

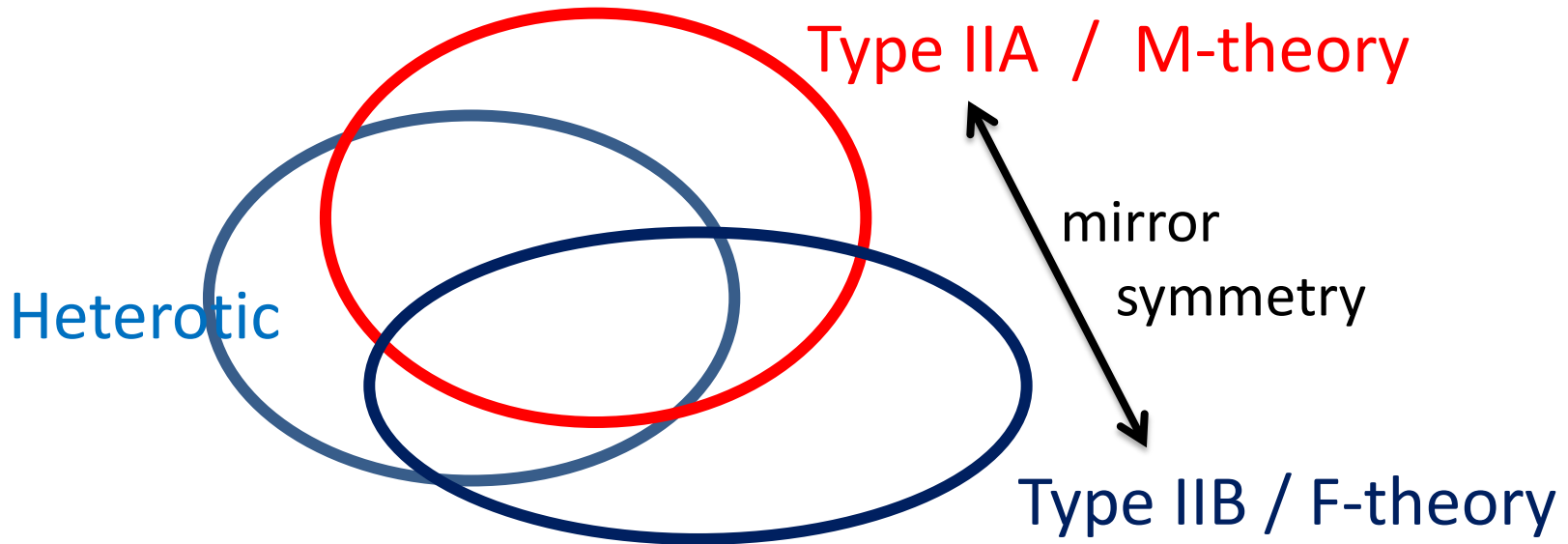
# Heterotic—IIA duality map of discrete data

Dec. 01, '16 String theory in greater Tokyo

Taizan Watari at IPMU

arXiv:1604.06437 with A.P.Braun (Oxford)

- Heterotic—Type II duality



- Profound phys / math from mirror symmetry
- How about Heterotic---Type II duality?

Yau-Zaslow conjecture '95, Katz--Klemm—Vafa conjecture,  
Harvey-Moore '95, Maulik—Pandharipande '07, .... and maybe more.

• Duality    Het  $\longleftrightarrow$  IIA @6D

$T^4$  Narain

K3 (B, metric)

$$\text{Isom}(\text{II}_{4,20}) \searrow O(4, 20; \mathbb{R}) \swarrow O(4) \times O(20)$$

– 16 SUSY charges preserved

– lift to 7D Het/  $T^3$   $\longleftrightarrow$  M-theory/ K3

Seiberg '88, Aspinwall Morrison '94, Vafa Witten '94,  
Harvey—Strominger '95, Bershadsky-Sadov-Vafa '95 ...

• Duality    Het  $\longleftrightarrow$  F-theory @8D

$T^2$  Narain

elliptic K3 cpx. str.

$$\text{Isom}(\text{II}_{2,18}) \searrow O(2, 18; \mathbb{R}) \swarrow O(2) \times O(18)$$

- Duality    Het  $\longleftrightarrow$  IIA @6D

$T^4$  Narain

K3

$$\text{Isom}(\text{II}_{4,20}) \backslash O(4, 20; \mathbb{R}) / O(4) \times O(20)$$

- 6D eff. theories w/ (1,1) SUSY  
**fibred adiabatically** over  $\mathbb{P}^1 \longrightarrow$  4D N=2 SUSY.

$$\text{Het} / "T^2 \times " K3 \longleftrightarrow \text{IIA} / \text{K3-fib. } CY_3 = M$$

Kachru Vafa '95    Klemm Lerche Mayr '95  
 Ferrara et.al. '95, Vafa Witten '95, .....

- fibre adiabatically over  $\mathbb{P}^1$ 
  - first step: specify a lattice polarization of K3 (IIA).

$$[U \oplus \Lambda_S] \otimes \mathbb{C} \oplus \Lambda_T \otimes \mathbb{C} \subset \Pi_{4,20} \otimes \mathbb{C}$$

$(k^8 + ik^9)$	“fixed” over $\mathbb{P}^1$	$(B + iJ)[K3]$
$(k^6 + ik^7)$	vary over $\mathbb{P}^1$	$\Omega(K3)$

– second: two aspects to study

- further discrete choices in fibration. Part I
- degeneration of fibre. not adiabatic. Part II

Part I:  
Duality Dictionary of Discrete Data

- fibre adiabatically over  $\mathbb{P}^1$ 
  - first step: specify a lattice polarization of K3 (IIA).

$$[U \oplus \Lambda_S] \otimes \mathbb{C} \oplus \Lambda_T \otimes \mathbb{C} \subset \Pi_{4,20} \otimes \mathbb{C}$$

