  km

$$\sin^2(2\theta_{13}) = 0.09$$



NPB2012

A. Rubbia – LAGUNA-LBNO

ITN

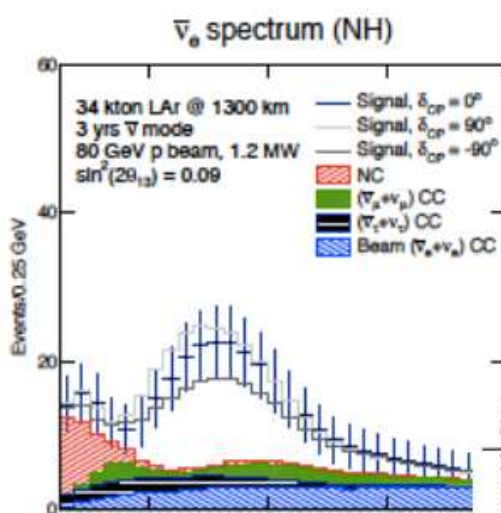
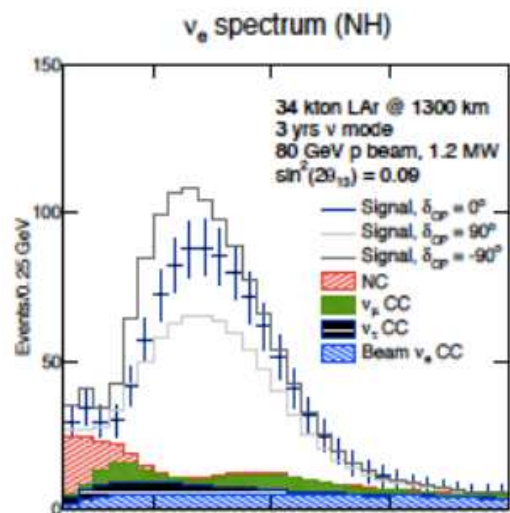
19

Wednesday, September 26, 12

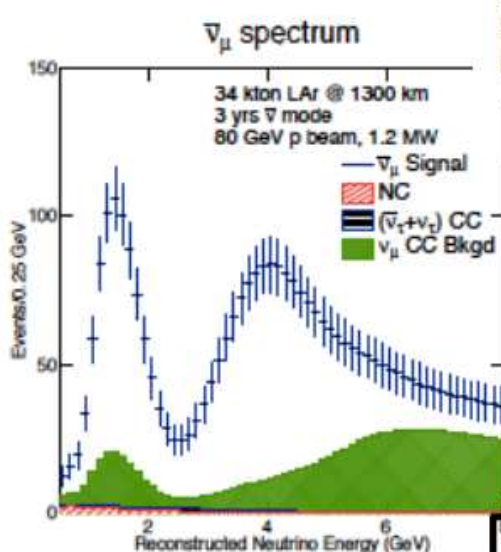
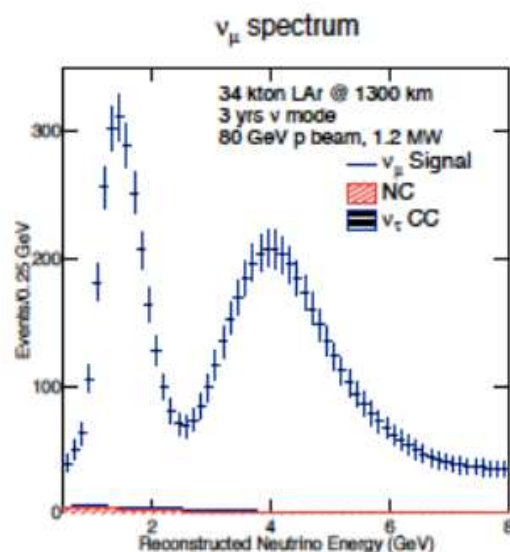
19



# LBNE Event Rate



**34 kton Lar  
1.2 MW beam  
NH,  $\delta_{CP} = 0$   
Fit parameters  
from 2012**



| 80 GeV Beam                        | $\nu$ mode | $\bar{\nu}$ mode |
|------------------------------------|------------|------------------|
| Signal: $\nu_e + \bar{\nu}_e$      | 777        | 189              |
| BG: NC                             | 67         | 39               |
| BG: $\nu_\mu + \bar{\nu}_\mu$ CC   | 84         | 39               |
| BG: Beam $\nu_e + \bar{\nu}_e$     | 147        | 81               |
| BG: $\nu_\tau + \bar{\nu}_\tau$ CC | 49         | 32               |

**Full oscillation structure  
visible in energy spectrum. A  
combined fit to the spectrum  
to provide unambiguous  
parameter sensitivity in one  
experiment**

**arXiv:1307.7335 – LBNE Document**

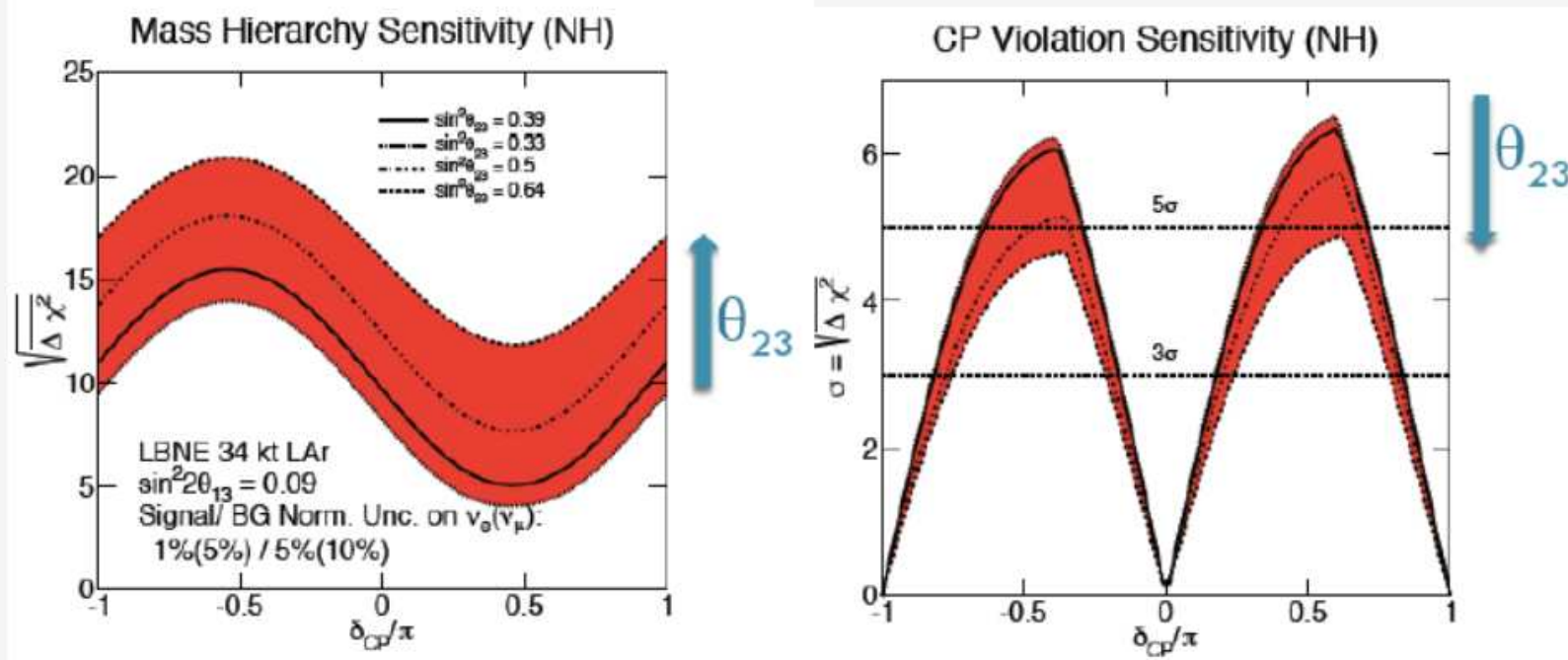
15-17/12/2014

BCL - NuPhys2014, Queen Mary University of London, UK

21



## LBNE Sensitivity to MH & CPV



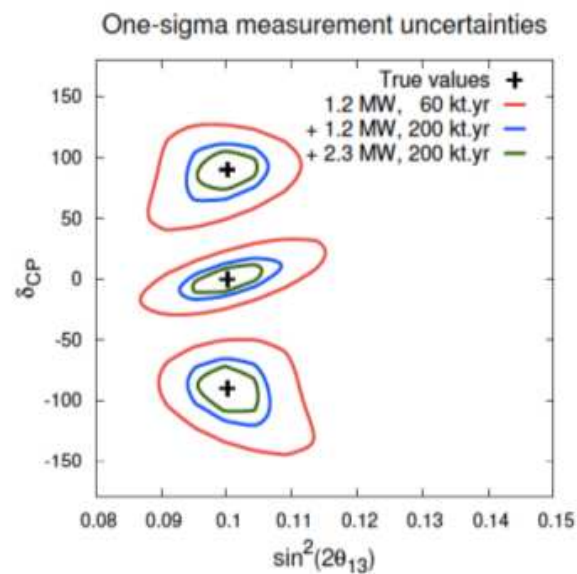
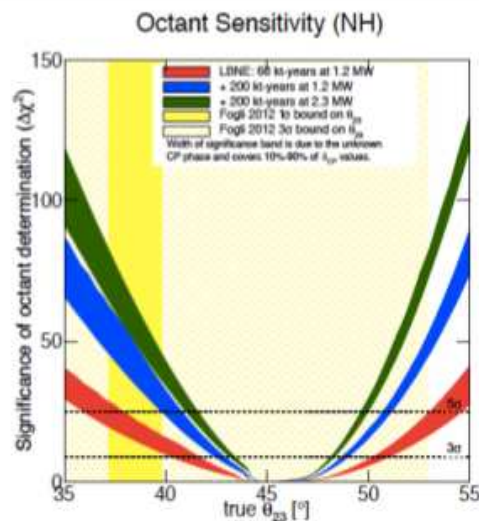
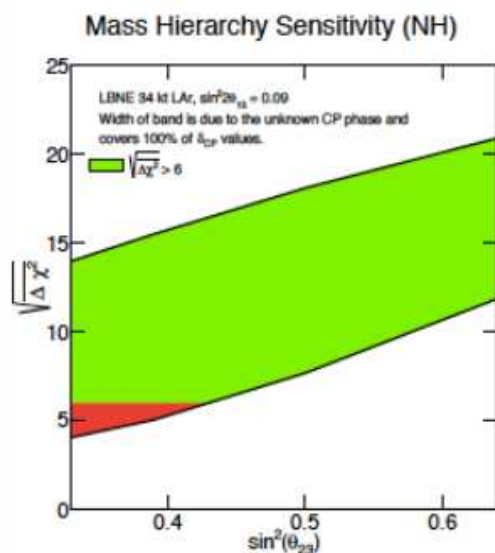
**Width of the band indicates variation within the 2013 allowed range for  $\theta_{23}$ .**  
**Exposure  $\sim 245\text{kTon} \sim 34\text{ kT} \times 1.2\text{ MW} \times (3\nu + 3\bar{\nu})$  years**

Elizabeth Worchester – NOW 2014

arXiv:1307.7335 – LBNE Document



## Other Measurements for a Comprehensive Program



**34kton, 6 yrs, 1.2MW**

DUNE, for example, could achieve the determination of the mass hierarchy at  $3\sigma$  in less than a year.

