

ICECUBE
SOUTH POLE NEUTRINO OBSERVATORY

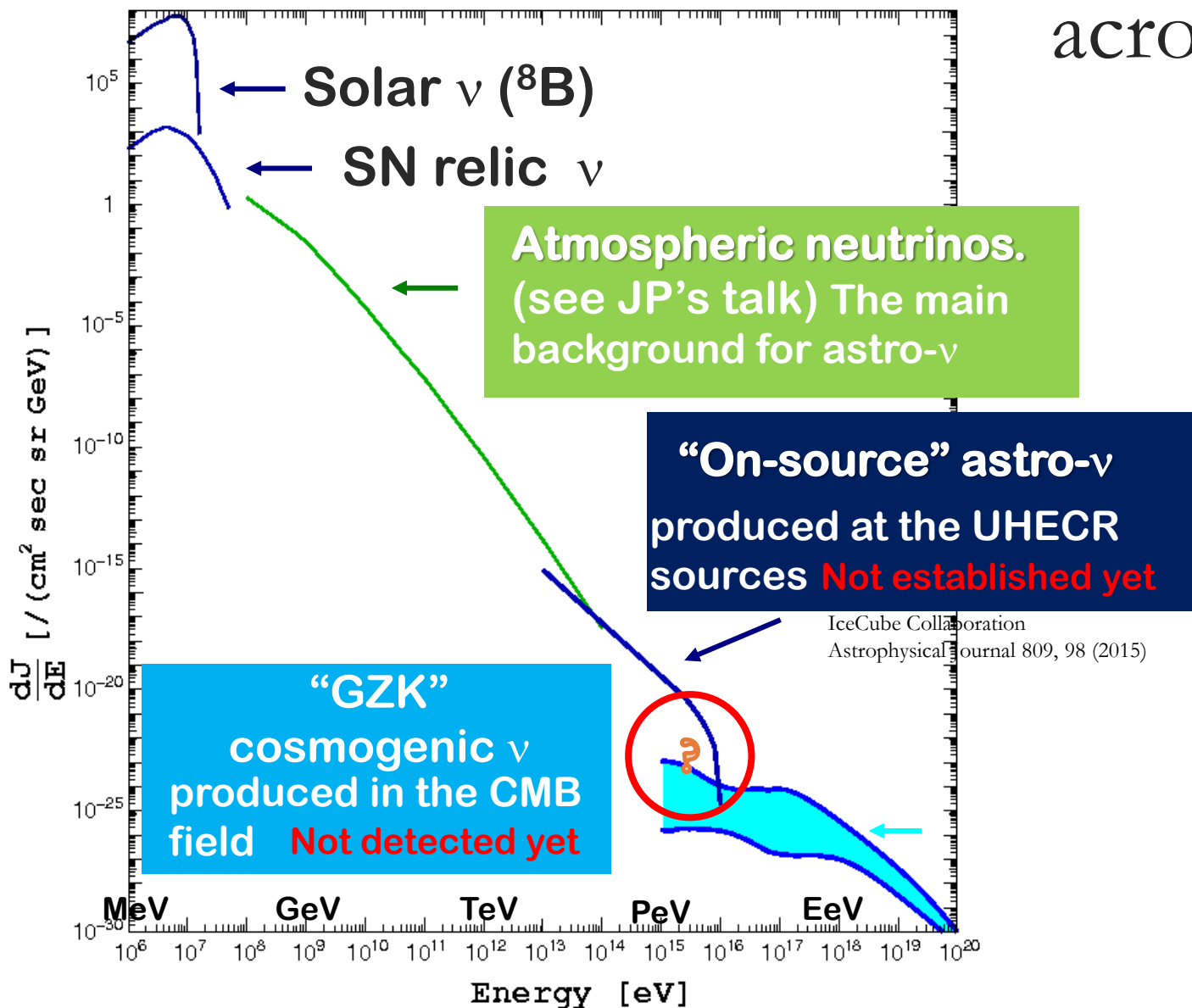
HIGH ENERGY NEUTRINOS @ *ICECUBE*



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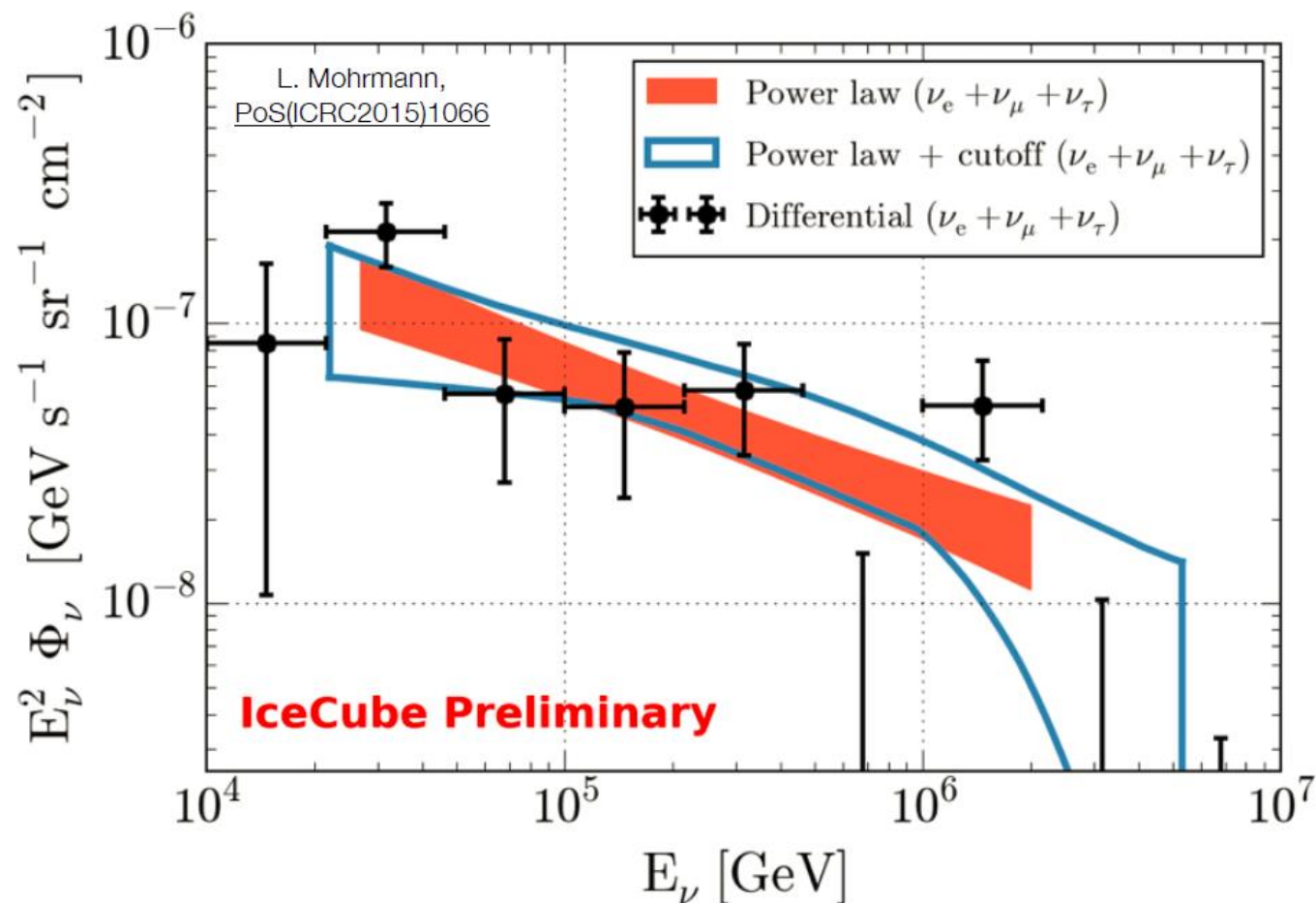


IceCube: Rich physics goals across 10 decades of energy

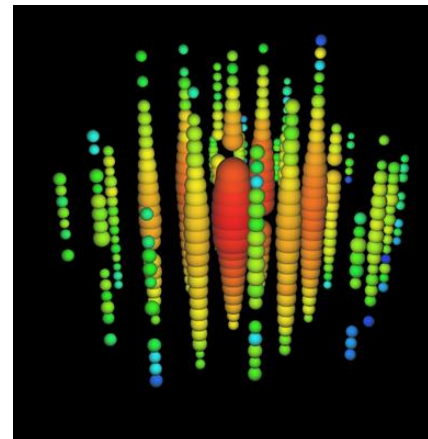


- Low energy neutrinos:
 - Neutrino oscillations
 - Indirect dark matter searches
- High energy neutrinos**
 - Steady point source (arrival direction and correlation with cluster)
 - Transients (e.g. GRB) analyses
 - Excess of diffuse neutrino flux over atmospheric neutrino background**
 - Charm production
 - Ultra-high energy neutrinos (GZK)**
- Cosmic rays
 - Cosmic-ray composition
 - Anisotropy
 - Hadronic interaction models

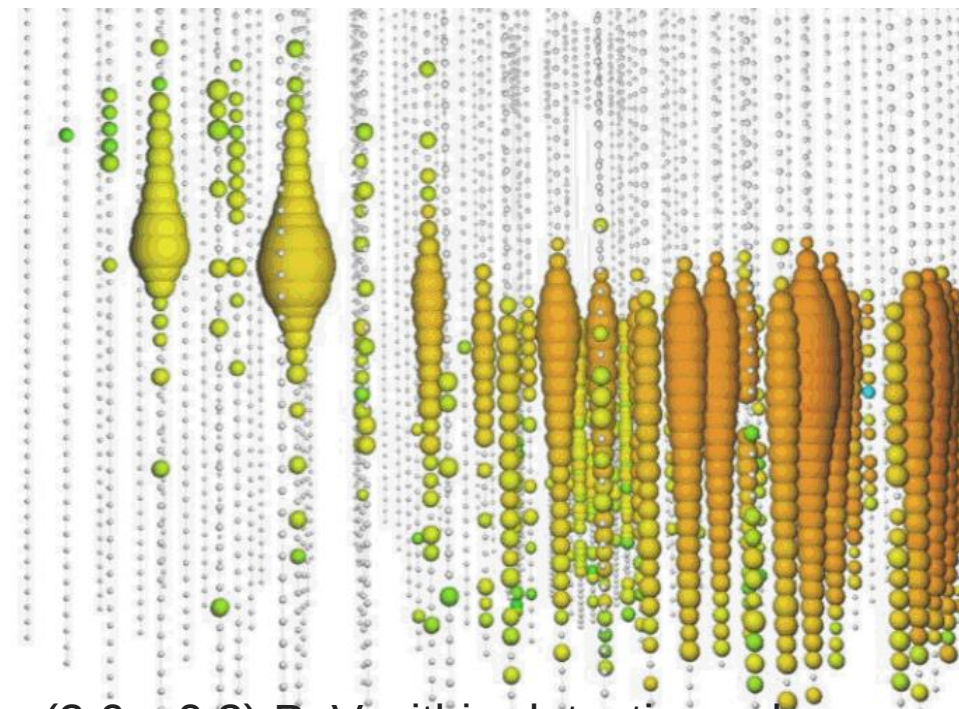
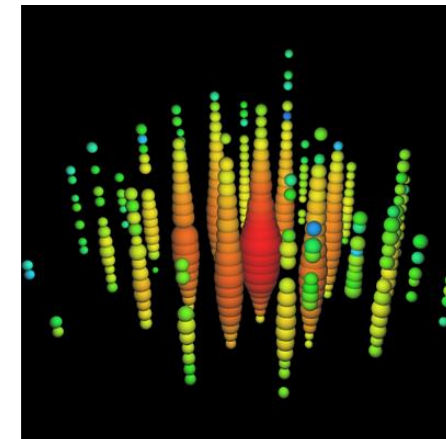
Astrophysical neutrinos observed – but we expect to see cosmogenic neutrinos with **even higher energy**