$(k^8 + ik^9)$	“fixed” over $\mathbb{P}^1$	$(B + iJ)[K3]$
$(k^6 + ik^7)$	vary over $\mathbb{P}^1$	$\Omega(K3)$

– examples:

- quartic K3  $(4) \subset \mathbb{C}\mathbb{P}^3$

$$\Lambda_S = \langle +4 \rangle,$$

$$\Lambda_T = U^{\oplus 2} \oplus E_8^{\oplus 2} \oplus \langle -4 \rangle.$$

- elliptic K3

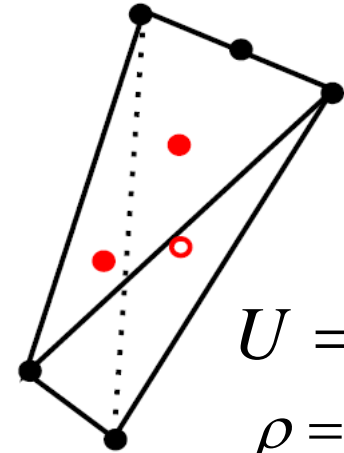
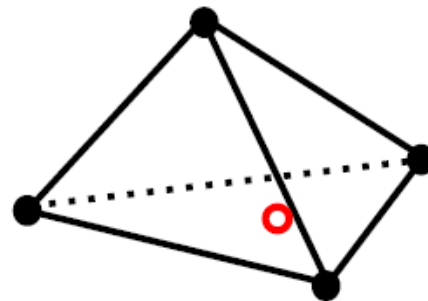
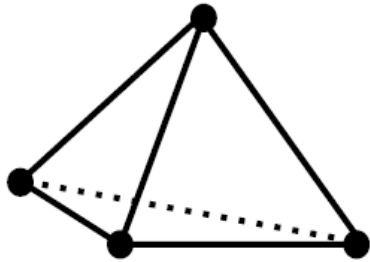
$$\Lambda_S = U,$$

$$\Lambda_T = U^{\oplus 2} \oplus E_8^{\oplus 2}.$$

- Multiple choices of lattice-pol. K3 fibration

toric data (polytopes)

$$\tilde{\Delta}_{K3} =$$



$$\Lambda_S =$$

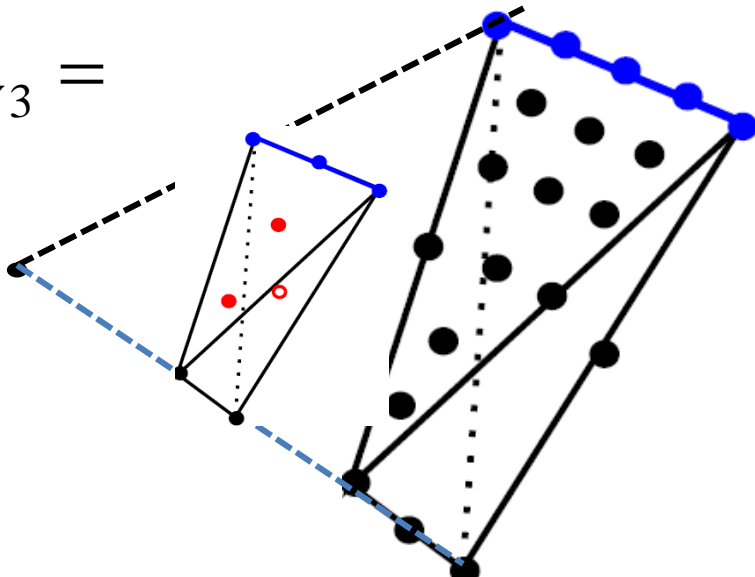
$$\langle +4 \rangle_{\rho=1}$$

$$\langle +2 \rangle_{\rho=1}$$

$$U = \Pi_{1,1}$$

$$\rho = 2.$$

$$\tilde{\Delta}_{CY3} =$$



Choose any one from

$$2\tilde{\Delta}_{K3} \cap \mathbb{Z}^{\oplus 3}$$

For  $h^{1,1}(M) = \rho + 1$ ,

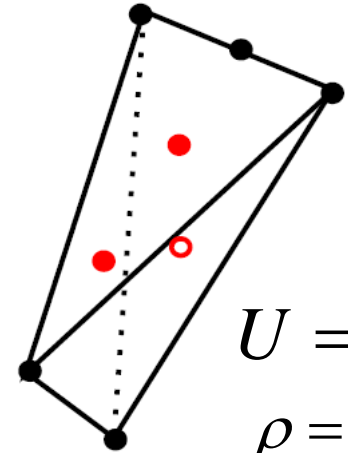
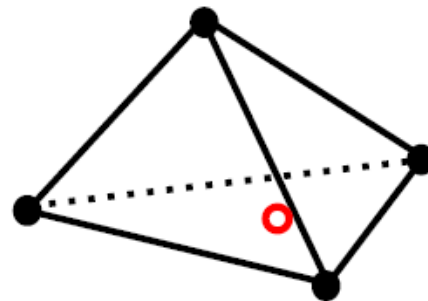
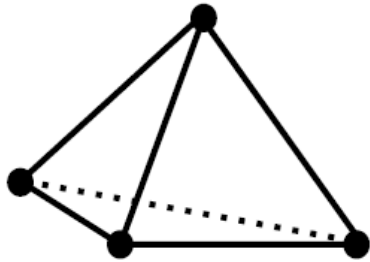
blue points only.