DUNE could also have very good sensitivity to CP-violation with a 60% coverage at  $3\sigma$  in the allowed range of values of  $\sin^2 2\theta_{13}$ , for a 200 kton Water Cherenkov or 34 kton LAr detectors (assuming it will run for 5 years in neutrinos and 5 years in antineutrinos).

The measurement of the Dirac phase in the PMNS mixing matrix, together with an improvement of the precision on the mixing angles  $\theta_{12}$ ,  $\theta_{13}$  and  $\theta_{23}$ , can provide unique information about the possible existence of new fundamental symmetry in the lepton sector.

# The Quest for Nature's Message

With the observed pattern of neutrino mixing Nature is sending us a message. The message is encoded in the values of the neutrino mixing angles, leptonic CP violation phases and neutrino masses. The message can have two completely different contents: it can read

ANARCHY or SYMMETRY.

ANARCHY:

A. De Gouvea, H. Murayama, hep-ph/0301050; PLB, 2015.

L. Hall, H. Murayama, N. Weiner, hep-ph/9911341.

# Understanding the Pattern of Neutrino Mixing.

## Predictions for the CPV Phase $\delta$ .

## Neutrino Mixing: New Symmetry?

- $\theta_{12} = \theta_{\odot} \cong \frac{\pi}{5.4}$ ,  $\theta_{23} = \theta_{\text{atm}} \cong \frac{\pi}{4}(\text{?})$ ,  $\theta_{13} \cong \frac{\pi}{20}$

$$U_{\text{PMNS}} \cong \begin{pmatrix} \sqrt{\frac{2}{3}} & \sqrt{\frac{1}{3}} & \epsilon \\ -\sqrt{\frac{1}{6}} & \sqrt{\frac{1}{3}} & -\sqrt{\frac{1}{2}}(\text{?}) \\ -\sqrt{\frac{1}{6}} & \sqrt{\frac{1}{3}} & \sqrt{\frac{1}{2}}(\text{?}) \end{pmatrix};$$

**Very different from the CKM-matrix!**

- $\theta_{12} \cong \pi/4 - 0.20$ ,  $\theta_{13} \cong 0 + \pi/20$ ,  $\theta_{23} \cong \pi/4 \mp 0.10$ .
- $U_{\text{PMNS}}$  due to new approximate symmetry?

## A Natural Possibility (vast literature):

$$U = U_{\text{lep}}^\dagger(\theta_{ij}^\ell, \delta^\ell) \, Q(\psi, \omega) U_{\text{TBM, BM, LC, ...}} \, \bar{P}(\xi_1, \xi_2),$$

with

$$U_{\text{TBM}} = \begin{pmatrix} \sqrt{\frac{2}{3}} & \sqrt{\frac{1}{3}} & 0 \\ -\sqrt{\frac{1}{6}} & \sqrt{\frac{1}{3}} & -\sqrt{\frac{1}{2}} \\ -\sqrt{\frac{1}{6}} & \sqrt{\frac{1}{3}} & \sqrt{\frac{1}{2}} \end{pmatrix}; \quad U_{\text{BM}} = \begin{pmatrix} \frac{1}{\sqrt{2}} & \pm \frac{1}{\sqrt{2}} & 0 \\ -\frac{1}{2} & \pm \frac{1}{2} & \frac{1}{\sqrt{2}} \\ \frac{1}{2} & \mp \frac{1}{2} & \frac{1}{\sqrt{2}} \end{pmatrix}.$$

- $U_{\text{lep}}^\dagger(\theta_{ij}^\ell, \delta^\ell)$  - from diagonalization of the  $l^-$  mass matrix;
- $U_{\text{TBM, BM, LC, ...}} \, \bar{P}(\xi_1, \xi_2)$  - from diagonalization of the  $\nu$  mass matrix;
- $Q(\psi, \omega)$ , - from diagonalization of the  $l^-$  and/or  $\nu$  mass matrices.

P. Frampton, STP, W. Rodejohann, 2003

$U_{\text{LC}}, U_{\text{GRAM}}, U_{\text{GRBM}}, U_{\text{HGM}}:$

$$U_{\text{LC}} = \begin{pmatrix} \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} & 0 \\ -\frac{c_{23}^\nu}{\sqrt{2}} & \frac{c_{23}^\nu}{\sqrt{2}} & s_{23}^\nu \\ \frac{s_{23}^\nu}{\sqrt{2}} & -\frac{s_{23}^\nu}{\sqrt{2}} & c_{23}^\nu \end{pmatrix}; \quad \mu - \tau \text{ symmetry: } \theta_{23}^\nu = \mp \pi/4;$$

$$U_{\text{GR}} = \begin{pmatrix} c_{12}^\nu & s_{12}^\nu & 0 \\ -\frac{s_{12}^\nu}{\sqrt{2}} & \frac{c_{12}^\nu}{\sqrt{2}} & -\sqrt{\frac{1}{2}} \\ -\frac{s_{12}^\nu}{\sqrt{2}} & \frac{c_{12}^\nu}{\sqrt{2}} & \sqrt{\frac{1}{2}} \end{pmatrix}; \quad U_{\text{HGM}} = \begin{pmatrix} \frac{\sqrt{3}}{2} & \frac{1}{2} & 0 \\ -\frac{1}{2\sqrt{2}} & \frac{\sqrt{3}}{2\sqrt{2}} & -\frac{1}{\sqrt{2}} \\ -\frac{1}{2\sqrt{2}} & \frac{\sqrt{3}}{2\sqrt{2}} & \frac{1}{\sqrt{2}} \end{pmatrix}, \quad \theta_{12}^\nu = \pi/6.$$

$U_{\text{GRAM}}: \sin^2 \theta_{12}^\nu = (2 + r)^{-1} \cong 0.276, \quad r = (1 + \sqrt{5})/2$

**(GR:  $r/1; a/b = a + b/a, a > b$ )**

$U_{\text{GRBM}}: \sin^2 \theta_{12}^\nu = (3 - r)/4 \cong 0.345.$

- $U_{\text{TBM}}$ :  $s_{12}^2 = 1/3$ ,  $s_{23}^2 = 1/2$ ,  $s_{13}^2 = 0$ ;  $s_{13}^2 = 0$  must be corrected; if  $\theta_{23} \neq \pi/4$ ,  $s_{23}^2 = 0.5$  must be corrected.
- $U_{\text{BM}}$ :  $s_{12}^2 = 1/2$ ,  $s_{23}^2 = 1/2$ ,  $s_{13}^2 = 0$ ;  $s_{13}^2 = 0$ ,  $s_{12}^2 = 1/2$  and possibly  $s_{23}^2 = 1/2$  must be corrected.

$U_{\text{TBM(BM)}}$ : Groups  $A_4$ ,  $T' (S_4)$ , ... (vast literature)

(Reviews: G. Altarelli, F. Feruglio, arXiv:1002.0211; M. Tanimoto et al., arXiv:1003.3552; S. King and Ch. Luhn, arXiv:1301.1340)

- $U_{\text{GRA}}$ : Group  $A_5, \dots$ ;  $s_{13}^2 = 0$  and possibly  $s_{12}^2 = 0.276$  and  $s_{23}^2 = 1/2$  must be corrected.

L. Everett, A. Stuart, arXiv:0812.1057;...

- $U_{\text{LC}}$ : alternatively  $U(1)$ ,  $L' = L_e - L_\mu - L_\tau$

S.T.P., 1982

- $U_{\text{LC}}$ :  $s_{12}^2 = 1/2$ ,  $s_{13}^2 = 0$ ,  $s_{23}^2$  - free parameter;  $s_{13}^2 = 0$  and  $s_{12}^2 = 1/2$  must be corrected.