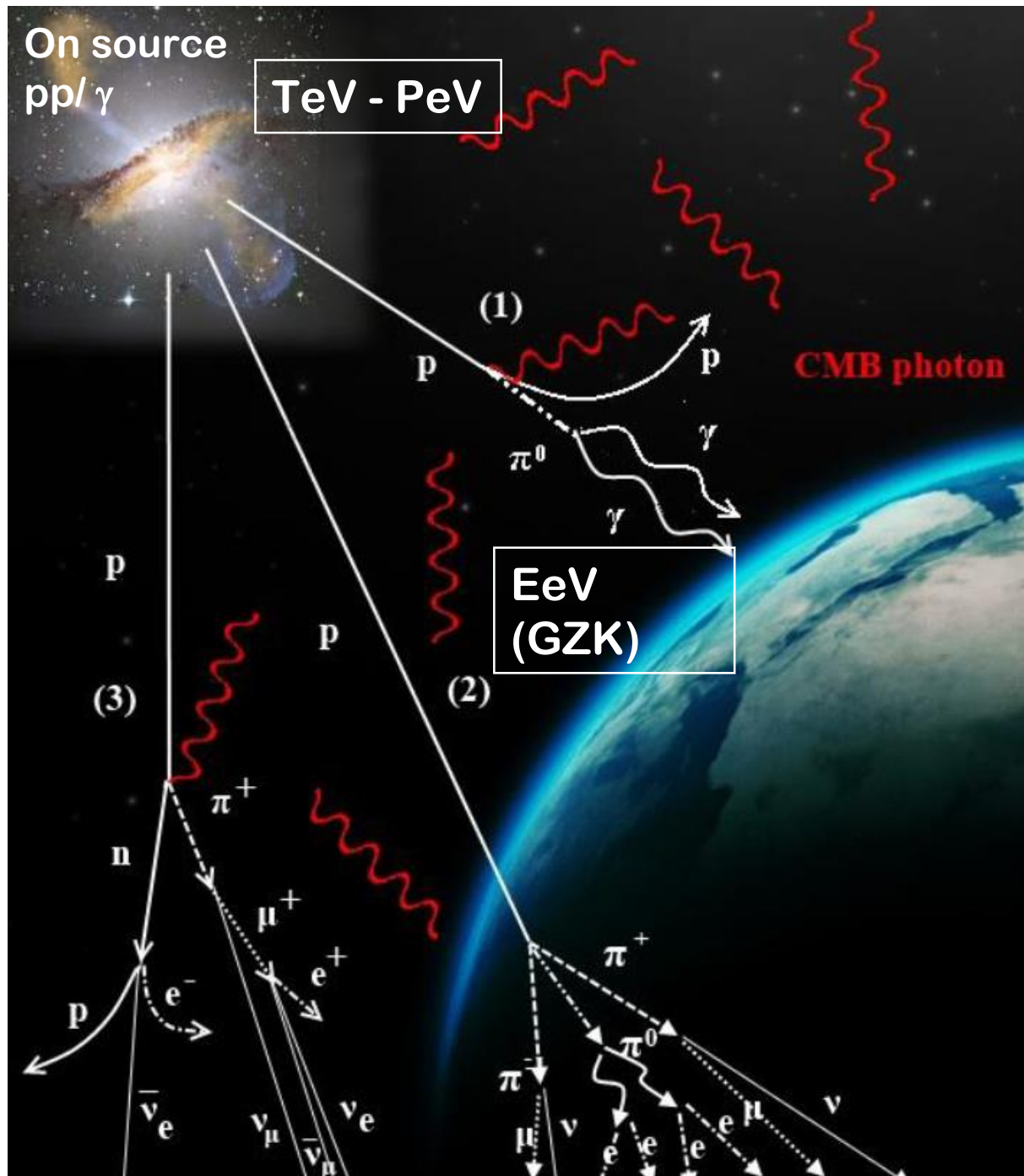
$1.04 \pm 0.16 \text{ PeV}$



$1.14 \pm 0.17 \text{ PeV}$



$(2.6 \pm 0.3) \text{ PeV}$ within detection volume



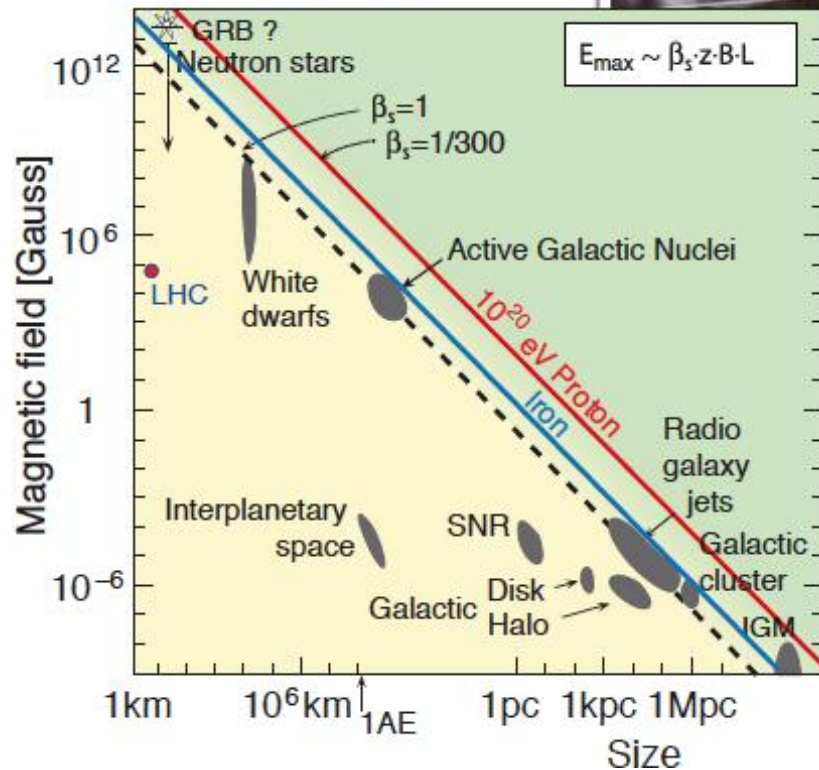
High energy neutrinos from deep Universe

$$\begin{aligned}
 p + p &\rightarrow p + p + \pi^{\pm/0} \\
 p + \gamma &\rightarrow n + \pi^+ \\
 &\rightarrow p + \pi^0
 \end{aligned}$$

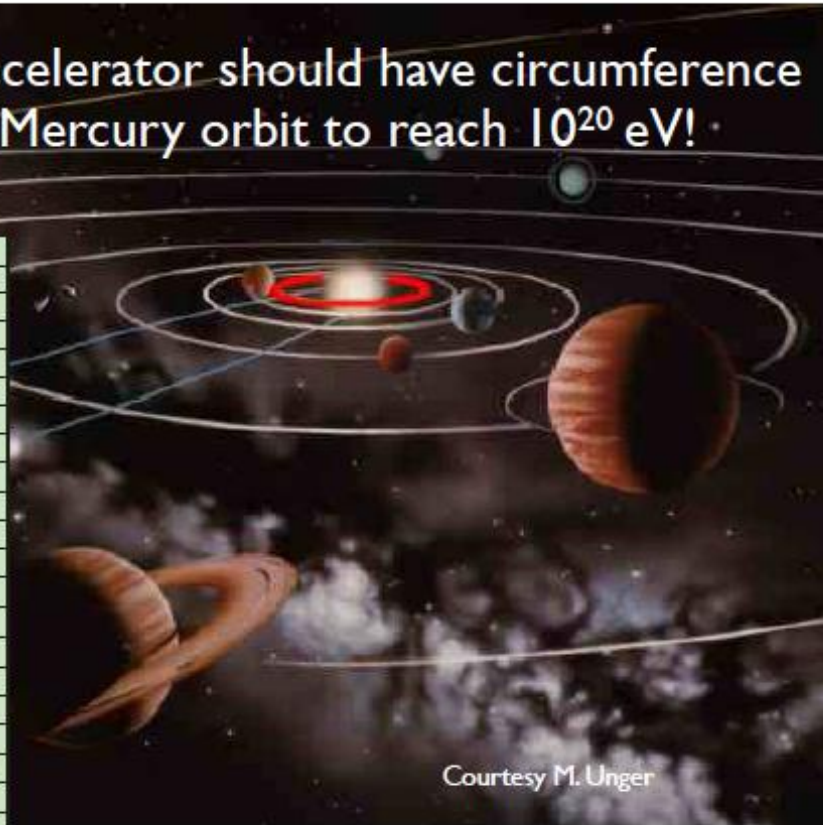
- Nuclei (cosmic-rays): deflected
- Gamma-ray: can be absorbed
- ν: travel in straight lines and difficult to be absorbed

Approaching **U**ltra **H**igh **E**nergies...

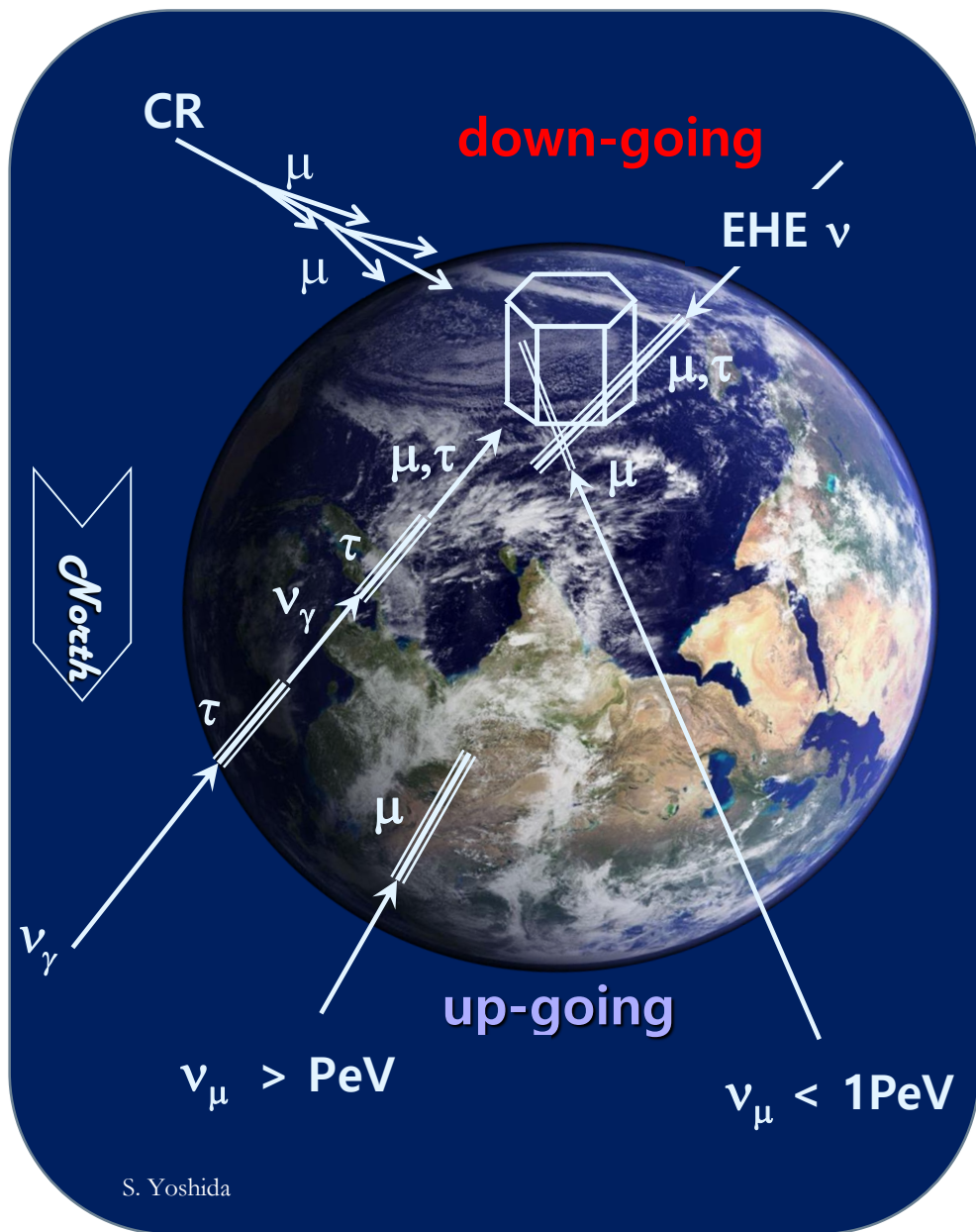
Hillas plot (1984)



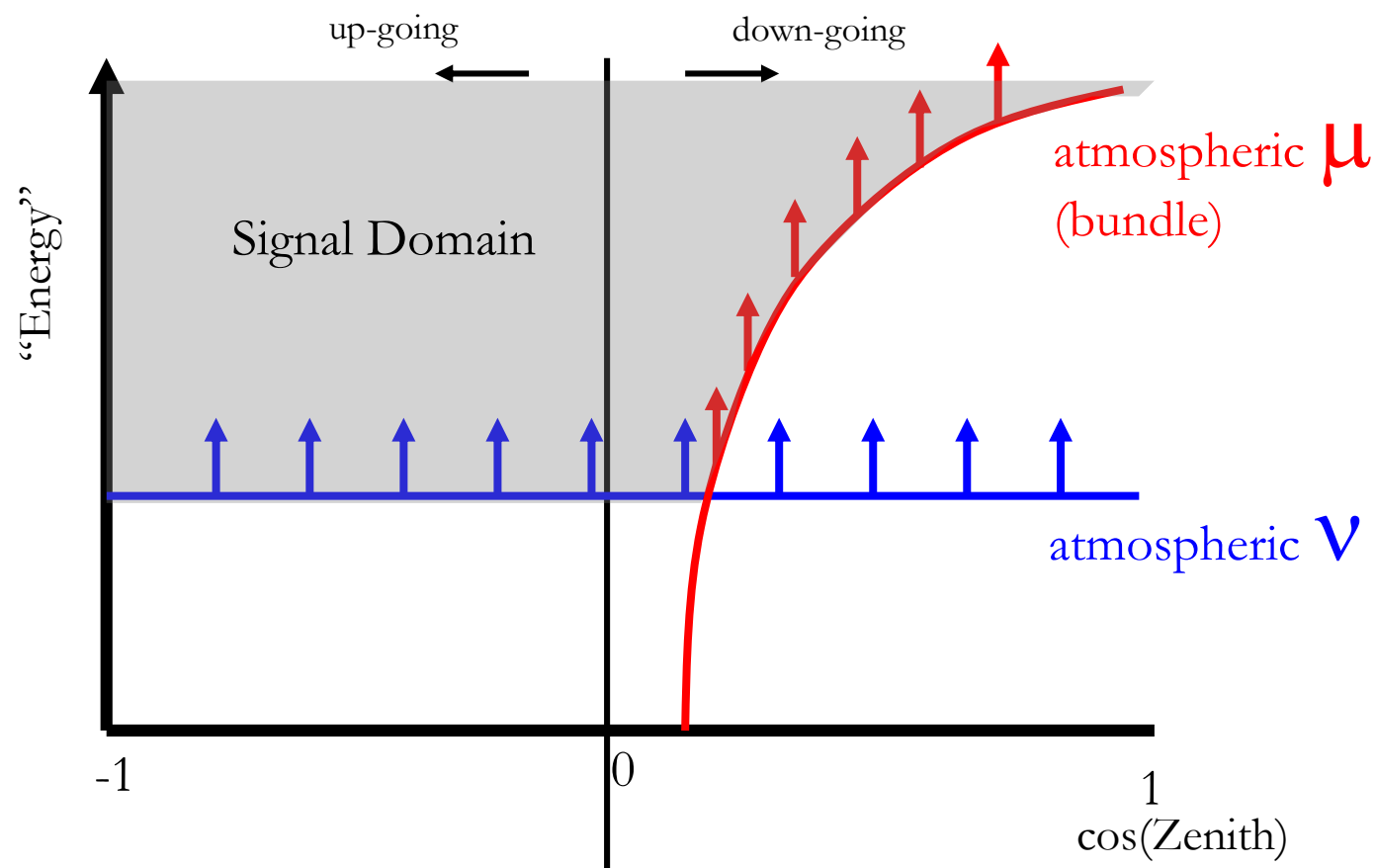
LHC accelerator should have circumference
of Mercury orbit to reach 10^{20} eV!



