# Small  $x$  Scattering using Gauge/Gravity Duality

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Holography and QCD - Recent progress and challenges Tokyo, Tuesday, September 24, 2013



<span id="page-0-0"></span>**FACULDADE DE CIÊNCIAS** UNIVERSIDADE DO PORTO

JHEP 1011 (2010) 051 Phys.Rev. D86 (2012) JHEP 1309 (2013) 084

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- $\blacktriangleright$  The BFKL equation sums the leading  $\log \frac{1}{x}$  diagrams for interaction of gluon on gluon, and leads to power behaviour for the cross section - QCD Pomeron.
- $\blacktriangleright$  This perturbative QCD approach works at high  $Q^2$ , and the goal is to extend it as much as possible into the low  $Q^2$  region, typically up to somewhere of the order  $Q^2 = 1 - 4 GeV^2$ .

### At very small  $x$ , non-linear effects also become important.

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 $\triangleright$  Our goal is to apply an alternative method to study the non-perturbative and saturation regions, and also see how much can they be applied to the higher  $Q^2$  region as well.

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If exchanged particle has spin  $i$ 

$$
A(s,t) \sim s^j
$$

• Optical theorem:

$$
\sigma_{tot} = \frac{1}{s} \Im A(s, 0)
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 $\blacktriangleright$   $A(j, t)$  will have as singularities poles at integer j for fixed t. As we change  $t$ , the position of the pole will change, leading to a trajectory

$$
j = \alpha(t)
$$

 $\blacktriangleright$  We can write  $A(s, t)$  as a contour integral in the complex plane

$$
A^{\pm}(s,t) = 8\pi \sum_{j=0}^{\infty} (2j+1) A_j^{\pm}(t) (P_j(z_t) \pm P_j(-z_t))
$$
  
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- $\blacktriangleright$   $\alpha_i^{\pm}(t)$  is the position of the pole in the  $j$  plane.
- $\triangleright$  Take advantage of the asymptotic form of the Legendre polynomials

$$
\sqrt{\pi}P(j,z) \sim \frac{\Gamma(j+1/2)}{\Gamma(j+1)}(2z)^j \quad \Re j \ge -1/2
$$

$$
A^{\pm}(s,t) \sim (1 \pm e^{-i\pi\alpha^{\pm}(t)})\beta(t)(\frac{s}{s_0})^{\alpha^{\pm}(t)}.
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- $\blacktriangleright$  The intercept  $\alpha(0) > 1$  leading to non-vanishing

$$
\sigma_{tot}\sim s^{\alpha(0)-1}
$$

 $\blacktriangleright$  According to the Froissart bound

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\sigma_{tot} \leq \pi c \log^2(\frac{s}{s_0})
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- $\blacktriangleright$  The eikonal approximation

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A(s, -\mathbf{q}_{\perp}^2) = -2is \int d^2b \, e^{-i\mathbf{b}_{\perp} \cdot \mathbf{q}_{\perp}} \left(e^{i\chi(s,b)} - 1\right)
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- Satisfies the unitarity bound, as long as  $\Im \chi > 0$
- $\triangleright$  We can expand the exponential to get

$$
A(s, -\mathbf{q}_{\perp}^2) = -2is \int d^2b e^{-i\mathbf{b}_{\perp}\cdot\mathbf{q}_{\perp}}(i\chi + \frac{(i\chi)^2}{2} + \cdots).
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We will now turn to using the  $AdS/CFT$  correspondence to study strong coupling. The correspondence relates operators in  $\mathcal{N} = 4SYM$  to states in string theory on  $AdS_5\times S^5$ . It is valid for large 't Hooft coupling  $\lambda.$ 

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 $\triangleright$  We will work with the metric

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ds^{2} = \frac{R^{2}}{z^{2}}(dz^{2} + \eta_{\mu\nu}dx^{\mu}dx^{\nu}) + R^{2}d\Omega_{5}
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 $\triangleright$  The cutoff position will roughly correspond to

$$
z_0 \simeq \frac{1}{\Lambda_{QCD}}.
$$

$$
A_{W_L W_R} = \int d^2w \langle W_R w^{L_0 - 2} \bar{w}^{\tilde{L}_0 - 2} W_L \rangle
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A_{W_LW_R} = \langle W_R \mathcal{V}_P^+(T) \rangle \langle \mathcal{V}_P^-(T) W_L \rangle
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\mathcal{V}^{\pm}_P\stackrel{\text{def}}{=}\left(\frac{2}{\alpha'}\partial X^{\pm}\partial X^{\pm}\right)^{1+\frac{\alpha' t}{4}}e^{\mp ik\cdot X}
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 $\triangleright$  We can show that this would lead to amplitudes

$$
A(s,t) \sim (\alpha's)^{\alpha(t)}
$$

$$
\mathcal{V}_P(j,\pm) = (\partial X^{\pm} \overline{\partial} X^{\pm})^{\frac{j}{2}} e^{\mp ik \cdot X} \phi_{\pm j}(r).
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► where  $\Delta_j=(r/R)^j(\Delta_0)(r/R)^{-j}.$  And  $\Delta_0$  is the scalar Laplacian in curved space.