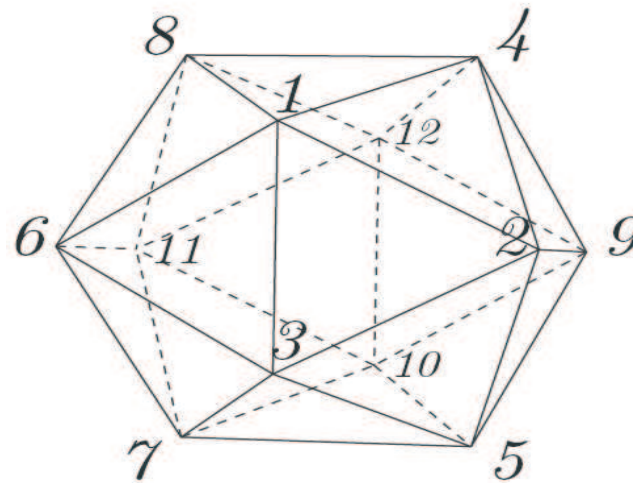
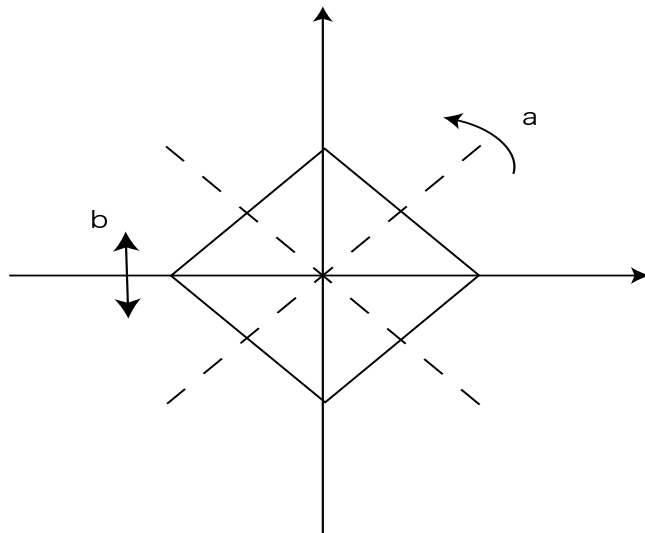
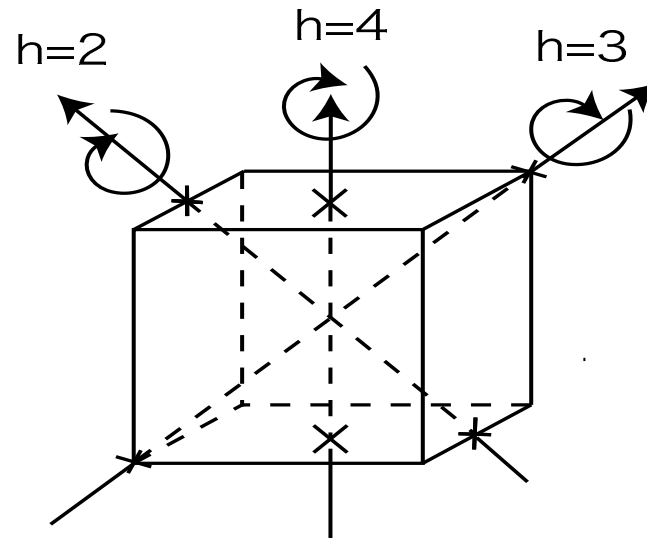
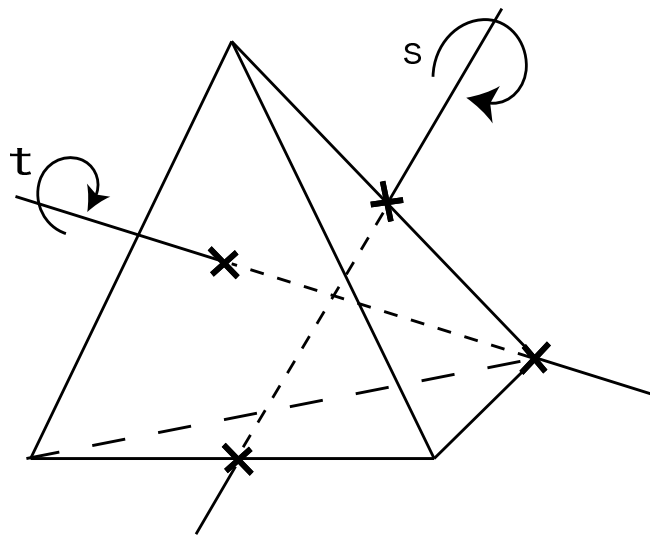
•  $U_{\text{GRB}}$ : Group  $D_{10}, \dots$ ;  $s_{13}^2 = 0$  and possibly  $s_{12}^2 = 0.345$  and  $s_{23}^2 = 1/2$  must be corrected.

•  $U_{\text{HG}}$ : Group  $D_{12}, \dots$ ;  $s_{13}^2 = 0$ ,  $s_{12}^2 = 0.25$  and possibly  $s_{23}^2 = 1/2$  must be corrected.

For all symmetry forms considered we have:  $\theta_{13}^\nu = 0$ ,  $\theta_{23}^\nu = \mp \pi/4$ .

They differ by the value of  $\theta_{12}^\nu$ :

TBM, BM, GRA, GRB and HG forms correspond to  $\sin^2 \theta_{12}^\nu = 1/3; 0.5; 0.276; 0.345; 0.25$ .



Examples of symmetries:  $A_4$ ,  $S_4$ ,  $D_4$ ,  $A_5$

From M. Tanimoto et al., arXiv:1003.3552

For arbitrary fixed  $\theta_{12}^\nu$  and any  $\theta_{23}$   
(“minimal” and “next-to-minimal” cases):

$$\cos \delta = \frac{\tan \theta_{23}}{\sin 2\theta_{12} \sin \theta_{13}} \left[ \cos 2\theta_{12}^\nu \right. \\ \left. + (\sin^2 \theta_{12} - \cos^2 \theta_{12}^\nu) (1 - \cot^2 \theta_{23} \sin^2 \theta_{13}) \right] .$$

S.T.P., arXiv:1405.6006

This results is exact.

$$\text{“Minimal” case: } \sin^2 \theta_{23} = \frac{1}{2} \frac{1 - 2 \sin^2 \theta_{13}}{1 - \sin^2 \theta_{13}} .$$

In all cases TBM, BM (LC), GRA, GRB, HG:

- New sum rules relating  $\theta_{12}, \theta_{13}, \theta_{23}$  and  $\delta$ ;
- $J_{CP} = J_{CP}(\theta_{12}, \theta_{23}, \theta_{13}, \delta) = J_{CP}(\theta_{12}, \theta_{23}, \theta_{13}; \theta_{12}^\nu)$ .

S.T.P., arXiv:1405.6006

- $J_{CP} = J_{CP}(\theta_{12}, \theta_{23}, \theta_{13}, \delta) = J_{CP}(\theta_{12}, \theta_{23}, \theta_{13}; \theta_{12}^\nu)$ .
- TBM case:  $\delta \cong 3\pi/2$  or  $\pi/2$ ; b.f.v. of  $\theta_{ij}$ :  
 $\delta \cong 263.5^\circ$  or  $96.5^\circ$ ,  $\cos \delta = -0.114$ ,  $J_{CP} \cong \mp 0.034$ .
- GRAM case, b.f.v. of  $\theta_{ij}$ :  $\delta \cong 286.8^\circ$  or  $73.2^\circ$ ;  
 $\cos \delta = 0.289$ ,  $J_{CP} \cong \mp 0.0327$ .
- GRBM case, b.f.v. of  $\theta_{ij}$ :  $\delta \cong 258.5^\circ$  or  $101.5^\circ$ ;  
 $\cos \delta = -0.200$ ,  $J_{CP} \mp 0.0333$ .
- HGM case, b.f.v. of  $\theta_{ij}$ :  $\delta \cong 298.4^\circ$  or  $61.6^\circ$ ;  
 $\cos \delta = 0.476$ ,  $J_{CP} \cong \mp 0.0299$ .
- BM, LC cases:  $\delta \cong \pi$ ,  $\cos \delta \cong -0.978$ ,  $J_{CP} \cong \mp 0.008$

The results shown - for NO neutrino mass spectrum; the results are practically the same for IO spectrum. (Best fit values of  $\theta_{ij}$ : F. Capozzi et al., arXiv:1312.2878v1.)

S.T.P., arXiv:1405.6006

By measuring  $\cos \delta$  or  $\delta$  one can distinguish between different symmetry forms of  $\tilde{U}_\nu$ !

Relatively high precision measurement of  $\delta$  will be performed at the future planned neutrino oscillation experiments, (DUNE, T2HK) see, e.g., A. de Gouvea *et al.*, arXiv:1310.4340; P. Coloma *et al.*, arXiv:1203.5651; R. Acciarri *et al.* [DUNE Collab.], arXiv:1512.06148, 1601.05471 and 1601.02984.

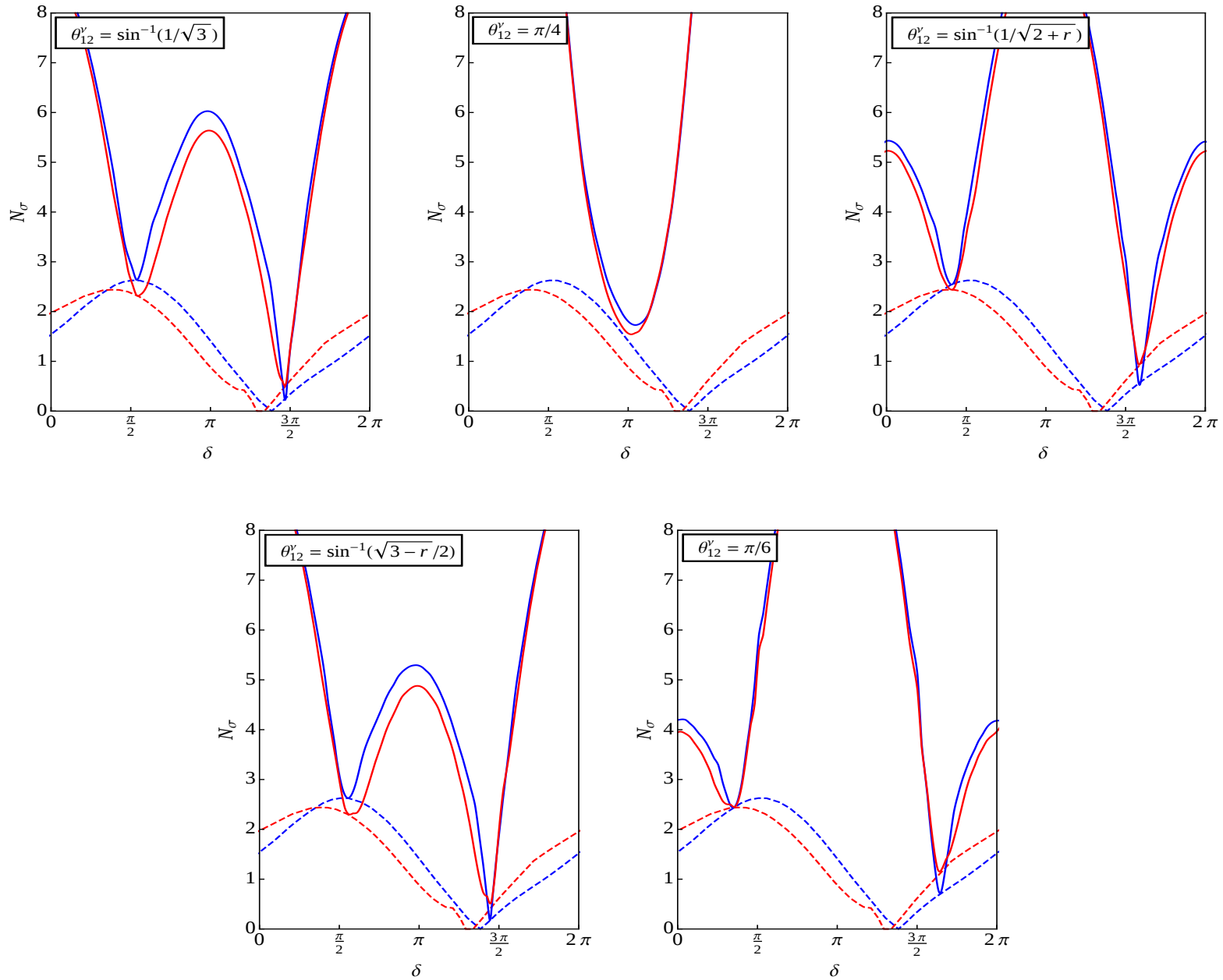
**Statistical analysis, likelihood method;  
input “data”:  $\sin^2 \theta_{13}$ ,  $\sin^2 \theta_{12}$ ,  $\sin^2 \theta_{12}$ ,  $\delta$   
from F. Capozzi et al., arXiv:1312.2878v2 (May 5,  
2014).**