- We measure cosmic rays of energy **10^{20} eV**, but where are they from?
- What is the **mass composition** of the cosmic rays?
- How were they **accelerated** to such high energies?
- Neutrinos do not carry charge can **point back to the origin**.
- **Neutrinos are indirect test of mass composition and source models.**

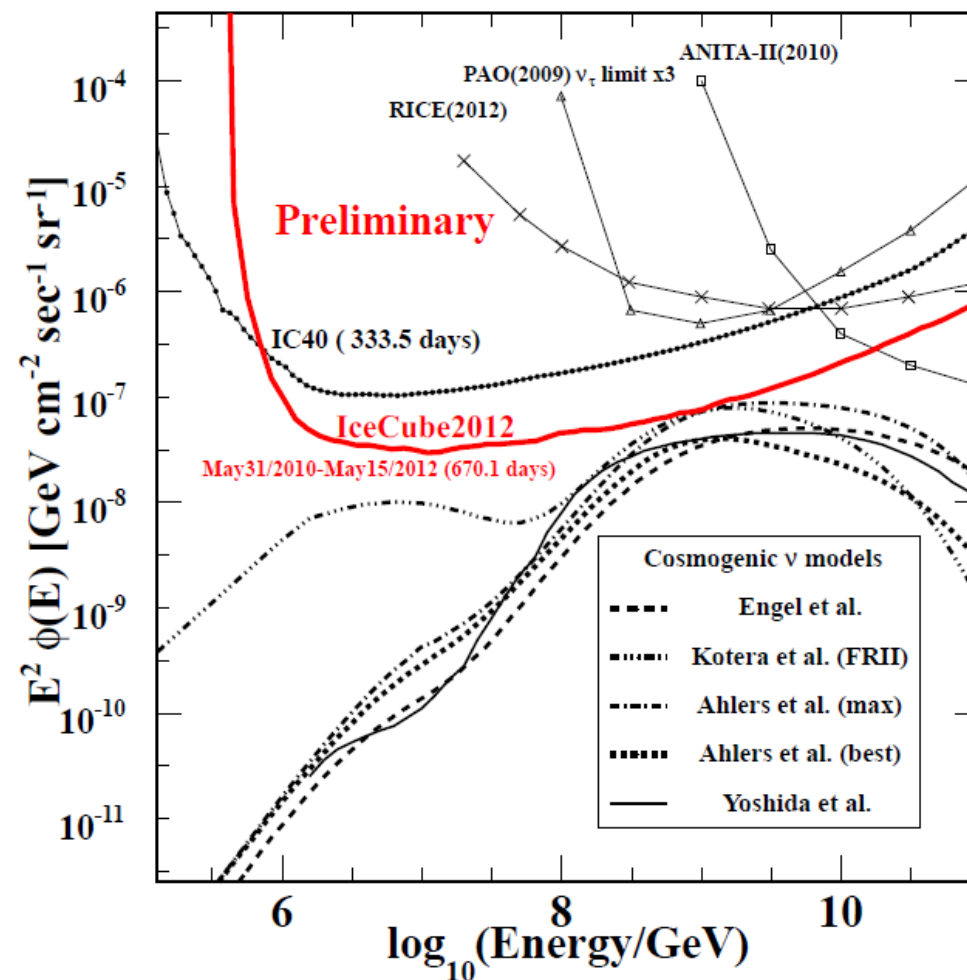
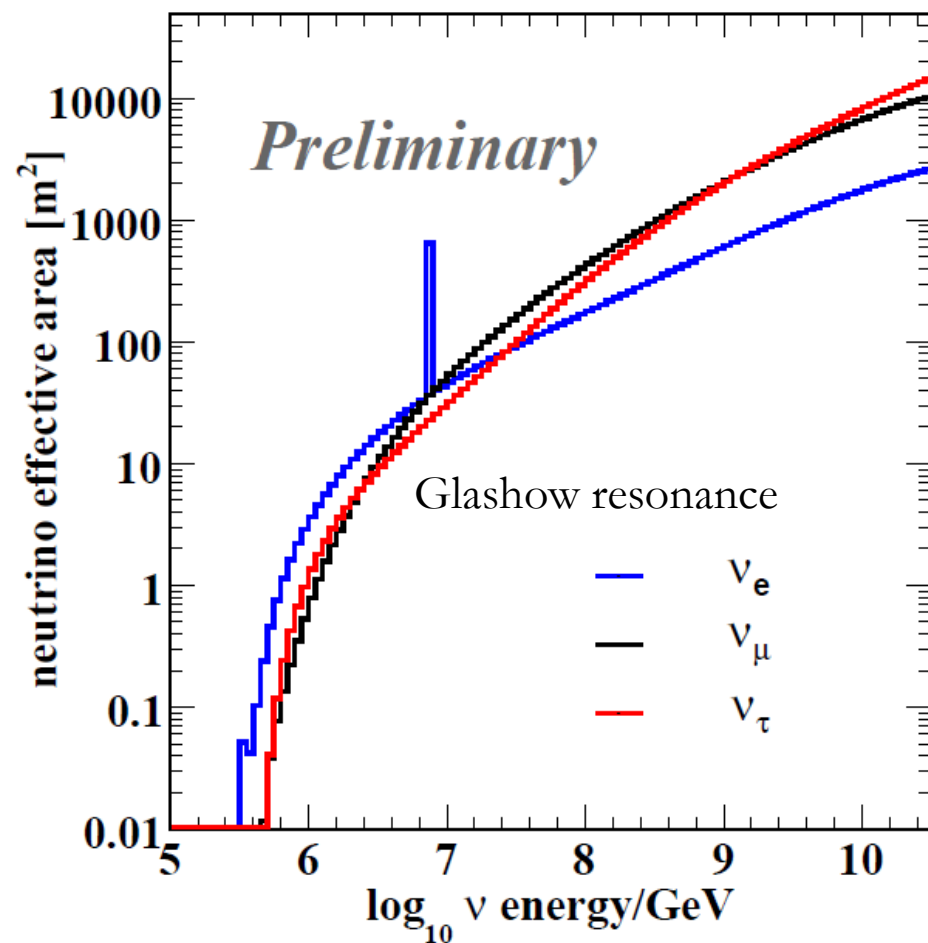


Signatures of UHE neutrinos



Fix cuts before unblinding data

Simple cut and counts 2012 results



- PeV-ish region: Electron neutrinos (cascades)
- EeV-ish region: Muon/Tau neutrinos

Time for an update (7yrs data) change to *Poisson-binned likelihood ratio* method

- 1D $\rightarrow$ 2D: The effect (signature) of the detected events is automatically taken into account
- In case we find candidates -
 - Are they **background**
 - Are they **GZK** neutrinos
 - A part of sub PeV cosmic neutrino bulk? (**E⁻²** spectrum ? Cut-off?)
 - **Upper limit**?
 - How can they constrain the known **GZK models**? (not included in this talk)
- Search results in publication process

Binned-Poisson likelihood ratio method

$$L(\kappa) = \prod_{i=1}^N \text{Poisson}(n_i, \mu_i(\kappa))$$

Observed prediction
Floating parameter

$$L(H_0) = L(\kappa_0)$$

Null hypothesis

$$L(H_1) = L^{\max}(\kappa)$$

Alternative hypothesis

$$-2 \ln \Lambda = -2 \ln \frac{L(H_1)}{L(H_0)}$$

Is it background?

We have **2D** variable set for distinguishing signal and background

Use both parameters $\cos(\theta)$ and reconstructed energy

Number of events

BG + κ GZK+astro

Floating parameter

$$\lambda \begin{cases} = 0 & : H_0 \text{ BG only} \\ \geq 0 & : H_1 \text{ With GZK/Astro} \end{cases}$$

$$L = \prod_j \text{Poisson}(k_{jk}, \mu_{jk})$$

log NPE (energy) $\cos(\theta)$

‘2D pdf’ for signal and background

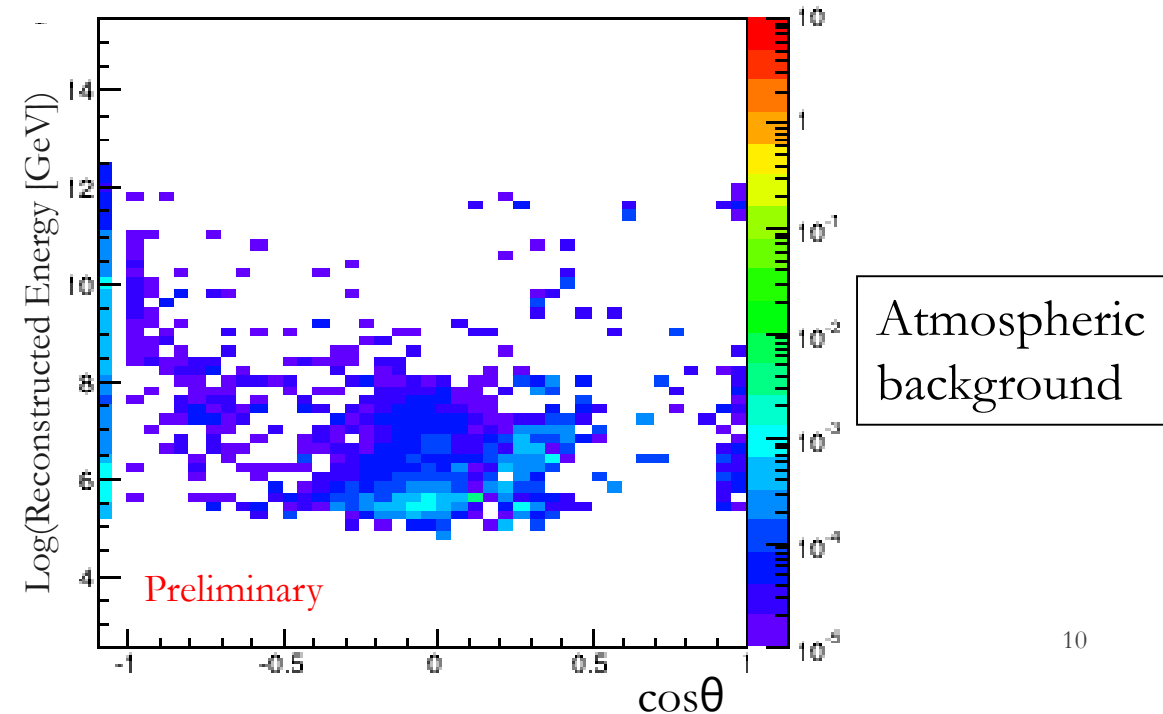
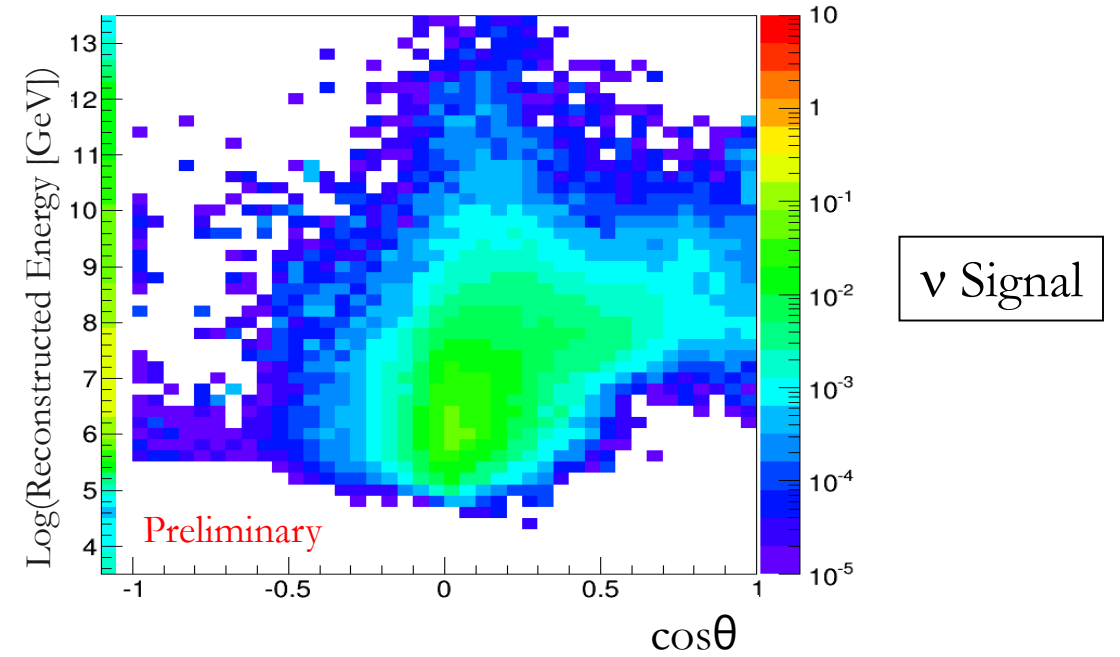
$$\mu_{jk}^{\text{BG}} = \frac{d \log N_{\text{BG}}}{d \log \text{NPE} d \cos \theta} (\log \text{NPE}^j, \cos \theta^k) d \log \text{NPE} d \cos \theta^k,$$

$$N^{\text{BG}} = \sum_{jk} \mu_{jk}^{\text{BG}}.$$

$$\mu_{jk}^{\text{GZK}} = \frac{d \log N_{\text{GZK}}}{d \log \text{NPE} d \cos \theta} (\log \text{NPE}^j, \cos \theta^k) d \log \text{NPE} d \cos \theta^k,$$

$$N^{\text{GZK}} = \sum_{jk} \mu_{jk}^{\text{GZK}}.$$

$N^{\text{BG}} \sim O(0.01) - O(0.1)$. Same level as signal



Constructing likelihood ratio

$$\lambda \begin{cases} = 0 & : H_0 \text{ BG only} \\ \geq 0 & : H_1 \text{ with GZK} \end{cases}$$

$$L_0 = \prod_{jk} \text{Poisson}(k_{jk}, \mu_{jk}^{\text{BG}})$$

$$L_1 = \prod_{jk} \text{Poisson}(k_{jk}, \mu_{jk}^{\text{BG}} + \lambda^{\text{max}} \mu_{jk}^{\text{GZK}})$$

λ^{max} maximizes L_1 for H_1 .

$$\Lambda \equiv \frac{L_1}{L_0} = \frac{\prod_{jk} \text{Poisson}(k_{jk}, \mu_{jk}^{\text{BG}} + \lambda^{\text{max}} \mu_{jk}^{\text{GZK}})}{\prod_{jk} \text{Poisson}(k_{jk}, \mu_{jk}^{\text{BG}})}$$

$$x^2 \equiv \ln \Lambda$$

Side note: physics meaning of λ^{max}

$$L_1 = \exp^{-(N^{\text{BG}} + \lambda^{\text{max}} N^{\text{GZK}})} \prod_i^N (\mu_i^{\text{BG}} + \lambda^{\text{max}} \mu_i^{\text{GZK}}).$$

The λ^{max} is obtained by requesting $d \ln L_1 / d \lambda^{\text{max}} = 0$

$$-N^{\text{GZK}} + \sum_i^N \frac{1}{\left(\frac{\mu_i^{\text{BG}}}{\mu_i^{\text{GZK}}} \right) + \lambda^{\text{max}}} = 0$$

$$\mu_i^{\text{BG}} / \mu_i^{\text{GZK}} \ll \lambda^{\text{max}}$$

$$\lambda^{\text{max}} \sim \frac{N}{N^{\text{GZK}}}$$

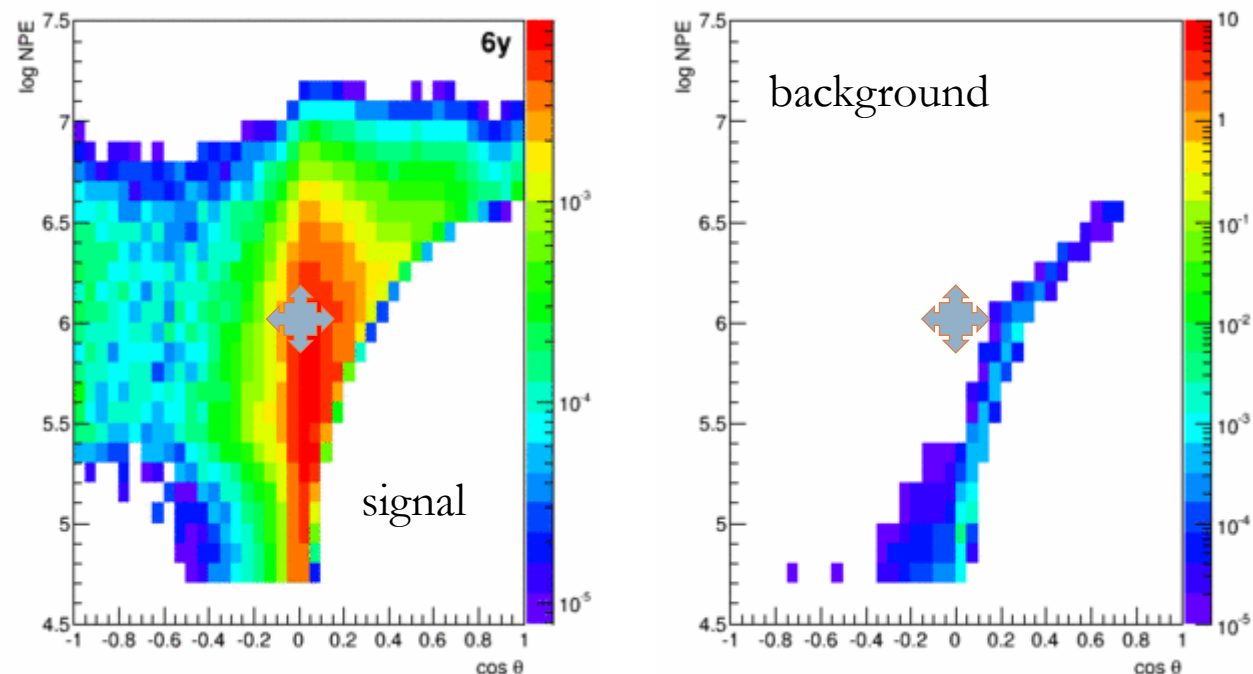
Normalisation factor!