- Multiple choices of lattice-pol. K3 fibration

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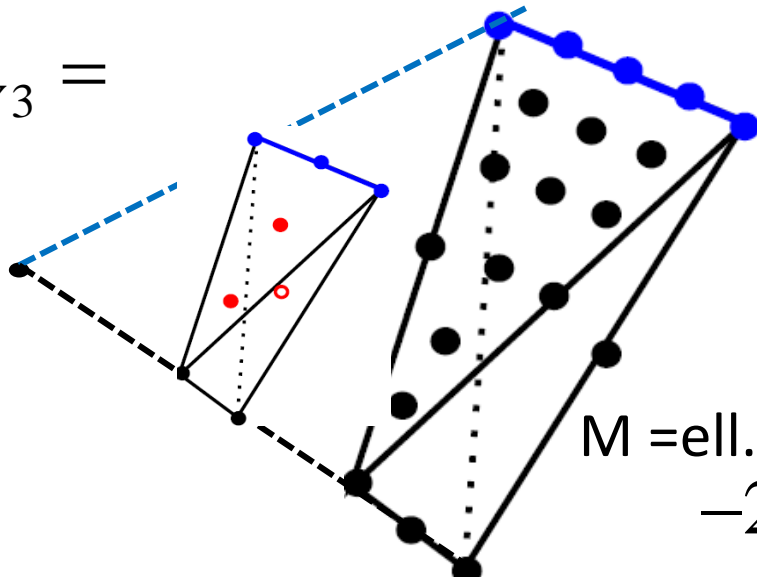
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$$\tilde{\Delta}_{CY3} =$$



$M = \text{ell.fibr. over } F_n$   
 $-2 \leq n \leq +2.$

Choose any one from

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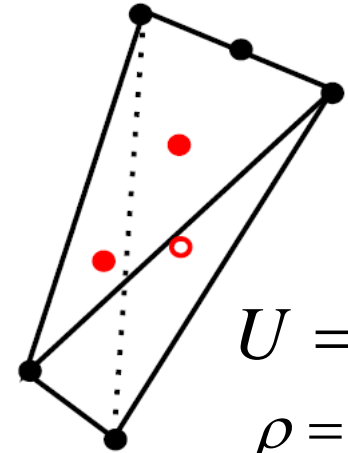
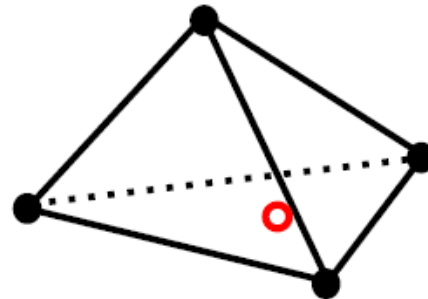
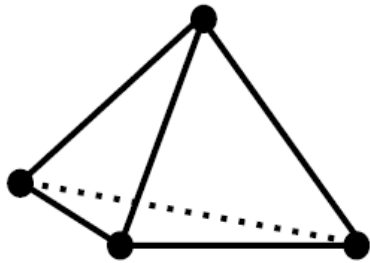
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**blue points only.**

Candelas Font '96

- Multiple choices of lattice-pol. K3 fibration

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$$\Lambda_S =$$

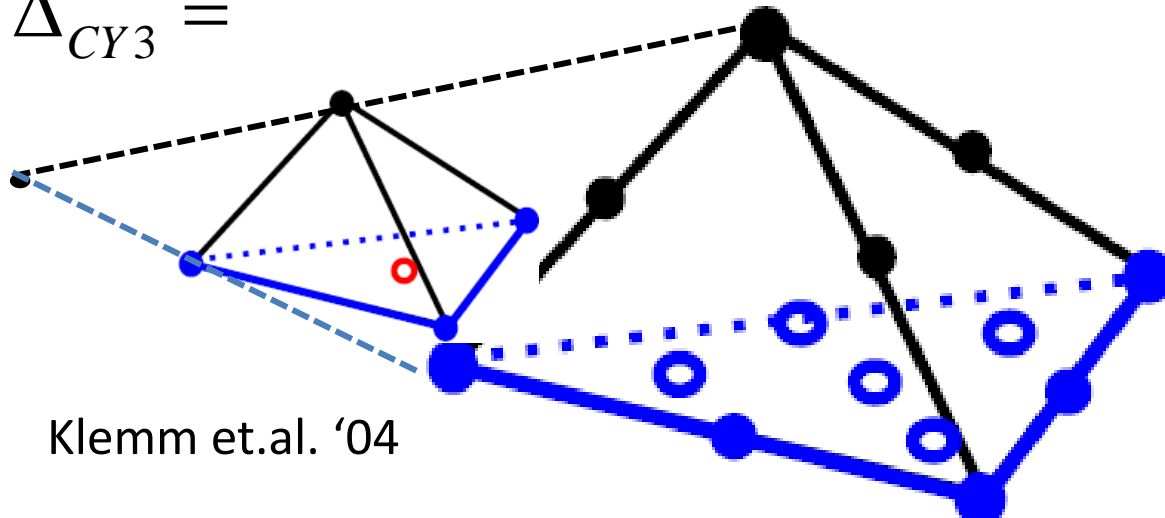
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Klemm et.al. '04

Choose any one from

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For  $h^{1,1}(M) = \rho + 1$ ,  
blue points only.

Candelas Font '96

- Multiple choices of lattice-pol. K3 fibration

- 4319 choices  $(\Lambda_S, \Lambda_T)$  as toric hypersurface

Kreuzer Skarke '98

- 3117 of them admit  $\Lambda_S$ -K3 fibration

with  $h^{1,1}(M) = \rho + 1,$

- 1983 of them come with multiple choices,

- sometimes the same  $h^{2,1}$ , sometimes not.