$$L(\cos \delta) \propto \exp \left( -\frac{\chi^2(\cos \delta)}{2} \right)$$

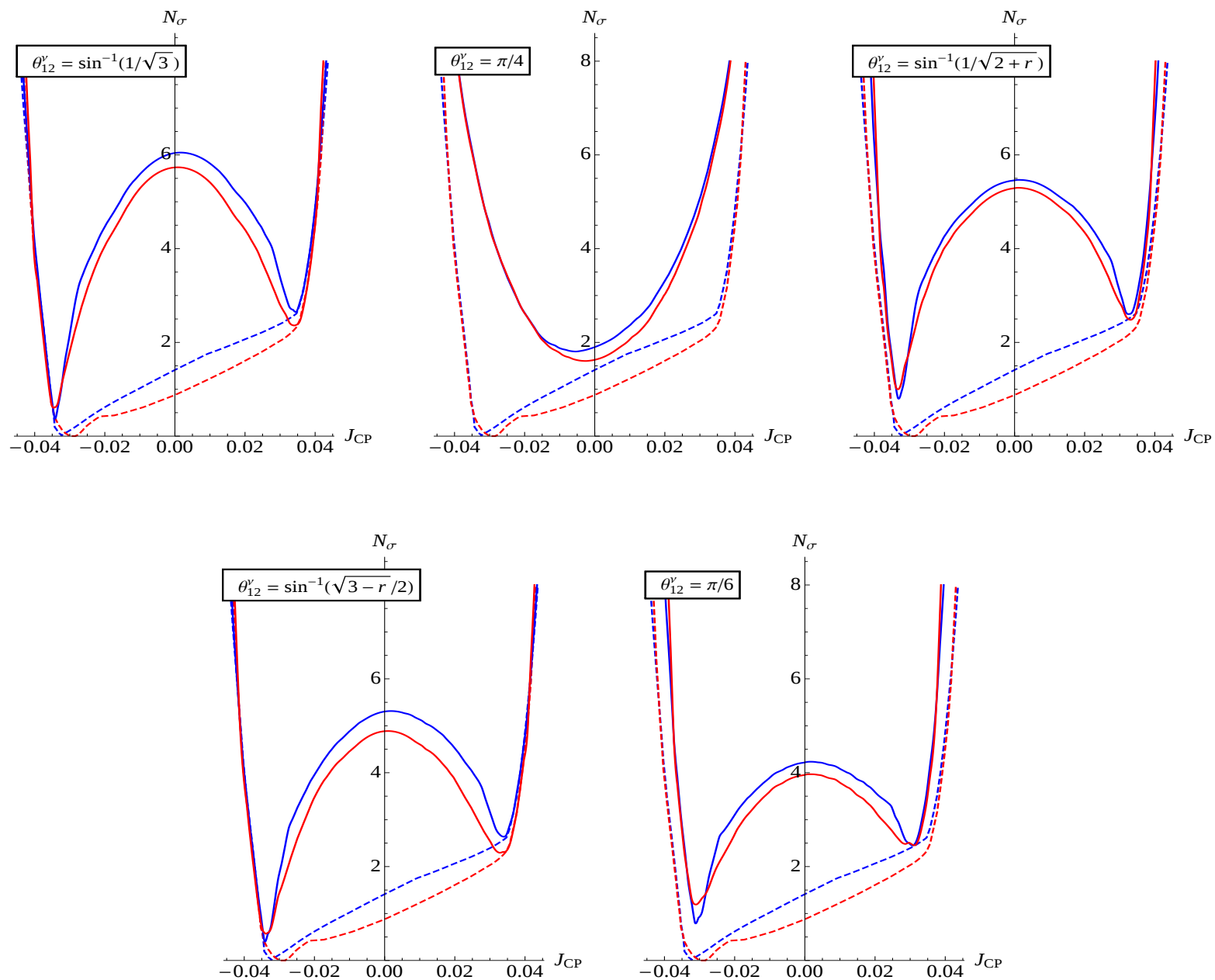
**$n\sigma$  confidence level interval of values of  $\cos \delta$ :**

$$L(\cos \delta) \geq L(\chi_{\min}^2) \cdot L(\chi^2 = n^2)$$

I. Girardi, S.T.P., A. Titov, arXiv:1410.8056



I. Girardi, S.T.P., A. Titov, arXiv:1410.8056



I. Girardi, S.T.P., A. Titov, arXiv:1410.8056

**TBM, GRA, GRB, HG:  $J = 0$  excluded at  $5\sigma$ ,  $4\sigma$ ,  $4\sigma$ ,  $3\sigma$  confidence level.**

**At  $3\sigma$ :  $0.020 \leq |J_{CP}| \leq 0.039$ .**

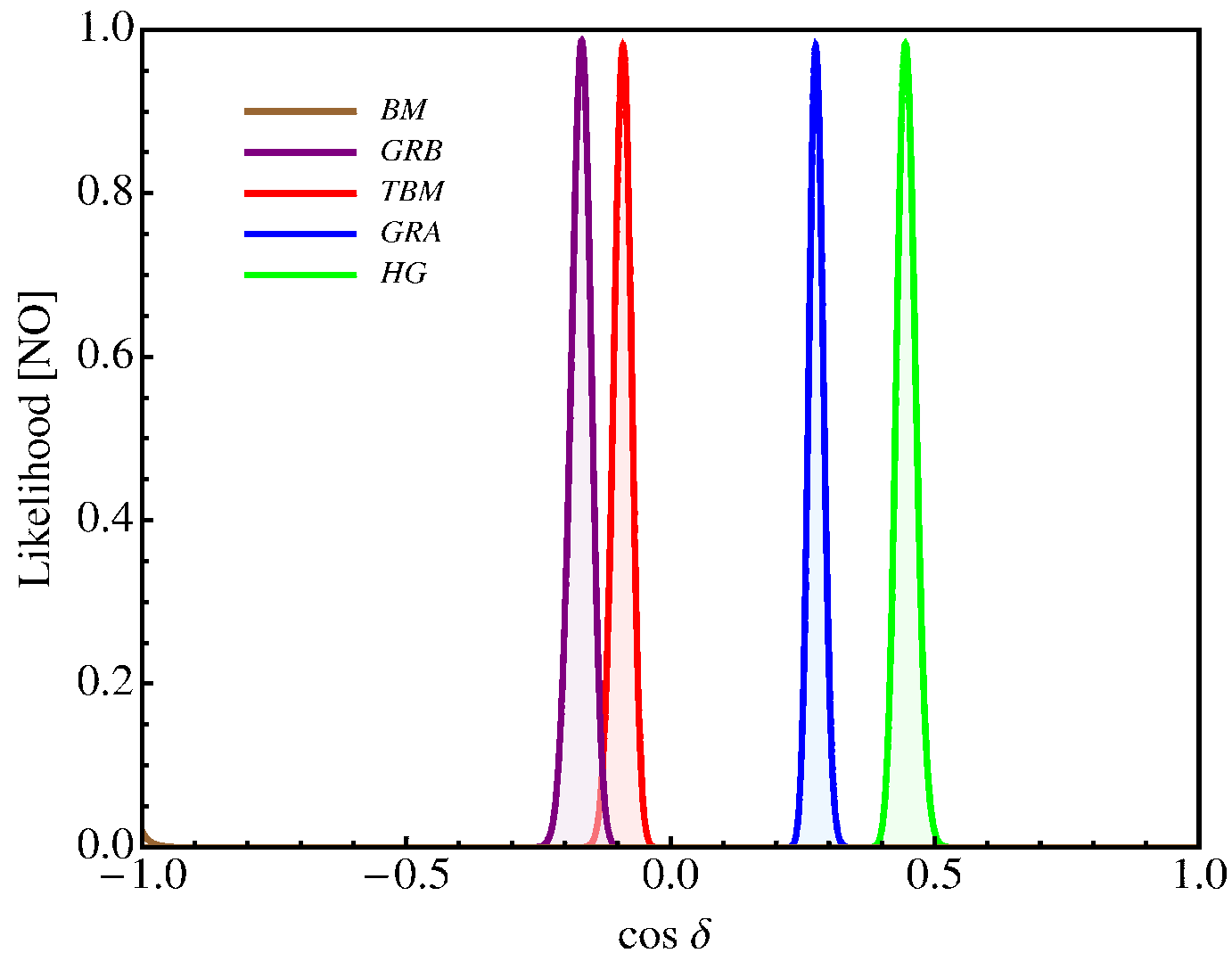
**BM (LC), b.f.v.:  $J_{CP} = 0$ ;  
at  $3\sigma$ :  $-0.026$  ( $-0.025$ )  $\leq J_{CP} \leq 0.021$  ( $0.023$ ) for NO  
(IO) neutrino mass spectrum.**