P-values from **likelihood ratio** vs **cut-and-count** (I)

- Three examples:
 - 1 detection at signal-rich & poor-bg region
 - 1 detection at signal-rich & bg-rich region
 - 1 detection at edge of signal cuts
- Pseudo experiments
 - obtained by MC simulations which repeats replica experiments with sampling observed event number distribution following the likelihood function of null hypothesis L_0 . Then the p-value is calculated by the probability that χ^2 is greater than the observed log likelihood ratio x_{obs}^2 under H_0 :

$$p = \text{Pr}(x^2 > x_{\text{obs}}^2).$$

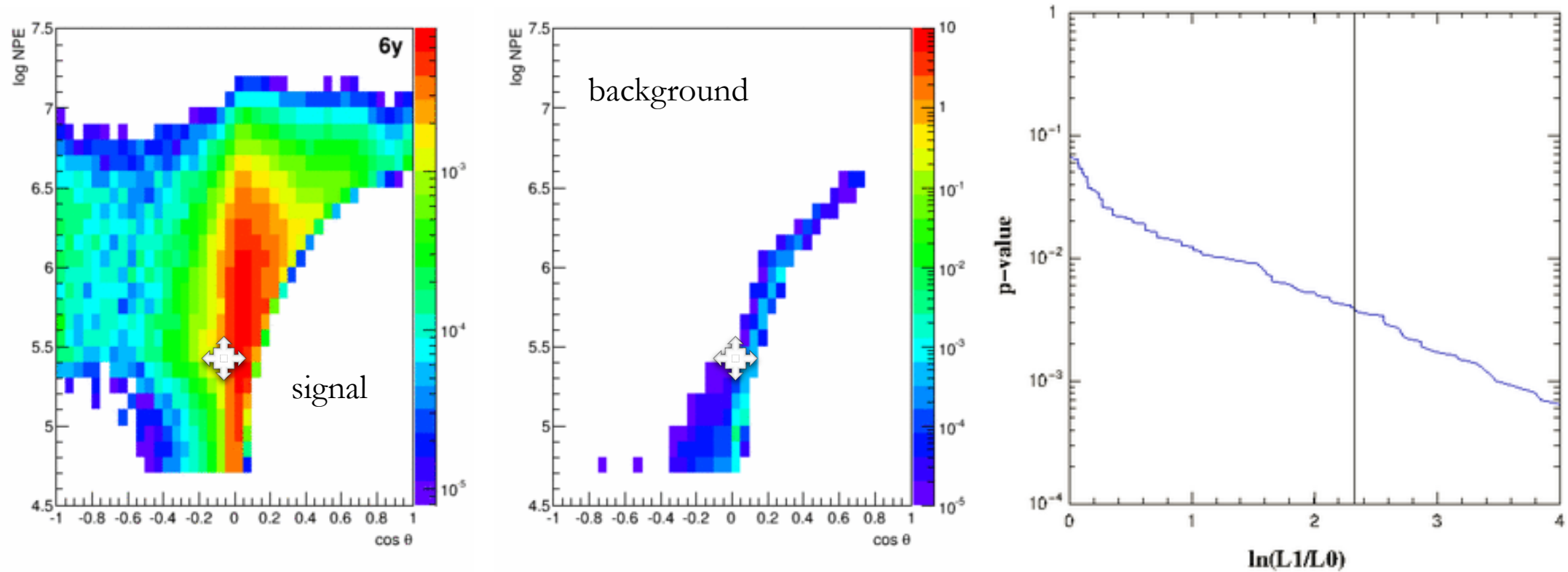
case 1



log(NPE)	cos(zenith)	p-value by the likelihood ratio	p-value by the cut-and-count
6.05	-0.025	3.00×10^{-5}	1.61×10^{-1} ($1.01\sigma_{12}$ - BG=0.176, obs=1)

P-values from **likelihood ratio** vs **cut-and-count** (II)

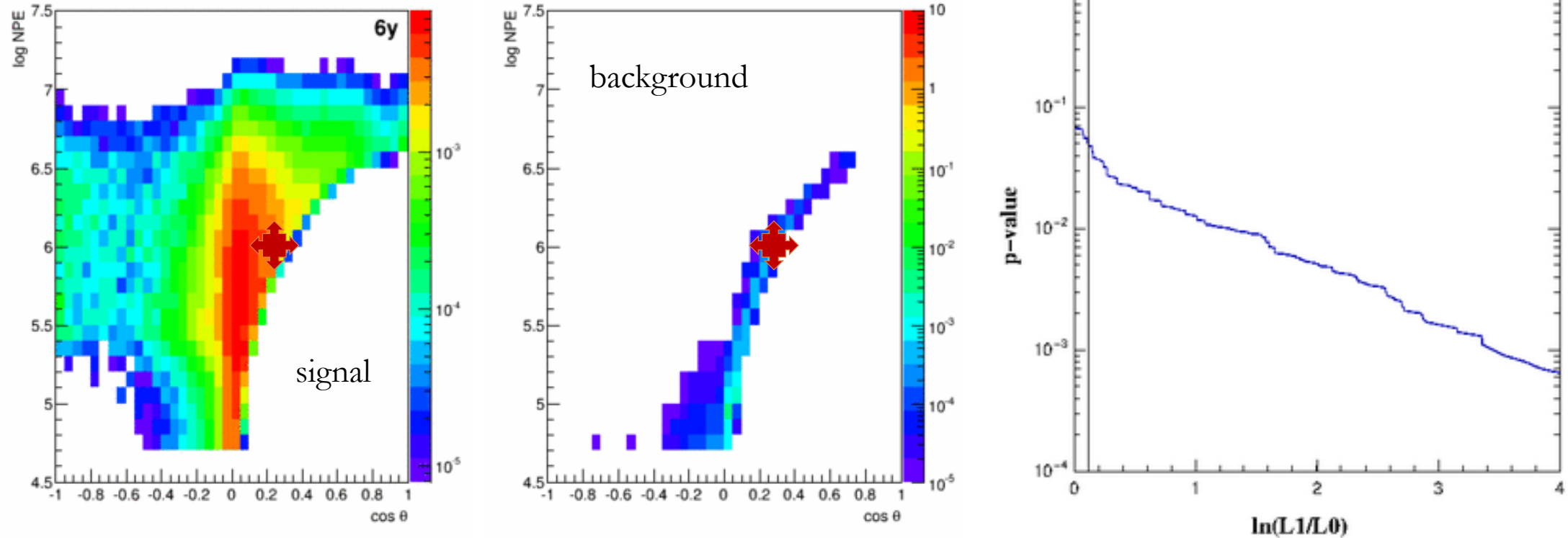
case 2



$\log(\text{NPE})$	$\cos(\text{zenith})$	p-value by the likelihood ratio	p-value by the cut-and-count
5.45	-0.025	3.96×10^{-3}	1.61×10^{-1} (1.01σ - BG=0.176, obs=1)

P-values from **likelihood ratio** vs **cut-and-count** (III)

case 3



$\log(\text{NPE})$	$\cos(\text{zenith})$	p-value by the likelihood ratio	p-value by the cut-and-count
5.95	0.275	5.10×10^{-2}	1.61×10^{-1} (1.01σ - BG=0.176, obs=1)

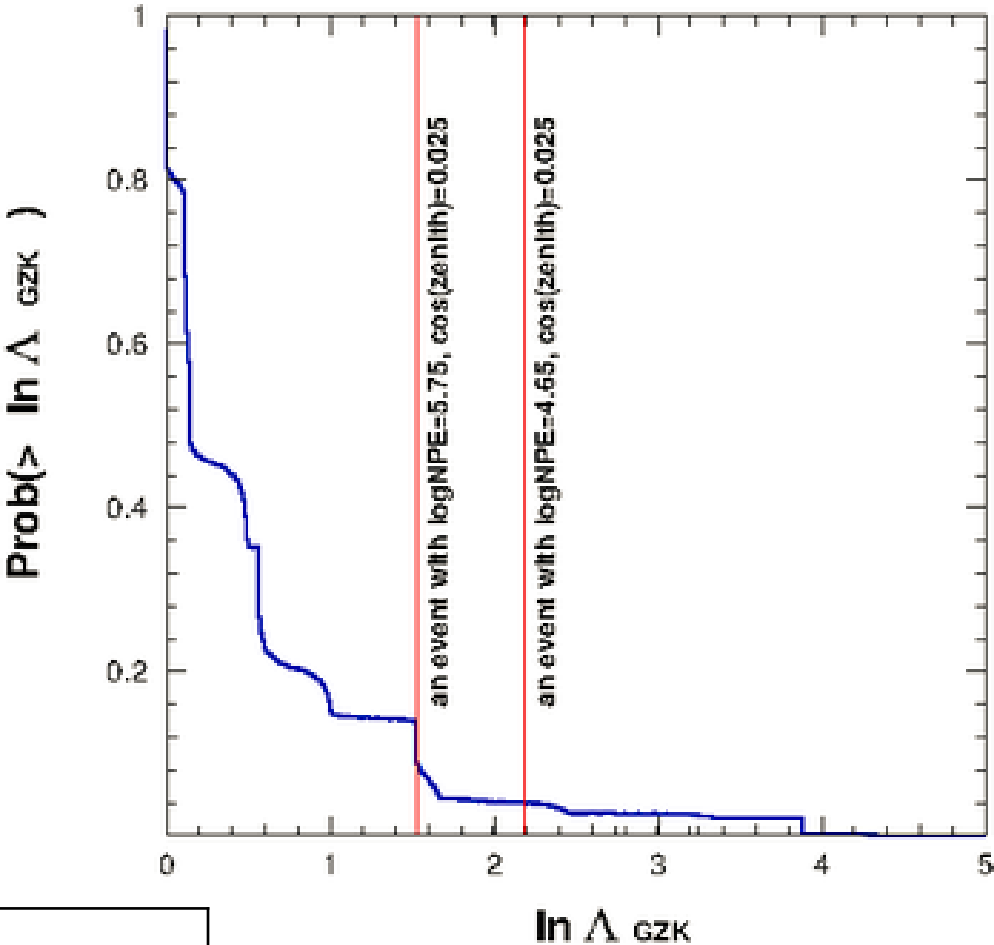
Testing GZK models

- Previous Cut and count (IceCube Collaboration. Phys. Rev. Lett. **111**, 021103)

$$N^{\text{GZK}} = \sum_{jk} \mu_{jk}^{\text{GZK}}.$$

$$\begin{aligned} \mu_{jk} &= \mu_{jk}^{\text{GZK}} && : H_0 \\ \mu_{jk} &= \lambda_{\text{GZK}} \mu_{jk}^{\text{GZK}}, \lambda_{\text{GZK}} \neq 1 && : H_1 \end{aligned}$$

$$\Lambda_{\text{GZK}} \equiv \frac{L_1}{L_0} = \frac{\prod_{jk} \text{Poisson}(k_{jk}, \mu_{jk}^{\text{BG}} + \lambda_{\text{GZK}}^{\text{max}} \mu_{jk}^{\text{GZK}})}{\prod_{jk} \text{Poisson}(k_{jk}, \mu_{jk}^{\text{BG}} + \mu_{jk}^{\text{GZK}})}.$$



The p-values for the GZK models - when we see a single event				
	log(NPE)	cos(zenith)	p-value GZK Ahler Best - exp.# of events 3.88	p-value GZK Ahler max - exp.# of events 7.81
Case A	5.75	0.025	0.11 (ref. simple poisson 0.10)	4.2x10 ⁻³ (ref. simple poisson 3.6 x 10 ⁻³)
Case B	4.65	0.025	0.040 (ref. simple poisson 0.10)	7.5 x 10 ⁻⁴ (ref. simple poisson 3.6 x 10 ⁻³)

Case A
 an event at (logNPE=5.75, cos(zenith)=0.025) - "GZK-like"

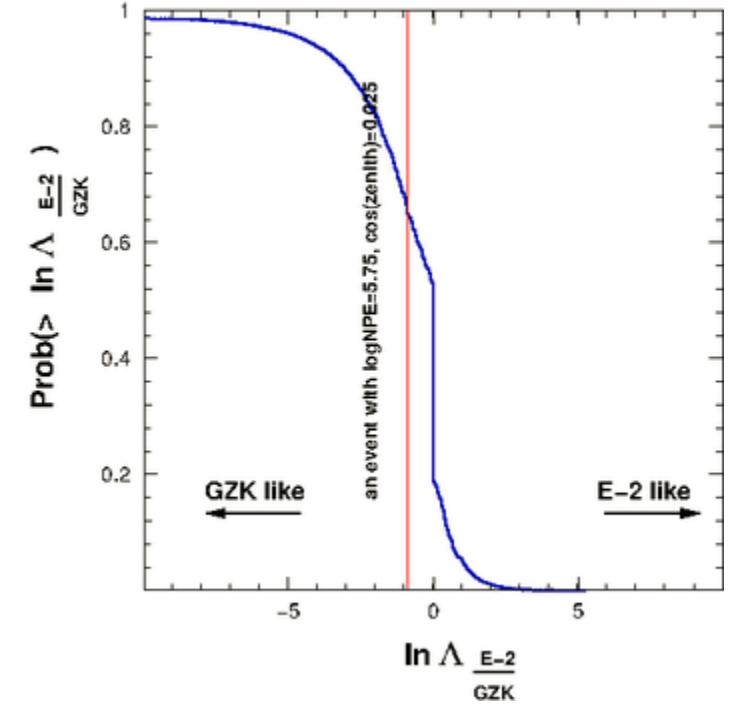
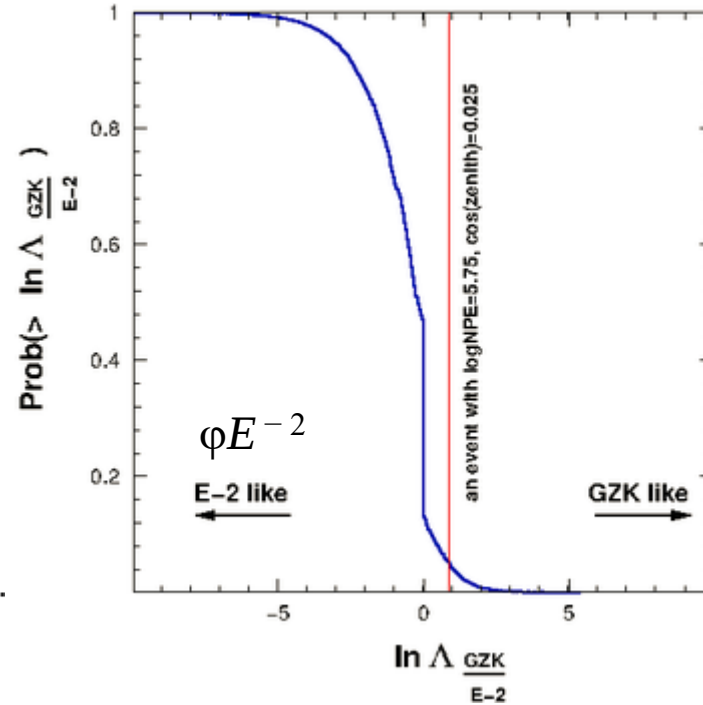
Case B
 an event at (logNPE=4.65, cos(zenith)=0.025) - "astro-like"