 $\blacktriangleright$ 

 $\blacksquare$ 

$$
[j - 2 - \frac{\alpha' t}{2} e^{-2u} - \frac{1}{2\sqrt{\lambda}} (\partial_u^2 - 4)] \phi_{\pm}(u) = 0
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\begin{split} &\mathcal{V}_P(j,\nu,k,\pm) \sim \\ &(\partial X^\pm \overline{\partial} X^\pm)^{\frac{j}{2}} e^{\mp i k\cdot X} e^{(j-2)u} K_{\pm 2i\nu}(|t|^{1/2} e^{-u}) \end{split}
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 $\blacktriangleright$  and for the amplitude we would have

$$
\mathcal{T}^{(+)} \sim \int \frac{dj}{2\pi i} \int \frac{d\nu \nu \sinh 2\pi \nu}{\pi} \frac{\Pi(j) s^j}{j - j_0^{(+)} + \mathcal{D}\nu^2} \times \langle \mathcal{W}_{R0} \mathcal{V}_P(j, \nu, k, -) \rangle \langle \mathcal{V}_P(j, \nu, k, +) \mathcal{W}_{L0} \rangle
$$

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$$
  

$$
(\partial X^{\pm} \overline{\partial} X^{\pm})^{\frac{j}{2}} e^{\mp ik \cdot X} e^{(j-2)u} K_{\pm 2i\nu}(|t|^{1/2} e^{-u})
$$

 $\blacktriangleright$  and for the amplitude we would have

$$
\mathcal{T}^{(+)} \sim \int \frac{dj}{2\pi i} \int \frac{d\nu \nu \sinh 2\pi \nu}{\pi} \frac{\Pi(j) s^j}{j - j_0^{(+)} + \mathcal{D}\nu^2} \times \langle \mathcal{W}_{R0} \mathcal{V}_P(j, \nu, k, -) \rangle \langle \mathcal{V}_P(j, \nu, k, +) \mathcal{W}_{L0} \rangle
$$

ighth  $j_0^{(+)}$  $0^{(+)}$  given by

$$
j_0^{(+)}=2-2/\sqrt{\lambda}+O(1/\lambda) \; .
$$

and  $\mathcal{D}=2/\sqrt{\lambda}$ .

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$$

Due to conformal invariance,  $\chi$  is a function of only two variables

$$
L = \log(1 + v + \sqrt{v(2 + v)})
$$

$$
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 $\triangleright$  When  $t \neq 0$ , we will use an approximation

$$
\chi_{hw}(\tau, l, z, \bar{z}) = C(\tau, z, \bar{z}) D(\tau, l) \chi_{hw}^{(0)}(\tau, l, z, \bar{z})
$$

$$
\mathcal{F}(\tau, z, \bar{z}) = 1 - 4\sqrt{\pi \tau} e^{\eta^2} \operatorname{erfc}(\eta), \qquad \eta = \frac{-\log(z\bar{z}/z_0^2) + 4\tau}{\sqrt{4\tau}}
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 $\triangleright$  Note that these methods can be generalized to Odderon exchange as well [Brower, MD, Tan, 2008].

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- $\blacktriangleright$  At  $t = 0$ Weak coupling:

$$
\mathcal{K}(k_{\perp}, k'_{\perp}, s) = \frac{s^{j_0}}{\sqrt{4\pi \mathcal{D} \log s}} e^{-(\log k_{\perp} - \log k'_{\perp})^2 / 4\mathcal{D} \log s}
$$

$$
j_0 = 1 + \frac{\log 2}{\pi \lambda}, \quad \mathcal{D} = \frac{14\zeta(3)}{\pi} \lambda / 4\pi^2
$$

Strong coupling:

$$
\mathcal{K}(z, z', s) = \frac{s^{j_0}}{\sqrt{4\pi \mathcal{D} \log s}} e^{-(\log z - \log z')^2 / 4\mathcal{D} \log s}
$$

$$
j_0 = 2 - \frac{2}{\sqrt{\lambda}}, \quad \mathcal{D} = \frac{1}{2\sqrt{\lambda}}
$$

Let us enumarete the parameters that appear in our expressions that will be common to all the processes we consider next:

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- $\triangleright$  Only three parameters for the conformal model, and 4 for the hard wall.