## Prospective precision:

$$\delta(\sin^2 \theta_{12}) = 0.7\% \text{ (JUNO),}$$

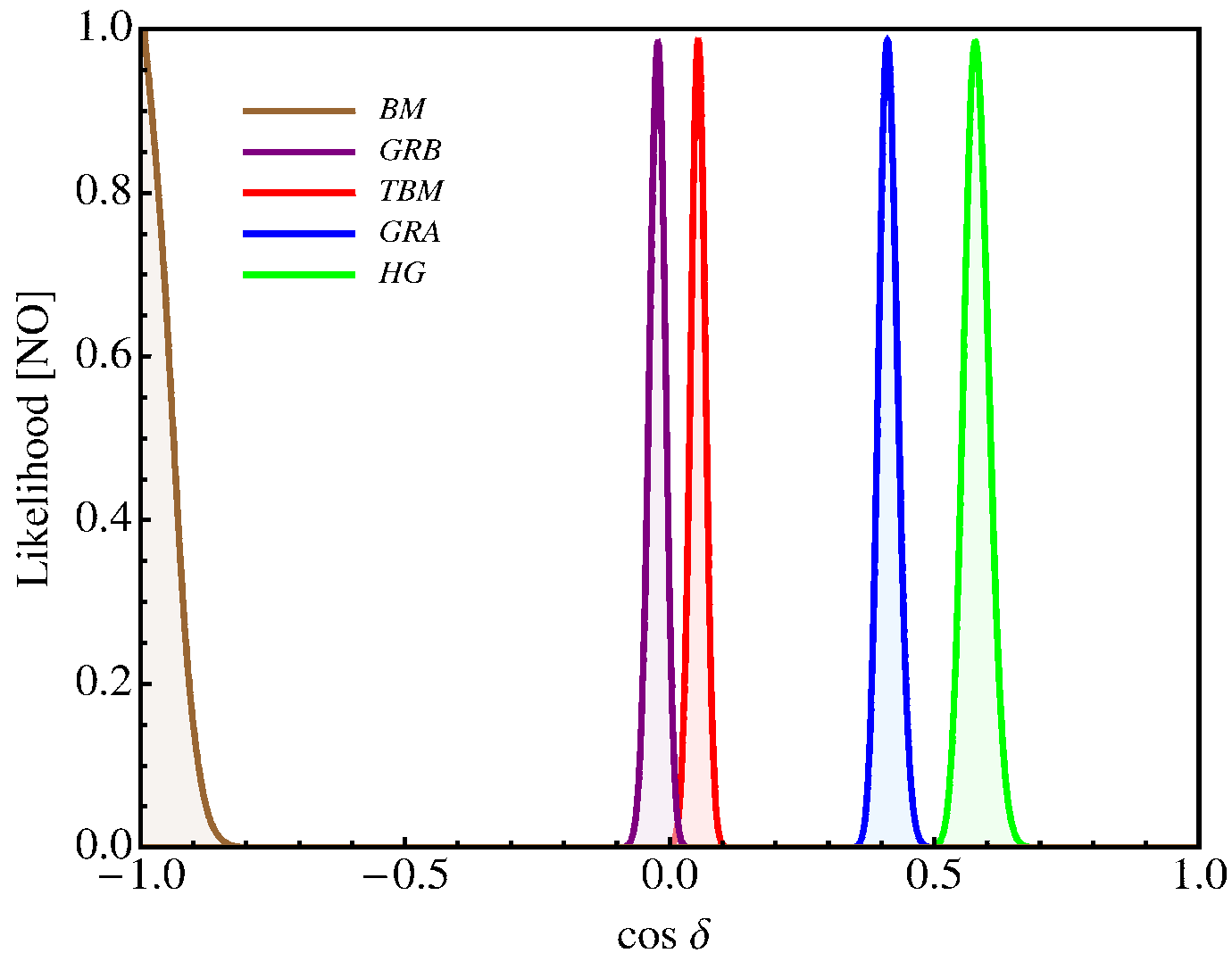
$$\delta(\sin^2 \theta_{13}) = 3\% \text{ (Daya Bay),}$$

$$\delta(\sin^2 \theta_{23}) = 5\% \text{ (T2K, NO}\nu\text{A combined).}$$



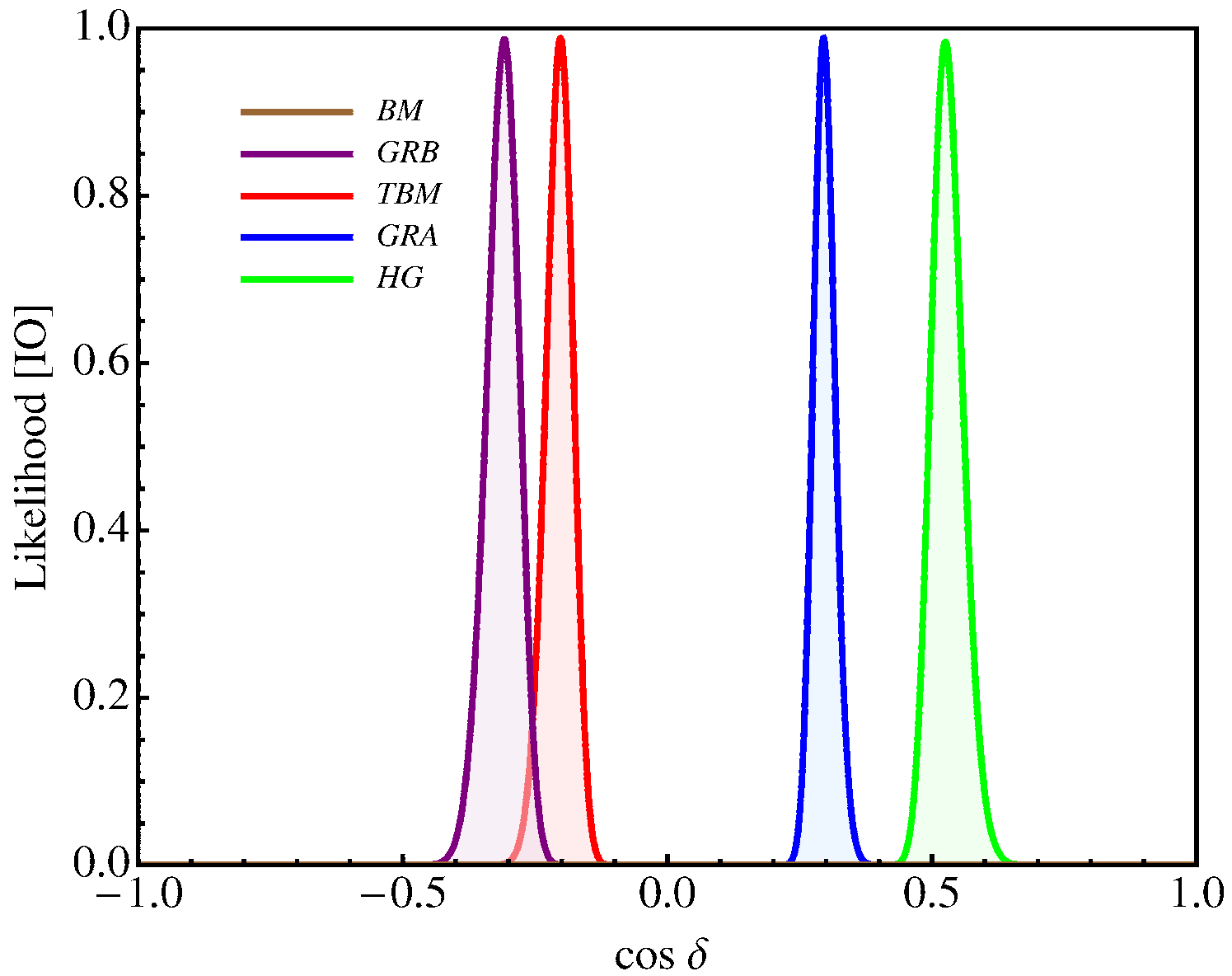
I. Girardi, S.T.P., A. Titov, arXiv:1410.8056

**b.f.v. of  $\sin^2 \theta_{ij}$  (Capozzi et al., 2014) + the prospective precision used.**



I. Girardi, S.T.P., A. Titov, arXiv:1410.8056

The same, but for  $\sin^2 \theta_{12} = 0.33$  (the BM prediction dependence on  $\sin^2 \theta_{12}$ ).



I. Girardi, S.T.P., A. Titov, arXiv:1410.8056

$\sin^2 \theta_{23} = 0.557$  (b.f.v.: C. Gonzales-Garcia et al., 2014, IO case).

For, e.g.,  $|\cos \delta| < 0.93$  (76% of values of  $\delta$ ), and  $\Delta(\cos \delta) = 0.10$  (0.08):

$$\Delta\delta\Delta(\cos \delta)/\sqrt{1 - 0.93^2} = 16^\circ (12^\circ).$$

Planned to be reached, e.g., in T2HK.

Thus, a measurement of  $\cos \delta$  in the quoted range will allow to distinguish between the TBM/GRB, BM (LC) and GRA/HG forms at  $\sim 3\sigma$  C.L., if  $\sin^2 \theta_{12}$ ,  $\sin^2 \theta_{13}$  and  $\sin^2 \theta_{23}$  are measured with the prospective precisions.

Distinguishing between **GRA and HG forms at  $3\sigma$  C.L. requires  $1\sigma(\cos \delta) \cong 0.03$**  (if b.f.v. of  $\cos \delta$  at one of the two maxima).

TBM and GRB cannot be distinguished at  $3\sigma$  C.L. with the prospective uncertainties on  $\sin \theta_{ij}$ ;

**for zero uncertainties on  $\sin \theta_{ij}$  (infinite precision), can be distinguished if  $1\sigma(\cos \delta) \cong 0.03$ .**

I. Girardi, S.T.P., A. Titov, arXiv:1504

The predictions obtained for  $\cos \delta$  are valid in a large class of theoretical models of (lepton) flavour based on discrete symmetries.

J. Gehrlein *et al.*, “An  $SU(5) \times A_5$  Golden Ratio Flavour Model”, arXiv:1410.2095;

I. Girardi *et al.*, “Generalised Geometrical CP Violation in a  $T'$  Lepton Flavour Model”, arXiv:1312.1966, JHEP 1402 (2014) 050.