Is it the tail of the power-law neutrino flux?

$$\begin{aligned}\mu_{jk} &= \lambda_{\text{GZK}} \times \mu_{jk}^{\text{GZK}} : H_1 \\ \mu_{jk} &= \lambda_{E^{-2}} \times \mu_{jk}^{E^{-2}} : H_0\end{aligned}$$

For GZK hypothesis (H_1), we take a *typical* GZK model (say, Ahler best), and float the normalization.

$$\Lambda_{\text{GZK}/E^{-2}} \equiv \frac{L_1}{L_0} = \frac{\prod_{jk} \text{Poisson}(k_{jk}, \mu_{jk}^{\text{BG}} + \lambda_{\text{GZK}}^{\text{max}} \mu_{jk}^{\text{GZK}})}{\prod_{jk} \text{Poisson}(k_{jk}, \mu_{jk}^{\text{BG}} + \lambda_{E^{-2}}^{\text{max}} \mu_{jk}^{E^{-2}})}.$$



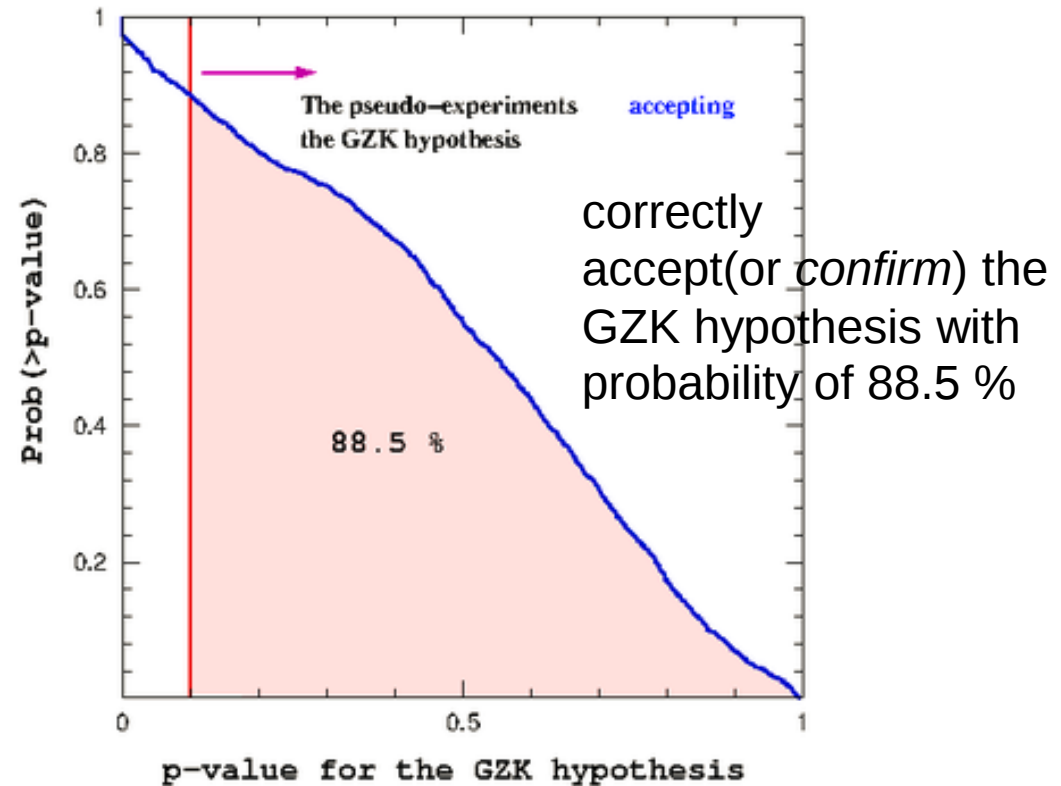
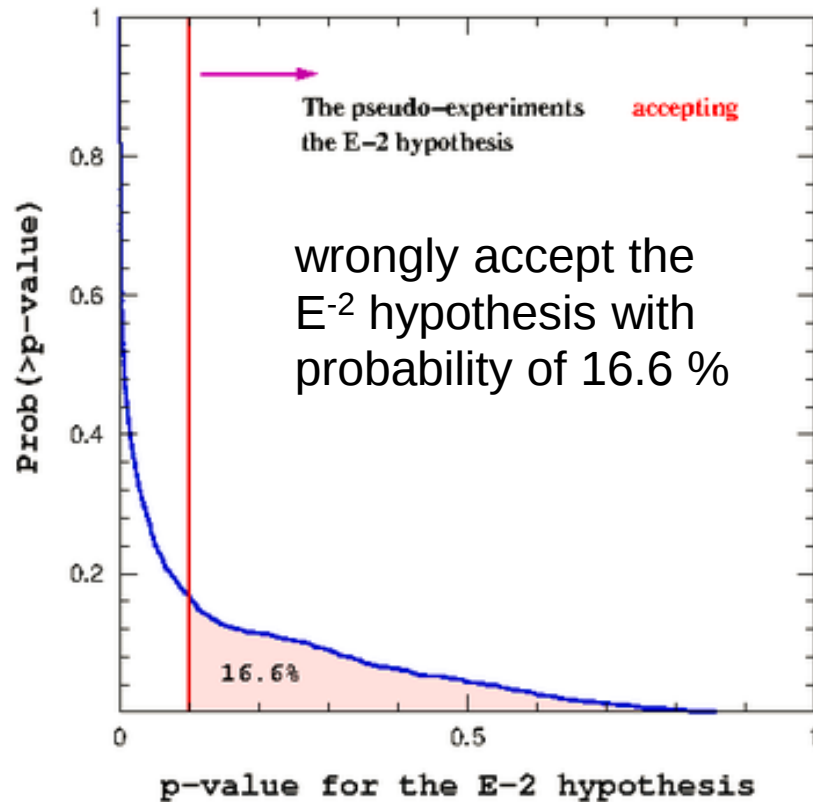
test of E-2 Vs GZK	$x^2 \equiv \ln \Lambda_{\text{GZK}/E^{-2}}$		$x^2 \equiv \ln \Lambda_{E^{-2}/\text{GZK}}$	
	log(NPE)	cos(zenith)	p-value for GZK	p-value for E ⁻²
case A (a GZK-like event detection)	5.75	0.025	0.66	0.051
case B (a low NPE event detection)	4.65	0.025	0.0852	0.63

reject the E⁻² hypothesis by 95 % C.L.

reject GZK hypothesis by ~ 90 % C.L.¹⁶

how likely we will not be mistaken? ($\Lambda_{\text{GZK}/E^{-2}}$)

replacing an event-basis case study by events generated by a pseudo-experiment of a GZK model. expected total number of events is 3.88. capability of confirming GZK (or rejecting E^{-2} -like) when we detect a few events.



if we carry out the present IceCube EHE search 100 times, 83 out of 100 measurements yields the event detection that rejects the E^{-2} hypothesis at more than 90% C.L..

The model independent differential limit

$$\phi(E_\nu) = \frac{J_0}{\Delta \log E_\nu \ln 10} E_\nu^{-1}, \quad \log E_\nu \in (\log E_\nu - \frac{1}{2} \Delta \log E_\nu, \log E_\nu + \frac{1}{2} \Delta \log E_\nu)$$

J_0 , the normalization factor of the log differential spectrum, is considered as a free parameter. Build the likelihood ratio

$$\Lambda \equiv \frac{L_1}{L_0} = \frac{\prod_{jk} \text{Poisson}(k_{jk}, \mu_{jk}^{\text{BG}} + \mu_{jk}^{J^{\text{max}}})}{\prod_{jk} \text{Poisson}(k_{jk}, \mu_{jk}^{\text{BG}} + \mu_{jk}^{J_0})}$$

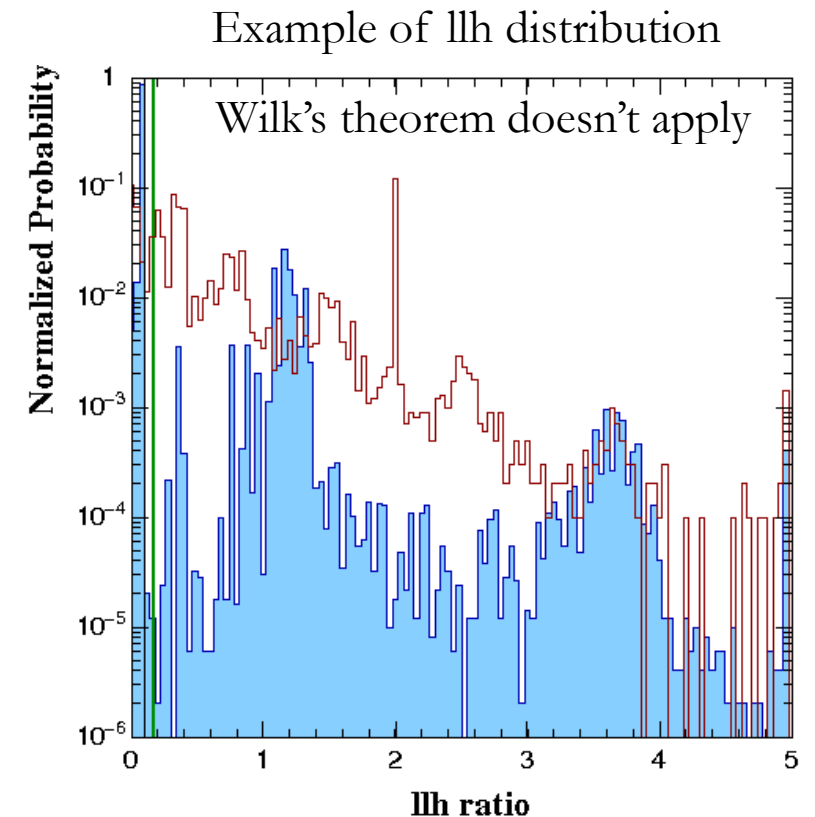
where J^{max} maximises the likelihood and calculate the distribution of $x^2 = \ln \Lambda$ by running replica experiments. Repeat these steps with varying J_0 and search for the upperlimit J_0^{90}

$$p = \text{Pr}(x^2 > x_{\text{obs}}^2) = 0.1.$$

differential upper limit of flux at 90 % C.L for a given neutrino energy of E_ν .

Few thoughts on the Poisson-binned likelihood ratio

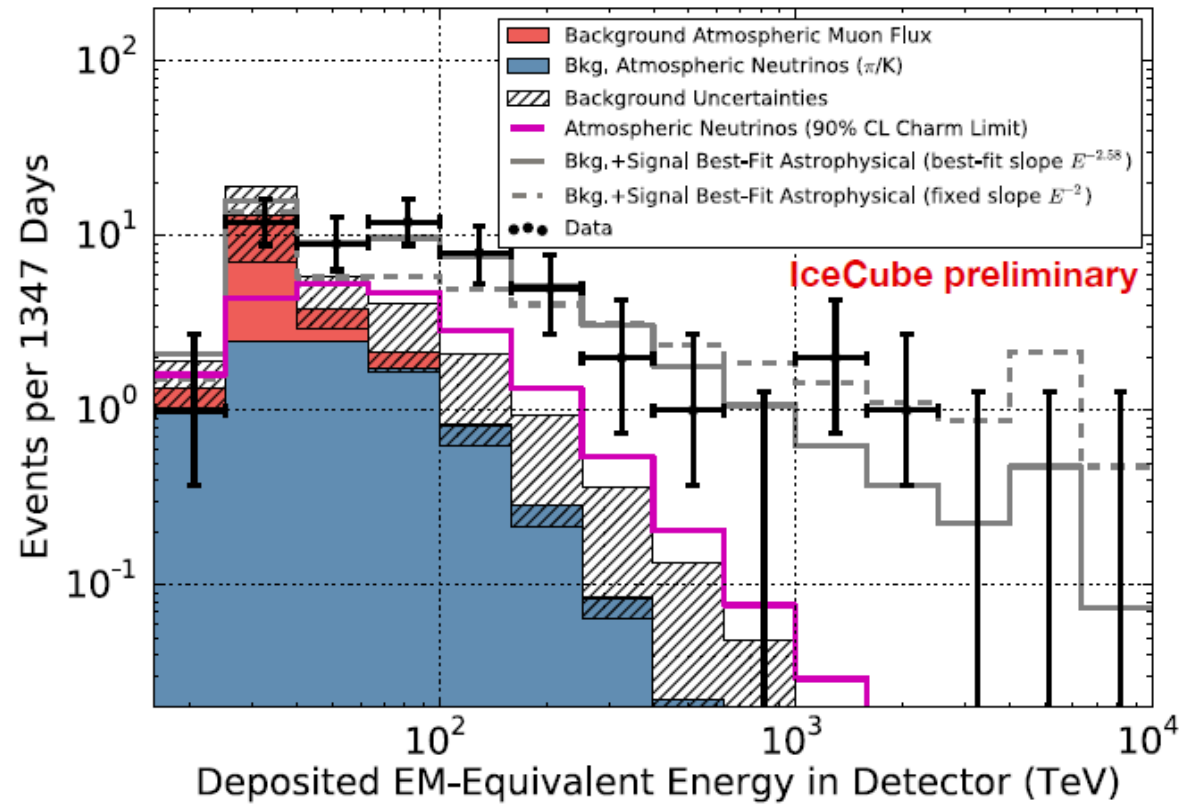
- Pros:
 - Automatically taking into account full info available
 - Can be used for fitting when there are small number of events
- Cons:
 - Computationally expensive
 - How to decide on binning?
- Aware:
 - Possible nuisance parameter. E.g. cut-off energy of falling spectrum, which adds more work for computation.
- **Questions:**
 - Is there existing package to accelerate the calculation?
 - Is it possible to calculate p-value or confidence level **without running pseudo-experiments**. In the Poisson domain where many bins are null observed, the PDF of likelihood ratio are different from χ^2 distribution based on Wilk's theorem.