..... in Type IIA language

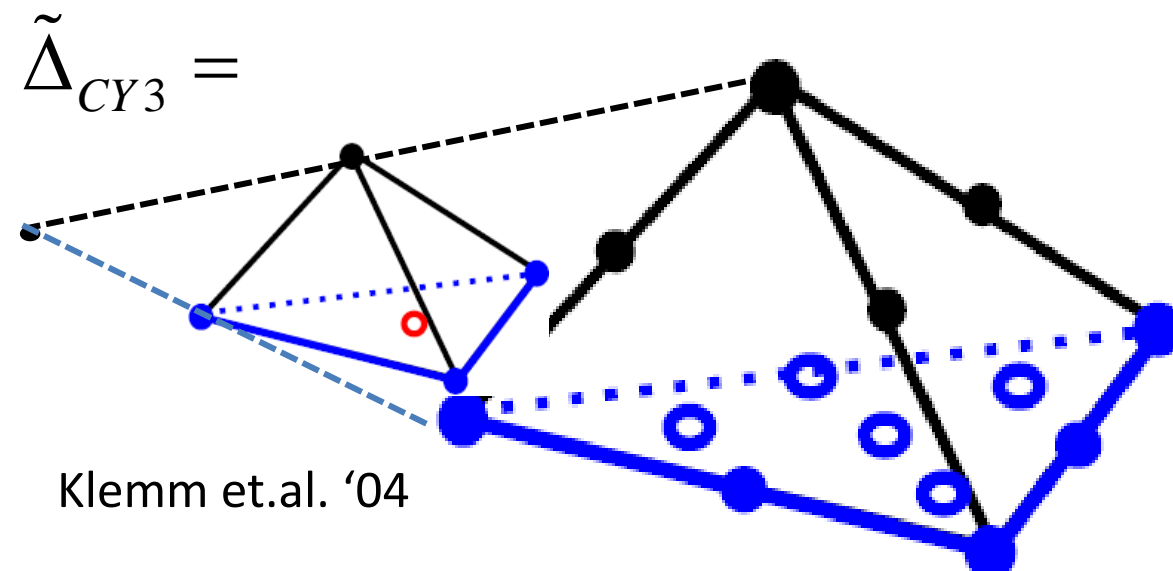
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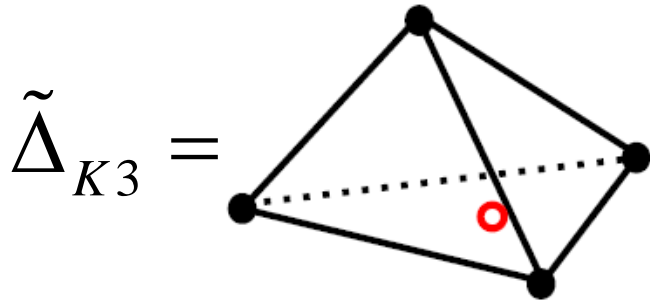
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Candelas Font '96