The measurement of the Dirac phase in the PMNS mixing matrix, together with an improvement of the precision on the mixing angles  $\theta_{12}$ ,  $\theta_{13}$  and  $\theta_{23}$ , can provide unique information about the possible existence of new fundamental symmetry in the lepton sector.

# Determining the Nature of Massive Neutrinos

This is one of the most challenging and pressing problems in neutrino physics.

- Massive Dirac Neutrinos:  $U(1)$ , Conserved (Additive) Charge, e.g.,  $L$ .
- Massive Majorana Neutrinos: No Conserved (Additive) Charge(s).

The type of massive neutrinos in a given theory is determined by the type of (effective) mass term  $\mathcal{L}_m^\nu(x)$  neutrinos have, more precisely, by the symmetries  $\mathcal{L}_m^\nu(x)$  and the total Lagrangian  $\mathcal{L}(x)$  of the theory have.

Mass Term: any bi-linear in fermion (neutrino) fields invariant under the proper Lorentz transformations.

## Seesaw Mechanisms of Neutrino Mass Generation

- Predict light massive Majorana neutrinos
- Explain the smallness of  $\nu$ –masses.
- Through **leptogenesis theory** link the  $\nu$ –mass generation to the generation of baryon asymmetry of the Universe.

S. Fukugita, T. Yanagida, 1986.

## Three Types of Seesaw Mechanisms

Require the existence of new degrees of freedom (particles) beyond those present in the ST

Type I seesaw mechanism:  $\nu_{lR}$  - RH  $\nu$ s' (heavy).

Type II seesaw mechanism:  $\mathbf{H}(\mathbf{x})$  - a triplet of  $H^0, H^-, H^{--}$  Higgs fields (HTM).

Type III seesaw mechanism:  $\mathbf{T}(\mathbf{x})$  - a triplet of fermion fields.

The scale of New Physics determined by the masses of the New Particles.

Massive neutrinos  $\nu_j$  - Majorana particles.

All three types of seesaw mechanisms have TeV scale versions, predicting rich low-energy phenomenology ( $(\beta\beta)_{0\nu}$ -decay, LFV processes, etc.) and New Physics at LHC.

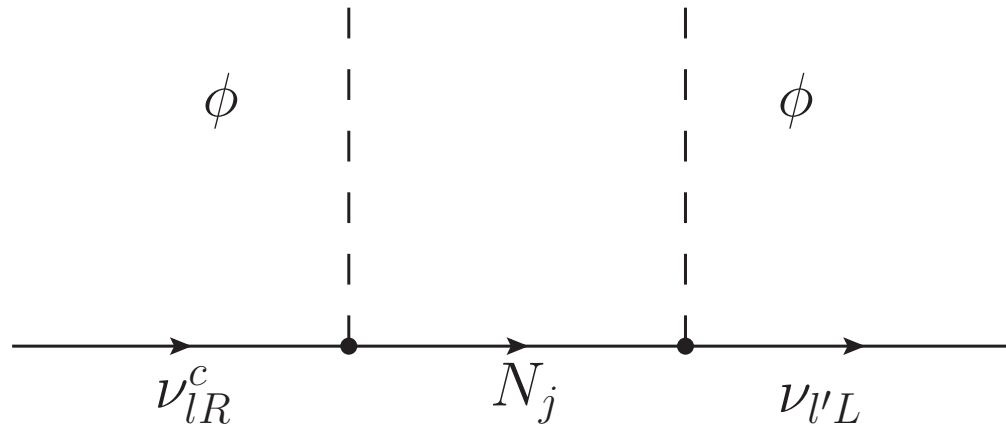
## Type I Seesaw Mechanism

- Requires both  $\nu_{lL}(x)$  and  $\nu_{l'R}(x)$ .
- Dirac+Majorana Mass Term:  $M^{LL} = 0$ ,  $|M_D = vY^\nu/\sqrt{2}| \ll |M^{RR}|$ .
- Diagonalising  $M^{RR}$ :  $N_j$  - heavy Majorana neutrinos,  $M_j \sim \text{TeV}$ ; or  $(10^9 - 10^{13})$  GeV in GUTs.

For sufficiently large  $M_j$ , Majorana mass term for  $\nu_{lL}(x)$ :

$$M_\nu \cong v_u^2 (Y^\nu)^T M_j^{-1} Y^\nu = U_{\text{PMNS}}^* m_\nu^{\text{diag}} U_{\text{PMNS}}^\dagger .$$

$v_u Y^\nu = M_D$ ,  $M_D \sim 1$  GeV,  $M_j = 10^{10}$  GeV:  $M_\nu \sim 0.1$  eV.



- $\nu_{lR}(x)$ : Majorana mass term at “high scale” ( $\sim \text{TeV}$ ; or  $(10^9 - 10^{13})$  GeV in  $SO(10)$  GUT)

$$\mathcal{L}_M^\nu(x) = + \frac{1}{2} \nu_{lR}^\top(x) C^{-1} (M^{RR})_{ll}^\dagger \nu_{lR}(x) + h.c. = - \frac{1}{2} \sum_j \bar{N}_j M_j N_j ,$$

- Yukawa type coupling of  $\nu_{lL}(x)$  and  $\nu_{lR}(x)$  involving  $\Phi(x)$ :

$$\begin{aligned} \mathcal{L}_Y(x) &= \bar{Y}_{ll}^\nu \overline{\nu_{lR}}(x) \Phi^T(x) (i\tau_2) \psi_{lL}(x) + h.c. , \\ &= Y_{jl}^\nu \overline{N_{jR}}(x) \Phi^T(x) (i\tau_2) \psi_{lL}(x) + h.c. , \\ M_D &= \frac{v}{\sqrt{2}} Y^\nu , \quad v = 246 \text{ GeV} . \end{aligned}$$

# Dirac CP-Nonconservation: $\delta$ in $U_{\text{PMNS}}$

Observable manifestations in

$$\nu_l \leftrightarrow \nu_{l'} , \quad \bar{\nu}_l \leftrightarrow \bar{\nu}_{l'} , \quad l, l' = e, \mu, \tau$$

- not sensitive to Majorana CPVP  $\alpha_{21}, \alpha_{31}$

S.M. Bilenky, J. Hosek, S.T.P., 1980;  
P. Langacker et al., 1987

$$A(\nu_l \leftrightarrow \nu_{l'}) = \sum_j U_{l'j} e^{-i(E_j t - p_j x)} U_{jl}^\dagger$$

$$U = VP : P_j e^{-i(E_j t - p_j x)} P_j^* = e^{-i(E_j t - p_j x)}$$

$P$  - diagonal matrix of Majorana phases.

The result is valid also in the case of oscillations in matter:  $\nu_l$  oscillations are not sensitive to the nature of  $\nu_j$ .

$\nu_j$ — Dirac or Majorana particles, fundamental problem

$\nu_j$ —Dirac: conserved lepton charge exists,  $L = L_e + L_\mu + L_\tau$ ,  $\nu_j \neq \bar{\nu}_j$

$\nu_j$ —Majorana: no lepton charge is exactly conserved,  $\nu_j \equiv \bar{\nu}_j$

The observed patterns of  $\nu$ —mixing and of  $\Delta m_{\text{atm}}^2$  and  $\Delta m_{\odot}^2$  can be related to Majorana  $\nu_j$  and an approximate symmetry:

$$L' = L_e - L_\mu - L_\tau$$

S.T.P., 1982

See-saw mechanism:  $\nu_j$ — Majorana

Establishing that  $\nu_j$  are Majorana particles would be as important as the discovery of  $\nu$ — oscillations.

If  $\nu_j$  – Majorana particles,  $U_{\text{PMNS}}$  contains (3- $\nu$  mixing)

$\delta$ -Dirac,  $\alpha_{21}$ ,  $\alpha_{31}$  – Majorana physical CPV phases

$\nu$ -oscillations  $\nu_l \leftrightarrow \nu_{l'}$ ,  $\bar{\nu}_l \leftrightarrow \bar{\nu}_{l'}$ ,  $l, l' = e, \mu, \tau$ ,

- are not sensitive to the nature of  $\nu_j$ ,

S.M. Bilenky et al., 1980;  
P. Langacker et al., 1987

- provide information on  $\Delta m_{jk}^2 = m_j^2 - m_k^2$ , but not on the absolute values of  $\nu_j$  masses.

The Majorana nature of  $\nu_j$  can manifest itself in the existence of  $\Delta L = \pm 2$  processes:

$$\begin{aligned} K^+ &\rightarrow \pi^- + \mu^+ + \mu^+ \\ \mu^- + (A, Z) &\rightarrow \mu^+ + (A, Z - 2) \end{aligned}$$