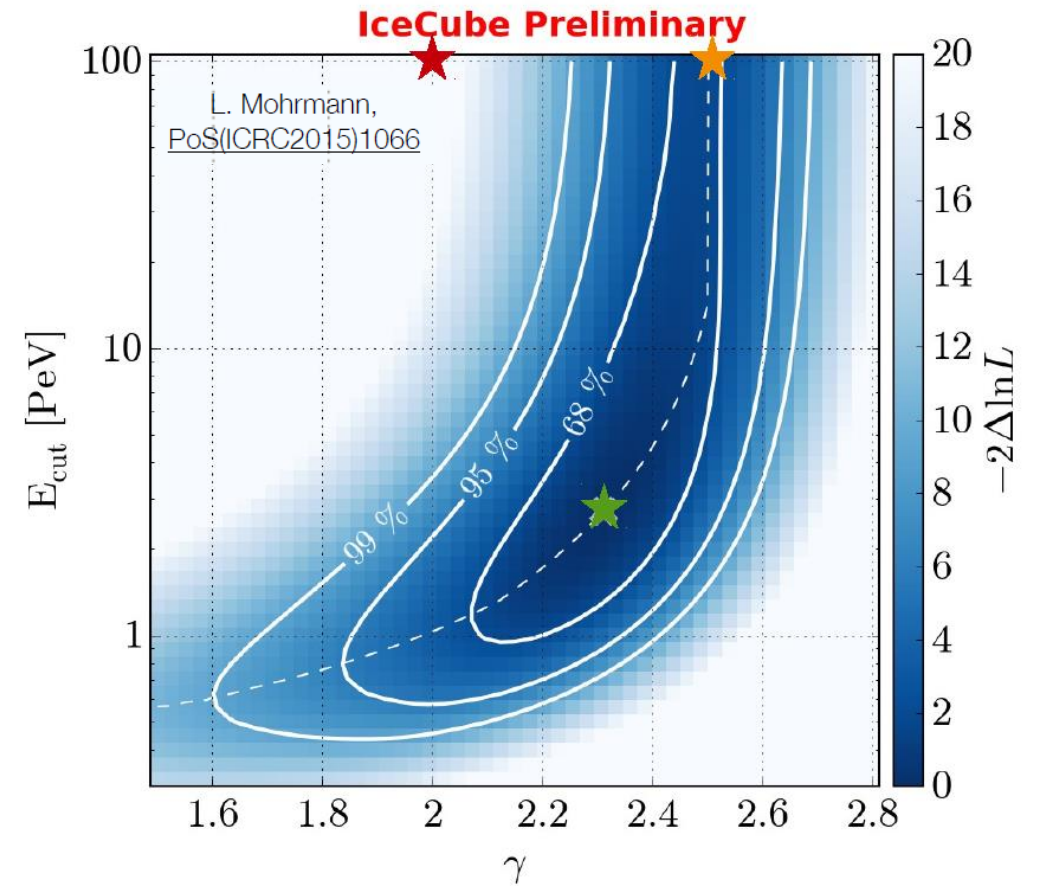
A new search – Is there a cut-off?

$$\Phi_v = \phi \times \left(\frac{E}{100 \text{ TeV}} \right)^{-\gamma}$$

$$\Phi_v = \phi \times \left(\frac{E}{100 \text{ TeV}} \right)^{-\gamma} \times \exp(-E/E_{\text{cut}})$$

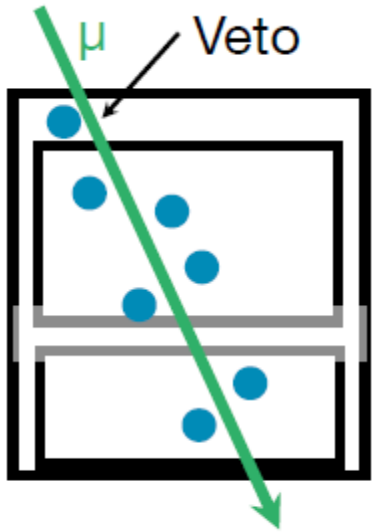


C. Kopper et al, [PoS\(ICRC2015\)1081](#)

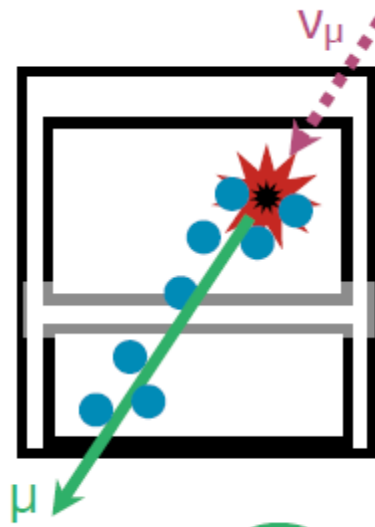


Multi-flavour approach

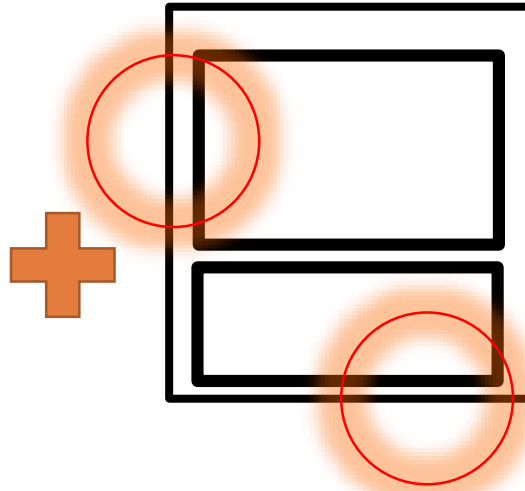
Through-going tracks



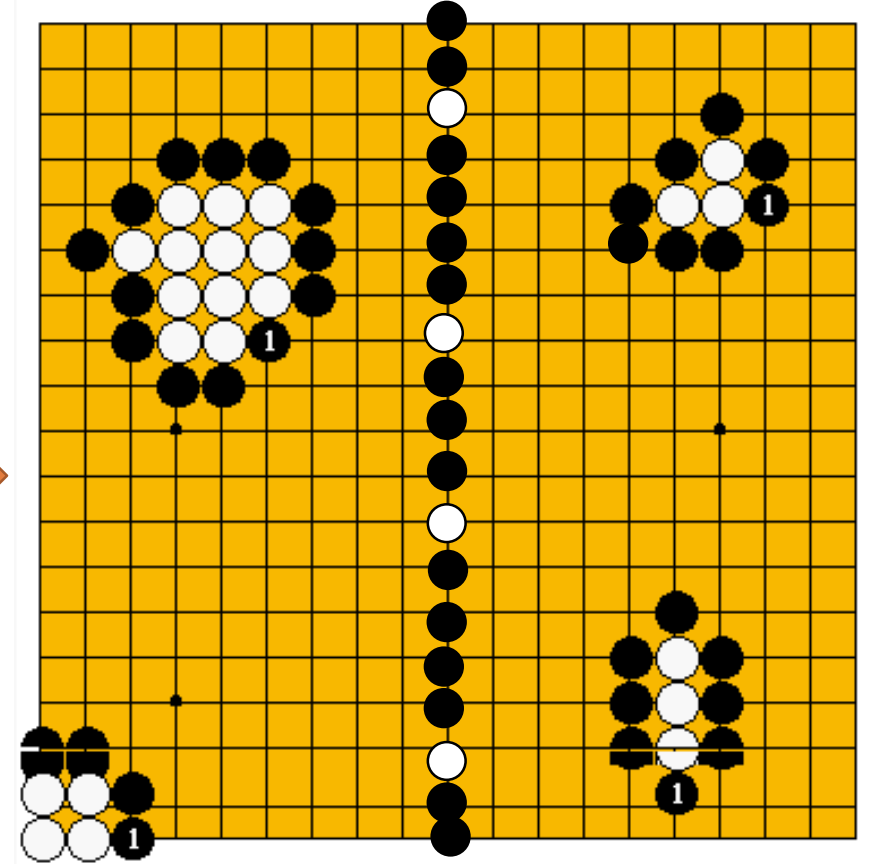
starting tracks / cascade



C. Kopper



Uncontained cascades



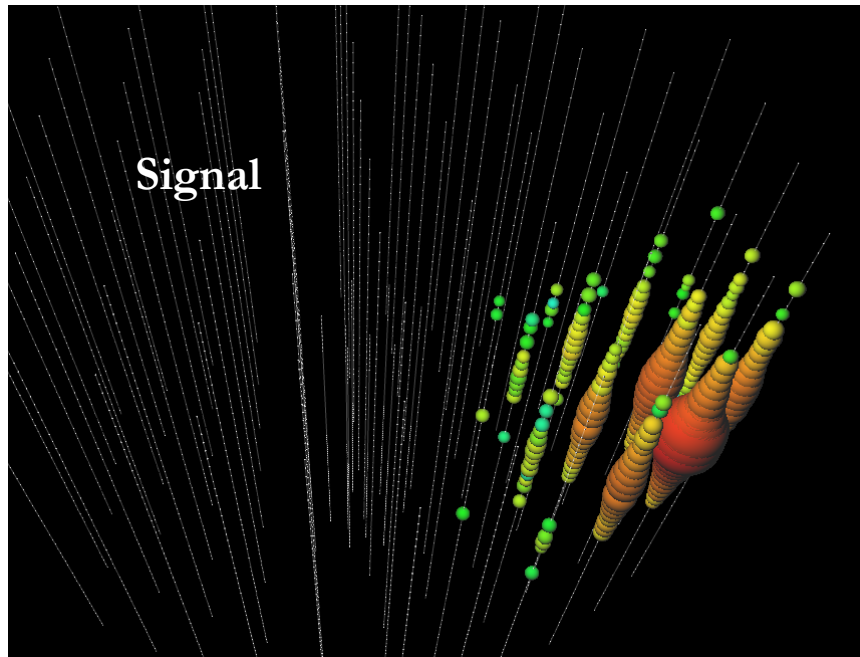
IceCube-Go

Using Boosted Decision Tree for classification

- Regarding **blinding/unblinded** analysis:

- Should we use data for training?

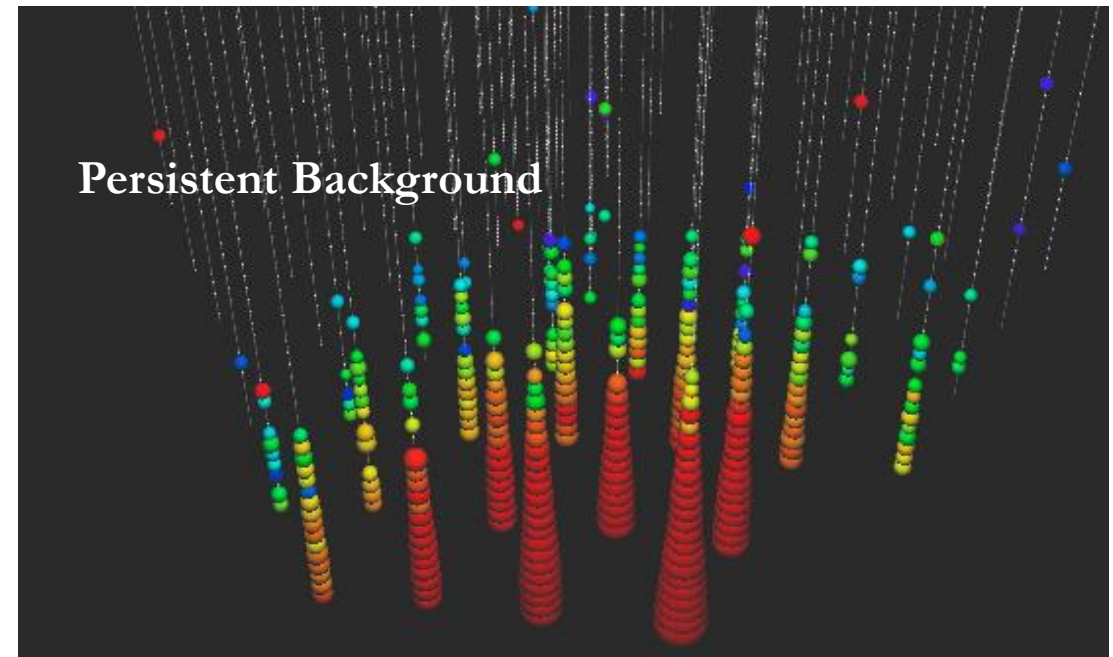
- Pros: no systematics due to modelling / unknown physics
 - Cons: not enough statistics from burn-samples
mis-labelling



- Regarding **event reconstruction**:

- How to improve partially contained cascades reconstruction? (at the moment likelihood-based reconstruction method using time-space and signal info)

- Good news: forward spectrum Bad news: larger energy bias (use BDT regression for corrections?)



Goal: Applying Binned-Poisson likelihood ratio method for fitting

- Three free parameters – normalisation, cut-off energy and spectrum index
- Possibly adding the fourth parameter for charm fraction

$$\mu_{jk} = \lambda_{E^{-\gamma}} \times E^{-\gamma} \quad :H_0$$

$$\mu_{jk} = \lambda_{E_{cut}} \times E^{-\gamma} \times e^{-E/E_{cut}} \quad :H_1$$



$$\Lambda_{\frac{E_{cut}}{E^{-\gamma}}} = \frac{L_1}{L_0} = \frac{\prod_{jk} \text{Poisson}(k_{jk}, \mu_{jk}^{cut})}{\prod_{jk} \text{Poisson}(k_{jk}, \mu_{jk}^{power\ law})}$$

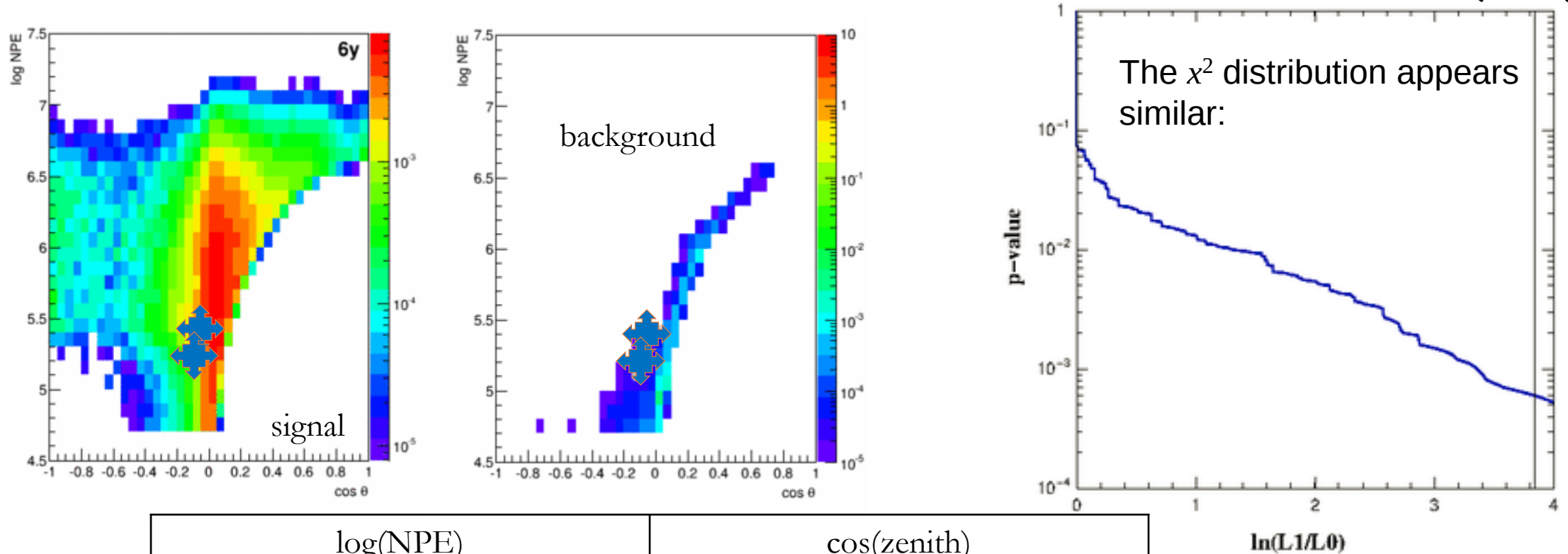


- Loop over each bin to find maximum H_1 and H_0
- Find contours for 90% c.d.l.