Klemm et.al. '04

- In the case of deg.2 K3 in the fibre,



$$h^{1,1} + 1 = \#(\text{vect}) = 3$$

$$h^{2,1} + 1 = \#(\text{hypr}) = 129.$$

Kachru Vafa '95

$\Lambda_S = \langle +2 \rangle$   
 $\rho = 1$

Type IIA on CY3



Het on "T2 x" K3  
 instanton 4+10+10

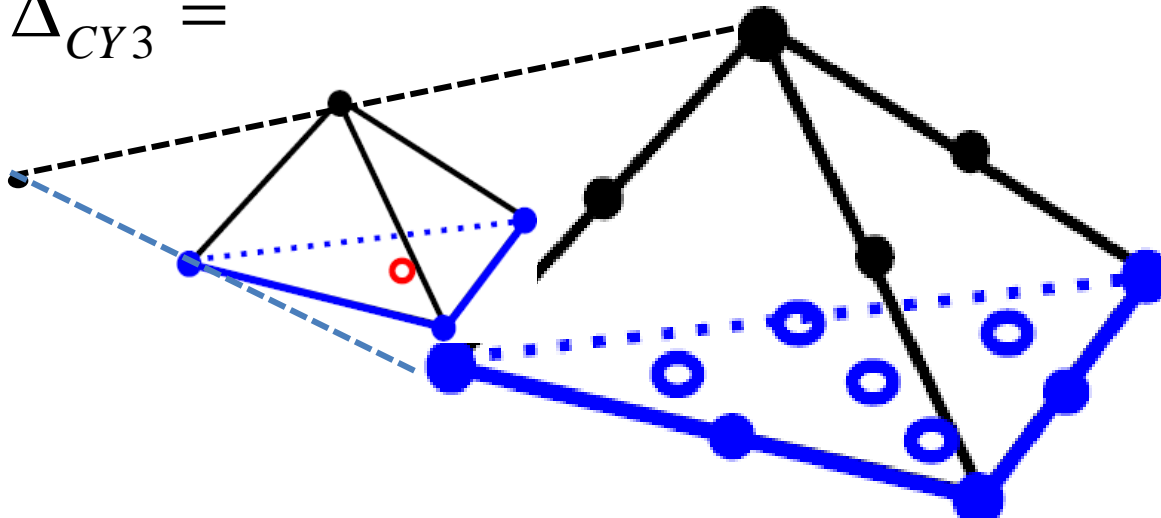
GW-inv of vert. classes



Het 1-loop  
 threshold

+ **<div.div.div.> intersection**

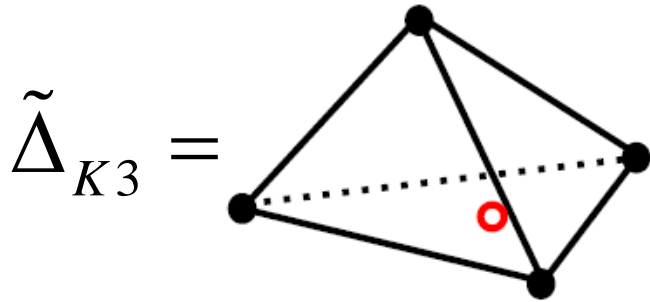
$\tilde{\Delta}_{CY3} =$



Kaplunovsky et.al.,  
 Antoniadis et.al. '95  
 Klemm et.al. '04

**which one is dual?**

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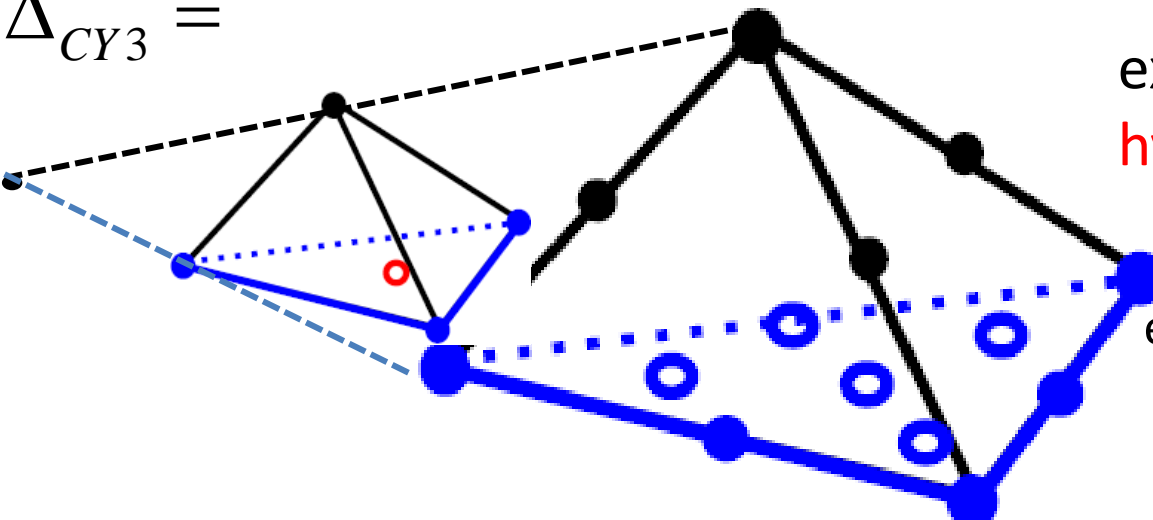
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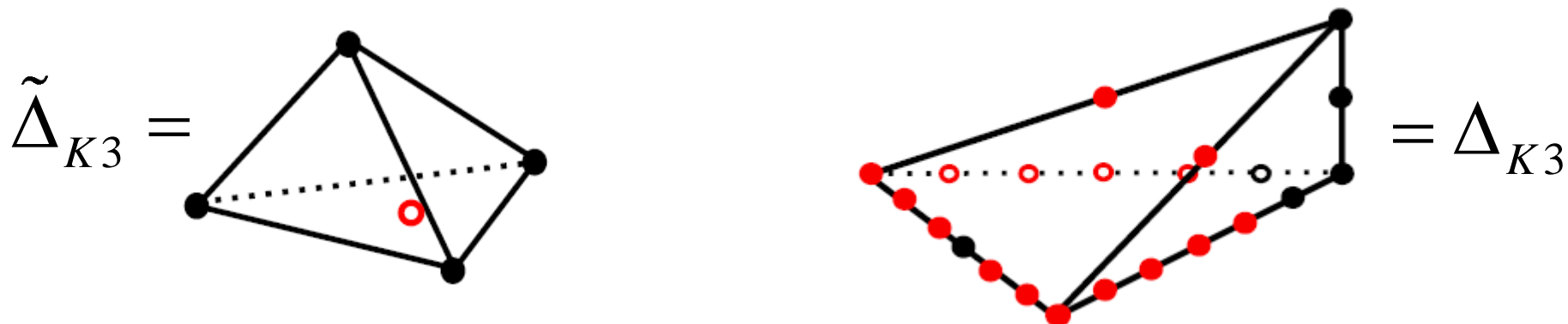


exploit **detailed info.** of  
**hyper-mult.** moduli space

$$y^2 = F^{(6)}(X_2, X_3, X_4).$$

each coeff.  $\rightarrow$  polynomial on  $\mathbb{P}^1$   
 right DOFs for  $E_8 \times E_8$  or not?

**which one is dual?**



$$\begin{aligned}
 y^2 = & (a'_1 x_4^5 x_3 + a'_2 x_4^4 x_3^2 + a'_3 x_4^3 x_3^3 + a'_4 x_4^2 x_3^4 + a'_5 x_4 x_3^5 + a'_6 x_3^6) \\
 & + (b'_1 x_4^3 x_3^2 + b'_2 x_4^2 x_3^3 + b'_3 x_4 x_3^4 + b'_4 x_3^5) + (c'_1 x_4 x_3^3 + c'_2 x_3^4) \\
 & + x_4^6 + b_0 x_4^4 x_3 + c_0 x_4^2 x_3^2 + d_0 x_3^3 \\
 & + (b_1 x_4^3 + b_2 x_4^2 + b_3 x_4 + b_4) x_3 + (c_1 x_4 + c_2) x_3^2 \\
 & + (a_1 x_4^5 + a_2 x_4^4 + a_3 x_4^3 + a_4 x_4^2 + a_5 x_4 + a_6),
 \end{aligned}$$

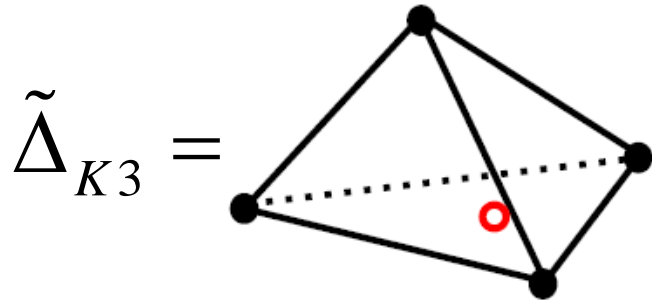
$$a_{r=1, \dots, 6} \in \Gamma(\mathbb{P}_A^1; \mathcal{O}(12 + r(I_v - 12))),$$