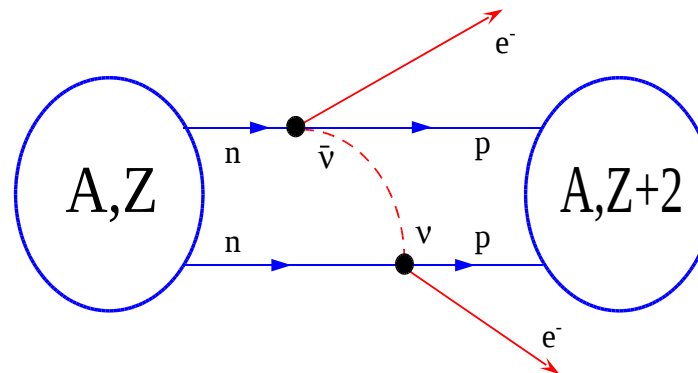
The process most sensitive to the possible Majorana nature of  $\nu_j$  –  $(\beta\beta)_{0\nu}$ -decay

$$(A, Z) \rightarrow (A, Z + 2) + e^- + e^-$$

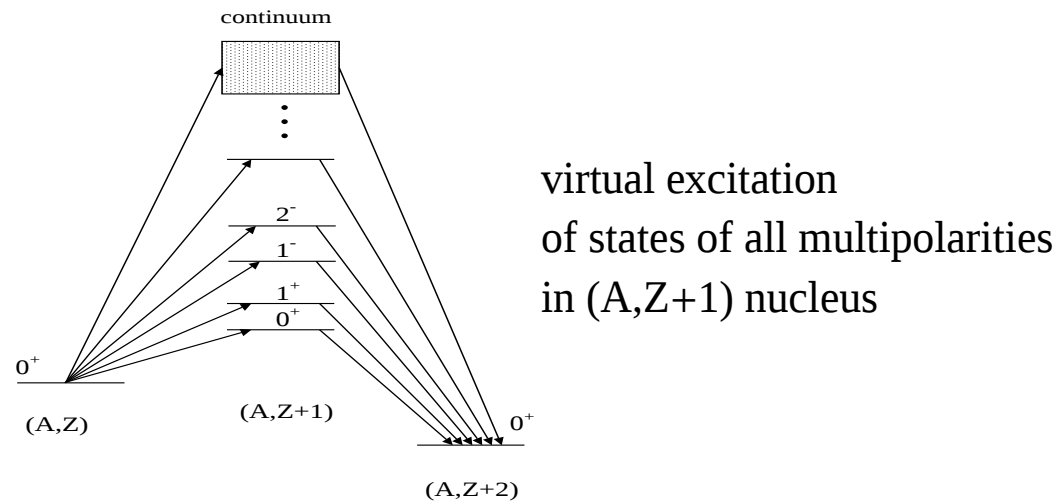
of even-even nuclei,  $^{48}\text{Ca}$ ,  $^{76}\text{Ge}$ ,  $^{82}\text{Se}$ ,  $^{100}\text{Mo}$ ,  $^{116}\text{Cd}$ ,  $^{130}\text{Te}$ ,  $^{136}\text{Xe}$ ,  $^{150}\text{Nd}$ .

$2n$  from  $(A, Z)$  exchange a virtual Majorana  $\nu_j$  (via the CC weak interaction) and transform into  $2p$  of  $(A, Z+2)$  and two free  $e^-$ .

# Nuclear $0\nu\beta\beta$ -decay



strong in-medium modification of the basic process  
 $dd \rightarrow uue^-e^-(\bar{\nu}_e\bar{\nu}_e)$



V. Rodin, talk at Gran Sasso, 2006

## $(\beta\beta)_{0\nu}$ –Decay Experiments:

- Majorana nature of  $\nu_j$
- Type of  $\nu$ –mass spectrum (NH, IH, QD)
- Absolute neutrino mass scale

$^3\text{H}$   $\beta$ -decay, cosmology:  $m_\nu$  (QD, IH)

- CPV due to Majorana CPV phases

$$A(\beta\beta)_{0\nu} \sim \langle m \rangle M(A,Z), \quad M(A,Z) - \text{NME},$$

$$\begin{aligned} |\langle m \rangle| &= |m_1 |U_{e1}|^2 + m_2 |U_{e2}|^2 e^{i\alpha_{21}} + m_3 |U_{e3}|^2 e^{i\alpha_{31}}| \\ &= |m_1 c_{12}^2 c_{13}^2 + m_2 s_{12}^2 c_{13}^2 e^{i\alpha_{21}} + m_3 s_{13}^2 e^{i\alpha_{31}}|, \quad \theta_{12} \equiv \theta_{\odot}, \theta_{13} - \text{CHOOZ} \end{aligned}$$

$\alpha_{21}, \alpha_{31}$  - the two Majorana CPVP of the PMNS matrix.

**CP-invariance:**  $\alpha_{21} = 0, \pm\pi, \alpha_{31} = 0, \pm\pi;$

$$\eta_{21} \equiv e^{i\alpha_{21}} = \pm 1, \quad \eta_{31} \equiv e^{i\alpha_{31}} = \pm 1$$

relative CP-parities of  $\nu_1$  and  $\nu_2$ , and of  $\nu_1$  and  $\nu_3$  .

L. Wolfenstein, 1981;

S.M. Bilenky, N. Nedelcheva, S.T.P., 1984;

B. Kayser, 1984.

$$A(\beta\beta)_{0\nu} \sim \langle m \rangle M(A,Z), \quad M(A,Z) - \text{NME},$$

$$|\langle m \rangle| \cong \sqrt{m_3^2 + \Delta m_{13}^2} |\cos^2 \theta_{12} + e^{i\alpha_{21}} \sin^2 \theta_{12}|, \quad m_3 < (\ll) m_1 < m_2 \text{ (IH)},$$

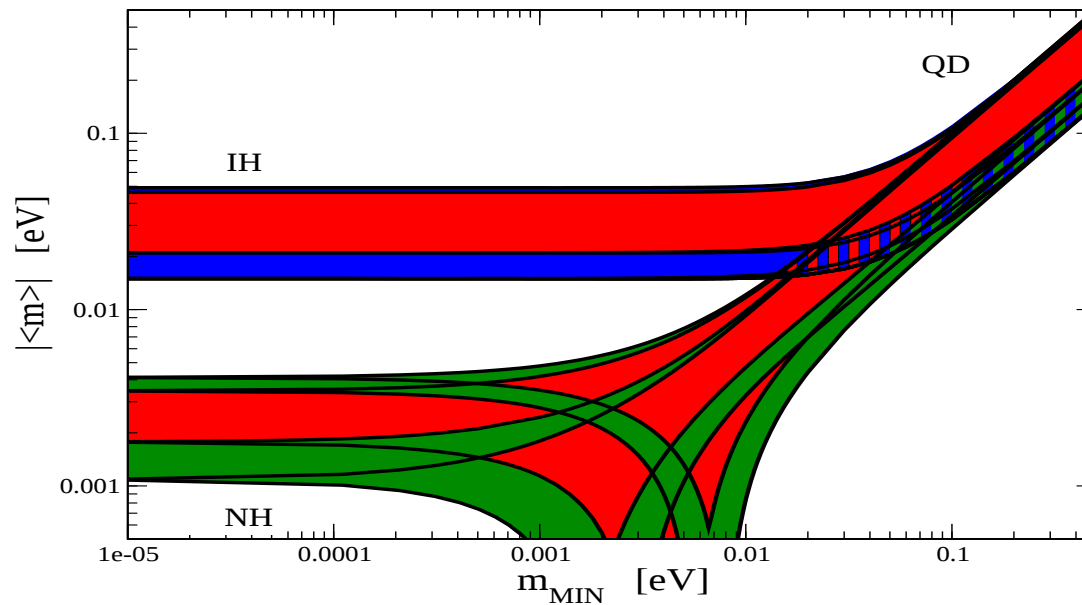
$$|\langle m \rangle| \cong m |\cos^2 \theta_{12} + e^{i\alpha} \sin^2 \theta_{12}|, \quad m_{1,2,3} \cong m \gtrsim 0.10 \text{ eV (QD)},$$

$$\text{CP-invariance: } \alpha_{21} = 0, \pm\pi, \alpha_{31} = 0, \pm\pi;$$

$$|\langle m \rangle| \lesssim 5 \times 10^{-3} \text{ eV, NH};$$

$$\sqrt{\Delta m_{13}^2} \cos 2\theta_{12} \cong 0.013 \text{ eV} \lesssim |\langle m \rangle| \lesssim \sqrt{\Delta m_{13}^2} \cong 0.055 \text{ eV, IH};$$

$$m \cos 2\theta_{12} \lesssim |\langle m \rangle| \lesssim m, \quad m \gtrsim 0.10 \text{ eV, QD}.$$



S. Pascoli, PDG, 2014

$$\sin^2 \theta_{13} = 0.0234 (0.0240) \pm 0.0021; \delta = 0.$$

$$1\sigma(\Delta m_{21}^2) = 2.6\%, 1\sigma(\sin^2 \theta_{12}) = 5.4\%, 1\sigma(|\Delta m_{31(23)}^2|) = 3\%.$$

From F. Capozzi *et al.*, arXiv:1312.5254v2 (May 5, 2014)

$2\sigma(|\langle m \rangle|)$  used.

Best sensitivity: GERDA ( $^{76}\text{Ge}$ ), EXO ( $^{136}\text{Xe}$ ), KamLAND-ZEN ( $^{136}\text{Xe}$ ).

Claim for a positive signal at  $> 3\sigma$ :

H. Klapdor-Kleingrothaus et al., PL B586 (2004),

$|\langle m \rangle| = (0.1 - 0.9) \text{ eV}$  (99.73% C.L.); b.f.v.:  $|\langle m \rangle| = 0.33 \text{ eV}$ .

IGEX  $^{76}\text{Ge}$ :  $|\langle m \rangle| < (0.33 - 1.35) \text{ eV}$  (90% C.L.).

Recent data - NEMO3 ( $^{100}\text{Mo}$ ), CUORICINO ( $^{130}\text{Te}$ ):

$|\langle m \rangle| < (0.45 - 0.96) \text{ eV}$ ,  $|\langle m \rangle| < (0.18 - 0.64) \text{ eV}$  (90% C.L.).