# **Outline**

[Introduction](#page-2-0)

[Pomeron in AdS](#page-12-0)

[Deep Inelastic Scattering](#page-90-0)

[Deeply Virtual Compton Scattering](#page-149-0)

[Vector Meson Production](#page-178-0)

<span id="page-90-0"></span>[Conclusions](#page-197-0)

Deep Inelastic Scattering is the scattering between an electron and a proton.

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The basic kinematical variables we need for describing this process are

 $\blacktriangleright$  center of mass energy  $s$ , the virtuality  $Q^2$  and the scaling variable  $x$ 

$$
s = -(P+k)^2
$$
  
\n
$$
Q^2 = -q^{\mu}q_{\mu} = -(k-k')^2 > 0
$$
  
\n
$$
x \approx \frac{Q^2}{s}
$$

 $\triangleright$  We are interested in calculating the structure function

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F_2(x, Q^2) = x \sum_{q} e_q^2 [q(x, Q^2) + \bar{q}(x, Q^2)]
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 $\triangleright$  To calculate the total cross section we can use the optical theorem

$$
\sigma_{tot} = \frac{1}{s} \Im A(s, t = 0)
$$

Let us now discuss the data we compared with.

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- $\blacktriangleright$  At lower or higher  $Q^2$  there is no experimental data with  $x < 0.01.$

As we saw, we are going to calculate  $F_2$  by relating it to the total cross section. This in turn we will calculate using the optical theorem, for which we need the forward scattering amplitude at  $t = 0$ . Putting it all together, using the eikonal approximation we get [Brower, MD, Sarcevic, Tan, 2010] As we saw, we are going to calculate  $F_2$  by relating it to the total cross section. This in turn we will calculate using the optical theorem, for which we need the forward scattering amplitude at  $t = 0$ . Putting it all together, using the eikonal approximation we get [Brower, MD, Sarcevic, Tan, 2010]

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We need to supply the wavefunctions for the photon and the proton. For the photon we will consider an R boson propagating through the bulk that couples to leptons on the boundary (Polchinski, Strassler 2003)

$$
P_{13}(z,Q^2) = \frac{1}{z}(Qz)^2(K_0^2(Qz) + K_1^2(Qz)),
$$

We would also need a wavefunction associated to the proton  $\phi_p(z)$ . For the current analysis, we will assume that the wave function is sharply peaked near the IR boundary  $z_0$ , with  $1/Q' \leq z_0$ , with  $Q'$  of the order of the proton mass. For simplicity, we will simply replace  $P_{24}$  by a sharp delta-function
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- $\blacktriangleright$  The structure function  $F_2$  can be expressed as

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Where

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- $\blacktriangleright$  The difference between the conformal and confinement depends on the size of the function  $F$ .

Let us make some comments about these expressions.

 $\blacktriangleright$  Both of them have a factor

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e^{(1-\rho)\tau} \sim (\frac{1}{x})^{1-\rho}
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- $\blacktriangleright$  This will violate the Froissart bound.
- $\triangleright$  The difference between the conformal and confinement depends on the size of the function  $F$ .
- ►  $\mathcal F$  at fixed  $z, z'$ , goes to 1 as  $\tau \to 0$  and to  $-1$  as  $\tau \to \infty$ . Hence, at small x,  $\mathcal{F} \rightarrow -1$  and confinement leads to a partial cancelation for the growth rate. Since  $\mathcal F$  is continuous, there will be a region over which  $\mathcal{F} \sim 0$ , and, in this region, there is little difference between the hard-wall and the conformal results.

Let us look at the graph of  $\mathcal F$  in the region where there is data

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Figure: Contour plot for coefficient function  $\mathcal F$  as a function of  $log(1/z)$  and  $\log(1/x)$ , with  $z' \simeq z_0$  fixed,  $z_0 \sim \Lambda_{QCD}^{-1}$ .

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Djurić — [Small x AdS/CFT](#page-0-0) [Deep Inelastic Scattering](#page-90-0) 34/61

### Plots



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\frac{\alpha_0 t}{2} \int_0^{\tau} d\tau' \int_0^{z_0} d\tilde{z} \, \tilde{z}^2 \times
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\text{Dijuri} = \text{Small} \times \text{AdS}/\text{CFT}
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\text{Dep Inelastic Scattering}
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36/61
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#### Plots



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Figure:  $Q^2$ -dependence for effective Pomeron intercept,  $\alpha_P = 1 + \epsilon_{eff}.$ 

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- ► Comparable number of parameters and  $\chi^2$  (Kowalski et al  $\chi^2 \thicksim 1.2$ ), but the advantage of our approach is we can go to low  $Q^2$  (the data with lowest  $Q^2$  is at  $0.10 GeV^2)$  whereas their approach stops at  $Q^2 = 4 GeV^2$ .