$$b_{r=1, \dots, 4} \in \Gamma(\mathbb{P}_A^1; \mathcal{O}(8 + r(I_v - 12))),$$

$$c_{r=1, 2} \in \Gamma(\mathbb{P}_A^1; \mathcal{O}(4 + r(I_v - 12))),$$

- In the case of deg.2-K3 fibration

Braun TW '16



$$\tilde{\Delta}_{K3} =$$

$$\Lambda_S = \langle +2 \rangle_{\rho=1}$$

exploit **detailed info.** of **hyper-mult.** moduli space

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Type IIA on **CY3**



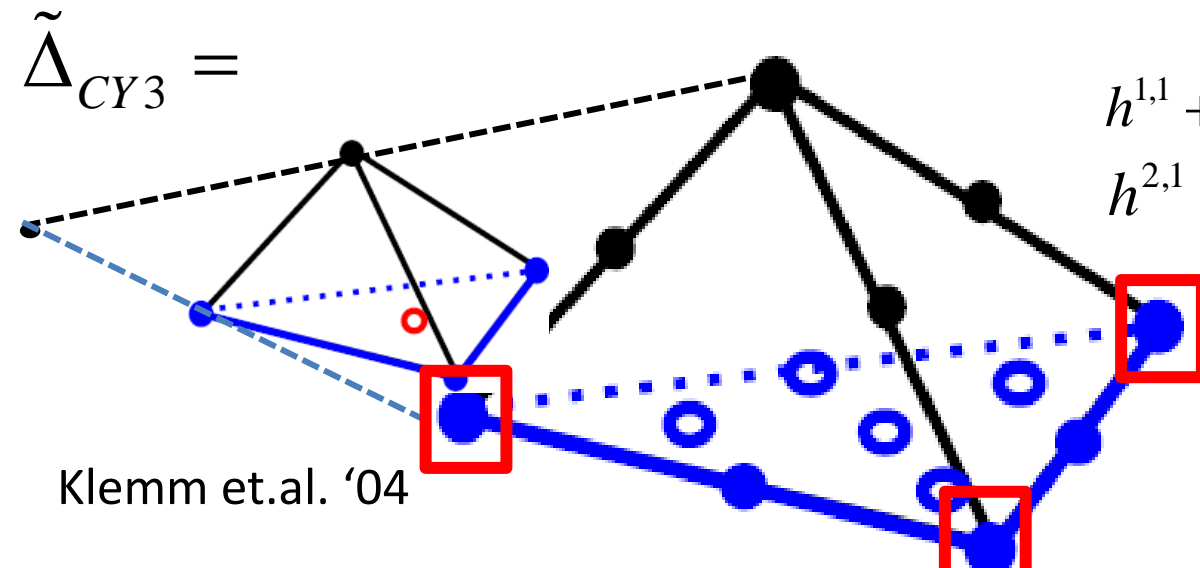
Het on "T2 x" K3

instanton 4+10+10

$$h^{1,1} + 1 = \#(\text{vect}) = 3$$

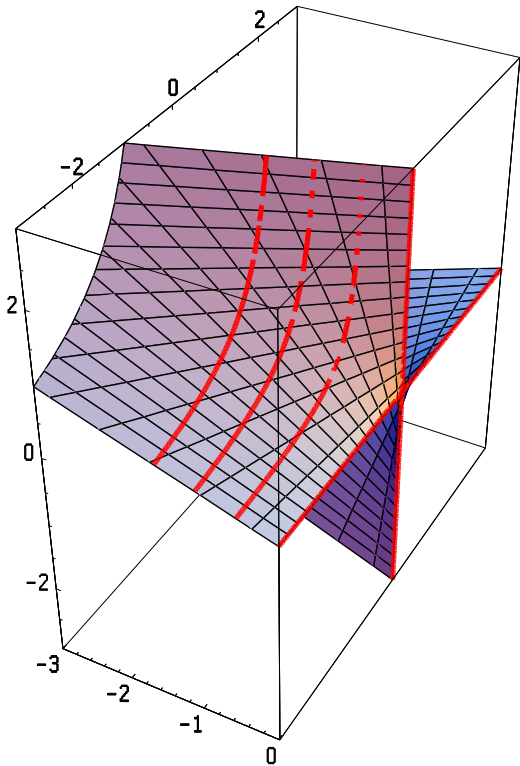
$$h^{2,1} + 1 = \#(\text{hypr}) = 129.$$