H. Klapdor-Kleingrothaus et al., PL B586 (2004),

$$T(^{76}\text{Ge}) = 2.23_{0.31}^{+0.44} \times 10^{25} \text{ yr at 90\% C.L.}$$

Results from 2012-2013:

$$T(^{136}\text{Xe}) > 1.6 \times 10^{25} \text{ yr at 90\% C.L., EXO}$$

$$T(^{136}\text{Xe}) > 1.9 \times 10^{25} \text{ yr at 90\% C.L., KamLAND – Zen}$$

$$T(^{76}\text{Ge}) > 2.1 \times 10^{25} \text{ yr at 90\% C.L., GERDA.}$$

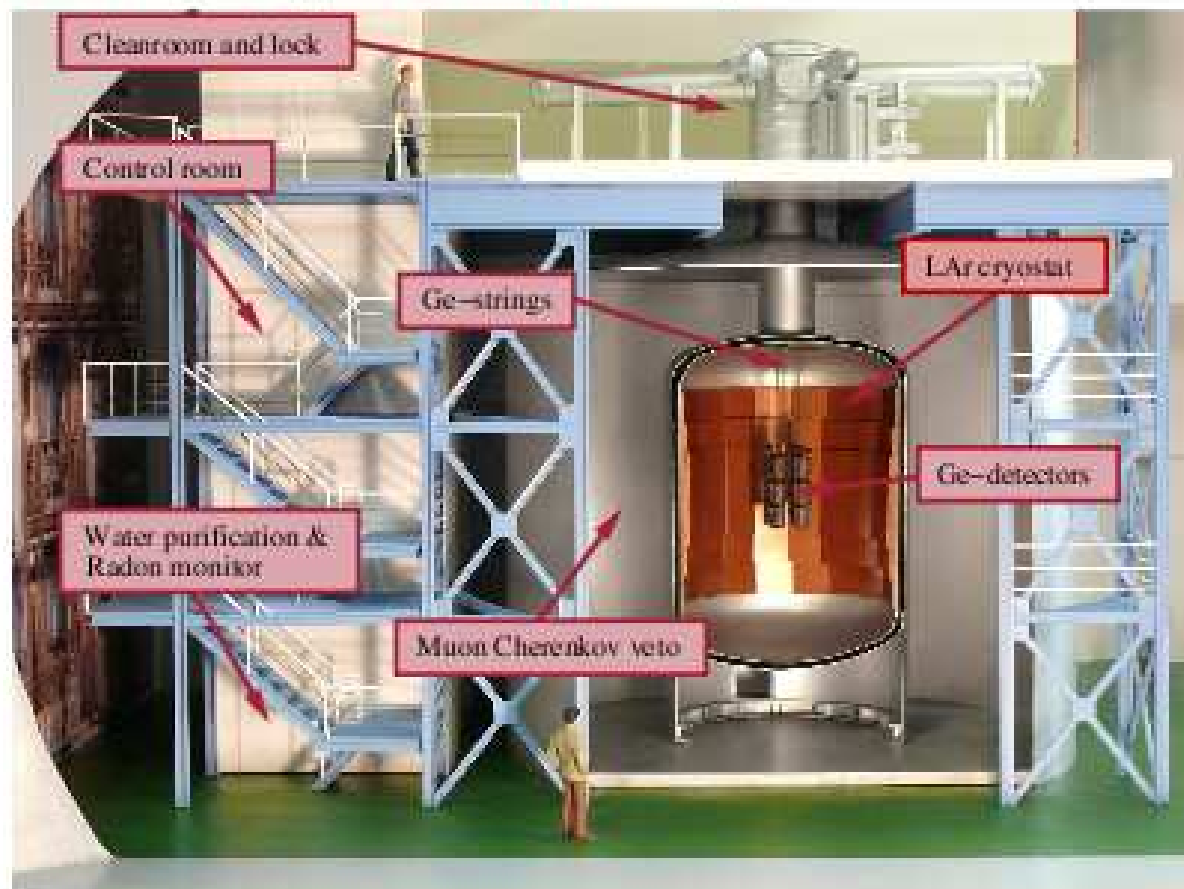
$$T(^{76}\text{Ge}) > 3.0 \times 10^{25} \text{ yr at 90\% C.L., GERDA + IGEX + HdM}$$

Large number of experiments:  $|\langle m \rangle| \sim (0.01-0.05) \text{ eV}$

CUORE -  $^{130}\text{Te}$ ,  
GERDA -  $^{76}\text{Ge}$ ,  
KamLAND-ZEN -  $^{136}\text{Xe}$ ;  
EXO -  $^{136}\text{Xe}$ ;  
SNO+ -  $^{130}\text{Te}$ ;  
AMoRE -  $^{100}\text{Mo}$  (S. Korea);  
CANDLES -  $^{48}\text{Ca}$ ;  
SuperNEMO -  $^{82}\text{Se}, \dots$ ;  
MAJORANA -  $^{76}\text{Ge}$ ;  
COBRA -  $^{116}\text{Cd}$ ;  
MOON -  $^{100}\text{Mo}$ .



## GERDA: Experimental Setup



UNIVERSITÄT  
DUISBURG  
ESSEN



# Majorana CPV Phases and $|\langle m \rangle|$

CPV can be established provided

- $|\langle m \rangle|$  measured with  $\Delta \lesssim 15\%$  ;
- $\Delta m_{\text{atm}}^2$  (IH) or  $m_0$  (QD) measured with  $\delta \lesssim 10\%$  ;
- $\xi \lesssim 1.5$  ;
- $\alpha_{21}$  (QD): in the interval  $\sim [\frac{\pi}{4} - \frac{3\pi}{4}]$ , or  $\sim [\frac{5\pi}{4} - \frac{3\pi}{2}]$  ;
- $\tan^2 \theta_\odot \gtrsim 0.40$  .

S. Pascoli, S.T.P., W. Rodejohann, 2002

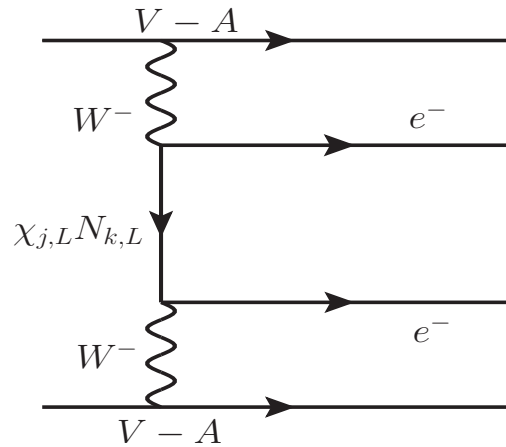
S. Pascoli, S.T.P., L. Wolfenstein, 2002

S. Pascoli, S.T.P., T. Schwetz, hep-ph/0505226

No “No-go for detecting CP-Violation via  $(\beta\beta)_{0\nu}$ -decay”

V. Barger *et al.*, 2002

## Different Mechanisms of $(\beta\beta)_{0\nu}$ -Decay



### Light Majorana Neutrino Exchange

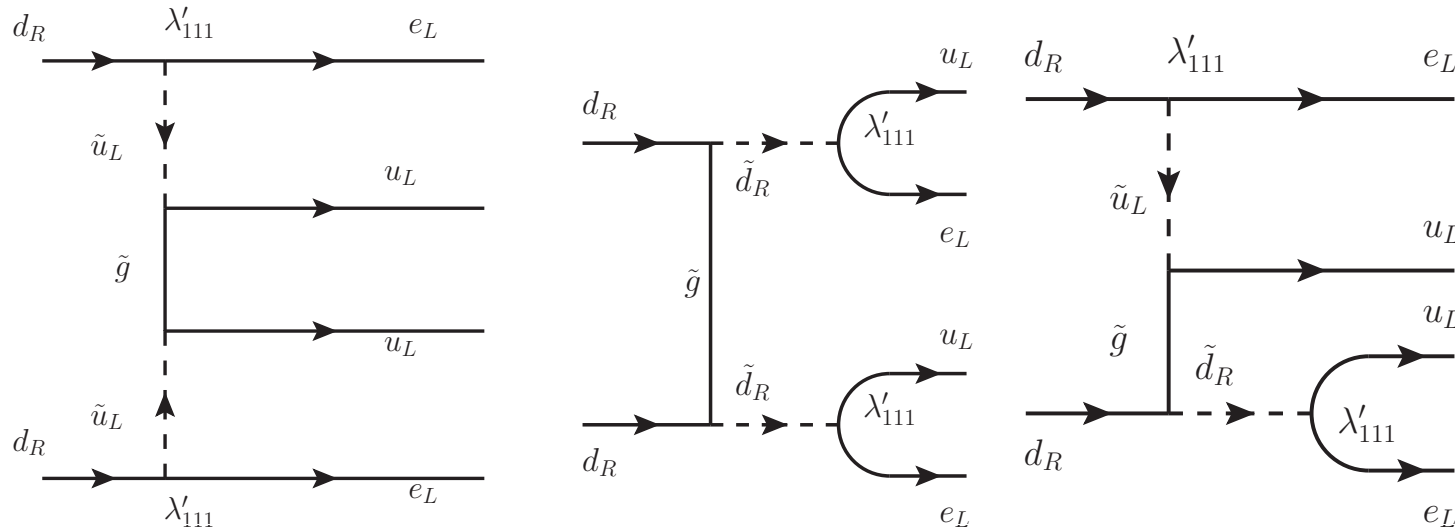
$$\eta_\nu = \frac{\langle m \rangle}{m_e}.$$

### Heavy Majorana Neutrino Exchange Mechanisms

(V-A) Weak Interaction, LH  $N_k$ ,  $M_k \gtrsim 10$  GeV:

$$\eta_N^L = \sum_k^{heavy} U_{ek}^2 \frac{m_p}{M_k}, \quad m_p - \text{proton mass}, \quad U_{ek} - \text{CPV}.$$

# SUSY Models with R-Parity Non-conservation



$$\mathcal{L}_{Rp} = \lambda'_{111} \left[ (\bar{u}_L \bar{d}_L) \begin{pmatrix} e_R^c \\ -\nu_{eR}^c \end{pmatrix} \tilde{d}_R + (\bar{e}_L \bar{\nu}_{eL}) d_R \begin{pmatrix} \tilde{u}_L^* \\ -\tilde{d}_L^* \end{pmatrix} \right. \\ \left. + (\bar{u}_L \bar{d}_L) d_R \begin{pmatrix} \tilde{e}_L^* \\ -\tilde{\nu}_{eL}^* \end{pmatrix} \right] + h.c.$$

The problem of distinguishing between different sets of multiple (e.g., two) mechanisms being operative in  $(\beta\beta)_{0\nu}$ -decay was studied in

1. A. Faessler, A. Meroni, S.T.P., F. Simkovic and J. Vergados, “Uncovering Multiple CP-Nonconserving Mechanisms of  $(\beta\beta)_{0\nu}$ -Decay”, arXiv:1103.2434, Phys. Rev. D83 (2011) 113003.

2. A. Meroni, S.T.P. and F. Simkovic, “Multiple CP Non-conserving Mechanisms of  $\text{bb}0\nu$ -Decay and Nuclei with Largely Different Nuclear Matrix Elements”, (arXiv:1212.1331, JHEP **1302** (2013) 025).

Earlier studies include:

A. Halprin, S.T.P., S.P. Rosen, “Effects of Mixing of Light and Heavy Majorana Neutrinos in Neutrinoless Double Beta Decay”, Phys. Lett. 125B (1983) 335).

## Instead of Conclusions

**We are at the beginning of the Road...**

**The next 5, 10, 15,... years will be very exciting in Neutrino (Lepton) Physics!**