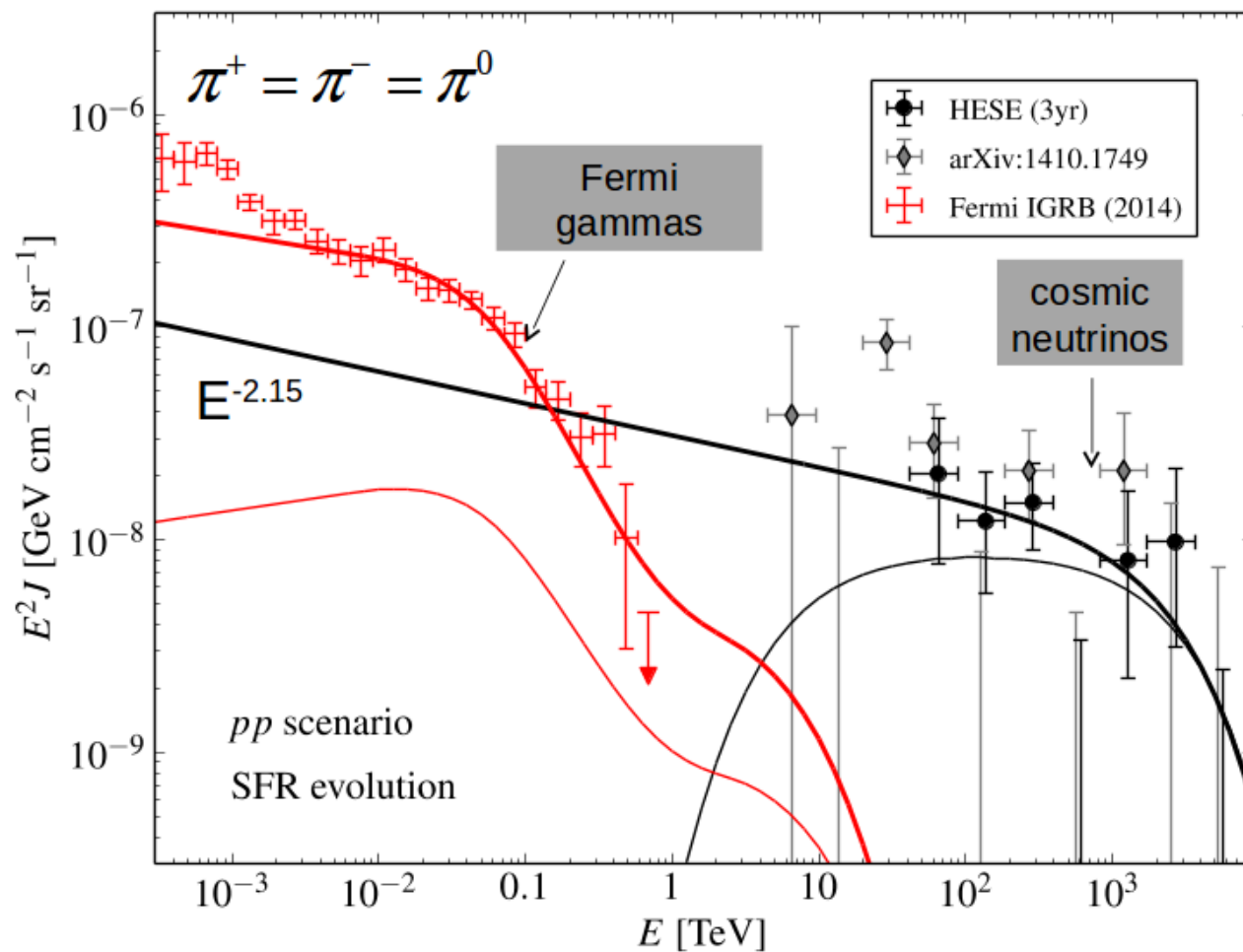
Conclusions

- IceCube is sensitive to 10 decades of energy and has rich topics in both particle physics and astrophysics
- A binned-Poisson likelihood ratio method has been discussed for applications of testing GZK / background / GZK models etc.
- The method improves the robustness of the test depending on the feature of candidates.
- It is computationally heavy
- The same technique is planned to be used for testing if there is a cut-off at the 1 – 10 PeV region.
- Looking for suggestions on how to slim the procedure for calculating confident bands.

P-values from **likelihood ratio** vs **cut-and-count** (IV)



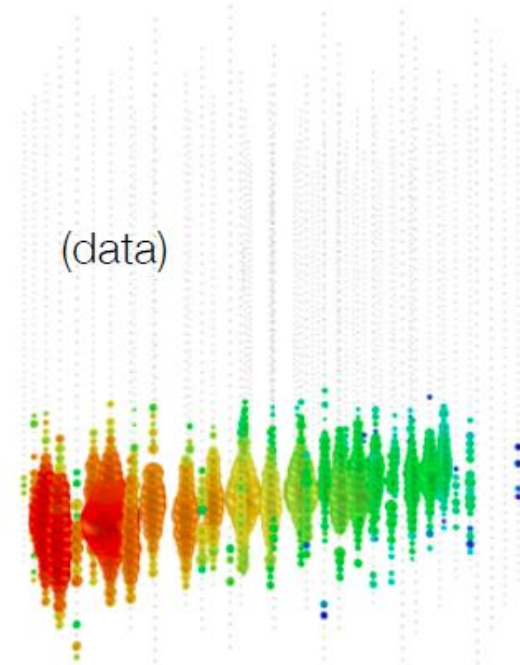
$\log(\text{NPE})$	$\cos(\text{zenith})$
5.45	-0.025
5.25	-0.125
p-value by the likelihood ratio	p-value by the cut-and-count
6.30×10^{-4}	1.378×10^{-2} (2.2σ - BG=0.176, obs=2)



Experiment in pure ice

- Introduction see the morning talk from JP.
- This helps light to travel far, so we could have larger detectors and yet still sensitive to 100 TeV. ?

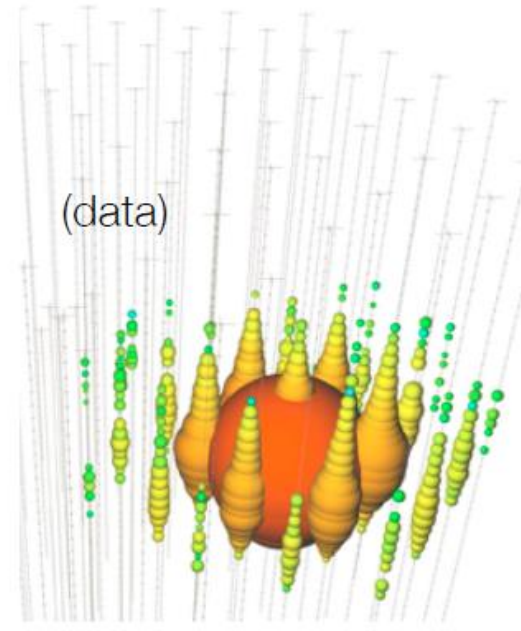
Charged-current ν_μ



Up-going track

Factor of ~2 energy resolution
< 1 degree angular resolution

Neutral-current / ν_e

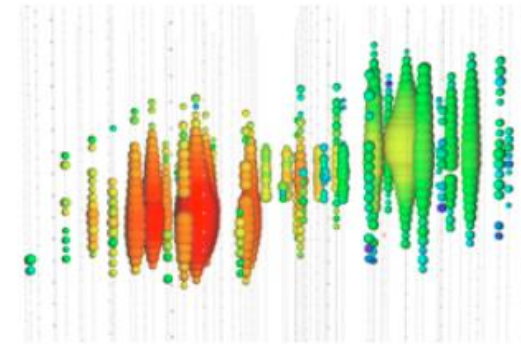


**Isolated energy
deposition (cascade)
with no track**

15% deposited energy resolution
10 degree angular resolution (above 100 TeV)

Charged-current ν_τ

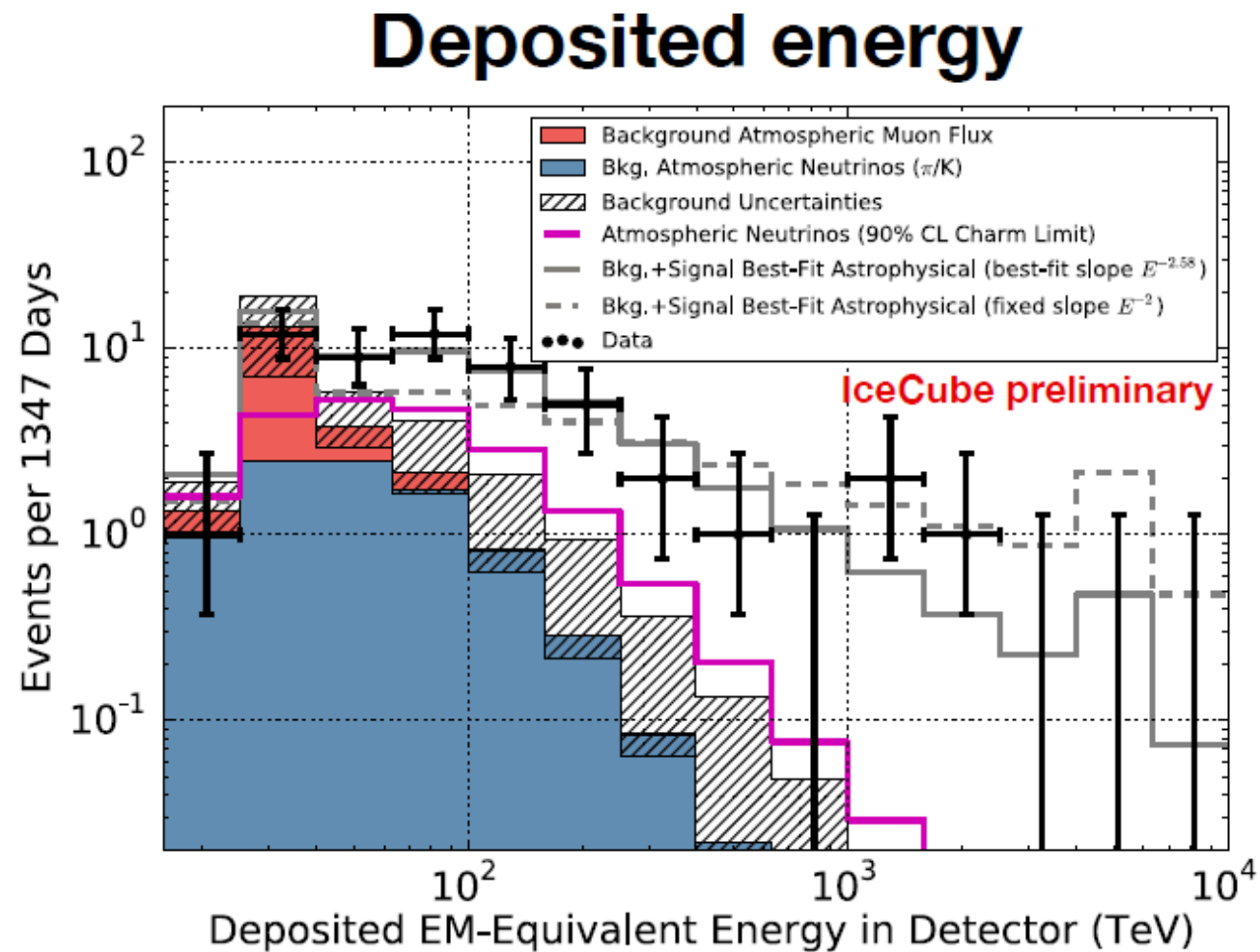
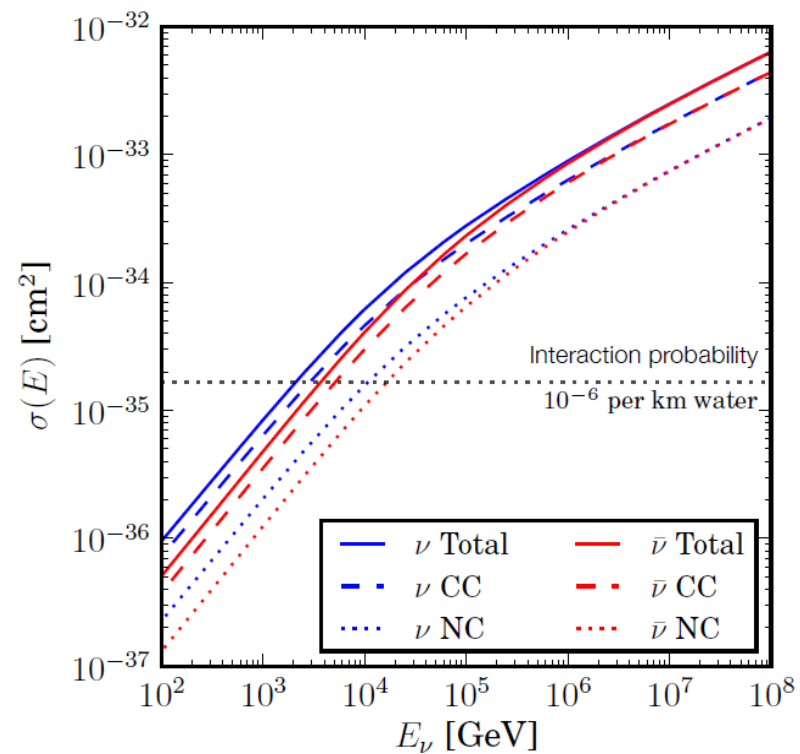
(simulation)