**Others do not allow  
free 4+10+10  
instanton interpret'n.**



Klemm et.al. '04

# Part II: degenerations of K3 and solitons

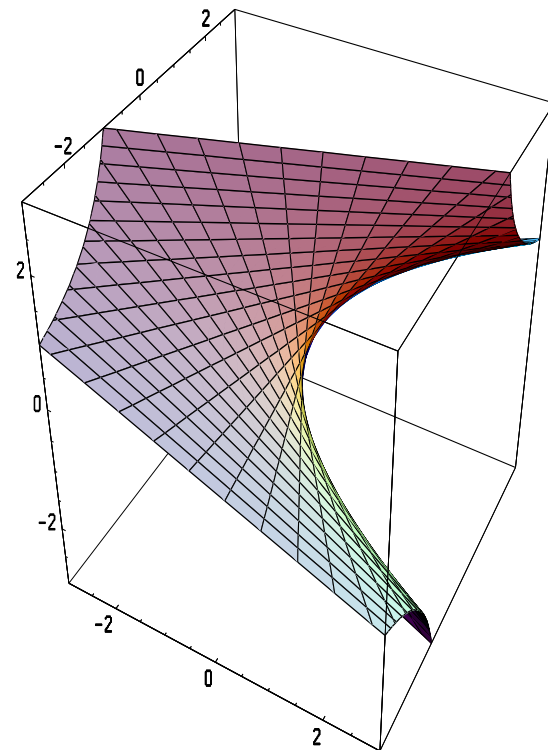


An example of degeneration

$$X = \{(x, y, t) \mid xy = t\}$$

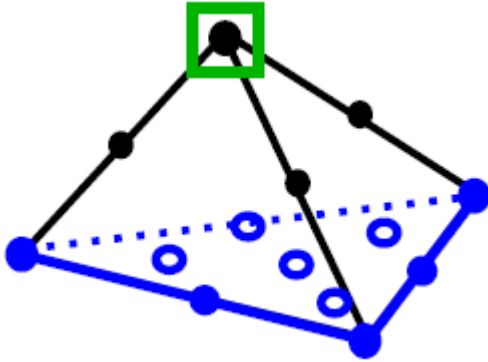


$$t \in \mathbb{C}$$



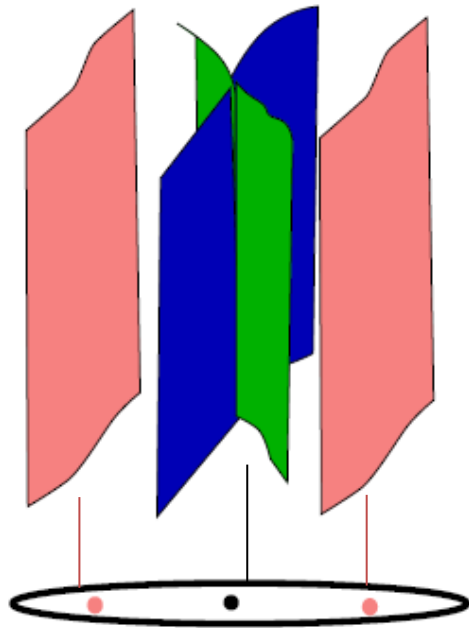
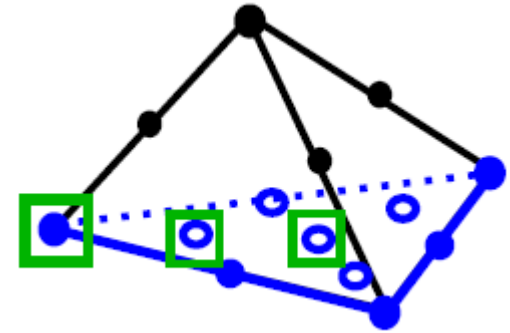


- Type IIA / M = deg-2 K3 fibr. over  $\mathbb{P}^1$



Add point(s) from

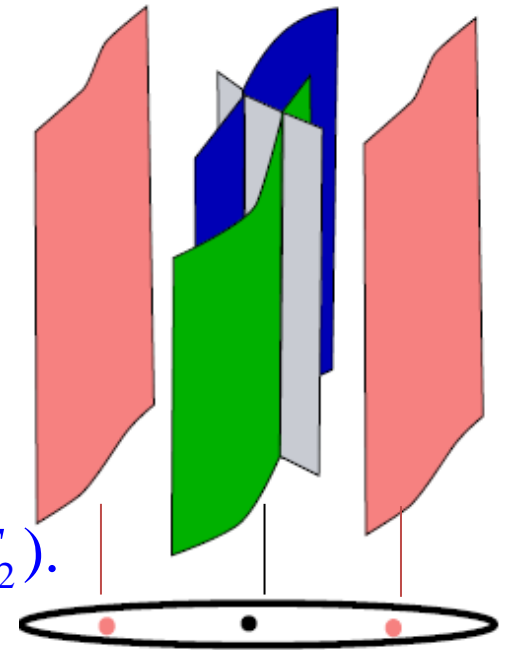
$$2\tilde{\Delta}_{K3} \cap \mathbb{Z}^{\oplus 3}$$



$$S_0 = \mathbb{P}^2 \cup \text{Bl}^{18}(\mathbb{P}^2)$$



$$S_0 = dP_7 \cup (\text{ruled}_{g=1}) \cup \text{Bl}^{10}(F_2).$$

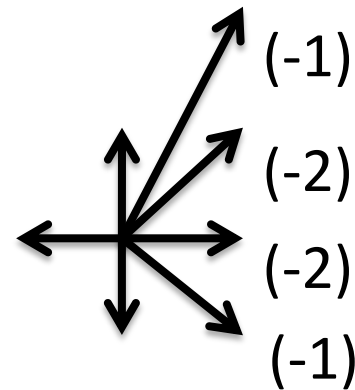
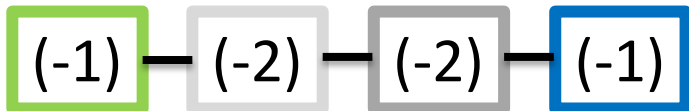
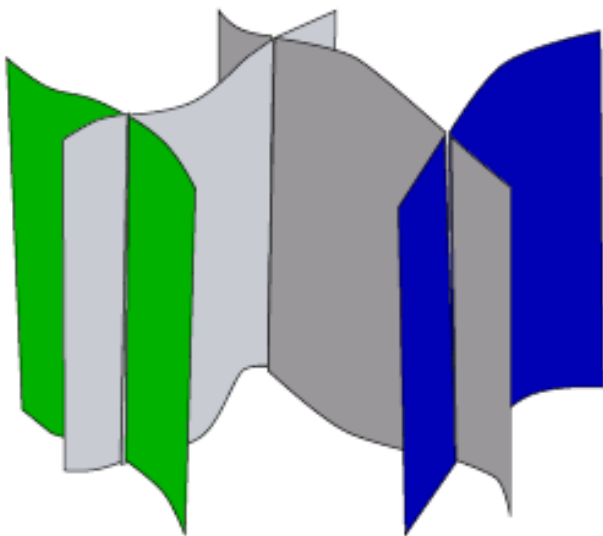


- Generalization

of IIA /  $CY_3 = \text{ell.K3 fibr. over } \mathbb{P}^1$   
with degeneration

= ell.fibr. over  $\text{Bl}^k(F_n)$

$$S_0 = \text{RES} \cup (\mathbb{T}^2 \times \mathbb{P}^1)^{k-1} \cup \text{RES}$$