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[Vector Meson Production](#page-178-0)

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 $\blacktriangleright$  the scaling variable

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x \thickapprox \frac{Q^2}{s}
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\Psi(z) = -C \frac{\pi^2}{6} z^3 K_1(Qz), \ \ \Phi(\bar{z}) = \bar{z}^3 \delta(\bar{z} - z_{\star})
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- $\triangleright$  We have 52 points for the differential and 44 points for the cross section.

 $\triangleright$  Fitting the differential cross section to the data, we get [Costa, MD, 2012]

 $g_0^2 = 1.95 \pm 0.85$ ,  $z_* = 3.12 \pm 0.160 \text{GeV}^{-1}$ ,  $\rho = 0.667 \pm 0.048$ .

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Running the same fit using the eikonal approximation, instead of just keeping single pomeron exchange, does not improve the fits, due to the fact that the size of  $\chi$  is small in this kinematical region.

 $\blacktriangleright$  The parameters we obtain by fitting are

 $g_0^2 = 2.46 \pm 0.70$ ,  $z_* = 3.35 \pm 0.41 \text{ GeV}^{-1}$ ,  $\rho = 0.712 \pm 0.038$ ,  $z_0$  = 4.44 ± 0.82 GeV<sup>-1</sup>.

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#### What is Vector Meson Production?

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The vector mesons consist of a quark-antiquark pair, and have the same quantum numbers as the photon,  $J^{PC}=1^{--}.$  The production of the  $\rho^0, \omega, \phi$  and  $J/\Psi$  was measured at HERA.

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\frac{d\sigma}{dt}(x,Q^2,t) = \frac{|W|^2}{16\pi s^2},
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- In this analysis we use  $[Costa, MD, Evans, 2013]$

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\Psi_n(z) = -(\sqrt{C\frac{\pi^2}{6}}z^2 K_n(Qz))(\frac{\sqrt{2}}{\xi J_1(\xi)}z^2 J_n(mz)), \ \ \Phi(\bar{z}) = \bar{z}^3 \delta(\bar{z} - z_\star)
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- $\triangleright$  We will look at both the differential and total exclusive cross sections.



#### Differential cross section for the  $\rho$  meson:



0 0.2 0.4 0.6 0.8 1  $10^{-1}$ <sub>0</sub>  $10<sup>0</sup>$  $10<sup>1</sup>$  $10^{2}$ t de e  $\mathrm{W} = 45~\mathrm{GeV}$  $-Q^2 = 5 \ GeV^2$ 10<sup>-1</sup>**0** 0.1 0.2 0.3 0.4 0.5 0.6 0.7  $10<sup>0</sup>$  $\frac{10^{1}}{25}$  $10^2$  $0.4$ φ**, W = 75 GeV**  $= 3.3 \text{ GeV}^2$  $Q^2 = 6.6 \ GeV^2$  $= 15.8 \ GeV^2$ 0 0.2 0.4 0.6 0.8 1 10−1  $10^{0}$  $10^{1}$  $10^{2}$ t dag a  $\rm W=102~GeV$  $-Q^2 = 5 \ GeV^2$ 0 0.2 0.4 0.6 0.8 1 10−1  $10<sup>0</sup>$  $10^{1}$  $10^2$ t dag a  $\mathrm{W} = 116~\mathrm{GeV}$  $-Q^2 = 5 \ GeV^2$ Djurić — [Small x AdS/CFT](#page-0-0) **Vector Meson Production** 63/61

Differential cross section for the  $\phi$  meson (hardwall model):



Differential cross section for the  $J/\Psi$  meson (hardwall model):

Cross sections for the conformal model:



Cross sections for the hardwall model:



# **Outline**

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# <span id="page-197-0"></span>**[Conclusions](#page-197-0)**

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The above order of lines is the opposite of what is generally thought. Is it an artifact of our model? Djurić — [Small x AdS/CFT](#page-0-0) [Conclusions](#page-197-0) 58/61

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- It might therefore be possible to extend some of the insights we gain even into the weak coupling regime.
- $\triangleright$  The hard wall model, although a simple modification of AdS, seems to capture effects of confinement well. Interesting to repeat some of the calculations using a different confinement model to identify precisely what features are model independent.

Some more work that is under way

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- $\triangleright$  Eventually it would be good to have a single set of parameters that fits several different processes.
- $\triangleright$  We can also try to use a different AdS model of confinement (for example the soft wall model [Brower, MD, Raben, Tan, in preparation]) and combine our methods with work by others (for example on the vector meson wavefunctions).