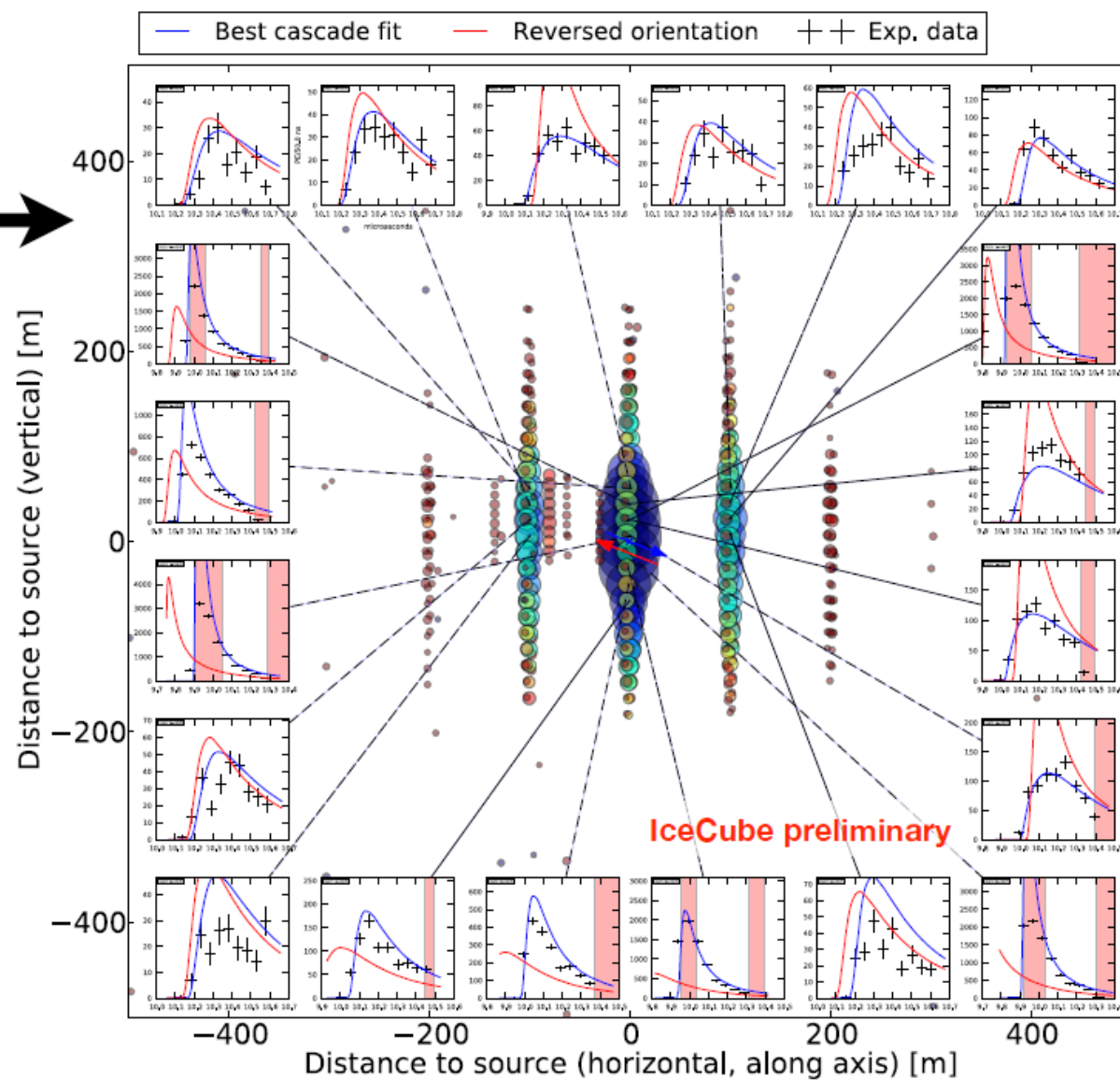
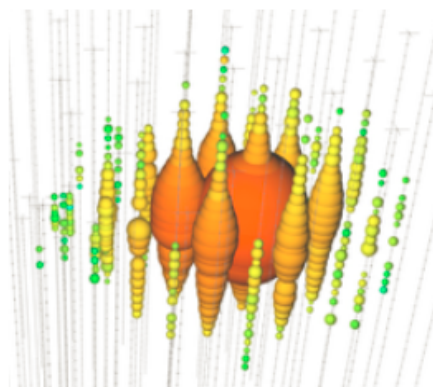
“Double-bang”

(none observed yet: τ
decay length is 50 m/PeV)

Early  Late



C. Kopper et al, [PoS\(ICRC2015\)1081](#)



Test Including energy PDF of the two events

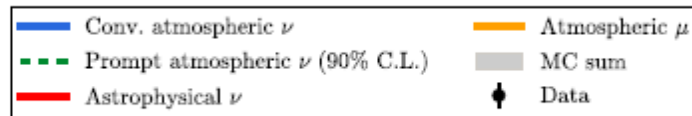
p-value =

Use the Kolmogorov-Smirnov statistics

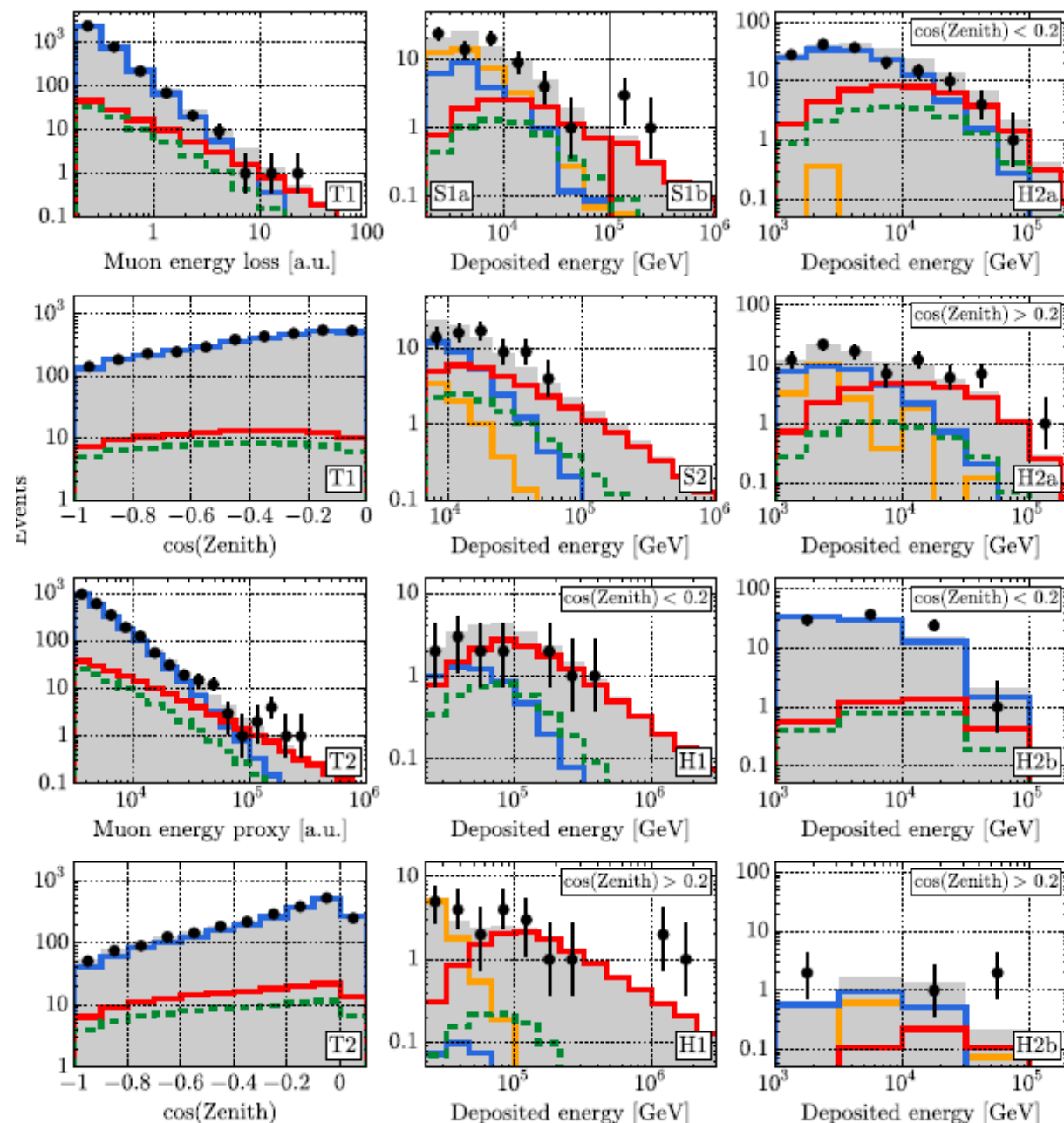
$$\int d\log E_{\text{Bert}} \rho_{\text{Bert}}(\log E_{\text{Bert}}) \int d\log E_{\text{Ernie}} \rho_{\text{Ernie}}(\log E_{\text{Ernie}}) P_{\text{KS}}(\log E_{\text{Bert}}, \log E_{\text{Ernie}})$$

p-values are found to be $4 \sim 8 \times 10^{-2}$ dependent on the models

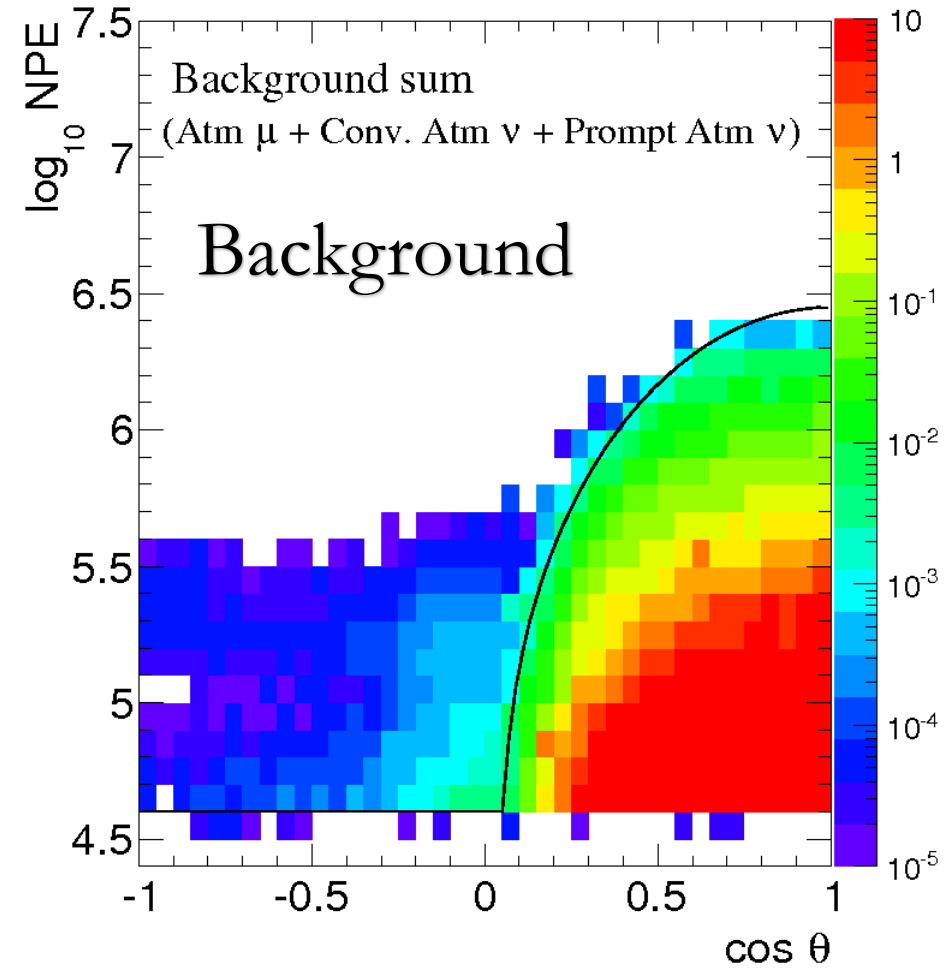
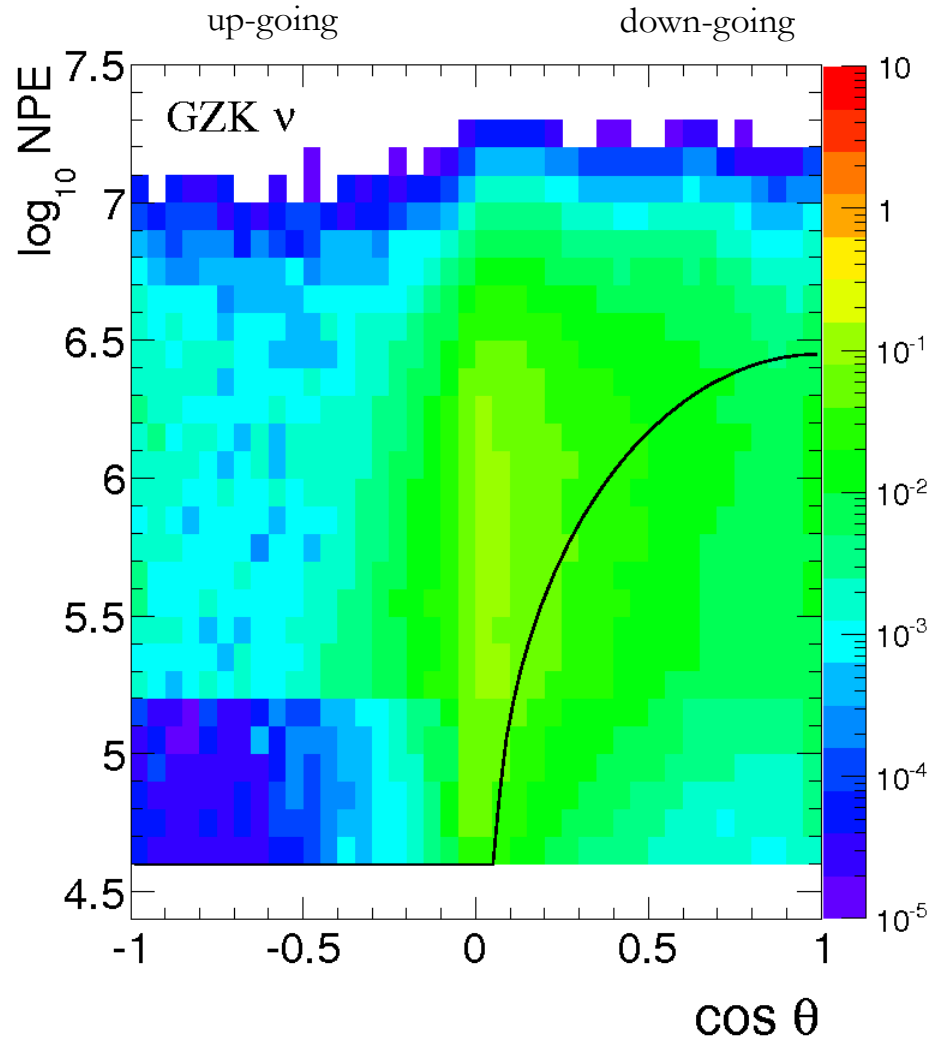
- >90% CL the two events can not be explained by cosmogenic neutrinos
- Let us leave the question of “then what are they?” to dedicated follow up analyses
- Here, we focus on the fact that **we have not seen the cosmogenic neutrinos flux so far with IceCube**



L. Mohrmann,
ApJ 809, 98 (2015)



Event selection for GZK neutrinos



- Simple cuts on zenith angle and Number of PhotoElectrons (NPE, as energy proxy)