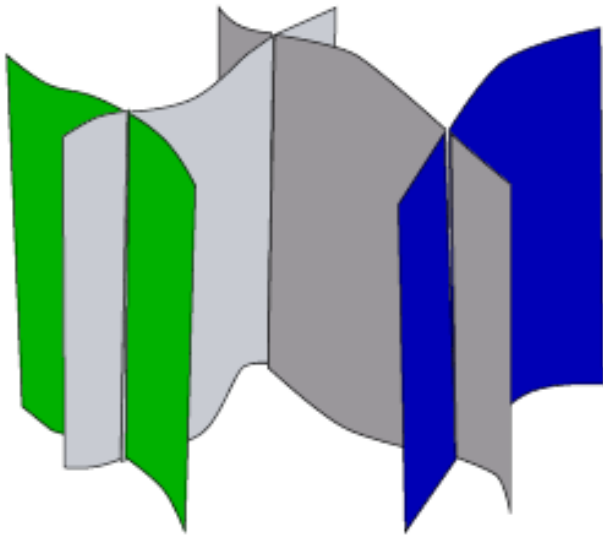
Dual to **Het /  $T^2 \times K3$**   
**with k NS5-branes**

- Generalization

IIA /  $CY_3 = \Lambda_S$  pol. K3 fibr. over  $\mathbb{P}^1$   
with degeneration

Type II degeneration of  
lattice-pol. K3 surface

$$S_0 = \text{RES} \cup (\mathbb{T}^2 \times \mathbb{P}^1)^{k-1} \cup \text{RES}$$



generic fibr.  $S_t$  degen. to

$$S_0 = V_0 \cup V_1 \dots V_{k-1} \cup V_k$$

rational surfaces

$\mathbb{P}^1$ -fibr  
over ell. curve

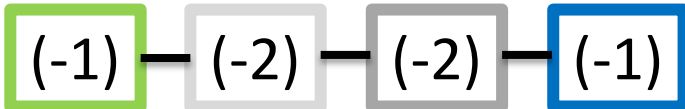
Clemens—Schmid  
exact sequence



monodromy

$$T : \Lambda_T(S_t) \rightarrow \Lambda_T(S_t)$$

$$T =: \exp[N], \quad N^2 = 0.$$



Kulikov, Persson, Pinkham, Friedman,  
Morrison, Looijenga, Saha, Scattone, ...

# Type II degeneration of lattice-pol. K3 surface

$\Lambda_T$

[rank 4]  $\oplus R \cong$  [transc. lattice]

$$\Delta \begin{pmatrix} 1 \\ -\tau \\ \rho\tau - a^2 \\ \rho \end{pmatrix} = N \cdot \begin{pmatrix} 1 \\ -\tau \\ \rho\tau - a^2 \\ \rho \end{pmatrix}, \quad \Delta\rho = 1.$$

Het dual: soliton,  
monodromy in Narain moduli

generic fibr.  $S_t$  degen. to

$$S_0 = V_0 \cup V_1 \dots V_{k-1} \cup V_k$$

rational surfaces

$\mathbb{P}^1$ -fibr  
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Clemens—Schmid  
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- back to examples. (deg-2 K3 fibre)

degen. to  $S_0 = dP_7 \cup \mathbb{P}[\mathcal{O}_C \oplus \mathcal{L}] \cup \mathbf{BI}^{10}(F_2)$ .  $R = (E_7 + D_{10}); \mathbb{Z}_2$ ,

degen. to  $S_0 = \mathbb{P}^2 \cup \mathbf{BI}^{18}(\mathbb{P}^2)$   $\rightarrow R = A_{17}; \mathbb{Z}_3$ .

degen. to  $S_0 = dP_8 \cup \mathbb{P}[\mathcal{O}_C \oplus \mathcal{L}] \cup V_2(\chi = 13)$   $R = E_8^{\oplus 2} \oplus A_1$

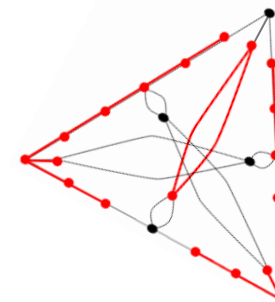
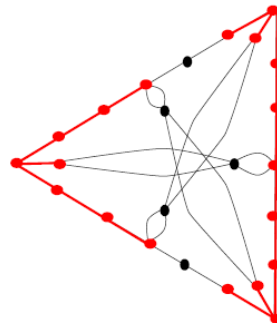
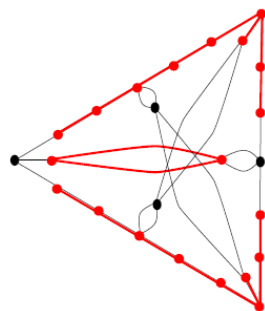
all fall into 4 classes for deg2 K3 Type II degen.

$$[\text{rank } 4] \oplus R \cong \Lambda_T$$

- Het interpretation: defects in  $\mathbb{P}^1 =$  corridor branches

– NS 5-brane:  $\Lambda_S = U$ ,  $R = E_8 + E_8$ ,

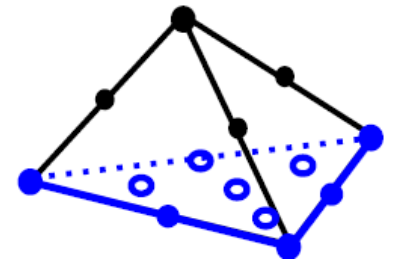
– 1<sup>st</sup> eg. above:  $\Lambda_S = \langle +2 \rangle$ ,  $R = (E_7 + D_{10}); \mathbb{Z}_2$ .



- More varieties in degeneration of K3 surface

- Type III: dual graph = triangulation of sphere

- monodromy  $T = \exp[N]$ ,  $N^3 = 0$ .
- construction: Davis et.al. '13
- more hyper-moduli -tuned solitons.



- non semi-stable: reducible fibre with  $m \neq 1$ .

- turned into semi-stable, after base change of order  $k$
- $T^k = \exp[N]$ ,  $N^3 = 0$ . would-be Type II or III.

- Lattice polarization: which pair of solitons can be BPS together.